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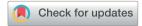


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Thinking outside the "Blue Box": from molecular to supramolecular pH-responsiveness†

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We present herein the development of a new polycationic cyclophane: the "red box", second in a series of hydrazone-based analogues of the well-known organic receptor cyclobis(paraquat-p-phenylene) cyclophane ("blue box"). The macrocycle has been prepared in an excellent yield in aqueous media, and shows both a remarkable pH-responsiveness and unusual hydrolytic stability of the two hydrazone C=N bonds, associated with charge delocalization of the amine lone pair. Whilst in aqueous media the "red box" is able to complex a variety of aromatic substrates, both in its acidic and basic form, in organic media the cyclophane is only able to capture those in the acidic form, resulting in supramolecular pH-responsiveness.

1. Introduction

Molecular switches (MSs)¹ are chemical entities able to perform reversible structural modifications upon the guidance of external stimuli such as light, electrical potential or chemical effectors.² MSs have started to show their incredible potential not only by themselves,³ but as well when properly implemented in more complex entities,⁴ as control units within host:guest-based assemblies termed supramolecular switches (SSs)⁵ or mechanically interlocked molecular switches (MIMSs).⁶ In these cases, the external stimulus modulates the binding events within the system, leading to controlled catch and release of the guest within SSs, or a large relative movement of the covalent parts of MIMSs.

Being the interest in the development of these dynamic molecular entities clearly justified by their wide applicability, ^{5,6} the introduction of switching capabilities into molecular receptors is not trivial. ⁷ This is especially true in the case of macrocyclic hosts, ⁸ which suffer in many cases from challenging kinetically controlled syntheses that hamper not only their preparation, ⁹ but the subsequent fine-tuning of their structure and function *en route* to SSs and MIMSs. ⁸⁻¹¹ Traditionally, coordination-driven self-assembly has enormously simplified the synthesis of dynamic cyclophanes, on the basis of thermodynamic control over the cyclization step. ^{12,13} Nevertheless, these metal-containing structures have many potential

drawbacks when compared with organic counterparts (*e.g.* exchange kinetics difficult to lock up, deficient implementation of extra binding abilities in the self-assembled units, potential metal toxicity or, nonetheless, cost-effectiveness). In consequence, the development of efficient synthetic methodologies for the construction of new organic hosts with switching capabilities is undoubtedly at the forefront of current chemical research.¹⁴

Recently, we and others have reported the use of imine-based dynamic covalent chemistry15 for the aqueous self-assembly of constitutionally dynamic cyclophanes, wholly organic compounds which can act as binding parts not only in host:guest aggregates,16 but as well within self-threading catenanes and knots.¹⁷ In our particular case, 18 we have described a new conformationally flexible host, the "white box" (W4+, Scheme 1), an acyl hydrazone-based analogue of the well-known redox-responsive receptor "blue box" (cyclobis(paraquat-p-phenylene)cyclophane) developed by Stoddart and co-workers.¹⁰ Our macrocycle shows not only the expected constitutional dynamism in water caused by the imine bonds, but also accessible stimuli-responsiveness induced by the unusual acidity of the amide protons within \mathbf{W}^{4+} (p $K_a = 6.5$). Unfortunately, this new pH-sensitive MS was inappropriate for its implementation in SSs, due to its ability to complex the aromatic substrates tested only in aqueous media, and nearly to the same extent, by both the acidic form (\mathbf{W}^{4+}) and conjugate base (\mathbf{W}^{2+}) of the cyclophane.

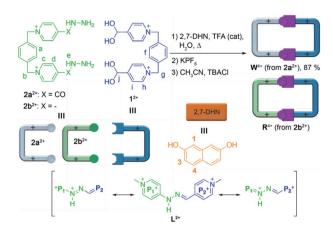
Considering our initial findings, we concluded that the removal of the carbonyl groups within \mathbf{W}^{4+} , leading to the hydrazone analogue \mathbf{R}^{4+} , would not have a significant effect on the stability of the resulting cyclophane in aqueous media, as it would be mostly determined in both cases by the delocalization of the lone pair of the amide/amine subunits over the neighbouring pyridinium rings. Specifically for \mathbf{R}^{4+} , the removal of the acyl groups allows for the potential extension of the resonance

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 $\begin{array}{lll} \textbf{Scheme 1} & \textbf{Top: depiction of the synthetic processes for the preparation} \\ \textbf{of the cyclophane "white box" W}^{4+}, \ \textbf{and its hydrazone-containing} \\ \textbf{analogue "red box" R}^{4+}. \ \textbf{Bottom: resonant forms for one of the potential tautomeric species of the model compound L}^{2+} \ \textbf{(see discussion)}. \\ \end{array}$

stabilization to the two pyridinium moieties on each of the large sides of the molecular rectangle. In consequence, we intuited that \mathbf{R}^{4+} would be quite similar to \mathbf{W}^{4+} in terms of hydrolytic stability, pH-responsiveness and binding ability in aqueous media. Conversely, \mathbf{R}^{4+} would own a more compact cavity and an improved π -acceptor character, consequently increasing its ability to complex aromatic substrates by π - π interactions.

2. Results and discussion

2.1. Synthesis and characterization of the "Red Box"

Following our previously reported protocol for the synthesis of W4+,18 we successfully accomplished the quantitative selfassembly in aqueous media of the inclusion complex 2,7- $DHN \subseteq \mathbb{R}^{4+}$ (DHN = dihydroxynaphthalene). This was achieved by performing the TFA-d (10%) catalysed reaction between equimolar 1.5 mM D₂O solutions of the complementary tweezers 1.2Br and 2b.2Br,19 and 1.5 eq. of the aromatic template.20 Hence, after 24 hours at 60 °C, the 1D/2D NMR experiments recorded for the sample nicely matched those expected for the host:guest aggregate as a sole new species (Fig. 1c and Table 1). In essence, the signals assigned to 2,7-DHN are consistently shielded as a result of the inclusion of the substrate within the cavity of R4+, with H3 being particularly affected due to the occurrence of [C-H $\cdots\pi$] interactions with the phenylene rings of the host ($\Delta \delta H_3 = -1.61$ ppm, $\Delta \delta H_a = 0.3$ ppm and $\Delta \delta H_f = 0.3$ ppm).²¹ Furthermore, resonances assigned to H_i ($\delta H_i = 7.34$ ppm) and C_i ($\delta C_i = 138.9$ ppm) on 2,7-DHN $\subset \mathbb{R}^{4+}$ are also compatible with the formation of the imine bonds on \mathbf{R}^{4+} .

Interestingly, due to either the well-known prototropic tautomerism of the 4-hydrazinyl-1-alkylpyridinium moieties, or simply by delocalization of each of the two amine lone pairs over the corresponding pyridinium rings (Scheme 1), 22 restricted rotation around the (P1 $^+$)C–NHN bonds is observed as in structurally related hydrazones (*vide infra*), 23 resulting in the chemical inequivalence between $H_{c-c'}$ and $H_{d-d'}$, positioned on the upper and lower side of those rings within the host. This inequivalence was

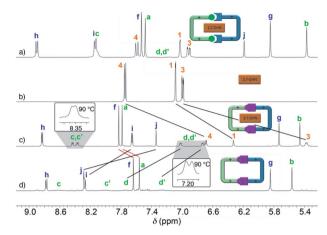


Fig. 1 1 H NMR (D₂O, 500 MHz) of: (a) equimolar 1.5 mM mixture of $1\cdot 2$ Br, 2b·2Br and 2,7-DHN (1.5 eq.) at r.t. and t=0; (b) 1.5 mM 2,7-DHN; (c) mixture (a) after 24 hours at 60 $^{\circ}$ C with TFA-d (10%); (d) equimolar 1.5 mM mixture of $1\cdot 2$ Br and 2b·2Br after 24 hours at 60 $^{\circ}$ C with TFA-d (10%). Proton numbering in Scheme 1.

clearly identified in the corresponding EXSY NMR (Fig. S44†), which shows the expected cross peaks between the above-mentioned nuclei. VT 1 H NMR experiments also confirmed this end, showing a faster exchange regime between $H_{c-c'}$ and $H_{d-d'}$ on increasing the temperature, and resulting in the collapse of the four signals initially observed at r.t. into two (see insets in Fig. 1c), a fact that enabled the estimation of $\Delta G^{\#} = 16.2$ kcal mol^{-1} for the hindered rotation. 19,24 Finally, DOSY NMR also supported the formation of 2,7-DHN $\subset \mathbf{R}^{4+}$ (Fig. S45†), with all the resonances on the aggregate diffusing as a whole in the corresponding spectrum.

Surprisingly, the synthesis of the empty cyclophane R·4Br could be achieved in a template-free fashion on a preparative scale (1.5 mM), using the very same reaction conditions as for the self-assembly of 2,7-DHN $\subset \mathbb{R}^{4+}$ (Fig. 1d). Once the reaction was finished, addition of excess KPF6 to the corresponding aqueous solution, followed by filtration and washing of the obtained solid with water, allowed the isolation of virtually pure R·4PF₆ in an 83% yield. 1D/2D NMR experiments in CD₃CN showed a sole main species, in good agreement with the expected cyclophane (Fig. 2c). On this occasion, protons within the P1⁺ moieties completely coalesce on the NMR at r.t, and VT-NMR showed a change to a situation of slow exchange for H_{c-c'} and $H_{d-d'}$ upon cooling of the sample. The estimation of $\Delta G^{\#}$ 15.2 kcal mol⁻¹ from these experiments is in good agreement with the influence of the complexed substrate on the restricted rotation previously discussed for the (P1+)C-NHN bonds within 2,7-DHN $\subset \mathbb{R}^{4+}$ in D₂O. Further evidence on the identity of the compound was obtained by ESI-MS, with the spectrum showing both the typical loss of PF₆⁻ counterions on the salt and that of HPF₆ fragments resulting from the increased acidity of the amine protons within the cationic macrocycle (Fig. 2a). 25

Finally, the water-soluble salt **R**·4Cl was also easily obtained in an 89% yield by ion metathesis of **R**·4PF₆ dissolved in CH₃CN.¹⁹ Again, 1D/2D NMR data compiled for the empty receptor in D₂O matched that expected for the compound. In

Table 1 Complexation induced shifts $(\Delta \delta)$ for selected quests in D₂O

	R ⁴⁺				
Guest	$\Delta\delta$ (D ₂ 0				
	H_1	H_2	H_3	H_4	$K_{\rm a} \left({\rm M}^{-1} \right)$
1,5-DHN ^a		-1.45	-2.23	-1.03	1.34×10^4
1,5-DHNc ^a		-1.26	-1.99	-1.24	$1.61 imes 10^4$
$2,7$ -DHN a	-0.74		-1.60	-1.04	$2.05 imes 10^4$
Carbazole ^b	-2.12	-2.56	-1.66	-0.94	С
Pyrene ^b	-1.47	-1.50		-1.14	С
	R ²⁺				
	$\Delta\delta$ (D ₂				
Guest	H_1	H_2	H_3	H_4	$K_{\rm a} \left({\rm M}^{-1} \right)$
1,5-DHNc ^a	•	-1.20	-1.87	-1.41	1.72×10^{4}
	R ⁴⁺				

Guest	Δo (CD ₃ 0				
	H_1	H_2	H_3	H_4	$K_a (M^{-1})$
1,5-DHN ^a		-0.02	-0.03	-0.03	с
1,5-DHNc ^a		-0.11	-0.20	-0.17	48.0
2,7-DHN ^a	-0.02		-0.02	-0.02	5.2
Carbazole ^b	-0.05	-0.04	-0.03	-0.02	7.2
Pyrene ^b	-0.13	-0.15		-0.15	54.3

^{a 1}H NMR recorded in D₂O. ^{b 1}H-NMR recorded in CD₃CN. ^c Not calculated because of the inappropriate solubility of the substrate under the conditions of the titration

this case, a value of $\Delta G^{\#} = 15.5 \text{ kcal mol}^{-1}$ was estimated from VT-NMR experiments for the restricted rotation within \mathbf{R}^{4+} . 19

2.2. Kinetic and thermodynamic stability of the "red box"

Puzzled by obtaining R·4Br in a template-free fashion, we performed a series of experiments to clarify the kinetic and thermodynamic stability of our cyclophane.19 Firstly, we observed how the TFA-d catalyzed reaction of 1·2Br and 2b·2Br in D₂O produced R⁴⁺ as the main product within the 1.5-20 mM concentration window, accounting for the preference of the reaction in aqueous media to produce the cyclic receptor over potential oligomeric structures. In contrast, the very same reaction performed in DMSO-d₆ yielded after 1 day heating at 60 °C a complex mixture of R⁴⁺ and new imine-containing species. Interconversion, between those species tentatively assigned as the potential oligomeric products and R⁴⁺, was not observed under all the reaction conditions tested (i.e. dilution,

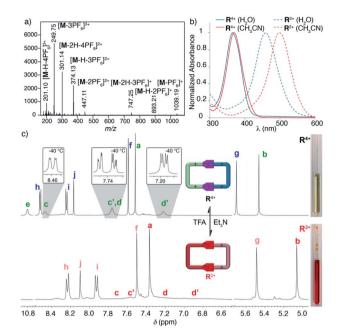


Fig. 2 (a) LR ESI-MS spectrum, for $R \cdot 4PF_6$ showing the loss of PF_6 and HPF $_6$ fragments. (b) Normalized UV-vis spectra of R·4Cl in H $_2$ O (blue solid line), $R \cdot 4PF_6$ in CH_3CN (red solid line), $R \cdot 2Cl$ in H_2O (blue dotted line), and R·2PF₆ CH₃CN (red dotted line). (c) ¹H NMR (CD₃CN, r.t., 400 MHz): (c1), 1.5 mM R·4PF₆ (insets, VT-NMR, -40 °C), (c2): 1.5 mM R·2PF₆ (obtained by addition of 1 eq. of Et₃N to (c1)).

increased temperature or reaction times, addition of an excess of 2,7-DHN to the reaction mixture or, crucially, by solvent swapping to D2O).19 These results clearly point out to the "red box" as being the main product of a kinetically controlled process in water but, interestingly enough, not in organic media. Additional evidence of the extraordinary kinetic stability of the imine bonds was observed in aqueous media, where the proton signals for R·4Cl dissolved in buffered 1.5 mM D₂O solutions at pD = 1.5 were not altered, even after the addition of a 10-fold excess of the highly reactive aldehyde hydrate 4-(dihydroxymethyl)-1-methylpyridin-1-ium.

As a corollary of these surprising observations, we inferred an increased kinetic stability of the imine bonds within R4+ compared to W^{4+} , a prediction that could be verified by the irreversible transformation of the later into the former (Scheme 2), by heating for 24 hours a mixture of the "white box" and 2b·2Br in D₂O with a catalytic amount of TFA-d (10%). 19,26

2.3. pH-Responsiveness of the "red box"

To validate our second prediction on the "red box", its pHresponsiveness, we firstly performed an UV-vis acid-base



Scheme 2 Irreversible transformation of W⁴⁺ into R⁴⁺.

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titration for the macrocycle in water. On increasing the pH, the appearance of the conjugated base \mathbf{R}^{2+} clearly results in a substantial decrease of the originally observed main absorption for \mathbf{R}^{4+} ($\lambda_{\text{max}} = 365 \text{ nm}$, $\varepsilon = 62 054 \text{ L mol}^{-1} \text{ cm}^{-1}$, associated with π – π * transitions), and the concomitant manifestation of a new band at $\lambda_{\text{max}} = 464 \text{ nm}$ ($\varepsilon = 61 092 \text{ L mol}^{-1} \text{ cm}^{-1}$), which clearly indicates an increased intramolecular charge-transfer over the pyridinium rings upon deprotonation (Fig. 2b). Although the presence of two very close isosbestic points on the titration experiment precludes the precise determination of the pK_a for $\mathbf{R} \cdot 4\text{Cl}$ by UV-vis (Fig. S95†), an approximate value of 8.3 could be estimated. This assessment is in decent agreement with the experimental data obtained for the model compound $\mathbf{L} \cdot 2\mathbf{I}$ by UV-vis ($pK_a = 9.0$), and potentiometric ($pK_a = 8.8$) titrations (Fig. 3b and c).^{19,27}

The pH-responsiveness of ${\bf R}^{4+}$ was qualitatively assessed as well in organic media (Fig. 2c). Addition of 1 eq. of Et₃N to a 1.5 mM solution of ${\bf R} \cdot 4 {\rm PF_6}$ in CD₃CN produced substantial changes in the $^1{\rm H}$ NMR of the macrocycle, in good agreement with its deprotonation (*i.e.* disappearance of the amine signal ${\bf H_e}$, and substantial shielding of the remaining resonances due to the increased electronic density on the chromophores). The observed changes could be efficiently restored by addition of 1 eq. of TFA-d to the organic solution (see photographs in Fig. 2). Finally, the pH-responsive behaviour of the compound in CH₃CN was also monitored by UV-vis (Fig. 2b), showing a similar behaviour to that observed in water. Accordingly, the main absorption band for ${\bf R} \cdot 4 {\rm PF_6}$ at $\lambda_{\rm max} = 369$ nm ($\varepsilon = 73$ 826 L mol $^{-1}$ cm $^{-1}$) significantly disappears upon deprotonation, resulting in the appearance of a new main band centered at $\lambda_{\rm max} = 498$ nm ($\varepsilon = 92$ 606 L mol $^{-1}$ cm $^{-1}$).

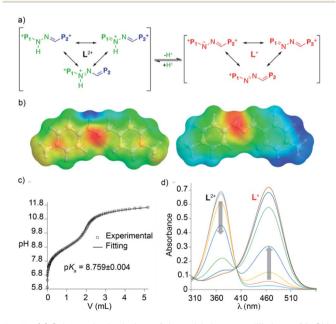


Fig. 3 (a) Schematic depiction of the acid–base equilibrium of L \cdot 2I in water. (b) Color-coded EPS of L²⁺ (left, coloured from 0.35 eV to 0.59 eV), and L¹⁺ (right, coloured from -0.03 eV to 0.34 eV). (c) Fitting of the potentiometric titration data of 8.3 mM L \cdot 2I with 40 mM KOH solution. (d) UV-vis spectra for the titration of 20 μ M L \cdot 2I with aliquots of appropriate solutions of NaH₂PO₄/Na₂HPO₄ and NaHCO₃/Na₂CO₃ buffers of increasing pH.

In order to shed some light on the intriguing features of the "red box", we performed systematic DFT calculations at the M06-2X-6-31g(d,p) level in water on \mathbb{R}^{4+} and the simplified model L2+. Firstly, DFT energies reproduced well the lack of substantial prototropic tautomerism at r.t. for the species. 19 Secondly, the conformational analysis showed very similar results for R4+ and R2+, identifying conformations of minimum energy for those sharing "blue box"-like configurations with the two imines in an (E)-configuration (i.e. quadrangular structures with alignment of the π -deficient pyridinium moieties on each side of the rectangle, Fig. 4). In addition, activation energies for all the rotatable bonds within the model compound L^{2+} were computed, with the results being in agreement with the observed NMR spectra. In particular, the barrier that restricts the rotation around the (P_1^+) C-NHN bond, with an estimated value of 15.2 kcal mol^{-1} from VT-NMR experiments on \mathbf{L}^{2+} , was well reproduced, showing a computed value of 14 kcal mol⁻¹.

The p K_a values for \mathbf{R}^{4+} and \mathbf{L}^{2+} in water were also computed, yielding values for \mathbf{L}^{2+} and \mathbf{R}^{4+} of 7.8 and 7.4, respectively, in reasonable agreement with the experimental values. The simulated UV-vis absorption spectra within the TD-DFT approach also matched the experimental observations (Table S19†). This allowed us to assign the intense absorption peak for the acid and basic forms of "red box" and the model compound \mathbf{L}^{2+} to π electron transitions between the frontier orbitals in the "L" fragments. Interestingly, \mathbf{L}^{2+} and \mathbf{L}^{+} showed quite similar electronic densities for the frontier orbitals (Fig. S114†), whilst the Electrostatic Potential on the Solvent-accessible-surfaces (EPSs) showed an enhanced localized positive potential in the proton area for the acid form, which has a partial positive charge of 0.39 (Fig. 3 and S115†).

2.4. The "red box" as a molecular receptor: from molecular to supramolecular pH responsiveness

As the final part of our study, we proceeded to test the hosting ability of the "red box". Consequently, 1D/2D NMR experiments

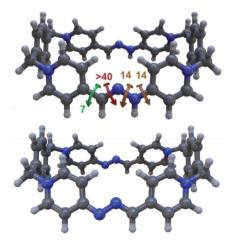


Fig. 4 Predicted conformations of minimum energy, according to DFT calculations at the M06-2x-6-31g(d,p) level of theory in water, for: top; R^{4+} (also shown the estimated activation energies in kcal mol⁻¹ for all the corresponding rotatable bonds for the model compound L^{2+}); bottom: R^{2+} .

were firstly recorded in D2O for R·4Cl and a series of selected electron-rich aromatic substrates (1,5-DHN, carbazole, and pyrene).19 In essence, the complexation induced chemical shifts obtained for the guests within the aggregates (Table 1) were fully consistent with the host being able to sequester those from the aqueous media. In all cases, the substrates showed the expected shielding induced by the concurrence of π - π and C-H···· π interactions.²¹ Regarding the host part of the assembly, all the studied cases exhibited fast or near coalescence exchange regimes on the NMR timescale.19 Moreover, those nuclei on the macrocyclic part of the complexes showed among them very similar patterns of relative shifts (comparing the chemical shifts for the different guest $\subset \mathbb{R}^{4+}$ species with those of \mathbb{R}^{4+}). Finally, association constants for the complexes of \mathbf{R}^{4+} with the DHN derivatives could be determined in water by NMR titrations, yielding K_a values in the 10⁴ M⁻¹ range (Table 1).

In order to compare the differences between \mathbf{R}^{4+} and its conjugate base \mathbf{R}^{2+} on the complexation of a given substrate in aqueous media, 1,5-DHNc (see the structure in Fig. 5) was used as an appropriate water-soluble and pH-insensitive substrate. In

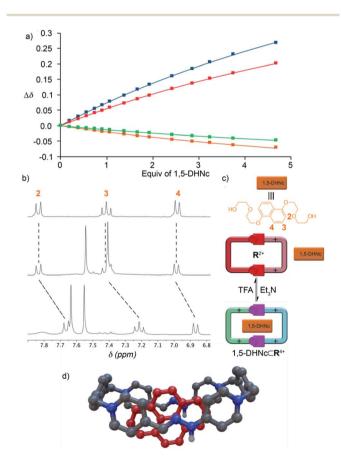


Fig. 5 (a) Fitting of the $^1\text{H-NMR}$ titration data for 1,5-DHNc \subset R·4PF₆, signals H_h (blue), H_j (red), H_f (green) and H_a (brown). (b) Partial spectrum ^1H NMR (CD₃CN, r.t., 300 MHz) of 1,5-DHNc (top), equimolar mixture of R·4PF₆, 1,5-DHNc and Et₃N (middle), and equimolar mixture of R·4PF₆, 1,5-DHNc and TFA-d (bottom). (c) Schematic depiction of the supramolecular switch. (d) DFT optimized structure of pyrene \subset R⁴⁺ at the M06-2x-6-31g(d,p) level in acetonitrile (all hydrogen atoms, except those of the amine groups, were removed for clarity).

both cases, $^1\text{H-NMR}$ titrations in buffered aqueous media showed a similar ability of both forms of the macrocycle to complex the model substrate, $K_a = (1.61 \pm 0.14) \times 10^4 \, \text{M}^{-1}$ (pD = 6) and $(1.72 \pm 0.20) \times 10^4 \, \text{M}^{-1}$ (pD = 10). These results account for the hydrophobic effect, and not the net charge of the host, being crucial for the host–guest association in aqueous media.

On the other hand, the results obtained for the complexation of the model substrates by R·4PF₆ in organic media were more interesting (Table 1). Contrarily to the previously reported results for W·4PF₆, 18 R·4PF₆ was found to be able to sequester selected aromatic guests in CD₃CN, with complexation induced shifts being in good agreement with those expected for the aggregates, and small Ka values matching the lack of hydrophobic effect. In contrast, the conjugate base \mathbb{R}^{2+} (prepared by dissolving $\mathbf{R} \cdot 4\mathbf{Cl}$ in buffered water at pH = 10, and isolated by precipitation with excess KPF6 as R·2PF6) was found to be unable to complex the aromatic substrates, accounting for the decreased ability of the macrocycle in its basic form to engage in π - π interactions. As exemplified in Fig. 5 for 1,5-DHNc $\subseteq \mathbb{R}^{4+}$. the host:guest complexes can be conveniently assembled/ disassembled in CD₃CN, simply by consecutive addition of Et₃N as a base or TFA-d as an acid.

Finally, in order to shed some light on the structural features of the host–guest aggregates, the geometries of the different potential configurations for pyrene $\subset \mathbb{R}^{4^+}$ were explored using a combination of semiempirical molecular dynamics and DFT methods. The results obtained for the most stable geometry of the assembly showed minor differences between the conformation of free and complexed macrocycles (Fig. 5d), supporting the longitudinal insertion mode of the guest and the coplanar conformation of \mathbb{R}^{4^+} . Additionally, the distance between the mean plane of pyrene and each of the long sides of the guest, 3.3–3.4 Å (Fig. S117†), was found to be optimal for the establishment of π – π interactions as reported for similar systems. 12,13,18,21

Conclusions

In summary, we have reported herein the successful development of a new stimuli-responsive polycationic cyclophane, the "red box". Synthesized in water in an excellent yield following a kinetically controlled process involving the acid-catalysed hydrazone bonding between suitable complementary tweezers, the cyclophane shows a remarkable hydrolytic stability and accessible pH-responsiveness (p $K_a \sim 8.3$ for the amine protons). In both its acidic (\mathbf{R}^{4+}) and basic (\mathbf{R}^{2+}) form, the macrocycle exhibits an appropriate behaviour as a host for a series of selected aromatics in aqueous media. In contrast, in organic media, the receptor is able to complex selected model compounds only in its acidic form, a fact attributed to the decreased π -deficient character of the host in its basic form. The solvent dependent complexation behaviour of this new host opens the door, for instance, for its use as a recyclable scavenger of polycyclic aromatic hydrocarbons and other relevant aromatic compounds.10 Furthermore, its adequate pHresponsiveness in organic media would potentially allow for **Edge Article**

the implementation of the molecular receptor in a wide variety of SSs and MIMSs.5,6

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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