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CO₂ reduction using paper-derived carbon electrodes modified with copper nanoparticles†

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The conversion of CO₂ into useful chemicals can lead to the production of carbon neutral fuels and reduce greenhouse gas emissions. A key technological challenge necessary to enable such a process is the development of substrates that are active, cost effective, and selective for this reaction. In this regard, the reduction of CO₂ *via* electrochemical means is one of the most attractive alternatives but still requires rather unique electrodes. Considering the potential of this approach, this report describes a one-step methodology for the synthesis of carbon electrodes derived from simple paper and modified with various metallic nanoparticles. Upon a preliminary selection based on the catalytic activity towards CO₂ reduction, the electrodes containing CuNPs were further characterized by Raman spectroscopy, and electrical/electrochemical techniques. These electrodes were then applied for the electrochemical reduction of CO₂, leading to the formation of compounds with one carbon atom (formic acid), two carbon atoms (ethenone), three carbon atoms (propanoic acid) and four carbon atoms (butanol and butanoic acid).

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1. Introduction

The development of efficient methods for the conversion of CO₂ into other chemicals can lead to the production of carbon neutral fuels and simultaneously reducing greenhouse gas emissions. The conversion of CO₂ into feedstock chemicals is not only important for selected industrial processes (synthesis of salicylic acid, urea, methanol, cyclic carbonates, polycarbonates, and polyether carbonates),¹ but also critically important for future space exploration missions because it can provide access to local resources freeing up the cargo space for other mission-critical supplies.^{2–5} Because CO₂ is one of the most abundant molecules in many alien atmospheres, its activation can promote local agriculture and manufacturing.⁶

Currently, the activation of CO₂ is performed in batch reactors based on either the Sabatier⁷ or Bosch reactions,⁸ in which high pressure and high temperature are required to overcome the high activation energy of CO₂. In other words, and despite recent advances,^{3,9} most current processes still require large energy inputs to transform CO₂ into useful chemicals.¹⁰ Alternatively, the electrochemical reduction of CO₂ can proceed in ambient environments and is capable of producing a variety of hydrocarbons with high selectivity.^{3,11–13} Various

electrocatalysts, including composites containing transition metals¹⁴ such as Au,¹⁵ Ag,^{16,17} Fe,¹⁸ Co,^{19,20} Sn,²¹ Pd,^{22,23} and Ni²⁴ have been proposed to improve the yield of the electrochemical reduction of CO₂. In addition to those, a variety of Cu-based nanocatalysts can also enhance the electrochemical formation of hydrocarbons from CO₂-saturated aqueous solutions and do so at ambient temperature and pressure.^{12,25–27} More importantly, the unique configuration and density of uncoordinated sites has positioned copper as the most suitable heterogeneous catalyst to produce valuable hydrocarbons and alcohols from CO₂.²⁸ The surface sites facilitate the initial formation of CO *via* breaking one of the C=O bonds in the CO₂ molecule, that can progress (chemically or electrochemically)²⁹ to yield hydrocarbons. In addition to its binding capacity, the surface of Cu-based nanocatalyst can be also tuned to minimize the binding energy of the reaction products, so the surface can be effectively regenerated for the next catalytic cycle. Despite these advantages, the application of Cu-based electrodes is still limited by costs, specific fabrication procedures, and activity.

To address these limitations, this report describes the possibility of using paper-derived carbon electrodes, modified with metallic NPs towards the catalytic reduction of CO₂. The electrodes were fabricated by pyrolysis (under reductive conditions) of paper strips presoaked with solutions containing various metallic salts. The method led to the *in situ* formation of abundant NPs, dispersed throughout the structure of the substrates (without the need of surfactants, commonly used to avoid the NP aggregation). Because they exhibited higher catalytic activity towards the reduction of CO₂, the electrodes

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containing CuNP were selected and further characterized by Raman spectroscopy and electrical/electrochemical techniques. When applied for the reduction reaction, the electrodes allowed the synthesis of compounds with one carbon atom (formic acid), two carbon atoms (ethenone), three carbon atoms (propanoic acid) and four carbon atoms (butanol and butanoic acid). These results show the potential impact of the proposed electrodes for the up-conversion of CO₂.

2. Materials and methods

2.1 Reagents

Sulfuric acid (ACS/FCC, BDH Aristar, 95.0–98.0%) was acquired from VWR (Pittsburgh, PA, USA). Sodium bicarbonate and hexammine ruthenium(III) chloride from Sigma-Aldrich (St. Louis, MO, USA). Copper(II) sulfate, copper(II) chloride, copper(II) acetate, cobalt(II) acetate, and silver nitrate were purchased from Fisher Scientific (Fair Lawn, NJ, USA). All aqueous solutions were prepared using 18 MΩ cm water (NANOpure Diamond, Barnstead; Dubuque, IA) and analytical reagent grade chemicals. Phosphate buffer solution was prepared by dissolving anhydrous Na₂HPO₄ (Fisher Scientific; Fair Lawn, NJ, USA) in ultrapure water. The pH of the solutions was measured using a glass electrode connected to a digital pH meter (Orion 420A+, Thermo; Waltham, MA, USA) and adjusted with 1 mol L⁻¹ solutions of either NaOH or HCl.

2.2 Fabrication of NPs-modified carbon electrodes

Following previous publications from our group,^{30–32} electrodes were obtained by pyrolysis of paper strips (4.5 cm × 1.5 cm; Whatman 3MM chromatography paper; GE Health Care; Pittsburgh, PA) pre-soaked in 1 M solutions of the following metallic salts: CuSO₄, AgNO₃, CuCl₂, Cu(CH₃COO)₂ or Co(CH₃COO)₂. Upon immersion in the selected solution for 5 min, the paper strips were dried in a convection oven (at 50 °C for 30 min), placed between two silicon wafers, and then transferred to a tube furnace (Type F21100, Barnstead-Thermolyne; Dubuque, IA, USA) for pyrolysis. The quartz tube was first flushed with forming gas (5% H₂/95% Ar, 1 L min⁻¹) for 5 min (to remove the O₂ and avoid oxidation reactions) and then allowed to reach a temperature of 1000 °C, at a rate of 20 °C min⁻¹. After 1 h, the tube furnace was turned off and allowed to cool-down to room temperature while maintaining the flow of forming gas. Finally, the pyrolyzed samples were removed from the furnace and stored in a Petri dish until use. The resulting carbon electrodes will be referred to as MeNPs@CE. In order to obtain electrodes with uniform dimensions, MeNPs@CE were fixed to a Plexiglas substrate using double-sided tape and cut using a commercial 30 W CO₂ laser engraver (Mini 24, Epilog Laser Systems; Golden, CO, USA). The resulting electrodes featured a circular pad (0.50 cm²) and a stem, similar to those previously used as sensors.³⁰ Then, stripped 20 gauge tinned copper wires were attached to the stem of the electrodes and silver paint (SPI Supplies; West Chester, PA, USA) was applied to secure the electrical connection. In order to prevent water from wicking up the stem of the electrode (and therefore increasing the electrode area), acrylic

paint was spotted on base of the stem, between the circular pad and the contact area. Plain carbon electrodes (without MeNPs) were also prepared using the conditions described above (but soaking the paper strips in DI water) and used as control.

2.3 Electron microscopy

Micrographs of bare pyrolyzed paper samples and NPs modified carbonized paper were obtained using a scanning electron microscope (SEM, Hitachi S3400) with the acceleration voltage of 15.0 kV. Micrographs of single fiber CuSO₄ modified pyrolyzed paper were obtained using a dual-beam FIB-SEM (Hitachi NB5000) with the acceleration voltage 5.0 kV.

2.4 Electrochemical techniques

Cyclic voltammetry (CV) was performed to investigate the electrochemical performance of the MeNPs@CE. The experiments were performed using 100 mM H₂SO₄, as supporting electrolyte, containing 1 mM K₃Fe(CN)₆/K₄Fe(CN)₆, as the redox couple. In all cases, a standard three-electrode cell comprised of CuNPs@CE, a silver/silver chloride (Ag|AgCl|KCl_{sat}), and a platinum wire were used as working, reference, and counter electrode, respectively. CV experiments were carried out using a CHI660A Electrochemical Analyzer (CH Instruments, Inc.; Austin, TX).

2.5 Electrolysis

CO₂ reduction experiments were performed in a hermetically sealed three-electrode cell comprised of the CuNPs@CE, a silver/silver chloride (Ag|AgCl|KCl_{sat}), and a platinum wire; used as working, reference, and counter electrode, respectively. The electrolyte was 0.5 M NaHCO₃ saturated with CO₂ (pH 7.2) by purging the gas for 30 min at room temperature. The electrolyte was stirred during the electrolysis to assist the surface/bulk exchange of reactants and products. Electrolysis was performed at -1.5 V (vs. Ag|AgCl|KCl_{sat}).

2.6 Electrical and physical characterization of the electrochemical device

In order to gain preliminary information related to the macroscopic properties of the obtained material, both contact angle and resistivity were measured. The contact angle was studied to gain insight into the wettability of the electrode by placing a drop (5 μL) of ultrapure water (18 MΩ cm) onto the CuNPs@CE and taking a lateral black & white photo of the droplet (using an iPhone SE camera). The contact angle was then measured using Low Bond Axisymmetric Drop Shape Analysis (LB-ADSA) plugin for ImageJ software. Resistivity measurements were taken with a Keithley 2636A SourceMeter coupled to a Jandel cylindrical four-point probe with a 1 mm probe spacing. The thickness of the material was then measured using scanning electron microscopy (SEM).

2.7 Raman spectroscopy

Raman spectra were excited with 514.5 nm light from an Ar⁺ ion laser (Innova 200, Coherent, 500 mW). Scattered light was



collected by a $f/1.2$ camera lens (Nikon) in a 45° backscattering geometry and analyzed by triple spectrometer (Triplemate 1877, Spex) equipped with CCD camera (iDUS 420, Andor). The spectral resolution at the excitation wavelength was 0.2 nm. Raman spectrum of indene was used for the spectral calibration.

2.8 Product identification

Although a number of techniques,^{33,34} can be used to determine the reduction products in both the liquid and gas phase, a simple GC-MS methodology was developed for this project. Solid phase micro extraction (SPME) was performed to extract the products from the head space and also from the electrolyte. The selected SPME fiber was a StableFlex™ polydimethylsiloxane/divinylbenzene (PDMS/DVB, 65 μm). SPME support and holder manual for SPME were obtained from Supelco (Bellefonte, PA, USA). Before use, the fiber was conditioned following the instructions from the manufacturer. The fiber was exposed during the 30 min of the electrolysis in both head space and liquid face. Identification of compounds was carried out by GC using a PerkinElmer Elite-5MS and an Agilent DB-WAX (30 m length, 32 mm diameter and 0.25 μm film thickness) fitted in a capillary gas chromatograph-electron impact mass spectrometer (GC-EIMS; Clarus 500, PerkinElmer, Shelton, CT). The GC column was eluted with He (0.7 ml min^{-1}). The GC temperature program was from 35 to 200 $^\circ\text{C}$ at 20 $^\circ\text{C min}^{-1}$, then raised to 240 $^\circ\text{C}$ at 4 $^\circ\text{C min}^{-1}$ and held for 15 min. The mass spectrometer was operated with electron impact ionization energy of 70 eV. The injector temperature was 240 $^\circ\text{C}$, ion source temperature was 120 $^\circ\text{C}$ and the interface temperature was 150 $^\circ\text{C}$.

3. Results and discussion

3.1 Surface topography

Scanning electron micrographs were obtained to determine the size and morphology of the NPs formed *in situ* during the pyrolysis process. In agreement with previous reports,³⁵ a significant reduction of the mass and dimensions of the substrates was observed but in all cases, the initial structure of the cellulose was preserved after the pyrolysis step (Fig. 1A–F). Also, a large number of metallic NPs ranging in size between 500 nm and 1800 nm (with varying shape) were formed on the surface of the carbonized cellulose fibers (Fig. 1B–F). As it can be observed, the shape and the size appeared to be dependent on the metallic salt used to soak the paper and reduced in the presence of the forming gas (containing 5% H_2). These conditions provide a clean and simple way to promote the reduction of the metallic salts to the corresponding nanoparticles.

Table 1 summarizes the diameter and shape of the obtained NPs. It should be also pointed out that the NPs synthesized during the pyrolysis are not only observed on the surface of the material but also distributed within the individual carbon fibers, as can be observed in the cross-sections of a pyrolyzed paper modified with CuSO_4 (Fig. S1 and S2†). This is important because the particles on the surface of the material can provide

enhancements in the catalytic activity of the material while those within the fibers can bridge clusters of graphitic carbon and decrease the resistivity of the material.

3.2 Electrochemical characterization

Cyclic voltammograms using 0.010 M $\text{K}_3[\text{Fe}(\text{CN})_6]/\text{K}_4[\text{Fe}(\text{CN})_6]$ were recorded varying the scan rate, between 50 mV s^{-1} and 400 mV s^{-1} to establish the electrochemical performance of MeNPs@CE. As can be observed in Fig. 2A, the peak current (i_p) corresponding to both the reduction and oxidation processes increased linearly with the square root of the scan rate in all tested electrodes, suggesting that the response is (partially) controlled by the diffusion of the electroactive species. However, the peak potential separation between the reduction and oxidation waves also increased with the scan rate and was significantly larger than 0.059 V/n (Fig. 2B), the value expected for fully reversible systems. In fact, the peak potential differences observed (>200 mV) indicate that the electrochemical process should be characterized as irreversible and that such behavior could be attributed to the intrinsic resistance of the material.

3.3 Electrochemical reduction of CO_2

To investigate the performance of the produced MeNPs@CE towards the CO_2 reduction, cyclic voltammetry experiments were performed. Fig. 3 shows the voltammograms obtained with the produced electrodes using an electrolyte composed of 0.5 M NaHCO_3 saturated with CO_2 . As it can be observed, all MeNPs@CE featured well-defined cathodic waves with onset potentials ranging from -0.5 to -0.8 V (*vs.* $\text{Ag}|\text{AgCl}|\text{KCl}_{\text{sat}}$). Although these values are significantly larger than the equilibrium potentials for the most commonly reported electrochemical products, they do fall within commonly reported overpotentials for the production of hydrocarbons.^{29,36–38} It is also important to note that while the current densities obtained are slightly lower than those reported with other electrodes (2–20 mA cm^{-2} (ref. 29, 37, 39 and 40)), the simplicity of the proposed fabrication strategy could enable their utilization in low-income settings.

Based on the affinity for either $^*\text{CO}$ or $^*\text{H}$, the reduction reactions observed on the produced MeNPs@CE can be attributed to the formation of either CO and H_2 (on AgNPs@CE and CoNPs@CE)^{19,41,42} or “ $>2e^-$ products” (on CuNPs@CE).²⁸ While the reaction rate and pathway can be influenced by a number of variables (material, topography, pH, solvent, temperature, potential, counterions, *etc.*⁴³), it is reasonable for the reduction reaction on the CuNPs@CE to proceed upon the initial one electron transfer to form a surface-bound $\text{CO}_2^{\cdot-}$ intermediate.^{44,45} After that, the balance between the reactivity of both the surface⁴⁶ and the radical formed (half-life ~ 10 ns)⁴⁷ is expected to dominate the distribution of final products.

Considering these results and the fact that copper is known for catalyzing the formation of significant amounts of hydrocarbons (at high reaction rates) over sustained periods of time, electrodes modified with CuNP were considered most advantageous and used for all further experiments.



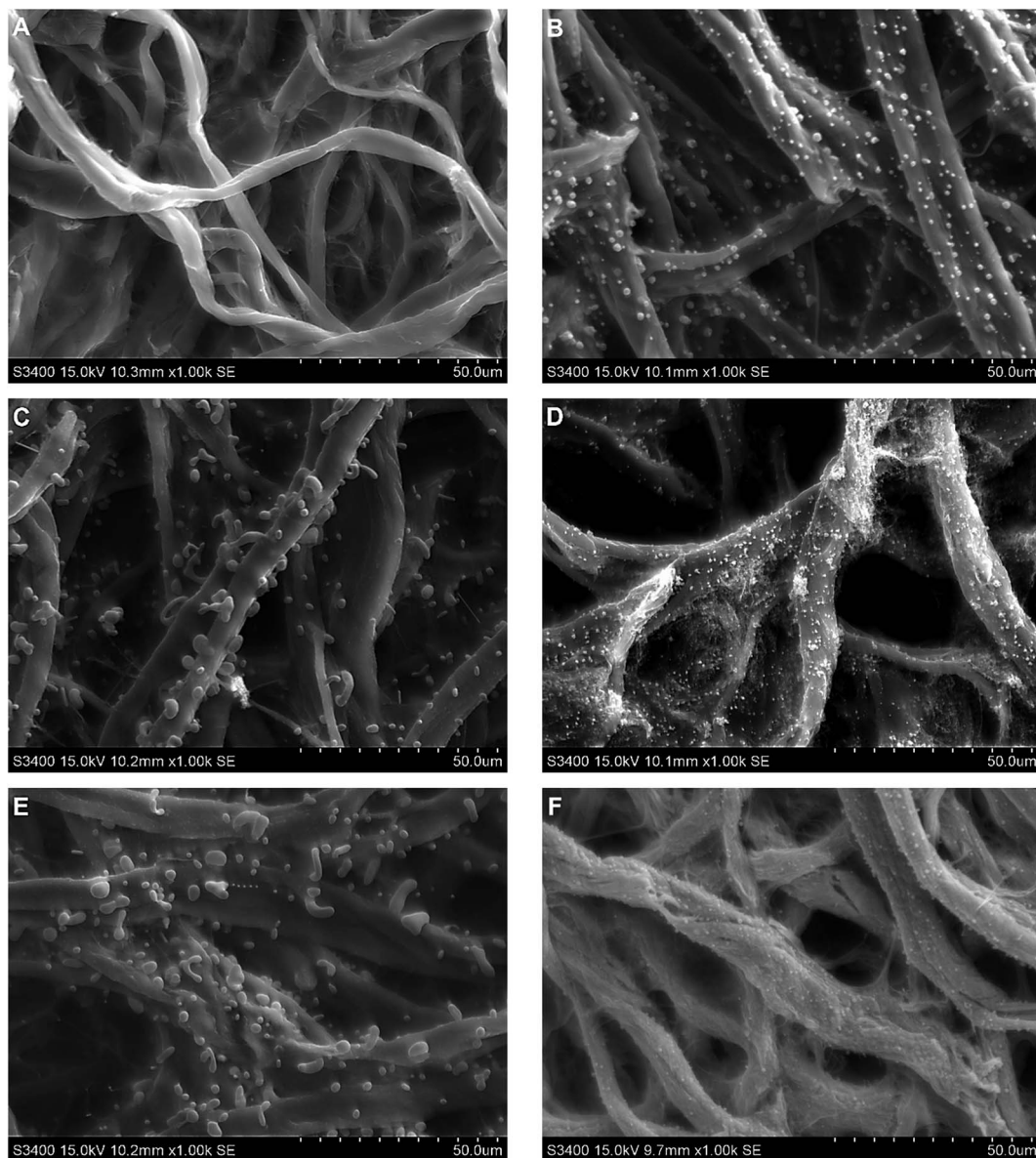


Fig. 1 SEM micrographs for (A) control (plain paper), (B) CuSO_4 , (C) $\text{Co}(\text{CH}_3\text{COO})_2$, (D) $\text{Cu}(\text{CH}_3\text{COO})_2$, (E) CuCl_2 , (F) AgNO_3 .

It is also important to mention that control experiments, performed under identical conditions but without purging the solution with CO_2 did not yield significant signals, confirming that CO_2 was the carbon source for the obtained products. It is also worth mentioning that plain electrodes (paper-derived electrodes prepared in the same way but without metallic nanoparticles) displayed marginal electrochemical activity and thus their performance towards the formation of organic compounds was not investigated.

3.4 Resistivity and contact angle

The effect of the synthesized CuNPs on the resistivity (ρ) of CuNPs@CE was calculated as $\rho = \Omega A/l$, where Ω , A , and l are measured resistance, the cross sectional area (thickness of the material $76.4 \mu\text{m}$), and the distance between the two measuring

electrodes, respectively. The measured resistivity was $2.5 \pm 0.2 \text{ m}\Omega \text{ cm}$ for the CuNPs@CE, value that is slightly lower than that of pyrolyzed electrodes fabricated in the same way but without metallic salts (control, $3.4 \pm 0.2 \text{ m}\Omega \text{ cm}$). This difference

Table 1 Size and shape of the metallic NPs formed on the surface of the paper-derived carbon electrodes

Sample	Diameter (μm)	Shape	Figure
Control (plain paper)	N/A	N/A	1A
CuSO_4	1.8 ± 0.4	Spherical	1B
$\text{Co}(\text{CH}_3\text{COO})_2$	1.8 ± 0.6	Irregular	1C
$\text{Cu}(\text{CH}_3\text{COO})_2$	0.6 ± 0.1	Irregular	1D
CuCl_2	1.7 ± 0.4	Spherical	1E
AgNO_3	0.9 ± 0.2	Spherical	1F



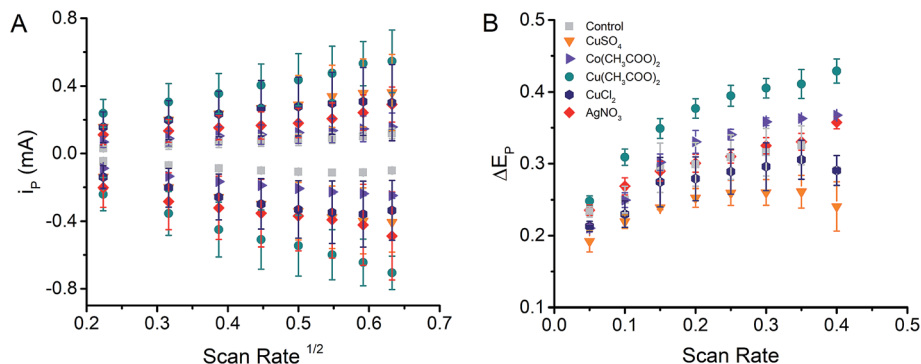


Fig. 2 Dependence of the peak current (i_p) on the square root of the scan rate (A) and dependence of the peak potential difference (ΔE_p) on the scan rate (B) for the electrodes produced.

suggests that while the selected concentration of CuSO_4 (1 M) was able to form abundant particles on the material, it was insufficient to bridge domains of conductive carbon,³¹ effect that is expected to significantly increase the overall electrical conductivity of the electrodes and that can be adjusted if deemed necessary.

The wettability of the electrodes is an important parameter and could have a large effect on the surface chemistry, including CO_2 reduction, in aqueous solutions. Thus, the wettability was determined at room temperature by measuring the contact angle between the electrode surface and a droplet of DI water. The contact angles of CuNPs@CE ($123^\circ \pm 4^\circ$) and control substrates ($137^\circ \pm 4^\circ$) indicates the hydrophobic character of the carbon produced. While the NPs somewhat reduce this hydrophobicity, the hydrophobic character of the electrodes can be detrimental to the electrochemistry in aqueous solutions. In such cases, pre-wetting the electrode with a drop of ethanol or treating the substrate in an air plasma (to oxidize the carbon surface without significant oxidation of CuNPs) can decrease the contact angle. It is also important to mention that these values do not consider the inherent microstructure,⁴⁸ 3D

arrangement and porosity of the substrates^{49,50} and are provided with the sole purpose of comparing the produced electrodes.

3.5 Microstructure of pyrolyzed samples

It is well known that carbon has several allotropes, which can be formed during the pyrolysis step.³⁵ To obtain structural information related to the carbon material in the samples with and without CuNPs , Raman spectroscopy was used. Fig. 4 shows Raman spectra of CuNPs@CE and plain paper (control) samples.

As can be seen in Fig. 4, two distinct bands were observed around 1590 cm^{-1} and 1362 cm^{-1} . These bands were attributed to the corresponding breathing of C–C bonds in graphite-like carbon (sp^2) and the breakdown of the symmetry (defects). The relative intensity of D and G bands was used to obtain information about the overall composition of the produced electrodes. The relative D/G ratio were 2.7 for CuNPs@CE and 2.9 for the control, suggesting the presence of a rather disordered structures in the substrates that is not significantly affected by the CuNPs . Overall, the D/G ratios reported support the resistive behavior observed by the electrochemical

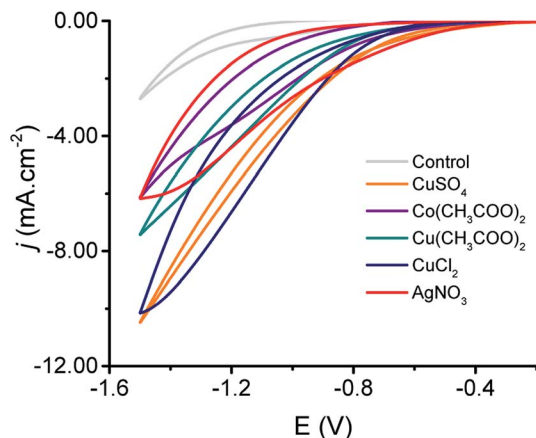


Fig. 3 Cyclic voltammograms obtained with the produced electrodes using a solution containing 0.5 M NaHCO_3 saturated with CO_2 .

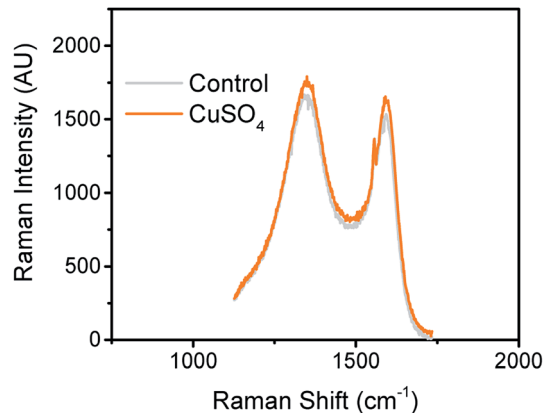


Fig. 4 Raman spectra of pyrolyzed paper modified with CuSO_4 and plain paper (control). The spectra were acquired using the conditions previously described in Experimental section.



Table 2 Products for CO₂ reduction identified by SPME-GC-MS

	Compound	Retention time (min)	Relative concentration ^a (%)
Head space	Formate	10.50	4.34
	Butanoate	20.83	4.25
	Propanoate	31.26	37.56
	Butanol	45.64	4.05
Liquid face	Ethanone	9.11	35.06
	Butanoic acid	31.22	100

^a (peak area/peak area butanoic acid) × 100.

techniques (*vide infra*), also in line with other carbon-based materials commonly used as working electrodes.

3.6 Identification of the CO₂ reduction products

In order to demonstrate the utility of the CuNPs@CE towards the electrochemical reduction of CO₂, the proposed material was used to perform electrolysis in a CO₂-saturated solution containing 0.5 M NaHCO₃ (pH 7.2). These experiments were performed in a hermetically-sealed cell while applying −1.5 V (vs. Ag|AgCl|KCl_{sat}) for 30 min. While the long-term stability of the electrodes⁵¹ was not specifically assessed as part of this project, no obvious degradation of the electrodes was observed during the electrolysis (see Fig. S3†).

The corresponding reaction products were analyzed by SPME-GC-MS. Table 2 summarizes the compounds found in both the head space and the liquid phase. As it can be observed, the most abundant compound identified was butanoic acid (liquid phase), followed by propanoate (head space) and ethanone (liquid phase). Significantly smaller amounts of formate, butanoate and butanol were also found in the head space.

These results are not only in good agreement with the mechanisms reported in the literature for the formation of C₁ and C₂ compounds^{1,12,28,37,38} but also highlight the potential advantages of the proposed electrodes towards the formation of higher compounds with longer chains (C₃ and C₄) *via* an enzyme-like mechanism.⁵² As pointed by most recent reports, controlling the selectivity of this reaction is particularly tricky because it requires avoiding the evolution of hydrogen and simultaneously transferring multiple electrons and protons to CO₂, processes that depend on the applied potential, the residual oxides,^{53,54} and the surface of the catalyst. That said, the proposed Cu-modified surfaces seem to provide a unique route for the formation of the highly energetic CO₂ anion radical, which can then proceed *via* homogeneous chemistry and form the C₂, C₃ and C₄ products. They also provide a convenient alternative for their integration into previously developed cells for CO₂ reduction.⁵⁵

4. Conclusions

This article described a simple approach for the development and fabrication of MeNPs@CE. The procedure is based on the one step *in situ* synthesis of MeNPs by pyrolysis of filter papers

modified with different metallic salts, reduced in the presence of forming gas (5% H₂) and at high temperature. The electrocatalytic activity of obtained materials were first investigated by cyclic voltammetry and the CuNPs@CE was selected. The CuNPs@CE was then characterized by a combination of techniques such as contact angle, resistivity, microscopy, Raman spectroscopy, and voltametry and successfully applied for the electrochemical reduction of CO₂. The reduction reaction lead to the formation of compounds with one carbon atom (formic acid), two carbon atoms (ethanone), three carbon atoms (propanoic acid) and four carbon atoms (butanol and butanoic acid), as identified by a SPME-GC-MS technique. These reactions are critical for the applicability of the electrodes because the subsequent reactions can proceed to form either formate (that typically remains on the surface fouling the electrode) or other organic compounds of much higher chemical value.

Conflicts of interest

There are no conflicts to declare.

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