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A new insight into the adsorption–dissolution growth mechanism of zinc oxide hollow hexagonal nanotowers†

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The formation mechanism of zinc oxide (ZnO) hollow hexagonal nanotowers (h-HNTs) synthesized using a simple hydrothermal method was studied. Crystal growth and dissolution mechanism were correlated to the formation of voids and grain boundaries in the nanotowers and are supported by imaging evidence for the first time. Elevated reaction temperature, various ions present in the reaction medium and these voids are responsible for the adsorption–dissolution process and formation of ZnO h-HNTs.

ZnO is a wide band gap II–VI semiconducting and piezoelectric material with a large exciton binding energy of 60 meV at room temperature.¹ It has a non-central symmetric wurtzite crystal structure, which naturally produces a piezoelectric effect when the material is strained. Zinc oxide is an intriguing material that has attracted great interest because of its combination of electronic, piezoelectric and pyroelectric properties, and chemical and thermal stability. Depending upon the experimental synthesis conditions, ZnO can be obtained in a wide variety of nanostructured morphologies such as nanowires,² nanobelts, nanorings,³ nanosheets,⁴ nanospheres, nanorods,⁵ nanofibers,⁶ nanotubes,⁷ nanoflowers, nanotowers,⁸ etc. The morphology and orientation of the ZnO crystal arrays can be conveniently tailored by changing the reactants and experimental conditions.⁹

Tubular ZnO structures have attracted extensive interest and have been fabricated using a variety of approaches, such as utilizing appropriate solvent composition under a simple hydrothermal route,¹⁰ ultrasonic pretreatment of the reaction mixture,⁹ post pH adjustment,¹¹ etc. Formation of ZnO nanotubes is of a complex origin and the flask chemistry and the conducting of chemical reactions determine their formation mechanism.¹² The ZnO nanotubes can be made by one-step growth methods,¹⁰ or two step growth and etching processes.¹³ Shen *et al.*¹⁴ used organic molecules (CTAB and P123) assisted hydrothermal synthesis to assemble tubular ZnO nanostructures through self-assembly of organic molecules, exfoliation, rolling, and crystallization of formed amorphous layered ZnO intermediates. They observed that the sheet-like intermediates roll to form tubular ZnO nanostructures due to the heat

stress and the crystallization of ZnO sheets. It is considered that CTAB can interact with growth units of ZnO to generate active sites on the surface of ZnO nuclei which grow further.¹⁵ The cooperative self-assembly between ionic CTAB molecules and inorganic precursor species is developed *via* electrostatic interaction on which the mineralization of inorganic species strongly depends.¹⁴ Xu *et al.* synthesized ZnO materials with a range of different morphologies *via* a simple solvothermal method in which zinc acetylacetone was used as the zinc source.^{5a} She *et al.* proposed that the tubular morphology of ZnO was formed *via* the defect selective etching of ZnO nanowires on the polar surface by protons generated from anodic water splitting.¹⁶ Several mechanisms like these were proposed investigating the tubular ZnO morphology, but no detailed study was carried out in this regard.

In this communication, we report the synthesis and formation mechanism of hollow hexagonal nanotowers (h-HNTs) of ZnO. A new concept of 'strong' and 'weak' regions with reference to voids and grain boundaries developed in the nanostructures during solvothermal synthesis of ZnO is introduced and is supported by imaging and other spectroscopic evidences. Adsorption–dissolution in the context of Kirkendall process is explained at the end. Although the experimental demonstration and theoretical treatment mainly concern binary compounds and planar interfaces or nanoscale spherical interfaces, the fabrication route provided by the Kirkendall effect should be generic, and should also work for high-aspect-ratio hollow cylinders or nanotowers.¹⁷ XRD results reveal the nanocrystalline wurtzite ZnO formation through various morphological changes confirmed by scanning electron microscopy (SEM) and matched with that of bulk ZnO standard. Initially, we synthesized ZnO by reacting constituent molecules/precursors at reaction temperature of 200 °C. The reaction mixture was cooled, filtered and dried in the oven at 40 °C and finally calcined at 550 °C. The SEM images showed the formation of

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prominent hollow tubular hexagonal nanostructures tapered at one end (Fig. 1). Fig. 1a and b are that of as-synthesized and calcined ZnO samples, respectively. The non-uniform distribution of point defects is higher at the center than at the periphery, so the center part etches away faster, which lead to tubular morphology, caused by preferential etching in the (0001) direction.¹⁶ Nevertheless, it is accepted that the formation of ZnO nanotubes is kinetically controlled process. A competition between adsorption and dissolution of the precursor molecules, that is crystal growth and dissolution processes determine the final morphology and dimension of the nanostructures.⁸ It is to be noted that the edges of the hexagons were sharp along the tube length. During initial stage, the seeding and growth rate is relatively high because of the high supersaturation degree of growth nutrients. Prolonged solvothermal treatment, at certain equilibrium reaction, the solution composition is no longer thermodynamically favourable for the formation of $\text{Zn}(\text{OH})_2$ that subsequently dehydrate into ZnO .¹⁸ At this stage (prolonged solvothermal treatment), the rate of ZnO dissolution is faster as compared to the rate of formation of $\text{Zn}(\text{OH})_2$ making it a competing process.¹⁹ Polar surfaces gets dissolved preferentially since this decreases the system energy during the subsequent aging process, leading to the formation of ZnO nanotubes.¹⁹ $(\text{Zn}(\text{acac})_2 \cdot \text{H}_2\text{O})$ and CTAB with weight ratio 1 : 10 yielded very fine-textured hollow tubes with a tapered end (towers), and basal hexagons and edges were sharp along the tube length. The preferential etching along *c* axis and the surfactant (CTAB) protection to the lateral surfaces are considered responsible for the formation of ZnO hollow nanotowers.⁷ The average thickness of the hexagonal walls of these nanotowers was approximately 70 nm. It is important to note that similar thickness was observed for the wall differentiating 'strong' and 'weak' regions of the solid nanotowers and is discussed in the following section. With this morphology, we assumed the evolution of the nanotowers may be from hexagonal discs formed during the initial stages of solvothermal reaction (see Fig. 3c for schematic). Wang *et al.*⁹ proposed that the tower-like structure was produced by the layer-layer stacking of the ZnO nanosheets. So to verify this assumption and study the growth mechanism and formation of hollow hexagonal nanotowers (h-HNTs), we carried out the control reaction at 80 °C for 5 h. We collected the small portions (~ 20 mL) of the reaction samples at specific time interval (*viz.*, 30 min, 1 h and 5 h) and allowed the reaction to continue for each time. The

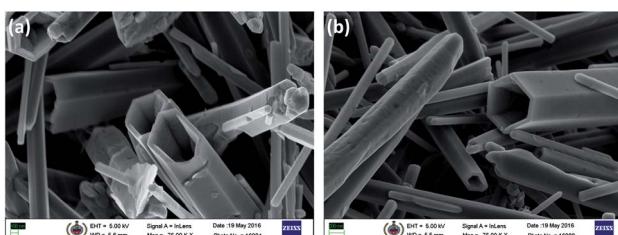


Fig. 1 Scanning electron microscopy (SEM) images at same magnification ($\times 75\text{k}$) of (a) as-synthesized ZnO sample synthesized at solvothermal reaction temperature of 200 °C, scale bar = 100 nm and (b) corresponding sample calcined at 550 °C for 5 h, scale bar = 200 nm.

samples were taken within a very short span of time, centrifuged, filtered and gave washings with warm distilled water (50 °C) and powders obtained finally dried in oven at 60 °C. The sample thus prepared after 5 h time interval was termed as-synthesized sample, some of which was calcined at 550 °C for 5 h. Further characterization was done on these samples. SEM images of samples of ZnO are shown in Fig. 2a–h. These samples showed evolution of nanotower morphology through assembly of nanoparticles.

ZnO precipitation by hydrolysis of zinc acetylacetone in an aqueous medium is a rapid chemical process that results in bursting of nuclei followed by ZnO nanocrystallites formation. Recently, Petrovic *et al.*²⁰ described the growth of stable ZnO hexagons grown hierarchically, with the aid of CTAB. According to them, with the addition of NH_4OH to the precipitation system a $\text{Zn}(\text{NH}_3)_4^{2+}$ complex is formed, which accelerates the dissolution process of the solid phase and at high autoclaving temperature (220 °C), the decomposition of $\text{Zn}(\text{NH}_3)_4^{2+}$ complex takes place forming $\text{Zn}(\text{OH})_2$ by a competitive reaction. $\text{Zn}(\text{OH})_2$ further transforms to ZnO nanocrystallites. However, no clear evidence was given for the formation of these lamellar substructures in hexagonal pyramids, except correlating these lamellae with the change in relative intensities of XRD peaks at (100), (002) and (101). We also did observe increase in the

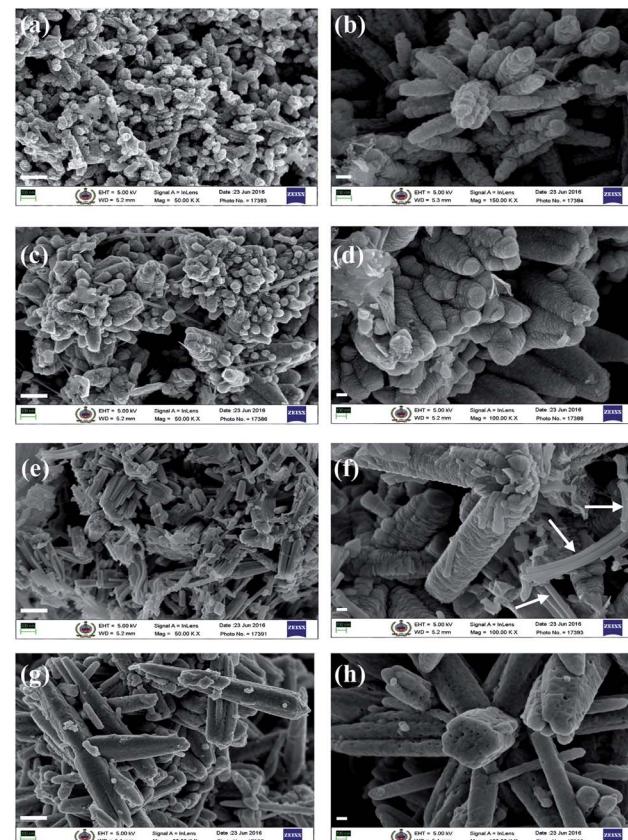


Fig. 2 Scanning electron microscopy images at different magnifications, for samples obtained from the hydrothermal control reaction (80 °C), taken at time interval of (a and b) 30 min (c and d) 1 h, (e and f) 5 h as-synthesized, and (g and h) 5 h as-synthesized sample calcined at 550 °C. Scale bar: (a–d) = 500 nm, (e–h) = 100 nm.



intensities for these diffraction peaks in the XRD pattern, which will be described in the subsequent sections, but control experiment and FE-SEM images of the samples thereof rule out the formation of these hexagonal lamellae.

Initially, after 30 min and 1 h of reaction, the ZnO samples obtained showed hierarchical morphology formed through nanoparticles assembly (Fig. 2a-d). In the growth process, crystals tend to minimize the total surface energy by growing along the [0001] direction. After 5 h of reaction, mixed morphology (Fig. 2e and f) was visible in the form of hierarchical nanotowers formed by nanoparticles assembly, as well some sheet-like structures (shown by white arrows). Interestingly, the samples calcined at temperature of 550 °C showed formation of hierarchical hexagonal nanotowers which were not hollow (Fig. 2g and h). Nanoparticles get coalesced giving smoothening effect to the hierarchical nanotowers obtained earlier. The calcined ZnO samples were free from sheet-like structures. It was contrary to our assumption of hierarchical assembly of hexagonal discs leading to h-HNTs formation. Thus, nanotowers formed reveal hexagonal shape without lamellar hexagonal stackings. Distinguishing illustration of ZnO h-HNTs formation mechanism is given in the schematic of Fig. 3c.

Searson *et al.*²¹ found that several anions in the solution could adsorb on the surface of ZnO nuclei and had great effects on the coarsening rate and also temperature dependence of the bulk solubility of ZnO. In solution phase synthesis of nanostructures, processes such as coarsening and aggregation can compete with nucleation and growth. The acetate and hydroxyl ions generated during reaction system may also have

coarsening effect at higher temperature (200 °C) but it might have been minimized at reaction temperature of 80 °C. This could be the reason that we obtained the tapered nanotowers with no etching of center part, at 80 °C reaction temperature. Formation of ZnO was observed during initial period itself (30 min) as confirmed by XRD measurements. This shows reaction temperature, consequently vapour pressure, apart from various ions present in solution, plays an important role in the crystal growth and dissolution process in the formation of ZnO nanotubes. Here we demonstrate the formation of 'weak' and 'strong' regions and subsequent dissolution of 'weak' region generated by formation of voids and grain boundaries as follows.

Porous (voids) nature of the solid ZnO nanotowers, unlike hexagonal nanotubes, indicates defects and can be partially attributed to the Kirkendall type diffusion. This is evident in SEM magnified image of Fig. 3b (areas denoted by arrows on the base of hexagonal nanotower) which clearly shows the development of thin boundary between periphery of the hexagonal wall and the center core, for the ZnO synthesized at 80 °C reaction temperature for 5 h duration and subsequent calcination. It proves the necessity of elevated reaction temperatures, subsequently increased vapour pressure for the formation of nanotubes. This is probably the first example shown with evidence the crystal growth and dissolution is due to 'weak' and 'strong' regions developed during ZnO nanotowers/nanotubes formation. 'Weak' regions originated because of voids formation, collapses paving way for hollow/tubular morphology. Fig. 3a is the magnified SEM image of the ZnO h-HNTs formed by solvothermal reaction carried out at 200 °C. The tapered hexagonal nanotube structure was retained in the sample calcined at 550 °C also.

Formation of hollow nanostructures is possible with conversion chemistry with three major classes of conversion chemical reactions: the Kirkendall effect, galvanic exchange, and anion exchange, each of which can result in void formation in the materials.²² Several studies on formation of various ZnO morphologies like hollow nanoparticles,²³ nanospheres and nanotubes,²⁴ nanocages,²⁵ dandelions,²⁶ etc. are attributed to Kirkendall effect.^{17,27} Porous nature of the hierarchical ZnO nanostructures (Fig. 2g, h and 3b) indicate defects (unlike hexagonal nanotubes in Fig. 1) and can be attributed due to the injection rates of vacancies and to some extent Kirkendall type diffusion. The porous nature of the solid nanotowers could also be clearly observed in transmission electron microscopy (TEM) images as shown in ESI Fig. S2(b and c).[†] TEM of h-HNTs show transparent tubes image. As mentioned in the preceding section, the solid HNTs show boundary layer at periphery of hexagon and extending vertically along the tower length with number of voids in the core (Fig. 3b). These defects (voids) might aid dissolution of the core leading to hollow tubes/towers formation. Elevated reaction temperature (200 °C), is also required for adsorption-dissolution that takes place during solvothermal synthesis of ZnO. Energy dispersive X-ray (EDX) spectra and ZnO composition of these samples are shown in ESI Fig. S2 and Table 1,[†] respectively. EDX measurements shed light on the composition of nanostructures with respect to zinc

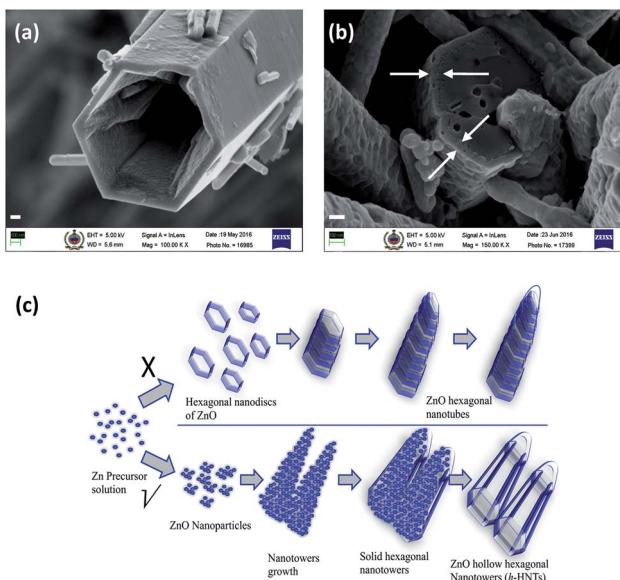


Fig. 3 Scanning electron microscopy images of calcined (550 °C) samples obtained, for comparison, from the solvothermal reactions carried out at (a) 200 °C and (b) control reaction, 80 °C. Areas denoted by arrows in (b) indicate the boundary differentiating 'strong' and 'weak' regions. (c) Schematic showing the mechanism of formation of ZnO h-HNTs through adsorption and dissolution process. Scale bar = 100 nm.

and oxygen. During solvothermal reaction as it proceeds the atomic zinc percentage is decreased, whereas atomic oxygen percentage is increased. Increase in oxygen atomic percentage is likely due to various complexes formed on the nanostructures surfaces by reacting species like zinc acetate, ammonia, CTAB, adsorbed water molecules, *etc.* Zn : O stoichiometric atomic ratio of calcined sample of hydrothermal reaction product (80 °C) solid nanotowers, show 1 : 1 Zn : O composition, as evident from SEM-EDX measurements.

Formation and evolution of ZnO nanotowers was also studied by XRD and phase composition and phase purity at all stages were identified by XRD patterns as depicted in Fig. 4. It shows the formation of ZnO taking place during initial period itself (30 min) and until 5 h of duration, well-evolved prominent ZnO peaks appeared with increased intensity. The strong intensities of ZnO peaks indicate good crystallinity. These samples also showed some peaks in the 2θ region of 10 to 30° confirming the presence of $\text{Zn}(\text{OH})_2$ and some unknown minor impurities (probably present in the reaction or of CTAB). The XRD peaks ($2\theta = 21, 27, 33$) due to $\text{Zn}(\text{OH})_2$ diminishes as the reaction proceeds. In the sample (5 h) calcined at 550 °C, these peaks were disappeared, showing formation of clean ZnO matching the XRD peaks that of bulk ZnO as standard. This supports the imaging observation we had for the sheet-like structures in the 5 h as-synthesized sample and disappearance of the same in the calcined one (Fig. 2); indicating the origin of 10–30° 2θ region peaks may be due to these sheet-like unknown structures and $\text{Zn}(\text{OH})_2$. The remarkable increase in the XRD peak intensities for the sample calcined at 550 °C is attributed to the well-evolved crystalline hexagonal tapered nanotowers. No additional peaks were noticed, validating the pure form of the ZnO. Spectroscopic characterizations like UV-PL, FTIR, Raman were carried out for ZnO nanostructures formed at various stages and standard ZnO and are described in ESI in details (Fig. S3†).

We have fabricated hollow hexagonal nanotowers of ZnO by simple solvothermal synthesis carried out at elevated

temperature of 200 °C. Control reaction at lower temperature shows hierarchical morphology formed by nanoparticles aggregation and upon calcinations, nanoparticles get coalesced giving rise to hexagonal towers which were not hollow. Evolution and growth of ZnO nanostructures is monitored and explained with a suitable mechanism. Hexagonal nanotubes formed without lamellar hexagonal stackings. It is observed that elevated reaction temperature (200 °C), various ions present in the solution along with nanoscale Kirkendall effect are responsible for adsorption–dissolution process and formation of hollow hexagonal nanotowers of ZnO during solvothermal synthesis. To the best of our knowledge, mechanism of ZnO h-HNTs or nanotubes evolution with imaging and spectroscopic evidence is reported for the first time by control reaction.

Conflicts of interest

Authors declare no conflicts of interest.

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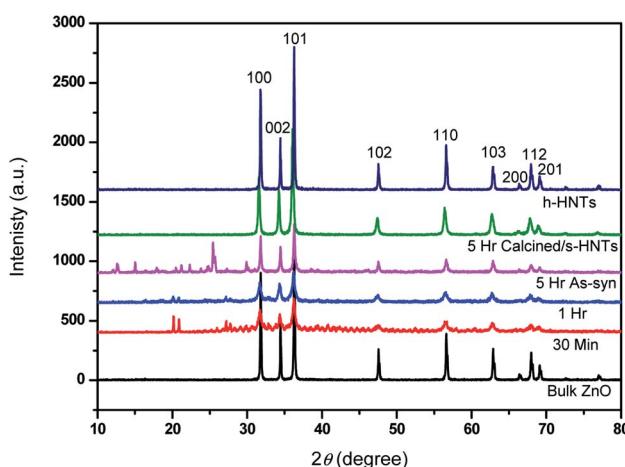


Fig. 4 Powder X-ray diffraction spectra of ZnO samples collected at various time intervals from control reaction carried out at 80 °C, as indicated. XRD pattern of bulk ZnO standard is also shown for comparison.



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