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# Structures and characteristics of atomically thin ZrO<sub>2</sub> from monolayer to bilayer and two-dimensional ZrO<sub>2</sub>–MoS<sub>2</sub> heterojunction†

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The understanding of the structural stability and properties of dielectric materials at the ultrathin level is becoming increasingly important as the size of microelectronic devices decreases. The structures and properties of ultrathin ZrO<sub>2</sub> (monolayer and bilayer) have been investigated by *ab initio* calculations. The calculation of enthalpies of formation and phonon dispersion demonstrates the stability of both monolayer and bilayer ZrO<sub>2</sub> adopting a honeycomb-like structure similar to 1T-MoS<sub>2</sub>. Moreover, the 1T-ZrO<sub>2</sub> monolayer or bilayer may be fabricated by the cleavage from the (111) facet of non-layered cubic ZrO<sub>2</sub>. Moreover, the contraction of in-plane lattice constants in monolayer and bilayer ZrO<sub>2</sub> as compared to the corresponding slab in cubic ZrO<sub>2</sub> is consistent with the reported experimental observation. The electronic band gaps calculated from the GW method show that both the monolayer and bilayer ZrO<sub>2</sub> have large band gaps, reaching 7.51 and 6.82 eV, respectively, which are larger than those of all the bulk phases of ZrO<sub>2</sub>. The static dielectric constants of both monolayer ZrO<sub>2</sub> ( $\epsilon_{\parallel} = 33.34$ ,  $\epsilon_{\perp} = 5.58$ ) and bilayer ZrO<sub>2</sub> ( $\epsilon_{\parallel} = 33.86$ ,  $\epsilon_{\perp} = 8.93$ ) are larger than those of monolayer h-BN ( $\epsilon_{\parallel} = 6.82$ ,  $\epsilon_{\perp} = 3.29$ ) and a strong correlation between the out-of-plane dielectric constant and the layer thickness in ultrathin ZrO<sub>2</sub> can be observed. Hence, 1T-ZrO<sub>2</sub> is a promising candidate in 2D FETs and heterojunctions due to the high dielectric constant, good thermodynamic stability, and large band gap for applications. The interfacial properties and band edge offset of the ZrO<sub>2</sub>–MoS<sub>2</sub> heterojunction are investigated herein, and we show that the electronic states near the VBM and CBM are dominated by the contributions from monolayer MoS<sub>2</sub>, and the interface with monolayer ZrO<sub>2</sub> will significantly decrease the band gap of the monolayer MoS<sub>2</sub>.

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## Introduction

With the discovery of graphene, two-dimensional (2D) materials have attracted wide attention due to their excellent properties and many possible applications in the fields of electronic devices and catalysis.<sup>1–8</sup> Among the applications of 2D materials in microelectronic devices, 2D field-effect transistors (FETs) have attracted tremendous attention due to their excellent electrical characteristics, such as ultra-low power consumption, high current switching ratio, and large carrier mobility.<sup>9–11</sup> The semiconductor layers in 2D FETs are 2D semiconductor materials such as MoS<sub>2</sub>, WS<sub>2</sub>, and phosphorus.

The dielectric materials commonly used as dielectric layers of 2D FETs include zirconium dioxide (ZrO<sub>2</sub>), hafnium oxide (HfO<sub>2</sub>), silicon dioxide (SiO<sub>2</sub>), and hexagonal layered boron nitride (h-BN).<sup>3,5,11,12</sup> In general, the dielectric layer plays a key role in the performance of 2D FETs. The interfacial properties

between the dielectric layer and the 2D semiconductor layer are critical to the performances of 2D FETs.<sup>13–15</sup> The interfacial impurities and the scattering of remote phonons can seriously affect the carriers in the 2D semiconductor and cause a decrease in the device performance due to the atomic-scale characteristics of 2D semiconductor materials.<sup>14–17</sup> Monolayer and few-layer h-BN possess excellent properties such as large band gaps (5.97 eV), good mechanical strength, surface charge traps and absence of dangling bonds, which make h-BN a popular dielectric substrate in 2D FETs.<sup>18–20</sup> Moreover, adopting h-BN as the dielectric layer in 2D FETs can effectively shield scattering effects to increase the carrier mobility. Many previous reports have indicated that high-*k* materials with larger dielectric constant can effectively increase the carrier mobility of 2D semiconductors by reducing the scattering of Coulomb impurities.<sup>6,21,22</sup> However, the dielectric constant of monolayer h-BN ( $\epsilon_{\parallel} = 6.82$ ,  $\epsilon_{\perp} = 3.29$ ) is not outstanding,<sup>23</sup> particularly being only 3.29 along the out-of-plane direction, which may limit the performance of the device. Thus, it is desirable to find a dielectric material with fewer interfacial defects, high dielectric constant and a large band gap to improve the performances of 2D FETs.

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To increase the gate capacitance and preserve high channel mobility through the dielectric screening effect, the integration of high- $k$  technology with MoS<sub>2</sub> transistors is essential.<sup>5</sup> ZrO<sub>2</sub> is widely used as a high- $k$  dielectric material in the dielectric layer of FETs. Compared with h-BN, bulk ZrO<sub>2</sub> has more surface defects and impurities on the surface, which greatly reduces the electrical properties of the device. It is well known that the bulk ZrO<sub>2</sub> has three polymorphs at atmospheric pressure, which are cubic fluorite ( $Fm\bar{3}m$ ) from 2377 to 2710 °C, tetragonal ( $P4_2/nmc$ ) from 1205 to 2377 °C and monoclinic structures ( $P2_1/c$ ) at room temperature.<sup>24</sup> With respect to the surface condition of these three polymorphs, the surface defects of the monoclinic phase and the tetragonal phase are much larger than those of the cubic phase and thus, the surface of the cubic phase prevents the decrease in electrical properties of the semiconductor. However, at ambient atmosphere, the cubic and tetragonal phases of pure ZrO<sub>2</sub> are dynamically unstable, except for the monoclinic phase.<sup>25,26</sup> It is worth noting that the stability properties of bulk and ultra-thin materials may be different. In addition, the thickness of the gate dielectric layer has a significant effect on the performance of the transistor. In order to reduce the gate voltage to achieve good switching behavior, a thinner gate dielectric layer is also required.<sup>27</sup> Therefore, it is demanding to explore the stable structure and properties of ZrO<sub>2</sub> at the ultrathin level (monolayer or few-layer).

For transition metal dichalcogenides (TMDs), there are mainly two different monolayer structures, one of which is the 2H structure with hexagonal honeycombs and the other is the 1T structure with centered honeycombs.<sup>28</sup> In this paper, the stabilities of the 1T structures of monolayer and bilayer ZrO<sub>2</sub>, which are analogous to the 1T structure of TMDs, have been investigated. The phase stability and dynamical stability of monolayer and bilayer 1T-ZrO<sub>2</sub> are revealed based on the calculations of the formation energy and phonon dispersion, respectively. The feasible methods of experimental preparation of monolayer and few-layer 1T-ZrO<sub>2</sub> are also discussed. Next, the band structures and static dielectric constants of monolayer and bilayer ZrO<sub>2</sub> are calculated, and the band gap values of 2D ZrO<sub>2</sub> are predicted by the GW method in comparison with bulk ZrO<sub>2</sub> polymorphs. Finally, we exhibit the interfacial properties of heterojunctions formed by 2D ZrO<sub>2</sub>/MoS<sub>2</sub>, including the effects of the monolayer 1T-ZrO<sub>2</sub> dielectric layer on the electronic structure of monolayer MoS<sub>2</sub> and electron transfer and redistribution on the interface of the ZrO<sub>2</sub>-MoS<sub>2</sub> heterojunction.

## Methods

All calculations except for the GW correction were implemented within the framework of the density functional theory (DFT) with a plane-wave basis by means of CASTEP in the Materials Studio 17.1.<sup>29</sup> The interactions between the atomic core and the valence electrons were described by the on-the-fly generated (OTFG) norm-conserving pseudopotentials in the CASTEP code. The generalized gradient approximation (GGA) parametrized by the Perdew–Burke–Ernzerhof (PBE) functional was chosen for the exchange–correlation term, and the kinetic energy cut-off was set to 1200 eV for the plane-wave basis sets. The Brillouin zone was

sampled by a Monkhorst-Pack grid of  $15 \times 15 \times 1$  in our calculations. The full geometry optimization of structures was implemented by the Broyden–Fletcher–Goldfarb–Shanno (BFGS) scheme.<sup>30</sup> The total energy difference, the maximum Hellmann–Feynman forces acting on each atom, the maximum stress, and the maximum displacement change were below  $5 \times 10^{-6}$  eV per atom,  $0.01 \text{ eV \AA}^{-1}$ ,  $0.02 \text{ GPa}$ , and  $5 \times 10^{-4} \text{ \AA}$ , respectively, as the convergence tolerance for the geometry optimization. An adequate vacuum layer (larger than  $18 \text{ \AA}$ ) along the  $c$ -direction was adopted to avoid the spurious interlayer interactions between periodic images. The van der Waals (vdW) correction with the semiempirical correction methods of the Grimme scheme<sup>31</sup> was implemented for our calculations. Structural stability was determined by phonon calculations based on density functional perturbation theory (DFPT).<sup>32</sup> The static dielectric constant (containing both the ionic and the electronic response) was calculated by the DFPT formalism of the electric field. In the calculation of the electronic properties in the 2D ZrO<sub>2</sub>-MoS<sub>2</sub> heterojunction (a semiconducting 2H monolayer of MoS<sub>2</sub> interfacing with a ZrO<sub>2</sub> monolayer), the parameter settings of the exchange–correlation term, pseudopotentials, cutoff energy, and  $k$ -point sampling were consistent with the calculations of monolayer and bilayer ZrO<sub>2</sub>. Dipole correction was applied to the calculations of properties of the 2D ZrO<sub>2</sub>-MoS<sub>2</sub> heterojunction. The band edge positions relative to the vacuum level were determined by averaging the electrostatic potential in the planes perpendicular to the normal of the slabs (monolayer and bilayer ZrO<sub>2</sub>, 2D ZrO<sub>2</sub>-MoS<sub>2</sub> heterojunction).

In order to give a more reliable band gap prediction for the few-layer ZrO<sub>2</sub>, the GW method was used to correct the band structures obtained from DFT-GGA, which has the well-known band gap underestimation phenomenon. GW calculations were performed using the standard one-shot  $G_0W_0$  approach implemented in the ABINIT8.2.2 package.<sup>33</sup> The Fritz-Haber-Institute (FHI) norm-conserving pseudopotential (Troullier–Martins scheme)<sup>34</sup> was chosen. Monkhorst-Pack grids of  $8 \times 8 \times 8$ ,  $8 \times 8 \times 6$ ,  $6 \times 6 \times 6$ , and  $12 \times 12 \times 1$  were used in the GW calculations for cubic, tetragonal, monoclinic, and 2D ZrO<sub>2</sub> respectively. The screening in the GW calculation was treated with the plasmon-pole model. The polarization function was calculated within the random phase approximation. Coulomb interaction was truncated using a cutoff radius slightly smaller than half the periodic length along the perpendicular direction in the GW calculation of monolayer and bilayer ZrO<sub>2</sub>.

## Results and discussion

### Structures of monolayer and bilayer ZrO<sub>2</sub>

In this study, we have investigated the possible structures of monolayer and bilayer ZrO<sub>2</sub> by optimizing various slabs cut from bulk ZrO<sub>2</sub> polymorphs and by deriving similar structures of TMDs. We found that the 1T structure of monolayer and bilayer ZrO<sub>2</sub>, which is analogous to 1T-MoS<sub>2</sub> and can be regarded as a cleavage slab from cubic ZrO<sub>2</sub>, is energetically favorable and dynamically stable (see the discussion in the next section). The monolayer and bilayer structures of 1T-ZrO<sub>2</sub> are shown in Fig. 1a and b, respectively. For the monolayer structure of 1T-



ZrO<sub>2</sub>, the two O atoms staggered with each other constitute an octahedral structure with Zr atoms (located in the center of the octahedron), forming the O–Zr–O trilayer slab, as shown in Fig. 1a. It can be regarded as a hexagonal layer of positively charged Zr atoms sandwiched between two hexagonal layers of negatively charged O atoms. For the bilayer structure of 1T-ZrO<sub>2</sub>, as shown in Fig. 1b, the bilayer structure is constituted of two monolayers of 1T-ZrO<sub>2</sub>, with each Zr atom of one monolayer forming an additional bond with the adjacent oxygen atom of the other monolayer.

The optimized lattice constants and Zr–O interatomic distances of 1T-ZrO<sub>2</sub> (monolayer and bilayer) and cubic ZrO<sub>2</sub> are shown in Table 1. The lattice constants of the monolayer and bilayer are 3.28 Å and 3.42 Å, respectively. We found that the lattice constant of the bilayer (3.42 Å) becomes larger as compared to that of the monolayer (3.28 Å). The Zr–O interatomic distance of the monolayer ZrO<sub>2</sub> is 2.12 Å and all Zr–O bond lengths are equal, and are smaller than those in cubic ZrO<sub>2</sub>. However, for the bilayer, we found that the O–Zr bonds are of three different types, as indicated in the side view of the bilayer in Fig. 1b. The bond lengths of O1–Zr, O2–Zr, and O3–Zr are 2.17 Å, 2.14 Å, and 2.24 Å, respectively, where the O2–Zr bond length is the smallest and O3–Zr bond length is the largest. It is worth noting that the O3–Zr bond is the interlayer bond between two monolayers, which is a strong chemical bond that is different from the weak vdW interlayer interaction in graphite and TMDs.<sup>35,36</sup> We further characterized the bonding properties of the Zr–O chemical bonds between the layers (*i.e.* ionic or covalent characters) through the electron density distribution and electron density difference of bilayer ZrO<sub>2</sub>.

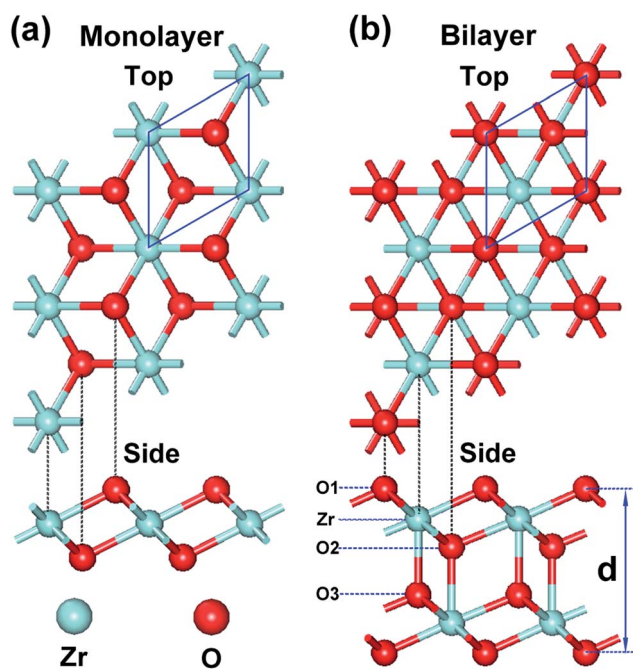


Fig. 1 Top and side views of (a) the monolayer of 1T-ZrO<sub>2</sub> and (b) the bilayer of 1T-ZrO<sub>2</sub>, the red and the powder blue spheres represent O atoms and Zr atoms, respectively. The blue rhombus frame denotes the primitive cell.

The bulk ZrO<sub>2</sub> has three crystal phases at atmospheric pressure, namely, cubic fluorite, tetragonal and monoclinic structures. With increasing temperature, the monoclinic crystal structure of ZrO<sub>2</sub> reversibly transforms into cubic and tetragonal phases. Interestingly, we found that the monolayer and bilayer of 1T-ZrO<sub>2</sub> can be cleaved from the (111) facet of the bulk ZrO<sub>2</sub> (cubic phase), and the tri-layer slice of O–Zr–O atomic layers is shown in the blue dashed rectangle in Fig. 2a. The structure of the cleaved 2D slice is fully optimized and the relaxed structure is completely consistent with the 1T-ZrO<sub>2</sub> monolayer, as shown in Fig. 2b. It is noticeable that in the process of geometric optimization of the cleaved 2D slice, the size of the Zr–O bond length was reduced from 2.20 Å to 2.12 Å, and the Zr–O–Zr bond angle was diminished from 109.47° to 101.02°. The total energy of the cleaved 2D slice was gradually reduced from –2188.36 eV to –2189.39 eV (corresponding to that of the monolayer 1T-ZrO<sub>2</sub>), with the energy difference of 1.03 eV. In a similar way, the bilayer of the cleaved slice was geometrically relaxed, and the relaxed structure was also consistent with that of the bilayer of 1T-ZrO<sub>2</sub>, as shown in Fig. 2c. The total energy of the bilayer of the cleaved slice was reduced from –4378.80 to –4379.28 eV (corresponding to that of the bilayer of 1T-ZrO<sub>2</sub>), and the energy difference is 0.48 eV (or 0.24 eV per formula unit), which is less than that of the monolayer (1.03 eV per formula unit). Overall, the in-plane lattice constants of the monolayer and bilayer (3.28 and 3.42 Å, respectively) have a contraction as compared to the corresponding slab in cubic ZrO<sub>2</sub> (3.59 Å), which is consistent with the experimental results of the in-plane lattice constants for the ultrathin ZrO<sub>2</sub> film grown on the Rh (111) substrate and the oxidized surface of Pt<sub>3</sub>Zr (0001) annealed in ultrahigh vacuum (UHV).<sup>37,38</sup>

### Stability of the monolayer and bilayer ZrO<sub>2</sub>

The enthalpy of formation was calculated to investigate the phase stability of 1T-ZrO<sub>2</sub> (the monolayer and bilayer). The expression is as follows:

$$\Delta H^{\text{ZrO}_2} = E^{\text{ZrO}_2} - E^{\text{Zr}} - E^{\text{O}_2} \quad (1)$$

where,  $E^{\text{ZrO}_2}$  is the total energy of each ZrO<sub>2</sub> formula unit in the 1T-ZrO<sub>2</sub> unit cell (the monolayer and bilayer),  $E^{\text{Zr}}$  is the total energy of each Zr atom in the Zr crystal with a hexagonal close-packed (hcp) structure,  $E^{\text{O}_2}$  represents the total energy of an O<sub>2</sub> dimer, which has been computed under artificial periodic conditions inside a cubic unit cell of edge 20 Å. The calculated enthalpies of formation of the monolayer and bilayer are –10.88 and –11.13 eV per formula unit, respectively. The more

Table 1 The optimized lattice constants and Zr–O distances of 1T-ZrO<sub>2</sub> (the monolayer and bilayer) and bulk ZrO<sub>2</sub>

	$a$ (Å)	Zr–O distances (Å)
Monolayer	3.28	2.12
Bilayer	3.42	2.17 <sup>a</sup> , 2.14 <sup>b</sup> , 2.24 <sup>c</sup>
Cubic-ZrO <sub>2</sub>	5.08	2.20

<sup>a</sup> O1–Zr. <sup>b</sup> O2–Zr. <sup>c</sup> O3–Zr.



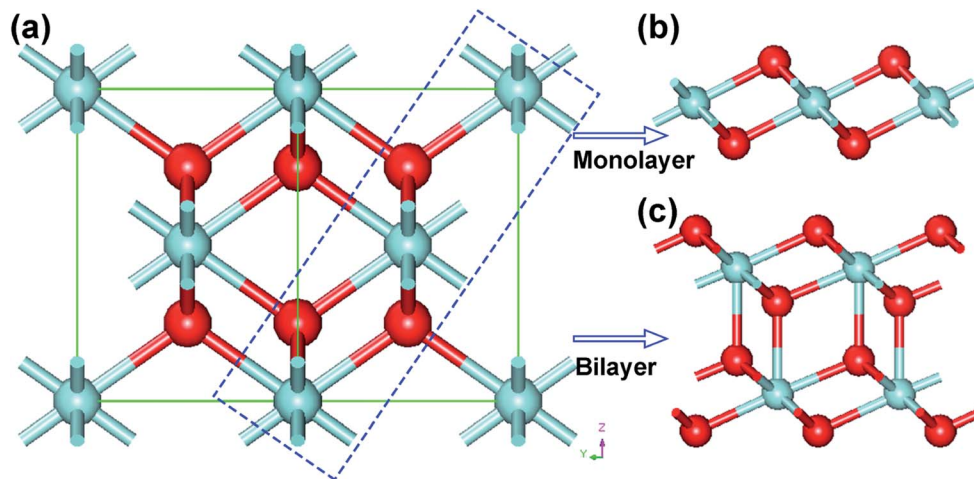


Fig. 2 (a) The structure of bulk  $\text{ZrO}_2$  (cubic phase), and blue rectangular dashed frame denotes the cleavage of the cubic  $\text{ZrO}_2$  (111) facet. The relaxed structures of the cleaved monolayer and bilayer 2D slices are shown in (b) and (c), respectively.

negative formation enthalpy of the bilayer indicates better phase stability as compared to the monolayer.

Phonon calculations were performed to investigate the dynamical stability of the monolayer and bilayer  $\text{ZrO}_2$ , and the phonon dispersions and phonon density of states (DOS) are shown in Fig. 3. There are no imaginary frequencies existing in the phonon dispersions of both the monolayer and bilayer  $\text{ZrO}_2$  as depicted in Fig. 3a and c, demonstrating that both the monolayer and bilayer  $\text{ZrO}_2$  are dynamically stable. Monolayer 1T-HfO<sub>2</sub> is also dynamically stable as shown in our previous study.<sup>39</sup> The phonon dispersion of the monolayer contains three acoustic branches and six optical branches, and the phonon dispersion of the bilayer possesses three acoustic branches and fifteen optical branches because the atoms in the unit cell double. In the vicinity of the  $\Gamma$  point, there are two acoustic branches vibrating in-plane, which are the longitudinal acoustic branch (LA) and the transverse acoustic branch (TA). The LA and TA are approximately linear dispersions near the  $\Gamma$  point and the slopes indicate the group velocities.<sup>40</sup> From the phonon dispersion curves of the monolayer and bilayer, the sound velocity of the monolayer and bilayer can be acquired. For the TA and LA modes of the monolayer along the  $\Gamma$ -M high symmetrical line, the sound velocities at the long-wavelength limit are about 5550.9 and 8491.6  $\text{m s}^{-1}$ , respectively. Similarly, the sound velocities of the bilayer can be obtained as about 4259.3 and 6356.2  $\text{m s}^{-1}$ , respectively, which are smaller than those of the monolayer (5550.9 and 8491.6  $\text{m s}^{-1}$ ) but very close to the MoS<sub>2</sub> case of 4200  $\text{m s}^{-1}$  (TA) and 6700  $\text{m s}^{-1}$  (LA).<sup>41</sup> In addition, we found that the out-of-plane transverse acoustic branch (ZA) in 2D systems approximates a parabolic curve near the  $\Gamma$  point, which is a typical mode of 2D materials consistent with the 2D structure of 1T-ZrO<sub>2</sub>.

Combined with the phonon dispersions, the analysis of the phonon DOS of monolayer  $\text{ZrO}_2$  (Fig. 3b) demonstrates that the peak at 68.3  $\text{cm}^{-1}$  is entirely contributed by the acoustic branches of phonon. It is noteworthy that the acoustic branches and the optical branches are separated by a gap of about

10  $\text{cm}^{-1}$  in the frequency range of 83.0–93.0  $\text{cm}^{-1}$ . There is also a gap of about 46  $\text{cm}^{-1}$  between the acoustic and optical branches of monolayer 1T-HfO<sub>2</sub>.<sup>39</sup> In addition, a gap of about 51  $\text{cm}^{-1}$  appeared in the frequency range of 543 and 594  $\text{cm}^{-1}$  (the optical branches). However, from the phonon DOS of the bilayer exhibited in Fig. 3d, compared to the monolayer, we did not find a gap between the optical branches and the acoustic branches. Through the phonon dispersion of the bilayer  $\text{ZrO}_2$  (Fig. 3c), it can be found that the acoustic branches and the optical branches cross over, which further illustrates the above results. The absence of a gap between the acoustic and optical branches in bilayer  $\text{ZrO}_2$  may be attributed to the low-frequency optical modes of two monolayers against each other. The phenomenon of a gap between acoustic and optical branches appearing in the monolayer but disappearing in the bilayer can also be found in 2H-MoS<sub>2</sub>. For the bilayer and bulk 2H-MoS<sub>2</sub>, the low-frequency optical modes appearing due to the rigid-layer shear and vertical motion almost match the acoustic modes as the wavenumber  $q$  increases.<sup>35,42</sup> However, the low-frequency optical modes are absent in monolayer 2H-MoS<sub>2</sub> as the rigid-layer shear and vertical motions do not exist, and the acoustic and optical branches are separated by a gap of about 50  $\text{cm}^{-1}$ .<sup>43</sup>

Two possible routes to preparing monolayer and bilayer  $\text{ZrO}_2$  are through hard-bond cleavage and epitaxial growth. In literature reports, some freestanding monolayers and few-layers have been successfully acquired from non-layered bulk solids by means of various experimental techniques.<sup>44,45</sup> For example, monolayer  $\gamma$ -Ga<sub>2</sub>O<sub>3</sub> nanosheets have been prepared from the cubic spinel-type structure *via* a facile hydrothermal method,<sup>44</sup> and large-area freestanding ZnSe monolayers have been fabricated from the zinc-blende ZnSe by means of a strategy involving a lamellar hybrid intermediate.<sup>45</sup> The reported experimental preparations of 2D materials from bulk phases demonstrate that the monolayer or few-layer  $\text{ZrO}_2$  can probably be fabricated from the cubic  $\text{ZrO}_2$  *via* some experimental hard-bond cleavage methods. Furthermore, epitaxial growth on



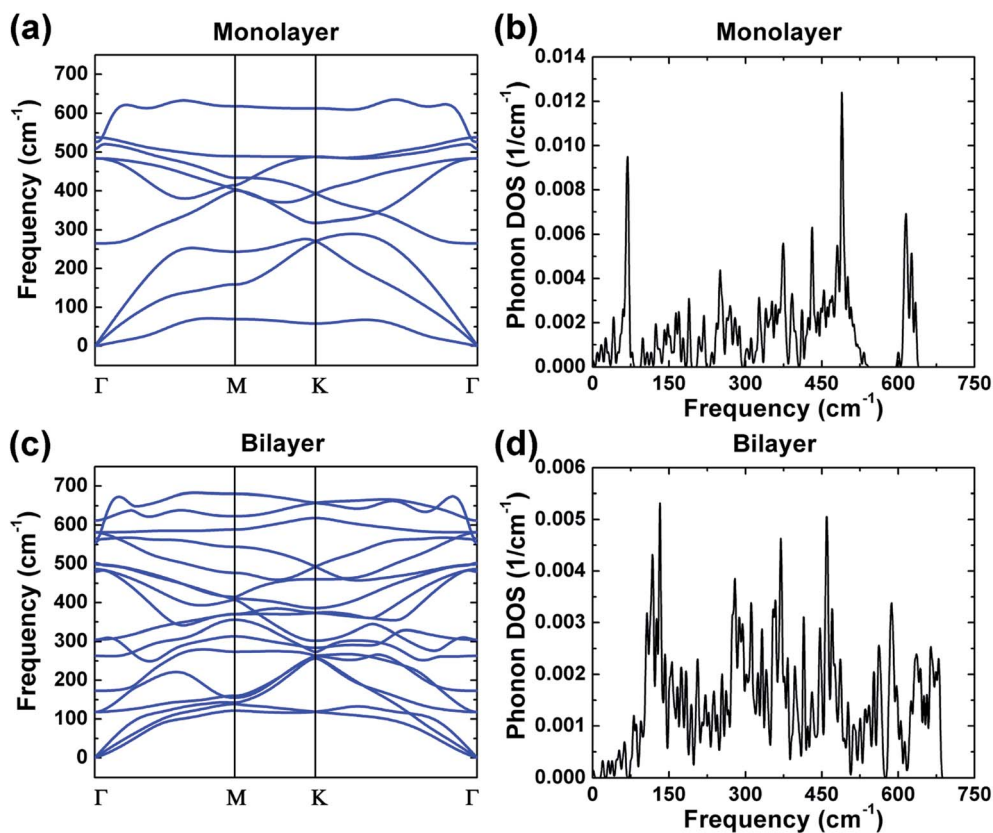


Fig. 3 The phonon dispersions of the monolayer and bilayer 1T-ZrO<sub>2</sub> are shown in (a) and (c), respectively; the phonon density of states are exhibited in (b) and (d).

a selected substrate can also be a feasible route to prepare the monolayer or few-layer ZrO<sub>2</sub>. Recently, it was reported that ZrO<sub>2</sub> films on a Rh (111) substrate with thicknesses in the range of 2–10 monolayers were deposited using a UHV-compatible sputter source, resulting in layer-by-layer growth and good homogeneity of the films.<sup>37</sup> The films showed a (2 × 1) or a distorted (2 × 2) surface structure with respect to the cubic ZrO<sub>2</sub> (111) crystal plane,<sup>37</sup> which is consistent with the predicted structure of the monolayer and bilayer ZrO<sub>2</sub> in our study. Moreover, the lattice constants of layered MoSe<sub>2</sub> and WSe<sub>2</sub> were 3.288 and 3.286 Å, respectively,<sup>46</sup> which well match the lattice constant of monolayer ZrO<sub>2</sub> (3.28 Å), and thus can also be candidates for epitaxial substrates.

### Electronic structures of the monolayer and bilayer ZrO<sub>2</sub>

Electronic band structures of monolayer and bilayer ZrO<sub>2</sub> along the high-symmetry lines (Γ–M–K–Γ) in the first Brillouin zone were calculated by GGA-PBE exchange–correlation functional in the CASTEP code, as shown in Fig. 4a and c, respectively. From Fig. 4a, the band structure of monolayer ZrO<sub>2</sub> possesses a band gap of 4.51 eV, with the conduction band minimum (CBM) located at the K point and the valence band maximum (VBM) located between the K and Γ points, which indicate that the monolayer ZrO<sub>2</sub> is an insulator with an indirect and wide band gap. However, compared to the monolayer, the CBM of the bilayer ZrO<sub>2</sub> is located at the high symmetry point Γ, and the

VBM is between the high symmetry points Γ and M (as shown in Fig. 4c). Moreover, although the band gap of the bilayer ZrO<sub>2</sub> is 4.08 eV, reduced by 0.43 eV as compared to that of the monolayer, the bilayer ZrO<sub>2</sub> is still an insulator possessing an indirect and wide band gap. The total DOS and partial DOS (PDOS) of the monolayer ZrO<sub>2</sub> were also calculated by GGA-PBE, as depicted in Fig. 4b. From the analysis of PDOS, O 2p orbitals have significant contributions to valence bands (−3.92 to 0 eV), and the Zr 4d orbitals possess contributions of similar magnitude to O 2p in the energy range −3.92 to −2.21 eV but less significant near the VBM, demonstrating that O 2p and Zr 4d orbitals hybridize in the range −3.92 to −2.21 eV. Electronic states within the 1 eV range below the VBM are dominated by O 2p, whereas the low conduction bands are dominated by Zr 4d orbitals (in the energy range 4.51–6.31 eV). There is only one equivalent oxygen atom for the monolayer ZrO<sub>2</sub>, but for the bilayer ZrO<sub>2</sub>, there are two inequivalent oxygen atoms (O1 and O2) as indicated in the side view of the bilayer in Fig. 1b. From the analysis of PDOS of the bilayer (Fig. 4d), the electronic states near the VBM are dominated by both O1 2p and O2 2p. In the energy range −3.47 to −0.76 eV, O1 2p orbitals possess more contributions than O2 2p orbitals, whereas, in the energy range of −5.0 to −3.47 eV, the contributions of O2 2p orbitals are much larger than that of O1 2p orbitals, reflecting the different chemical environments of O1 (bonded with three Zr atoms in one layer) and O2 (bonded with not only three Zr atoms in one



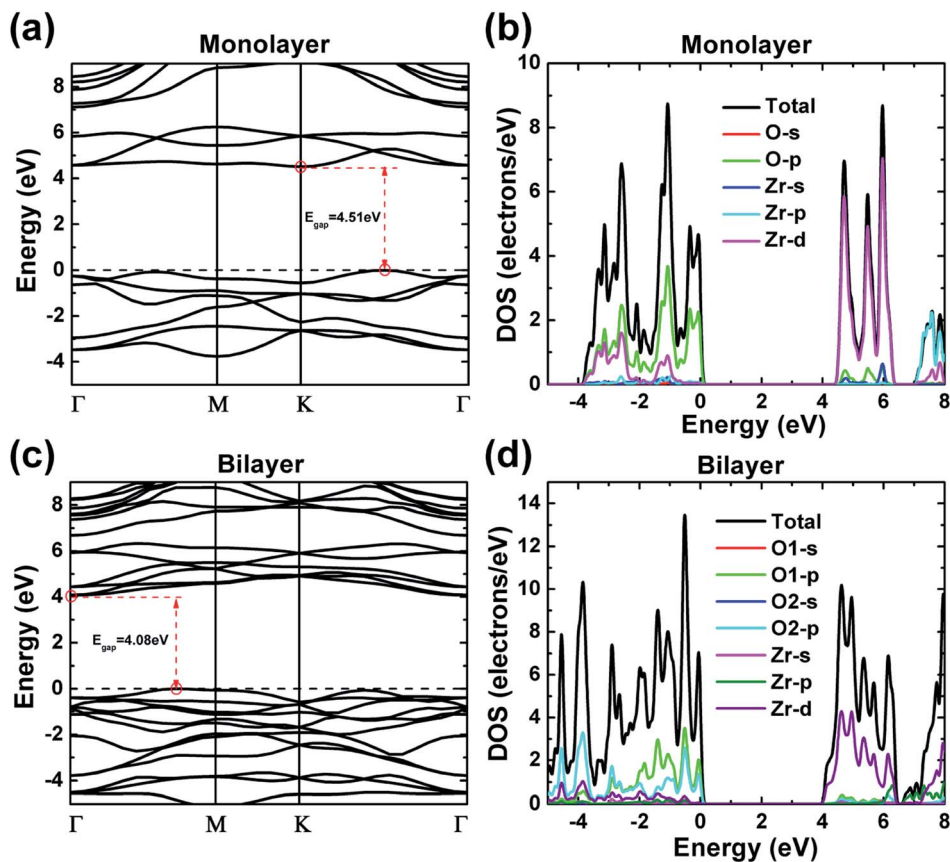


Fig. 4 The electronic band structures and the density of states (DOS) of the 1T-ZrO<sub>2</sub> monolayer (a and b) and bilayer (c and d), respectively, calculated by the GGA-PBE exchange–correlation functional. The valence band maximum was set to 0 eV.

layer but also one Zr atom in the adjacent layer). The low conduction bands (in the energy range 4.08–6.50 eV) are dominated by Zr 4d orbitals, the same as monolayer ZrO<sub>2</sub>.

The DOS features of monolayer and bilayer ZrO<sub>2</sub> are different. The major peaks of the total DOS of monolayer ZrO<sub>2</sub> are located at  $-3.15$ ,  $-2.59$ ,  $-1.08$ ,  $-0.35$  and  $-0.07$  eV, respectively (in the high energy region of the valence band), and the peak at  $-1.08$  eV is the most prominent. For bilayer ZrO<sub>2</sub>, the major peaks of the total DOS are located at  $-4.56$ ,  $-3.85$ ,  $-2.90$ ,  $-1.39$ ,  $-0.52$  and  $-0.06$  eV, respectively (in the high energy region of the valence band), and the highest peak is located at  $-0.52$  eV higher than that of the monolayer ( $-1.08$  eV), as shown in Fig. 4d. Different fine structures of DOS will result in distinguishable spectral features using techniques probing the occupied and unoccupied electronic states, such as X-ray absorption spectroscopy (XAS), X-ray emission spectroscopy (XES), and electron energy-loss spectroscopy (EELS). Excited electron and hole states have different characteristics for both monolayer and bilayer ZrO<sub>2</sub> as the unoccupied states near the CBM are dominated by Zr 4d and the occupied electronic states near the VBM are dominated by O 2p.

Since it is well known that standard DFT using LDA/GGA will underestimate the band gap, we have also calculated the band gap of ZrO<sub>2</sub> polymorphs and 2D ZrO<sub>2</sub> using the GW method implemented in the ABINIT code. The DFT-GGA and GW band

gaps calculated by the ABINIT code are listed in Table 2 and the corresponding band structures are provided in the ESI (Fig. S1†). DFT-GGA band gaps calculated by CASTEP are also listed for comparison. Note that the reported experimental band gaps of bulk ZrO<sub>2</sub> are spread in a quite large range, especially for cubic and tetragonal phases,<sup>47–51</sup> which may have arisen from the difference in the stabilizer and the crystalline morphology. Our calculated GW band gaps are close to, or in the range of, reported experimental band gaps for bulk ZrO<sub>2</sub> polymorphs. GW band gaps of monolayer and bilayer ZrO<sub>2</sub> are 7.51 and 6.82 eV, respectively, larger than those of all the bulk phases of ZrO<sub>2</sub> and h-BN (5.97 eV).<sup>18</sup>

In order to describe the covalency and ionicity of the atomic bonds and the electron transfer between atoms, the electron density and the electron density difference ( $\Delta\rho$ ) of monolayer and bilayer ZrO<sub>2</sub> have been calculated. For the monolayer ZrO<sub>2</sub>, the isosurfaces of the electron density at the value of about 0.60 electrons per Å<sup>3</sup> and the slice through the map of the electron density difference are depicted in Fig. 5a and b, respectively. In the isosurfaces of the electron density of the monolayer ZrO<sub>2</sub> (Fig. 5a), the electron density between the O and Zr atoms was about 0.60 electrons per Å<sup>3</sup>, revealing that there are shared electrons between the O and Zr atoms and the O–Zr bond has a covalent component. From the slice of the electron density difference (Fig. 5b), the electrons are depleted around the Zr



Table 2 The band gaps of 1T-ZrO<sub>2</sub> (the monolayer and bilayer) and bulk ZrO<sub>2</sub> (the cubic fluorite, tetragonal and monoclinic phases)

	Band gap (eV)			
	GGA-PBE (CASTEP)	GGA-PBE (ABINIT)	GW	Experiment
Monolayer	4.51	4.82	7.51	NA
Bilayer	4.08	4.48	6.82	NA
Cubic	3.47	4.10	5.01	4.96 <sup>a</sup> , 6.10 <sup>b</sup>
Tetragonal	4.16	4.50	5.98	5.78 <sup>b</sup> , 5.0 <sup>c</sup>
Monoclinic	3.78	3.84	5.34	5.30 <sup>c</sup> , 4.99 <sup>d</sup> , 5.20 <sup>e</sup>

<sup>a</sup> Experiment ref. 47. <sup>b</sup> Experiment ref. 48. <sup>c</sup> Experiment ref. 49. <sup>d</sup> Experiment ref. 50. <sup>e</sup> Experiment ref. 51.

atoms (the maximum value of the depleted electrons is  $-0.31$  electrons per  $\text{\AA}^3$ ), but accumulate around the O atoms (the maximum value of the accumulated electrons is  $0.26$  electrons per  $\text{\AA}^3$ ) with the transferred electrons localized around the O atoms, which indicates that the O–Zr bond has strongly ionic character. For the bilayer ZrO<sub>2</sub>, the isosurface of the electron density at the value of about  $0.45$  electrons per  $\text{\AA}^3$  and the slice through the map of the electron density difference are shown in Fig. 5c and d, respectively. From the isosurfaces of the electron density and the electron density difference of the bilayer ZrO<sub>2</sub>, similar to the monolayer ZrO<sub>2</sub>, the O–Zr bond also has both covalent and ionic characteristics. It is worth noting that the interlayer bond of the bilayer ZrO<sub>2</sub> is strong, with a corresponding electron density of about  $0.45$  electrons per  $\text{\AA}^3$  in the middle of the interlayer Zr–O bond, which is in contrast to the weak vdW interlayer interaction in TMDs.

### Static dielectric constant calculation

For the investigations of the dielectric properties of monolayer and bilayer ZrO<sub>2</sub>, the macroscopic static dielectric constants

containing both the ionic and the electronic response were calculated by the DFPT formalism in response to the electric field. In our previous study, a practical method for accurately evaluating the dielectric constants of 2D materials based on the calculated value obtained from a supercell containing the 2D materials and a vacuum slab have been proposed,<sup>39</sup> which is similar to that obtained by Laturia *et al.* based on the equivalent capacitance principle, but from a different point of view.<sup>23</sup> The formula for calculating the dielectric constant of 2D materials based on the corresponding static dielectric constants of the supercell is as follows:<sup>23,39</sup>

$$\epsilon_{\parallel}^m = \frac{c}{t} (\epsilon_{\parallel}^s - 1) + 1, \quad (2)$$

$$\epsilon_{\perp}^m = \left[ \frac{c}{t} \left( \frac{1}{\epsilon_{\perp}^s} - 1 \right) + 1 \right]^{-1}, \quad (3)$$

where,  $\epsilon_{\parallel}^m$  and  $\epsilon_{\perp}^m$  are the static dielectric constants of 2D materials along the in-plane direction (perpendicular to the z-axis) and out-of-plane direction (parallel to the z-axis),

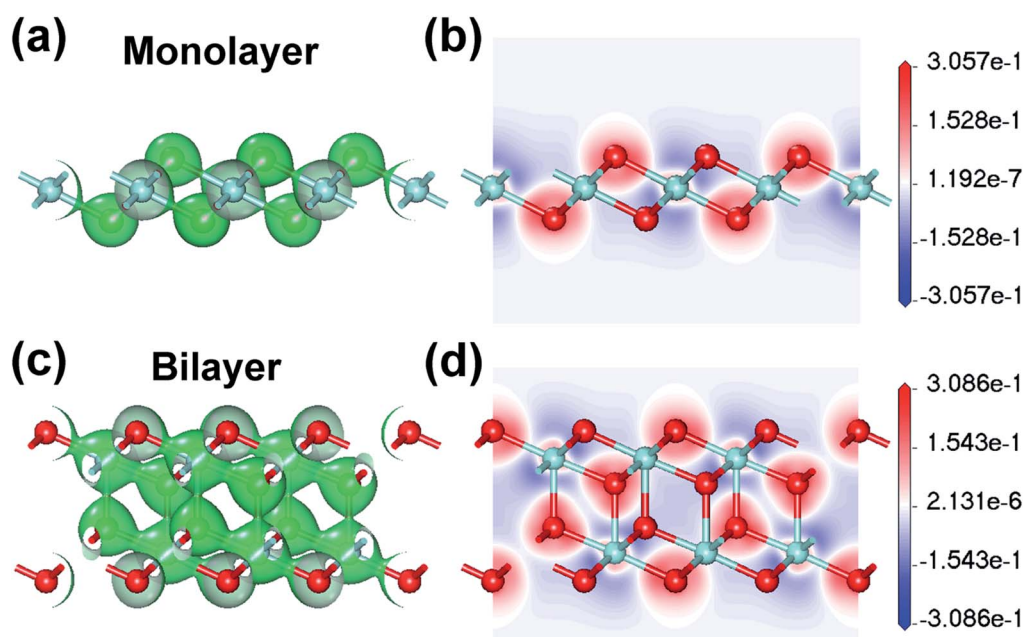


Fig. 5 The isosurfaces of the electron density of the 1T-ZrO<sub>2</sub> monolayer and bilayer at the values of (a) about  $0.60$  electrons per  $\text{\AA}^3$  and (c) about  $0.45$  electrons per  $\text{\AA}^3$ , respectively. The side view showing the slices through the maps of the electron density difference of 1T-ZrO<sub>2</sub> monolayer and bilayer are depicted in (b) and (d), respectively.



respectively.  $\epsilon_{\parallel}^s$  and  $\epsilon_{\perp}^s$  are the static dielectric constants of the supercell along the in-plane direction and out-of-plane direction, respectively. In addition,  $c$  and  $t$  are the thicknesses of the supercell and the 2D material, respectively. In this study, we estimated the thicknesses  $t$  of the monolayer and bilayer  $\text{ZrO}_2$  by the distance  $d$  between the upper and lower outermost atomic (or ionic) centers of the 2D film (indicated in the side view of the bilayer in Fig. 1b), plus the radii of the outermost atoms (or ions), and the estimated thicknesses of monolayer and bilayer  $\text{ZrO}_2$  are 4.45 and 7.37 Å, respectively. In order to verify the rationality of the above method, we estimated the thickness of  $\text{MoS}_2$ , and the estimated value is 6.52 Å, which has a deviation of only 0.31% from the experimentally measured value of  $\text{MoS}_2$  thickness (6.50 Å),<sup>3</sup> which proves the feasibility of the above method.

For the monolayer, the lattice constant  $c$  of the supercell employed in the DFPT calculation is 28 Å, and the static dielectric constant from the supercell calculation are  $\epsilon_{\parallel}^s = 6.14$  and  $\epsilon_{\perp}^s = 1.15$ , respectively. Based on eqn (2) and (3), we determined that the in-plane and out-of-plane static dielectric constants of monolayer  $\text{ZrO}_2$  are  $\epsilon_{\parallel}^m = 33.34$  and  $\epsilon_{\perp}^m = 5.58$ , respectively. For the bilayer, the lattice constant  $c$  of the supercell is 35 Å in the DFPT calculation, and the static dielectric constant from the supercell calculation are  $\epsilon_{\parallel}^s = 7.92$  and  $\epsilon_{\perp}^s = 1.23$ , respectively. In a similar way, we determined that the in-plane and out-of-plane static dielectric constants of the bilayer of 1T- $\text{ZrO}_2$  are  $\epsilon_{\parallel}^b = 33.86$  and  $\epsilon_{\perp}^b = 8.93$ , respectively. Interestingly, the static dielectric constant of the bilayer ( $\epsilon_{\parallel}^b = 33.86$ ) along the in-plane direction only increased by 1.56% as compared to that of the monolayer ( $\epsilon_{\parallel}^m = 33.34$ ), but the static dielectric constant of the bilayer ( $\epsilon_{\perp}^b = 8.93$ ) along the out-of-plane direction remarkably increased by 60% as compared to that of the monolayer ( $\epsilon_{\perp}^m = 5.58$ ), indicating that increasing the number of layers of 1T- $\text{ZrO}_2$  has a negligible effect on the in-plane static dielectric

constant, while the static dielectric constant along the out-of-plane direction is significantly influenced by the number of layers.

### Electronic properties and band edge alignment of the 2D $\text{ZrO}_2$ - $\text{MoS}_2$ heterojunction

The structure and electronic structure of the interface between few-layered  $\text{ZrO}_2$  and layered  $\text{MoS}_2$  is important for the design of 2D electronic devices and for the possible growth of few-layered  $\text{ZrO}_2$  on the  $\text{MoS}_2$  substrate.  $\text{MoS}_2$  is semiconducting in a 2H layer but metallic in a 1T layer. Herein, we studied the electronic properties in the 2D heterojunction between monolayer  $\text{ZrO}_2$  and monolayer 2H- $\text{MoS}_2$  by calculating the electron density difference and analyzing the electronic DOS. The electron density difference ( $\Delta\rho$ ) can be used to describe the electron transfer at the interface of a heterojunction, which is defined as the difference in electron-density between the states after and before the formation of the  $\text{ZrO}_2$ - $\text{MoS}_2$  heterojunction. The isosurfaces of the difference density at a value of about  $\pm 0.001$  electrons per Å<sup>3</sup> are shown in Fig. 6a. It can be seen that the transfer and aggregation of electrons mainly occur at the interface of the  $\text{ZrO}_2$ - $\text{MoS}_2$  heterojunction. At the interface of the heterojunction, electrons are transferred from the surrounding area of the sulfur atom and the oxygen atom to the  $\text{MoS}_2$ - $\text{ZrO}_2$  interlamination, forming weak bonds between the  $\text{MoS}_2$ - $\text{ZrO}_2$  interlamination. It is worth noting that the electrons depleted around S atoms are more pronounced than those of O atoms. We can gain further insight into the changes in bonding by displaying the density difference as a 2D slice, as shown in Fig. 6c. Moreover, in this plot, a loss of electrons is indicated in blue, while electron enrichment is indicated in red. From the electron density difference map, it is obvious that the electrons are depleted in the laminates of 2H- $\text{MoS}_2$  and 1T- $\text{ZrO}_2$ , but accumulate in the  $\text{MoS}_2$ - $\text{ZrO}_2$  interlamination.

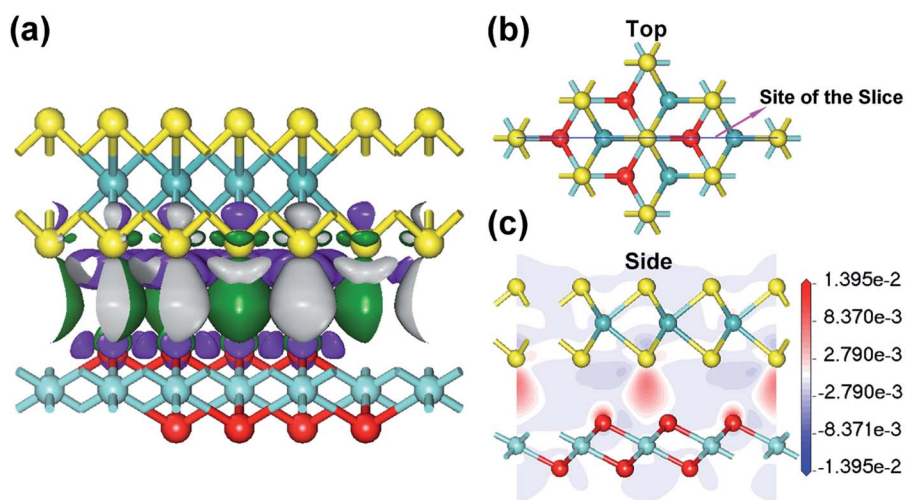


Fig. 6 (a) The isosurface of the difference density at a value of about  $\pm 0.001$  electrons per Å<sup>3</sup> for difference density heterojunction between 2H- $\text{MoS}_2$  and 1T- $\text{ZrO}_2$  monolayers. One is at  $+0.001$  electrons per Å<sup>3</sup> and is colored green, the other is at  $-0.001$  electrons per Å<sup>3</sup> and is colored purple. (b) The top view of the structural image and the blue line denoting the site of the slice. (c) The side view showing the slices through the map of the electron density difference of 2D  $\text{ZrO}_2$ - $\text{MoS}_2$  heterojunction.



In order to characterize the source of the occupied electron contribution near the VBM in the 2D  $\text{ZrO}_2\text{-MoS}_2$  heterojunction, we calculated the electron density distribution of the highest occupied orbitals ( $-1.14$  to  $0$  eV), and the isosurface of the electron density at a value of about  $0.06$  electrons per  $\text{\AA}^3$  is shown in Fig. 7a. We can find that the electrons of the occupied orbital state near the VBM are mainly from the Mo atoms, and a small part is from the S atoms. It is worth noting that the contribution of  $\text{ZrO}_2$  to the VBM in the 2D  $\text{ZrO}_2\text{-MoS}_2$  heterojunction is nearly zero. We further characterized the contribution to the occupied electronic states near the VBM and the unoccupied states near the CBM by the calculations and analysis of the DOS. From the total DOS of the  $\text{ZrO}_2\text{-MoS}_2$  heterojunction depicted in Fig. 7b, it can also be seen that the contributions to the occupied states near the VBM and unoccupied states near the CBM are entirely from  $\text{MoS}_2$ ; from  $\text{ZrO}_2$  there is almost no contribution.

The PDOS of the 2D  $\text{ZrO}_2\text{-MoS}_2$  heterojunction is shown in Fig. 7c to further reveal the atomic specific contributions of electronic states. For clarity, only the main electronic orbitals are displayed in Fig. 7c; we refer to Fig. S2 in the ESI† for completeness. As indicated in Fig. 7a, oxygen and sulfur atoms are not equivalent after the formation of the interface due to their different locations at the interface sites (O1 and S1) or surface sites (O2 and S2), and the PDOS of inequivalent O or S

atoms only differ very slightly (Fig. 7c). Both the electronic states near the CBM and VBM are dominated by contributions of Mo 4d orbitals, and the S 3p orbitals have a visible contribution to the electronic state near the VBM. In addition, the O 2p orbitals have a prominent contribution in the low valence bands (in the energy range  $-6$  to  $-2$  eV), and the main contributions of Zr and O to the conduction bands are in the energy range of  $2.60\text{--}4.50$  eV.

The band gap in the  $\text{ZrO}_2\text{-MoS}_2$  heterojunction is mainly determined by the band gap of  $\text{MoS}_2$  as discussed above, and  $\text{ZrO}_2$  has a limited influence on the electronic state of the semiconductor  $\text{MoS}_2$  in the heterojunction system; therefore,  $\text{ZrO}_2$  can be used as an excellent dielectric material. However, the calculated band gap of monolayer  $\text{MoS}_2$  in the heterojunction is  $1.16$  eV, which is significantly smaller than the band gap of the freestanding monolayer  $\text{MoS}_2$  ( $1.60$  eV). In order to analyze the causes of the above phenomenon, we compared the PDOS of monolayer  $\text{MoS}_2$  in the heterojunction with the freestanding monolayer  $\text{MoS}_2$  in Fig. 7d. In addition to the overall translation to the left for the conduction bands of the heterojunction, which is connected to the decrease in the band gap, the detailed fine features of Mo 4d also changed, especially the relative weight in the energy ranges near the band gap as compared to those of the freestanding monolayer  $\text{MoS}_2$ .

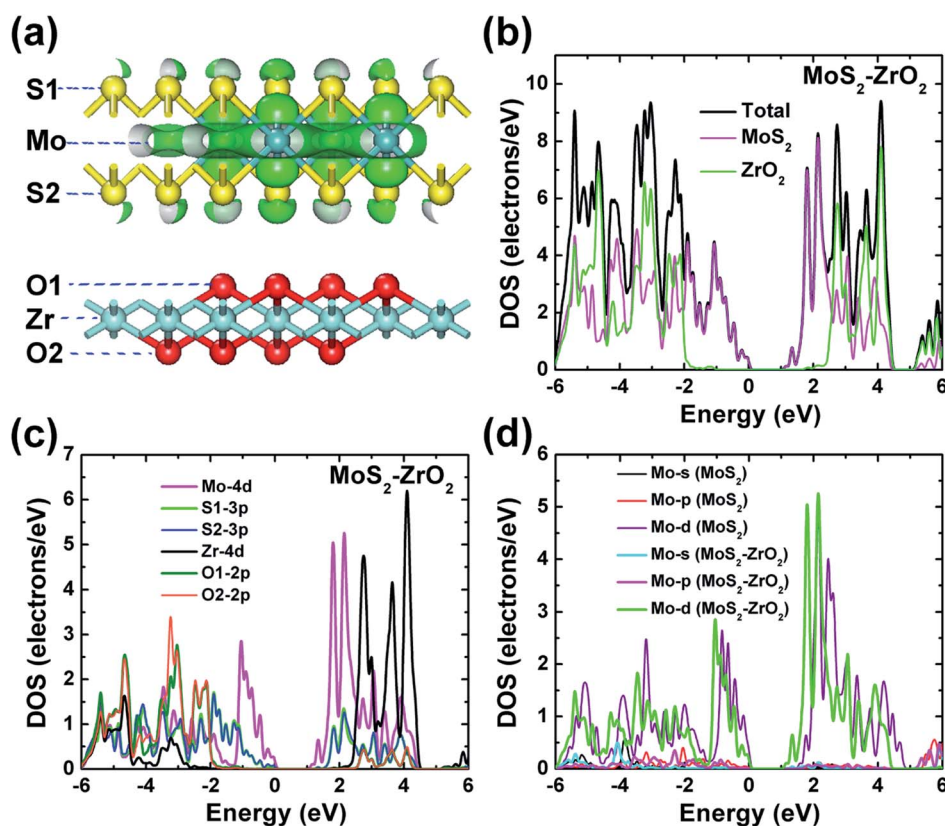


Fig. 7 (a) The electron density distribution of the occupied orbitals ( $-1.14$  to  $0$  eV) near the VBM, and the isosurface of the electron density at a value of about  $0.06$  electrons per  $\text{\AA}^3$ . (b) The calculated total DOS of the 2D  $\text{ZrO}_2\text{-MoS}_2$  heterojunction. The calculated PDOS of (c) the 2D  $\text{ZrO}_2\text{-MoS}_2$  heterojunction (only the main electronic orbitals are displayed) and (d)  $\text{MoS}_2$  (in the  $\text{ZrO}_2\text{-MoS}_2$  heterojunction) and freestanding monolayer  $\text{MoS}_2$ .



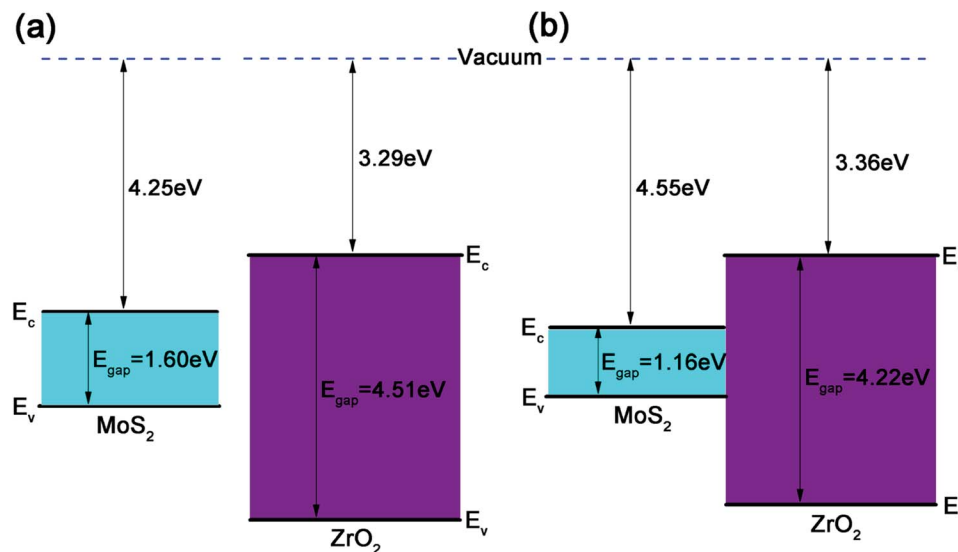


Fig. 8 (a) Band edge positions of separating MoS<sub>2</sub> and ZrO<sub>2</sub> monolayers. (b) Band edge diagram of the 2D ZrO<sub>2</sub>-MoS<sub>2</sub> heterojunction.

For a 2D heterojunction formed by monolayer MoS<sub>2</sub> and ZrO<sub>2</sub>, the electron affinity rule fails and the band gaps and band edge positions of the two component monolayers will be altered by each other. As shown in Fig. 8a, the CBM energy relative to the vacuum level of the freestanding MoS<sub>2</sub> and ZrO<sub>2</sub> monolayers are  $-4.25$  eV and  $-3.29$  eV, respectively, and their band gaps are  $1.60$  eV and  $4.51$  eV, respectively. After a heterojunction between the MoS<sub>2</sub> and ZrO<sub>2</sub> monolayer is formed (Fig. 8b), the CBM of MoS<sub>2</sub> shifts down to  $-4.55$  eV relative to the vacuum level and the band gap is  $1.16$  eV, which is smaller than the freestanding MoS<sub>2</sub> (as discussed above). To aid a quantitative analysis, the VBM and CBM band edge positions of ZrO<sub>2</sub> in the heterojunction were approximately deduced from the PDOS of Zr atoms and the outermost O atoms (denoted as O2 in Fig. 7a) and it was found that the VBM of ZrO<sub>2</sub> slightly shifted upwards, resulting in a smaller band gap ( $4.22$  eV) as compared to the freestanding monolayer ZrO<sub>2</sub>. The electron affinity energy of the heterojunction was determined by the ZrO<sub>2</sub> part and was only slightly larger than that of the freestanding monolayer ZrO<sub>2</sub>. The offset of the band edges after forming the heterojunction is consistent with the physical picture that the separate chemical potentials tend to merge after the interface was formed. The realignment of the band edges is related to the electron transfer discussed in Fig. 6, *i.e.*, electrons are accumulated at the interface formed by MoS<sub>2</sub> and ZrO<sub>2</sub>. The large band gap of monolayer ZrO<sub>2</sub> and the type I heterojunction formed with monolayer MoS<sub>2</sub> is beneficial for confining carriers in the MoS<sub>2</sub> semiconductor.

## Conclusion

The structure and properties of ZrO<sub>2</sub> in the ultrathin limit (monolayer and bilayer) were investigated. We have shown that both monolayer and bilayer ZrO<sub>2</sub> adopt a honeycomb-like structure, which is similar to 1T-MoS<sub>2</sub>. The stability of monolayer and bilayer ZrO<sub>2</sub> with the 1T structure was confirmed by

the calculations of enthalpies of formation and phonon dispersions. The 1T-ZrO<sub>2</sub> monolayer or bilayer can be fabricated by the cleavage from the (111) facet of non-layered cubic ZrO<sub>2</sub> or by epitaxial growth on a carefully selected substrate such as layered TMDs. Our observation that the in-plane lattice constants of the monolayer and bilayer were shrunken as compared to the corresponding slab in cubic ZrO<sub>2</sub> is consistent with the experimental results of the ultrathin ZrO<sub>2</sub> films grown on the Rh (111) substrate or formed by oxidation of the Pt<sub>3</sub>Zr (0001) surface. The calculated electronic band gaps calculated from the GW method show that both the monolayer and bilayer ZrO<sub>2</sub> have large band gaps, reaching  $7.51$  eV and  $6.82$  eV, respectively. The static dielectric constant of the bilayer ZrO<sub>2</sub> ( $\epsilon_{\parallel}^b = 33.86$ ) along the in-plane direction only increased by  $1.56\%$  as compared to that of the monolayer ( $\epsilon_{\parallel}^m = 33.34$ ), while the static dielectric constant of the bilayer ( $\epsilon_{\perp}^b = 8.93$ ) along the out-of-plane direction was remarkably increased by  $60\%$  as compared to that of the monolayer ( $\epsilon_{\perp}^m = 5.58$ ), indicating that the increasing number of layers of 1T-ZrO<sub>2</sub> has a more significant effect for the static dielectric constant along the out-of-plane direction. In addition, the static dielectric constants of both monolayer and bilayer are larger as compared to those of monolayer h-BN ( $\epsilon_{\parallel} = 6.82$ ,  $\epsilon_{\perp} = 3.29$ ). Therefore, 1T-ZrO<sub>2</sub> is a promising candidate for applications in 2D FETs and heterojunctions, due to the high dielectric constant, good thermodynamic stability, and large band gap. The interface between monolayer ZrO<sub>2</sub> and monolayer MoS<sub>2</sub> was studied and it has been shown that a type I heterojunction was formed. The electronic states near the VBM and CBM of the heterojunction are dominated by the contributions from monolayer MoS<sub>2</sub>, and the band gap of the monolayer MoS<sub>2</sub> interfaced with monolayer ZrO<sub>2</sub> is smaller than its freestanding counterparts.

## Conflicts of interest

There are no conflicts to declare.



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