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## Two dimensional ZnO/AlN composites used for photocatalytic water-splitting: a hybrid density functional study

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Using hybrid density functionals, we study the interfacial interactions and electronic properties of ZnO/AlN composites with the consideration of rotation angles and biaxial strains in order to enhance the photocatalytic performance for water-splitting. The different rotated composites, and -2% strained, original, and 2% strained ZnO/AlN composites can be easily prepared owing to the negative interface formation energies. The bandgaps and band alignments of ZnO/AlN composites can be significantly tuned by biaxial strains. Particularly, the appropriate bandgap for visible light absorption, proper band alignment for spontaneous water-splitting, and the formed electric field promoting photoinduced carrier separation make the 2% strained ZnO/AlN composite a potential candidate for photocatalytic water-splitting. This work shines some light on designing two dimensional heterostructured photocatalysts.

## 1 Introduction

Photocatalytic water-splitting offers a new method for environmental remediation and energy supply through generating clean and recyclable hydrogen by means of either sunlight or artificial light.<sup>1-4</sup> Since TiO<sub>2</sub><sup>5</sup> was reported to have the ability to split water into hydrogen and oxygen in 1972, many scientists have devoted their efforts to develop efficient photocatalysts. To be an ideal water-splitting photocatalyst, it should satisfy the following conditions: (a) the bandgap ( $E_g$ ) for the semiconductor should be adapted to utilize visible light, accounting for 43% of solar energy,<sup>6,7</sup> (b) the conduction band maximum (CBM) must be high enough to allow photoinduced electrons to reduce water, while the valence band maximum (VBM) must be low enough to allow photogenerated holes to oxidize water,<sup>8,9</sup> and (c) the carriers must possess a lower recombination rate and higher mobility.<sup>10,11</sup> Balancing these conditions will affect the photocatalytic activity of a photocatalyst.

Recently, two-dimensional (2D) materials, such as MoS<sub>2</sub>,<sup>12</sup> g-C<sub>3</sub>N<sub>4</sub>,<sup>13,14</sup> C<sub>2</sub>N,<sup>15,16</sup> etc., have exhibited bright promise in the area of photocatalysis owing to their excellent carrier mobility, specific surface area, good stability and tunable interfaces.

Recently, ZnO nanosheets have been reported to be experimentally synthesized. Tushce *et al.*<sup>17</sup> prepared a ZnO nanosheet, which was loaded onto an Ag (111) surface, with O and Zn atoms arranged in a graphene-like planar structure. Besides, Claeyssens *et al.*<sup>18</sup> also proved that the thin ZnO (001) surface film possesses a graphene-like structure. Especially, Kang *et al.*<sup>19</sup> reported that under ultraviolet irradiation the prepared ZnO nanosheet showed excellent ability for photocatalytic degradation of RhB. Besides, many theoretical studies are focused on the magnetism of a ZnO single-layer.<sup>20,21</sup> However, few studies concentrate on the photocatalytic water-splitting of a ZnO single-layer. This may be due to the fact that a ZnO single-layer possesses a large bandgap of 3.25 eV,<sup>22,23</sup> which obviously limits its ability for visible light utilization, leading to a low hydrogen evolution efficiency. Thus, some strategies have to be taken to reduce the bandgap and enhance photocatalytic performance.

Constructing a heterostructure is an effective way to enhance the photocatalytic performance because the reduced bandgap can effectively improve the ability for visible light absorption and the formed electric field can obviously promote the photoinduced carrier separation.<sup>24-26</sup> Lots of composites, such as CdS/g-C<sub>3</sub>N<sub>4</sub>,<sup>27,28</sup> AlN/WS<sub>2</sub>,<sup>29</sup> GaN/WS<sub>2</sub>,<sup>30</sup> WS<sub>2</sub>/Mg(OH)<sub>2</sub>,<sup>31</sup> C<sub>2</sub>N/WS<sub>2</sub>,<sup>32</sup> InSe/C<sub>3</sub>N<sub>4</sub>,<sup>33</sup> g-C<sub>3</sub>N<sub>4</sub>/SnS<sub>2</sub>,<sup>34</sup> BP/MoS<sub>2</sub>,<sup>35</sup> g-C<sub>3</sub>N<sub>4</sub>/WS<sub>2</sub>,<sup>36</sup> etc., exhibit excellent photocatalytic activity. In particular, a series of ZnO single-layer based composites including ZnO/GeC,<sup>37</sup> ZnO/MoS<sub>2</sub>,<sup>38</sup> and ZnO/WS<sub>2</sub> (ref. 39) have also been adapted for overall photocatalytic water-splitting. This encourages us to design more efficient ZnO-based composites to be used as water-splitting photocatalysts. The AlN monolayer and ZnO monolayer share a similar crystal structure and lattice constants,<sup>40,41</sup> which is beneficial for the experimental preparation of ZnO/AlN

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composites. We wonder whether the possible ZnO/AlN composite is adapted for efficient photocatalytic water-splitting. Besides, we are also curious whether the biaxial strains and the pH of the electrolyte can significantly enhance the photocatalytic activity of the ZnO/AlN composite. To solve these problems, a detailed study on the photocatalytic water-splitting of the ZnO/AlN composite is needed.

In this work, we present systematic research on the photocatalytic properties of ZnO/AlN composites by the use of hybrid density functionals. We load different rotated AlN monolayers on a fixed ZnO monolayer to construct rotated ZnO/AlN composites. However, the bandgap of the most stable composite is too large to absorb visible light. Then, we apply biaxial strains to modulate the bandgaps of the ZnO/AlN composites. We find that the 2% strained composite possesses an adapted bandgap for visible light utilization and appropriate alignment for spontaneous hydrogen and oxygen production. Besides, the charge transfer from the AlN layer to the ZnO layer leads to an electric field across the interface region, which inhibits the photoexcited carrier recombination. Our theoretical findings open up new possibilities to design efficient water-splitting photocatalysts based on ZnO and AlN single-layers.

## 2 Computational details

Our calculations are operated using density functional theory (DFT) with the projector augmented wave (PAW)<sup>42,43</sup> approach and generalized gradient approximation (GGA)<sup>44</sup> of the Perdew–Burke–Ernzerhof (PBE)<sup>45</sup> function, and are implemented by the Vienna *ab initio* simulation package (VASP).<sup>46,47</sup> The valence electrons are constructed as: Zn (3d<sup>10</sup>4s<sup>2</sup>), O (2s<sup>2</sup>2p<sup>4</sup>), Al (3s<sup>2</sup>3p<sup>1</sup>), and N (2s<sup>2</sup>2p<sup>3</sup>). A vacuum space of 20 Å perpendicular to the layers is utilized to separate the neighboring slab interactions. The cutoff energy is 500 eV, the force convergence criteria is 0.01 eV Å<sup>-2</sup>, and the energy convergence tolerance is 10<sup>-5</sup> eV. The *k*-point for ZnO and AlN cells is set as 13 × 13 × 1, while the *k*-point for ZnO/AlN composites is set as 7 × 7 × 1. These settings are sufficient for geometrical optimization and total energy calculation. To precisely describe the electronic and optical properties, we employ Heyd–Scuseria–Ernzerhof (HSE).<sup>48</sup> HSE of Hartree–Fock exchange energy of 25% and 10% can ensure the bandgaps for ZnO and AlN single-layers are consistent with the previous experimental and theoretical values. We adopt the average value of 25% and 10% to treat the electronic structures of ZnO/AlN composites, and a similar strategy has been employed to treat the electronic structures of some other semiconductor composites.<sup>49,50</sup>

## 3 Results and discussion

Firstly, we optimize the structures of ZnO and AlN single-layers. The gained lattice constants are 3.290 and 3.127 Å for the ZnO and AlN single-layers, and the Zn–O and Al–N bond lengths are 1.892 and 1.895 Å, respectively. Besides, the obtained bandgaps for the ZnO and AlN single-layers are individually 3.30 and 3.36 eV. These results are consistent with the previously

reported values.<sup>8,22,29,39</sup> We construct the models of ZnO/AlN composites through loading a rotated 2 × 2 AlN single-layer at different angles from 0° to 360° with 60° apart on a fixed 2 × 2 ZnO single-layer, resulting in a lattice mismatch of −4.95%, which ensures the possibility of experimental preparation of the ZnO/AlN composites. The corresponding stacked configurations are shown in Fig. 1.

To discuss the stability of the ZnO/AlN composites, we compute the interface formation energy ( $E_f$ ) by the equation:  $E_f = E_{\text{ZnO/AlN}} - E_{\text{ZnO}} - E_{\text{AlN}}$ , in which  $E_{\text{ZnO/AlN}}$ ,  $E_{\text{ZnO}}$ , and  $E_{\text{AlN}}$  are the total energies of the composite, ZnO monolayer, and AlN monolayer, respectively. Fig. 2 plots the varied  $E_f$  and  $E_g$  values of different rotated ZnO/AlN composites. The  $E_f$  increases from 0° to 180° and falls from 180° to 360°. All the  $E_f$  values are negative, which suggests that the synthesis of these structures is exothermic, which is favorable for experimental preparation. Besides, the rotated configuration of 0° has the smallest  $E_f$  value of −61.6 meV Å<sup>-2</sup>, which means this composite is the most stable structure among all the configurations we considered. Besides, the  $E_f$  value is obviously larger than the previous vdW binding energy of about 20 meV Å<sup>-2</sup> obtained by DFT calculation, thus the composite can be stably synthesized. The varied  $E_g$  values of the different rotated ZnO/AlN composites are 3.01, 2.41, 2.55, 2.49, 2.46, and 2.40 eV, which suggests the most stable composite of 0° is unfavorable for visible light absorption because of the large bandgap.

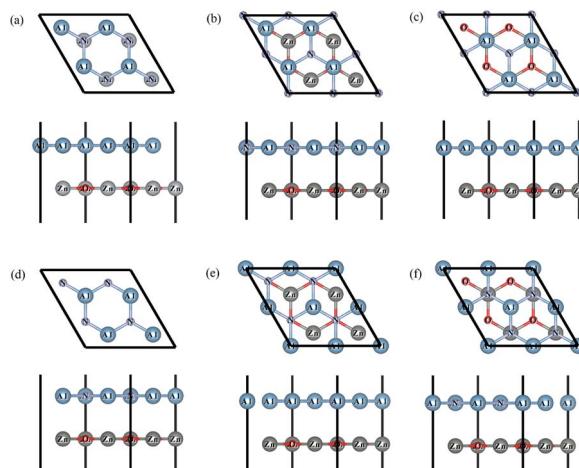


Fig. 1 Top and side views of ZnO/AlN composites of different rotation angles.

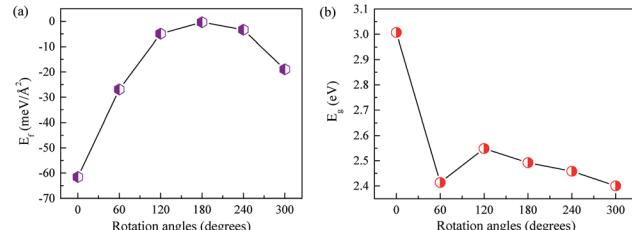


Fig. 2 (a)  $E_f$  and (b)  $E_g$  values of different rotated ZnO/AlN composites.



Commonly, external strain usually has a significant impact on the bandgaps and band alignments of semiconductors. Many previous studies<sup>51–53</sup> report the successful application of biaxial strain to improve photocatalytic properties for water-splitting. Herein, we also apply biaxial strain to modulate the bandgaps and band alignments so as to enhance the photocatalytic performance of ZnO/AlN composites for water-splitting. The biaxial strain is calculated by the equation:  $\varepsilon = (c - c_0)/c_0 \times 100\%$ , in which  $c$  and  $c_0$  represent the lattice parameters of the strained and original supercells, respectively, of the ZnO/AlN composites. Fig. 3 lists the varied  $E_f$  and  $E_g$  values of different biaxial strained ZnO/AlN composites. The  $E_f$  values fall in the strain range from  $-6\%$  to  $0$  but increase in the strain range from  $0$  to  $6\%$ . The  $E_f$  values of the different strained composites are  $58.42$ ,  $14.87$ ,  $-8.57$ ,  $-61.59$ ,  $-12.11$ ,  $0.25$ , and

$18.37$  eV  $\text{\AA}^{-2}$ . The  $E_f$  values of the strained composites of  $\varepsilon = -2\%$ ,  $0$ , and  $2\%$  are negative, suggesting these composites can be experimentally prepared. The gained  $E_g$  values for the different strained composites are  $2.89$ ,  $3.27$ ,  $3.22$ ,  $3.01$ ,  $2.72$ ,  $2.48$ , and  $2.25$  eV. The bandgaps for the composites of  $\varepsilon = 2\%$ ,  $4\%$ , and  $6\%$  are favorable for absorbing visible light.

An efficient photocatalyst should not only possess a suitable bandgap for fully using visible light but also have proper band alignments with respect to the water redox potentials. Namely, the CBM and VBM must be respectively above the  $\text{H}^+/\text{H}_2$  potential and below the  $\text{O}_2/\text{H}_2\text{O}$  potential. It is well known that the standard hydrogen electrode potential relies on the pH values. The potentials of  $\text{H}^+/\text{H}_2$  and  $\text{O}_2/\text{H}_2\text{O}$  are separately calculated by  $E_{\text{H}^+/\text{H}_2} = -4.44$  eV + pH  $\times 0.059$  eV (ref. 54) and  $E_{\text{O}_2/\text{H}_2\text{O}} = E_{\text{H}^+/\text{H}_2} - 1.23$  eV =  $-5.67$  eV + pH  $\times 0.059$  eV.<sup>55</sup> We give the band alignments of the ZnO/AlN composites relative to the water redox levels in Fig. 4. The band edges of the strained composite of  $\varepsilon = -2\%$  span the water redox levels within the pH range of  $7.2$ – $14$ , implying this strained composite is beneficial for spontaneous hydrogen and oxygen production in the proper pH range. The strained composites of  $\varepsilon = -6\%$ ,  $-4\%$ ,  $0$ ,  $2\%$ ,  $4\%$ , and  $6\%$  span the water redox potentials within the pH range of  $0$ – $14$ , which means that these strained composites are thermodynamically beneficial for both oxidation and reduction reactions in any pH value, *i.e.*, the photocatalytic properties of these strained composites are not limited by the pH value. Besides, the strained composites of  $\varepsilon = 2\%$ ,  $4\%$ , and  $6\%$  are also suitable for absorbing visible light. Especially, the composite of  $\varepsilon = 2\%$  is easy to be experimentally prepared due to the negative interface formation energy. The strained ZnO/AlN of  $\varepsilon = 2\%$  is a promising candidate for photocatalytic water-splitting. Thus, the following discussion is focused on the ZnO/AlN of  $\varepsilon = 2\%$ .

To explore the physical essence of the ZnO/AlN composite, we plot the density of states (DOS), projected density of states (PDOS), and band structures of the strained ZnO/AlN composite (see Fig. 5). The ZnO/AlN composite is an indirect bandgap semiconductor as the VBM and CBM respectively sit at the different points of  $K$  and  $\Gamma$ . The VBM of the ZnO/AlN composite is dominated by Zn 3p, Zn 3d, O 2p, and N 2p states, while the CBM mainly consists of Zn 4s, O 2s, and N 2s states. Considering the electronic transition of angular momentum selection rules of  $\Delta l = \pm 1$ , after absorbing photons the electrons below the Fermi level will be excited from N 2p, O 2p, and Zn 3p states to Zn 4s, O 2s, and N 2s states.

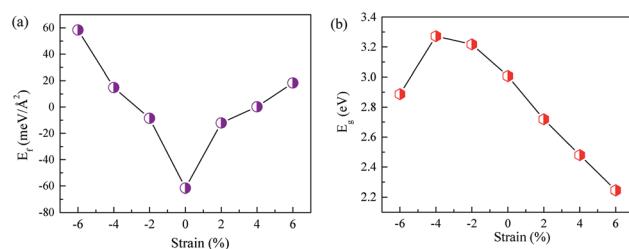


Fig. 3 (a)  $E_f$  and (b)  $E_g$  values of different strained ZnO/AlN composites.

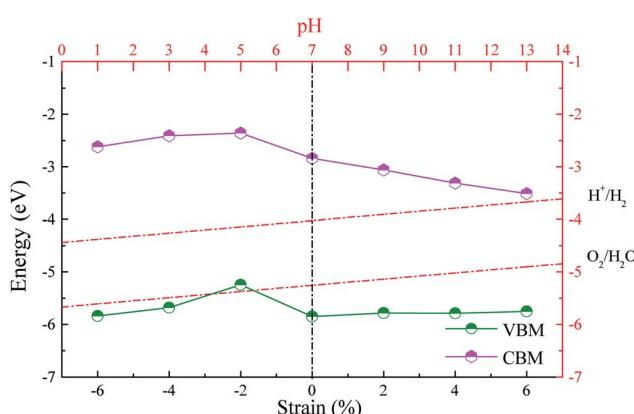


Fig. 4 Band alignments of ZnO/AlN composites with respect to water redox levels.

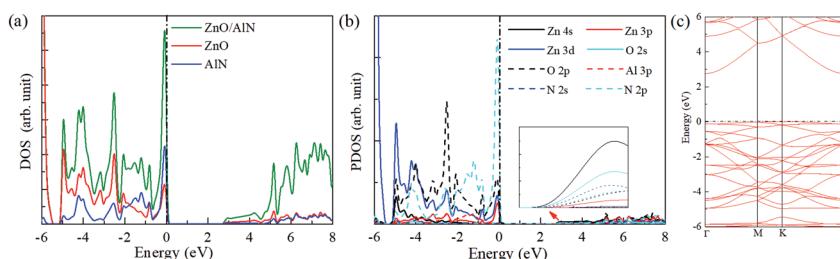


Fig. 5 (a) DOS, (b) PDOS, and (c) band structures of the 2% strained ZnO/AlN composite. The Fermi level is set to zero.



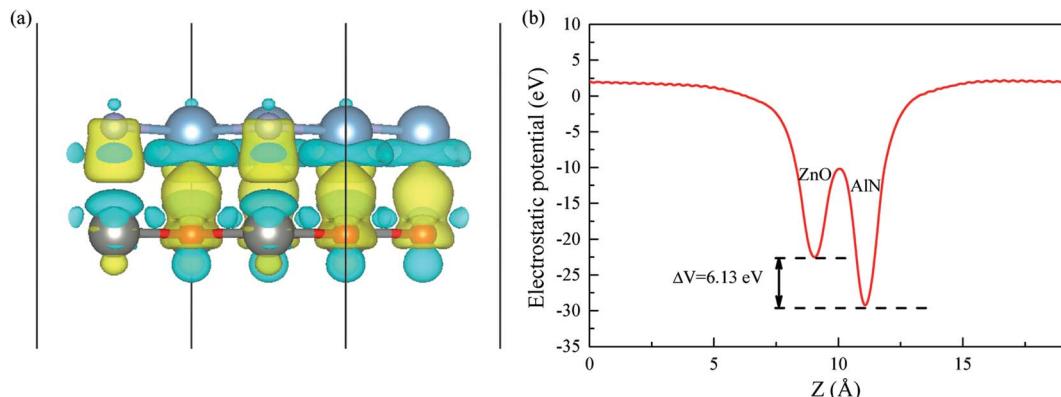


Fig. 6 (a) The charge density difference of the ZnO/AlN composite. Yellow and cyan regions separately represent charge accumulation and depletion. (b) The planar averaged electrostatic potential of the ZnO/AlN composite as a function of position in the vacuum direction.

We also calculate the three-dimensional charge density difference of the ZnO/AlN composite to acquire the charge redistribution across the interface region. The formula for the corresponding calculation is:

$$\Delta\rho = \rho_{\text{ZnO/AlN}} - \rho_{\text{ZnO}} - \rho_{\text{AlN}} \quad (1)$$

in which  $\rho_{\text{ZnO/AlN}}$ ,  $\rho_{\text{ZnO}}$ , and  $\rho_{\text{AlN}}$  respectively stand for the charge densities of the ZnO/AlN composite, ZnO single-layer, and AlN single-layer. As plotted in Fig. 6(a), it can be clearly seen that charge redistribution takes place within the interface region. On the basis of Bader charge analysis, the amount of  $0.786 e$  electron transfers from the AlN layer to the ZnO layer, implying a potential drop exists within the interface region of the ZnO/AlN composite. Fig. 6(b) displays the planar averaged electrostatic potential of the ZnO/AlN composite as a function of the position in the vacuum direction. The AlN layer has a deeper potential than the ZnO layer, and the potential difference ( $\Delta V$ ) is  $6.13 \text{ eV}$ , which is big enough to cause an electric field from the AlN layer to the ZnO layer. The induced electric field accelerates the migration of photoinduced carriers, thus restraining the photogenerated carrier recombination rate, which is beneficial for improving the photocatalytic activity.

The optical absorption is another indispensable factor to judge the photocatalytic properties of semiconductors. The photo-absorption coefficient is determined by the real part and imaginary part of the complex dielectric function<sup>56</sup>

$$\varepsilon(\omega) = \varepsilon_1(\omega) + i\varepsilon_2(\omega) \quad (2)$$

which is connected with frequency. The optical absorption coefficient  $\alpha(\omega)$  is obtained by<sup>56</sup>

$$\alpha(\omega) = \sqrt{2}\omega\sqrt{\sqrt{\varepsilon_1^2(\omega) + \varepsilon_2^2(\omega)} - \varepsilon_1(\omega)} \quad (3)$$

and then, the imaginary part of permittivity can be calculated by<sup>57</sup>

$$\varepsilon_2(\hbar\omega) = \frac{2e^2\pi}{\Omega\varepsilon_0} \sum_{k,v,c} \left| \left\langle \psi_k^c | \mathbf{u} \cdot \mathbf{r} | \psi_k^v \right\rangle \right|^2 \delta(E_k^c - E_k^v - \hbar\omega) \quad (4)$$

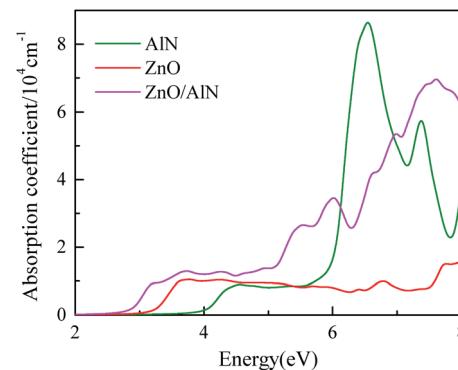


Fig. 7 Optical spectra of ZnO single-layer, AlN single-layer, and the ZnO/AlN composite.

where  $\omega$ ,  $\Omega$ ,  $\mathbf{u}$ ,  $\psi_k^c$ , and  $\psi_k^v$  stand for photon frequency, the volume of the crystal, the polarization vector of the incident electric field, and unoccupied and occupied wave functions at  $k$  points in inverted lattice space. The real part of permittivity can be obtained through the imaginary part and connected with the Kramer–Kronig relation<sup>58</sup>

$$\varepsilon_1(\omega) = 1 + \frac{2}{\pi}p \int_0^\infty \frac{\varepsilon_2(\omega')\omega'}{\omega'^2 - \omega^2} d\omega'. \quad (5)$$

We show the calculated optical spectra of the ZnO/AlN composite, ZnO monolayer, and AlN monolayer in Fig. 7. The ZnO and AlN single-layers can hardly absorb visible light owing to their wide bandgaps. On the contrary, the absorption curve of the strained ZnO/AlN composite of  $\varepsilon = 2\%$  obviously shifts forward to the visible light region. Consequently, we can make the conclusion that the ZnO/AlN composite of  $\varepsilon = 2\%$  is a promising water-splitting photocatalyst.

## 4 Conclusions

In summary, we designed different rotated and biaxial strained ZnO/AlN composites so as to enhance the photocatalytic



performance for water-splitting. The different rotated composites and the strained composites of  $\varepsilon = -2\%$ , 0, and 2% can be experimentally prepared due to the negative interface formation energies. Biaxial strains can effectively modulate the bandgaps and band alignments. Especially, the strained composite of  $\varepsilon = 2\%$  possesses an appropriate bandgap for fully utilizing visible light and appropriate band alignments for spontaneous water-splitting. Besides, the electrons transferring from the AlN to ZnO layer result in an electric field around the interface region, promoting photoinduced carrier separation and improving photocatalytic activity. These predictions indicate that the 2% strained ZnO/AlN composite is a promising water-splitting photocatalyst.

## Conflicts of interest

There are no conflicts to declare.

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