Development of moisture-proof polydimethylsiloxane/aluminum oxide film and stability improvement of perovskite solar cells using the film†

Eun Young Choi,‡a Ju–Hee Kim,‡a Bu–Jong Kim,a Ji Hun Jang,a Jincheol Kim*a,b and Nochang Park‡a

A method for enhancing the moisture barrier property of polydimethylsiloxane (PDMS) polymer films is proposed. This is achieved by filling the PDMS free volume with aluminum oxide (AlOx). To deposit AlOx inside PDMS, thermal atomic layer deposition (ALD) is employed. The PDMS/AlOx film thus produced has a 30 nm AlOx layer on the surface. Its water vapor transmission rate (WVTR) is $5.1 \times 10^{-2}$ g m$^{-2}$ d$^{-1}$ at 45 °C and 65% relative humidity (RH). The activation energy of permeability with the PDMS/AlOx film for moisture permeation is determined to be 35.5 kJ mol$^{-1}$. To investigate the moisture barrier capability of the PDMS/AlOx-layer, (FAPbI$_3$)$_{0.85}$(MAPbBr$_3$)$_{0.15}$/spirop-OMeTAD/Au perovskite solar cells are fabricated, and encapsulated by the PDMS/AlOx film. To minimize the thermal damage to solar cells during ALD, AlOx deposition is performed at 95 °C. The solar cells exposed to 45 °C-65% RH for 300 h demonstrate less than a 5% drop in the power-conversion efficiency.

Introduction

Recently, solar cell encapsulation employing organic–inorganic halide perovskite solar cells (PSCs) to overcome instability in the presence of moisture has gained considerable attention.1–4 Recently, various methods, such as using UV curable epoxy5 and moisture barrier film6–8 to protect the PSCs from moisture have been proposed, which show encouraging results under high humidity.9–11 The previous studies reported that encapsulated PSCs using an organic layer start degrading from perovskite decomposition when moisture penetrates the organic layer.12–14 To overcome this, atomic layer deposition (ALD) is used as one of the effective techniques to fabricate oxide thin films on the device. Furthermore, researchers reported the results of the water vapor transmission rate (WVTR) of oxide films fabricated by ALD. Wang et al. reported the use of ALD to fabricate Al$_2$O$_3$/MgO, which when coupled with solution-processed polymer, achieved a high moisture barrier and has a superior WVTR of $1.05 \times 10^{-6}$ g m$^{-2}$ d$^{-1}$ at 60 °C-100% RH.15 Nam et al. obtained a WVTR of $2 \times 10^{-3}$ g m$^{-2}$ d$^{-1}$ at 85 °C-85% RH using a composite layer of ALD Al$_2$O$_3$ and chemical-vapor-deposited graphene.16 We achieved a WVTR of $1.84 \times 10^{-2}$ g m$^{-2}$ d$^{-1}$ at 45 °C-100% RH when thermal ALD is used.17 Based on our previous research,17 a low process temperature of ALD and a method to enhance the WVTR are required to be used in PSCs. For low damage of PSCs during ALD, we performed ALD at a temperature as low as 95 °C. However, we observed that it is difficult to achieve the high moisture barrier property with a single Al$_2$O$_3$ film. Therefore, previous researchers employed organic materials to enhance the moisture barrier property. Park et al. introduced the thin film encapsulation method for OLED devices by coating polydimethylsiloxane (PDMS) on metal foil. They reported a WVTR of $4.4 \times 10^{-2}$ g m$^{-2}$ d$^{-1}$ at 45 °C-40% RH.18 Similarly, Hong et al. introduced flexible lamination encapsulation using graphene films with PDMS on polyethylene terephthalate (PET).19 Liu et al. developed a hole transport material (HTM)-free PSC by using a carbon electrode and performing PDMS encapsulation. They reported long-term stability of PSCs in low-humidity conditions at room temperature for 3000 h.20 We summarized the related works in Table S1.† However, those results lacked the investigation of how the WVTR was increased using an organic layer. Therefore, first, we focused on a method to improve the moisture barrier property by combining PDMS and AlOx. Second, we investigated why the WVTR is enhanced when the PDMS/AlOx double layer is used. PDMS is employed because of its low cost,21 chemical stability,22 easy process to fabricate film or mold,23 high transparency,24 and common usage in the microfluidic cell25–27 and other devices.28–29 In addition, it exhibits the hydrophobic property.30

Acknowledgements

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The objectives of the research reported in this study are: (1) to develop a method of enhancing the moisture barrier property of the PDMS by employing AlO$_x$ thin film; (2) to determine the WVTR of the PDMS/AlO$_x$ film by the Ca corrosion test, and to investigate the mechanism that improves the WVTR; (3) and to investigate the stability of PSCs encapsulated by the PDMS/AlO$_x$ moisture barrier film. Accordingly, we fabricated three types of films: (a) AlO$_x$, (b) AlO$_x$/PDMS, and PDMS/AlO$_x$ (Fig. S1†). To examine the effectiveness of the moisture barrier film, we encapsulated the perovskite solar cell, (FAPbI$_3$)$_{0.85}$(MAPbBr$_3$)$_{0.15}$/spiro-OMeTAD/Au, by these films.

**Results and discussion**

**Effect of TMA and water pulse time on the deposition rate of AlO$_x$ thin film**

We investigated if TMA and water pulse times affect the growth rate of the AlO$_x$ film. Fig. 1a shows that the growth rate per cycle (GPC) of the AlO$_x$ film is in the 1.20–1.38 Å range with the change in TMA pulse time from 0.5 s to 3.0 s. Further, we examined the effect of water pulse time on the GPC of the AlO$_x$ film.

Fig. 2b shows that the GPC of the AlO$_x$ film doubled in 3 s water pulse time as compared to that obtained with 0.5 s water pulse time. This is attributed to precursor decomposition.†

Based on these results, we determined the GPC in accordance with the number of ALD cycles. Fig. 2a illustrates that the slope is 1.09 Å per cycle, which means the AlO$_x$ film grows linearly in a positive relationship with the ALD cycles. To examine the thickness, we employed transmission electron microscopy (TEM) and energy dispersive spectroscopy (EDS) analysis after 200 cycles (Fig. 2b and c). These figures indicate that the AlO$_x$ films formed uniformly on the substrate and consisted of Al and O. The presence of oxygen in the substrate is attributed to oxidation. Therefore, we observed that the thickness of AlO$_x$ films could be determined by changing the ALD cycles at fixed TMA and water pulse times.

![Fig. 1](image1.jpg)

*Fig. 1* Effect of (a) TMA pulse time and (b) water pulse time on the growth rate of AlO$_x$ thin films. Si wafer substrates were used to form the AlO$_x$ thin film. The ALD process was performed at 95 °C for 100 cycles.

Once the AlO$_x$ thin films were formed by ALD process, we used an X-ray photoelectron spectra (XPS) to ensure the stoichiometric ratio of Al and O. Correlation factors ($R^2$) of devolution fitting in Fig. 3(a) and (b) were 0.995, 0.999, respectively. Fig. 3a shows the Al 2p spectra of AlO$_x$ with Gaussian fitting. It is distributed by one component at the 74.45 eV, which corresponds to Al–O.† Fig. 3b shows that the O 1s spectra of AlO$_x$ consist of two peaks, which correspond to Al–O and Al–OH bonds at 531.29 eV and 532.60 eV, respectively.† On the base of peak devolution of each element, we determined the stoichiometric ratio of the fabricated film by ALD. We chose the peak area of Al–O bonding from the devolved Al 2p and O 1s spectra, and used the element-specific cross sections of Al 2p and O 1s.† The results demonstrated that the stoichiometric ratio of [O/Al] was 1.38 (Table S3†)

**PDMS film filled with AlO$_x$ and its WVTR**

Based on our previous study and other research findings, the AlO$_x$ thin film requires other layers for enhancing the moisture barrier property. For this experiment, we used PDMS as the organic layer. To cure a PDMS layer, it was heated at 95 °C for 1 h after spinning coating. This temperature corresponds to the temperature of ALD process. This allowed the PDMS to be cured further in the ALD chamber. The subsequent step was to deposit AlO$_x$. After the formation of each layer, we examined the quality of the layer, especially the WVTR and contact angle.

![Fig. 3](image2.jpg)

*Fig. 3* XPS spectra of AlO$_x$ thin films on Si wafer. (a) Al 2p and (b) O 1s.
First, we investigated the effect of filling AlOx with free volume in PDMS on the WVTR. Fig. 4 show that the lag time of the Ca/PDMS/AlOx film was approximately twice as that of Ca/AlOx/PDMS, which are measured to be 19.71 h and 8.01 h, respectively. The WVTR values of the PDMS/AlOx and AlOx/PDMS film are determined to be 5.10 × 10⁻³ g m⁻² d⁻¹ and 1.46 × 10⁻² g m⁻² d⁻¹, respectively [refer Table 1]. The WVTR value of a 30 nm AlOx film was determined to be 2.89 × 10⁻² g m⁻² d⁻¹, which is in good agreement with 1.84 × 10⁻² g m⁻² d⁻¹ [what we had done with AQUATRON model 2 (Mocon) before]. Interestingly, the WVTR value was decreased by orders of 10⁻¹ when we performed AlOx deposition on the PDMS layer. To examine the reason of enhancing the moisture barrier property, we employed TEM/EDS, ToF-SIMS, and XPS. First, we will explain the TEM results and then discuss the ToF-SIMS and XPS results.

For the Si/AlOx/PDMS sample (Fig. 5a), EDS mapping results showed clear distinctions in the AlOx and the PDMS layers. Moreover, for the Si/PDMS/AlOx sample (Fig. 5b), Al was detected in the PDMS layer. We employed ToF-SIMS to analyze the elements inside the PDMS layer more precisely. Fig. 6 shows that Al exists from the surface to several hundred nanometers. To make sure that Al exists in the PDMS layer, we performed XPS analysis. Fig. 7a and b can be devaluated with Gaussian fitting whose correlation factors were 0.999 and 0.996 each. Fig. 7a illustrates the binding energy of Al 2p of the PDMS/AlOx film. The spectra was obtained at 74.45 eV and 75.2 eV, which represents Al–O and Al–OH bonds, respectively. Interestingly, unlike the Al 2p peak of AlOx reference, the peak area of the Al–OH bond for PDMS/AlOx occupies in the total 2p peak. The diffusivity and miscibility of the ALD precursor is related to the free volume of polymer, reactive site of polymer, and polymer porosity and crystallinity. These results are affected by the intrinsic property of the PDMS such as its crystallinity and reactivity and are similar to those of polyethylene ethoxylate [PEIE], whose semi-crystalline nature affects the retarded nucleation of the ALD layer. Fig. 7b shows the O 1s spectra of the PDMS/AlOx film. The Al–O spectra and Al–OH were detected at 531.29 eV and 532.60 eV, which are from the AlOx layer. As shown in Fig. 8† the peak related to AlOx layer, where the peak between 3400 cm⁻¹ and 3000 cm⁻¹ were contributed to stretching vibrations of Al–OH and the peak of 1000 cm⁻¹ was corresponded to Al–O stretching vibrations. The other spectra peak of 533.0 eV represent the binding energies of O–Si–O. These spectra peaks is from the PDMS layer supported by results from FTIR (Fig. S4†), which shows the peak at 1090 cm⁻¹ were attributed to Si–O–Si stretching vibration in PDMS bone structure. An AlOx cluster forms in the void inside the PDMS layer during ALD process. Therefore, our results demonstrated that the moisture barrier property of the PDMS/AlOx film was improved by filling the free volume in PDMS layer with AlOx.

Second, we investigated the effect of AlOx and PDMS on surface properties, such as contact angle and surface tension. The permeation of water molecules is related to the wettability of the barrier thin film. Fig. 8 shows that the hydrophobicity was improved by forming the PDMS layer on the AlOx thin film. The contact angle and surface tension of the AlOx/PDMS sample was determined to be 86.8° and 30.0 mN m⁻¹, respectively [refer Table 2]. These results are attributed to the chemical structure of PDMS, which have the methyl functional group. Interestingly, the contact angle of AlOx increased from 60.8 to 74.1 when PDMS was used in the lower layer of the AlOx thin film. In general, the surface energy is concerned with the atom arrangement of surface and inside, which means that the measured contact angle

<table>
<thead>
<tr>
<th>Sample</th>
<th>WVTR (g m⁻² d⁻¹)</th>
<th>Lag time (h)</th>
</tr>
</thead>
<tbody>
<tr>
<td>AlOx</td>
<td>2.89 × 10⁻²</td>
<td>0.86</td>
</tr>
<tr>
<td>AlOx/PDMS</td>
<td>1.46 × 10⁻²</td>
<td>8.01</td>
</tr>
<tr>
<td>PDMS/AlOx</td>
<td>5.10 × 10⁻³</td>
<td>19.71</td>
</tr>
</tbody>
</table>

Table 1: Calculated WVTR and lag time of AlOx, AlOx/PDMS, and PDMS/AlOx at 45 °C and 65% RH

Fig. 4 Ca conductance test encapsulated by three types of materials: AlOx, PDMS/AlOx, and AlOx/PDMS. This test was conducted at 45 °C and 65% RH.

Fig. 5 TEM images and EDS elemental mapping (C, O, and Al Si) of (a) AlOx/PDMS and (b) PDMS/AlOx.
indicates the growth formation of films according to the deposition condition. Therefore, the difference of surface energy with PDMS/AlO_x indicated that the surface and internal arrangements of AlO_x changed via deposition on PDMS, which corresponded with TEM-EDS and SIMS results.

**Effect of temperature on the WVTR of PDMS/AlO_x thin film**

The WVTR value is dependent on the temperature and relative humidity. To examine the relationship between temperature and WVTR values, the Ca/AlO_x and Ca/PDMS/AlO_x samples were stored at three different temperatures: 25 °C, 45 °C, and 65 °C. The RH was maintained at 65%. The change in conductance was also monitored. Fig. 9 shows that the lag time increases as the temperature decreases to 25 °C. The result demonstrated that the moisture passage through the film was slow at low temperature. To quantify the moisture barrier property, the WVTR values were determined under each condition (see Table 3). In addition, we determined the activation energy of permeability of the Ca/AlO_x and Ca/PDMS/AlO_x films for permeation as using the following equation:

\[
\text{WVTR} = \text{WVTR}_0 \times \exp\left(-\frac{E_a}{RT}\right),
\]

where WVTR_0 is a constant dependent on the system, E_a is the activation energy for permeation, and R is the gas constant (8.314 J K^{-1} mol^{-1}). The activation energy was determined using the linear slope from the graph of ln WVTR versus 1/RT. The activation energies of permeability and correlation factors (R^2) of AlO_x and PDMS/AlO_x were determined to be 30.0 kJ mol^{-1} (R^2 = 0.98) and 35.5 (R^2 = 0.91) kJ mol^{-1}, respectively, as shown in Fig. 10. The activation energy of the PDMS/AlO_x film is greater than that of AlO_x film, which indicates it takes longer time for moisture permeation through the PDMS/AlO_x film than AlO_x film.

**PDMS/AlO_x encapsulation for PSCs**

Finally, we fabricated the (FAPbI_3)_{0.85}(MAPbBr_3)_{0.15}/spiro-OMeTAD/Au solar cells, which are encapsulated by two kinds of films: (a) AlO_x and (b) PDMS/AlO_x. The solar cells were subjected to environmental test to examine their stabilities in terms of changes in power conversion efficiency (PCE). Although AlO_x- and encapsulated solar cells suffered over 15% drop in PCE (see Fig. S2† for the degradation), but there were only below 5% PCE drop for the PDMS/AlO_x-encapsulated devices at 45 °C in 65% RH for 300 h as shown in Fig. 11. This result shows better long-term stabilities compared to our previous report.

To investigate the main cause of the degradation in our devices, we conducted further experiment at room temperature.

**Table 2** Contact angle and surface tension of Si/AlO_x, Si/AlO_x/PDMS and Si/PDMS/AlO_x

<table>
<thead>
<tr>
<th>Sample</th>
<th>DI [°]</th>
<th>CH_4I_2 [°]</th>
<th>Polar [mN m^{-1}]</th>
<th>Disp. [mN m^{-1}]</th>
<th>Surface tension [mN m^{-1}]</th>
</tr>
</thead>
<tbody>
<tr>
<td>AlO_x</td>
<td>60.8</td>
<td>47.8</td>
<td>17.1</td>
<td>27.0</td>
<td>44.1</td>
</tr>
<tr>
<td>AlO_x/PDMS</td>
<td>86.8</td>
<td>58.8</td>
<td>3.9</td>
<td>26.1</td>
<td>30.0</td>
</tr>
<tr>
<td>PDMS/AlO_x</td>
<td>74.1</td>
<td>58.4</td>
<td>10.8</td>
<td>23.5</td>
<td>34.2</td>
</tr>
</tbody>
</table>
likely thermal degradation at spiro-OMeTAD layer as also re-
menced in preventing moisture ingress. We observed that AlOx
filled the vacancy in the PDMS layer when the AlOx thin films
formed on the PDMS layer via ALD. That makes it difficult for
moisture to permeate the film, which results in the enhance-
ment of moisture barrier property. We deposited three types of
thin films on the Ca film to examine the moisture barrier property.
These include (a) AlOx, (b) AlOx/PDMS, and (c) PDMS/
AlOx. The WVTR values of PDMS/30 nm AlOx layers by opti-
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measured to be 5.1 × 10⁻³ g m⁻² d⁻¹ at 45 °C-65% RH. The
activation energies for moisture permeation of the AlOx and
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35.5 kJ mol⁻¹, respectively. We observed that the PDMS/AlOx
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perovskite solar cells and encapsulated it by two types of thin
films. These include AlOx and PDMS/AlOx. The PDMS/AlOx-
encapsulated solar cells experienced less than 8% drop in PCE
after 300 h of 45 °C-65% RH. In contrast, the AlOx-encapsulated
devices showed over 15% drop of PCE at 45 °C-65% RH. This is
mainly attributed to thermal degradation of spiro-OMeTAD.
With further development in the moisture barrier property of
the AlOx film by employing the PDMS layer, we propose that the
ALD process is an excellent approach to encapsulate perovskite
solar cells.

### Conclusions

This study focused on a method to enhance the moisture barrier
property and WVTR of the AlOx thin film using PDMS. In
addition, this study demonstrated the use of PDMS/ALD 30 nm
AlOx layer on top of PSCs as an encapsulant to improve the
stability by preventing moisture ingress. We observed that AlOx
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### Experimental section

#### Experimental apparatus

In this experiment, we designed an ALD system that is con-
ected to a glove box. A thermal evaporator was installed inside
the glove box, which can handle calcium (Ca) in a nitrogen
condition. We formed the Ca layer on a glass using thermal
evaporator, and then coated the PDMS on the Ca layer using
a spin coater (Ca/PDMS). After curing the PDMS, the samples
were placed in the ALD chamber to deposit AlOx. The whole
process was performed in nitrogen environment. Each condi-
tion of this experiment is explained in detail in the next section.

#### Ca cell fabrication and characterization

**Ca cell fabrication.** The 300 nm Ca film was formed on
a glass substrate (2.5 cm × 2.5 cm) using thermal evaporator.
After Ca deposition, the 200 nm Al electrode was deposited onto
the glass via evaporator (Fig. 1a). The next step is to encapsulate
the Ca samples. For this, the Ca samples were divided into three
groups, as shown in Fig. 1. These include (a) AlOx deposition
for finding a good hole transport layer candidate to ensure long-
term stability of perovskite solar cells.
Fabrication of perovskite solar cells. The used photovoltaics devices were fabricated with the following structures, FTO/c-TiO2/m-TiO2/perovskite/spiro-OMeTAD/Au. The procedure for cleaning the substrate included sonication and rinsing in distilled water, acetone, and isopropyl alcohol. About 50 nm of compact TiO2 (c-TiO2) was deposited by spray pyrolysis with 20 mM titanium diiodopropoxide bis(acetylacetonate) solution at 450 °C on FTO substrate. 150 mg ml−1 of mesoporous TiO2 (m-TiO2, Dysol 30 NR-D) in ethanol was coated at 5000 rpm (acceleration of 2000 rpm s−1) for 10 s and heated 100 °C for 10 min. After process, the substrate was sintered at 500 °C for 30 min. The mixed perovskite solution described above was spin-coated at 2000 rpm (acceleration of 200 rpm s−1) for 10 s followed by 6000 rpm (acceleration of 2000 rpm s−1) for 30 s. During the last 20 s of the second coating steps, the chlorobenzene (CB), which works as anti-solvent, dropped. The perovskite film was heated at 100 °C for 20 min. A solution including 41.6 mg of spiro-OMeTAD, 7.5 μl of 500 mg ml−1 lithium bis(trifluoromethylsulphonyl)-imide (Li-TFSI) in acetonitrile (ACN) and 16.9 μl of 4-tert-butylylpyridine (4BP) in 0.5 ml of CB was dropped and coated on the perovskite layer at 2000 rpm (acceleration of 1200 rpm s−1) for 20 s. 100 nm of Au contacts were formed sequentially by vacuum deposition.

Characterization and stability test of PSCs. The film thickness of ALOx was measured using a spectroscopic ellipsometer (model: ELLI-SE). To confirm the crystallinity of the film, the determined X-ray diffraction (XRD) patterns were measured using XRD-6100 (SHIMADZU, JAPAN) with a Cu Kα radiation source (λ = 0.1541 nm) at 30 kV and 30 mA. X-ray photoelectron spectroscopy (XPS) was performed using (Thetaprobe XPS, Thermo-Fisher Scientific) with Al Kα source at 15 kV to analyze the chemical bonding and composition of the film. The measured XPS data was calibrated using C 1s peak with 284.6 eV. The contact angle and surface tension values were measured with Phoenix 300 from SEO Co., Ltd., using DI and diiodomethane. The surface energy was determined by the Owen–Wendt equation using two measuring liquids.63

\[
\gamma_{1}(1 + \cos \theta) = 2(\gamma_{S1}^{d} \gamma_{1}^{d})^{1/2} + (\gamma_{S1}^{d} \gamma_{1}^{d})^{1/2},
\]

where \(\theta\) is the measured contact angle of the measuring liquid on the surface, the dispersion and polarity components of water are \(\gamma_{d}^{w} = 22.85\) dyn cm\(^{-1}\) and \(\gamma_{p}^{w} = 50.3\) dyn cm\(^{-1}\), respectively, and the components of diiodomethane are \(\gamma_{d}^{d} = 48.5\) dyn cm\(^{-1}\) and \(\gamma_{p}^{d} = 2.3\) dyn cm\(^{-1}\). To perform TEM, samples mounted on Cu grids were fabricated using a focused ion beam. The prepared sample was measured with JEM-2100F (JEOL Ltd) operating at 200 kV equipped with EDS (TEM 250, Oxford Instruments). The current density–voltage measurements were obtained by the solar cell testing system (LAB 200, McScience, Korea) including simulated solar light (AM 1.5G) with an intensity of 100 mW cm\(^{-2}\) using the K3000 model (McScience) and recorder using the ADCMT 6244 source measure unit. After masking with 0.075 cm\(^{2}\) aperture, the solar cells were measured at a scan rate of 1.2 V s\(^{-1}\). All the results were obtained under ambient conditions. The illumination intensity was calibrated using a standard Si reference.
cell (K8015-K067, MeScience, Korea). The perovskite solar cell stability test was conducted at 45 °C-65% RH (TH401HA, ETAC, Japan).

Conflicts of interest
There are no conflicts to declare.

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