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Hydrogen evolution reaction activity related to the facet-dependent electrocatalytic performance of NiCoP from first principles†

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Transition metal phosphides (TMPs) have been proven to act as highly active catalysts for the hydrogen evolution reaction (HER). Recently, single-phase ternary NiCoP electrocatalysts have been shown through experiments to display remarkable catalytic activity for the HER during water splitting. But, the inherent mechanism is not well understood. Herein, the HER activity of NiCoP with low-Miller-index facets, including (111), (100), (001)-NiP-t, and (001)-CoP-t, was systematically investigated using periodic density functional theory (DFT). The calculated Gibbs free energy of hydrogen adsorption (ΔG_{H}) values reveal that all calculated facets have good catalytic activity for the HER. The (111) facet with the lowest surface energy in a vacuum has optimal ΔG_{H} values close-to-zero for a range of hydrogen coverage. *Ab initio* thermodynamic analysis of hydrogen coverage was conducted to obtain the stabilities of surfaces, which follow the trend: (111) > (001)-CoP-t > (100) > (001)-NiP-t at 1 atm H_2 and 298 K. We hope that this work can shed new light on further understanding the HER in relation to NiCoP and can give guidance for the design and synthesis of transition bimetal phosphide-based catalysts.

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Introduction

As an energy carrier, hydrogen has attracted great interest due to its energy conversion potential and high gravimetric energy density.^{1,2} The environmentally friendly and carbon-free behavior related to hydrogen generation are a result of inter-conversion between water and hydrogen.³ Water splitting using light or some other renewable energy source has been widely accepted as an alternative way to generate H_2 . The hydrogen evolution reaction is usually involved. The HER involves protons and electrons, which convert into hydrogen molecules ($2\text{H}^+ + 2\text{e}^- \rightarrow \text{H}_2$) at the electrode surface. Molecular electrocatalysts with low overpotentials are indispensable for driving the HER, and are required to achieve high energy efficiency.^{4,5}

To date, platinum and its alloys have been regarded as the most efficient catalysts for the electrochemical HER in acidic media due to their small overpotentials, but the high cost and scarcity of platinum group metals limit their wide usage in practice.⁶ Thus, it is highly urgent to explore earth-abundant and non-noble-metal HER materials to replace platinum. Over

the years, some promising HER materials have been developed, such as sulfides,^{7,8} borides,⁹ selenides,¹⁰ carbides,^{11,12} and nitrides,¹³ as substitutes for benchmark platinum materials. However, these satisfactory achievements did not meet the needs of industrial progress. Transition metal phosphides (TMPs), as a consequence, have received much interest from researchers worldwide due to their high catalytic activities for the HER compared to other non-precious electrocatalysts.^{14–16} For example, TMPs, including phosphides of molybdenum,^{17,18} iron,^{19,20} cobalt,^{21,22} and nickel,^{23,24} are well known as hydrogenase-like catalysts, with high catalytic activity and durability. In particular, ternary $\text{Ni}_{2-x}\text{Co}_x\text{P}$ systems, which have been researched extensively, have been shown to be highly efficient catalysts for the HER and oxygen evolution reaction (OER).^{25–31} Among these systems, single-phase ternary NiCoP nanocrystals deliver near-optimal HER catalytic activity, with an overpotential as low as 59 mV and reaching a catalytic current density of 10 mA cm^{-2} . In addition, the derived Tafel slope of the NiCoP nanocrystals is about 50 mV dec^{-1} , approaching that of Pt/C (30 mV dec^{-1}).³² Although there are so many exciting experimental results relating to NiCoP, insights into ternary NiCoP for systematic hydrogen absorption are lacking, which is of critical importance for further enhancing the activities and stabilities of HER electrocatalysts.

The Volmer reaction involves a proton from an acidic solution bonding to an active site on a facet to form H^* ($\text{H}^+ + \text{e}^- + \cdot \rightarrow \text{H}^*$)³³ (here, \cdot represents an active site on the facet and H^* means adsorbed H). Volmer–Heyrovsky and Volmer–Tafel processes are two types of possible pathways that have been

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proposed for the HER mechanism in acidic media. In the former, a solvated proton from the water layer reacts with H^+ to form H_2 ($H^+ + e^- + H^+ \rightarrow H_2 + \cdot$),³⁴ while in the latter, two adsorbed hydrogen atoms react with each other to form a H_2 molecule ($H^* + H^* \rightarrow H_2 + 2\cdot$).³⁵ Therefore, good electrocatalysts for the HER should have the advantages of both being able to attract protons from solution and desorb H_2 molecules.

The Gibbs free energy of hydrogen adsorption (ΔG_H) is regarded as the most important factor for describing the HER activity of an electrocatalyst. Parsons³⁶ first proposed that the optimal HER activity of an electrocatalyst should have a ΔG_H value of around zero. Subsequently, Nørskov *et al.*^{37,38} and other groups^{7,8,16} combined experiment and theory to further prove this. When the value of ΔG_H equals zero, the maximum HER activity is obtainable. A more negative value of ΔG_H results in stronger binding between hydrogen and the facets, which will impede the desorption of H_2 molecules. On the other hand, a more positive value of ΔG_H will result in weaker binding between hydrogen and the facets, which will hinder the proton/electron-transfer step. Thus, efficient HER electrocatalysts can be obtained at a ΔG_H value close to zero.

In order to figure out the HER mechanisms on NiCoP, the absorption structures and energetics of atomic hydrogen on four low-Miller-index facets of NiCoP have been systematically investigated using periodic DFT in this work. We use the calculated ΔG_H values to predict the HER activities of the surfaces. In addition, *ab initio* atomistic thermodynamics was employed to determine the most stable and active facet of NiCoP at 1 atm H_2 pressure and 298 K. It is expected that our results can provide useful insights and guidelines for designing transition bimetal phosphide HER electrocatalysts.

Computational methods

Spin-polarized periodic DFT calculations were performed *via* the plane-wave technique implemented in the Vienna *ab initio* simulation package (VASP).³⁹ The ion–electron interactions were described with the projector augmented plan wave (PAW) method,^{40,41} and the exchange–correlation energies of interacting electrons were represented using the Perdew–Burke–Ernzerhof (PBE) functional with generalized gradient approximation (GGA).⁴² The Gaussian smearing method was adopted to describe the total energy, with a smearing width of 0.02 eV. A plane-wave cutoff energy was tested and set to 400 eV. Structure optimization was continued until the residual force converged to less than $0.01 \text{ eV } \text{\AA}^{-1}$ and the total energy converged to less than $1.0 \times 10^{-6} \text{ eV}$. Slabs more than 10 \AA thick in (2×2) supercells, with 15 \AA of vacuum along the perpendicular catalyst facets, were used to build the adsorbate–facet systems for (111), (100), (001)-NiP-t and (001)-CoP-t facets. A gamma k -point of $7 \times 7 \times 12$ and a Monkhorst–Pack k -point mesh of $3 \times 3 \times 1$ were set to optimize bulk NiCoP and the four adsorbate–facet systems of NiCoP, respectively. The added hydrogen atoms together with the top two layers were allowed to move during the geometry optimization over the whole calculation.

The surface energy was defined as

$$\gamma = \frac{E_{\text{slab}} - NE_{\text{bulk}}}{2A} \quad (1)$$

where E_{slab} is the total energy of the facet slab, E_{bulk} is the total energy of a bulk unit cell of NiCoP, N is the number of formula units in the slab and A is the facet area of the optimized slab. The hydrogen adsorption energy ΔE_H was calculated using

$$\Delta E_H = E(\text{NiCoP} + nH) - E[\text{NiCoP} + (n-1)H] - \frac{1}{2}E(H_2) \quad (2)$$

where $E(\text{NiCoP} + nH)$ and $E[\text{NiCoP} + (n-1)H]$ stand for the total energy of NiCoP with n and $n-1$ hydrogen atoms adsorbed on the facet. $E(H_2)$ is the total energy of a gas phase H_2 molecule. The Gibbs free energy of adsorbed H was obtained using

$$\Delta G_H = \Delta E_H + \Delta E_{\text{ZPE}} - T\Delta S_H \quad (3)$$

where ΔE_{ZPE} and ΔS_H represent the zero-point energy change and entropy change between adsorbed hydrogen and hydrogen in the gas phase under standard conditions, respectively. T is the temperature. ΔS_H is approximately equal to $-\frac{1}{2}\Delta S_{H_2}$, where ΔS_{H_2} is the entropy of an isolated H_2 molecule in the gas phase under standard conditions. Thus, the value of $T\Delta S_H$ is close to -0.2 eV . ΔE_{ZPE} can be expressed as

$$\Delta E_{\text{ZPE}} = E_{\text{ZPE}}^{nH} - E_{\text{ZPE}}^{(n-1)H} - \frac{1}{2}E_{\text{ZPE}}^{H_2} \quad (4)$$

where E_{ZPE}^{nH} , $E_{\text{ZPE}}^{(n-1)H}$ and $E_{\text{ZPE}}^{H_2}$ are the zero-point energies of n and $(n-1)$ adsorbed hydrogen atoms, as well as a gas phase hydrogen molecule, respectively. The zero-point energy can be given as

$$E_{\text{ZPE}} = \sum_i \frac{1}{2} h\nu_i \quad (5)$$

where h is the Planck constant and ν_i is the vibrational frequency. For a single hydrogen molecule, the calculated value of the vibrational frequency is 4301 cm^{-1} , which is in good agreement with the experimental finding of 4395 cm^{-1} .⁴³ The calculated vibrational frequencies for hydrogen adsorbed on the four NiCoP facets are listed in Table S1.† According to eqn (4) and (5), the obtained values of ΔE_{ZPE} are 0.04 eV, 0.04 eV, 0.02 eV and 0.03 eV for the (111), (100), (001)-NiP-t and (001)-CoP-t facets, respectively. Thus, the values of ΔG_H can be rewritten as $\Delta G_H = \Delta E_H + 0.24$, $\Delta G_H = \Delta E_H + 0.24$, $\Delta G_H = \Delta E_H + 0.22$ and $\Delta G_H = \Delta E_H + 0.23$ for the (111), (100), (001)-NiP-t and (001)-CoP-t facets, respectively.

Ab initio atomistic thermodynamics⁴⁴ was used to identify the most stable phase of each facet at 1 atm H_2 and 298 K. ΔG_H at a specific temperature and pressure, $\Delta G^{\text{ad}}(T, P)$, was calculated using

$$\Delta G^{\text{ad}}(T, P) = \frac{1}{A} \left[E^{\text{total}}(N_H) - E^{\text{total}}(0) - \frac{N_H}{2} E_{H_2}^{\text{total}} - N_H \Delta \mu_H(T, P) \right] \quad (6)$$

where $E^{\text{total}}(N_H)$, $E^{\text{total}}(0)$, $E_{H_2}^{\text{total}}$ and $\Delta \mu_H(T, P)$ indicate the total energy of NiCoP with N_H hydrogen atoms adsorbed on a facet,



the total energy of a clean facet, the energy of a gas phase H_2 molecule, and the chemical potential of hydrogen at different temperatures and pressures, respectively. Obviously, the Gibbs free energy is a function of the hydrogen chemical potential for a particular surface at a particular H coverage. Therefore, the stability of H-covered facets can be calculated at a given hydrogen chemical potential. $\Delta\mu_H(T, P)$ is related to specific conditions using

$$\Delta\mu_H(T, P) = \Delta\mu_H(T, P^0) + \frac{1}{2}k_B T \ln\left(\frac{P}{P^0}\right) \quad (7)$$

where $\Delta\mu_H(T, P^0)$ is the hydrogen chemical potential at a standard pressure P^0 and k_B is the Boltzmann constant.

Results and discussion

Surface energy and electronic density of states (DOS) for different facets

The bulk NiCoP shown in Fig. 1 has a hexagonal Fe_2P -type structure with the symmetry group $P\bar{6}2m$. Each unit cell has three nickel atoms, three cobalt atoms and three phosphorous atoms. The equilibrium lattice parameters, together with available experimental data⁴⁵ and recent calculations,³² from DFT with PBE functionals are listed in Table 1. The agreement is very good. The differences between the calculated and experimental findings do not exceed 0.03 Å.

Usually low Miller-index facets are considered preferentially in surface science studies due to their high durability. For hexagonal Fe_2P -type NiCoP, the low Miller-index facets can be cleaved to (111), (100) and (001) examples. For the (001) facet, with respect to hollow sites with three Ni or three Co atoms, there are two different truncation surfaces called (001)-NiP-t and (001)-CoP-t. The optimized structures of these facets are shown in Fig. 2 and the corresponding surface energies are listed in Table 2.

In general, more stable facets have lower surface energies and can be synthesized in experiments.⁴⁶ Thus, the stability of the clean facets in a vacuum follow the order: (111) > (001)-NiP-t > (001)-CoP-t > (100), based on computing the surface energy. The most stable example is the (111) facet, which agrees with

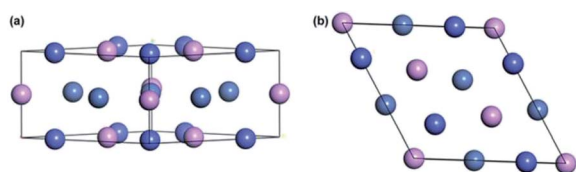


Fig. 1 Bulk NiCoP: (a) side view and (b) top view. Note that the colors gray, blue and pink stand for Ni, Co and P atoms, respectively.

Table 1 The lattice constants of bulk NiCoP

Bulk NiCoP	$a = b$ (Å)	c (Å)
Present	5.80	3.35
Calculated ³²	5.82	3.36
Experimental ⁴⁵	5.83	3.35

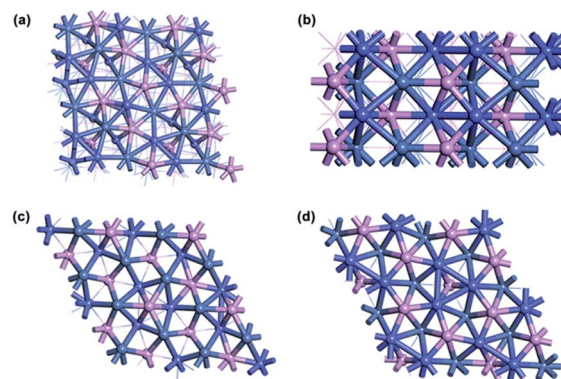


Fig. 2 Top views of clean NiCoP facets: (a) (111); (b) (100); (c) (001)-NiP-t; and (d) (001)-CoP-t.

Table 2 Surface energies of clean NiCoP facets (units: meV Å⁻²)

	(111)	(100)	(001)-NiP-t	(001)-CoP-t
Surface energy	108.20	135.64	122.83	129.47

experiments in which the (111) facet of NiCoP has been synthesized.^{47–50} On the other hand, the surface packing density is usually used to identify the stability.²² The surface packing densities of (111), (001)-NiP-t, (001)-CoP-t and (100) facets are 20, 17, 17, and 15 atoms per nm², respectively.

To understand the electronic structures of the four facets, electronic density of states (DOS) data have been calculated, as depicted in Fig. 3. It is found that all facets show metallic behavior with none-zero DOS data at the Fermi level, and the (111) facet has the highest DOS at the Fermi level. It is worth mentioning that the DOS curve for the (100) facet is gentle, indicating that it has small average numbers of electron-occupation states in the valence band because it has a low surface atom packing density and larger interplanar spacing.

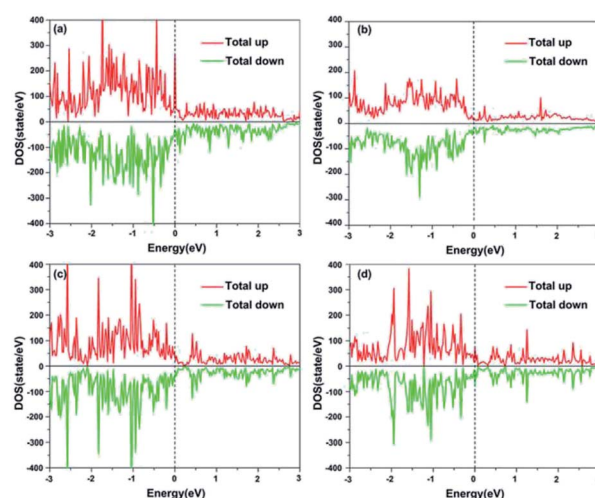


Fig. 3 Spin-polarized total DOS for clean NiCoP facets: (a) (111); (b) (100); (c) (001)-NiP-t; and (d) (001)-CoP-t. The Fermi level is set as zero (dashed line).



Hydrogen adsorption

To find the global lowest energy hydrogen adsorption sites, all possible adsorption sites on the four facets were carefully tested. Then the values of ΔG_H were calculated to describe the HER activity. Finally, *ab initio* atomistic thermodynamics was used to evaluate the most stable phase for each facet. To systematically understand the HER activity, we will respectively investigate hydrogen adsorption on the four facets.

(111) facet

The calculated results reveal that there are only three local minimum sites for hydrogen adsorption, named H1, NC2 and P1, as shown in Fig. S1.† Table S2† lists the adsorption energies of each site. It is found that the most favorable adsorption site is H1. The adsorption energies of all sites follow the trend: H1 < NC2 < P1. Thus, the initial hydrogen atoms were placed at H1 sites. In the same way, the second lowest energy site was obtained. With an assumption that hydrogen atoms easily spread over the facet at the lowest energy sites for each type of hydrogen coverage, we found the global minimum of hydrogen adsorption for each type of hydrogen coverage on the (111)

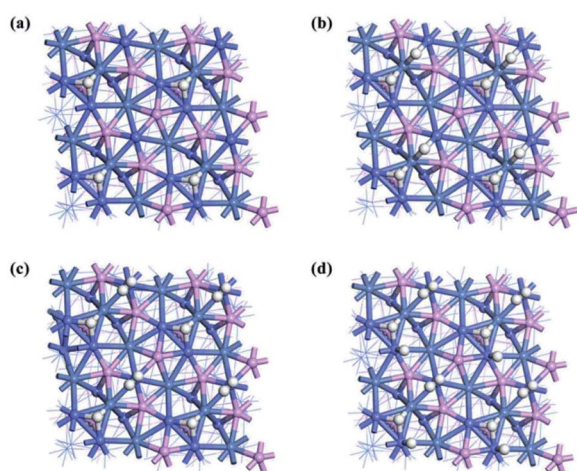


Fig. 4 Optimized structures of the (111) facet for typical hydrogen coverages of (a) 25%, (b) 50%, (c) 75% and (d) 100%. Note that the colors gray, blue, pink and white stand for Ni, Co, P and H atoms, respectively.

facet, as depicted in Fig. 4 for typical 25%, 50%, 75% and 100% coverage (here 100% coverage means 9H atoms per nm²). For less than 25% hydrogen coverage, hydrogen atoms strongly adsorb on H1 sites. For 25–50% hydrogen coverage, they are mainly on NC2 sites. Interestingly, hydrogen atoms on NC2 sites will shift to adjacent C2 sites when the hydrogen coverage is 56–75%. The distance between proximal hydrogen atoms is 0.875 Å, close to the bond length of 0.741 Å found for H₂ by Huber *et al.*,⁴³ and they are proposed to react with each other to form H₂.

Fig. 5a shows the calculated ΔE_H and ΔG_H values for H adsorption on the (111) facet for different hydrogen coverage. When the hydrogen coverage is in the range of 56–75%, ΔG_H is extremely close to zero. Besides, when the hydrogen coverage is 25–50%, ΔG_H is close to -0.2 eV, which is a favorable value for the HER. Therefore, it is indicated that the (111) facet can favor the HER over a large hydrogen coverage range.

Furthermore, to calculate the most stable coverage of hydrogen on the NiCoP (111) facet at 1 atm H₂ and 298 K, *ab initio* atomistic thermodynamics was used to determine $\Delta G^{ad}(T,P)$ along with the hydrogen chemical potential $\Delta\mu_H$ and pressure, as plotted in Fig. 5b and c. Each line expresses a given H coverage. Clearly, the most stable phase at 1 atm H₂ and 298 K is 75% hydrogen coverage, in which the active sites for the HER are C2 sites.

(100) facet

Fig. S2† shows the hydrogen adsorption sites named N1, NP1, P1 and so on. The most stable adsorption site is N1, as listed in Table S3.† Fig. S5† shows the optimized structures of the (100) facet at typical hydrogen coverages of 25%, 50%, 75% and 100% (here 100% coverage means 10H atoms per nm²). Because the adsorption sites will change with increasing hydrogen coverage, the NC1 sites can adsorb hydrogen, as mentioned below.

Fig. 6a illustrates ΔE_H and ΔG_H values for the (100) facet along with different hydrogen coverage. For 37.5–50% hydrogen coverage, hydrogen atoms adsorb on NC1 sites with a difference in hydrogen adsorption energy of near -0.2 eV, which is because of interactions between adsorbed hydrogen atoms. Furthermore, values of ΔG_H close to zero are obtained at NC1 sites for 50% hydrogen coverage, indicating that the (100) facet

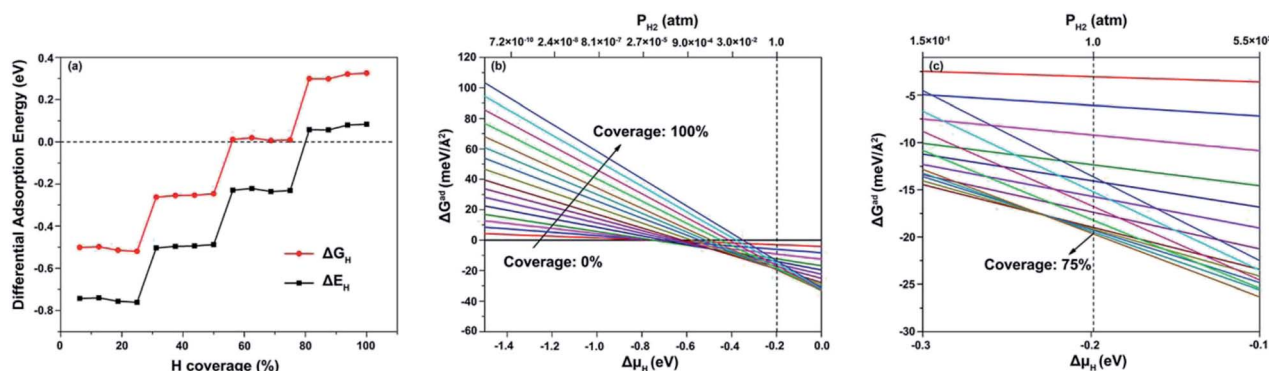


Fig. 5 (a) ΔE_H and ΔG_H values at various hydrogen coverages on the (100) facet; and ΔG^{ad} at 298 K with $\Delta\mu_H$ ranging from (b) -1.5 to 0.0 eV and (c) -0.3 to -0.1 eV.



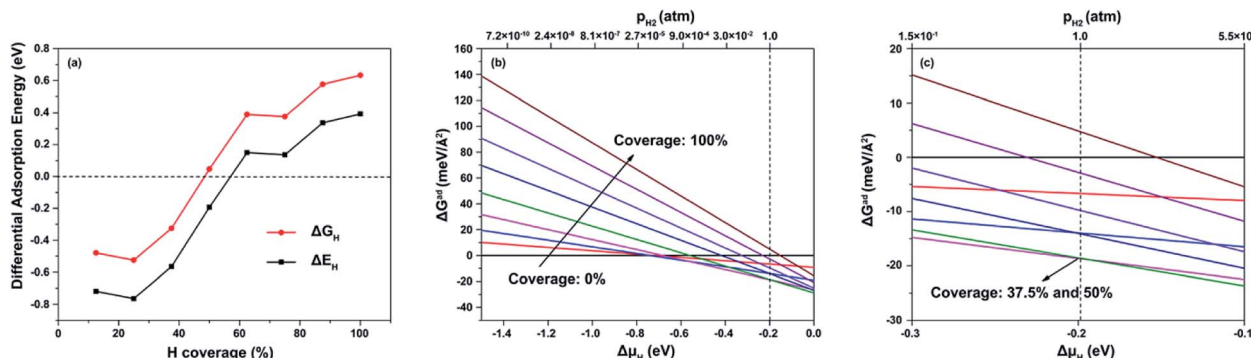


Fig. 6 (a) ΔE_H and ΔG_H values at various hydrogen coverages on the (001)-NiP-t facet; ΔG^{ad} at 298 K with $\Delta\mu_H$ ranging from: (b) -1.5 to 0.0 eV and (c) -0.3 to -0.1 eV.

can also be suitable for HER activity, and adsorbed hydrogen atoms have a great influence on the HER. Fig. 6b and c show plots of ΔG^{ad} as a function of $\Delta\mu_H$ and pressure at 298 K for the (100) facet for different hydrogen coverage. It is found that there are two stable hydrogen coverages, 37.5% and 50%, at 1 H_2 atm and 298 K, respectively. The main absorption sites are NC1 for such stable hydrogen coverages. Therefore, NC1 sites are the active HER sites for the NiCoP (100) facet with hydrogen coverage of 50%.

(001) facet

As mentioned above, the (001) facet has two different truncation surfaces called (001)-NiP-t and (001)-CoP-t. Fig. S3 and S4† show the absorption sites for these two facets. The most stable adsorption sites are H2 and H3 for (001)-NiP-t (as shown in Table S4†) and (001)-CoP-t (as shown in Table S5†), respectively. But the adsorption energy of (001)-CoP-t is much larger than that of (001)-NiP-t, which indicates that hydrogen adsorption on the (001)-CoP-t facet is too strong to desorb H_2 at lower hydrogen coverage.

The optimized structures under different hydrogen coverage (100% coverage represents 7H atoms per nm^2 for (001)-NiP-t and 14H atoms per nm^2 for (001)-CoP-t) are shown in Fig. S6† for (001)-NiP-t and in Fig. S7† for (001)-CoP-t. It can be found that most active HER sites are H2 for (001)-NiP-t and C1 for the (001)-CoP-t facet.

Fig. 7a and 8a plot ΔE_H and ΔG_H values at various hydrogen coverages for the (001)-NiP-t and (001)-CoP-t facets. When the

hydrogen coverage of the (001)-NiP-t facet is less than 50%, the values of ΔG_H are close to zero, indicating that the (001)-NiP-t facet has relatively good HER activity with no initial hydrogen coverage, in line with a recent study by Liu *et al.*⁵¹ Conversely, the values of ΔE_H are around zero for the (001)-CoP-t facet when the hydrogen coverage is more than 31%, revealing weak bonding between hydrogen and the facet. Meanwhile, the values of ΔG_H are close to zero when the hydrogen coverage is more than 31%, illustrating that the hydrogen coverage has an effect on the HER activity and that initial hydrogen coverage is required for favorable HER activity on the (001)-CoP-t facet. Fig. 7(b, c) and 8(b, c) depict ΔG^{ad} as a function of $\Delta\mu_H$ and pressure at 298 K for the (001)-NiP-t and (001)-CoP-t facets along with different hydrogen coverage. One can see that the most stable hydrogen coverage at 1 H_2 atm and 298 K is 50% for (001)-NiP-t and 25% for the (001)-CoP-t facet.

HER activity and stability

Table 3 lists the values of ΔG^{ad} and ΔG_H for the four low Miller-index facets at 1 H_2 atm and 298 K. We find that durability for the HER follows the trend: (111) > NiCoP(001)-CoP-t > (100) > (001)-NiP-t on the basis of ΔG^{ad} . Using a ΔG_H value close to zero as the criterion, the most efficient HER facet is (111). Therefore, from a comprehensive point of view, the (111) facet is the optimal facet for the high-activity HER at 1 H_2 atm and 298 K.

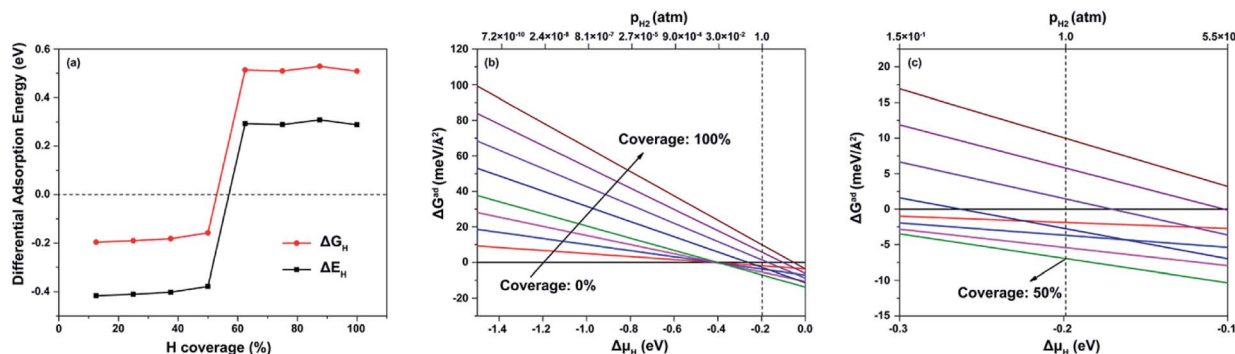


Fig. 7 (a) ΔE_H and ΔG_H values at various hydrogen coverages on the (001)-CoP-t facet; ΔG^{ad} at 298 K with $\Delta\mu_H$ ranging from: (b) -1.5 to 0.0 eV and (c) -0.3 to -0.1 eV.



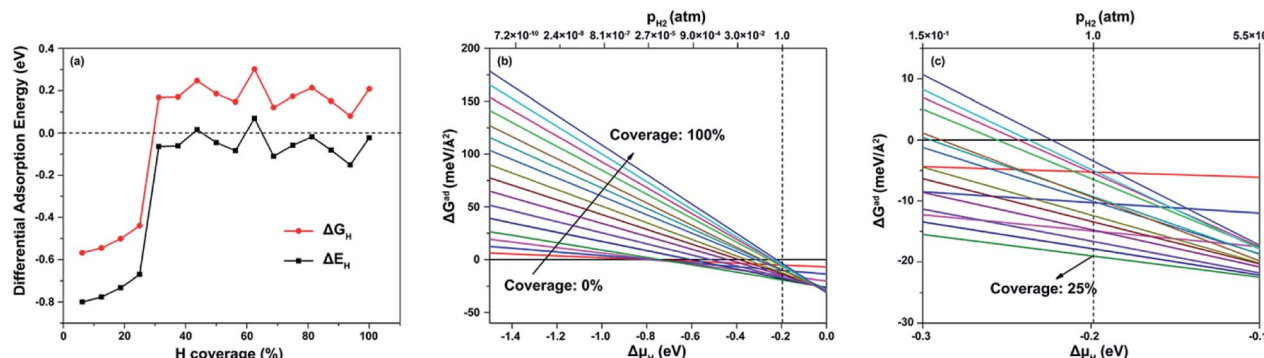


Fig. 8 (a) ΔE_H and ΔG_H values at various hydrogen coverages on the (001)-CoP-t facet; ΔG^{ad} at 298 K with $\Delta\mu_H$ ranging from: (b) -1.5 to 0.0 eV and (c) -0.3 to -0.1 eV.

Table 3 ΔG^{ad} and ΔG_H at 1H_2 atm and 298 K

	(111)	(100)	(001)-NiP-t	(001)-CoP-t
ΔG^{ad} (meV \AA^{-2})	−19.92	−18.78	−7.09	−19.18
ΔG_H (eV)	0.01	0.05	−0.16	0.44

Conclusions

In this work, we reported a systematic study of the HER activities of four low Miller-index facets of NiCoP using periodic DFT calculations. The energy electronic structure, Gibbs free energy of hydrogen adsorption and *ab initio* thermodynamics of hydrogen coverage were investigated to reveal the HER characteristics of NiCoP.

From calculations involving the electronic structures of the surfaces, we found that the stability of the clean facets in a vacuum follows the order: (111) > (001)-NiP-t > (001)-CoP-t > (100), and all facets have metallic behavior with none having zero DOS at the Fermi level. The values of ΔG_H illustrated that all the calculated facets have good catalytic activities for the HER. When hydrogen coverage is from 56% to 75% on the (111) facet, the distance between proximal hydrogen atoms is 0.875 \AA , which is close to the bond length of 0.741 \AA for H_2 , and they are proposed to react with each other to form H_2 . From *ab initio* atomistic thermodynamic analysis, we found that the durability for the HER follows the trend: (111) > (001)-CoP-t > (100) > (001)-NiP-t, and the NiCoP (111) facet is the optimal facet for the high-activity HER at 1H_2 atm and 298 K.

Conflicts of interest

There are no conflicts to declare.

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