This is an Accepted Manuscript, which has been through the Royal Society of Chemistry peer review process and has been accepted for publication.

Accepted Manuscripts are published online shortly after acceptance, before technical editing, formatting and proof reading. Using this free service, authors can make their results available to the community, in citable form, before we publish the edited article. We will replace this Accepted Manuscript with the edited and formatted Advance Article as soon as it is available.

You can find more information about Accepted Manuscripts in the author guidelines.

Please note that technical editing may introduce minor changes to the text and/or graphics, which may alter content. The journal’s standard Terms & Conditions and the ethical guidelines, outlined in our author and reviewer resource centre, still apply. In no event shall the Royal Society of Chemistry be held responsible for any errors or omissions in this Accepted Manuscript or any consequences arising from the use of any information it contains.

This article can be cited before page numbers have been issued, to do this please use: L. Dai, W. Lo, Y. Gu, Q. Xiong, K. L. Wong, W. KWOK, W. Wong and G. Law, Chem. Sci., 2019, DOI: 10.1039/C9SC00244H.
Journal Name

ARTICLE

Breaking the 1,2-HOPO barrier with a cyclen backbone for more efficient sensitization of Eu(III) luminescence and unprecedented two-photon excitation properties

Lixiong Dai\textsuperscript{abc}, Wai-Sum Lo\textsuperscript{ab}, Yanjuan Gu\textsuperscript{d}, Qingwu Xiong\textsuperscript{d}, Ka-Leung Wong\textsuperscript{e}, Wai-Ming Kwok\textsuperscript{e}, Wing-Tak Wong\textsuperscript{d,e}, Ga-Lai Law\textsuperscript{a,b}

A cyclen backbone was utilized to study the effect of backbone rigidity on Eu(III) luminescence sensitization using a 1,2-HOPO derivative and 2-thenoyl/trifluoroacetone (TTA) as chromophores. The restriction of molecular movement of Eu-Cy-HOPO brought about by the increased rigidity provided a tightly packed coordination environment for the octadentate Eu(III) center which resulted in the highest overall quantum yield (30.2%) and sensitization efficiency (64.6%) among 1,2-HOPO sensitized Eu(III) complexes. Eu-Cy-HOPO is also the first 1,2-HOPO-based lanthanide complex to emit Eu(III) luminescence under two-photon excitation.

Introduction

Trivalent lanthanide (Ln(III)) ions exhibit unique luminescence properties, such as fingerprint spectral profiles, sharp emission bands and long luminescence lifetimes and thus are widely considered as potential replacements of organic chromophores and transition metal complexes especially in biological applications in which autofluorescence from biological entities often interferes with fluorescent signals due to their similar emission lifetimes and/or emission profile.\textsuperscript{1–3} However, optimizing lanthanide luminescence requires delicate molecular design to fulfill vital elements during the sensitization process. The f–f transitions of Ln(III) ions are Laporte forbidden and therefore have intrinsically low absorption coefficients (ε \textasciitilde 1–10 M\textsuperscript{-1} cm\textsuperscript{-1}). Indirect excitation (sensitization) of Ln(III) is achieved by an excited energy transfer process from a light-harvesting chromophore and the energy transfer efficiency is principally governed by two main energy transfer mechanisms dependent on the chromophore-Ln(III) distance. The Förster mechanism is a ‘through space’ interaction that requires the donor’s emission spectrum to overlap with the acceptor’s excitation spectrum with a r\textsuperscript{6} distance-dependence.\textsuperscript{4, 5} Alternatively, the Dexter mechanism requires a physical orbital overlap between the donor and acceptor for a concerted electron exchange and is dependent on \textit{e}\textsuperscript{-20r}.\textsuperscript{6} Secondly, as the 4f electrons of Ln(III) are well-shielded, interaction between Ln(III) ions and ligands is mainly ionic, resulting in flexible coordination numbers from 8–12 depending on steric factors. It has therefore become prevalent to design multidentate chromophoric ligands to obtain good luminescence properties.

In 2006, Raymond’s group extended Picard’s work\textsuperscript{6} on using a bidentate 1,2-dihydroxypyridinonate (1,2-HOPO) chromophore to sensitize Ln(III) by modifying it into a tetradentate ligand consisting of two 6-amide derivatives of 1,2-HOPO to form an ML\textsubscript{3} complex and dramatically improved the luminescence properties of the Eu(III) complex: from Φ \textasciitilde 0.3% of Picard’s group to 21.5%.\textsuperscript{7} Then, they designed the first example of an octadentate ligand with four units of the 1,2-HOPO derivative connected through an \textit{N,N',N'-tetrakis-(2-aminoethyl)-ethane-1,2-diamine (H(2,2))} to form an ML\textsubscript{3} complex with improved aqueous stability but exhibited weak luminescence (Φ \textasciitilde 3.6%) due to the presence of one water molecule in the inner coordination sphere of Eu(III).\textsuperscript{8} Replacing the branched tetrapodal skeleton of H(2,2) with a linear spermine-based (3,4,3-LI) offered sufficient protection of the Eu(III) center from water molecules coordination and directly resulted in an increased radiative decay rate and decreased non-radiative decay rate; however, the quantum yield was only 7.0% as the linear backbone contributed to poorer sensitization.\textsuperscript{9} Later, they found out that isolating the same (3,4,3-LI) Eu(III) complex prior to luminescence measurements would allow the 1,2-HOPO derivative units to fully coordinate with Eu(III) and lead to a slower non-radiative decay rate and higher sensitization efficiency, achieving a quantum yield of 15.6%.\textsuperscript{10} In 2015, a systematic study on derivatives based on the H(2,2) skeleton was carried out on investigating how the change of central chain length and the length between two bridgehead tertiary nitrogen atoms would affect the photophysical properties of Eu(III).\textsuperscript{11} The authors concluded that with a shorter length, the
steric constraints would lead to coordination of a water molecule whereas a longer length would give highly luminescent complexes (Φ = 19.6%). Most recently, the highest quantum yield of an Eu(III) complex sensitized by the 6-amide 1,2-HOPO derivative was obtained (Φ = 23.9%) with a tetradentate ligand with two methylene groups between the two chelating chromophores, and such a geometry allows better wrapping of the Eu(III), resulting in better sensitization efficiency. Nonetheless, a systematic relationship between the coordination geometry and photophysical properties remains elusive despite more than a decade’s thorough work on a selected system. Table 1 selectively summarizes the work of Raymond’s group.

Table 1 Summary of literature data of Eu(III) complexes sensitized by 1,2-HOPO derivatives done by Raymond’s group.

<table>
<thead>
<tr>
<th>Compound</th>
<th>ε (M⁻¹cm⁻¹)</th>
<th>τ&lt;sub&gt;υo&lt;/sub&gt; (ms)</th>
<th>q</th>
<th>Φ&lt;sub&gt;L&lt;/sub&gt;</th>
<th>Φ&lt;sub&gt;in&lt;/sub&gt;</th>
<th>η&lt;sub&gt;loss&lt;/sub&gt;</th>
<th>Ref.</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Eu(5LI-1,2-HOPO)]</td>
<td>19250@333 nm</td>
<td>0.727</td>
<td>0</td>
<td>21.5%</td>
<td>43.0%</td>
<td>49.0%</td>
<td>7</td>
</tr>
<tr>
<td>[Sm(5LI-1,2-HOPO)]</td>
<td>19200@331 nm</td>
<td>0.013</td>
<td>/</td>
<td>0.44%</td>
<td>/</td>
<td>/</td>
<td>12</td>
</tr>
<tr>
<td>Eu([LI&lt;sub&gt;6&lt;/sub&gt;-1,2,-HOPO])</td>
<td>18750@332 nm</td>
<td>0.728</td>
<td>0</td>
<td>0.173</td>
<td>44.2%</td>
<td>39.1%</td>
<td>8</td>
</tr>
<tr>
<td>[Eu(H(2,2)-1,2-HOPO)]</td>
<td>18200@341 nm</td>
<td>0.48</td>
<td>1</td>
<td>3.6%</td>
<td>17.8%</td>
<td>20.2%</td>
<td>13</td>
</tr>
<tr>
<td>[Eu(o-Phen-1,2-HOPO)]</td>
<td>21020@342 nm</td>
<td>0.536</td>
<td>0</td>
<td>6.2%</td>
<td>36.5%</td>
<td>17.0%</td>
<td>14</td>
</tr>
<tr>
<td>[Eu(5LI-1,2-HOPO)]</td>
<td>18800@331 nm</td>
<td>0.737</td>
<td>0</td>
<td>20.7%</td>
<td>49.0%</td>
<td>42.0%</td>
<td>12</td>
</tr>
<tr>
<td>[Eu(LI1-1,2-HOPO)]</td>
<td>19400@331 nm</td>
<td>0.011</td>
<td>/</td>
<td>0.44%</td>
<td>/</td>
<td>/</td>
<td>12</td>
</tr>
<tr>
<td>[Eu(3,4,3-LI(1,2,-HOPO))] (in situ)</td>
<td>17700@315 nm</td>
<td>0.805</td>
<td>0</td>
<td>0.7%</td>
<td>43.2%</td>
<td>16.2%</td>
<td>9</td>
</tr>
<tr>
<td>[Sm(3,4,3-LI(1,2,-HOPO))] (in situ)</td>
<td>17850@316 nm</td>
<td>0.017</td>
<td>/</td>
<td>0.2%</td>
<td>/</td>
<td>/</td>
<td>15</td>
</tr>
<tr>
<td>[Eu(3,4,3-LI(1,2,-HOPO))] (isolated)</td>
<td>/</td>
<td>0.814</td>
<td>0</td>
<td>15.6%</td>
<td>46.9%</td>
<td>39.7%</td>
<td>10</td>
</tr>
<tr>
<td>[Sm(3,4,3-LI(1,2,-HOPO))] (isolated)</td>
<td>/</td>
<td>0.019</td>
<td>/</td>
<td>0.41%</td>
<td>/</td>
<td>/</td>
<td>10</td>
</tr>
<tr>
<td>[Eu(H(1705S)-1,2-HOPO)]</td>
<td>15000@336 nm</td>
<td>0.704</td>
<td>0</td>
<td>19.6%</td>
<td>52.2%</td>
<td>37.5%</td>
<td>11</td>
</tr>
<tr>
<td>[Eu(2LI-1,2-HOPO)]</td>
<td>21600@338 nm</td>
<td>0.578</td>
<td>0</td>
<td>23.9%</td>
<td>42.0%</td>
<td>51.0%</td>
<td>16</td>
</tr>
<tr>
<td>[Sm(2LI-2,1-HOPO)]</td>
<td>21600@334 nm</td>
<td>0.017</td>
<td>0</td>
<td>0.4%</td>
<td>/</td>
<td>/</td>
<td>16</td>
</tr>
</tbody>
</table>

All measurements were done at pH 7.4.

Results and discussion

Synthesis of ligands and complexes

This is a summary of the results and discussion section of the document.
The syntheses of ligand 4 is presented in Scheme 1. Originally, we tried reacting cyclen with phthalimide- or Boc-protected bromoethylamine to give a protected tetra-amine cyclen derivative, but both reaction routes gave very low yields due to the reactivity of the bromo group and side reactions from nucleophilic substitution. By using tosylaziridine in a ring-opening, zwitterion-forming reaction, the protected derivative was obtained in a good yield after recrystallization with acetonitrile and benzene. Deprotection with acetic acid and hydrobromic acid gave 1 readily for reaction with the protected 1,2-HOPO derivative to give 3, which was purified by semi-preparative HPLC. The octadentate ligand 4 was obtained by recrystallization with methanol and diethyl ether after deprotection. Complexation with Ln(III) trichloride hexahydrate was performed in methanol in the presence of pyridine at 55°C for 8 hours (Fig. 1).

Chloromethylation of 2-acetythiophene was performed successfully with aluminum chloride as Lewis acid and strict control over the reaction time and stoichiometry and was subsequently reacted with cyclen to give 5. The substitution reaction was performed at room temperature for two days since a lot of side products – reaction of ketone with amine and acetythiophene should be well controlled, too, to avoid overalkylation. Compound 6 was obtained by reacting 5 with ethyl trifluoroacetate with potassium bis(trimethylsilyl)amide and complexation was carried out with Eu(III) trichloride hexahydrate in methanol at 60°C overnight (Scheme 2).

### Photophysical properties

#### UV-vis absorption spectroscopy

Fig. 2 shows the UV-vis absorption properties of Eu-Cy-HOPO and Eu-Cy-TTA in water (pH 5.5) and aqueous solution (3% DMSO) respectively. Both absorption spectra only show one absorption band with maxima at 337 nm (ε350nm = 12100 M\(^{-1}\)cm\(^{-1}\)) and 336 nm (ε350nm = 22690 M\(^{-1}\)cm\(^{-1}\)) assigned as the π-π* transitions of the chromophores. The molar absorption coefficients of are lower than values of reported 1,2-HOPO- and TTA-based compounds, due to the crowdedness between the chromophores brought about by the rigid cyclen backbone.

#### Luminescence properties of Eu-Cy-HOPO and Eu-Cy-TTA

Excitation at 350 nm resulted in the characteristic Eu(III) emission profile with the \(^{5}D_{0} \rightarrow ^{7}F_{j} (j = 1-4)\) transitions clearly observed (Fig. 3). Fig. 4 offers a higher magnification into the \(^{5}D_{0} \rightarrow ^{7}F_{0}\) transition, which is often very weak in due to its forbidden nature, as well as the \(^{3}D_{1} \rightarrow ^{7}F_{j}\) transitions, indicating the involvement of the higher excited state in sensitization.
molecular design: 1) sufficient protection by the fourteen 1,2-HOPO units prevented the coordination of solvent molecules which quenches the excited energy of Eu(III) by vibrational overtones of O-H oscillators; and 2) rigid macrocyclic backbone restricting the movement of the 1,2-HOPO unit and maintaining a close distance between the chromophore and Eu(III) since energy transfer mechanisms are highly distance-dependent.

The Sm(III) analog, Sm-Cy-HOPO, was synthesized as chromophores that could sensitize Eu(III) luminescence could often sensitize Sm(III) luminescence as well (vide infra). As seen in Fig. 6, certain \( ^4G_{5/2} \rightarrow ^{6}H_{j/2} \) transitions \( J = 5/2, 7/2 \) in the visible region could be observed by exciting the ligand at 350 nm. The luminescence lifetime of the most intense \( ^4G_{5/2} \rightarrow ^{6}H_{9/2} \) transition was measured to be 16 \( \mu \)s in water and the \( q \) value was determined to be 0 and 0.5 by Kimura's and Hakala's equation respectively (Table 2). While it is impractical for half a water molecule to be coordinated, this value reflects that the Sm(III) is not so well secluded from water molecules by the macrocyclic ligand as the Eu(III) counterpart due to the Sm(III)'s slightly larger ionic radius (Fig. S41) and this is also supported by a larger \( m \) value. Furthermore, as the energy gap between the emitting state and the next lower energy level of Sm(III) is quite small (vide infra), Sm(III) complexes suffers an intrinsic disadvantage of having low luminescent quantum yields and thus the 0.4% determined for Sm-Cy-HOPO is not surprising. The \( ^5D_0 \rightarrow ^7F_2 \) transitions \( J = 0-4 \) and some of the \( ^5D_0 \rightarrow ^7F_7 \) (\( J = 0-3 \)) could be clearly observed when Eu-Cy-TTA was excited at 350 nm in aqueous solution (Fig. 8). Like Eu-Cy-HOPO, the \( ^5D_0 \rightarrow ^7F_2 \) transition is more intense than other transitions, yet the coordination environment is expected to be slightly different since the asymmetry ratio is 11.5 and the splitting of the hypersensitive transition is not the same. The luminescence

![Figure 5](image-url) Optimized structure of Eu-Cy-HOPO. View from side (a); above cyclen backbone (b); view from below Eu(III) center (c).

Energy transfer from the 1,2-HOPO unit is efficient as residual ligand fluorescence was not observed. The high intensity of the \( ^5D_0 \rightarrow ^7F_2 \) hypersensitive transition relative to the other transitions, quantified by an asymmetry ratio of 14, reveals a large extent of deviation from a centrosymmetric geometry of the Eu(III) center, corroborating with the narrow octadentate coordination environment is expected to be slightly different. Like the Eu(III) center, the Sm(III) center is not as well secluded from water molecules by the macrocyclic ligand as the Eu(III) counterpart due to the Sm(III)'s larger ionic radius (Fig. 5). The luminescence lifetime of the most intense \( ^4G_{5/2} \rightarrow ^{6}H_{9/2} \) transition was measured to be 16 \( \mu \)s in water and the \( q \) value was determined to be 0 and 0.5 by Kimura's and Hakala's equation respectively (Table 2). While it is impractical for half a water molecule to be coordinated, this value reflects that the Sm(III) is not so well secluded from water molecules by the macrocyclic ligand as the Eu(III) counterpart due to the Sm(III)'s slightly larger ionic radius (Fig. S41) and this is also supported by a larger \( m \) value. Furthermore, as the energy gap between the emitting state and the next lower energy level of Sm(III) is quite small (vide infra), Sm(III) complexes suffers an intrinsic disadvantage of having low luminescent quantum yields and thus the 0.4% determined for Sm-Cy-HOPO is not surprising. The \( ^5D_0 \rightarrow ^7F_2 \) transitions \( J = 0-4 \) and some of the \( ^5D_0 \rightarrow ^7F_7 \) (\( J = 0-3 \)) could be clearly observed when Eu-Cy-TTA was excited at 350 nm in aqueous solution (Fig. 8). Like Eu-Cy-HOPO, the \( ^5D_0 \rightarrow ^7F_2 \) transition is more intense than other transitions, yet the coordination environment is expected to be slightly different since the asymmetry ratio is 11.5 and the splitting of the hypersensitive transition is not the same. The luminescence

![Figure 3](image-url) Emission spectrum of Eu-Cy-HOPO in water \((\lambda_{ex} = 350 \text{ nm})\).

![Figure 4](image-url) Higher magnification of partial emission spectrum of Eu-Cy-HOPO in Fig. 3.

![Figure 6](image-url) Emission spectrum of Sm-Cy-HOPO in water \((\lambda_{ex} = 350 \text{ nm})\).
lifetime measured in aqueous solution (3% DMSO) was best-fitted with a bi-exponential decay (0.968 and 0.377 ms), indicating the presence of two radiatively decaying species. The shorter-lived species is believed to be due to coordinated water molecules, however, the presence of DMSO renders the calculation of q value inaccurate. Alternatively, the m value is representing the number of coordinated methanol molecules – was determined to be 2. It is also worth mentioning that Eu-Cy-TTA exhibited a mono-exponential decay in methanol with a much shorter lifetime (0.463 ms), suggesting the complex is more vulnerable to methanol coordination than water molecules. Nonetheless, in aqueous solution, a considerably decent overall quantum yield of 21.7% was recorded for Eu-Cy-TTA despite the co-existence of the hydrated species as indicated by the bi-exponential lifetime. Such an interesting observation could be explained by our proposed cage-like structure – supported by Sparkle optimization – such arrangement of chromophore creates much higher steric hindrance among the four TTA molecules around the Eu(III) compared to the smaller 1,2-HOPO units, leading to a less tight structure than Eu-Cy-HOPO, thus allowing space for the infiltration of solvent molecules. An optimized structure was obtained from LUMPAC with RM1 model (Fig. 7).

The sensitization efficiency was calculated by equations (1)-(3) (Table 3). The intrinsic quantum yield (ΦI) for Eu-Cy-HOPO was calculated to be 46.8%, in agreement with literature values whereas that of Eu-Cy-TTA is higher at 52.9%. Nonetheless, the overall quantum yield of the latter is indeed lower per the above data, resulting in a lower sensitization efficiency (ηsens). The intrinsic quantum yield is defined as the quantum yield obtained from direction 4f-4f excitation whereas the overall quantum yield takes the sensitization process into account. In other words, ηsens is a parameter to evaluate the extent of excited energy lost prior to reaching the Eu(III)’s accepting state;

Table 2 Photophysical data of Ln-Cy-HOPO and Eu-Cy-TTA in water (pH 5.5) and methanol

<table>
<thead>
<tr>
<th></th>
<th>Eu-Cy-HOPO</th>
<th>Sm-Cy-HOPO</th>
<th>Eu-Cy-TTA</th>
</tr>
</thead>
<tbody>
<tr>
<td>ε (M⁻¹cm⁻¹)</td>
<td>12110@337 nm</td>
<td>15360@337 nm</td>
<td>22690@336 nm</td>
</tr>
<tr>
<td>τ₁(205) (ms)</td>
<td>0.784</td>
<td>0.018</td>
<td>0.968, 0.377</td>
</tr>
<tr>
<td>τ₂(205) (ms)</td>
<td>1.06</td>
<td>0.113</td>
<td>/</td>
</tr>
<tr>
<td>q</td>
<td>0</td>
<td>0.5</td>
<td>/</td>
</tr>
<tr>
<td>ΦI</td>
<td>30.2%</td>
<td>4.0%</td>
<td>21.7%</td>
</tr>
<tr>
<td>τS(305) (ms)</td>
<td>0.825</td>
<td>0.025</td>
<td>0.463</td>
</tr>
<tr>
<td>τS(355) (ms)</td>
<td>0.962</td>
<td>0.104</td>
<td>0.876</td>
</tr>
<tr>
<td>m</td>
<td>0</td>
<td>1.5</td>
<td>2</td>
</tr>
</tbody>
</table>

Estimated error in τ and Φ are 10% and 15% respectively.

Energy transfer pathway

To study the antenna effect, the Gd(III) counterpart was synthesized to probe the triplet state of the chelated chromophore. Since the 4f electrons are very well-shielded, the ionic radii of Gd(III) and Eu(III) are very similar and hence it is commonly accepted that the coordination environments are comparable. Furthermore, the excited states of Gd(III) are situated beyond 30000 cm⁻¹, so energy transfer is often impractical. At low temperature (77 K), reverse intersystem crossing is hindered, and the excited energy would have a higher tendency to relax from the triplet excited state to give phosphoresce. At room temperature, the emission spectrum of Gd-Cy-HOPO showed very weak ligand fluorescence with two emission maxima at 408 and 434 nm. After cooling Gd-Cy-HOPO at 77 K, a new, broad emission band with peak maximum at 503 nm was observed, and the emission band is assigned as ligand quenching of the Eu(III)’s excited state, such as by overtones of O-H oscillators, is irrelevant to the sensitization. The lower ηsens of Eu-Cy-TTA is attributed to the steric demand of the larger TTA molecule, resulting in a longer Eu(III)-chromophore distance (6.1 Å of Eu-Cy-HOPO vs. 7.1 Å of Eu-Cy-TTA as measured from their optimized structures) and less efficient energy transfer via distance-dependent energy transfer mechanisms, as indicated by the slower rate of radiative deactivation (τrad).

![Fig. 8 Emission spectrum of Eu-Cy-TTA in 3% DMSO aqueous solution (λex = 350 nm).](image)
phosphorescence since the emission lifetime was determined to be 6.87 ms. For Gd-Cy-TTA, there was negligible emission at two band maxima at 510 nm and 534 nm appeared with biexponential lifetimes of 1.4 ms and 6.4 µs and 1.2 ms and 7.4 µs respectively. The triplet excited state of 1,2-HOPO is determined to be at ca. 19900 cm⁻¹ and that of TTA, taken as average of the two peak maxima, is ca. 19200 cm⁻¹ (Figs. S4, S6). The ⁵D₀ accepting state of Eu(III) is at ca. 17200 cm⁻¹,⁴⁴ and the energy gap between the respective triplet states and the accepting state(s) falls within the ideal 2500 to 4000 cm⁻¹ range for efficient energy transfer while preventing thermally-promoted back energy transfer.⁴⁵ The higher excited state ⁵D₁, at ca. 19200 cm⁻¹, despite its proximity with the triplet energy levels, is also involved as shown in Figs. 4 and 9. Furthermore, the exceptionally long phosphorescence lifetime – implying a low non-radiative deactivation rate of the excited triplet state – provides a stable and long-lived excited state for energy transfer to take place, resulting in efficient luminescence sensitization. On the other hand, the ⁴G₅/₂ accepting state of Sm(III) is located at ca. 17860 cm⁻¹,⁴⁶ and is therefore expected to be the recipient of the excited energies from HOPO and TTA. While the energy gap between the emitting state and the next lower energy state of Sm(III) (ΔE(4f⁵⁵⁷⁵/2→4f⁵⁵⁷⁵/2) = ca. 7500 cm⁻¹) does not resonate with oscillator overtones, a closer examination of the next energy level (ΔE(4f⁵⁵⁷⁵/2→4f⁵⁷⁷⁵/2) = ca. 8700 cm⁻¹) reveals a close match between the second C-H overtone (ca. 8700 cm⁻¹), leading to efficient non-radiative deactivation (Fig. 10).

This result is consistent with Doffek et al.’s finding regarding how the smallest energy gap is not ‘universally relevant’, especially in Sm(III) contexts.⁴⁷ Consequently, luminescent quantum yields of organo-Sm(III) complexes are generally expected to be low due to the abundant C-H oscillators in proximity, and this also explains why only the sensitization barrier of Eu(III) luminescence could be broken by a change to the cyclen backbone but not Sm(III)’s, with the quantum yield of Sm-Cy-HOPO (0.4%) the same as those reported by Raymond’s group.

**Two-photon absorption and excitation**

Under two-photon excitation at 700 nm with an ultrafast Ti:Sapphire laser, Eu(III) luminescence spectra were recorded for Eu-Cy-HOPO and Eu-Cy-TTA in DMSO (Fig. 11). The emission profile is typical of Eu(III) and the intense ⁵D₀→⁷F₂ transitions relative to the ⁵D₀→⁷F₁ transition resemble those in Figs. 3 and 8, suggesting the same emitting species compared to single-photon excitation. The two-photon excitation mode was confirmed by the dependence of luminescence intensity on incident power (Figs. S35 and S37). The two-photon absorption cross sections (σ₂) were determined against fluorescein chromophores are not structurally constructed with a large quadrupole moment upon photo-excitation, i.e. the expected to
Conclusions

In this work, a 1,2-HOPO derivative was incorporated into a rigid cyclen backbone and the overall quantum yield of the resulting Eu(III) complex Eu-Cy-HOPO was determined to be 30.2%, with a sensitization efficiency of 64.6%, both the highest thus far amongst 1,2-HOPO-based Eu(II) complexes. The rigidity of the backbone restricts the movement of the pendant chromophores to a higher extent than the linear and branched backbones reported in literature, hence leading to less non-radiative energy loss and a closer Eu(III)-chromophore distance for more efficient energy transfer. A TTA analog, Eu-Cy-TTA also gave decent luminescent properties as a result, but the steric hindrance among the TTA units allowed space for solvent molecules to exploit and penetrate the inner coordination sphere of the Eu(III). Eu(III) luminescence was also unprecedentedly observed under two-photon excitation of the 1,2-HOPO-based chromophore by a femtosecond laser (and in two-photon confocal microscopy), displaying emission profile and lifetimes near-identical to the single-photon excitation process. In addition, co-staining experiments with LysoTracker® confirmed the localization of Eu-Cy-HOPO in lysosomes in vitro.

Experimental

Materials and methods

Unless noted otherwise, all chemicals were of reagent grade and were purchased from Sigma-Aldrich or Acros Organics and used without further purification. Moisture-sensitive synthetic procedures were performed under a nitrogen atmosphere using standard Schlenk techniques. Davissil silica gel (40-63 μm) was obtained from Grace Davison. Analytical reagent grade solvents were used, and acetonitrile was dried with sodium hydride and distilled under nitrogen. 1H, 13C and 29Si NMR spectra were recorded on a Bruker Ultrashield 400 Plus NMR spectrometer (at 400 MHz, 100 MHz and 376 MHz respectively) or a Bruker Ultrashield 600 Plus NMR spectrometer (at 600 MHz and 150 MHz respectively). The 1H and 13C NMR chemical shifts were referenced to solvent residual peaks. Mass spectra, reported as m/z, was obtained either on a Micromass Q-TOF 2 mass spectrometer or on an Agilent Technologies 6540 UHD Accurate-Mass Q-TOF LC/MS system or on a Bruker Ultraflextreme Matrix Assisted Laser Ionization (MALDI) Mass Spectrometer. Analytical high performance liquid chromatography (HPLC) was performed on Waters 1525 series apparatus with PDA detector. The method used on this system is as follows: Atlantis T3 column (4.6 × 250 mm), mobile phase of water (with 0.05% TFA) with 10% of ACN was increased to 100% ACN within 15 min, then maintained at 100% ACN for 5 min and re-equilibrated for 5 min. Reverse-phase semi-preparative purification was performed on Waters 2535 series apparatus with PDA detection and Fraction Collector III. The method used on this system is as follows: Atlantis T3 column (19 × 250 mm), mobile phase of water (with 0.05% TFA) with 30% methanol was gradient increased to 100% methanol within 20 min, then the system was re-equilibrated for 4 min. Inductively coupled plasma - optical emission spectrometry (ICP-OES) was performed on an Agilent 700 Series system, with 6 points standards (0.5 – 20 ppm) of Eu, Sm and Gd in 2% of HNO3 for the determination of metal content. Fourier Transform Infrared (FT-IR) spectra were recorded on a Nicolet is 50 FT-IR spectrometer with a KBr pellet.

Photophysical measurements

Milli-Q water (18.2 MΩ-cm at 25°C) was used for aqueous measurements; methanol used were of CHROMASOLV®Plus grade from Sigma-Aldrich, deuterated water and methanol used were from Cambridge Isotope; all were used without further purification. Solution samples of ca. 0.1 absorbances at 350 nm
were prepared for visible photoluminescence measurements. 
(Eu-Cy-TTA is insoluble in pure water, hence is first dissolved in DMSO and diluted with Milli-Q water.) Measurements were prepared in the unit of absorbance instead of concentration as the absorbances at 350 nm are slightly different for the two complexes. Separate samples were used for 1) UV-vis, emission and excitation scans; 2) luminescence lifetime measurements and 3) quantum yield measurements.

All room temperature solution measurements were done in a quartz cuvette (Starna) of 1 cm path length. UV-vis spectra were recorded with an HP UV-8453 spectrophotometer. Room temperature photoluminescence measurements data obtained with Edinburgh Instruments FLSP920 spectrophotometer equipped with a Xe 900 continuous xenon lamp (450 W), xenon flashlamp (60 W) and a Hamamatsu R928P thermoelectrically cooled at -20°C. Low temperature (77 K) measurements were measured on FLSP920 using an EPR dewar from Edinburgh Instruments. Samples were dissolved in ethanol-methanol mixture (v:v = 4:1), inserted into an EPR quartz sample rod and cooled with liquid nitrogen. Emission spectra were recorded at 30-min intervals until the intensity and emission profiles remained constant (~2 hours) and the spectra were taken as final. Visible emission spectra obtained were corrected for spectral responses.

Luminescence lifetimes of visible emissions were measured with FLSP920 and fitted with Origin. Luminescence quantum yields were measured relative to quinine sulfate in 0.1 M sulfuric acid (λex = 350 nm, Φ = 0.577). All photophysical measurements were averages of triplicate. The intrinsic quantum yield of the complex was also calculated using the below equations to gain more insight into the sensitization processes:

$$\Phi_{i}^{\text{obs}} = \Phi_{i}^{\text{in}} \cdot n_{\text{dens}} \cdot \frac{1}{\tau_{\text{rad}} - \tau_{\text{nrad}}} \cdot \frac{1}{n^{2} \cdot \eta_{\text{med}}}$$  \hspace{1cm} (1)

$$\Phi_{i}^{\text{in}} = \frac{1}{\tau_{\text{rad}} - \tau_{\text{nrad}}} \cdot \frac{1}{n^{2} \cdot \eta_{\text{med}}}$$  \hspace{1cm} (2)

$$\frac{1}{\tau_{\text{rad}}} = \frac{1}{\tau_{\text{nrad}}} + \frac{1}{\tau_{\text{obs}}}$$  \hspace{1cm} (3)

$$\tau_{\text{rad}} = \frac{1}{\sigma_{r} \cdot \lambda}$$  \hspace{1cm} (4)

The overall quantum yield (Φi) is the product of intrinsic quantum yield (Φi) and sensitization efficiency (nmed). The reciprocal of the radiative lifetime (1/τrad) could be calculated by equation (3), where AMD denotes the spontaneous emission probability of the magnetic dipole transition (D0 → F1 for Eu(III)) which is a constant equal to 14.65 s⁻¹, n is the refractive index of the medium and ηmed and ηmed are the integrated intensities of the total D0 → F1 transitions and the magnetic dipole transition respectively. The rate of non-radiative decay could be determined by equation (4).

Determination of two-photon absorption cross section

For two-photon experiments, the 780 nm pump source was from an optical parametric amplifier (TOPAS-C) of a femtosecond mode-locked Ti:Sapphire laser system (Coherent Micra and Legend-Elite output beam ~ 100 fs duration and 100 Hz repetition rate). The laser was focused to spot size ~ 100 μm via an f = 30 cm lens onto the sample. The emitting light was collected with a right angle configuration into a 0.3 m spectrophotograph and detected by a liquid nitrogen-cooled CCD detector. A power meter was used to monitor the stability of the pump source and its intensity was controlled by using a variable ND filter. For two photon absorption cross-section measurements, the theoretical framework and experimental protocol for the two-photon cross-section measurement have been outlined by Webb and Xu.49 In this approach, the two-photon excitation ratios of the reference and sample systems are given by:

$$\frac{\sigma_{2}^{R} \cdot \phi_{2}^{R}}{\sigma_{2}^{S} \cdot \phi_{2}^{S}} = \frac{C_{r} \cdot n_{r} \cdot F_{r}^{2}(\lambda)}{C_{s} \cdot n_{s} \cdot F_{s}^{2}(\lambda)}$$  \hspace{1cm} (5)

where ϕ is the quantum yield, C is the concentration, n the refractive index, and F(λ) is the integrated photoluminescent spectrum. In our measurements, we have ensured that the excitation flux and the excitation wavelengths are the same for both the sample and the reference. The two-photon absorption cross-sections σ2 of compounds were determined using fluorescein as a reference.24 Note the σ2 values are underestimation of the actual values because only the Eu Δ = J bands of 1, 2, 3 were used in the calculations due to the interference of the laser excitation at 700 nm which is in the ΔJ = 4 band of the Eu transition.

Cell imaging studies

For single-photon microscopy, images were obtained by a Carl Zeiss AxioObserver Z1 fluorescent microscope using a UV light source. For multi-photon microscopy, images were collected by a Leica TCS SP8 spectral confocal microscope equipped with a Ti:Sapphire laser. Living HeLa cells were used.

Synthesis

**Compound 1.** Cyclen (1 g, 5.8 mmol, 1.0 equiv) was dissolved in acetonitrile (10 mL) and benzene (10 mL), then tosylazide (5.5 g, 27.3 mmol, 4.8 equiv) was added and the mixture was reacted at 60°C for 3 days. After filtration and washing with acetonitrile, the tosylated intermediate was obtained as a white solid and used for the next step directly without any further purification (4.0 g, 4.2 mmol, yield: 71%).1H NMR (400 MHz, DMSO) δ 7.61 (t, J = 10.7 Hz, 8H), 7.34 (d, J = 7.6 Hz, 8H), 2.72 (t, J = 6.5 Hz, 8H), 2.33 (d, J = 7.6 Hz, 12H), 2.322 – 2.20 (m, 24H).13C NMR (100 MHz, DMSO) δ 143.00, 138.14, 130.05, 128.79, 54.12, 52.55, 40.80, 21.40. m/z (ESI-MS⁺) 961.3864 ([M + H]⁺ calculated: 961.3809). Acetic acid (7 mL) and hydrobromic acid (5 mL) were added to dissolve the intermediate (1.0 g, 1.0 mmol, 1.0 equiv) and the mixture was reacted at 100°C for 3 days. After cooling down to room temperature, the reaction mixture was filtered and washed with acetic acid and the filter cake was dried at 55°C in oven to obtain a white solid (300 mg, 0.3 mmol, yield 29%).

**Compound 3.** Compound 1 (114 mg, 0.11 mmol, 1.0 equiv) and 2 (242 mg, 0.92 mmol, 8.0 equiv, synthesized according to literature40) were dissolved in dry THF (10 mL) and NMM (N-methylmorpholine) (184 mg, 1.8 mmol, 16.0 equiv) was added at room temperature. HATU (1-[bis(dimethylamino)methylene]-1H-1,2,3-triazolo[4,5-
bpyridinium 3-oxid hexafluorophosphate) (350 mg, 0.92 mmol, 8.4 equiv) was added at 4°C and the reaction mixture was allowed to react at room temperature overnight before quenching with water (50 mL). The organic solvent was evaporated and the aqueous layer was discarded. The residual oil adhered to the wall of flask was washed with water (30 mL) again and purified by preparative HPLC to obtain the product (80 mg, 0.064 mmol, yield: 55.5%). 1H NMR (400 MHz, CD3OD) δ 7.88 – 7.04 (m, 24H), 6.72 (d, J = 9.0 Hz, 4H), 6.41 (d, J = 6.5 Hz, 1H), 5.27 (s, 8H), 3.54 (s, 8H), 3.21 – 2.78 (m, 24H). 13C NMR (100 MHz, CD3OD) δ 161.79, 159.16, 142.56, 139.16, 133.63, 129.93, 129.30, 128.51, 123.15, 106.17, 79.00, 52.10, 48.64, 34.30. m/z (ESI-MS⁺) 1253 [(M + H)⁺ calculated: 1253].

**Compound 4.** Compound 4 (80 mg, 0.064 mmol, 1.0 equiv) was dissolved in acetic acid (3 mL) and hydrochloric acid (3 mL) and the reaction mixture was stirred at room temperature for 3 days. The solution was then concentrated and dissolved in methanol (0.5 mL). The product was precipitated by slow addition of diethyl ether and collected by centrifugation in the form of an HCl salt (65 mg, 0.063 mmol, yield: 98%). 1H NMR (400 MHz, D2O) δ 7.44 (t, J = 7.9 Hz, 4H), 6.69 (d, J = 8.9 Hz, 4H), 6.61 (d, J = 6.7 Hz, 4H), 3.63 (s, 8H), 3.30 (s, 16H), 3.20 (s, 8H). 13C NMR (100 MHz, D2O) δ 162.79, 159.85, 139.56, 139.03, 121.14, 108.86, 52.14, 49.00, 35.05. m/z (ESI-MS⁺) 893.3911 [(M + H)⁺ calculated: 893.3906].

**Ln-Cy-HOPO.** Compound 4 (15 mg, 0.014 mmol, 1.0 equiv) was dissolved in methanol (1 mL) and a methanol (1 mL) solution of LnCl3·6H2O (0.015 mmol, 1.0 equiv) was added. The pH of the solution was adjusted to 7.5 by pyridine. The solution was stirred at 55°C for 12 hours. The product was precipitated by slow addition of diethyl ether (5 mL), and the solids were collected by centrifugation, washed twice with diethyl ether (5 mL x 2) and dried as white solids (~80% yields). Eu-Cy-HOPO (12.5 mg, yield: 83%): m/z (ESI-MS⁺) 1043.2893 [(M+2H)⁺ calculated: 1043.2883]; Calcd Eu content 14.6% (CaH3EuN12O28), found 13.9%. Sm-Cy-HOPO (12.9 mg, yield: 86%): m/z (ESI-MS⁺) 1042.2863 [(M+2H)⁺ calculated: 1042.2865]; Calcd Sm content 14.5% (CaH3SmN12O28), found 13.3%. Gd-Cy-HOPO (11.8 mg, yield: 78%): m/z (ESI-MS⁺) 1048.2914 [(M+2H)⁺ calculated: 1048.2916]; Calcd Gd content 15.0% (CaH3GdN12O28), found 14.3%.

**Compound 5.** Cyclen (202 mg, 1.16 mmol 1.0 equiv) was dissolved in dried acetonitrile (4 mL). Potassium carbonate (660 mg, 4.78 mmol, 4.1 equiv) and 2-acetyl-4-chloromethylthiophene (806 mg, 4.62 mmol, 4.0 equiv) were added into the solution and the reaction mixture was stirred at room temperature for 24 hours, before increasing the temperature to 40°C and allowed to react for another 2 days. The reaction mixture was then cooled, filtered and dried under vacuum. The product was purified by column chromatography on silica gel (CHCl₃/1 EtOH, 100:1–10:1) to obtain compound 5 as a light yellow oil (550 mg, 0.76 mmol) with a 65% yield. 1H NMR (400 MHz, CDCl₃) δ 7.52 (d, J = 16.5 Hz, 8H), 3.42 (s, 8H), 2.63 (s, 16H), 2.42 (s, 12H). 13C NMR (100 MHz, CDCl₃) δ 190.65, 144.22, 141.91, 133.45, 130.96, 54.63, 52.93, 26.80. m/z (ESI-MS⁺) 725.2363 [(M + H)⁺ calculated: 725.2324].

**Compound 6.** Compound 5 (51.6 mg, 0.07 mmol, 1.0 equiv) was dissolved in dried THF (4 mL) and cooled to 78°C. Potassium bis(trimethylsilyl)amide (KHMDMS) (1.0 M in THF, 0.43 mL, 0.42 mmol, 6.0 equiv) was dropped slowly into the reaction mixture and stirred for 20 minutes. Ethyl trifluoroacetate (60.7 mg, 0.43 mmol, 6.1 equiv) was then added and the reaction was continually stirred at 78°C for 2 more hours before stirring overnight at room temperature. Petroleum ether (5 mL) was added to the solution and stirred for 20 minutes to give precipitates, which were collected by centrifugation and further washed with dichloromethane twice (13 mL x 2). The product was obtained as an orange solid after drying under vacuum (60 mg, 0.05 mmol, yield: 67%). 1H NMR (400 MHz, DMSO) δ 7.85 – 7.35 (m, 8H), 6.09 – 5.73 (m, 4H), 3.93-3.3 (s, 12H), 3.58 – 3.42 (m, 4H), 2.65 – 2.61 (m, 8H), 2.48 – 2.42 (m, 8H). 19F NMR (376 MHz, DMSO) δ -73.49 (6F), -7.72 (6F). m/z (ESI-MS⁺) 1109.1645 [(M+4K+5H)⁺ calculated: 1109.1620].

**Ln-Cy-TTA.** Compound 6 (12 mg, 0.0095 mmol, 1.0 equiv) was dissolved in methanol (1 mL) and a methanol solution (1 mL) of LnCl3·6H2O (0.01 mmol, 1.1 equiv) was added. The reaction mixture was stirred at 16 hours at 50°C. The product was obtained by precipitating with water (6 mL), centrifuged and washed with water twice more (6 mL x 2). A dried yellow/orange solid was obtained as the final complex (~80% yields) with a counter ion of potassium. Eu-Cy-TTA (10 mg, yield: 81%): m/z (ESI-MS⁺) 1259.0579 [(M+K+2H)⁺ calculated: 1259.0585]; Calcd Eu content 11.7% (CaH3EuO4K2O2N12), found 12.1%. Gd-Cy-TTA (10 mg, yield: 81%): m/z (ESI-MS⁺) 1264.6065 [(M+K+2H)⁺ calculated: 1264.6069]; Calcd Gd content 12.1% (CaH3GdO4K2O2N12), found 12.5%.

**Conflicts of interest**

There are no conflicts to declare.

**Acknowledgements**

We thank Mr Ho-Yin Wong for his help in assisting with some of the synthesis and also for rendering the graphics and designing the graphical artwork. We also thank Dr. Pui-Kin So for his help in the mass spectrometry measurements. W.-T. W. gratefully acknowledges financial support from the Basic Research Program of Shenzhen (JCYJ20160531184120814) and The Hong Kong Polytechnic University [University Research Facility for Chemical and Environmental Analysis (UCEA)]. G.-L. L. gratefully acknowledges financial support from Natural Science Foundation of China (NSFC, 21875201). W.-M. K. gratefully acknowledges financial support from Research Grants Council of Hong Kong (PolyU/153020/17P).

**Notes and references**

4. T. Forster, Die Naturwissenschaften, 1946, 33, 166-175.
Breaking the barrier of 1,2 HOPO complexes with an extremely emissive Eu-Cy-HOPO (overall quantum yield - 30.2%) and also displaying two photon properties.