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# The mechanism of PI3K $\alpha$ activation at the atomic level†

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PI3K lipid kinases phosphorylate PIP<sub>2</sub> to PIP<sub>3</sub> in the PI3K/Akt/mTOR pathway to regulate cellular processes. They are frequently mutated in cancer. Here we determine the PI3K $\alpha$  activation mechanism at the atomic level. Unlike protein kinases where the substrate abuts the ATP, crystal structures indicate that in PI3K $\alpha$ , the distance between the  $\gamma$  phosphate of the ATP and the PIP<sub>2</sub> lipid substrate is over 6 Å, much too far for the phosphoryl transfer, raising the question of how catalysis is executed. PI3K $\alpha$  has two subunits, the catalytic p110 $\alpha$  and the regulatory p85 $\alpha$ . Our simulations show that release of the autoinhibition exerted by the nSH2 domain of the p85 $\alpha$  triggers significant conformational change in p110 $\alpha$ , leading to the exposure of the kinase domain for membrane interaction. Structural rearrangement in the C-lobe of the kinase domain reduces the distance between the ATP  $\gamma$ -phosphate and the substrate, offering an explanation as to how phosphoryl transfer is executed. An alternative mechanism may involve ATP relocation. This mechanism not only explains how oncogenic mutations promote PI3K $\alpha$  activation by facilitating nSH2 release, or nSH2-release-induced, allosteric motions; it also offers an innovative, PI3K isoform-specific drug discovery principle. Rather than competing with nanomolar range ATP in the ATP-binding pocket and contending with ATP pocket conservation and massive binding targets, this mechanism suggests blocking the PI3K $\alpha$  sequence-specific cavity between the ATP-binding pocket and the substrate binding site. Targeting isoform-specific residues in the cavity may prevent PIP<sub>2</sub> phosphorylation.

## Introduction

Phosphatidylinositol-4,5-bisphosphate 3-kinases (PI3Ks) are a family of lipid kinases that phosphorylate phosphatidylinositol 4,5-bisphosphate (PIP<sub>2</sub>) to phosphatidylinositol 3,4,5-trisphosphate (PIP<sub>3</sub>), to which the downstream signaling target Akt binds to regulate an array of cellular activities, including cell growth, proliferation, differentiation, migration, mobility and apoptosis.<sup>1</sup> Elevated PI3K signaling drives tumor development and is a hallmark of human cancer.<sup>2,3</sup> Genome sequencing studies showed that PI3K (PIK3CA) and its antagonist phosphatase and tensin homolog (PTEN) are the second and third most highly mutated genes in cancer.<sup>4</sup> Somatic mutations in PI3K confer a gain of function that leads to excess activity resulting in tumors and cell transformation.<sup>5,6</sup> Elimination of PI3K activity interferes with tumor growth.<sup>7</sup> PI3Ks are primary drug targets in cancer. However, to date, on- and off-target side-effects have led to limited outcome in clinical trials.<sup>8</sup> Elucidating the mechanism of PI3K activation at the atomic level may

help surmount the challenges in isoform- and mutant-specific PI3K cancer drug discovery.<sup>9–11</sup>

PI3Ks fall into three classes, differing in sequences, expressing tissues, substrate preferences and functions.<sup>12,13</sup> Class I catalytic isoforms promote cell transformation, proliferation and survival when overexpressed.<sup>14</sup> Among the Class I catalytic subunits, only p110 $\alpha$  harbors somatic oncogenic driver mutations conferring gain of function. p110 $\alpha$  forms an obligate heterodimer with the regulatory p85 $\alpha$  subunit. Interaction with the p85 $\alpha$  subunit stabilizes the p110 $\alpha$  and inhibits its basal activity.<sup>15</sup> The seminal 2007 crystal structure of PI3K $\alpha$  illustrated the p85 $\alpha$ –p110 $\alpha$  subunits interaction.<sup>16</sup> Soaking PI3K $\alpha$  crystals with PIP<sub>2</sub> identified the substrate binding site.<sup>17</sup> However, since PIP<sub>2</sub> is distal to the ATP and the inhibitory nSH2 domain remains bound to the p110 $\alpha$  catalytic subunit, the solved PI3K $\alpha$  structure indicates an inactive conformation.<sup>17</sup>

PI3K $\alpha$  is activated by activated receptor tyrosine kinases (RTKs), Ras proteins, and other molecules such as calmodulin.<sup>18–21</sup> The SH2 domains of p85 $\alpha$  possess high affinity binding sites to the phosphorylated tyrosine motif (pYXXM) in the C-terminal of RTKs.<sup>22,23</sup> The pYXXM motif disrupts the p85 $\alpha$ –p110 $\alpha$  subunits interaction and by releasing the inhibitory SH2 domains from the catalytic subunit, it activates PI3K $\alpha$ . Biochemical and structural evidence suggests that the inhibition effects of p85 $\alpha$  on p110 $\alpha$  mainly derive from the nSH2 domain. In any scenario, release of nSH2 is prerequisite for

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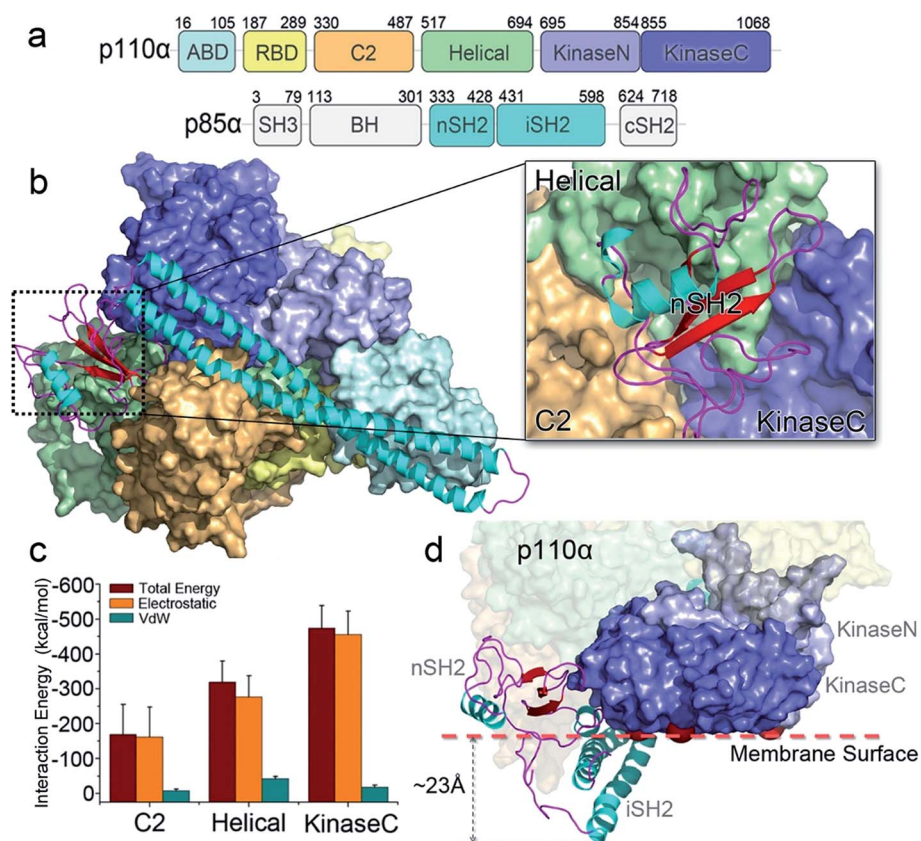
PI3K $\alpha$  activation.<sup>24</sup> Hydrogen/deuterium exchange mass spectrometry (HDX-MS) data have implicated four events in PI3K $\alpha$  activation by the pYXXM motif: (i) breaking the nSH2–helical interface, (ii) disruption of the iSH2–C2 interface, (iii) ABD movement, and (iv) lipid interaction.<sup>25</sup> However, none of the regions where these events occur has direct contacts with the catalytic sites in the kinase domain. Thus, how these remote actions collectively regulate PI3K $\alpha$  activation and how PI3K $\alpha$  is activated by nSH2 release are pivotal questions that beg understanding.

Here, we determined the mechanism of PI3K $\alpha$  activation stimulated by nSH2 release at the atomic level. nSH2 release triggers significant conformational change in p110 $\alpha$ , leading to the exposure of kinase domain for PI3K $\alpha$  membrane binding. The C-lobe of the kinase domain experiences structural rearrangement in response to nSH2 release, giving rise to a reduced ATP–substrate distance suitable for phosphoryl transfer. More importantly, our mechanism illustrates how oncogenic mutations promote PI3K $\alpha$  activation and proposes a possible isoform-specific PI3K $\alpha$  cancer drug design principle.

## Results

### Description of inactive PI3K $\alpha$

The crystal structure of PI3K $\alpha$  has an overall triangular shape, containing all five p110 $\alpha$  domains (ABD, RBD, C2, helical domain and kinase domain), as well as the nSH2 and iSH2 domains in p85 $\alpha$  (Fig. 1a). The SH3, BH and cSH2 domains in p85 $\alpha$ , which either do not or loosely interact with the p110 $\alpha$  subunit, are truncated, since inclusion of these domains resulted in protein aggregation that prevents crystallization. iSH2 domain is the minimal segment in p85 $\alpha$  for association with p110 $\alpha$  catalytic subunit, and nSH2 domain is the main structural component in p85 $\alpha$  to inhibit the catalytic activity of p110 $\alpha$ . In any PI3K $\alpha$  activation scenario, nSH2 has to be released from p110 $\alpha$  to fully activate PI3K $\alpha$ . The resolved crystal structure with nSH2 interacting with p110 $\alpha$  represents an inactive PI3K $\alpha$  conformation. This is further supported by the fact that the PIP<sub>2</sub>–ATP distance in this structure is too far for substrate phosphorylation.<sup>17</sup> To study the structure and



**Fig. 1** PI3K $\alpha$ : sequence and structure. (a) The sequence of PI3K $\alpha$ . PI3K $\alpha$  is a dimer comprised of p110 $\alpha$  catalytic and p85 $\alpha$  regulatory subunits. The p110 $\alpha$  subunit contains five domains, i.e., adaptor-binding domain (ABD, residues 16–105, cyan), Ras binding domain (RBD, residues 187–289, yellow), C2 (residues 330–487, orange), helical (residues 517–694, green), and N-lobe (light purple) and C-lobe (dark purple) of the kinase (residues 695–1068) domain. The p85 $\alpha$  subunit consists of the SH3 (residues 3–79), breakpoint-cluster region homology (BH, residues 113–301), nSH2 (residues 333–428), iSH2 (residues 431–598), and cSH2 (residues 624–718) domains. (b) The inactive PI3K $\alpha$  conformation. The SH3, BH and cSH2 domains lack strong interactions with p110 $\alpha$ , thus are missing in the structure. The inhibitory nSH2 domain interacts with C2, helical domain and kinase domain in p110 $\alpha$ . (c) The interaction energies of nSH2 domain with C2, helical and kinase domains in p110 $\alpha$ . The interaction energies are calculated by the sum of non-bonded van der Waals (vdW) and electrostatic interactions. The vdW and the electrostatic interactions are described by the potential energy function in the CHARMM 36 force field. (d) The membrane binding surface in the kinase domain cannot access the membrane because of steric clash of iSH2 domain. The membrane binding surface in the kinase domain is defined by the positions of kinase Glu<sup>726</sup>, kinase His<sup>1047</sup> and kinase Lys<sup>942</sup>.



dynamics of inactive PI3K $\alpha$ , we performed all-atom molecular dynamics simulations for the p110 $\alpha$ /nSH2 complex in explicit solvent. In the trajectory, p110 $\alpha$  and p85 $\alpha$  subunits maintained their overall shapes and interfaces. No separation was observed within or between domains. iSH2 domain in p85 $\alpha$  formed massive hydrophobic interactions and salt bridges with ABD, C2 and kinase domains, reflecting its dominant role in associating with p110 $\alpha$  (Tables S1 and S2†). nSH2 domain in p85 $\alpha$  serves as a core of the molecule to which p110 $\alpha$ , C2, helical and kinase domains attach (Fig. 1b). It formed two salt bridges with C2, six salt bridges with the helical domain and six salt bridges with the kinase domain, leading to interaction energies of  $\sim -160$  kcal mol $^{-1}$ ,  $\sim -320$  kcal mol $^{-1}$  and  $\sim -480$  kcal mol $^{-1}$ , respectively (Fig. 1c). PI3K $\alpha$  is a lipid kinase. Its kinase domain has to attach to the membrane to phosphorylate PIP $_2$  to PIP $_3$ . The residues in the kinase domain mediating the membrane interaction define the membrane binding surface in PI3K $\alpha$ . Three residues,  $_{\text{kinase}}\text{Glu}^{726}$  at the N-lobe of the kinase domain (kinaseN),  $_{\text{kinase}}\text{His}^{1047}$  and  $_{\text{kinase}}\text{Lys}^{942}$  at the C-lobe of the kinase domain (kinaseC) were determined with criteria as follows: (i)  $_{\text{kinase}}\text{Glu}^{726}$  is located at the surface of kinaseN, and in cancer, its mutation to Lys may promote PI3K $\alpha$  membrane interaction by elevating the positive charge. (ii)  $_{\text{kinase}}\text{His}^{1047}$ , a hotspot oncogenic mutation to Arg at kinaseC plays a similar role, driving PI3K $\alpha$  onto the membrane independent of Ras.<sup>26</sup> (iii) The activation loop at kinaseC is responsible for the lipid substrate recognition for PI3K $\alpha$ .<sup>27</sup> It contains a polybasic stretch characterized by two basic boxes,  $^{941}\text{KKKK}^{944}$  and  $^{947}\text{KRER}^{950}$ , where the  $_{\text{kinase}}\text{Lys}^{942}$  is conserved in all class I PI3K isoforms. This indicates the presence of the  $_{\text{kinase}}\text{Lys}^{942}$  in the PI3K $\alpha$  membrane interactions. We defined the PI3K $\alpha$  membrane binding surface by the positions of residues  $_{\text{kinase}}\text{Glu}^{726}$ ,  $_{\text{kinase}}\text{His}^{1047}$  and  $_{\text{kinase}}\text{Lys}^{942}$  and performed the analysis. The results show that the membrane binding surface in the kinase domain cannot access the membrane in the inactive PI3K $\alpha$  conformation because of steric clash of the iSH2 domain with the membrane. The iSH2 domain of p85 $\alpha$  protrudes  $\sim 23$  Å from the membrane binding surface in p110 $\alpha$  (Fig. 1d).

### Mechanism of PI3K $\alpha$ activation by nSH2 release

To explore the mechanism of PI3K $\alpha$  activation, we released the nSH2 domain from the inactive PI3K $\alpha$  and conducted simulations. Within the simulation timescale, we were able to observe that nSH2 release resulted in significant conformational change in p110 $\alpha$ , illustrating the atomic-level PI3K $\alpha$  activation mechanism. The angles and distances among domains in p110 $\alpha$  were first measured to characterize the structural change in PI3K $\alpha$  upon nSH2 release (Fig. S1a and b†). While all others remained unchanged, the angle of C2–helical–kinaseC and the distance between C2 and kinaseC domain showed obvious increases in response to nSH2 release, indicating that kinaseC movement relative to C2 domain is the key event in PI3K $\alpha$  activation. This is further supported by the principal component analysis (PCA) and normal mode analysis (NMA). The inactive PI3K $\alpha$  and active PI3K $\alpha$ ΔnSH2 were projected onto the first two principal components (PCs) that reflect the overall patterns of motions (Fig. S2†).

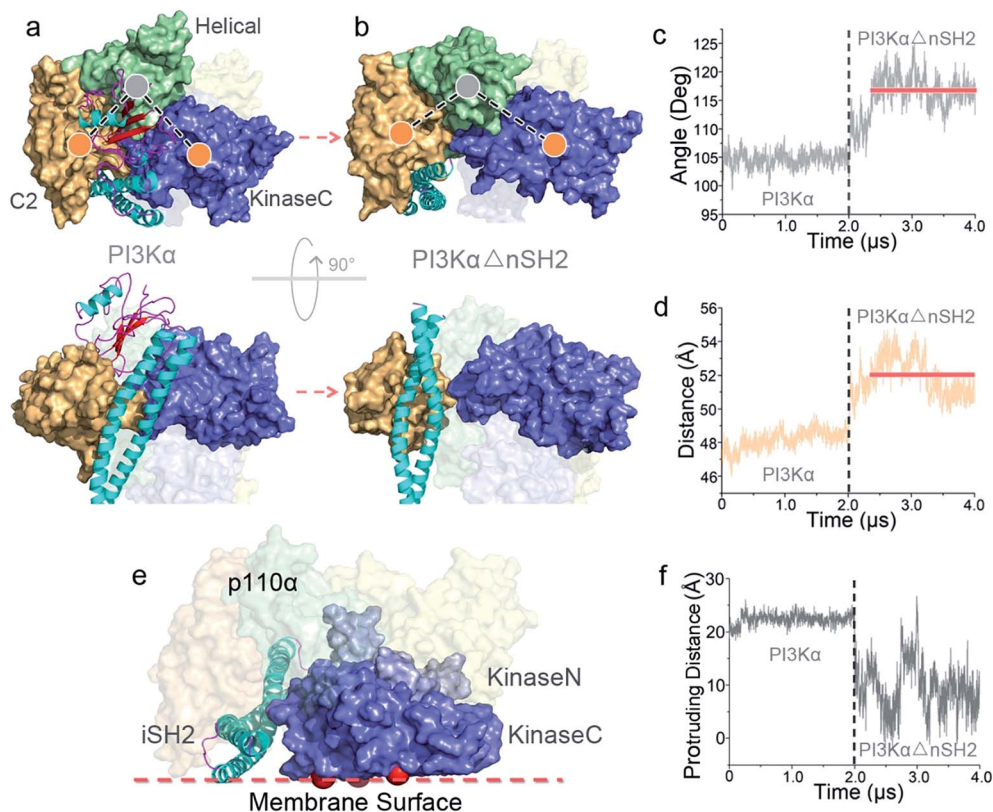
The PI3K $\alpha$  exhibited distinct distributions, supporting the pronounced motion change for PI3K $\alpha$  upon nSH2 release. The normal mode analysis showed that the PI3K $\alpha$  in the inactive state was generally stable (Movie S1†). However, in the active state, nSH2 release greatly altered the correlated domain motions in PI3K $\alpha$ ΔnSH2. While the movements of other domains remained minor, strong correlated motions of kinaseC domain moving away from C2 domain were observed (Movie S2†).

In the inactive PI3K $\alpha$ , C2 and kinaseC interact with both nSH2 and iSH2 domains. nSH2 acts as a core to gather C2, the helical domain and kinaseC (Fig. 1a), rendering a conformational constraint on kinaseC, relative to C2. This conformational constraint was removed by nSH2 release. iSH2 coiled-coil sits in the groove between C2 and kinaseC, providing the additional constraint between C2 and kinaseC. This conformational constraint from iSH2 was also eliminated by nSH2 release. nSH2 interacted with the C2 domain *via* two salt bridges,  $_{\text{nSH2}}\text{Arg}^{348}\text{--}_{\text{C2}}\text{Asp}^{454}$  and  $_{\text{nSH2}}\text{Lys}^{374}\text{--}_{\text{C2}}\text{Asp}^{369}$ . The first is more stable (99.9%) and the latter less (40.1%) (Table S1†). The stable  $_{\text{nSH2}}\text{Arg}^{348}\text{--}_{\text{C2}}\text{Asp}^{454}$  salt bridge confined nSH2 to the iSH2–C2 interface, protecting  $_{\text{iSH2}}\text{Arg}^{574}\text{--}_{\text{C2}}\text{Glu}^{453}$  at the iSH2–C2 interface from solvent attacks. Notably,  $_{\text{iSH2}}\text{Arg}^{574}\text{--}_{\text{C2}}\text{Glu}^{453}$  is the only buried salt bridge at the p85 $\alpha$ –p110 $\alpha$  (iSH2–C2) interface, showing high stability (99%) (Table S1†). nSH2 release exposed this salt bridge to the solvent. The water attacks made it unstable and it eventually broke (Fig. S3a†). This leads to the disruption of the iSH2–C2 interface, triggering iSH2 rotation away from the kinase–C2 groove and eliminating the constraints between C2 and kinaseC. The disruption of the iSH2–C2 interface has been implicated in earlier HDX-MS experiments.<sup>25</sup> iSH2 rotation is coupled with the interacting ABD in p110 $\alpha$ . The structural changes in ABD–RBD linker are in line with earlier HDX-MS data (Fig. S4†).<sup>25</sup>

While the conformational constraints between C2 and kinaseC were removed by nSH2 release, the kinaseC domain showed an immediate response, moving away from the C2 domain (Fig. 2a and b). The C2–helical–kinaseC angle increased from  $\sim 105^\circ$  in the inactive conformation to  $\sim 117^\circ$ , and the center of mass distance between C2 and kinaseC showed an increase of  $\sim 4$  Å upon nSH2 release (Fig. 2c and d). The C2–kinaseC interface was lost, and the interactions between the iSH2 domain and the kinaseC activation loop ( $_{\text{iSH2}}\text{Asp}^{464}\text{--}_{\text{kinase}}\text{Lys}^{944}$ ) were eliminated (Fig. S3b†). This makes the kinaseC domain more solvent-exposed, with the SASA increasing by  $\sim 11.2\%$  (Fig. S3c†). In the PI3K $\alpha$  with nSH2 released, the membrane binding surface in the kinase domain became fully accessible for membrane interaction. The steric clash of the iSH2 domain with the membrane disappeared, since the protrusion distance of iSH2 from the membrane binding surface dramatically decreased (Fig. 2e and f). Residues 436–461 and 580–589 in iSH2 domain have high contacting probability with the membrane in the active PI3K $\alpha$  (Fig. S5†), in agreement with experimental data.<sup>25</sup>

Whereas the kinaseC domain exhibited structural rearrangement upon the nSH2 release other domains did not, as shown in the two-dimensional root-mean-square deviation (2D RMSD) plots (Fig. S6†). The structural change in kinaseC





**Fig. 2** Conformational change in PI3K $\alpha$  upon nSH2 release. nSH2 release triggers (a and b) the movement of kinaseC away from the C2 domain, with (c) the C2–helical–kinaseC angle and (d) the C2–kinaseC center of mass distance increasing. (e) The surface of the kinase domain in PI3K $\alpha$  becomes fully accessible for interaction with the membrane, with (f) the surface distance of iSH2 decreasing.

occurred at the surface for membrane interactions (summarized in Fig. S7†), leading to an overall RMSD up to  $\sim 6.5$  Å (Fig. 3a). Different from the inactive PI3K $\alpha$  where the substrate binding site is far from ATP,<sup>17</sup> the activation loop became more flexible and approached the  $\gamma$ -phosphate of ATP in the activated PI3K $\alpha$  (Fig. 3b). A PIP<sub>2</sub> binding site has been identified in inactive PI3K $\alpha$  conformation, in which the phosphates at positions 4 and 5 in PIP<sub>2</sub> individually bind to Lys<sup>941</sup> in kinaseC and iSH2Arg<sup>461</sup> in p85 $\alpha$ .<sup>17</sup> In the structure, the distance between PIP<sub>2</sub> and ATP is beyond the catalytic distance for phosphoryl transfer (Fig. 4a). The point mutation experiment further argued against a role of the iSH2Arg<sup>461</sup> in p85 $\alpha$  in compensating the phosphates of PIP<sub>2</sub>.<sup>28</sup> These observations indicate that the resolved PIP<sub>2</sub> binding site in the inactive PI3K $\alpha$  conformation likely does not reflect the lipid substrate recognition for PI3K $\alpha$ . Here, the atomic-level activation mechanism indicates a different PIP<sub>2</sub> binding scenario for PI3K $\alpha$ , in which the activation loop (kinaseLys<sup>941–944</sup>) accommodates and kinaseHis<sup>936</sup> deprotonates the PIP<sub>2</sub> substrate in catalysis (Fig. 3c and d). The basic box in the activation loop consisting of four tandem Lys residues has positive charges and showed dispersal of the spatial distributions in the activated PI3K $\alpha$ . The distances between their amino groups were  $\sim 8$ – $16$  Å, fitting the phosphates at positions 4 and 5 of PIP<sub>2</sub> (Fig. S8a†). kinaseHis<sup>936</sup> was located near the basic box and ATP, which may deprotonate PIP<sub>2</sub> to promote phosphorylation (Fig. 3d). This residue has

already been experimentally verified as crucial for PI3K $\alpha$  catalytic activity. Its mutation resulted in a  $\sim 50$ – $100$  fold reduction in the PI3K $\alpha$  activity.<sup>24</sup> To further explore the binding pocket, the time-dependent distance profiles for the residues in the pocket were calculated. The distances between APT and kinaseLys<sup>941–944</sup> and kinaseHis<sup>936</sup> were reduced upon the nSH2 release, generating the minimal distance of  $\sim 7$  Å and  $\sim 3$  Å suitable for substrate binding and deprotonation (Fig. S8b and c†).

PI3K $\alpha$  activation by nSH2 release is enhanced by cSH2 deletion.<sup>29</sup> To explore cSH2's tampering role, we employed two strategies in modeling cSH2 domain into the p110 $\alpha$ –p85 $\alpha$  complex. In the first, we superimposed cSH2 based on the crystal structure of PI3K $\beta$  (Fig. S9a†), in which cSH2 binds to a “regulatory arm” in the kinase domain.<sup>30</sup> In the second, we docked cSH2 into p110 $\alpha$ , where cSH2 is located at the cleft between kinaseN and kinaseC (Fig. S9b†). The presence of cSH2 domain did not affect the structure and dynamics of p110 $\alpha$ . The cSH2 domain bound, albeit loosely, the kinase domain in p110 $\alpha$  (Fig. S9c and d†). These loose interactions covered the membrane binding surface in the kinase domain, interfering with PI3K $\alpha$  activation by nSH2 release. We further released the nSH2 and cSH2 domains to activate the modeled PI3K $\alpha$ . The iSH2 rotation, kinase exposure and the disruption of key residue contacts between p110 $\alpha$  and p85 $\alpha$  were observed (Fig. S3a and b†). We also identified an ATP relocation along the cleft in the kinase domain, reducing the distance between ATP and the lipid substrate binding site.



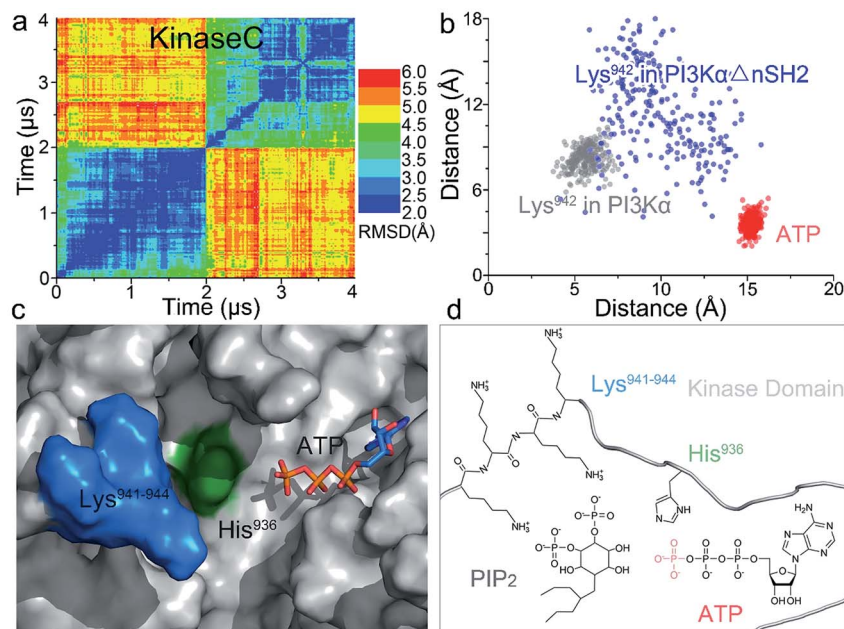


Fig. 3 Structural rearrangement in kinaseC upon nSH2 release suggests PI3K $\alpha$  catalytic scenarios. (a) 2D RMSD plot of kinaseC upon nSH2 release. The catalytic scenario involves (b)  $\text{kinaseLys}^{941-944}$  approaching ATP through structural rearrangement in kinaseC. (c and d) In PI3K $\alpha$  catalysis, the PIP<sub>2</sub> substrate is recognized by  $\text{kinaseLys}^{941-944}$ , deprotonated by  $\text{kinaseHis}^{936}$ , and phosphorylated by the  $\gamma$ -phosphate of ATP.

### Oncogenic mutations in PI3K $\alpha$ activation

Oncogenic mutations in PI3K $\alpha$  have been identified in a wide array of cancers, including breast, stomach, endometrial, uterine, and lung.<sup>31,32</sup> Many of these oncogenic mutations result in increased PI3K $\alpha$  activity leading to cell transformation and tumor development.<sup>33,34</sup> Revealing how these cancer mutations

function in PI3K $\alpha$  activation is the focus of intense PI3K $\alpha$  research, and key to PI3K drug discovery.<sup>35-37</sup> We correlated the residues observed to undergo mutation to the simulated PI3K $\alpha$  in both inactive and active states and observed that the PI3K $\alpha$  activation mechanism determined in this work explains how oncogenic mutations promote PI3K $\alpha$  activation (Fig. 5a).

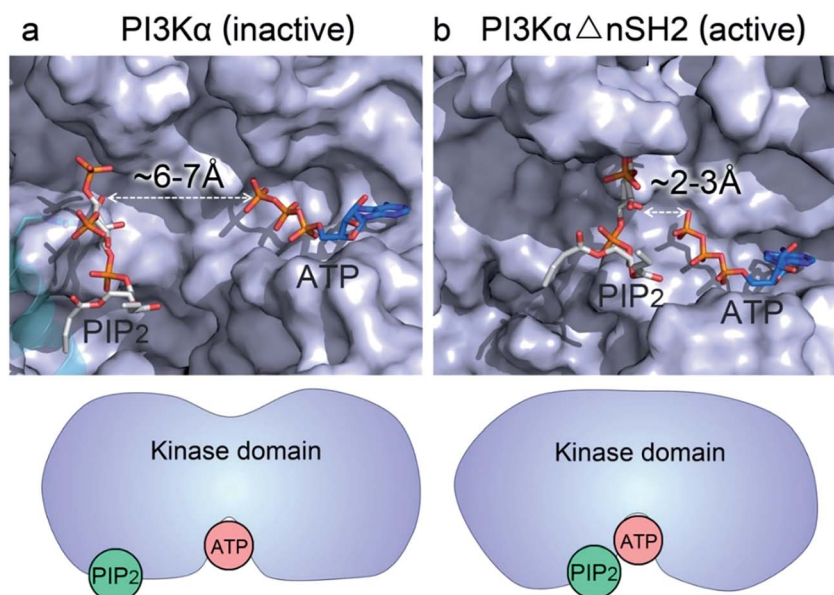


Fig. 4 nSH2 release reduces the PIP<sub>2</sub>–ATP distance in the kinase domain of PI3K $\alpha$ . (a) In the inactive PI3K $\alpha$ , the PIP<sub>2</sub> faces, but is distal to, the  $\gamma$ -phosphate group of ATP with the distance > 6 Å, much too far for the phosphoryl transfer. The position of PIP<sub>2</sub> in the inactive PI3K $\alpha$  is obtained from the crystal structure (4OVV). (b) Upon nSH2 release, PIP<sub>2</sub> gets close to ATP through the conformational change in kinaseC, resulting in a reduced PIP<sub>2</sub>–ATP distance of ~2–3 Å suitable for the phosphoryl transfer. The PIP<sub>2</sub> binding site in the active PI3K $\alpha$  is estimated by the positions of Lys<sup>941-944</sup> in the kinase domain.



nSH2 release triggers PI3K $\alpha$  activation. The pYXXM motif competes with the salt bridges at the nSH2–p110 $\alpha$  interface, activating PI3K $\alpha$  by releasing the nSH2 domain. Two hotspot mutations in the helical domain, E542K and E545K with charge reversal, mimic this process.<sup>38</sup> At the nSH2–helical domain interface, there is another less frequent oncogenic mutation (Gln<sup>546</sup>) in the helical domain. This residue did not form any favorable interaction with the nSH2 domain. We observed that it was spatially close to a basic residue nSH2Lys<sup>382</sup>. The distance of helicalGln<sup>546</sup> to nSH2Lys<sup>382</sup> was less than 5 Å (the top row of Fig. 5b). In most tumor samples, helicalGln<sup>546</sup> is mutated into basic residue (Lys or Arg), yielding a repulsive force that facilitates nSH2 release.

Two frequent oncogenic mutations (C2Cys<sup>420</sup> and C2Asn<sup>345</sup>) in the C2 domain occur at the iSH2–C2 interface. In the inactive PI3K $\alpha$ , C2Asn<sup>345</sup> was adjacent to the basic residue iSH2Lys<sup>561</sup> and C2Cys<sup>420</sup> was spatially close to another basic residue iSH2Lys<sup>567</sup>. The distances between C2Asn<sup>345</sup> and iSH2Lys<sup>561</sup> and between C2Cys<sup>420</sup> and iSH2Lys<sup>567</sup> are  $\sim 2.5$  Å and  $\sim 3.2$  Å, respectively (the first and second rows of Fig. 5b). In most cases, these two residues are mutated to basic residues (Arg or Lys) as well, which may disrupt the iSH2–C2 interface by generating a repulsive force. p85 $\alpha$  contains many truncation mutations starting from iSH2–Arg<sup>557</sup>, destroying the iSH2–C2 interface. The disruption of the iSH2–C2 interface is the signature conformational change in PI3K $\alpha$  activation by nSH2 release. It promotes iSH2 rotation to eliminate the constraints between C2 and the kinaseC, which in turn promotes the kinaseC exposure in PI3K $\alpha$  activation.

iSH2 rotation structurally coupled with the interacting ABD domain in p110 $\alpha$ . ABD contains eight frequent oncogenic

mutations; three of them (R38H/C, R88Q, and R93Q) are located at the ABD–kinase domain interface, and the other five (E81K, G106V/R, R108H, K111E/N, and G118D) are at the ABD–RBD linker. The ABDArg<sup>38</sup>, ABDArg<sup>88</sup> and ABDArg<sup>93</sup> formed salt bridges with kinaseAsp<sup>743</sup>, kinaseAsp<sup>746</sup> and kinaseGlu<sup>710</sup> in the kinase domain (the second and third rows of Fig. 5b). These residues were mutated to uncharged amino acids (Cys or Gln). ABD–RBD linker residues ABDGlu<sup>81</sup>, ABDGly<sup>106</sup>, ABDArg<sup>108</sup>, ABDLys<sup>111</sup> and ABD–Gly<sup>118</sup> are involved in key contacts (the third and fourth rows of Fig. 4b). These oncogenic mutations, which either break the ABD–kinase domain interface or disrupt residue contacts in the linker, may promote iSH2 rotation by rendering ABD higher structural flexibility, contributing to the kinaseC exposure in PI3K $\alpha$  activation.

KinaseC moves away from C2 and the helical domains in PI3K $\alpha$  activation. There are two frequent oncogenic mutations at the kinaseC–helical interface (G1007R and M1004V/I), promoting this process. In the inactive PI3K $\alpha$ , kinaseGly<sup>1007</sup> is close to the basic helicalLys<sup>640</sup>, with a distance of  $\sim 4.8$  Å (the fourth row of Fig. 5b). This residue is mutated into Arg in cancer, which may break the helical–kinase interface by repulsive force. kinaseMet<sup>1004</sup> forms hydrophobic interactions at the helical–kinase interface. Its long side-chain inserted into a hydrophobic core in the helical domain, resulting in small distances to helicalMet<sup>599</sup> and helicalVal<sup>638</sup> (the fourth row of Fig. 5b). In most tumor samples, kinaseMet<sup>1004</sup> is mutated into Val or Ile residues with much shorter side chains.

In addition to kinaseGly<sup>1007</sup> and kinaseMet<sup>1004</sup>, seven oncogenic mutations (E726K, C901F, T1025A, M1043V/I, H1047R and

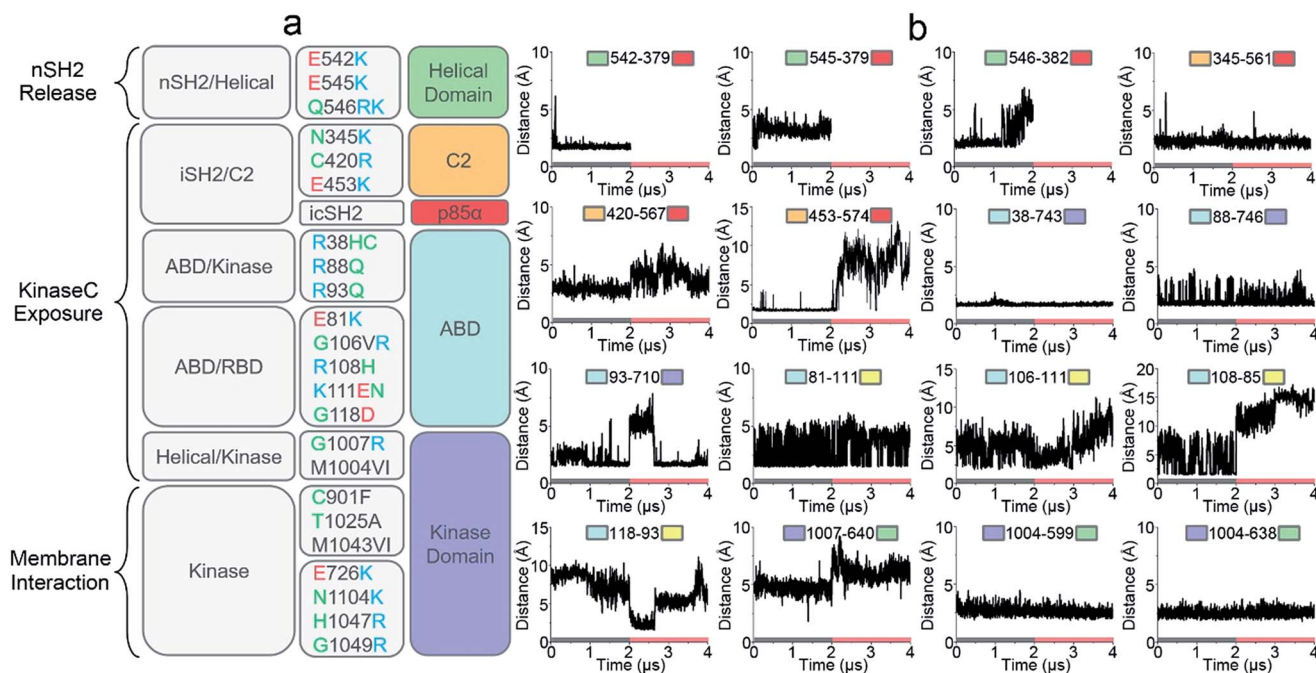


Fig. 5 The roles of oncogenic driver mutations in PI3K $\alpha$  activation. (a) A functional summary of frequent oncogenic mutations in PI3K $\alpha$  activation (mutation data from The Cancer Genome Atlas (TCGA) database). Function-wise, the roles of oncogenic mutations in PI3K $\alpha$  activation involves promoting nSH2 release, kinaseC exposure or PI3K $\alpha$  membrane interactions. (b) The key residue contacts of the oncogenic mutations explain how the mutations promote PI3K $\alpha$  activation.





G1049R, N1104K) in the kinase domain are also very frequent (Fig. 5a).  $\text{kinaseGlu}^{726}$ ,  $\text{kinaseAsn}^{1104}$ ,  $\text{kinaseHis}^{1047}$  and  $\text{kinaseGly}^{1049}$  are at the surface of the kinase domain. These residues are all mutated into Lys or Arg in cancer, promoting PI3K $\alpha$  membrane interactions by providing positive charges. It has been verified that H1047R can activate PI3K $\alpha$  independent of Ras.<sup>26</sup>  $\text{kinaseCys}^{901}$ ,  $\text{kinaseThr}^{1025}$  and  $\text{kinaseMet}^{1043}$  are located at the “regulatory arch” of the kinase domain. These mutations likely contribute to the PI3K $\alpha$  membrane interactions by modulating kinaseC dynamics.

### Drug discovery in PI3K activation

The challenge in PI3K drug discovery is to develop isoform-specific inhibitors.<sup>39,40</sup> Efforts focused on PI3K $\alpha$ . The inhibitors were proposed to compete with ATP in the ATP-binding pocket,<sup>41,42</sup> but failed in clinical trials. Their isoform-specific effects are unexpected, since the ATP-binding pockets are 100% conserved among PI3K isoforms.<sup>5</sup> A drug competing with ATP in the binding pocket would be functionally innovative and powerful; however, challenging to achieve. First, it is hard to compete with ATP in the binding pocket. Its binding affinity in the pocket is in the nanomolar range, and inhibitors are usually in the micromolar range. Second, ATP is an important energy currency, with massive binding targets in the cell. Inhibitors that can compete with ATP in PI3K pockets may also compete with it in other targets, leading to broad ranging off-target toxicities. The mechanism of PI3K $\alpha$  activation determined in this work outlines an isoform-specific PI3K drug discovery principle. In the active PI3K $\alpha$  conformation, a deep cavity between the lipid substrate binding and ATP-binding pocket was observed. Sequence analysis shows that while the ATP-binding pocket is completely conserved among PI3Ks, this cavity is not. The  $\text{helicalAla}^{775}$  and  $\text{helicalLys}^{776}$  at the opening of the cavity are isoform-specific (Fig. 6), and the significance of  $\text{helicalLys}^{776}$  in PI3K $\alpha$  substrate recognition has been shown.<sup>43</sup> The distances of  $\text{helicalAla}^{775}$  and  $\text{helicalLys}^{776}$  to the  $\gamma$ -phosphate group of ATP were  $\sim 4.5$  Å and  $\sim 5.8$  Å, respectively. Instead of competing with the ATP in the

ATP binding pocket, designing molecules targeting this cavity with isoform-specific residues may eliminate PI3K $\alpha$  activity by interfering with substrate binding.

## Discussion

The results presented here determine the mechanism of PI3K $\alpha$  activation at the atomic level. The mechanism agrees with a wide array of available experimental data and explains how oncogenic mutations trigger events in PI3K $\alpha$  activation. In the inactive conformation, the nSH2 domain gathers the C2, helical and kinaseC, leading to a compact p110 $\alpha$  structure (Fig. S10†), with the iSH2 burying and preventing PI3K $\alpha$ 's kinaseC surface from interacting with the membrane. nSH2 release eliminates these constraints and triggers significant conformational change in p110 $\alpha$ . The movement of the kinaseC away from the C2 domain is triggered by nSH2 release. It exposes the kinaseC, which undergoes a structural rearrangement for a proper orientation to interact with the membrane, with the basic box in the activation loop capable of accommodating the lipid substrate approaching the ATP (Fig. S10†).

cSH2 also influences PI3K $\alpha$  activation. Although loosely, it may interact with the kinase domain, interfering with PI3K $\alpha$  activation by covering the membrane binding surfaces. In the modeled cSH2 domains in PI3K $\alpha$ , the pY-binding site is exposed to solvent, similar to PI3K $\beta$ . This implies that its interaction with RTK's pY motif (pYXXM) may happen first, facilitating the approach of the nSH2 domain to another, adjacent pY motif. Thus, nSH2 release likely follows the cSH2-RTK interactions in PI3K $\alpha$  activation; not precedes it. It is still unclear whether nSH2 release-triggered allostery requires the membrane environment. We expect that it may not, since we visualized it in explicit solvent environment in the absence of the membrane. However, a membrane environment may promote this process. Ras is a primary upstream partner, and when active, it is membrane-anchored. Its binding to the RBD domain recruits PI3K $\alpha$  to the membrane (Fig. S10†). The Ras binding site in PI3K $\alpha$  is in-between the RBD and kinaseC. Such a scenario may

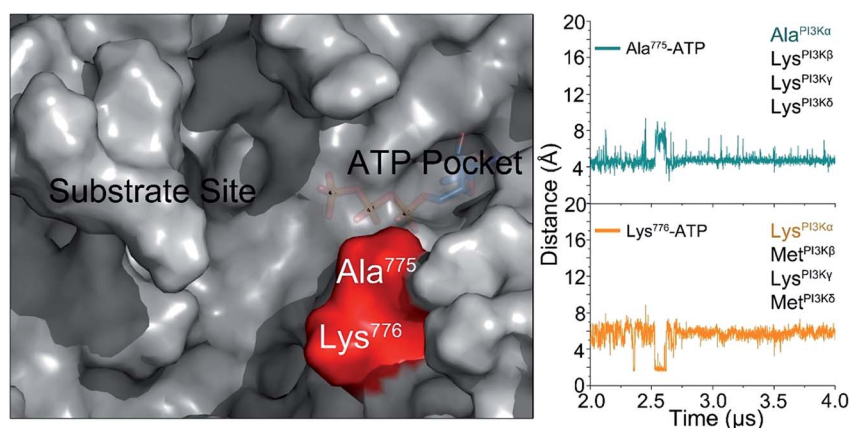


Fig. 6 The isoform-specific residues ( $\text{Ala}^{775}$  and  $\text{Lys}^{776}$ ) in the cavity between substrate binding site and ATP-binding pocket in the active PI3K $\alpha$ . We propose that this isoform sequence-specific cavity can be a target for drug discovery.

suggest that Ras binding to RBD may influence PI3K $\alpha$  activation by modulating kinaseC dynamics.

Unlike the much-studied protein kinases,<sup>44–46</sup> the details of exactly how lipid kinases get activated are still unclear. This may reflect the absence of the structure of the active state, and the complexity introduced by catalysis at the membrane. In protein kinases, the ATP binding pocket is typically adjacent to the substrate binding site. When activated, the  $\gamma$ -phosphate group of ATP may be directly accessible to the bound protein substrate at the surface. Protein kinase A (PKA) provides a typical example. In PKA's active state, the glutamic acid residues that are responsible for substrate recognition are adjacent to the bound ATP.<sup>47</sup> When the substrate is recognized, its catalytic residue directly approaches ATP's  $\gamma$ -phosphate group. However, PI3K lipid kinases differ. Crystal structures of the inactive state have shown that the ATP binding pocket and lipid substrate binding sites are very far from each other (Fig. 4). To execute the lipid phosphorylation, the lipid substrate has to get closer, and this necessitates a series of allosteric motions in both p110 $\alpha$  and p85 $\alpha$ , which are triggered by nSH2 release. The activation mechanism of PI3K lipid kinase which takes place with the kinase domain bound to the membrane, and the substrate membrane-anchored with only its catalytic head projected into the kinase, imposes a different, highly complex scenario. The precise process is consistent with the significance of its product (PIP<sub>3</sub>) in cell signaling and its crucial role in cancer. PI3K isoforms (PI3K $\alpha$ , PI3K $\beta$ , PI3K $\gamma$ , PI3K $\delta$ ) share similar structures. Even though the substrate binding sites of other isoforms have not been identified, and the activation mechanisms not fully determined, we expect that the mechanism bringing ATP and the PIP<sub>2</sub> lipid substrate may be general and applied to other PI3K lipid kinases as well.

## Conclusions

In conclusion, we visualized PI3K $\alpha$  activation at the atomic level. nSH2 release is the trigger for the allosteric activation of PI3K $\alpha$ . The release induces significant allosteric motions in p110 $\alpha$ , leading to exposure of the kinaseC domain for PI3K $\alpha$  membrane interaction. kinaseC experiences structural rearrangement, resulting in reduced ATP–PIP<sub>2</sub> distance with the adjacent kinase-His<sup>936</sup> deprotonating PIP<sub>2</sub> priming it for phosphoryl transfer. Collectively, we provide the first detailed and full activation mechanism of PI3K $\alpha$ , a key lipid kinase in the PI3K/Akt/mTOR proliferation pathway. The activation mechanism that we observed is consistent with available experimental data and verified by its ability to explain how its oncogenic driver mutations work. Finally, the newly gained insights offer a new isoform-specific drug design principle for PI3K cancer therapeutics.

## Materials and methods

### Modeling of inactive PI3K $\alpha$

The initial coordinates of PI3K $\alpha$  were obtained from the protein data bank (PDB code: 4OVV). We modeled ATP into PI3K $\alpha$  based on the p110 $\gamma$  crystal structure with bound ATP (PDB code: 1E8X). In addition to the PI3K $\alpha$  inactive conformation with only nSH2 domain, we employed two strategies to model cSH2 and

the iSH2–cSH2 linker into the p110 $\alpha$ –p85 $\alpha$  complex to explore the other inactive PI3K $\alpha$  ensemble. The coordinates of the cSH2 domain were obtained from the protein data bank (PDB code: 1H9O). We first superimposed the cSH2 based on the crystal structure of other PI3K $\beta$  (PDB code: 2Y3A), in which the cSH2 bound to a “regulatory arm” in the kinase domain.<sup>30</sup> We performed a sequence alignment between PI3K $\alpha$  and PI3K $\beta$  and superimposed their structure to determine the position of cSH2 in the PI3K $\alpha$ . We used in-house codes to the iSH2–cSH2 linker with a random coil structure and fused it into the p110 $\alpha$ –p85 $\alpha$  complex. In the second strategy, we employed the online docking server to model cSH2 into the p110 $\alpha$ . The docking was firstly performed by the geometry-based rigid-body molecular docking algorithm, PatchDock, with the distance constraint to make sure that cSH2 was close to the connected iSH2 domain. The candidates from PatchDock were refined and rescored by FireDock with the side-chain and overall structural flexibility.<sup>48–51</sup> The candidate with highest score was selected with the missing iSH2–cSH2 linker fused and fully relaxed. Before the simulation production, minimizations and short simulations were performed to relax the systems, including (i) 10 000-step minimization with  $\beta$ -sheet and  $\alpha$ -helix structures in PI3K $\alpha$  constrained, (ii) a 20 ns simulation in implicit solvent model with the  $\beta$ -sheet and  $\alpha$ -helix structures in the complex constrained, (iii) 10 000-step minimization without constraints, (ii) a 30 ns simulation with a time step of 1 fs per step in explicit solvent without constraints.

### Molecular dynamics simulation of PI3K $\alpha$ activation

To explore the mechanism of the PI3K $\alpha$  activation by nSH2 release, we removed nSH2 from the inactive PI3K $\alpha$  and performed the simulations. We followed two scenarios: (i) nSH2 release from inactive PI3K $\alpha$  with only nSH2 domain (PI3K $\alpha$ ΔnSH2), and (ii) nSH2 release followed by cSH2 release from inactive PI3K $\alpha$  with both nSH2 and cSH2 domain (PI3K $\alpha$ ΔncSH2). In the first scenario, the simulation was run for 4  $\mu$ s (2  $\mu$ s for the inactive PI3K $\alpha$  and 2  $\mu$ s for the activated PI3K $\alpha$ ΔnSH2). We repeated the simulation of PI3K $\alpha$ ΔnSH2 by assigning the different initial atom velocities into the system. The repeated trajectory reproduced the mechanism, in which the kinaseC domain moved away from C2 domain and became more exposed for membrane interactions. In the second scenario, two inactive conformations with the superimposed and docked cSH2 domain were individually simulated for 1  $\mu$ s first. The interaction energies between cSH2 and p110 confirmed that the PI3K $\alpha$  with the docked cSH2 was more energetically favored. The simulation of the PI3K $\alpha$  with the docked cSH2 domain was then extended to 1.5  $\mu$ s. Subsequently, the nSH2 domain in the inactive PI3K $\alpha$  with the docked cSH2 domain were released and simulated for 1.5  $\mu$ s, followed by another 1.5  $\mu$ s simulation for PI3K $\alpha$  with cSH2 released (PI3K $\alpha$ ΔncSH2). The simulated systems were summarized in Fig. S11.†

### Simulation protocols

Molecular dynamics simulations were performed with the CHARMM all-atom additive force field (version C36)<sup>52</sup> by the





NAMD package.<sup>53</sup> The NPT ensemble was employed in the simulation, with the temperature controlled at 310 K by the Langevin thermostat and the pressure maintained at 1 atm by the Langevin piston. The vdW interaction was described by the switch function with the twin-range cutoffs, and the electrostatic interactions were calculated by the particle mesh Ewald (PME) algorithm with the grid spacing of 1 Å. TIP3 water model was used to solve the protein complexes in the isometric unit cell box. The minimal distance between protein surface and box edge was 12 Å. Na<sup>+</sup> and Cl<sup>−</sup> ions were added to neutralize the systems. A time step of 2 fs generated by the velocity verlet integration was employed. The RATTLE algorithm was used to constrain the covalent bonds with hydrogen atoms. The analysis was performed using the tools in CHARMM, VMD and python scripts. The principal component analysis (PCA) was performed on an ensemble of superimposed structures from both the inactive PI3K $\alpha$  and activate PI3K $\alpha$  $\Delta$ nSH2.<sup>54</sup> The covariance matrix of the atomic coordinates was generated by the cartesian coordinates of the residue Ca atoms. The diagonalization of the covariance matrix yields the eigenvalues and eigenvectors (principal components, PCs). The normal model analysis (NMA) was conducted based on the principal components of structural ensembles for the inactive PI3K $\alpha$  and activate PI3K $\alpha$  $\Delta$ nSH2.

## Author contributions

MZ, HJ, and RN conceived and designed the study. MZ conducted most of the simulations and analyzed the results. MZ, HJ, and RN wrote the paper.

## Conflicts of interest

There are no conflicts to declare.

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