

Cite this: *Chem. Sci.*, 2019, 10, 8825 All publication charges for this article have been paid for by the Royal Society of ChemistryReceived 23rd May 2019
Accepted 2nd August 2019

DOI: 10.1039/c9sc02528f

rsc.li/chemical-science

A new, substituted palladacycle for ppm level Pd-catalyzed Suzuki–Miyaura cross couplings in water†

Balaram S. Takale,^a Ruchita R. Thakore,^a Sachin Handa,^b Fabrice Gallou,^c John Reilly^d and Bruce H. Lipshutz^{*,a}

A newly engineered palladacycle that contains substituents on the biphenyl rings along with the ligand HandaPhos is especially well-matched to an aqueous micellar medium, enabling valued Suzuki–Miyaura couplings to be run not only in water under mild conditions, but at 300 ppm of Pd catalyst. This general methodology has been applied to several targets in the pharmaceutical area. Multiple recyclings of the aqueous reaction mixture involving both the same as well as different coupling partners is demonstrated. Low temperature microscopy (cryo-TEM) indicates the nature and size of the particles acting as nanoreactors. Importantly, given the low loadings of Pd invested per reaction, ICP-MS analyses of residual palladium in the products shows levels to be expected that are well within FDA allowable limits.

Introduction

In a recent review by Alami and Messaoudi,¹ palladacycles were characterized as among the “most powerful” pre-catalysts to highly reactive, mono-ligated forms of Pd(0).² While they are stable, quite convenient, and broadly applicable, these features may not be sufficiently attractive for long term usage typically in the 1–5 mol% range. That is, not only is awareness of the endangered status of Pd gaining in appreciation, such reagents are also almost invariably used in environmentally egregious organic solvents and with limited levels of solvent and precious metal recycling.³ Put another way, and notwithstanding Nobel Prize-level recognition bestowed in 2010 on Pd-catalyzed Suzuki–Miyaura (SM) cross-coupling chemistry developed several decades earlier,⁴ such an approach to modern Pd-based catalysis as practiced today is both costly and not sustainable. Access to worldwide supplies, even under the best of geopolitical circumstances, is determined by current limits of technology that prevent access to metals that lie too deep within the Earth's surface.⁵

One solution to this inevitable shortage calls for switching, in large measure, from a petroleum- to a water-based discipline,⁶ akin to the role of water as the reaction medium in nature, in general, and biocatalysis in particular.⁷ Along with this gradual transition comes myriad opportunities for

developing new catalysts engineered to function both within an altered reaction medium and under newly unfolding rules for which analogies in organic solvent are non-existent.⁸ Palladacycles present one such opportunity where, under traditional conditions (*i.e.*, use in organic solvents), the focus has been on modifications that include, *e.g.*, the nature of the leaving group. Such design changes that feature steric and stereoelectronic effects, conformational biases, *etc.*, have little to do with palladacycle *solubility*. In an aqueous medium containing micellar-based nanoreactors,⁹ however, solubilization becomes a crucial parameter. Thus, one key to successful couplings in water involves influencing the binding constant of a reagent to the micellar inner core: the greater the incentive to enter the site of reaction, the more catalytic activity is to be expected and the lower the catalyst loadings. Hence, the question arises: could the appropriate substitution pattern on the biaryl skeleton within a palladacycle pre-catalyst enhance its micellar entry, and thereby reduce the required level of otherwise precious metal, and associated (oftentimes equally precious) ligand, in a cross-coupling reaction?

Substituted biarylamine-based palladacycles are currently unknown,¹⁰ since in organic solvent there is no reason to pursue such derivatization. In water, however, where new rules are operating,^{8b} the prospects for not only providing the convenience of palladacycles that lead to especially reactive catalysts as well as the potential for addressing the endangered nature of platinoids provides more than ample justification for investigating this non-traditional approach. In this report we describe such a newly adorned palladacycle pre-catalyst that, indeed, allows for a general and environmentally responsible process for SM couplings to be run, in most cases, in water under mild conditions and at the 300 ppm (0.03 mol%) level of Pd (Scheme 1).

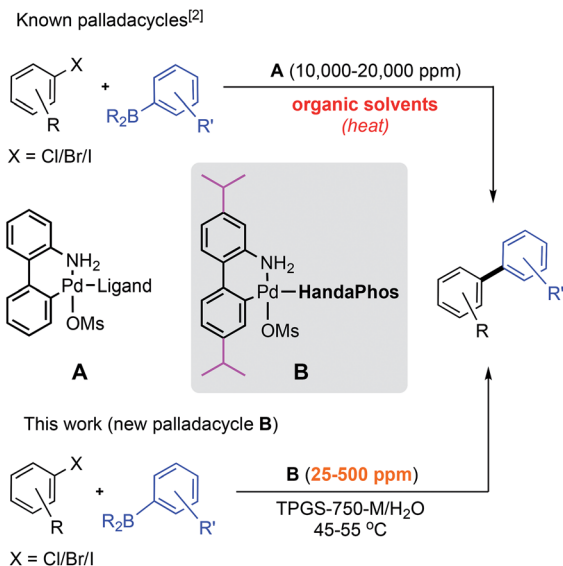
^aDepartment of Chemistry and Biochemistry, University of California, Santa Barbara, California 93106, USA. E-mail: lipshutz@chem.ucsb.edu

^bDepartment of Chemistry, University of Louisville, Louisville, KY 40292, USA

^cNovartis Pharma AG, Basel, Switzerland

^dNovartis Institute for Medical Research, Cambridge, MA 02139, USA

† Electronic supplementary information (ESI) available. See DOI: 10.1039/c9sc02528f

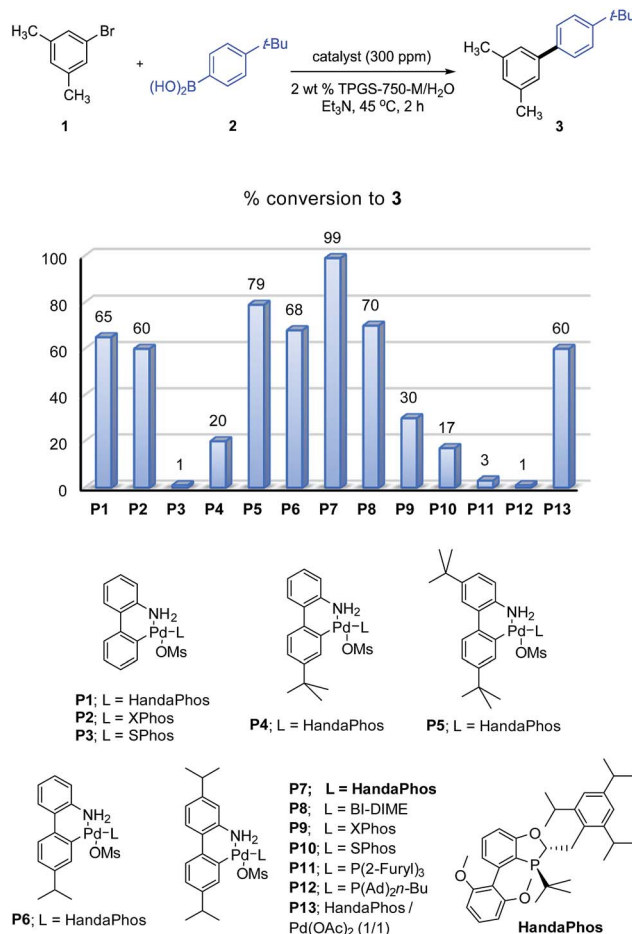


Scheme 1 Typical palladacycle A vs. new palladacycle B.

Results and discussion

Several newly substituted palladacycles were prepared initially containing the ligand *rac*-HandaPhos¹¹ (**P1**, **P4**, **P5**, **P6** and **P7**), the structures of which are shown in Scheme 2. These included either one or two lipophilic *t*-butyl residues (as in **P4** and **P5**, respectively), along with those bearing one (**P6**) or two isopropyl moieties (**P7**), as compared to the parent array (**P1**). Several additional ligands commonly used within palladacycles were also prepared and tested under identical conditions in the model reaction between bromide **1** and boronic acid **2** to arrive at biaryl **3**. Clearly, the most effective catalyst, by far, is the *diisopropyl-substituted* HandaPhos palladacycle, **P7**. Surprisingly, even the di-*t*-butyl analog, **P5**, was not as effective. What may also be found striking at first, but is perfectly in harmony with the “new rules” associated with this chemistry in water,⁸ are the results observed for catalysts **P9** and **P10**, where HandaPhos has been replaced by XPhos and SPhos, respectively. While both are excellent choices for SM couplings in traditional organic solvents (e.g., toluene or dioxane),¹² they are non-functional at 300 ppm in water. Likewise, other well-known ligands that make up catalysts **P11** and **P12** were not competitive under these conditions. Additional optimization studies regarding the choice of surfactant, base, as well as results using organic solvents are described in the associated ESI.†

Several examples of SM couplings were carried out using 300 ppm of catalyst **P7**, as summarized in Table 1. While most cases were amenable to this very low loading of Pd, some could be conducted at levels even down to 25 (**4**) to 100 (**5**) ppm of **P7**. On the other hand, some cases (**10**, **12**, **13**, **18–21**,¹³ and **25**) required up to 500 ppm, possibly reflecting competition by the product for palladium. Both electron-donating and -releasing groups in the educt are tolerated. Heterocycles present in either the halide or boronic acid partner could be used. Partners with



Scheme 2 Comparison of reactivity of palladacycles (300 ppm Pd in water).

protecting groups are easily coupled (**20** and **21**). Polyaromatics such as **27** and **29** are easily fashioned. Alternatives to boronic acids, including Bpin, Molanderate BF₃K salts,¹⁴ and MIDA boronates¹⁵ (see **22** and **23**) appear to be compatible partners as well. Double SM couplings using the corresponding precursor dibromides proceeded smoothly to give the anticipated products (**30**, **31**, and **32**), using what is, formally, *only 150 ppm of this Pd catalyst per bond formed*.

The importance of organic co-solvents has also been addressed, as these additives can play a dramatic role in scaling up reactions under micellar conditions.¹⁶ The co-solvent effect is responsible not only for increasing solubility of highly crystalline educts, but also enlarges micellar size, thereby expanding the interior volume available for reaction. The observed impact of three organic solvents used as 10 vol% in this aqueous surfactant system is shown in Scheme 3 involving coupling partners **33** and **34**. Each solvent (THF, toluene, and acetone) was found to increase the rate of conversion. Analysis of the medium for 2 wt% TPGS-750-M/H₂O vs. that with 10% THF by cryo-TEM (Scheme 3, bottom) revealed the enlargement of the former (*ca.* 50 nm) to *ca.* 200 nm due to the presence of THF, suggesting that larger nanoreactors may be responsible for enhancing the overall rates of these cross-couplings.



Table 1 Substrate scope for couplings with ppm Pd pre-catalyst P7 in water^a

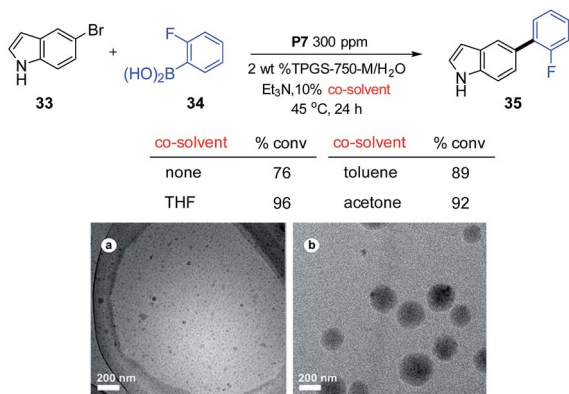
<p>4; with 25 ppm X = Br, B = B(OH)₂, 92%, 24 h</p>	<p>5; with 100 ppm X = I, B = B(OH)₂, 92%, 4 h X = Br, B = B(OH)₂, 80%, 20 h</p>	<p>6; with 300 ppm X = Br, B = B(OH)₂, 91%, 12 h</p>	<p>7; with 300 ppm X = I, B = B(OH)₂, 95%, 24 h</p>	<p>8; with 300 ppm X = Br, B = B(OH)₂, 96%, 24 h</p>
<p>9; with 300 ppm X = Br, B = B(OH)₂, 89%, 24 h</p>	<p>10; with 500 ppm X = Br, B = B(OH)₂, 89%, 24 h</p>	<p>11; with 300 ppm X = I, B = B(OH)₂, 90%, 24 h</p>	<p>12; with 500 ppm X = Br, B = B(OH)₂, 82%, 27 h</p>	<p>13; with 500 ppm X = Br, B = B(OH)₂, 88%, 24 h</p>
<p>14; with 300 ppm X = Br, B = B(OH)₂, 94%, 12 h</p>	<p>15; with 300 ppm X = Br, B = B(OH)₂, 84%, 12 h</p>	<p>16; with 300 ppm X = Br, B = B(OH)₂, 90%, 8 h</p>	<p>17; with 300 ppm X = Br, B = B(OH)₂, 85%, 15 h</p>	<p>18; with 500 ppm X = Br, B = B(OH)₂, 92%, 24 h</p>
<p>19; with 500 ppm X = Br, B = B(OH)₂, 60%, 24 h</p>	<p>20; with 500 ppm X = I, B = B(OH)₂, 89%, 24 h</p>	<p>21; with 500 ppm X = Br, B = B(OH)₂, 75%, 24 h</p>	<p>22; with 300 ppm X = Br, B = B(OH)₂, 96%, 24 h X = Br, B = Bpin, 92%, 24 h X = Br, B = BF₃K, 82%, 24 h</p>	<p>23; with 300 ppm X = Br, B = B(OH)₂, 96%, 6 h X = Br, B = Bpin, 98%, 12 h X = Br, B = BMIDA, 91%, 24 h X = Br, B = BF₃K, 90%, 24 h</p>
<p>24; with 300 ppm X = Br, B = B(OH)₂, 98%, 24 h</p>	<p>25; with 500 ppm X = I, B = B(OH)₂, 98%, 24 h</p>	<p>26; with 300 ppm X = Br, B = B(OH)₂, 89%, 24 h</p>	<p>27; with 300 ppm X = Br, B = B(OH)₂, 82%, 24 h</p>	<p>28; with 300 ppm X = Br, B = B(OH)₂, 96%, 21 h</p>
<p>29; with 300 ppm X = Br, B = B(OH)₂, 85%, 24 h</p>	<p>30; with 300 ppm X = Br, B = B(OH)₂, 94%, 24 h</p>	<p>31; with 300 ppm X = Br, B = B(OH)₂, 92%, 24 h</p>	<p>32; with 300 ppm X = Br, B = B(OH)₂, 99%, 24 h</p>	

^a Reaction conditions unless otherwise noted: 0.5 mmol aryl halide, 0.6 mmol aryl boronic acid, 1.0 mmol Et₃N, 25–500 ppm P7 stirred at 45 °C in TPGS-750-M/H₂O (0.5 M); isolated yields are shown. Double SM couplings were carried out using 1.2 mmol of aryl boronic acid, 2.0 mmol of Et₃N, and 10% THF as a co-solvent.

The potential use of less reactive aryl chlorides was briefly examined at the 500 ppm level of Pd catalysis (0.05 mol%). As the examples in Table 2 show, a variety of aromatic and heteroaromatic chlorides and boronic acids could be employed,

arriving at the targeted biaryls in good isolated yields. Included in this study is the late stage derivatization of aryl chloride fenofibrate¹⁷ to analog 38.

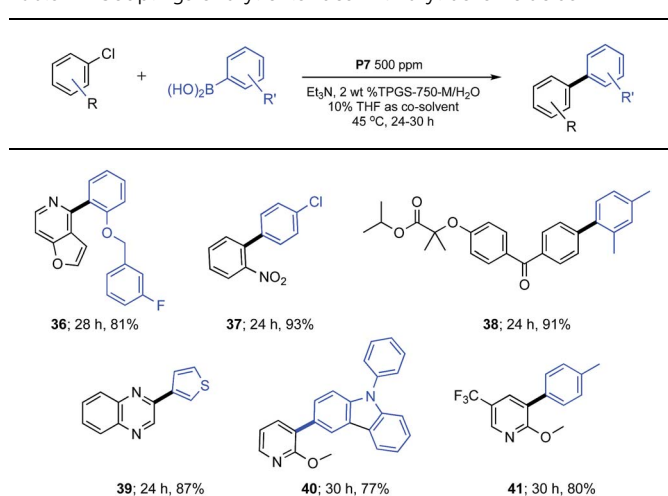




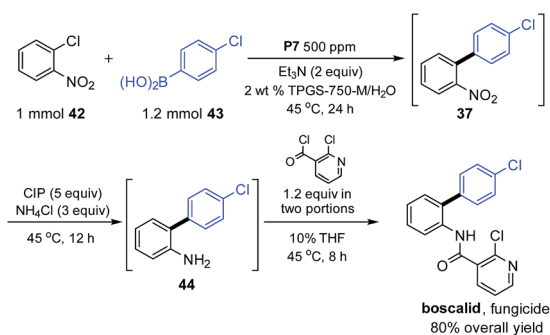
Scheme 3 Effect of co-solvent. Image (a) cryo-TEM of TPGS-750-M/H₂O; image (b) cryo-TEM of 10% THF in TPGS-750-M/H₂O.

As an illustration of the opportunities to carry out multi-step processes given the commonality of reaction conditions (*i.e.*, in aqueous nanoreactors at rt–45 °C), the commonly used

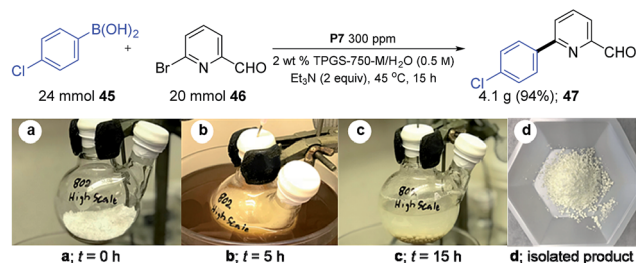
Table 2 Couplings of aryl chlorides with aryl boronic acids^a



^a Reaction conditions unless otherwise mentioned: 0.5 mmol aryl chloride, 0.6 mmol arylboronic acid, 1.0 mmol Et₃N, 500 ppm **P7** stirred at 45 °C in TPGS-750-M/H₂O (0.5 M) with 10% THF; isolated yields are shown.



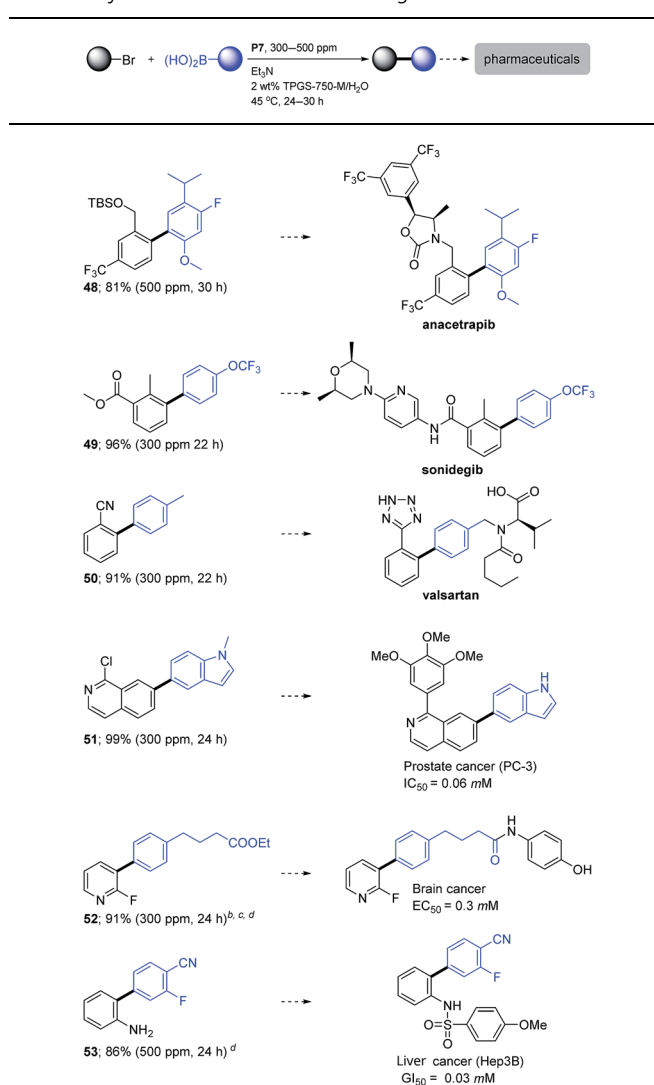
Scheme 4 Boscalid: 3-step, 1-pot synthesis in water.



Scheme 5 Multigram scale reaction using ppm Pd in water.

fungicide boscalid¹⁸ could be prepared in three steps using a 1-pot protocol (Scheme 4). Initially, biaryl **37** was constructed that, without isolation, was subjected to nitro group reduction using our previously described carbonyl iron powder.¹⁹ The

Table 3 Synthesis of API-related building blocks^a



^a Reaction conditions unless otherwise noted: 0.5 mmol aryl halide, 0.6 mmol arylboronic acid, 1.0 mmol Et₃N, cat **P7** stirred at 45 °C in TPGS-750-M/H₂O (0.5 M); isolated yields are shown. ^b The corresponding iodide was used as coupling partner. ^c The corresponding Bpin was used as the aryl boron compound. ^d Reaction temperature was 55 °C.



resulting aniline was then treated directly with 2-chloronicotynyl chloride. The final product, boscalid, was ultimately isolated in 80% overall yield. The seemingly incompatible addition of this acid chloride to this aqueous medium, while counter-intuitive at first, is yet another example of the “new rules” associated with chemistry in water. Reagents and/or reaction partners that are sensitive albeit insoluble in water simply do not hydrolyze or quench; rather, upon stirring they enter the hydrophobic inner micellar core where they react, usually as desired.

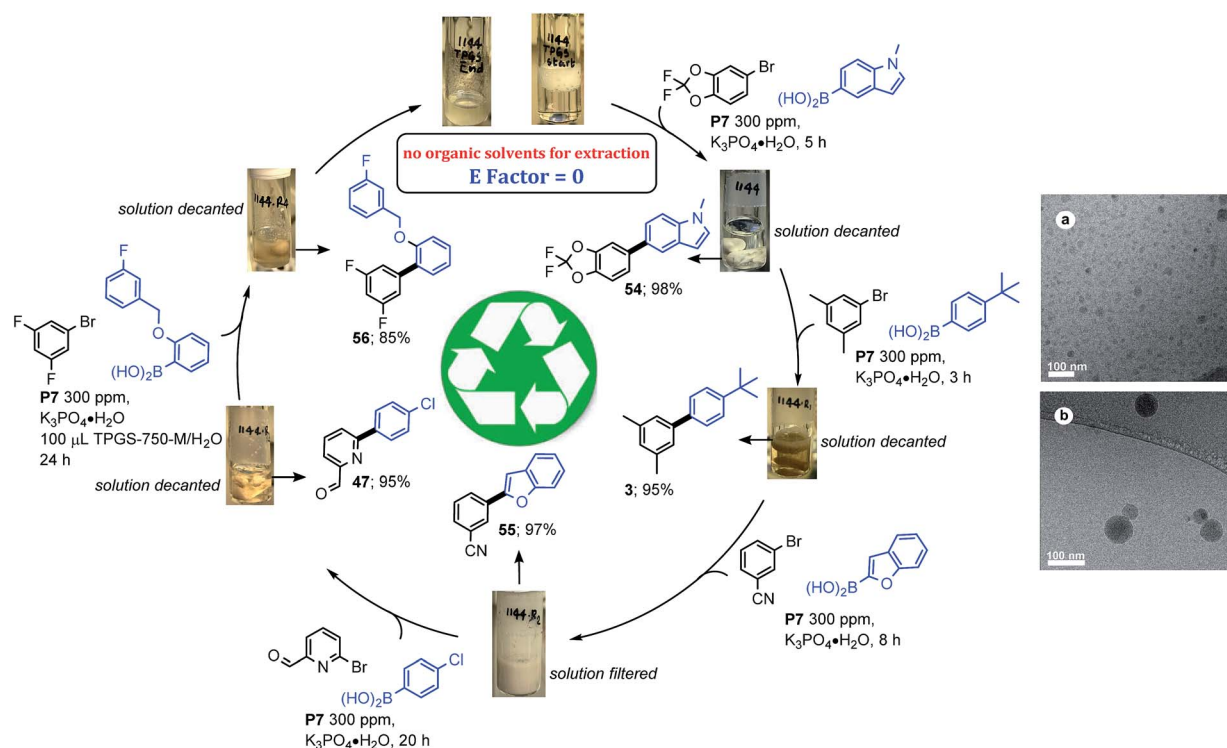
A multi-gram scale reaction between educts **45** and **46** was run in water using Pd catalyst **P7** to document the prospects for scaling up these SM couplings (Scheme 5). Use of 24 mmol of **45** and 20 mmol of **46** in the presence of 40 mmol of Et₃N were exposed to 300 ppm of **P7**. Stirring this heterogeneous mixture for 15 hours yielded 94% of the desired coupled product **47**. In this case, the reaction was quite efficient in the absence of a co-solvent. That is, stirring was not an issue throughout the 15 h reaction period (see images (a–c)) The product **47** could be isolated as a white solid (image (d)), purified by simple filtration through silica gel.

Biologically active targets, such as precursors to (a) Merck's anacetrapib (**48**),^{20a} (b) sonidegib (**49**),^{20b} and (c) Novartis' valsartan (**50**),^{20c} could also be prepared efficiently under mild conditions using 300–500 ppm of catalyst **P7** (Table 3). Additional representative examples of biaryls (**51**–**53**) en route to anticancer drugs are also to be found in this table.²¹

Facile recycling of the aqueous TPGS-750-M solution is an important aspect to this environmentally responsible

technology, leading to very low levels of aqueous waste streams.²² By contrast, recycling of organic media typically requires fractional distillation to separate reaction and workup solvents for re-use. Scheme 6 illustrates just how effective a 2 wt% aqueous solution of TPGS-750-M can be, thereby dramatically minimizing aqueous waste streams. Recycling could be carried out using a different SM reaction with each of four recycles, following an initial coupling. Products were either separated *via* filtration or by decantation of the aqueous mixture; hence, individual extractions were not required prior to purification. cryo-TEM analysis of the aqueous mixture after five uses revealed that while the nanomicelles were of the same shape, they were unexpectedly larger (*ca.* 75 nm; Scheme 6).

From the perspective of the pharmaceutical industry, it is commonly assumed that under traditional SM cross-coupling conditions the amount of residual Pd in the product is going to be outside of the acceptable 10 ppm limit imposed by the US FDA.²³ Hence, additional processing is usually anticipated, potentially adding time and expense to the eventual API. But use of such low levels of Pd catalysts rarely exceed this limit. With catalyst **P7** at the 300 and even 500 ppm loadings it was not surprising that, for the three cases randomly selected and examined by ICP-MS, no more than 6 ppm Pd was found for biaryls **12**, **24**, and **51** (Fig. 1). On the other hand, following traditional literature conditions used to make each of these biaryl products (*e.g.*, 2 mol%, or 20 000 ppm Pd), residual levels of Pd were found to be orders of magnitude greater.



Scheme 6 Image (a) cryo-TEM of fresh TPGS-750-M/H₂O; image (b) TPGS-750-M/H₂O after four cycles.



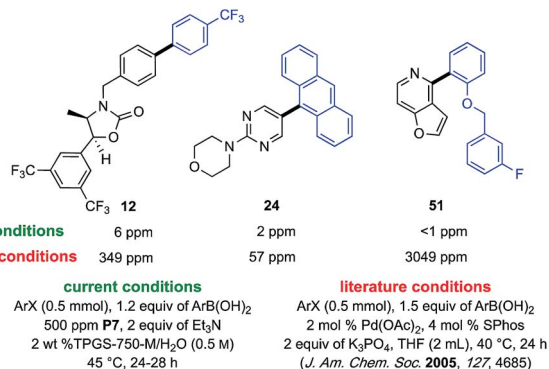


Fig. 1 Residual Pd in products after couplings.

Conclusions

In summary, a new palladacycle has been uncovered that mediates Suzuki–Miyaura couplings in water at the 300 ppm level of precious metal. Key to this methodology is placement of an isopropyl group on each aromatic ring of the biaryl skeleton making up the palladacycle, a substitution pattern that could not have been predicted given the lack of precedent for such pre-catalysts. Likewise, screening of several monophosphines, including some of the most commonly used for such Pd-catalyzed cross-couplings, ultimately identifying HandaPhos as the preferred ligand (*i.e.*, P7), requires further study to rationalize the effectiveness of this novel ligand/palladacycle precursor combination. Applications to various targets within the pharma, agro, and materials domains have been demonstrated, along with the potential for large scale use, recycling of the aqueous reaction medium, and tandem 1-pot processes. The nature of the nanomicelles involved has been determined *via* cryo-TEM measurements, both initially as well as after use in the presence of added co-solvent. Residual levels of Pd in the products formed have been shown to be well within governmental limits for safety, further enhancing the attractiveness of this technology. The prognosis for use of the same pre-catalyst for other types of Pd-catalyzed cross-couplings (*e.g.*, Stille, Sonogashira, and Heck couplings) looks encouraging, with the results from these ongoing studies to be reported in due course.

Conflicts of interest

There are no conflicts to declare.

Note added after first publication

This article replaces the version published on 13th August 2019, which contained errors in Scheme 3 and Table 2.

Acknowledgements

Financial support provided by Novartis and PHT International (postdoctoral fellowship to B. S. T.) is warmly acknowledged with thanks.

Notes and references

- 1 A. Bruneau, M. Roche, M. Alami and S. Messaoudi, *ACS Catal.*, 2015, **5**, 1386.
- 2 (a) T. Kinzel, Y. Zhang and S. L. Buchwald, *J. Am. Chem. Soc.*, 2010, **132**, 1407; (b) N. C. Bruno, M. T. Tudge and S. L. Buchwald, *Chem. Sci.*, 2013, **4**, 916; (c) N. C. Bruno and S. L. Buchwald, *Org. Lett.*, 2013, **15**, 2876; (d) N. C. Bruno, N. Niljianskul and S. L. Buchwald, *J. Org. Chem.*, 2014, **79**, 4161.
- 3 J. T. Bien, G. C. Lane and M. R. Oberholzer, *Top. Organomet. Chem.*, 2004, **6**, 263.
- 4 (a) C. C. C. Johansson Seechurn, A. Deangelis and T. J. Colacot, Introduction to New Trends in Cross-Coupling, in *New Trends in Cross-Coupling: Theory and Applications*, RSC Catalysis Series No. 21, ed. T. J. Colacot, The Royal Society of Chemistry, London, 2015, pp. 1–19; (b) P. G. Gildner and T. J. Colacot, *Organometallics*, 2015, **34**, 5497; (c) J. Magano and J. R. Dunetz, *Chem. Rev.*, 2011, **111**, 2177; (d) A. Biffis, P. Centomo, A. Del Zotto and M. Zecca, *Chem. Rev.*, 2018, **118**, 2249.
- 5 (a) D. Roy and Y. Uozumi, *Adv. Synth. Catal.*, 2018, **360**, 602; (b) Endangered Elements, <https://www.acs.org/content/acs/en/greenchemistry/research-innovation/endangered-elements.html>, accessed 31 January 2019.
- 6 (a) B. H. Lipshutz, *J. Org. Chem.*, 2017, **82**, 2806; (b) T. Kitanosono, K. Masuda, P. Xu and S. Kobayashi, *Chem. Rev.*, 2018, **118**, 679.
- 7 (a) D. K. Romney, F. H. Arnold, B. H. Lipshutz and C. J. Li, *J. Org. Chem.*, 2018, **83**, 7319; (b) F. H. Arnold, *Angew. Chem., Int. Ed.*, 2018, **57**, 4143.
- 8 (a) B. H. Lipshutz, F. Gallou and S. Handa, *ACS Sustainable Chem. Eng.*, 2016, **4**, 5838; (b) B. H. Lipshutz, *Curr. Opin. Green Sustain. Chem.*, 2018, **11**, 1; (c) B. H. Lipshutz, *Johnson Matthey Technol. Rev.*, 2017, **61**, 196.
- 9 (a) M. Andersson, F. Gallou, P. Klumphu, B. S. Takale and B. H. Lipshutz, *Chem.–Eur. J.*, 2018, **24**, 6778; (b) M. T. De Martino, K. E. A. Abdelmohsen Loai, F. P. J. T. Rutjes and J. C. M. van Hest, *Beilstein J. Org. Chem.*, 2018, **14**, 716.
- 10 D. A. Albisson, R. B. Bedford, P. N. Scully and S. E. Lawrence, *Chem. Commun.*, 1998, 2095.
- 11 S. Handa, M. P. Andersson, F. Gallou, J. Reilly and B. H. Lipshutz, *Angew. Chem., Int. Ed.*, 2016, **55**, 4914.
- 12 (a) T. E. Barder, S. D. Walker, J. R. Martinelli and S. L. Buchwald, *J. Am. Chem. Soc.*, 2005, **127**, 4685; (b) M. A. Düfert, K. L. Billingsley and S. L. Buchwald, *J. Am. Chem. Soc.*, 2013, **135**, 12877.
- 13 The lower yield in the reaction leading to product 19, isolated in 60% yield, was due to incomplete conversion. This may be due to some complexation of the Pd by the bi-heteroaromatic being formed. Alternatively, an increase in temperature to 55 °C might help further drive it towards completion.
- 14 (a) G. A. Molander, *J. Org. Chem.*, 2015, **80**, 7837; (b) S. Darses and J.-P. Genet, *Chem. Rev.*, 2008, **108**, 288.
- 15 N. A. Isley, Y. Wang, F. Gallou, S. Handa, D. H. Aue and B. H. Lipshutz, *ACS Catal.*, 2017, **7**, 8331.



- 16 C. M. Gabriel, N. R. Lee, F. Bigorne, P. Klumphu, M. Parmentier, F. Gallou and B. H. Lipshutz, *Org. Lett.*, 2017, **19**, 194.
- 17 J. Najib, *Clin. Ther.*, 2002, **24**, 2022.
- 18 E.-H. Pommer, N. Goetz, B. Zeeh and B. Giergensohn, *U.S. Pat.* 4001416, January 4, 1977.
- 19 N. R. Lee, A. A. Bikovtseva, M. Cortes-Clerget, F. Gallou and B. H. Lipshutz, *Org. Lett.*, 2017, **19**, 6518.
- 20 The biaryl portions of these drugs are prepared using high catalyst loadings and harsh reaction conditions in organic solvents, see: (a) C. Chung, G. Humphrey, P. E. Maligres and T. J. Wright, WO 2013/066768, May 10, 2013; (b) J. Bradner, M. Erba and J. Qi, WO 2016/196879 A1, December 8, 2016; (c) I. Rukhman, B.-Z. Dolitzky and E. Flyaks, *U.S. Pat.* 7199144 B2, April 3, 2007.
- 21 (a) D. J. Hwang, J. Wang, W. Li and D. D. Miller, *ACS Med. Chem. Lett.*, 2015, **6**, 993; (b) M.-J. Lai, H.-Y. Lee, L.-H. Chuang, A.-C. Tsai, M.-C. Chen, H. L. Huang, Y.-W. Wu, C.-M. Teng, S. L. Pan, Y.-M. Liu, S. Mehndiratta and J.-P. Liou, *J. Med. Chem.*, 2015, **58**, 6549; (c) A. Döbber, A. F. Phoa, R. H. Abbassi, B. W. Stringer, B. W. Day, T. G. Johns, M. Abadleh, C. Peifer and L. Munoz, *ACS Med. Chem. Lett.*, 2017, **8**, 395.
- 22 B. H. Lipshutz, N. A. Isley, J. C. Fennewalda and E. D. Slack, *Angew. Chem., Int. Ed.*, 2013, **52**, 10911.
- 23 A. M. Thayer, *Chem. Eng. News*, 2013, **91**, 10.

