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# Activating molecular oxygen with Au/CeO<sub>2</sub> for the conversion of lignin model compounds and organosolv lignin†

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Au/CeO<sub>2</sub> was demonstrated to be a high efficiency catalyst for the conversion of 2-phenoxyacetophenol (PP-ol) employing O<sub>2</sub> as an oxidant and methyl alcohol as the solvent without using an erosive strong base or acid. Mechanistic investigations, including emission quenching experiments, electron spin-resonance (ESR) and intermediate verification experiments, were carried out. The results verified that the superoxide anion activated by Au/CeO<sub>2</sub> from molecular oxygen plays a vital role in the oxidation of lignin model compounds, and the cleavage of both the β-O-4 and C<sub>α</sub>-C<sub>β</sub> linkages was involved. Au/CeO<sub>2</sub> also performed well in the oxidative conversion of organosolv lignin under mild conditions (453 K), producing vanillin (10.5 wt%), methyl vanillate (6.8 wt%), methylene syringate (3.4 wt%) and a ring-opened product. Based on the detailed characterization data and mechanistic results, Au/CeO<sub>2</sub> was confirmed to be a promising catalytic system.

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## Introduction

Despite their excellent performance and broad applicability, fossil fuel reserves are dwindling and their use causes environmental pollution. Green and renewable resources are considered key to the continuous development of society. Lignocellulosic biomass can continuously and steadily provide chemicals and fuel with zero net carbon emissions. In recent years, there has been increasing interest in the industrial production of organic compounds and fuels from lignocellulosic biomass.<sup>1</sup> As a primary constituent of lignocellulosic biomass (15–30% by weight and 40% by energy),<sup>2</sup> lignin consists mainly of three monolignols: coniferyl alcohol, sinapyl alcohol and *p*-coumaryl alcohol (seen Fig. 1). Based on its structure, lignin can be considered a source of value-added chemicals, such as aromatic aldehydes, ketones, acids and esters. The annual output of lignin in nature is 150 billion tons. More than 70 million tons of lignin are annually produced by the paper industry, and historically, most of this material is burned to provide energy.<sup>3</sup> As a nonedible and renewable biomass, lignin is a potentially valuable

feedstock to produce organic compounds, especially high-value aromatics.<sup>4,5</sup> Efficiently depolymerizing lignin into well-defined aromatics represents a key challenge that limits the valorization of lignin.<sup>6</sup> In the past decade, increasing attention has been paid to the catalytic depolymerization of lignin in academia and industry.<sup>7–9</sup> Many efforts have focused on utilizing and manipulating the inherent structure and functionality of lignin,<sup>10,11</sup> especially the most abundant type, β-O-4 linkages, because they make up approximately 50% of all linkages, making them key to any depolymerization strategy.<sup>4</sup>

The best strategy for the depolymerization of lignin is still under debate. Traditional strategies have been based on catalytic cracking, hydrolysis, hydrogenolysis, reduction and oxidation.<sup>12–16</sup> Of these strategies, catalytic oxidation has received substantial attention due to its potential for yielding more highly functionalized monomers or oligomers, which are widely applicable in the chemical industry. Several attempts

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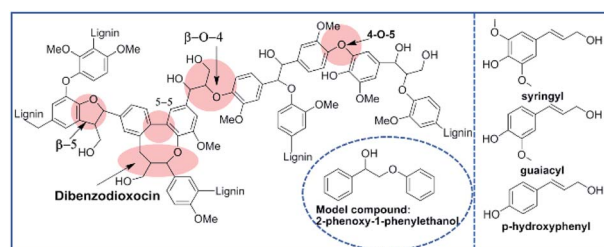


Fig. 1 The basic structural units and connections in lignin.



have been made toward an economically practical catalytic oxidative decomposition of lignin to generate acids or aldehydes using O<sub>2</sub> as the oxidant with a heterogeneous catalyst.<sup>17,18</sup> In recent years, Au nanoparticles (NPs) supported on metal oxides (Au/MnO<sub>2</sub>, Au/TiO<sub>2</sub>, Au/CeO<sub>2</sub>, *etc.*) have been investigated to selectively catalyze the aerobic oxidation of alcohols to the corresponding carbonyl compounds.<sup>19–21</sup> Very recently, Yang Song, *etc.* discussed a Au/hydroxide catalyst in which an alcohol was converted to the corresponding carbonyl by a two-electron process.<sup>22</sup> To date, however, there has been little discussion of the performance of Au/CeO<sub>2</sub> in the catalytic conversion of lignin.

In this paper, we introduce a heterogeneous catalyst, Au/CeO<sub>2</sub>, for the depolymerization of lignin under molecular oxygen. The high catalytic activity of Au/CeO<sub>2</sub> with the lignin model compounds, the study of the reaction mechanism and the application of this catalyst to the conversion of lignin to aromatics are highlighted in this manuscript.

## Experimental

### Materials and methods

**Preparation of CeO<sub>2</sub> nanorods.**<sup>23</sup> First, 25.2 g of NaOH and 1.73 g of Ce(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O were dissolved in 70 and 10 mL of deionized water, respectively, in beakers. These two suspensions were then mixed together in a Teflon bottle and stirred for 1 h at room temperature. Then, the Teflon bottle was placed in an autoclave and heated in an oven at 373 K for 72 h. The solid products were recovered by centrifugation, washed, and dried at 343 K for 12 h. Finally, the solid sample was calcined at 873 K in air for 6 h.

**Preparation of Au/CeO<sub>2</sub>.**<sup>24</sup> First, 0.5 g of CeO<sub>2</sub> was added to 50 mL of an aqueous solution of  $2.2 \times 10^{-3}$  M HAuCl<sub>4</sub> with 0.22 M urea. The suspension was vigorously stirred for 4 h at 353 K and then centrifuged. The solid was washed with deionized water, dried at 343 K overnight and calcined at 573 K in air for 4 h, other metal oxides are treated in the same way.

### Catalyst characterization

A 50 mg sample of the catalyst was transferred into a U-shaped quartz reactor and purged with He at 403 K for 3 h. Then, after cooling to ambient temperature, the flowing gas was switched to 5% H<sub>2</sub>/Ar, and the sample was heated from room temperature to 973 K at a rate of 10 K min<sup>-1</sup>. The X-ray diffraction (XRD) patterns of the catalyst were recorded using a Rigaku MiniFlex 600 diffractometer system. The morphology of the prepared Au/CeO<sub>2</sub> was observed by TEM on a Jeol 2100F electron microscope. X-ray photoelectron spectroscopy (XPS) measurements of the Au/CeO<sub>2</sub> were recorded on a Thermo SCIENTIFIC ESCALAB 250Xi. The binding energies were referenced to the C 1s level of adventitious carbon at 284.6 eV. The electron spin-resonance (ESR) signals were recorded on a Bruker EMX-10/12 spectrometer. The settings for the ESR spectrometer were as follows: center field, 3500.00 G; sweep width, 150.00 G; microwave frequency, 9.85 G; power, 3.99 mW; conversion time, 40.0 ms.

### Catalytic test

All catalytic reactions were carried out in an 80 mL autoclave reactor. First, 0.47 mmol or 0.1 g of substrate, 20 mg of catalyst and 25 mL of methanol were added to a stainless steel autoclave. Then, the reactor was pressurized with 1 MPa O<sub>2</sub> and heated to the target temperature under mechanical stirring. The products were analyzed *via* HPLC and GC-MS (see the ESI†). The conversion and the selectivity of conversion of the substrate were calculated according to the following equations:

$$\text{Conversion} = \frac{\text{The mole of converted substrate}}{\text{The mole of total substrate}} \times 100 (\%)$$

$$\text{Yield} = \frac{\text{The mole of the product}}{\text{The total mole of the substrate}} \times 100 (\%)$$

## Results and discussion

### Characterizations of the materials

The phase purity and crystal structure of the catalyst were determined by XRD, and the results are displayed in Fig. S1.† Diffraction peaks from the (111), (200), (220), (311), (222), (400), (331) and (420) planes of CeO<sub>2</sub> are consistent with a cubic fluorite-type structure (JCPDS Card No. 65-2975). Obviously, the strong diffraction peaks confirm the high crystallinity of the sample. At the same time, no additional peaks were observed, which means that the prepared CeO<sub>2</sub> has better phase purity. After loading the Au NPs on the CeO<sub>2</sub> support, no Au peaks were observed in the spectrum of 0.88 wt% Au/CeO<sub>2</sub>, suggesting an ultralow loading of Au, a particle size less than 5 nm, and a good dispersion of the Au NPs on the support. (The actual loading weight content was 0.88 wt%, as determined by ICP-AES analysis).

The transmission electron micrographs (Fig. S2†) show the presence of Au NPs with an average particle size of 5 nm on the as-prepared Au/CeO<sub>2</sub>. The observed lattice spacing of 0.23 nm and 0.31 nm lattice fringes, which correspond to the Au (111) and CeO<sub>2</sub> (111) atomic planes, are in good agreement with the XRD results (Fig. S1†).<sup>25</sup>

The H<sub>2</sub>-TPR data from the CeO<sub>2</sub> nanorods and Au/CeO<sub>2</sub> catalysts are shown in Fig. S3.† The pure CeO<sub>2</sub> nanorods do not exhibit a reduction peak at 293–773 K.<sup>26</sup> For the Au/CeO<sub>2</sub> catalyst, significant changes due to the reaction/absorption of hydrogen on the solid were observed. Four reduction peaks, at 398 K, 523 K, 661 K and 869 K, were present. The Au species in the Au/CeO<sub>2</sub> catalyst were reduced at 373–473 K. The peak at 398 K is attributed to Au reduction, while the peaks at 523 K and 661 K are attributed to the reduction of surface oxygen by CeO<sub>2</sub>, and the reduction peak at 869 K is attributed to the lattice oxygen.<sup>27</sup> This indicates that the deposition of the Au NPs on ceria remarkably alters the catalytic activity and reducibility of the catalyst.

To further clarify the chemical states of the Au NPs, XPS analysis was conducted, as shown in Fig. S4.† The XPS spectrum of Au 4f displays typical doublet peaks with binding energies of



83.9 eV (Au 4f<sub>7/2</sub>) and 87.6 eV (Au 4f<sub>5/2</sub>), indicating that Au is present in its elementary metallic form.<sup>28</sup>

### Metal oxides catalyze the oxidative of lignin model compound

The diffusion limit was eliminated by optimizing the agitation speed before the catalytic experiments (Fig. S5†). We found that when the rotation speed reached 400 rpm, the internal and external diffusion phenomena were controlled.

Based on this result, we tested the catalytic activities of several typical metal oxides and metal oxide-supported Au in the oxidative conversion of 2-phenoxy-1-phenylethanol (**PP-ol**). The results are shown in Table 1. When several of the typical metal oxides or no catalyst were used, the substrate displayed a very low conversion of 0.1–2.7% (Entries 1–5). When the Au NPs were loaded into metal oxides, the **PP-ol** was effectively converted to benzoic acid (**BA**), methyl benzoate (**MB**), **phenol**, dimethoxytoluene (**DT**), methyl phenylglyoxylate (**MP**) and uncleaved product 2-phenoxy-1-phenylethanone (**PP-one**) (Entries 6–9). Compared to several typical metal oxides (Entries 1–5), Au loaded on a metal oxide significantly accelerates the conversion of **PP-ol**. Au/CeO<sub>2</sub> showed the highest **PP-ol** conversion (71.5%). **Phenol**, **MB**, **PP-one** and **DT** were the major products with yields of 45.3%, 31.4%, 20.8% and 6%, respectively (Entry 9). The appearance of **MB** also demonstrates that the cleavage of the C<sub>α</sub>–C<sub>β</sub> bond is accompanied by the breakage of the β-O-4 linkage. Stahl *et al.* recently developed a novel methodology for the conversion of lignin into aromatic compounds under mild conditions.<sup>9,29</sup> This method involves the catalytic conversion of a C<sub>α</sub>-hydroxyl group into the ketonic group and the subsequent cleavage of the β-O-4 bond. This strategy was developed by Deng and coworkers.<sup>4</sup> Because **PP-one** was also detected as a product (Table 1, Entry 6–9), we investigated its

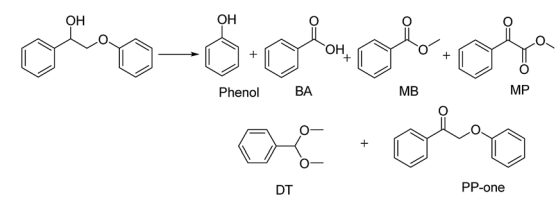
behavior under catalytic conditions. The results are shown in Table 2.

**PP-one** is transformed into the major products *i.e.*, **Phenol**, **BA** and **MB**, with a conversion of 21% (Table 2) without a catalyst. Compared with **PP-ol**, it reacted more quickly under the same operating conditions because **PP-one** also contains a β-O-4 bond, but the C<sub>α</sub>-OH moiety is converted to a C<sub>α</sub>=O group in **PP-ol**. This reactivity is consistent with the idea that the breakage of the β-O-4 linkage is easier when a C<sub>α</sub>=O is present instead of a C<sub>α</sub>-OH moiety.<sup>29</sup>

Then, we investigated the oxidative activity of Au/CeO<sub>2</sub> catalysts with different Au loadings (the actual loadings (weight content) were determined by ICP-AES analysis). As shown in Fig. 2, when the Au loading was increased from 0.08 wt% to 0.88 wt%, the conversion of **PP-ol** significantly increased from 25% to 71%. These results suggest that the Au NPs play a key role in the catalytic conversion of the substrate. Au NPs may enrich and activate molecular oxygen, greatly reducing the absorption energy of the reactants, as Wang reported.<sup>30</sup> Because Au NPs provide active sites for **PP-ol** oxidation in the Au/CeO<sub>2</sub> systems, increasing the number of Au active sites enhances the catalysis. However, it should be noted that the increase in the **PP-ol** conversion and the yield of **Phenol** and **MB** decreased as the Au loading exceeded 0.88 wt%. Moreover, the yield of **DT** increased significantly with increasing Au loading, and **DT** may be an intermediate in repolymerization, making it undesirable in the reaction system.

Both β-O-4 bonds and methoxy groups at various substitution positions are abundant in the aromatic units of lignin, and those methoxy groups may influence the activation of the β-O-4 linkages. Therefore, we investigated the oxidative performance of Au/CeO<sub>2</sub> for the catalytic transformation of substituted **PP-ol** at 453 K. As displayed in Table 3, the substituted compound is more reactive than **PP-ol**. When a CH<sub>3</sub>O moiety was present, more than 90% the model compound was converted to the corresponding esters and phenols. This result indicates that Au/

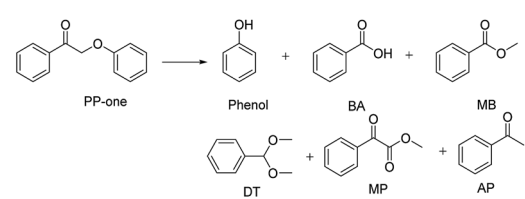
Table 1 Catalytic conversion of PP-ol over several metal oxides<sup>a</sup>



Entry	Cat.	Cons. (%)	Yield (%)					MP
			Phenol	DT	BA	MB	PP-one	
1	Blank	<0.1	0	0	0	0	0	0
2	SiO <sub>2</sub>	<0.1	0	0	0	0	0	0
3	Al <sub>2</sub> O <sub>3</sub>	2.9	0.5	0	0.24	2	0.9	0
4	Pr <sub>6</sub> O <sub>11</sub>	1.7	0.7	0	0.1	0	0	0
5	CeO <sub>2</sub>	2.7	0	0	1.7	1.3	1.7	0
6	Au/SiO <sub>2</sub>	54.8	9.5	1.9	21.2	24.6	18	0
7	Au/Al <sub>2</sub> O <sub>3</sub>	62.7	45.1	14.6	15.4	4.3	14.9	7.1
8	Au/Pr <sub>6</sub> O <sub>11</sub>	44.8	25.1	6.7	26.2	4.3	17.4	14.6
9	Au/CeO <sub>2</sub>	71.5	45.3	6	4	31.4	20.8	6.7

<sup>a</sup> Reaction conditions: substrate, 0.1 g (0.47 mmol); catalyst, 20 mg; CH<sub>3</sub>OH, 25 mL; O<sub>2</sub>, 1 MPa; 453 K; 4 h.

Table 2 Catalytic conversion of PP-one over several metal oxides<sup>a</sup>



Entry	Catalysis	Cons. (%)	Yield (%)					AP
			Phenol	DT	BA	MB	MP	
1	Blank	21	15	0	9.2	3.8	0	0
2	Al <sub>2</sub> O <sub>3</sub>	23	20	0	8.2	4.2	0	0
3	SiO <sub>2</sub>	26	19	0	13	4.6	0	0
4	CeO <sub>2</sub>	76	58	0	2.8	56	0	4.7
5	Au/CeO <sub>2</sub>	>99	71.1	1.5	12.3	58.6	6.8	0

<sup>a</sup> Reaction conditions: **PP-one**, 0.1 g (0.47 mmol); catalyst, 20 mg; CH<sub>3</sub>OH, 25 mL; O<sub>2</sub>, 1 MPa; 443 K; 2 h.



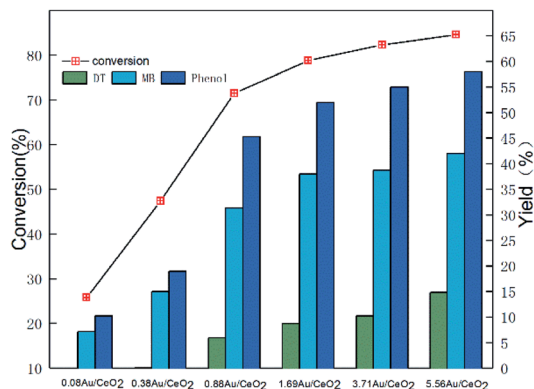


Fig. 2 Effect of Au loadings on the catalytic behaviors of Au/CeO<sub>2</sub> for the oxidation of PP-ol. Reaction conditions: PP-ol, 0.1 g (0.47 mmol); catalyst, 20 mg; CH<sub>3</sub>OH, 25 mL; O<sub>2</sub>, 1 MPa; 453 K; 4 h.

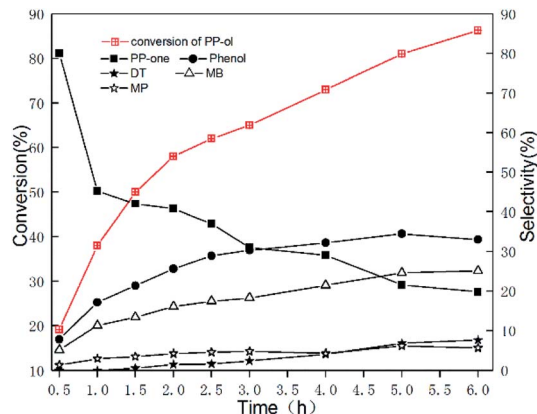


Fig. 3 Time course for the oxidation of PP-ol catalyzed by Au/CeO<sub>2</sub>. Reaction conditions: 0.1 g (0.47 mmol); catalyst, 20 mg; CH<sub>3</sub>OH, 25 mL; O<sub>2</sub>, 1 MPa; 453 K.

Table 3 Catalytic performances of Au/CeO<sub>2</sub> for the conversion of substituted PP-ol model compounds<sup>a</sup>

Substrate	Cons. (%)	Yield (%)					
		MB	BA	Phenol	DB	DT	Ketone
	>99	82.5	1.7	85.3	0	1.9	6.4
	98.2	73.7	5.6	72	0	3.7	10.2
	94.7	60.7	4.3	1.7	70.2	6.7	15.4

<sup>a</sup> Reaction conditions: substrate, 0.47 mmol; Au/CeO<sub>2</sub>, 20 mg; CH<sub>3</sub>OH, 25 mL; O<sub>2</sub>, 1 MPa; 453 K; 4 h.

oxidation progressed, the selectivity for **PP-one** rapidly decreased, and the selectivities for **Phenol**, **DT** and **MB** increased. After 4 h, the difference in selectivity became less significant. Subsequently, we calculated the concentration of the products, and depicted the changing trend of products concentration vs. time, as Fig. S6<sup>†</sup> shows. These findings support the hypothesis that the reaction proceeded through **PP-one** as an intermediate, and **PP-one** was further oxidized over the Au/CeO<sub>2</sub> catalyst. These results further indicate that the Au NPs play a key role in the oxidation of **PP-ol** by catalyzing the conversion of C<sub>α</sub>-OH to C<sub>α</sub>-=O. A carbonyl compound was formed, and cleavage of the β-O-4 and C<sub>α</sub>-C<sub>β</sub> bonds subsequently occurred. Such a preoxidation process may reduce the energy barrier for the breakage of the β-O-4 linkage. Gregg T. Beckham *et al.* previously reported that by converting the C<sub>α</sub>-hydroxyl group to a C<sub>α</sub>-carbonyl, the β-O-4 bond dissociation enthalpy was reduced from 69.5 to 60.6 kcal mol<sup>-1</sup>.<sup>31</sup>

To further investigate the role of O<sub>2</sub>, we probed the effect of O<sub>2</sub> pressure in the catalytic system. We observed a 29% transformation of **PP-ol** with 0.1 MPa O<sub>2</sub> (Table 4, entry 1). **PP-one**,

CeO<sub>2</sub> performs well in the catalytic oxidative cleavage of β-O-4 in the lignin model compounds.

The stability of the Au/CeO<sub>2</sub> catalyst for the oxidative conversion of **PP-ol** was investigated (Fig. S7<sup>†</sup>). The catalyst could be recycled four times without a significant decline in its oxidative activity, and its product distribution remained stable. The reduction in the conversion may be attributed to an increase in the size of the Au NPs or a change in the catalyst surface properties, such as the presence of adsorbed products on the Au/CeO<sub>2</sub> or the oxidation of the catalyst surface.

### Reaction mechanism for the oxidation of PP-ol

To elucidate the reaction pathway, we examined the oxidation of **PP-ol** over time on the Au/CeO<sub>2</sub> catalyst, and the results are shown in Fig. 3. As Fig. 3 shows, **PP-one** was the main product in the initial stage of the reaction with ~80% selectivity. As the

Table 4 Catalytic conversion of PP-ol under different O<sub>2</sub> pressures<sup>a</sup>

Catalysis	Cons. (%)	Yield (%)					
		Phenol	DT	BA	MB	MP	PP-one
O <sub>2</sub> (0.1 Mpa)	29	15.1	4.90	5.1	5.5	0	8.3
O <sub>2</sub> (1 Mpa)	71.5	45.3	2	4	31.4	6.7	20.8
N <sub>2</sub> (1 Mpa)	0.7	0	0	0	0	0	0

<sup>a</sup> Reaction conditions: **PP-ol**, 0.1 g (0.47 mmol); catalyst, 20 mg; CH<sub>3</sub>OH, 25 mL; 453 K; 4 h.



**Phenol** and **MB** were the major products. An increase in O<sub>2</sub> pressure efficiently promoted the conversion, suggesting that O<sub>2</sub> facilitates the breakage of both the C<sub>α</sub>-C<sub>β</sub> and β-O-4 bonds (Table 4, Entry 2).

When N<sub>2</sub> was employed, only a 0.7% conversion of **PP-ol** (Table 4, Entry 3) was observed. This finding suggests that Au/CeO<sub>2</sub> cannot catalyze this reaction in the presence of N<sub>2</sub>, but the cleavage of the β-O-4 linkage could occur in the absence of O<sub>2</sub>.

It was also confirmed that **PP-one** could more readily than **PP-ol** undergo this transformation because **PP-one** contains a β-O-4 bond adjacent to a C<sub>α</sub>=O moiety, while **PP-ol** has a C<sub>α</sub>-OH group. However, we observed 17% conversion of **PP-one** in the presence of N<sub>2</sub> (Table 5, Entry 3), which is obviously lower than the conversions (56%, >99%) under O<sub>2</sub> (Table 5, Entry 1 and 2). Interestingly, **phenol** and acetophenone were the major products, and they were obtained in yields of 15.2 and 14%, respectively. The appearance of **MB** confirms that the β-O-4 linkage was cleaved.

### Radical trapping experiments

*p*-Benzoquinone is a scavenger of superoxide radicals,<sup>32</sup> and it was added to the reactor along with the substrate, and the results are presented in Table 6.

As displayed in Table 6, the conversion of **PP-ol** reached 71.5% (entry 1) in the absence of *p*-benzoquinone. However, when benzoquinone was added to the system, the conversion of **PP-ol** dropped dramatically (Entry 2–4) from 10.7% to 0.1% with increasing loading of the radical scavenger. When **PP-one** was used as the substrate, similar results were observed. These results demonstrated that a radical species played a vital role in the catalytic oxidation of the lignin model compounds; thus, we speculate that the oxidation occurred *via* a free radical process.

To verify this hypothesis, more mechanistic information was obtained by identifying the surface intermediates using *in situ* liquid-phase ESR spin-trapping experiments, as Fig. 4 shows. Data were acquired from a suspension of 0.1 g Au/CeO<sub>2</sub> sample in methanol with DMPO and oxygen. An obvious 6-fold signal

Table 6 Radical tripping experiments<sup>a</sup>

Entry	Substrate	Radical scavenger equivalent	Cons. (%)
1	<b>PP-ol</b>	—	71.5
2	<b>PP-ol</b>	0.1	10.7
3	<b>PP-ol</b>	0.5	7
4	<b>PP-ol</b>	1	<0.1
5 <sup>b</sup>	<b>PP-one</b>	—	>99
6 <sup>b</sup>	<b>PP-one</b>	0.1	42

<sup>a</sup> Reaction conditions: **PP-one**, 0.1 g (0.47 mmol); catalyst, 20 mg; CH<sub>3</sub>OH, 25 mL; 453 K; O<sub>2</sub>, 1 MPa; 2 h. <sup>b</sup> 443 K; 2 h.

characteristic of the superoxide radical anion was observed in the ESR data, suggesting that oxygen is activated by Au/CeO<sub>2</sub> to form superoxide anion free radicals.<sup>28,33</sup> Therefore, these experiments confirm that the Au/CeO<sub>2</sub> catalyst can strongly activate oxygen.

Employing molecular oxygen as the oxidant is green, economical, and attractive from an environmental viewpoint.<sup>34</sup> Efficiently catalyzing the reactions between inert, ground triplet-state O<sub>2</sub> (<sup>3</sup>Σ<sub>g</sub>O<sub>2</sub>) and organic molecules (mainly in singlet-state) is key to this process. Substantial effort has been devoted to activating O<sub>2</sub> into active oxygen species.<sup>35</sup> Au NPs show a unique ability to generate superoxide radicals (O<sub>2</sub><sup>•-</sup>) through electron transfer processes.<sup>36</sup>

Tsukuda *et al.* reported that molecular oxygen is activated through negatively charged Au NPs to form a peroxy species or superoxide, which can then activate C–H bonds.<sup>37</sup> As Woodham *et al.*<sup>38</sup> demonstrated, electrons can be added to the O<sub>2</sub> π\* orbital of O<sub>2</sub> *via* electron donation from loaded Au NPs, generating elongated O–O bonds that closely resemble those of the superoxo-like (O<sub>2</sub><sup>•-</sup>) adsorbate state. The oxidation process is subsequently accomplished through a series of deprotonation and elimination steps, *i.e.*, adsorbed molecular O<sub>2</sub> obtains an electron from Au/CeO<sub>2</sub> to generate Au/CeO<sub>2</sub>-O<sub>2</sub><sup>•-</sup>, which then reacts with **PP-ol** to form **PP-one**. Based on the results presented and discussed above, a possible mechanism for the oxidation of **PP-ol** over the Au/CeO<sub>2</sub> catalyst is proposed in Scheme 1.

Table 5 Catalytic conversion of **PP-one** under different O<sub>2</sub> pressures<sup>a</sup>

Catalysis	Cons. (%)	Yield (%)					
		Phenol	DT	BA	MB	MP	AP
O <sub>2</sub> (0.1 Mpa)	56	39	0.7	16.9	24	5	0
O <sub>2</sub> (1 Mpa)	>99	71.1	1.5	12.3	58.6	6.8	0
N <sub>2</sub> (1 Mpa)	17	15.2	0	0	0	0	14

<sup>a</sup> Reaction conditions: **PP-one**, 0.1 g (0.47 mmol); catalyst, 20 mg; CH<sub>3</sub>OH, 25 mL; 443 K; 2 h.

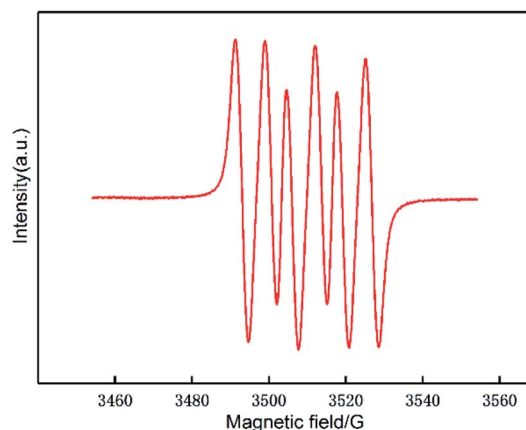


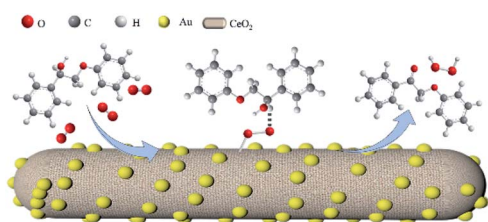
Fig. 4 ESR spectrum of DMPO-O<sub>2</sub><sup>•-</sup> recorded in a system containing methanol, the catalyst and O<sub>2</sub> at 453 K.



To investigate the breakage of the  $\beta$ -O-4 linkage, the oxidation of several potential intermediates by Au/CeO<sub>2</sub> in an O<sub>2</sub> atmosphere at 453 K was explored (Fig. 5). First, phenylglyoxal can be fully converted to the corresponding breakage products, *i.e.*, **Phenol**, **DT**, **BA** and **MB** (eqn (1) in Fig. 5). These products are in good agreement with the products of the Au/CeO<sub>2</sub>-catalyzed oxidation of **PP-ol** (Table 1). Because phenylglyoxal was also detected as a minor product by GC-MS, phenylglyoxal may be the intermediate in the oxidation, and it would presumably be produced from the breakage of  $\beta$ -O-4 linkage. Second, benzaldehyde showed a low conversion (10.2%) to **BA**, **MB** and **DT** (eqn (2)). Benzaldehyde is stable under the reaction conditions, suggesting that little of its C <sub>$\alpha$</sub> -C <sub>$\beta$</sub>  bond was broken. **BA** also showed a low transformation (24.4%) to **MB** (eqn (4)), indicating that **MB** was mainly formed from phenylglyoxal (eqn (1)). Third, methyl phenylglyoxylate showed a conversion of 32.9% under these conditions, and the major products were **MB**, **MA**, benzaldehyde and **DT** (eqn (3)). CO<sub>2</sub> was also released by this reaction (Fig. S8†). These results indicate that C <sub>$\beta$</sub>  is transformed *via* two pathways, including C <sub>$\alpha$</sub> -C <sub>$\beta$</sub>  bond and C <sub>$\beta$</sub> -O bond breakage to CO<sub>2</sub> and methyl formate. Methyl phenylglyoxylate is stable in the reaction, meaning that it is not a possible intermediate.

Based on these results, a possible cleavage process for the oxidation was proposed (Scheme 2). In short, the C <sub>$\alpha$</sub> -hydroxyl group of **PP-ol** is primarily oxidized a C <sub>$\alpha$</sub> -carbonyl *via* the catalytic action of Au NPs. The subsequent oxidation of the  $\beta$ -O-4 linkage of **PP-one** over the Au/CeO<sub>2</sub> catalyst *via* O<sub>2</sub><sup>•-</sup> could afford phenylglyoxal, methyl phenylglyoxylate and **phenol**. The catalytic breakage of the  $\beta$ -O-4 linkage over CeO<sub>2</sub> affords an oxidized intermediate (*e.g.*, phenylglyoxal) and **phenol**. A small amount of methyl phenylglyoxylate could also be produced in this process. The catalytically generated intermediate may undergo rapid oxidative cleavage of the C-C bond, generating **BA** and then **MB**, benzaldehyde and ultimately **DT**.

Our observations and mechanistic studies demonstrate the vital role of the preoxidation of the C <sub>$\alpha$</sub> -hydroxyl group. In our earlier research,<sup>4</sup> we loaded Au NPs onto commercial CeO<sub>2</sub> from Alfa Aesar. This material could also catalyze the transformation of **PP-ol** into monomeric aromatic compounds but a lower conversion than that achieved over Pd/CeO<sub>2</sub>. However, when we changed the support to CeO<sub>2</sub> nanorods *via* a hydrothermal method and loaded Au NPs (<5 nm) on these rods, the prepared catalyst displayed excellent performance.



Scheme 1 Proposed mechanism for the aerobic oxidation of **PP-ol** over Au/CeO<sub>2</sub>.

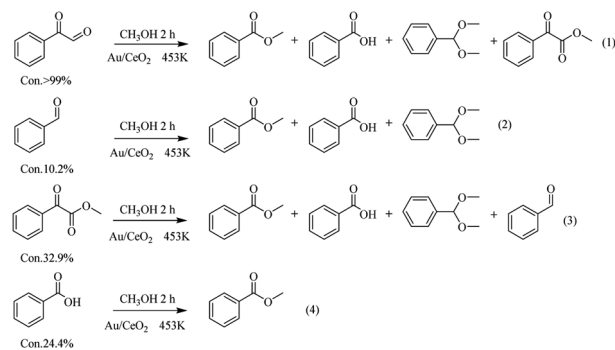
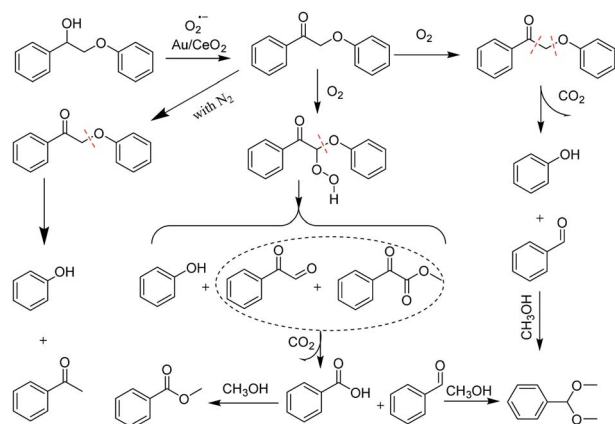


Fig. 5 Catalytic conversions of the possible intermediates under an O<sub>2</sub> atmosphere over Au/CeO<sub>2</sub>.

### Au/CeO<sub>2</sub>-catalyzed conversion of real lignin

The oxidative conversion of organosolv lignin by the Au/CeO<sub>2</sub> catalyst system was subsequently investigated. Lignin was extracted *via* the ethyl alcohol-based organosolv process from cotton stalk according to a previous report. Then, the organosolv lignin was subjected to catalytic oxidation in methanol under O<sub>2</sub> with the Au/CeO<sub>2</sub> catalyst at 453 K for 4 h.

The results are displayed in Fig. 6. Several monomeric aromatic compounds, including vanillin (10.5 wt%), methyl vanillate (6.8 wt%), 2,6-dimethoxy-1,4-benzoquinone, methylene syringate (3.4 wt%) and a ring-opened compound, were detected and quantified by GC-MS. According to earlier reports, LaMnO<sub>3</sub> and LaCoO<sub>3</sub> are highly active for the catalytic oxidation of lignin to aromatic aldehydes, and they offer high yields of vanillin (~5%) and syringaldehyde (~10%).<sup>39,40</sup> Perovskite-type LaFe<sub>0.8</sub>Cu<sub>0.2</sub>O<sub>3</sub> materials have also been studied for the wet catalysis of lignin, and they provided maximum yields of vanillin and 4-hydroxybenzaldehyde of 4.56 wt% and 2.49 wt%, respectively. However, an erosive strong base was required in these methods. Recently, a Pd/Al<sub>2</sub>O<sub>3</sub> catalyst was reported for the oxidative conversion of alkaline lignin, affording only vanillin in a yield of 1.6 wt%.<sup>41</sup> Yang *et al.* investigated Au/Li-Al LDH materials, which showed excellent activity in the oxidation



Scheme 2 Possible reaction mechanism for the oxidative conversion of **PP-ol**.



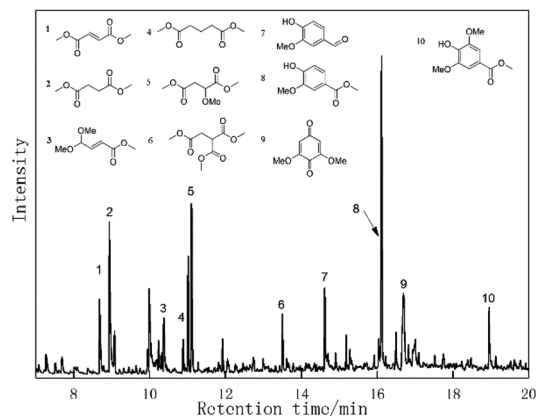


Fig. 6 GC-MS of products from Au/CeO<sub>2</sub>-catalyzed organosolv lignin.

of natural lignin, and a 40 wt% yield of aromatic compounds was recorded from GVLox, while KLox afforded 10 wt% of desired compounds.<sup>22</sup> Our present results showed that Au/CeO<sub>2</sub> is also a promising material for the depolymerization of lignin to aromatic monomers in the absence of an erosive strong base or acid.

## Conclusions

Au/CeO<sub>2</sub> was found to be a competent and environmentally friendly heterogeneous catalyst for the oxidation of model compounds and organosolv lignin using O<sub>2</sub> as the oxidant and methyl alcohol as the solvent. The ESR data suggests that a superoxide anion plays a vital role in the catalytic system. The highest conversion (95.3%) was obtained for the oxidation of 2-phenoxy-1-phenylethanol at 453 K with 1 MPa O<sub>2</sub> in 4 h. **Phenol**, methyl benzoate, 2-phenoxy-1-phenylethone and dimethoxytoluene were the major products with yields of 45.3 wt%, 31.4 wt%, 20.8 wt% and 6 wt%, respectively. The Au/CeO<sub>2</sub> materials could also be employed for the direct oxidation of lignin, producing vanillin, methyl vanillate and methylene syringate in relatively high yields. Based on the above results, Au/CeO<sub>2</sub> is a promising catalyst system for the conversion of lignin to value-added low-molecular-weight aromatics.

## Conflicts of interest

There are no conflicts to declare.

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