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Brønsted acid and Pd-PHOX dual-catalysed enantioselective addition of activated C-pronucleophiles to internal dienes†

Sangjune Park, Nathan J. Adamson and Steven J. Malcolmson 10 *

We describe the development of Pd-PHOX-catalysed enantioselective couplings of internal dienes with malononitrile and other activated C-pronucleophiles. Reactions are dramatically accelerated by the addition of Et₃N·HBAr^F₄ as a Brønsted acid co-catalyst, enabling a suite of products bearing a variety of alkyl substituents at the stereogenic carbon to be prepared. A series of mechanism-oriented experiments reveal key aspects of the catalytic cycle and the importance of the non-coordinating BArF4 counterion in not only promoting reactions of internal dienes but also additions of previously unreactive nucleophiles towards terminal dienes.

Introduction

The addition of carbon-based electrophiles to enolates is a hallmark strategy in organic synthesis for the enantioselective formation of C-C bonds. Mannich reactions, aldol and Michael additions¹ are among the most common approaches for the construction of small molecules. Enolate alkylations are also widespread but often focused towards controlling stereochemistry at a carbonyl's α-position.² An important class of enolate alkylation is allylic substitution,3 which enables the assembly of molecules comprised of carbonyls with β-stereogenic centres⁴ while often concomitantly setting the stereochemistry at the α position.5,6 Additionally, the products contain pendant unsaturation that might be leveraged for downstream synthesis applications. These enolate alkylations (allylic and non-allylic electrophiles) utilize preactivated electrophiles such as alkyl halides or allylic acetates.

The use of olefins as alkylating agents⁷ offers a number of distinct advantages, not the least of which is the inherent stability of an alkene compared to the lability of a leaving group, facilitating carrying the olefin electrophile through several transformations in a multistep sequence. A handful of researchers have explored this approach in enantioselective catalysis. For example, enol/enolate addition to allenes8 has afforded products with a variety of substituents at the β-stereogenic centre (Scheme 1), in some cases with additional control at the carbonyl's α-position.8a,c Employing allenes as electrophiles has exclusively9 resulted in products bearing a terminal alkene. In a similar process, Rh-bis(phosphine)-

Department of Chemistry, Duke University, French Family Science Center, 124 Science Drive, Durham, NC, 27708, USA. E-mail: steven.malcolmson@duke.edu

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catalysed enol additions to internal alkynes delivers analogous products.10 Terminal dienes have also emerged as alkyl electrophiles capable of affording products comprised of internal alkenes.11 Recently, our group described the Pd-PHOXcatalysed addition of activated pro-enolates to these dienes, 12-14 and Xiao, Zhou and co-workers demonstrated diene reactions in Ni-bis(phosphine)-catalysed additions of enolates formed from simple ketones (Scheme 1).15 However, the products obtained from reactions of terminal dienes bear only a methyl group at the β-stereogenic centre.

In comparison, hydrofunctionalisation of internal dienes delivers molecules with both disubstituted olefins and myriad groups at the stereogenic β-position. Still, enantioselective additions to acyclic 1,4-disubstituted dienes are challenging: only indole16 and amine17 couplings have been reported. In this

■ Addition of Enol/Enolate Nucleophiles to Allenes and Terminal Dienes

or
$$R^{1}$$
 Me R^{2} [Pd] or [Rh] R^{2} R^{3} R^{1} = alkyl, aryl alkoxy terminal olefins

$$R^{1}$$
 R^{2} R^{3} R^{1} = alkyl, aryl R^{2} R^{3} R^{2} R^{3} R^{2} R^{3} R^{2} R^{3} R^{4} = alkyl R^{2} R^{3} R^{4} R^{3} R^{4} R^{4

■ This Work: Addition of Enolates to Internal Dienes

Scheme 1 Catalytic enantioselective additions of enols/enolates to olefins.

work, we illustrate that malononitrile and related pronucleophiles undergo highly regio- and enantioselective additions to internal dienes (Scheme 1). High reaction efficiency was achievable by the combination of an electron-deficient Pd-PHOX catalyst and ${\rm Et_3N\ HBAr^F_4}$ as Brønsted acid co-catalyst.

Results and discussion

Method development and product functionalisation

We initiated our investigation by examining the addition of malononitrile to diene 1a with Pd-PHOX catalyst Pd-1, the optimal catalyst for internal diene hydroamination, which bears the non-coordinating BAr $_4$ counterion (Table 1). We hypothesized that the small size of malononitrile, its low p K_a , and the relatively high nucleophilicity of its anion would make it exceptionally reactive towards alkylation. Indeed, in the addition of malononitrile to 1-phenylbutadiene—a terminal diene—we found it difficult to suppress double alkylation. In the absence of any additive, there is no observable reaction with diene 1a (entry 1); however, with 300 mol% Et₃N, the desired 2a is generated in >99: 1 er as the sole product of the reaction but in only 24% yield (entry 2).

We discovered that the addition of a substoichiometric quantity of $\operatorname{Et_3N} \cdot \operatorname{HBAr^F}_4$ as a Brønsted acid greatly improves the product yield (entry 3). Notably, the addition of Brønsted acid has been observed as a crucial component in several other transformations involving nucleophilic additions to olefins, ²⁰

Table 1 Reaction optimization for diene-malononitrile coupling^a

Entry	Et ₃ N•HBAr ^F ₄ (mol %); Et ₃ N (mol %)	Yield 2a (%) ^b ; Yield 3a (%) ^b	Er ^c
1 ^d	0; 0	<2; <2	
2 ^d	0; 300	24; <2	>99:1
3^d	5; 300	67; <2	>99:1
4	7; 300	93; <2	>99:1
5	100; 0	<2; <2	_
6	7; 7	52; 4	>99:1
7	7; 50	62; 14	98.5:1.5
8	7; 100	62; 17	98.5:1.5
9	7; 200	93; 3	>99:1

^a Reaction under N₂ with 0.2 mmol malononitrile in 0.2 mL Et₂O. **1a** used as a 1.8:1 *E,Z*: *E,E* mixture of stereoisomers. See the ESI for experimental details. ^b Isolated yield of purified product. ^c Determined by HPLC analysis of purified **2**. ^d Reaction with 5 mol% **Pd-1**.

including reactions of enols. $^{8a-d,10a}$ Further raising the catalyst loading of both **Pd-1** and $Et_3N \cdot HBAr^F_4$ to 7 mol%, which proved to be the optimal conditions, allows **2a** to be isolated in 93% yield and >99: 1 er (entry 4). In the absence of Et_3N , even with stoichiometric $Et_3N \cdot HBAr^F_4$ (entry 5), alkylation product **2a** is not formed. Lesser quantities of the base, from 7 mol% up to 100 mol%, drastically reduce the yield of **2a** while also enabling its conversion to bis-alkylation product **3a** (entries 6–8). With 200 mol% Et_3N , product **2a** is again isolated in 93% yield but is still accompanied by a small quantity of byproduct **3a** (entry 9).

Under the optimal conditions, several 1,4-disubstituted dienes couple with malononitrile (Table 2). Electronic perturbations of the diene's aryl group are tolerated, affording 2b-d in 40-66% yield and >95:5 er. Moving the aryl group substituent to the *meta* or *ortho* positions generates tolyl products 2e and 2f in higher yields (87-89%) and with excellent enantioselectivity (97.5:2.5 and 96:4 er, respectively). Changing the substituent at the 4-position of the diene enables products with a variety of alkyl groups at the stereogenic carbon to be obtained (2g-k); the reaction is tolerant of both ethereal (2i-j) and carbonyl functionality (2h and 2k). All

Table 2 Internal diene scope for malononitrile additions^a

NC CN + R1 1b-I (2.0 equiv)	7 mol % Pd-1 7 mol % Et ₃ N•HBAr ^F ₄ 300 mol % Et ₃ N	R ² CN CN 2b-I
Me	2b G = OMe: 2c G = Me: 2d G = CI:	66% yield, 99:1 er 54% yield, 98:2 er 40% yield, 96:4 er
Me Me	CN CN	Me Me CN CN 2f
89% yield, 97.5:2.	5 er	87% yield, 96:4 er
n-Pr Ph CN	EtO ₂ C (2)3 CN	Ph CN
2 g	2h	2i
78% yield, 95.5:4.5 er	62% yield, 95.5:4.5 er	76% yield, >99:1 er
TBSO (E)4 CN	PhthN (E)4 CN	Cy
2j ^b	2k ^b	21 °
81% yield, 96:4 er	44% yield, 90.5:9.5 er	72% yield, 94:6 er

^a Reaction under N_2 with 0.2 mmol malononitrile in 0.2 mL Et₂O. Dienes were used as a mixture of stereoisomers (1.1:1 to 4.2:1 E,Z:E,E). See the ESI for experimental details. ^b Isolated yield of purified product. ^c Determined by HPLC analysis of purified 2.

aryl/alkyl-substituted diene substrates deliver malononitrile products 2a–k as a single regioisomer. Additionally, 1,4-dialkyl-containing dienes also afford malononitrile addition products as a single regioisomer as long as the two alkyl groups are sterically differentiated. Vinylcyclohexane 2l is obtained in 72% yield and 94: 6 er. In all cases, enantioselectivity is constant throughout the course of the reaction, indicating that the process is irreversible.

Substituted malononitrile pronucleophiles undergo Pd-1catalysed addition to diene 1a within 1 h with complete regiocontrol (Table 3). The methyl-, benzyl-, and cinnamylsubstituted pronucleophiles generate products 5a-c in 78-99% yield and >95: 5 er. Acetylacetone, both less acidic21 and its anion less nucleophilic19 than malononitrile, leads to diketone 5d in 51% yield and 92.5: 7.5 er after 20 h, still as a single regioisomer. Ethyl cyanoacetate addition to diene 1a, however, results in a 60: 40 mixture of product regioisomers 5e and 6e (56% yield). Isomer 6e arises from addition of the nucleophile to the phenyl-substituted carbon of the π -allyl-**Pd-1** intermediate derived from diene 1a whereas 5e results from nucleophile addition to the ethyl-substituted carbon of the same π -allyl complex. Dimethyl malonate, delivers a similar result (57: 43 5f: 6f in 49% yield). Despite their lower pK_a 's^{21,22} but perhaps partially due to their lower nucleophilicities, 19 cyclic pronucleophiles such as Meldrum's acid and dimedone

 Table 3
 Pronucleophile scope for internal diene addition reactions a,b,c

Me	Me Ph	Me
Ph	Ph	Ph
ĊN	ĊN	ĊN
5a	5b	5c
99% yield, 96:4 er	90% yield, 95.5:4.5 er	78% yield, 95.5:4.5 er
>98:2 5a:6a	>98:2 5b : 6b	>98:2 5c:6c
20 h reactions:		
Me_	Me	Me_

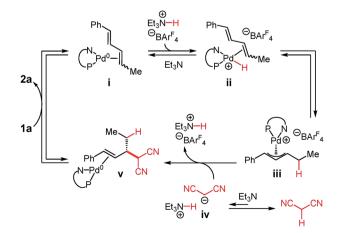
Scheme 2 Synthesis of α -alkenyl carbonyls from the malononitrile group.

fail to undergo addition to diene **1a** under the conditions shown in Table 3.

The malononitrile adducts of internal dienes are useful building blocks for further synthesis. For example, the malononitrile unit within 2a (Scheme 2) may undergo oxidative methanolysis²³ *via* the cyanoketone to deliver α -alkenylester 7a in 71% yield with minimal erosion of enantiopurity. Similarly, oxidation in the presence of pyrrolidine leads to α -alkenylamide 7b in 78% yield $(94.5:5.5 \text{ er}).^{24}$

Mechanism studies

A mechanism for Pd–PHOX-catalysed addition of malononitrile to internal dienes is illustrated in Scheme 2. ^{17,25} After initiation of **Pd-1** by nucleophilic attack of the malononitrile anion upon its π -allyl ligand, coordination of diene **1a** to the Pd(0) species leads to intermediate **i**. Oxidative protonation at Pd by $\text{Et}_3\text{N}\cdot\text{HBAr}^F_4$ leads to hydrido complex **ii**, which undergoes migratory insertion, ultimately forming π -allyl–Pd species **iii**. The equilibration is accelerated and shifted towards complex **iii** by the addition of exogenous $\text{Et}_3\text{N}\cdot\text{HBAr}^F_4$ as the co-catalyst. At the same time, deprotonation of malononitrile by Et_3N leads to the malononitrile anion **iv**, an equilibrium that favours the neutral molecule. The anion may then attack π -allyl–Pd **iii**



Scheme 3 Proposed mechanism of malononitrile addition to internal dienes.

^a Reaction under N₂ with 0.2 mmol pronucleophile in 0.2 mL Et₂O. **1a** used as a 1.8:1 *E,Z:E,E* mixture of stereoisomers. ^b Isolated yield of purified product. ^c er determined by HPLC analysis of purified **5**. ^d Isolated yield of the isomeric mixture; er not determined.

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through an outer sphere pathway. The C–C bond-forming event to form \mathbf{v} appears to be irreversible and simultaneously regenerates $\mathrm{Et_3N}\cdot\mathrm{HBAr^F}_4$. Exchange of the olefin within \mathbf{v} for diene $\mathbf{1a}$ releases product $\mathbf{2a}$ and regenerates complex \mathbf{i} .

In the context of this mechanistic proposal, we sought to address several facets of the Pd–PHOX-catalysed diene–enolate couplings. These investigations might allow us to glean further information about individual steps of this mechanism and more broadly understand diene couplings to nucleophiles promoted by Pd–PHOX catalysts.

We first set out to investigate the impact of diene stereochemistry upon the reaction. The transformations presented in Tables 1–3 utilize an E,Z/E,E-mixture of diene diastereomers favouring the Z-stereoisomer at the alkyl-substituted alkene. As shown in Scheme 4A, E,Z-1a is significantly more reactive than the E,E-stereoisomer, delivering malononitrile 2a in 90% yield and 99:1 er. Comparatively, E,E-1a (Scheme 4B) leads to only 35% yield of 2a (99:1 er). In both experiments, one equivalent of diene was employed and any left unreacted was completely recovered. From the reaction of E,Z-1a, the recovered diene had almost completely isomerised to the E,E-diastereomer (Scheme 4A). In contrast, the recovered diene from reaction of E,E-1a was still entirely that stereochemistry (Scheme 4B).

These data also help explain why under the optimal conditions, product 2a does not undergo a second alkylation event despite the presence of excess diene 1a (see Table 1). Of the two equivalents of diene 1a added to the reaction, only ca. 65% (1.3 equiv.) is the more reactive E,Z-stereoisomer. The data also suggest the catalyst is capable of reacting with the diene and isomerising the E,Z- to the E,E-diastereomer without product formation. Therefore, during the course of the reaction, as mono-alkylated product builds up, there is concomitant isomerisation of the diene occurring (likely along with catalyst decomposition), thereby slowing formation of bis-alkylated 3a. With fewer Et₃N equivalents, 3a is observed to some degree (Table 1). This might be attributable to the equilibrium (see Scheme 3) between malononitrile and its deprotonated form iv being shifted towards the neutral molecule when less base is available, slowing the rate of formation of 2a. Mono-alkylated 2a that is formed may then engage in subsequent reaction with

A)

NC CN

Ph

(E,Z)-1a

(1 equiv)

NC CN

Ph

(E,E)-1a

(1 equiv)

NC CN

Ph

(E,E)-1a

(1 equiv)

A

(1 equiv)

NC CN

Ph

(E,E)-1a

(1 equiv)

A

(1 equiv)

A

(2a

(1 equiv)

A

(2b

(1 equiv)

A

(2c)

(2c)

(3c)

(3c)

(4 equiv)

A

(5c)

(5c)

(7c)

(8c)

(8c)

(9c)

(1 equiv)

(1 equiv)

(2c)

(3c)

(3c)

(3c)

(4 equiv)

(5c)

(6c)

(7c)

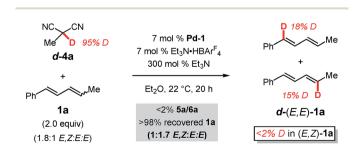
Scheme 4 Comparison of reactivity of diene diastereomers; conditions: Pd-1 (7 mol%), ${\rm Et_3N\cdot HBAr^F}_4$ (7 mol%), ${\rm Et_3N}$ (300 mol%), ${\rm Et_2O}$, 22 °C, 1 h.

remaining E,Z-1a prior to its consumption in product formation or its stereoisomerisation. Additionally, although substituted malononitriles, such as those shown in Table 3, are competent reaction partners, compound 2a is significantly more hindered (β -branching) which slows a second alkylation event.

We next further sought to assess the reversibility of the individual elementary steps connecting diene 1a to π -allyl-Pd iii (Scheme 3). To do so, we examined the coupling of a deuterated pronucleophile, (1) to discover the label's position in the anticipated addition product with respect to the site of C-C bond formation and (2) to determine whether deuterium could be incorporated into the diene without product forming. We chose deuterated 2-methylmalononitrile (d-4a) as the pronucleophile (Scheme 5). Incredibly, although the protic version of 4a delivers 5a in 99% yield after 1 h, no coupled product is formed after 20 h with d-4a. This is likely due to the combination of a significant kinetic isotope effect and catalyst decomposition. Diene 1a could be completely recovered from the mixture: a portion of the diene had isomerised from the E,Zisomer to (E,E)-1a (from 1.8 : 1 to 1 : 1.7 E,Z:E,E). A significant percentage of the deuterium label (ca. 43%) was transferred from d-4a to the diene with the label confined solely to the recovered E,E-1a and roughly evenly distributed between C1 and C4.

Just as in hydroamination of 1a with Pd-1 (reaction of Ndeuterated indoline),17 the kinetic isotope effect observed here is likely at least partially due to an equilibrium isotope effect that exchanges deuterium from d-4a to diene 1a as mediated by Et₃N and the Pd catalyst. The lack of label in recovered (E,Z)-1a is owed to its conversion exclusively to d-(E,E)-1a when it reacts with Pd-D: stereochemical isomerisation of the alkene occurs by Pd-D migratory insertion to (E,Z)-1a, bond rotation, and preferential β-hydride elimination (compared to β-deuteride elimination). As mentioned with regard to the reactivity difference of the two diene stereoisomers (vide supra), this process does not occur to incorporate deuterium into (E,Z)-1a via reaction of (E,E)-1a. Finally, the distribution of the label in d-(E,E)-1a indicates that palladium-hydride/deuteride insertion may occur at either olefin of the diene, yet only insertion at the alkylsubstituted olefin leads to product in the reactions presented in Tables 1–3. The results further confirm that E,Z-diene coordination to Pd is reversible.

It is noteworthy that whereas malononitrile and acetylacetone nucleophiles afford a single detectable product



Scheme 5 Deuterium labelling studies with deuterated methylmalononitrile

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regioisomer (Tables 1-3), ethyl cyanoacetate and dimethylmalonate deliver a mixture (5e/6e and 5f/6f, respectively, Table 3). As mentioned earlier, the product isomers arise from attack of the nucleophiles upon two different carbons of the same π -allyl. Differing regioselectivities among nucleophiles is likely due to Curtin-Hammett kinetics in the reaction (Scheme 6) and is the combination of three properties of the nucleophile: (1) the pK_a of the pronucleophile (affecting its equilibrium with the active deprotonated nucleophile), (2) the nucleophilicity of the carbanion,19 and (3) the sterics of the nucleophile. As documented previously for Pd-PHOX complexes, 3a the π -allyl-Pd species exist as a mixture of the thermodynamically preferred exo-diastereomer and its isomeric endo-complex (Scheme 6). In this transformation, faster attack of the nucleophile upon the exoisomer at the carbon trans to the phosphine (ethyl-substituted carbon) leads to the observed major enantiomer and regioisomer (2 or 5). Conversely, some nucleophiles undergo competitive addition to the endo-diastereomer, attacking the phenyl-substituted carbon (trans to the phosphine), affording 6. Although ethyl cyanoacetate has a similar pK_a (ref. 26) to acetylacetone, generating a certain quantity of active nucleophile, its nucleophilicity is considerably greater (similar to malononitrile19). Likewise, even though dimethyl malonate has an even higher pK_a , ²² its nucleophilicity is greater still. ¹⁹ Therefore, it is possible that reactants with greater nucleophilicity lead to similar rates of attack upon the exo- and endo-diastereomers of the π -allyl intermediate. The outlier is malononitrile, whose lower pK_a (ref. 18) and high nucleophilicity¹⁹ should seemingly lead to an isomeric mixture. The high selectivity for products 2 or 5 with malononitrile (via the exo-isomer) is likely due to the small size of this pronucleophile.

An interesting dichotomy between reactions of terminal dienes, such as 1-phenylbutadiene, and those of internal dienes with activated C-pronucleophiles as catalysed by Pd-PHOX complexes presented itself in this study. In our previous investigations of C-pronucleophile additions to

Scheme 6 Curtin-Hammett kinetics likely operative in internal diene-enolate couplings and responsible for the observed regio- and enantioselectivity.

terminal dienes, ¹² Meldrum's acid proved to be one of the best and most versatile pronucleophiles. Those reactions are promoted by the same PHOX ligand for Pd as in **Pd-1** but with a catalyst that bears a tetrafluoroborate counterion in place of BAr^F₄. Additionally, terminal diene reactions do not require Brønsted acid additive. Contrastingly, dimethyl malonate fails to undergo addition to 1-phenylbutadiene under the previously established optimal terminal diene conditions with the BF₄-containing catalyst.

We wished to determine whether these differences arise from the BAr $_4$ counterion of **Pd-1** or the Et $_3$ N·HBAr $_4$ additive. As shown in Scheme 7, dimethyl malonate **4f** is able to add to 1-phenylbutadiene in the presence of **Pd-1** (BAr $_4$ counterion). Although the yield is slightly higher with the addition of Et $_3$ N·HBAr $_4$, both the regioselectivity and enantiopurity of the major product (**8**) are the same with or without Brønsted acid. Therefore, higher p $_4$ pronucleophiles may react with terminal dienes with a Pd-PHOX catalyst comprised of the non-coordinating BAr $_4$. It is noteworthy that the regiomeric ratio favouring the 4,3-addition product **9** is higher for dimethyl malonate coupling to phenylbutadiene than to internal diene **1a** ($_4$, Table 3, compound **5f**).

We finally turned to addressing the lack of reactivity of Meldrum's acid towards internal diene 1a with Pd-1. In fact, not only does C–C bond formation fail to occur in this case, based on the diene recovered from the reaction mixture, the initial 1.8:1 E,Z:E,E ratio of 1a also remains unchanged. The absence of stereochemical isomerisation indicates that catalyst formed from Pd-1 fails to engage the diene at all in the presence of this pronucleophile.

As mentioned, we previously developed the addition of Meldrum's acid to 1-phenylbutadiene with $[(\eta^3-C_3H_5)Pd(PHOX)]BF_4$ as the catalyst. Unfortunately, reactions of internal dienes are completely inhibited by having a BF₄ counterion in the reaction medium, preventing our examining Meldrum's acid reaction with internal diene **1a** catalysed by $[(\eta^3-C_3H_5)Pd(PHOX)]BF_4$. However, Meldrum's acid addition to 1-phenylbutadiene occurs smoothly with BAr^F₄-containing **Pd-1**, delivering a nearly identical result to its BF₄ analogue (Scheme 8). These data highlight that the acidic Meldrum's acid is compatible with **Pd-1** no matter what its counteranion as long as a more reactive terminal diene is present. This suggests that

Scheme 7 Addition of dimethyl malonate to phenylbutadiene with Pd-1.

 $(1.5 \text{ equiv}) \\ + \\ \hline \text{With } \underbrace{ \begin{bmatrix} (\eta^3 - C_3 H_5) \text{Pd}(\text{PHOX}) \end{bmatrix} \text{BAr}^F_4 : 88\% \text{ yield, } 96.5:3.5 \text{ er}}_{\text{with } \underbrace{ [(\eta^3 - C_3 H_5) \text{Pd}(\text{PHOX})] \text{BAr}^F_4 : }_{\text{with } \underbrace{ (\text{PHOX})} \underbrace{ \text{Photosupper support } }_{\text{Me}} : \underbrace{ \text{Phot$

Scheme 8 Meldrum's acid addition to phenylbutadiene with Pd-PHOX catalysts bearing different counteranions.

as the internal diene 1a is more difficult to coordinate to the metal centre, significant catalyst decomposition occurs under the more acidic conditions imposed with Meldrum's acid (lower pK_a) compared to other pronucleophiles, thereby impeding the desired reaction pathway.

Conclusions

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We have demonstrated that internal dienes act effectively as alkylating agents for enantioselective intermolecular couplings with in situ-generated enolates under Pd-PHOX catalysis. The atom economic olefin hydrofunctionalisations are accelerated by the addition of Et₃N·HBAr^F₄ as a Brønsted acid co-catalyst. Somewhat counterintuitively, the use of superstoichiometric Et₃N is needed to generate sufficient quantities of the active nucleophile in order to form the mono-alkylation product selectively (i.e., avoid over-alkylation). Several features of the reaction mechanism have been uncovered through deuterium labelling the pronucleophile and by selectively employing different diastereomerically pure diene stereoisomers in reactions. Notable differences in participating C-pronucleophiles in Pd-PHOX-catalysed reactions of internal versus terminal 1,3dienes have been unveiled. Further investigation of reaction mechanism and applications to additional methodology development from lessons learned in these studies are ongoing.

Conflicts of interest

There are no conflicts to declare.

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- \ddagger Reactions of alkynes proceed through the identical metal- π -allyl intermediate as those of allenes.
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