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## Capping experiments reveal multiple surface active sites in CeO<sub>2</sub> and their cooperative catalysis†

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Understanding of surface active sites (SAS) of CeO<sub>2</sub> is crucial to its catalytic applications. In the present study, we have employed capping experiments, DFT calculations, and spectroscopic characterization to study pristine CeO<sub>2</sub> catalyst. We find that multiple SAS coexist on the CeO<sub>2</sub> surface: oxygen vacancies as redox sites and the coordinately unsaturated Ce cations near the oxygen vacancies and the neighboring oxygen ions as Lewis acid–base sites. Dimethylsulfoxide (DMSO), pyridine, and benzoic acid are utilized to cap the redox sites, Lewis acid sites, and base sites, respectively. Selective capping on the redox site does not have much effect on the acid–base catalysis, and *vice versa*, indicating the distinct surface proximity and independent catalysis of these SAS. We draw attention to a relationship between the well-known redox sites and the surface Lewis acid and Lewis base pairs on CeO<sub>2</sub> surface, which are responsible for driving various heterogeneous catalytic reactions.

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### Introduction

Identifying and understanding the location and the nature of the surface-active sites (SAS) for a given catalyst is an often-sought goal in the field of catalysis,<sup>1–5</sup> and is helpful for the rational design of catalysts. The complexity of a heterogeneous catalyst, however, makes this a challenging objective to achieve. Common lab techniques using electron, photon, or X-ray as probes are employed to detect the SAS and to establish the structure–performance relationship, such as scanning tunneling microscopy (STM), *in situ* infrared spectroscopy (IR), and edge X-ray absorption fine structure (EXAFS).<sup>6–9</sup> But these methods usually offered *ex situ* information on a catalyst surface, which is far from realistic conditions. A more reliable way is to use probing methods to study SAS.<sup>10–13</sup> This requires the careful selection of suitable probe molecules and reactions, together with *in situ* characterization techniques. Versatile probe molecules (or capping agents)<sup>14</sup> with unique structures and adsorption site can be selectively adsorbed on catalytic SAS sites, and thus provide information of SAS at the molecular level.

Cerium oxide (CeO<sub>2</sub>) is a multifunctional catalyst consisting of three types of SAS: redox sites, Lewis acid sites, and Lewis base sites.<sup>15–20</sup> These three sites are able to synergistically

catalyse different reaction pathways to the complex reaction products.<sup>21–26</sup> It is generally considered that selective oxidation of organic compounds almost exclusively involves redox cycles during which lattice oxygen oxidizes the organic molecule leaving an oxygen vacancy that is healed by O<sub>2</sub>, *i.e.* the so called Mars–van Krevelen (MVK) mechanism.<sup>27,28</sup> Lattice oxygen (O<sup>2–</sup>) in CeO<sub>2</sub> is the active species in the oxidation of hydrocarbon<sup>29</sup> and the surface oxygen vacancies act as “activation sites” for the oxygen. On the other hand, most authors agree that the low-coordinated Ce cations or oxygen vacancy act as Lewis acid sites and the neighbouring oxygen ions behave as Lewis base sites in the catalytic cycle.<sup>30–33</sup> And the quantitative relations between the properties and activity or selectivity in Lewis acid–base catalysis reactions have also been proposed.<sup>34,35</sup> Thus, one remaining uncertainty in these studies is the natural difference between the Lewis acidic sites and oxygen vacancies. Besides, structural proximity and the relation between the Lewis acid–base sites and redox sites are still ambiguous.

Capping experiments with suitable probe molecules may be a promising approach to uncover this ambiguous veil, because these capping experiments are usually simple and reliable to distinguish the multiple SAS over the catalyst surface. Suitable capping agent can block one of SAS and have no effect on the others. Therefore, it may make a positive or negative effect on the resulting activity/selectivity of a certain probing reaction.<sup>28</sup> For examples, Tamura and Tomishige *et al.* have reported that 2-cyanopyridine interacted with the Lewis acid sites of CeO<sub>2</sub> and enhanced its Lewis base property and the corresponding catalytic performance.<sup>36</sup> Addition of Br progressively decreases the reactivity of Au/TiO<sub>2</sub> catalyst for the water-gas shift (WGS) reaction<sup>5</sup> and CO oxidation.<sup>10,37</sup> 1,10-Phenanthroline has served

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as a capping agent to block the catalytically active sites of Ru-based catalyst for the benzene hydrogenation.<sup>12</sup> Recently, we have reported several work on the redox and acid–base properties of CeO<sub>2</sub>,<sup>22,38–41</sup> and related the catalytic performance with their single or dual properties, respectively.<sup>17,30–33</sup> In this paper, we study the multiple SAS of CeO<sub>2</sub> *via* the capping experiments, DFT calculations, and co-adsorption IR spectroscopy. Depending on these experiments, the location and correlation of the redox and Lewis acid–base sites of CeO<sub>2</sub> have been proposed. Unlike previous studies on a single active site of CeO<sub>2</sub> under ideal conditions, our study herein provide a global understanding of multiple active sites of CeO<sub>2</sub> catalyst under working conditions.

## Experimental section

### Chemicals and reagents

All chemicals were of analytical grade, purchased from Aladdin Chemicals, and used without further purification.

### Preparation of CeO<sub>2</sub> catalysts

The CeO<sub>2</sub> sample was prepared by a conventional precipitation method, which was reported by our group.<sup>38</sup> Briefly, 5.0 g of Ce(NO<sub>3</sub>)<sub>3</sub>·6H<sub>2</sub>O was dissolved in 100 mL of Millipore-purified water (18 mΩ cm), and the solution was adjusted to pH = 11.0 by the addition of NH<sub>4</sub>OH (3.4 M) under magnetic stirring at room temperature. The resulting gel mixture was washed with pure water, dried in an oven at 120 °C for 12 h, and calcined at 550 °C in air (50 mL min<sup>-1</sup>) for 4 h.

### General characterizations of CeO<sub>2</sub> catalysts

Pristine CeO<sub>2</sub> was characterized by powder X-ray diffraction conducted with a PANalytical X-Pert PRO diffractometer using Cu-Kα radiation at 40 kV and 20 mA. The sample was scanned over a 2θ range of 10° to 80°. The morphology of pristine CeO<sub>2</sub> was examined by transmission electron microscopy (TEM, JEM-2000). The defect structure and oxygen vacancies of CeO<sub>2</sub> were determined by Raman spectroscopy recorded on a micro-Raman spectrometer (Renishaw) equipped with a CCD detector using a He/Ne laser with a wavelength of 532 nm.

### Catalytic reactions and product analyses

**Oxidative coupling of aniline with benzyl alcohol.** In a typical reaction, the catalyst was weighed into a screw-capped glass pressure vessel containing a stir bar. The required amounts of alcohol (0.5 mmol) and amine (0.6 mmol), pre-dissolved in a solvent (2 mL), were added and the vessel was filled with oxygen, sealed, and heated to the desired temperature in an oil bath with stirring.

**Transformylating amine with DMF.** The catalytic reactions were conducted in a screw-capped glass pressure vessel with a stirring bar. Typically, benzylamine (1.5 mmol), *N,N*-dimethylformamide (DMF, 2 mL), and catalyst (100 mg) were placed in the reactor. The reactor was then immersed in an oil bath preheated at the desired reaction temperature.

**Oxidative coupling of acetophenone with benzaldehyde.** In a typical reaction, the catalyst was added into a screw-capped glass pressure vessel with a stirring bar. A solution of acetophenone (0.5 mmol) and benzaldehyde (0.75 mmol) in 2 mL solvent was added. The reactor was tightly screwed and heated to the set value in an oil bath. The calculation of conversion and selectivity is based on acetophenone.

**Oxidation of benzyl alcohol.** The catalytic reactions were conducted in a screw-capped glass pressure vessel. Typically, benzyl alcohol (0.75 mmol), catalyst (100 mg), *p*-xylene (2 mL), and a magnetic stir bar were loaded into the reactor. The reactor was sealed and placed in an oil bath preheated to the desired temperature for the reaction.

**Hydrolysis of 1,3-dioxane.** Typically, 1,3-dioxane (1.5 mmol), catalyst (100 mg), water (2.0 mL), and a magnetic stir bar were loaded into a Teflon-lined autoclave reactor. The reactor was sealed and placed in a preheated mantle at the desired temperature. Six parallel reactions could be conducted in order to achieve the same reaction conditions. Each reaction was continued for the desired time before sampling for analysis.

All the product's mixtures were analyzed by gas chromatography (GC, Agilent 7890A) and gas chromatography-mass spectrometry (GC-MS) using an Agilent 7890A/5975C instrument equipped with an HP-5MS column (30 m in length, 0.25 mm in diameter). Authenticated samples were utilized to quantify the reactants and products.

**DFT calculation settings.** The periodic plane wave based density functional theory program VASP (Vienna Ab Initio Simulation Package)<sup>42,43</sup> has been employed to perform all the DFT calculations. The electron–ion interactions are described by the projector-augmented wave method (PAW), which is a frozen core all-electron method using the exact shape of the valence wave functions instead of pseudo-wave functions.<sup>44</sup> The exchange correlation energy has been calculated within the generalized gradient approximation by the Perdew–Burke–Ernzerhof formulation (GGA-PBE).<sup>45,46</sup> The kinetic energy cutoff of plane-wave basis sets was fixed to 500 eV in all calculations. 2s<sup>2</sup>2p<sup>4</sup> electrons of O and 5s<sup>2</sup>5p<sup>6</sup>4f5d6s<sup>2</sup> of Ce are explicitly taken into consideration. We used DFT + *U* corrections<sup>47</sup> to describe the CeO<sub>2</sub> with the value of *U* – *J* = 4.5 eV<sup>48</sup> for Ce 4f orbitals.

This level of theory computes the lattice constant of *a* = 5.495 Å for bulk CeO<sub>2</sub>, in reasonable agreement with the experimental value (*a* = 5.411 Å).<sup>49</sup> This lattice constant has been used to construct a periodic CeO<sub>2</sub> (111) *p* (3 × 3) slab with 9 atomic layers (which are equal to 3 stoichiometric layers) separated by a vacuum layer of 15 Å to eliminate interactions between the slab and its periodic images. In total, there are 27 Ce atoms and 54 O atoms in the slab. The bottom 4 atomic layers are fixed to their optimized bulk configuration during all computations, and the top 5 atomic layers and surface intermediates are fully relaxed. All atomic coordinates of the adsorbates and the atoms in the relaxed layers are optimized to a force of <0.03 eV Å<sup>-1</sup> on each atom. All self-consistent field calculations are converged to 1 × 10<sup>-5</sup> kJ mol<sup>-1</sup>. Brillouin zone



integration is performed using a  $3 \times 4 \times 1$  Monkhorst–Pack grid and a Gaussian smearing of 0.05 eV.

**FT-IR of co-adsorption over CeO<sub>2</sub>.** The co-adsorption of pyridine and methanol was carried out on a Bruker Tensor 27 instrument in absorbance mode. The sample was pressed into a self-supporting disk (13 mm diameter) and placed in a homemade IR cell attached to a closed glass-circulation system. Prior to the adsorption, the sample disk was pre-treated by heating at 400 °C for 1 h in H<sub>2</sub> stream and then cooled to 30 °C, meanwhile evacuated to  $<10^{-3}$  Pa. After a spectrum was collected, the sample disk was exposed to pyridine vapor. IR spectra of the chemisorbed pyridine were recorded after evacuation at 30 °C and 150 °C for 0.5 h, respectively. After desorption of pyridine at 150 °C, the methanol vapor was introduced to the sample disk. IR spectra of the chemisorbed methanol were also recorded after evacuation at 30 °C and 150 °C for 0.5 h, respectively. All spectra were collected after cooling to 30 °C. The spectra were also recorded after adsorbed methanol and then pyridine in the same manner.

## Results and discussion

The redox and acid–base properties of CeO<sub>2</sub> are important parameters that provide an opportunity to activate complex organic molecules and to generate the target products selectively.<sup>21,50</sup> Pristine CeO<sub>2</sub> is employed in several acid–base catalysis reactions, such as the dehydration of alcohol,<sup>16</sup> the alkylation of aromatic compounds,<sup>51</sup> ketone formation and aldolization,<sup>52,53</sup> and redox reactions,<sup>54</sup> such as CO oxidation,<sup>55</sup> water-gas shift,<sup>56,57</sup> and alcohol oxidation.<sup>41,58</sup> In this study, several probe reactions, including oxidative coupling of aniline with benzyl alcohol for redox and acid sites, transformylating amine with DMF for acid and base sites, oxidative coupling of acetophenone with benzaldehyde for redox and base sites, oxidative of benzyl alcohol for redox sites, and hydrolysis of 1,3-dioxane for acid sites, were selected to detect the SAS of CeO<sub>2</sub> according to the selective capping of SAS.

Before these probe reactions, the general structure information of pristine CeO<sub>2</sub> was examined by the TEM, XRD, and Raman spectroscopy (see ESI, Fig. S1†). CeO<sub>2</sub> nanopolyhedrons with about 10 to 20 nm were observed in the TEM image (Fig. S1a†). The diffraction peaks at  $2\theta = 28.7^\circ$ ,  $33.2^\circ$ ,  $47.5^\circ$ , and  $55.6^\circ$  were corresponded to the crystal planes (111), (200), (220), and (311) of CeO<sub>2</sub> with the fluorite-type structure<sup>59</sup> (Fig. S1b†). The Raman spectrum shows the defect structure of CeO<sub>2</sub> and the relative concentration of oxygen vacancies was about 0.052 calculated by curve-fitting based on the peak areas of 462 and 590 cm<sup>-1</sup>, which have been ascribed to the Raman-active F<sub>2g</sub> vibrational mode and the signal of oxygen vacancies<sup>38,60</sup> (Fig. S1c†).

### Inhibit the redox sites of CeO<sub>2</sub> and conduct the acid–base catalysis

Tamura's work<sup>61</sup> and our previous study<sup>40</sup> have shown that the oxidative coupling of aniline with benzyl alcohol occurred on

the redox sites of CeO<sub>2</sub> with the assistance of Lewis acid sites. The reaction consists of the oxidation of benzyl alcohol and condensation between aniline and benzaldehyde. The oxidation requires the redox sites, and the condensation is favourable and will be promoted by the Lewis acid sites. We here selected the reaction as a model reaction to test the redox property of CeO<sub>2</sub>. In the absence of the capping agents, the reaction smoothly proceeded at 60 °C to give 99% conversion of benzyl alcohol with 94% selectivity of imine and 6% selectivity of benzaldehyde (Table 1, entry 1). Conversions of benzyl alcohol decreased to 8% and 66% after adding 0.58 mmol (= mole of the catalyst added) of DMSO and DMF, respectively. Correspondingly, the selectivities of benzaldehyde increased to 95% and 61%, respectively, indicating that the condensation between benzaldehyde and aniline is also affected, which may be caused by the steric effect of –CH<sub>3</sub> in DMSO and DMF on the Lewis acid sites over CeO<sub>2</sub> (Table 1, entries 2, 3). Furthermore, the reaction was completely suppressed in solvent of DMSO or DMF (Table 1, entries 5, 6). This result is consistent with our previous work,<sup>22,40</sup> which have found the oxidative coupling of benzyl alcohol with aniline or acetophenone did not occur when the DMSO or DMF was used as solvent. These results reveal that DMSO and DMF can inhibit the redox cycling of CeO<sub>2</sub> and have an impact on Lewis acid sites over CeO<sub>2</sub>. In addition, DMSO or DMF could be used as *in situ* capping agent at the redox site over the CeO<sub>2</sub> surface.

Furthermore, methanol dissociates on the CeO<sub>2</sub> surface to form methoxy species, which can also adsorb on the oxygen vacancy<sup>62–64</sup> and may affect the catalytic activity of oxidative coupling. Methanol also acts as capping agent of CeO<sub>2</sub> catalyst. It can be seen that a small amount of methanol has little effect on the catalytic performance (98% conversion of benzyl alcohol with 96% selectivity of imine, Table 1, entry 4). However, when the reaction was conducted in the solvent of methanol, the catalytic activity was inhibited (24% conversion of benzyl

**Table 1** Oxidative coupling of aniline with benzyl alcohol over CeO<sub>2</sub> catalyst<sup>a</sup>

Entry	Solvent	Capping agents <sup>b</sup>	Conv. of 1 <sup>c</sup> (%)	Sel. (%)	
				3	4
1	<i>p</i> -Xylene	—	>99	94	6
2	<i>p</i> -Xylene	DMSO	8	5	95
3	<i>p</i> -Xylene	DMF	66	39	61
4	<i>p</i> -Xylene	CH <sub>3</sub> OH	98	96	4
5	DMSO	—	<1	N.D.	N.D.
6	DMF	—	<1	N.D.	N.D.
7	CH <sub>3</sub> OH	—	24	98	2

<sup>a</sup> Reaction conditions: 1 (0.5 mmol), 2 (0.6 mmol), CeO<sub>2</sub> (100 mg), solvent (2 mL), 60 °C for 12 h, in 0.1 MPa oxygen. <sup>b</sup> 0.58 mmol of capping agent. <sup>c</sup> The conversions and selectivities were determined by GC based on benzyl alcohol. N.D. = not detection.



alcohol), but has minor effect on Lewis acid sites and results in 98% selectivity of imine (Table 1, entry 7). The different behaviour between DMSO, DMF, and CH<sub>3</sub>OH could be ascribed to the different binding affinity of DMSO, DMF, CH<sub>3</sub>OH on CeO<sub>2</sub>. The adsorption energy of these capping agents over CeO<sub>2</sub> may elucidate this difference and will be discussed in the following text (see DFT calculations).

TEM images (Fig. S2†) show that the morphologies of CeO<sub>2</sub> before (Fig. S2a†) and after treatment with DMF (Fig. S2b†) or DMSO (Fig. S2c†) under the similar conditions with the above reaction (100 mg of CeO<sub>2</sub> in 2 mL of solvent, 60 °C for 12 h, in 0.1 MPa oxygen). Irregular CeO<sub>2</sub> nanoparticles with similar morphologies were observed in these three samples. Slight aggregation of nanoparticles was observed after the treatment with DMF or DMSO.

XPS analysis was used to determine the oxidation states of various species in the CeO<sub>2</sub> treated in DMSO or DMF under the similar conditions. As shown in Fig. S3a,† the survey XPS spectra of the CeO<sub>2</sub>, CeO<sub>2</sub>-DMF (CeO<sub>2</sub> treated in DMF), and CeO<sub>2</sub>-DMSO (CeO<sub>2</sub> treated in DMSO) indicate the presence of Ce and O. The Ce 3d spectra of these three samples were shown in Fig. S3b† and the complex spectra were deconvoluted into 10 components with the assignment as showed in Fig. S4,† in which v<sub>0</sub>, v<sub>2</sub>, u<sub>0</sub>, and u<sub>2</sub> peaks are ascribed to the Ce<sup>3+</sup> ions, and the rest peaks (v<sub>1</sub>, v<sub>3</sub>, v<sub>4</sub>, u<sub>1</sub>, u<sub>3</sub>, and u<sub>4</sub>) are attributed to the Ce<sup>4+</sup> ions.<sup>65,66</sup> The content of Ce<sup>3+</sup> near the surface zone were semi-quantitatively calculated based on the following equation:<sup>67</sup>

$$c(\text{Ce}^{3+}) = \frac{A_{v_0} + A_{v_2} + A_{u_0} + A_{u_2}}{A_{v_0} + A_{v_1} + A_{v_2} + A_{v_3} + A_{v_4} + A_{u_0} + A_{u_1} + A_{u_2} + A_{u_3} + A_{u_4}}$$

The calculation results show that the content of Ce<sup>3+</sup> in these three samples was in the range of 30–35%. The Ce<sup>3+</sup> content of CeO<sub>2</sub> treated in DMF (33%) or DMSO (35%) is slight higher than that of pristine CeO<sub>2</sub> (30%), indicating the slight reduction of Ce<sup>4+</sup> to Ce<sup>3+</sup> during the treatment. This result is similar with the literature,<sup>68,69</sup> which reported that the DMF and DMSO are capable to reduce Au, Ag, Cu metal ions to the corresponding metallic nanoparticles. However, the difference of catalytic performance in *p*-xylene (Table 1, entry 1) and DMSO (or DMF) (Table 1, entries 5 or 6) is obvious, indicating another factor may affect the activity of the CeO<sub>2</sub> in the oxidative coupling reaction. According to the literature,<sup>70,71</sup> the metal oxide can be capped with DMSO *via* the oxygen atom coordinated with metal ions on the surface of nanoparticles. We speculate that the oxygen atom of DMSO or DMF could strongly adsorb on the oxygen vacancy and block the redox cycle. The adsorption states and energies of DMSO and DMF over CeO<sub>2</sub> can support our speculation and discussed in the following text (see DFT calculations).

Transformylation of amines with DMF requires the bifunctional acid–base sites as proved by our previous work.<sup>39</sup> The *N*-phenylformamide and benzyl amide were obtained with 14% and 25% yields in the transformylation of aniline and benzyl amine with DMF (as solvent and substrate), respectively (Table 2, entries 1, 4). Even if the transformylation of aniline (benzyl

Table 2 Transformylation of aniline (or benzyl amine) with DMF over CeO<sub>2</sub> catalyst<sup>a</sup>

Entry	Solvent	Amines	Conv. (%)	Yield (%)
1	DMF	Aniline	14	14
2 <sup>b</sup>	DMSO	Aniline	20	20
3 <sup>c</sup>	CH <sub>3</sub> OH	Aniline	18	18
4	DMF	Benzyl amine	25	25
5 <sup>b</sup>	DMSO	Benzyl amine	18	18
6 <sup>c</sup>	CH <sub>3</sub> OH	Benzyl amine	17	17

<sup>a</sup> Reaction conditions: 1.5 mmol amines, CeO<sub>2</sub> (100 mg), DMF (2 mL), 100 °C, 4 h, in Ar. The conversions and yields were determined by GC based on amines and formamides, respectively. <sup>b</sup> DMSO (2 mL), 3 mmol DMF, 100 °C, 4 h. <sup>c</sup> CH<sub>3</sub>OH (2 mL), 3 mmol DMF, 100 °C, 4 h.

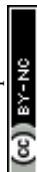
amine) with DMF (only as substrate) was conducted in DMSO or CH<sub>3</sub>OH, the conversions of amines reached 20% (18%) and 18% (17%), respectively, with excellent selectivities (>99%) of corresponding formamides (Table 2 entry 2, 3, 5, 6). According to the discussions of the above paragraph, the redox sites on CeO<sub>2</sub> surface were blocked in DMF, DMSO, or CH<sub>3</sub>OH. These results indicate that the acid–base catalysis by CeO<sub>2</sub> could proceed when the redox cycling was inhibited.

#### Inhibit the Lewis acidic sites of CeO<sub>2</sub> and conduct the redox–base catalysis

Pyridine strongly reacts with the acidic sites of the catalyst, and usually acts as a probe molecule to determine the acidity of the catalyst.<sup>72–74</sup> In this work, pyridine was used as the capping agent to inhibit the Lewis acidic sites of CeO<sub>2</sub>. In the presence of 5% pyridine, the hydrolysis of 4-methyl-1,3-dioxane was remarkably suppressed (the 1,3-butanediol yield was only 7%, which was 51% without pyridine)<sup>38</sup> (Table 3, entries 1 and 2). These results indicate that pyridine can block the Lewis acidic site *via* the interaction of pyridine with Ce cation sites. The oxidative coupling of acetophenone with benzyl alcohol usually catalyzed by bifunctional catalysts, which consist of redox sites for alcohol dehydrogenation and basic sites for the aldol condensation of benzaldehyde with acetophenone.<sup>22,75,76</sup> When the reaction was performed in the presence of pyridine, the acetophenone conversion and the selectivity of the target product were almost the same as the conversion and selectivity without the addition of pyridine.<sup>22</sup> (Table 3, entries 3, 4). These results show that the redox and basic sites are still effective even the Lewis acidic sites are capped.

#### Inhibit the Lewis basic sites of CeO<sub>2</sub> and conduct the redox and acid catalysis

The aldol condensation of benzaldehyde with acetophenone was usually catalyzed by base catalysts.<sup>77</sup> Benzoic acid can poison basic site<sup>78</sup> and was used as the capping agent for CeO<sub>2</sub> catalyst. Fresh CeO<sub>2</sub> treated with benzoic acid (denoted as CeO<sub>2</sub>-



**Table 3** 4-Methyl-1,3-dioxane hydrolysis<sup>a</sup> and oxidative coupling of benzyl alcohol with acetophenone<sup>b</sup> over CeO<sub>2</sub> catalyst

Entry	Capping agent <sup>c</sup>	Conv. <sup>d</sup> (%)	Diol Sel. (%)	Yield of diol (%)
1	—	51	>99	51
2	Pyridine	7	>99	7

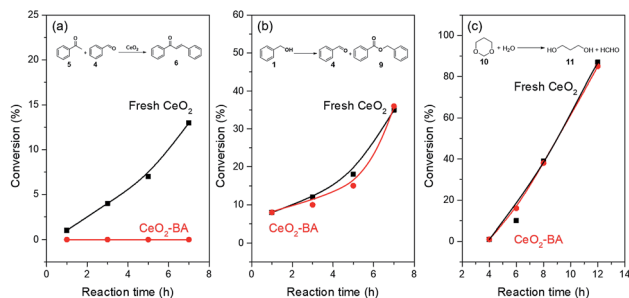
  

Entry	Capping agent <sup>c</sup>	Conv. <sup>e</sup> (%)	Sel. (%)			
			6	7	4	8
3	—	39 (43) <sup>f</sup>	96 (93)	2 (1)	— (6)	2
4	Pyridine	37 (31) <sup>f</sup>	99 (92)	— (—)	— (8)	1

<sup>a</sup> Reaction conditions: 4-methyl-1,3-dioxane (0.16 mL, 1.5 mmol), CeO<sub>2</sub> (0.1 g), water (1.0 mL), pyridine (0.075 mmol), 100 °C, 4 h.<sup>38</sup> <sup>b</sup> **5** (0.5 mmol), **1** (0.75 mmol), CeO<sub>2</sub> (100 mg), *p*-xylene (2 mL), 100 °C, for 12 h, under air. <sup>c</sup> 0.58 mmol of capping agent. <sup>d</sup> The conversions based on 4-methyl-1,3-dioxane were determined by GC-MS. <sup>e</sup> The conversions based on acetophenone consumption were determined by GC-GS. <sup>f</sup> Data in parenthesis indicate the conversion and selectivity based on benzyl alcohol consumption.<sup>22</sup>

BA) would only expose redox and acid sites. FT-IR spectra (Fig. S5†) of fresh CeO<sub>2</sub> and CeO<sub>2</sub>-BA have shown the presence of benzoic acid adsorbed on the surface of CeO<sub>2</sub>. CO<sub>2</sub>-TPD (Fig. S6†) of fresh CeO<sub>2</sub> and CeO<sub>2</sub>-BA have also proved that the concentrations of basic sites decrease from 85.6 to 24.8 μmol g<sup>-1</sup> after the fresh CeO<sub>2</sub> treated with benzoic acid.

The fresh CeO<sub>2</sub> gave an increasing conversion of acetophenone for the aldol condensation (Fig. 1a and Table S1†).



**Fig. 1** Time-on-stream profiles over fresh CeO<sub>2</sub> and CeO<sub>2</sub>-BA. Reaction conditions: (a) **5** (0.5 mmol), **4** (0.75 mmol), catalyst (100 mg), *p*-xylene (2 mL), 150 °C, under Ar. The conversions based on **5** consumption were determined by GC; (b) **1** (0.75 mmol), catalyst (100 mg), *p*-xylene (2 mL), 150 °C, 1 atm air. The conversions based on **1** consumption were determined; (c) **10** (1.5 mmol), catalyst (100 mg), H<sub>2</sub>O (2 mL), 150 °C. The conversions based on **10** consumption were determined. The CeO<sub>2</sub>-BA was fresh CeO<sub>2</sub> treated by benzoic acid in O<sub>2</sub> and then centrifugation, washed with ethanol for three times, and dried at 100 °C.

However, aldol condensation did not occur over the CeO<sub>2</sub>-BA (Fig. 1a). This result is further proved by our preceding work, in which the oxidative coupling of acetophenone with benzyl alcohol was completely suppressed in the presence of benzoic acid.<sup>22</sup> These results reveal that the benzoic acid can poison the basic site on the CeO<sub>2</sub> surface by post-treatment or *in situ* capping. It should be noted that aldol condensation requires fresh benzaldehyde or clean benzaldehyde distilled carefully, or the reaction does not catalyze by the CeO<sub>2</sub> catalyst; since it is facile for the oxidation of benzaldehyde to benzoic acid even if in the absence of catalyst.

Oxidation of benzyl alcohol and hydrolysis of 1,3-dioxane<sup>38</sup> were utilized to test the redox and Lewis acidic property of fresh CeO<sub>2</sub> and CeO<sub>2</sub>-BA. CeO<sub>2</sub>-BA catalyst shows a comparable catalytic performance with fresh CeO<sub>2</sub>, indicating that the redox sites and Lewis acidic sites over the surface of CeO<sub>2</sub> are unaffected by benzoic acid (Fig. 1 and Tables S2 and S3†).

### Inhibit the redox sites and Lewis acidic sites of CeO<sub>2</sub> and conduct the base catalysis

We speculate that Lewis acidic sites of CeO<sub>2</sub> are next to its oxygen vacancies. According to the discussion above and previous work, the pyridine adsorbed on the Lewis acidic sites.<sup>72-74</sup> If a substituent located on the 2-position of the pyridine would affect the oxygen vacancy, it may affect the Lewis acidic sites and redox sites simultaneously, so it would suppress the catalytic performance involved oxidation reactions. 2-Cyanopyridine, which has a cyano group at the *ortho*-position of the pyridine ring, was selected as a capping agent to block the Lewis acidic sites and redox sites simultaneously. The oxidative coupling of benzyl alcohol with aniline was chosen as a probe reaction to detect the shielding effect (inhibit the redox sites and Lewis acidic sites of CeO<sub>2</sub> and conduct the base catalysis).

**Table 4** Effect of the amount of 2-cyanopyridine (2-CN-Pyr) on the synthesis of imine<sup>a</sup>

Entry	2-CN-Pyr/CeO <sub>2</sub> <sup>b</sup>	Density of 2-CN-Pyr <sup>c</sup>	Conv. <sup>d</sup> (%)	Sel. (%)	
				3	4
1	1/1	5800	<1	—	>99
2	1/4	1450	<1	—	>99
3	1/40	145	<1	—	>99
4	1/50	116	23	99	1
5	1/60	96.7	53	99	1
6	1/80	72.5	67	98	2
7	1/160	36.3	97	97	3
8	1/320	18.1	>99	97	3

<sup>a</sup> Reaction conditions: **1** (0.5 mmol), **2** (0.6 mmol), CeO<sub>2</sub> (0.58 mmol, 100 mg), *p*-xylene (2 mL), 60 °C, for 12 h, under oxygen. <sup>b</sup> The molar ratios (*n*/*n*) of 2-CN-Pyr/CeO<sub>2</sub>. <sup>c</sup> Unit: μmol g<sup>-1</sup>. <sup>d</sup> The conversions and selectivities based on **1** consumption were determined by GC.



In the presence of 2-cyanopyridine, the reactions were suppressed completely until the mole amount of 2-cyanopyridine was less than 1/40 of the added CeO<sub>2</sub> (Table 4, entries 1–3). The conversion of benzyl alcohol increased gradually from 23% to 99% as the decrease of 2-cyanopyridine amount from 116 to 18.1 μmol g<sup>-1</sup> (Table 4, entries 4–8). 2-Cyanopyridine inhibited the oxidation reaction and blocked the redox sites, indicating that the Lewis acidic sites were adjacent to the oxygen vacancies (redox sites). Thus, 2-cyanopyridine can be used as capping agent for redox sites and Lewis acidic sites of CeO<sub>2</sub>, meanwhile its Lewis basic sites are not affected and can promote the base-catalysed reaction, such as transesterification of methyl benzoate with 1-hexanol, Knoevenagel condensation from benzaldehyde and ethyl cyanoacetate, and hydromethoxylation of acrylonitrile, reported by the Tamura and Tomishige.<sup>36</sup>

On the other hand, based on the amount of 2-cyanopyridine, the concentration of oxygen vacancy was estimated and the value was in the range of 145 to 116 μmol g<sup>-1</sup>. This result also indicated that 2-cyanopyridine adsorption on CeO<sub>2</sub> catalyst can be used to evaluate oxygen vacancy dispersion and the concentration of redox sites/Lewis acidic sites of CeO<sub>2</sub>.

### The locations of SAS of CeO<sub>2</sub> (redox sites and Lewis acid–base sites)

The above results imply the independent catalytic functions of the SAS over CeO<sub>2</sub> surface, even if one of the SAS was poisoned, the catalytic roles of others were not inhibited. Based on the above discussion, the locations of SAS of CeO<sub>2</sub> have shown in Fig. 2. The oxygen vacancies work as the redox sites *via* the MVK mechanism. Coordinatively unsaturated cerium sites next to the surface oxygen vacancies functioned as Lewis acid sites and the neighbouring oxygen ions behaved as Lewis base sites in the catalytic cycle. This speculation is similar to the literature<sup>30–33</sup> and gives a clear picture for the SAS of CeO<sub>2</sub>.

### DFT calculations

In order to verify the above hypotheses, the adsorption states of DMSO, DMF, methanol (MeOH), benzaldehyde (BD), and benzoic acid (BA) on CeO<sub>2</sub> (111) were examined by DFT calculations. First, the adsorption states (top view and side view) of DMSO and DMF were compared with that of MeOH on (111) surface of CeO<sub>2</sub>, which is the most stable plane among the three low index planes. The optimized structures of DMSO, DMF and MeOH

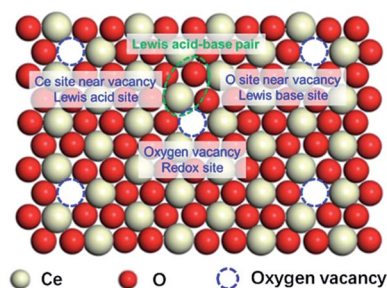


Fig. 2 A schematic diagram of the location of SAS of CeO<sub>2</sub>.

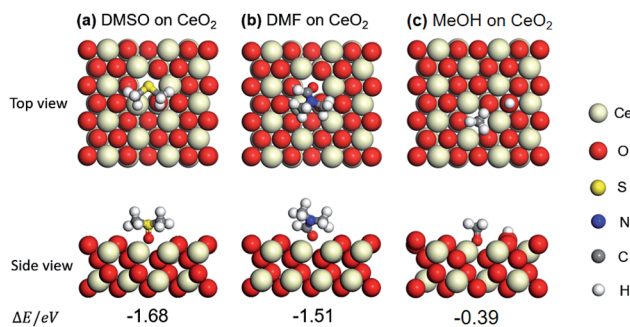


Fig. 3 Optimized structures and adsorption energies (eV) of DMSO, DMF, and methanol (MeOH) adsorption on CeO<sub>2</sub> (111) with one oxygen vacancy: (a) DMSO, (b) DMF, and (c) MeOH.

and their adsorption energies ( $\Delta E$ ) are shown in Fig. 3a–c. Adsorption energy ( $\Delta E$ ) is defined as the electronic energy of the adsorption system relative to that of the isolated system. Thus, a negative value means an exothermic process. Taking  $\Delta E$  into consideration, DMSO and DMF prefer the adsorption through O over the oxygen vacancy. The adsorption of DMSO is higher than that of DMF (–1.68 *vs.* –1.51 eV). This can be attributed to the higher basicity of O in DMSO than that in DMF. This result indicates DMSO and DMF can block the redox sites, and the effect of DMSO is higher than that of DMF, which is in agreement with the catalytic results (Table 1, entries 2, 3; 8 *vs.* 66% conv.) DMSO and DMF also have an effect on the catalytic performance (different selectivities) of Lewis acid sites over CeO<sub>2</sub> (Table 1, entries 2, 3), also indicating that the nearest neighbour between the redox sites and Lewis acid sites. MeOH can be dissociated to form –H and –OCH<sub>3</sub>, and the –OCH<sub>3</sub> adsorbs on an oxygen vacancy *via* the O atom. The adsorption energy of MeOH (–0.39 eV) is lower than that of DMSO (–1.68 eV) and DMF (–1.51 eV). These different adsorption energies can explain the different binding affinity of DMSO, DMF, MeOH on CeO<sub>2</sub> and the corresponding catalytic performance in the presence of these three capping agents (Table 1).

Benzaldehyde (BD) was also compared to that of benzoic acid (BA) on (111) surface of CeO<sub>2</sub>. The optimized structures of BD and BA and their adsorption energies ( $\Delta E$ ) are shown in Fig. 4a

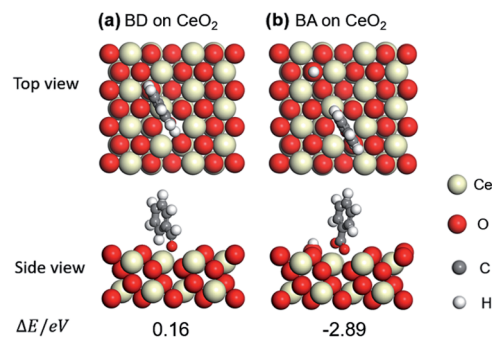


Fig. 4 Optimized structures and adsorption energies (eV) of benzaldehyde (BD) and benzoic acid (BA) adsorption on CeO<sub>2</sub> (111) with one oxygen vacancy: (a) BD and (b) BA.



and b. Taking  $\Delta E$  into consideration, BA prefers the adsorption through the two O atoms near an oxygen vacancy ( $-2.89$  eV). And the adsorption of BD is endothermic ( $0.16$  eV), indicating the weak adsorption of BD on  $\text{CeO}_2$ . These results imply that the BD is not the poison for the basic sites over  $\text{CeO}_2$  and the BA is the real poison during aldol condensation of benzyl alcohol/benzaldehyde with acetophenone.

Pyridine and  $\text{CO}_2$  adsorption on the  $\text{CeO}_2$  can probe the locations of the Lewis acid and base sites on the  $\text{CeO}_2$  surface. The adsorptions of pyridine and  $\text{CO}_2$  on the  $\text{CeO}_2$  (111) with one oxygen vacancy have also been computed based on the DFT calculations. Several adsorption models of pyridine and  $\text{CO}_2$  on  $\text{CeO}_2$  (111) with one surface oxygen vacancy have been constructed, and the corresponding adsorption energies have been calculated. The top and side views of pyridine and  $\text{CO}_2$  adsorption on  $\text{CeO}_2$  (111) with one oxygen vacancy were presented in Fig. 5. It can be seen that pyridine is adsorbed on the (111) crystal face of  $\text{CeO}_2$ , which is optimized to occur at the Ce site next to the oxygen vacancy with  $-1.34$  eV (Fig. 5a), indicating the Ce site next to the oxygen vacancy is the Lewis acid site. In addition,  $\text{CO}_2$  is adsorbed on the O site next to the Ce sites with  $-0.58$  eV, which is next to the oxygen vacancy (Fig. 5b), indicating the O ion site near the oxygen vacancies is the Lewis base site. These results also confirmed the locations of SAS over  $\text{CeO}_2$  surface proposed based on the capping experiments.

### Probing multiple SAS of $\text{CeO}_2$ via the co-adsorption FT-IR of methanol and pyridine

Methanol and pyridine have served as adsorption probe molecule using the FTIR to reconfirm the locations of SAS over  $\text{CeO}_2$  surface.

Methanol is a smart probe molecule and has been applied to study the surface sites of  $\text{CeO}_2$ -based catalysts.<sup>62–64</sup> Its dissociative adsorption on the  $\text{CeO}_2$  surface to form methoxy species requires the cation/anion site pairs (*i.e.* Lewis acid–base pairs),<sup>62</sup> and the as-formed methoxy structure is dependent on the coordinative environment of the surface Ce sites and the presence of oxygen vacancies.<sup>63</sup> Thus, methanol may be a promising probe molecule to study the difference and location of the Lewis acid–base pairs and the redox sites (oxygen vacancies) of  $\text{CeO}_2$ . According to these literature,<sup>62–64</sup> methoxy species adsorbed on the Ce sites are either

on-top, doubly bridging or triply bridging. Because of the presence of oxygen vacancies, two kinds of Ce cationic sites ( $\text{Ce}^{3+}/\text{Ce}^{4+}$ ) exist. Thus, six kinds of adsorbed species coexisted on the surface of  $\text{CeO}_2$  and have been identified by IR spectroscopy (Fig. 6). In these six adsorbed sites, methoxy species adsorbed on the triply bridging of  $\text{Ce}^{3+}$  (III-B), *i.e.* adsorbed on the oxygen vacancy. Because it is difficult to discriminate between  $\nu(\text{CH}_3)$  bonds according to the different methoxy coordinations,  $\nu(\text{OC})$  bond was used to distinguish the distinctive cationic adsorption sites.

Pyridine molecule is one of the most frequently used probes to characterize the acidic properties of solid surfaces.<sup>35,72,73,79</sup> According to the literature of pyridine adsorption on metal oxides, coordinative pyridine on Lewis acid sites shows bands around  $1445$  and  $1610$   $\text{cm}^{-1}$ . Based on our previous work<sup>39</sup> and other studies,<sup>35,38</sup> for  $\text{CeO}_2$ , bands assignable to coordinative pyridine on the Lewis acid sites (on-top sites of  $\text{Ce}^{3+}$ ) were observed around  $1440$  and  $1595$   $\text{cm}^{-1}$  [Fig. 7A-a and A-b].

If the pyridine adsorbed on the on-top sites of  $\text{Ce}^{3+}$  firstly, the methoxy species only adsorbed on the on-top (I-A), doubly (II-A) or triply (III-A) bridged to  $\text{Ce}^{4+}$  sites and the triply bridged of  $\text{Ce}^{3+}$  sites/oxygen vacancies (III-B). Conversely, if methanol adsorbed on the SAS of  $\text{CeO}_2$  firstly, which will occupy almost all the SAS, the pyridine adsorbed will be inhibited. In this manner, the oxygen vacancies and Lewis acidic sites would be identified. Take into account this speculation, co-adsorption of pyridine and methanol was conducted. In order to amplify the signal of adsorbed species on  $\text{Ce}^{3+}$ , the  $\text{CeO}_2$  samples were reduced at  $400$   $^\circ\text{C}$  under  $\text{H}_2$  stream ( $30$   $\text{mL min}^{-1}$ ) before the adsorption, and the sample was denoted by  $\text{CeO}_2\text{-H}$ . Firstly, FT-IR spectra of species formed from the introduction of pyridine and then methanol on the  $\text{CeO}_2\text{-H}$  was recorded (Fig. 7A). Bands assignable to coordinative pyridine on the on-top sites of  $\text{Ce}^{3+}$  were observed for  $\text{CeO}_2\text{-H}$  ( $1440$  and  $1595$   $\text{cm}^{-1}$ ) (Fig. 7A-a, asterisks). These bands were stable at  $150$   $^\circ\text{C}$  under the vacuum ( $1.2 \times 10^{-3}$  Pa) (Fig. 7A-b, asterisks). Once the methanol adsorbed on the sample, these two bands were overlaid. In the vibration range of C–O, bands assigned to I-A, II-A, III-A, and III-B were observed (Fig. 7A(c and d)), indicating that the methoxy species could adsorb on the oxygen vacancies in the presence of pyridine. It also reveals that the diverse locations of oxygen vacancies and Lewis acidic sites.

When methanol was introduced to the  $\text{CeO}_2\text{-H}$  sample before the adsorption of pyridine, all of the  $\nu(\text{OC})$  bonds of methoxy species adsorbed on  $\text{CeO}_2$  were observed (Fig. 7B(a–d)).

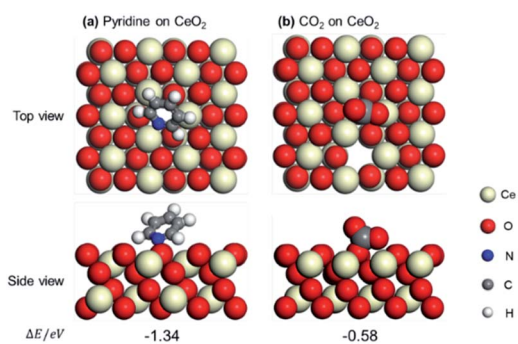


Fig. 5 Optimized structures and adsorption energies (eV) of pyridine (a) and  $\text{CO}_2$  (b) on  $\text{CeO}_2$  (111) with one oxygen vacancy.

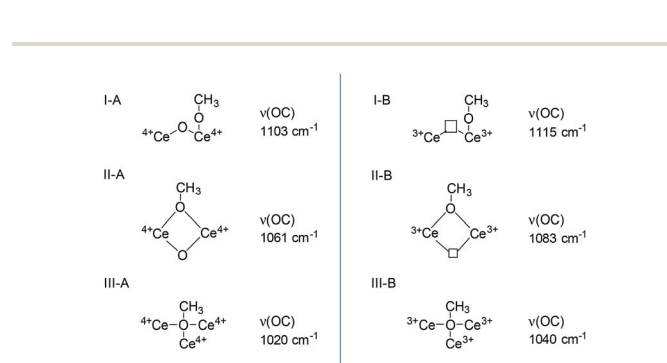


Fig. 6  $\text{CH}_3\text{OH}$  adsorbed on the  $\text{CeO}_2$  (according to the literature<sup>62–64</sup>).



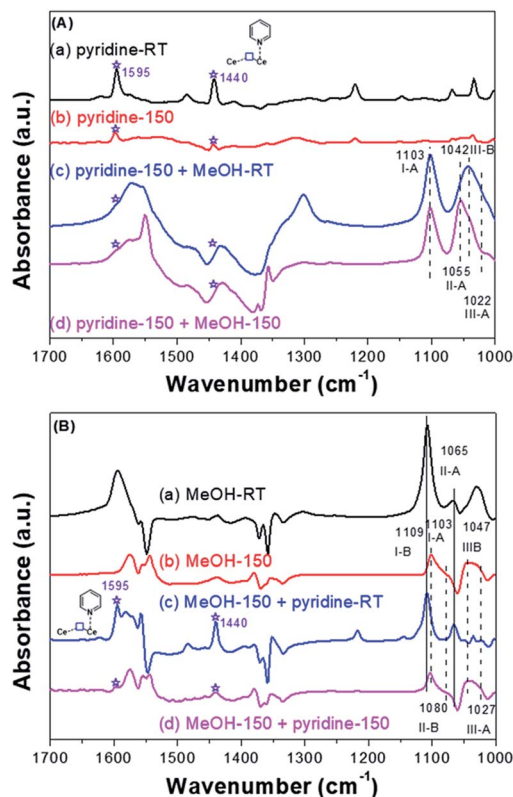


Fig. 7 (A) FT-IR of pyridine and then  $\text{CH}_3\text{OH}$  adsorbed on the  $\text{CeO}_2\text{-H}$ ; (B) FT-IR of  $\text{CH}_3\text{OH}$  and then pyridine adsorbed on the  $\text{CeO}_2\text{-H}$ .

And the adsorbed bands (asterisks in Fig. 7B(c and d)) of pyridine after desorption at 150 °C were unobvious, indicating that the adsorption of pyridine was inhibited. To sum up, the redox sites (oxygen vacancies) and Lewis acidic sites were distinguished and act as independent SAS for adsorption and activation substance.

## Conclusions

Here, we revealed that multiple surface-active sites (SAS) coexisted on the surface of  $\text{CeO}_2$  by control capping experiments, DFT calculations, and FT-IR spectroscopy. Oxygen vacancies on the  $\text{CeO}_2$  surface work as redox sites and the co-ordinately unsaturated Ce cations near the oxygen vacancies and the neighbouring oxygen atoms act as Lewis acid–base sites. Dimethylsulfoxide (DMSO), pyridine, and benzoic acid can cap the redox sites, Lewis acid sites, and base sites, respectively. Selective capping on one of the three SAS has minor effect on the others, indicating these SAS lie on distinct locations and play independent roles in the reactions. The understanding of SAS of  $\text{CeO}_2$  will facilitate rational catalyst design of next-generation catalytic surface structures.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

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