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# Impact of drying methods on the changes of fruit microstructure unveiled by X-ray micro-computed tomography

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A good understanding of the microstructural changes due to dehydration is critical to optimize fruit drying processes. By using X-ray micro-computed tomography, we quantified the changes in porosity, pore diameter, cell sphericity, cell diameter and cell elongation of apple parenchyma tissue during multiple convective drying scenarios: natural convection (air speed = 0.05 m s<sup>-1</sup>), forced convection (air speed = 0.5 m s<sup>-1</sup>) and coupled irradiation-convective drying (air speed = 0.05 m s<sup>-1</sup> with radiation heating). Drying conditions affected the microstructure noticeably, in particular the formation of an elevated-porosity layer (tissue region where the porosity was higher than the initial porosity) and a deformed-cell layer (tissue region where the sphericity of the cells was lower than 0.75) near the sample surface. Using the combination of Eulerian and Lagrangian approaches, we linked the formation of the aforementioned layers to bulk shrinkage and deformation of individual cells. Forced convective drying resulted in a more porous structure and a higher degree of cell deformation compared to the other drying cases. Meanwhile, the coupled irradiation-convective drying induced the largest bulk shrinkage. The latter was caused by a large reduction in pore volume and the formation of large cell clusters in the deformed-cell layer.

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## 1. Introduction

Drying is one of the most common techniques used to preserve food. The removal of the water that is present in foods restricts microbial growth, thus preventing food spoilage.<sup>1,2</sup> In the case of dried fruits, they are also healthy snacks that are rich in nutrients, antioxidants and dietary fiber.<sup>3–6</sup> In the food industry, a multitude of drying methods is applied, including solar, convective, freeze, vacuum, osmotic, ohmic or microwave

drying.<sup>7–9</sup> Among these methods, convective and solar drying are amongst the most commonly applied ones.<sup>10–12</sup>

Drying causes physical and biochemical changes in the fruit tissue. The loss of water is accompanied by shrinkage of the bulk tissue<sup>13</sup> and changes in microstructure, including cell collapse, cell shrinkage, cell membrane detachment (plasmolysis) or cell membrane breakage (lysis).<sup>14–16</sup> These changes strongly influence the moisture transport properties of the tissue<sup>17</sup> and also induce variations in the final product quality. The product texture is influenced for example by the bulk density, porosity, cell size, cell shape and cell wall thickness of the dried product.<sup>18–20</sup> The rehydration capacity of the dried product also depends on the degree of shrinkage and porosity.<sup>21,22</sup> Biochemical changes in the form of nutrient and color degradation are also observed.<sup>23–25</sup>

In order to design a drying process that delivers superior quality products, knowledge of the fruit microstructure and its evolution during drying is essential. The aforementioned studies mostly focus on the relations between the final microstructure and quality attributes of the completely dehydrated products. Little is known on how the microstructure changes during drying<sup>15,26</sup> and on how these changes should be controlled to get the best final product quality. In the past, some studies analyzed the changes in porosity, as well as of pore and cell size distribution of food products.<sup>14,27,28</sup> Recently, a 3D

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imaging was used to quantify the shrinkage and changes in apple cells diameter, roundness and surface area during drying.<sup>29</sup> This study relied on a destructive technique based on light microscopy and could only focus on a limited number of cells. In this context, there is a large potential of X-ray micro-computed tomography (CT) to be used to analyze changes of the 3D microstructure during drying and its impact on the macrostructural changes. This non-destructive technique is emerging in the field of food science.<sup>30</sup> It has been successfully utilized to investigate the microstructure characteristics of, amongst others, different apple fruit cultivars,<sup>31,32</sup> dried apple,<sup>33</sup> frozen apple,<sup>34</sup> fried potato,<sup>35</sup> dried banana<sup>36</sup> and kiwi fruit.<sup>37</sup> The application X-ray micro-CT to identify microstructural changes during a dynamic process was recently done,<sup>38</sup> in which they investigated the changes of porosity, cell and pore size distribution, as well as cellular water distribution of apple tissue in a convective drying process. However, the impact of the drying methods on the evolution of food microstructure is not known to our best knowledge, even though it is a crucial aspect in determining the food quality.<sup>39</sup> Such knowledge can also be used as a basis for improving mechanistic models that enable analyzing morphological changes of cellular food structures during drying, for example, the turgor loss, cell shrinkage, membrane breakage and cell wall wrinkling.<sup>17,40–42</sup>

The primary objective of this study was to gain a better understanding of the microstructural changes of Braeburn apple parenchyma tissue subjected to different drying methods. To this end, we used *in situ* X-ray micro-CT to quantify the bulk shrinkage and changes of porosity, cell diameter, cell shape, and pore diameter of apple tissue during drying. Imaging was done continuously during the period of drying. Three common fruit drying methods were compared, namely natural convective, forced convective and coupled irradiation-convective drying. The airflow in the natural convective drying is driven by air density differences, due to temperature variations. The applications of natural convective airflow can be found in indirect-type of solar dryers.<sup>10</sup> In a forced convection drying, the airflow is induced by an external force, such as a fan. The applications of forced convective drying are found in commercial oven dryers as well as indirect-type of solar dryers which are equipped with fans.<sup>43,44</sup> Coupled irradiation-convective drying occurs in a direct (greenhouse) type of solar dryer.<sup>45</sup>

## 2. Materials and methods

### 2.1. Sample preparation

'Braeburn' apples (*Malus* × *Domestica* cv. Braeburn) were purchased from a local grocery store in Zürich on July 1<sup>st</sup>, 2017. Prior to the experiments, the apples were stored in a climate-controlled room for two days at a temperature of 25 °C and relative humidity (RH) of 50%. The apples were peeled and cylindrical samples were extracted from the inner cortex using a cork borer (Fig. 1a). A cylindrical shape was used as it was easier to extract from the fruit and the images showed less image artefacts during image reconstruction. The samples had a diameter of 8 mm, a height of 15 mm, and an average mass of 1.24 grams. Afterward, the sample was wrapped in a paraffin

film (Parafilm M, Bemis, Neenah, United States) to avoid moisture loss *via* the lateral and bottom surfaces. The upper side was left open to enable dehydration from this surface. As such, the system mimicked mass transfer from only the top surface during drying. Two types of experiments were performed: X-ray micro-CT and additional drying chamber experiments outside the X-ray source. The latter were performed to support the X-ray experiments, as they enabled gravimetric analysis and measurements of sample temperature. The temperature measurements were not possible in the X-ray as the thermocouple wires would affect the image quality.

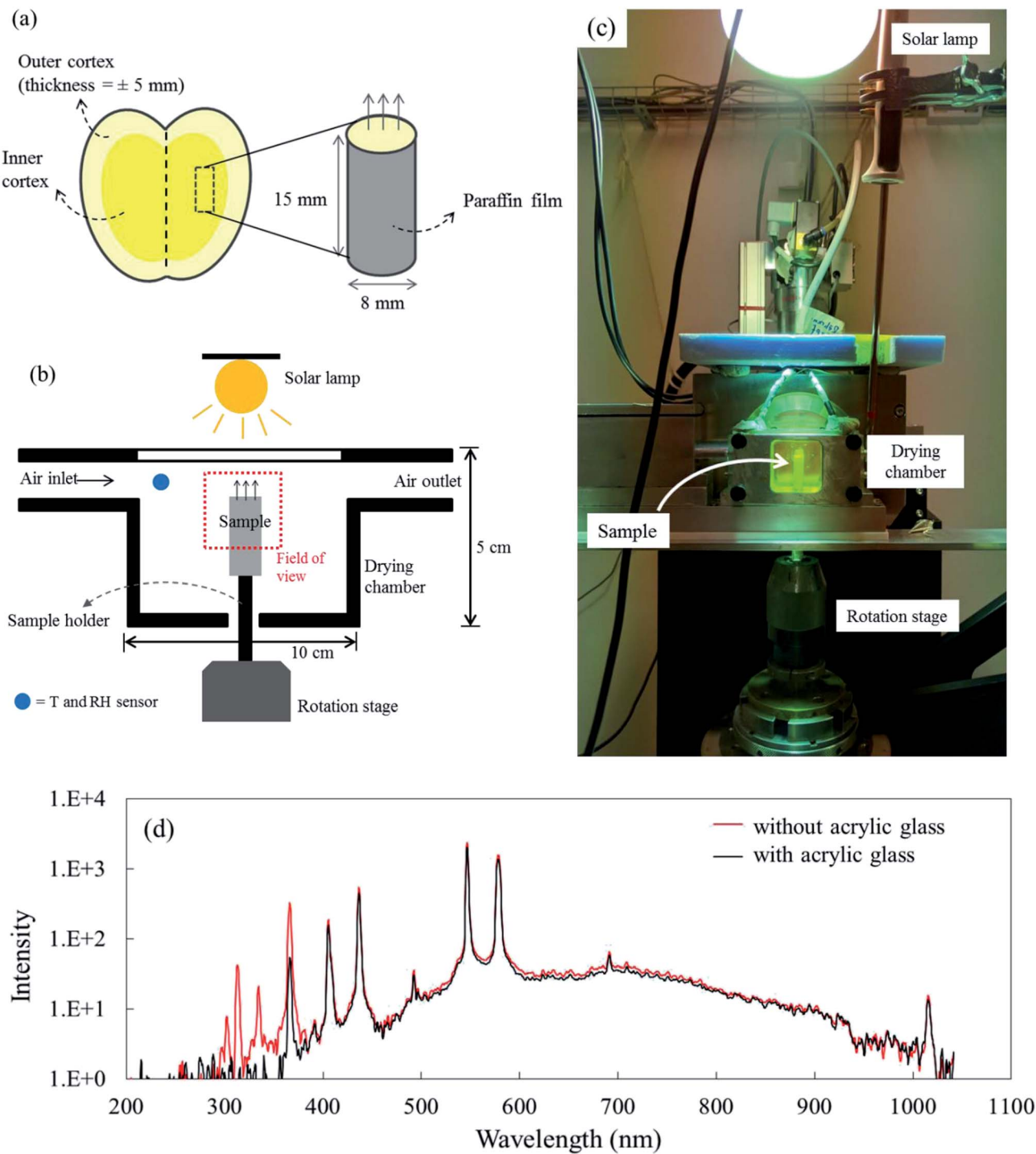
### 2.2. X-ray micro-CT imaging

X-ray micro-CT was performed to capture the microstructural changes of apple tissue during drying. The experiments were done at the Center for X-ray Analytics of Empa (Switzerland). The X-ray micro-CT setup consisted of an X-ray tube (XT9160-TXD, Viscom, Hannover, Germany), a high precision rotation table (UPR-160F AIR, Micos, Dübendorf, Switzerland) and a flat panel detector (XRD-1621-CN3ES, Perkin Elmer, Waltham, United States). The system used a power source of 20 W at 70 kV and 285  $\mu$ A. The center of the sample was at a distance of 589.8 mm from the scintillator. The sample was rotated over 360° in angular steps of 0.5°. 721 images were generated for one tomogram dataset. Each image has 1800 × 1800 pixels and a pixel size of 8.85 × 8.85  $\mu$ m<sup>2</sup>. A tomographic scan lasted for 9 min, which was found to be sufficiently fast to avoid any significant shrinkage of the sample, as shown by the capacity to reconstruct the dataset.

The drying process was conducted inside a custom-built drying chamber (Fig. 1b). Here, the drying air temperature, relative humidity (RH) and air speed were controlled. The chamber was made of steel and had polyimide windows (4 × 4 cm<sup>2</sup>) at the front and back sides, facing the X-ray source and the detector, respectively. The upper side of the chamber was made of acrylic glass. The drying chamber had the following dimensions: 100 × 50 × 20.5 mm<sup>3</sup> (length × height × width). The sample was placed onto a cylindrical sample holder which was put on the rotation stage of the X-ray setup. The sample was positioned inside the drying chamber in such a way that the upper 8 mm of the sample was in the field of view (FOV).

The drying experiments were carried out for 12 h with a supply air temperature of 25 ± 0.1 °C and a RH of 30 ± 1.8%. While the mass transport was dominantly two-dimensional, namely from the top surface, the heat exchange with the environment occurred *via* all sample surfaces. In this study, three drying conditions were considered: natural convective, forced convective and coupled irradiation-convective drying. For natural convective drying, only a small airflow rate at a speed of 0.05 ± 0.01 m s<sup>-1</sup> was provided, in order to avoid moisture saturation of the air in the chamber. This air speed was measured using a thermal anemometer (Almemo FVA935-TH4, Ahlborn, Holzkirchen, Germany) in the center of the chamber. At the given air speed, we calculated the Richardson number, which is the ratio of Grashof number (Gr) to the square of Reynolds number (Re) to evaluate if the flow could be





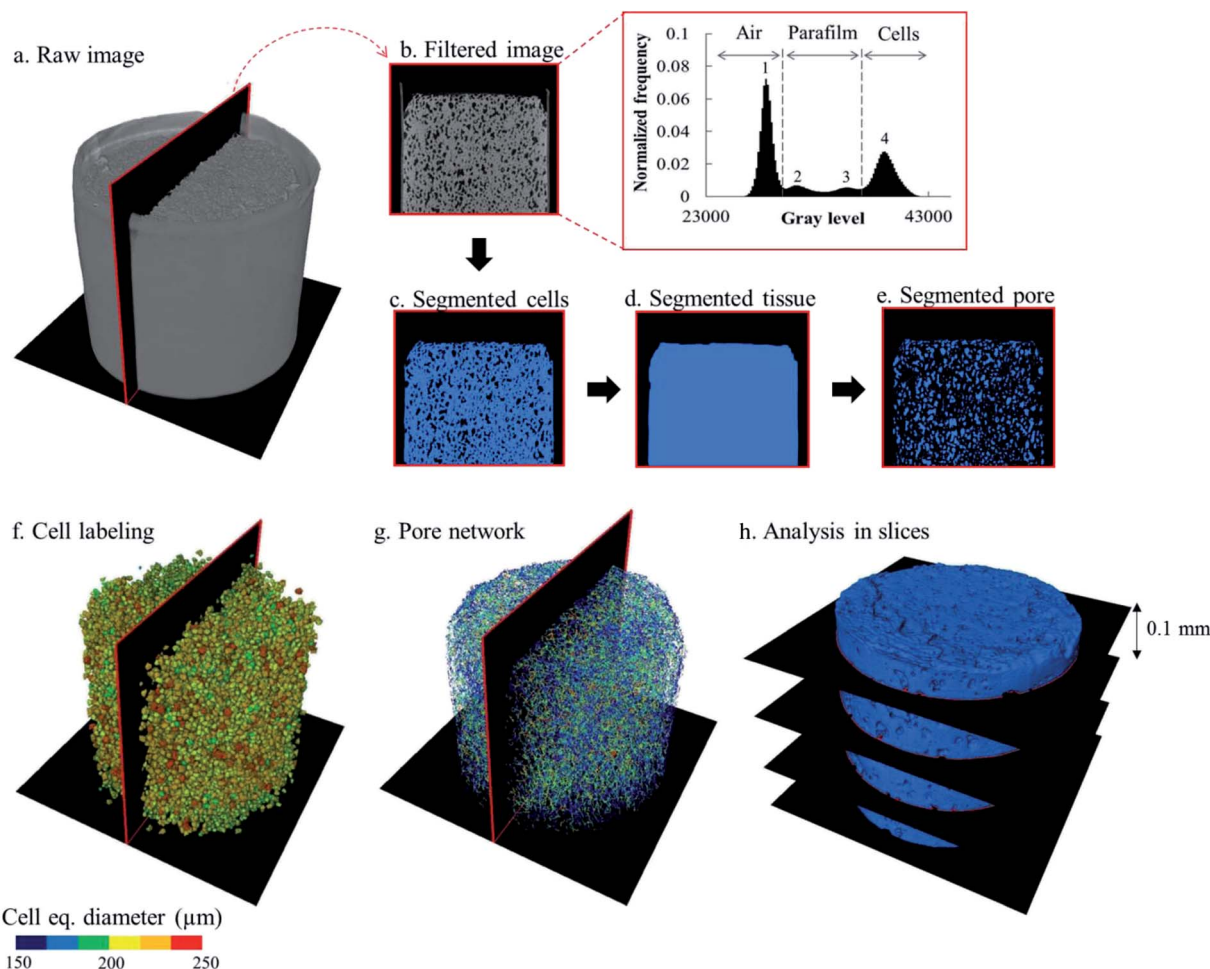
**Fig. 1** (a) Schematic of sample preparation in which only the inner cortex of the apple tissue was used; (b) the experimental setup for the X-ray micro-CT experiment; (c) a picture of the experimental setup in the X-ray source; (d) the spectral emission of the solar lamp inside the drying chamber with and without acrylic glass as the top cover.

characterized as natural convection flow. The Grashof (Gr) number is the ratio of the buoyancy force, due to the difference between the air temperature,  $T_a$  and the temperature of the sample surface  $T_s$ , and the viscous force acting on the air.  $T_s$  changed with the drying time and is presented in Section 3.1.

Assuming a flow over a horizontal surface, Gr can be calculated as:<sup>46</sup>

$$Gr = \frac{g\beta(T_a - T_s)L^3}{\nu^2} \quad (1)$$





**Fig. 2** Illustration of the X-ray image post-processing steps. The raw images (a) obtained from the image reconstruction were filtered using the anisotropic diffusion filter. The filtered images (b) were segmented to define the fruit cell domain (c). A hole filling algorithm was used to get the binary images of the fruit tissue (d), which were subsequently used as masks to segment the intercellular space out of the filtered images (e). The cell and pore space matrix were separated into individual cells (f) and pores by the watershed separation algorithm. Further post-processing provides the pore network (g). The cell and pore domains were then divided into slices (h) to analyze porosity of the slice as well as the morphological parameters of cells and pores.

where  $g$  is the acceleration due to gravity ( $9.8 \text{ m s}^{-2}$ ),  $\beta$  is the expansion coefficient of air ( $1/T_a$ ),  $L$  is the diameter of the sample (8 mm at  $t = 0$ ),  $\nu$  is the kinematic viscosity of the air at  $25^\circ\text{C}$  ( $1.48 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ ). The Reynolds (Re) number is the ratio of the inertial and viscous forces acting on air. The airflow is driven by air pressure differences (by the given airspeed). Re can be calculated as:

$$\text{Re} = \frac{\rho UL}{\mu} \quad (2)$$

where  $\rho$  is the air density at  $25^\circ\text{C}$ ,  $U$  is the air speed ( $0.05 \text{ m s}^{-1}$ ) and  $\mu$  is the dynamic viscosity of the air at  $25^\circ\text{C}$  ( $18.6 \times 10^{-6} \text{ Pa}$ ). Due to the surface temperature variation, Gr was  $8.92 \times 10^4$  at the beginning of the drying process and decreased to  $4.46 \times 10^4$  after 12 hours of drying. Taking into account the decreasing diameter during drying, Re was around 21 to 26. The resulting Ri was in the range of 99–132. In these conditions ( $\text{Ri} \gg 1$ ), natural convection certainly dominated the heat transfer over the forced convection.<sup>47</sup>

For forced convective drying, the airflow speed was provided at  $0.50 \pm 0.01 \text{ m s}^{-1}$ . In this case the Ri was in the range of 0.5 (after 12 hours of drying) and 1.4 (in the first 10 minutes of drying). At the beginning when the sample surface temperature was lower than  $21^\circ\text{C}$ , mixed convection took place and changed fast to the forced convective regime. For the coupled irradiation-convective drying, a 300 W lamp (Ultra-Vitalux, Osram, Munich, Germany) was placed 30 cm above the upper surface of the drying chamber, while the air speed was set at  $0.05 \pm 0.01 \text{ m s}^{-1}$ . The resulting irradiation level inside the chamber was  $400 \text{ W m}^{-2}$ , as measured by a pyranometer (SR03, Hukseflux, Delft, The Netherlands). A spectral measurement of the solar lamp emission showed that the acrylic glass cover of the drying chamber absorbed the UV radiation (wavelength  $< 400 \text{ nm}$  in Fig. 1d) and was transparent to visible light and near-infrared radiation (wavelength  $> 400 \text{ nm}$  in Fig. 1d). Each X-ray drying experiment was repeated twice (called Repetition 1 and Repetition 2). In total, there were six experiments. New fresh-cut





Table 1 Structural parameters used to evaluate the microstructure of apple tissue

Structural parameter	Unit	Description
Radial shrinkage	—	Ratio of the difference between the sample initial radius (8 mm) and the equivalent radius of slice $i$ at time $t$ (Eulerian configuration) to the sample initial radius
Axial shrinkage	—	Ratio of the difference between the sample initial height (8 mm) and the height of slice $i$ at time $t$ (Eulerian configuration) to the sample initial height
Eulerian porosity	—	Ratio of the pore volume of slice $i$ in the Eulerian configuration, to the total volume (pores and cells) of the slice $i$ also in the Eulerian configuration (Fig. 3a)
Lagrangian porosity	—	Ratio of the pore volume in slice $i$ in the Lagrangian configuration, to the total volume (pores and cells) of the slice $i$ in the initial configuration (Fig. 3a)
Lagrangian cell fraction	—	Ratio of the cell volume in slice $i$ in the Lagrangian configuration, to the total volume (pores and cells) of the slice $i$ in the initial configuration (Fig. 3a)
Cell sphericity	—	A measure of how spherical an object (a cell) is. It is defined as the ratio of the surface area of a sphere ( $A_{\text{sphere}}$ ) with the same volume as the cell ( $V_{\text{cell}}$ ) to the measured surface area of the cell ( $A_{\text{cell}}$ )
Cell equivalent diameter	$\mu\text{m}$	Diameter of a sphere that has the same volume as the cell
Cell length	$\mu\text{m}$	Maximum Ferret diameter $D_{\text{F,max}}$ of a cell. The Ferret diameter is the normal distance between two parallel tangent planes touching the particle surface. <sup>51</sup> The maximum Ferret diameter was determined by sampling over 31 angles
Cell elongation	—	Ratio of the maximum Ferret diameter $D_{\text{F,max}}$ to the smallest Ferret diameter $D_{\text{F,min}}$ orthogonal to it, as illustrated in Fig. 3c
Pore equivalent diameter	$\mu\text{m}$	Diameter of a sphere that has the same volume as the pore

samples were used in each drying experiment, where a tomogram was taken every two hours, *i.e.*, at 0, 2, 4, 6, 8, 10, and 12 h during the drying process.

### 2.3. Additional experiments in drying chamber

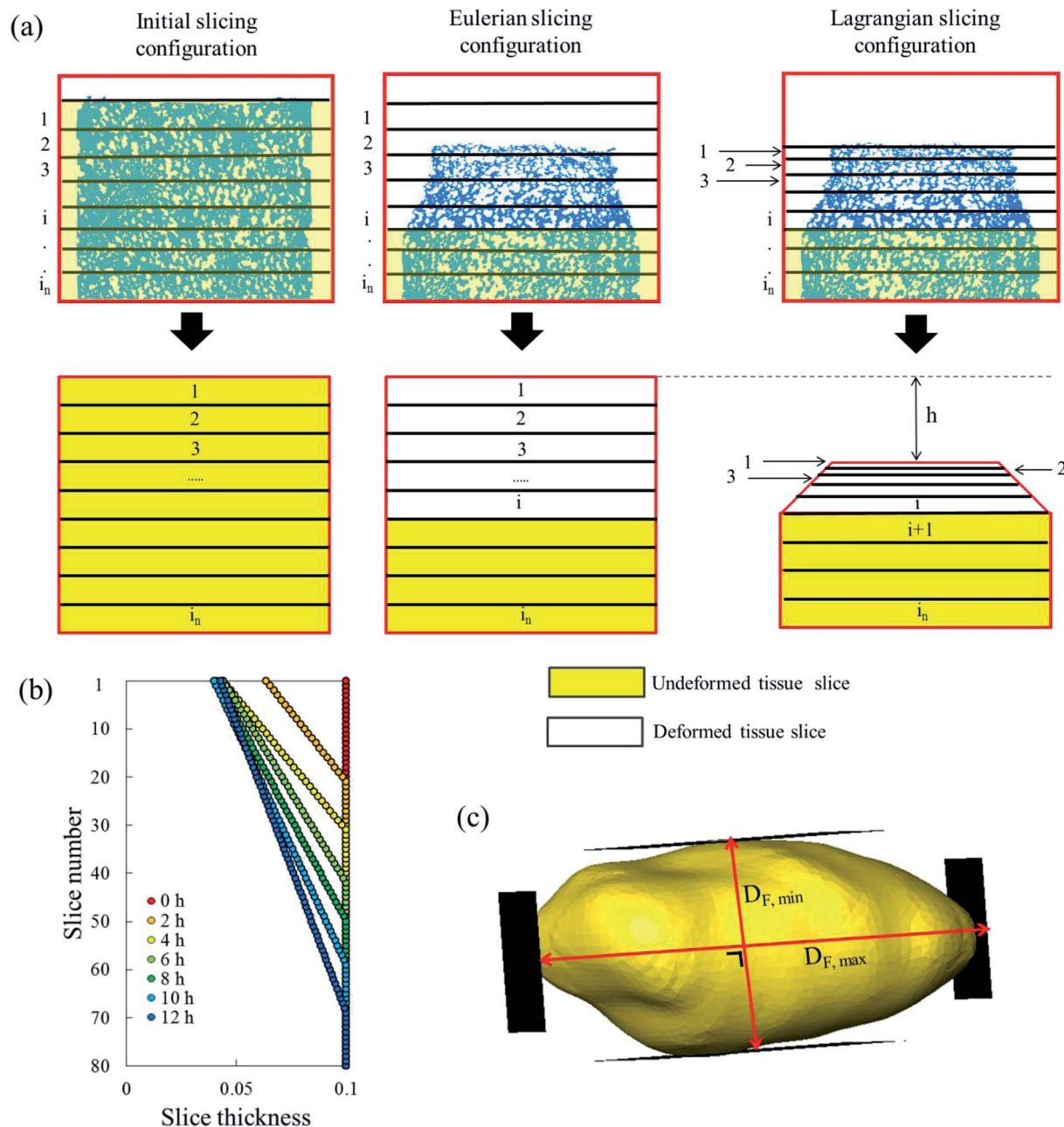
To complement the X-ray CT results, separate experiments in the drying chamber were performed outside the X-ray source to investigate the mass loss and the change of sample temperature during drying. In the experiments, the same climatic chamber was used and the same environmental conditions were set as in the X-ray micro-CT. The sample was placed on a sample holder that was connected to a data logging system. The system recorded mass and temperature of the sample over time. Four type-T thermocouples ( $\pm 0.1$  °C) with a diameter of 0.2 mm were put inside the sample. Two of them were inserted 2 mm under the sample surface, while the other two were placed in the center (7.5 mm under the surface) of the sample. The mass loss was recorded using a semi-micro balance (MS1003S, Mettler Toledo, Greifensee, Switzerland) with an accuracy of  $\pm 0.002$  g.

In order to check the repeatability, the drying experiment was repeated five times for each drying condition.

### 2.4. Image processing

The raw images of the X-ray micro CT were reconstructed using the Octopus Reconstruction software version 8.9.3 (XRE, Gent, Belgium). The reconstruction of a tomogram generated a stack of 1481 16-bit grayscale images. The post-processing of the reconstructed images was performed using the commercial software Avizo (Edition 9.5, Thermo Fisher Scientific, Waltham, USA). The workflow to extract the microstructural parameters was adopted from a previously validated characterization protocol.<sup>48</sup> The image analysis was composed of several steps (Fig. 2). First, the noise in the raw images was removed by using an anisotropic diffusion filter to preserve the details of the edges.<sup>49</sup> The apple cells were segmented first from the images. Because the greyscale value distribution of each tomogram was different, the threshold values for separating the cell material from the air and the paraffin film were manually determined. A typical gray value distribution of the tomograph is shown in





**Fig. 3** (a) Schematic representation of slicing in Eulerian and Lagrangian configurations used to determine the porosity and cell fraction. The slice thickness in the Eulerian configuration was constant along the sample height. In the Lagrangian configuration, a linear decrease of the slice thickness was assumed for the deformed tissue slices. The Lagrangian analysis was done by mapping back the deformed slice  $i$  to its undeformed state in the initial configuration. (b) Example of the calculated slice thickness in the Lagrangian configuration for the forced convective case sample in Repetition 1. (c) Illustration of a cell that has a maximum Ferret diameter,  $D_{F, \max}$ , of 350  $\mu\text{m}$  and a minimum Ferret,  $D_{F, \min}$ , of 138  $\mu\text{m}$ . The cell elongation,  $D_{F, \max}/D_{F, \min}$ , is 2.54 in this case.

Fig. 2, where there were four modes with distinct peaks, representing different materials: air (surrounding air and intercellular air; peak 1), paraffin film (peaks 2 and 3) and apple cells (peak 4). To segment the cell material, the local minimum between peak 3 and peak 4 was chosen as a threshold value (Fig. 2c). A hole-filling algorithm was then applied to the binary images containing the segmented apple cells to close the holes (intercellular air) between the cells. This way, binary images

that represented the entire bulk apple tissue, consisting of cells and intercellular air, could be created (Fig. 2d). For each dataset, the tissue binary images were then used as masks to segment the intercellular air out from the respective filtered images. During the segmentation, only the region inside the mask was enabled to be segmented. As a result, the air surrounding the tissue could be excluded from the segmentation, leaving only the intercellular air (Fig. 2e). The volumes of

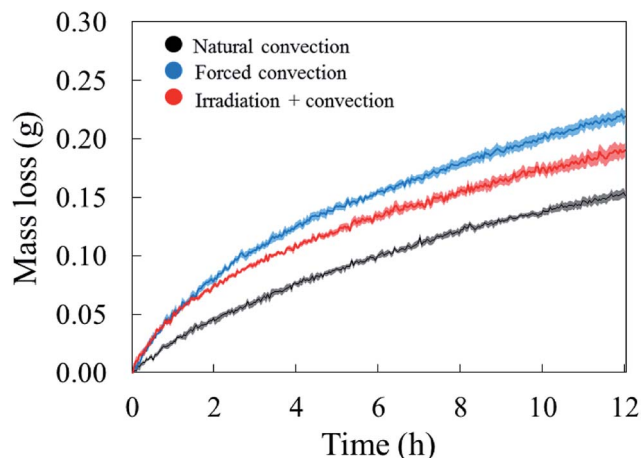


Fig. 4 Average water loss of the tissue samples (solid line) with the standard error (shaded areas) in five experimental repetitions.

bulk tissue, cells, and intercellular air were calculated from the binary images of bulk tissue, cells and intercellular air, respectively.

After segmentation, the cell binary images were split into separated individual cells (Fig. 2f). To do so, a watershed separation algorithm<sup>50</sup> was applied. A virtual filter was used to identify the cell deformation based on the cell sphericity, equivalent diameter, and elongation. The description of these parameters is given in Table 1 and the results are presented in Section 3.3.3. For the pore region (Fig. 2g), the individual pores were identified by evaluating the connectivity of each pore voxel with the surrounding 26 voxels. Here, the voxels that share at least one common vertex with their neighbors were considered to belong to one pore.<sup>48</sup> After the individual cells and pores were identified, their structural parameters (Table 1) were evaluated.

The sample domain was divided into slices, along the sample height (dehydration direction), to evaluate the tissue shrinkage and the microstructural parameters. Two slicing configurations were considered based on the Eulerian and Lagrangian descriptions (Fig. 3a). The slicing in the Eulerian description considered only the current state of the sample,

without looking at its initial (fresh) state. The fresh and deformed samples were thus sliced with a constant slice thickness of 0.1 mm. The microstructural parameters were analyzed in each slice independently. The slicing in the Lagrangian description took into account the deformation of each slice in the deformed sample with respect to its initial (fresh) state at  $t = 0$ . Therefore, in the deformed sample, the slices needed to be registered back to their initial configuration in the fresh sample. The slice state, *i.e.* deformed or undeformed, was identified by calculating the slice radial shrinkage in the Eulerian slicing configuration. The radial shrinkage was given by:

$$\frac{r_0 - r_{e,t}}{r_0} \quad (3)$$

where  $r_0$  was the initial sample radius (8 mm) and  $r_{e,t}$  was the slice equivalent radius at time  $t$ .

If the radial shrinkage was larger than 2%, the slice was categorized as a deformed slice, and *vice versa*. The threshold value of 2% was chosen to take into account the bias caused by the different levels of gray value intensity in the raw images. The bias could affect the segmentation steps, thus the resulting volume of a slice. In the Lagrangian slicing configuration, the thickness of undeformed slices was kept at 0.1 mm. For the deformed slices, we developed a simplified registration method to map the deformed slices back to their initial state at  $t = 0$ . The method was chosen because of the difficulties in registering the local deformation. Due to the significant shrinkage and pore (or cell) deformations, there were hardly distinct marker points to be used for a better registration of the deformation. In this case, the deformed slice thickness was assumed to decrease linearly over the height with a factor  $a$ , which was defined as:

$$a = \frac{h}{n} \quad (4)$$

where  $h$  was the change of the sample height at time  $t$ , and  $n$  was the total number of slices of the initial configuration (Fig. 3a). The formulation implied that the top slice dehydrated and deformed the most due to the water removal from the tissue surface. The effect of the dehydration and deformation on the subsequent slices was decreasing, as observed during drying. It

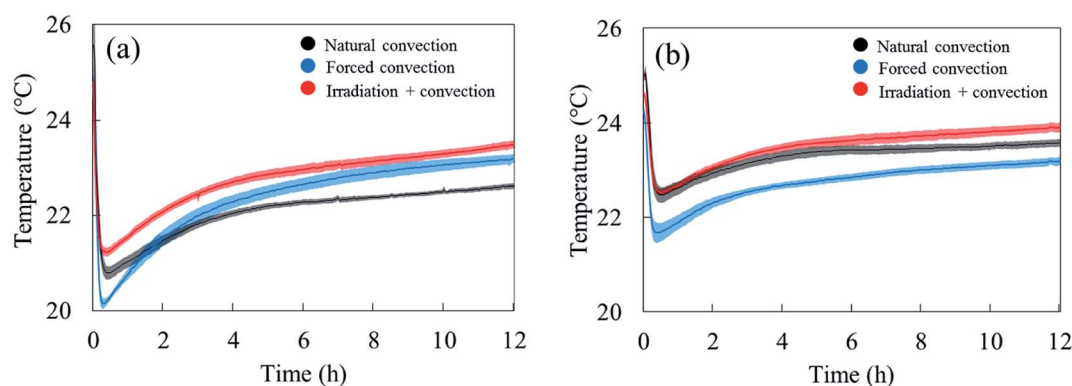


Fig. 5 (a) Average temperature at the sample surface (solid line) and (b) at the sample core (solid line) with the standard errors (shaded areas) in five experimental repetitions as a function of drying time during natural, forced and coupled irradiation-convective drying.





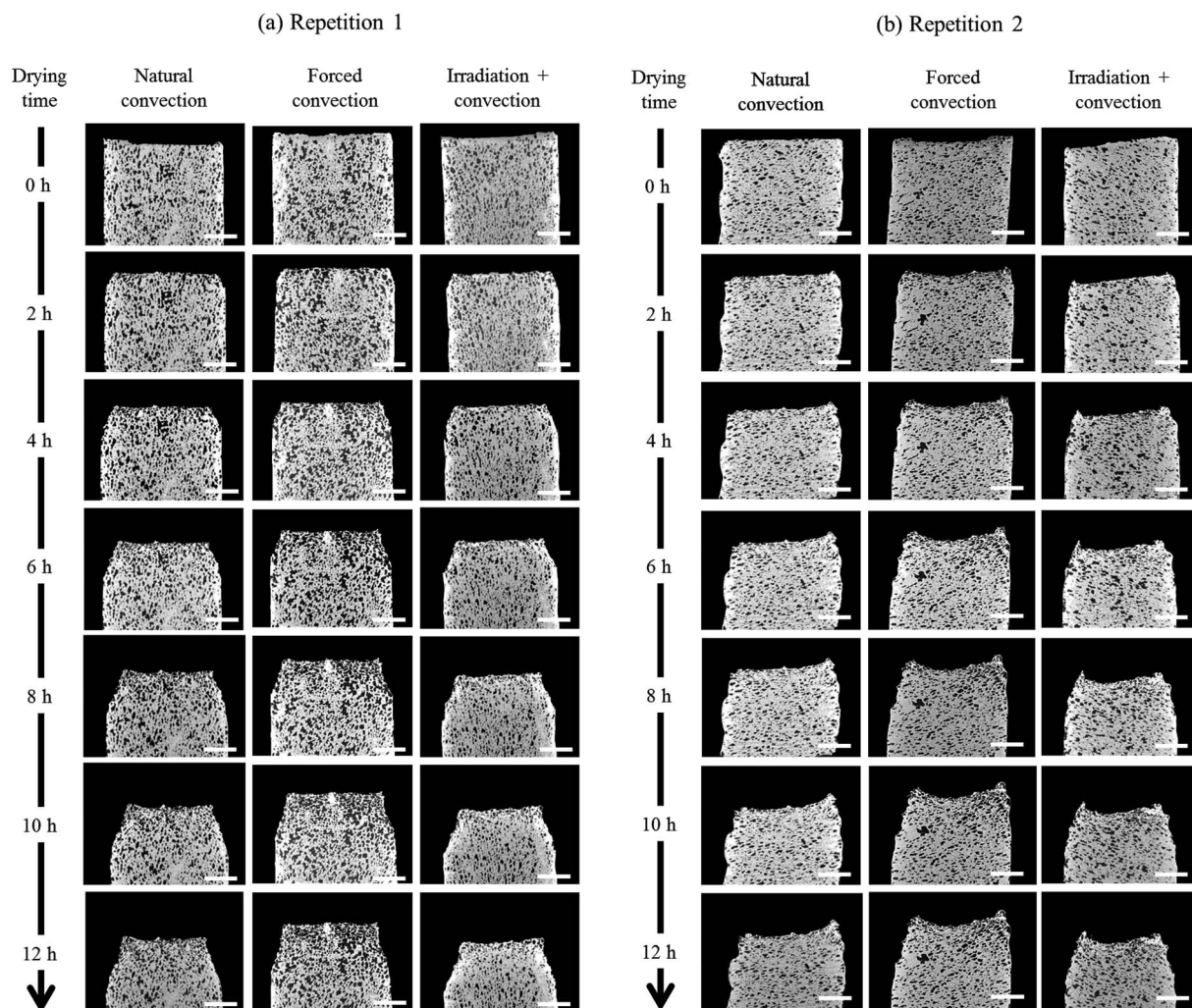


Fig. 6 Reconstructed grayscale images show the axial cross-section at middle plane of the samples for (a) Repetition 1 and (b) Repetition 2 during natural, forced and coupled irradiation convective drying. Scale bars (white) are 3 mm.

could be justified by the fact that the moisture removal was basically one directional, where moisture was extracted only at the top surface. The thickness of deformed slice  $i$ ,  $t_i$ , in the Lagrangian configuration was calculated as:

$$t_i = t_0 - a(n - (i - 1)) \quad (5)$$

where  $t_0$  was the thickness of the undeformed slice  $i$ , in this case 0.1 mm.

The Eulerian porosity  $E$  was used to identify the formation of an elevated-porosity layer that was formed near the tissue surface during drying (explained in Section 3.3.1). An elevated-porosity layer was a tissue region where the Eulerian porosity is larger than the initial porosity of the sample. This could occur when the pore volume was maintained or created in the deformed sample during shrinkage. The Lagrangian porosity  $\phi$ , along with the Lagrangian cell fraction  $\psi$  (Table 1), was used to identify the volumetric shrinkage of the tissue sample during drying. The Lagrangian cell fraction was the ratio of the cell volume in slice  $i$  at time  $t$ , to the total volume (pores and cells) of

the slice  $i$  in the initial configuration (Fig. 3a). All other microstructural parameters, such as the cell diameter, cell sphericity, cell elongation and pore diameter, were all evaluated based on the Eulerian configuration. The profile of these parameters along the sample height was obtained by averaging the value for each object (cell or pore) located within the respective Eulerian slice. The center of mass was used to determine in which Eulerian slice the object was located.

### 3. Results

#### 3.1. Drying rates and temperatures

The drying curves of the apple samples which were dried at different drying conditions are shown in Fig. 4. They indicate the averaged mass loss (and standard error) over the five experimental repetitions, as a function of the drying time. The increase of air speed from  $0.05 \text{ m s}^{-1}$  (natural convection case) to  $0.5 \text{ m s}^{-1}$  (forced convection case) significantly increased the moisture removal, especially during the first two hours of drying. When irradiation was introduced, the same moisture





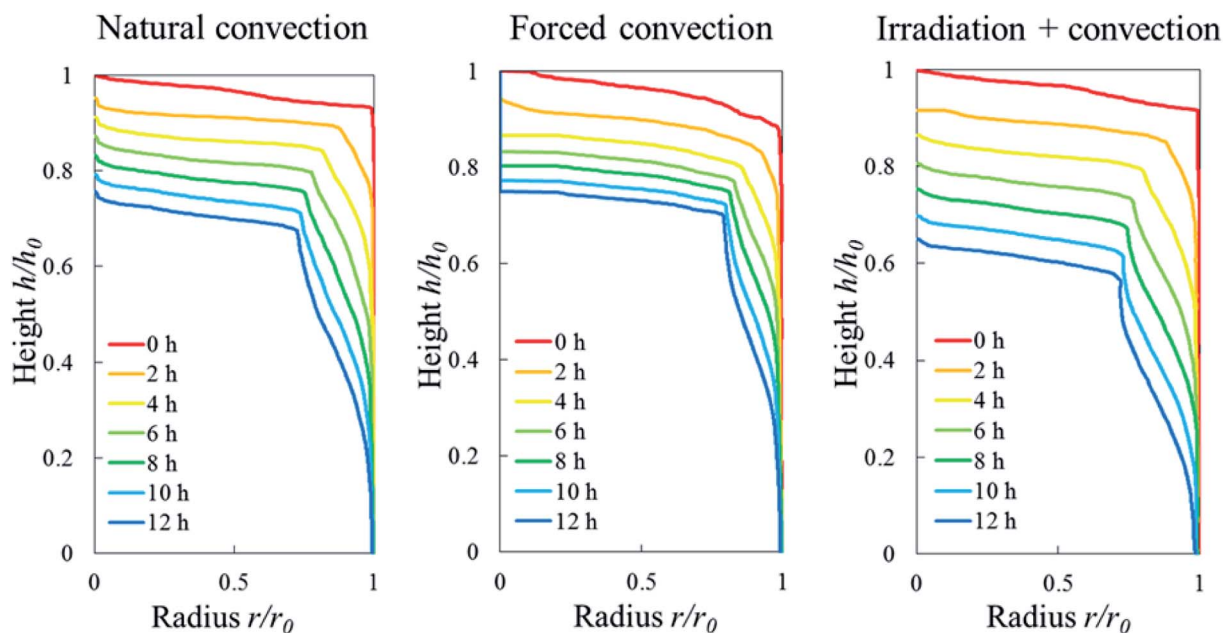


Fig. 7 Radial and axial shrinkage, shown as the changes of the sample radius and height during 12 hours of drying, relative to the initial sample height and radius. The initial radius  $r_0$  and height  $h_0$  are 4.5 and 8 mm, respectively (results from Repetition 1 experiment).

removal as in the forced convective case is observed at the start of the experiment. We observed that the radiation heat from the solar lamp also warmed up the drying chamber. This resulted in an increase of the air temperature in the chamber from 25 °C to 29 °C. The relative humidity of the air in the chamber was observed to decrease from 30% RH to 27% RH. After two hours, the moisture removal in the coupled irradiation-convective case slowed down, while the drying rate in the forced convection case remained the highest of the three drying cases.

The water removal from the sample surface inherently induced an evaporative cooling effect on the sample due to the latent heat needed to evaporate the water. The largest effect was observed during the first hour of drying (Fig. 5). As the water loss decreased, the sample surface and core temperature increased again since less heat was needed to evaporate water. The sample temperature was lower in the forced convective case compared to the natural convection case because of the higher drying rate, thus cooling effect. The impact of radiative heating on the drying process can be seen by comparing the moisture removal and sample temperature in the coupled radiation-convective and natural convective cases. Although the water removal was higher (Fig. 4), the coupled irradiation-convective case resulted in a higher surface temperature (Fig. 5a) due to the higher drying air temperature and irradiation on the fruit surface. The difference was from 0.5 °C in the first hour up to 1 °C over the remaining drying time. The low standard error for the mass loss and temperature for each drying case (Fig. 4 and 5) showed that the climatic setup provided repeatable drying conditions.

### 3.2. Sample shrinkage

The change in the tissue structure throughout the drying process could be seen qualitatively from the reconstructed

grayscale images of X-ray  $\mu$ CT shown in Fig. 6. Variations in initial microstructure exist between samples due to the inherent biological variability. However, the same quantitative trends in the shrinkage and changes of microstructural parameters of each drying case were observed in the Repetitions 1 and 2. Hence, in some parts of the discussion below, only the results from Repetition 1 are shown. Regardless of the drying conditions, notable bulk radial and axial shrinkage in the upper region of the sample was identified for all samples by the end of the drying process. The shrinkage was nearly axi-symmetric, which means it was uniform in the radial direction and not influenced by the approach air flow direction. The radial shrinkage for the Repetition 1 is shown in Fig. 7 as a function of the axial shrinkage. The axial shrinkage was determined by the distance of the center of the slice to the bottom boundary of the FOV *versus* the initial height of the sample in the FOV (8 mm). The maximum radial ( $1 - (r/r_0)_{\min}$ ) and axial ( $1 - (h/h_0)_{\min}$ ) shrinkage in all drying cases for the Repetitions 1 and 2 are presented in Table 1. In both repetitions, the coupled irradiation-convective drying cases had the largest radial and axial shrinkage, while the forced convective cases shrank the least in both directions. Note that the results from the radial shrinkage analysis were used as the basis for determining the slice thickness for the Lagrangian analysis in Section 3.3.

### 3.3. Changes in microstructure

**3.3.1. Changes in porosity and pore diameter.** The initial porosity of each sample, in both repetitions, varied slightly within the range of 0.21–0.25 as represented by the red dots in Fig. 8a and b. By looking at the Eulerian porosity of the samples in Fig. 8, it can be seen that, in all samples, the upper part of the sample gradually became more porous during drying. The



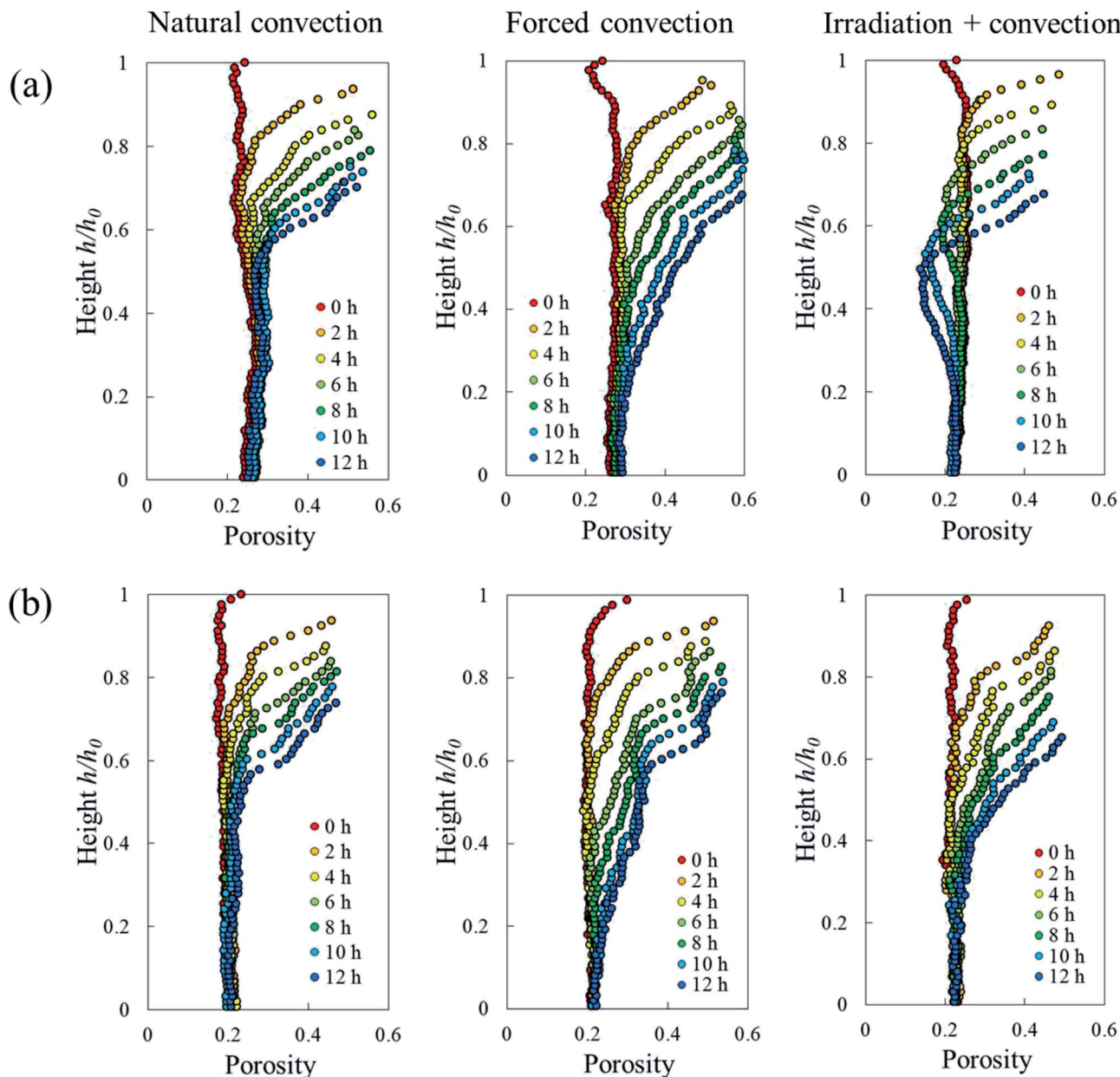


Fig. 8 Eulerian porosity profile of the sample along its axial direction during 12 hours of convective drying in (a) Repetition 1 and (b) Repetition 2.

increase of Eulerian porosity, however, depended on the drying method. In apple tissue, or a parenchyma tissue in general, water is stored inside the cell vacuole that is surrounded by the cell membrane and cell wall.<sup>52</sup> The water removal from the tissue surface creates a moisture gradient that drives the moisture transport from the interior of the tissue to the surface. When the water starts migrating from the cells, the cells shrink and can ultimately collapse. These lead to the bulk shrinkage. The observed increasing Eulerian porosity was caused by the smaller changes (if any) of pore volume compared to the changes of cells volume as the tissue shrunk. We investigate this phenomenon in more details using a Lagrangian approach in the following paragraph. We found the porosity of the tissue located further from the surface remained the same as the

initial porosity. As such, the formation of an elevated-porosity layer,  $t_p$ , was identified during drying. It was defined as the region where the porosity was higher than the initial porosity (Fig. 9). In the natural convection case, the thickness of the elevated-porosity layer decreased with drying time (black solid and dashed lines in Fig. 9b), as a result of the accompanying axial shrinkage that mainly happened in the upper part of the tissue. In the forced convection case, the formation of the elevated-porosity layer was more pronounced than in the natural convection case. The elevated-porosity layer increased with drying time, up to 4.3 mm in Repetition 1 and 4.1 mm in Repetition 2 after 12 hours of drying (blue solid and dashed lines in Fig. 9b).



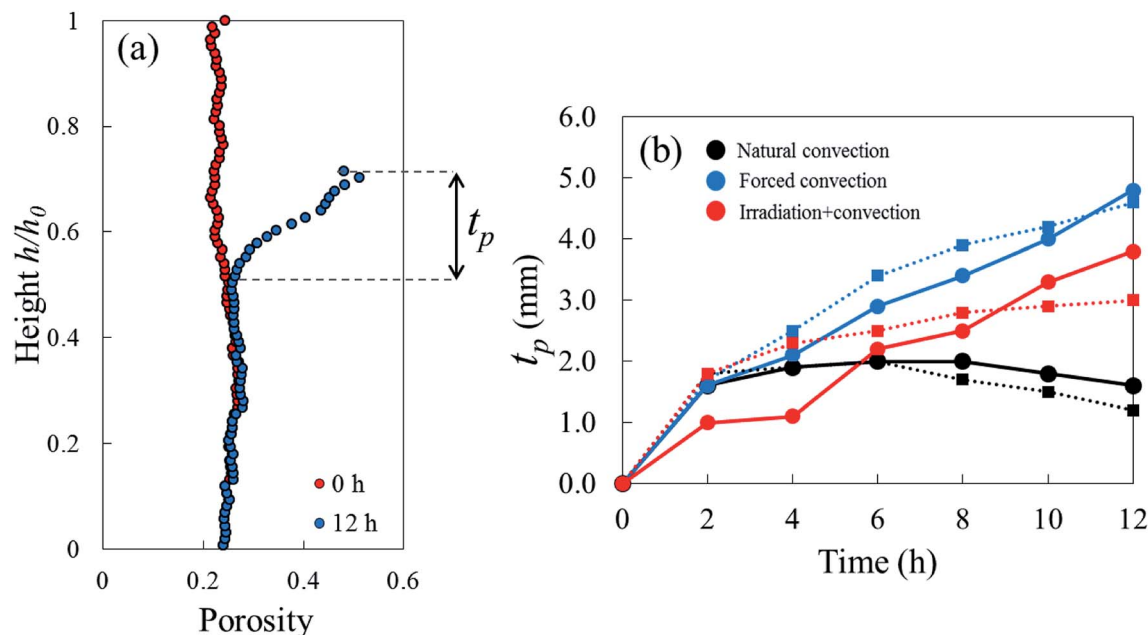


Fig. 9 (a) Height of the tissue (in the Eulerian configuration) which was defined as the thickness of the elevated (or reduced) porosity layer,  $t_p$ . (b)  $t_p$  for each drying method in Repetition 1 (solid line) and Repetition 2 (dashed line).

One sample, the irradiation-convective case in Repetition 1, displayed the development of a reduced-porosity layer below the elevated-porosity layer. The thickness of the elevated-porosity and reduced-porosity layers increased with time to 0.9 mm and 2.7 mm, respectively, after 12 h of drying. The formation of this reduced-porosity layer was attributed to the high volumetric shrinkage which induced a densification of the structure. For the irradiation-convective case in Repetition 2, where the shrinkage was lower (Table 2), this was not sufficient to reduce the porosity below the initial value. Here, the sample showed the quasi-linear development of a higher-porosity layer to around 4 mm thick after 12 hours. The formation of the reduced-porosity layer in Repetition 1 was attributed to a slightly different initial tissue structure due to biological variations. However, the total thickness of the tissue layers affected by the drying process,  $t_p$ , accounting for elevated- and reduced-porosity layers, was comparable after 12 hours of drying for both repetitions. These thicknesses are shown in Fig. 9b with red solid and dashed lines for Repetitions 1 and 2, respectively. The impact of the coupled radiation-convective drying on the overall microstructural changes was therefore similar.

The Lagrangian cell fraction and porosity were analyzed to understand the relationship between the volumetric shrinkage and the resulting change in cell and pore volume within a sample slice. In each slice, the sum of the change in Lagrangian cell fraction (*i.e.* cell volume vs. total volume of cells and pores) and in Lagrangian porosity equaled the total volumetric shrinkage. Fig. 10 shows that, in all drying cases, the changes in Lagrangian cell fraction were more significant than the changes in Lagrangian porosity. This underlines that the changes in cell volume had a more dominant contribution to the total volumetric shrinkage than the changes in pore volume. In the natural convection and coupled irradiation-convective cases, the pore volume reduction amplified the total volumetric shrinkage. In the forced convective case, however, the pore volume almost remained constant during drying, by which the observed shrinkage could be attributed almost entirely to the reduction in cell volume.

**3.3.2. Changes in pore size.** The average equivalent diameter of the pores in a fresh sample was quite heterogeneous, ranging from 50–150  $\mu\text{m}$ , as shown by the red dots in Fig. 11. In all drying cases, the average diameter of individual pores increased near the sample surface and was directly correlated

Table 2 The maximum radial and axial shrinkage in natural convective, forced convective and coupled irradiation-convective drying methods at the end of the drying processes

	Max. radial shrinkage ( $1 - (r/r_0)_{\min}$ )		Max. axial shrinkage ( $1 - (h/h_0)_{\min}$ )	
	Repetition 1	Repetition 2	Repetition 1	Repetition 2
Natural convection	0.274	0.266	0.332	0.315
Forced convection	0.213	0.184	0.301	0.292
Coupled irradiation-convective	0.282	0.270	0.418	0.376





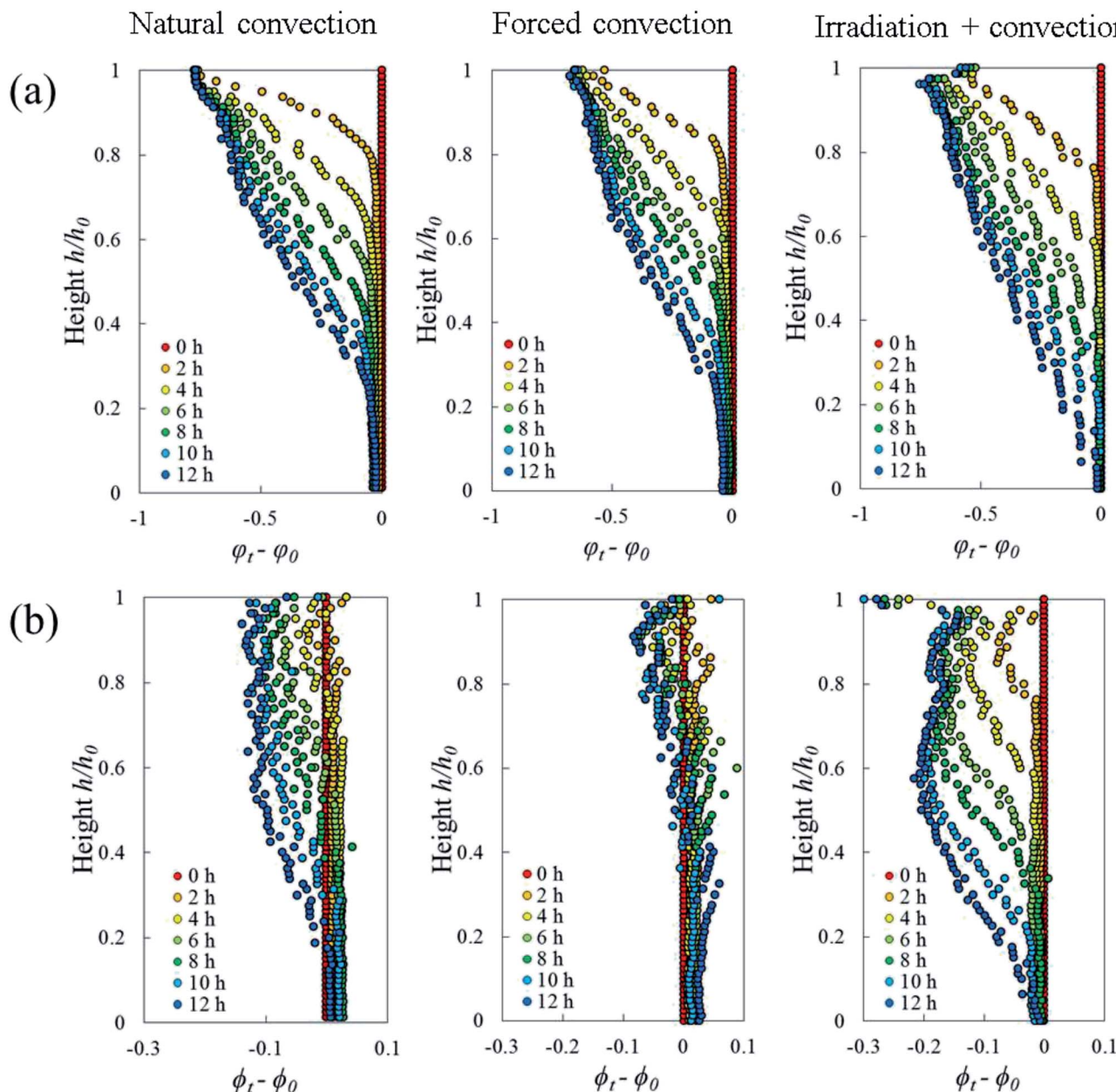


Fig. 10 Changes in Lagrangian (a) cell fraction ( $\psi_t - \psi_0$ ) and (b) porosity ( $\phi_t - \phi_0$ ). The sum of these two values in each slice represents the volumetric shrinkage strain. The results are from the Repetition 1 experiment.

with the presence of the elevated-porosity layer described above (Fig. 8). The increase of the average pore diameter was due to the fact that several pores merged into one pore which had a larger diameter.

**3.3.3. Changes in cell shape and size.** Apple cortex tissue consists of thin-walled parenchyma cells (Khan & Vincent 1990; Gibson 2012)<sup>53,54</sup> with 85–90% of the cellular water mainly stored in the cell protoplasm.<sup>55</sup> During drying, the water is removed from the protoplasm and the decrease of cell volume is then equal to the volume of the removed water. Using the 3D datasets acquired, the deformation of apple cells was quantified by tracking the changes of shape (sphericity and elongation) and size (equivalent diameter) of the cells. In the fresh samples,

the average cell sphericity was 0.8, while the average elongation and equivalent diameter were 1.6 and 212  $\mu\text{m}$ , respectively. These values were in line with previous findings.<sup>48</sup>

Due to drying, the cell deformed and changed its shape. The cell deformation might cause a reduction of cell sphericity. Based on visual observations and a validated cell identification protocol,<sup>48</sup> an object was categorized as an undeformed cell when its sphericity was higher than 0.75. Outside of this category, an object was defined as a deformed cell. Fig. 12 shows the average cell sphericity in Eulerian slices at different drying times. In all of the drying methods, progressing reductions of average cell sphericity were observed. A region where the average cell sphericity were less than 0.75 (triangles in Fig. 12)



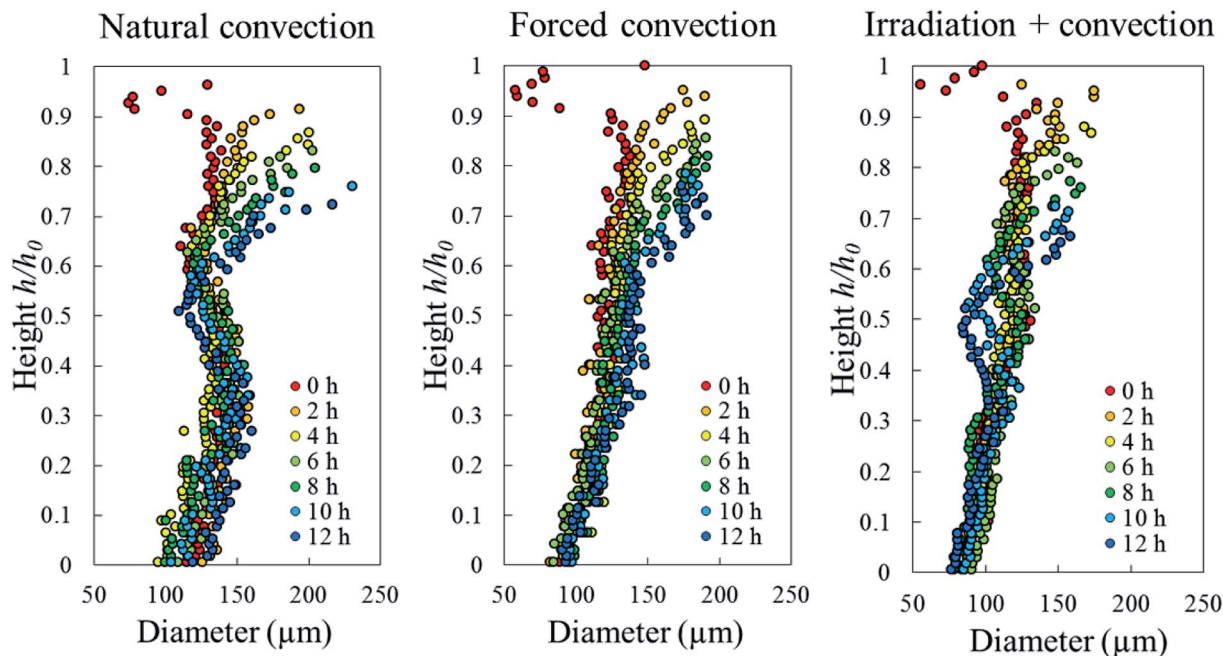


Fig. 11 Profiles of pore diameter in the Eulerian slicing configuration during 12 h of natural, forced, and coupled-irradiative convective drying process (results from Repetition 1 experiment).

was found particularly in the elevated-porosity layers previously identified. It was also observed in the reduced-porosity layer of irradiation-convective sample in Repetition 1. This region was defined as a deformed-cell layer. The thickness of a deformed-cell layer (Fig. 13a) was determined by measuring the total height of Eulerian slices denoted as triangles in Fig. 12. For all

drying cases, the deformed-cell layer steadily became thicker with drying time, although the growth differed from case to case (Fig. 13b). The thickest deformed-cell layer at the end of the drying process was found in the forced convective cases (3.05 mm in Repetition 1 and 2.93 in Repetition 2). The high mass loss (Fig. 4) and low total shrinkage (Fig. 7) in the forced

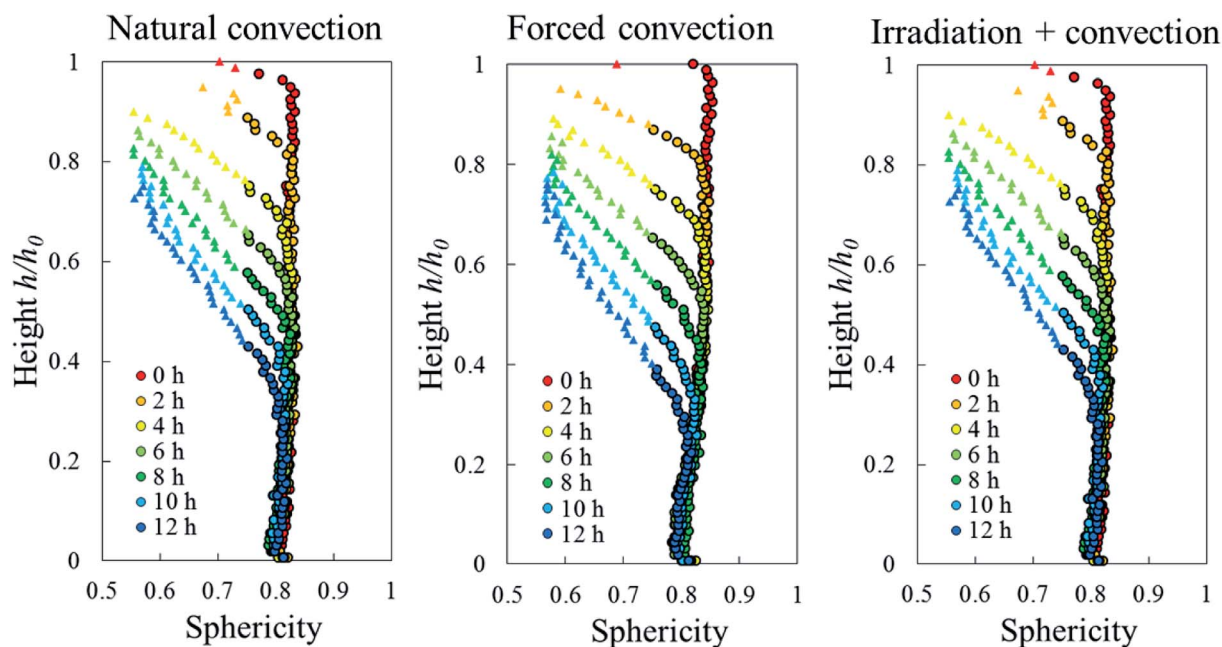


Fig. 12 Sphericity profiles of apple cells along the sample axial direction during 12 hours of natural, forced, and coupled irradiation-convective drying. The dots represent an average cell sphericity  $\geq 0.75$ , while the triangles represent an average cell sphericity  $< 0.75$  (results from Repetition 1 experiment, treated with Eulerian approach).



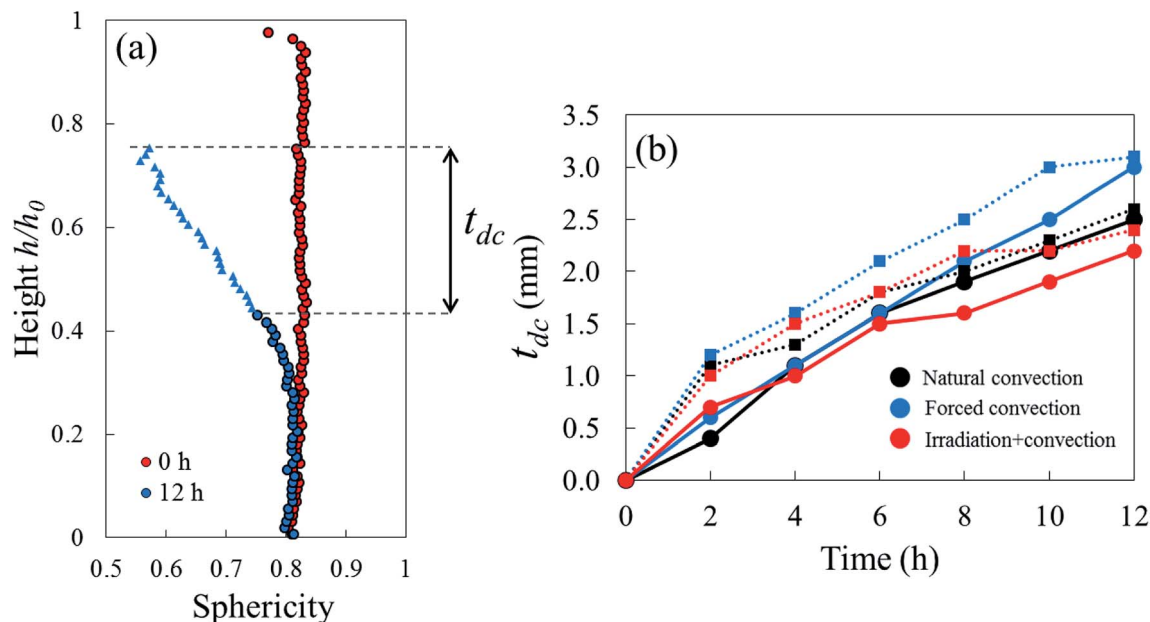


Fig. 13 (a) Height of the deformed tissue (in the Eulerian configuration) with average sphericity less than 0.75 (blue triangles) was defined as the thickness of the deformed-cell layer. (b) Thickness of the deformed-cell layer  $t_{dc}$ , for each drying method in Repetition 1 (solid line) and Repetition 2 (dashed line).

convective case made the cells to deform more than in the other two cases. In the coupled irradiation case, the high mass loss was accompanied by a large volumetric shrinkage that allowed the cells to shrink with a limited impact on their sphericity. Fig. 14 visualizes the 3D rendering of the all undeformed cells, which are overlayed on the middle plane of the filtered X-ray images. The grey area of the tissue (the one which is not overlayed by the 3D cells) corresponds to the region where the deformed-cells layers were found.

To identify the correlation between the changes in cell sphericity, equivalent diameter and elongation, the average cell sphericity in each sample slice was plotted against the corresponding average cell diameter and elongation over the entire drying period (Fig. 15). The variability of those parameters for undeformed cells yields a zone of possible values, highlighted with circles. These undeformed cells were found mostly from the initial state of the samples as well as from the tissue below the deformed cell layer during drying. Generally, the cell equivalent diameter decreased when the sphericity decreased. Although an increase in equivalent diameter of a single cell is theoretically not possible, increases in diameter were found particularly in the coupled irradiation-convection case. Here, the equivalent diameter of the deformed cell (red dots in Fig. 15a) was more than the maximum cell diameter found in the fresh sample (green dots in Fig. 15a). It can be explained by the fact that the high levels of both axial and radial shrinkage of the fruit tissue induced a more compact cellular structure. As results, some deforming cells virtually merged together and clusters of cells appeared, where each cluster was identified by the image processing as a single cell with a larger diameter. Regarding the cell elongation, the same trends were observed in all of the drying cases that the deformed cells (or cell cluster in

coupled irradiation-convection case) were more elongated with decreasing sphericity.

## 4. Discussion

Different drying methods noticeably affect the tissue microstructure, although there is a biological variability between individual samples. Compared to natural convective drying, forced and coupled irradiation-convection drying were found to have a significantly higher drying rate at the beginning of the drying process. In the forced convective case, the convective mass transfer coefficient (CMTC), so the inverse of the boundary layer resistance due to airflow, was higher than the one in the natural convection case. On the other hand, in the coupled irradiation-convection drying, the faster drying is due to the combined effects of higher air temperature in the chamber and radiation heating at the fruit surface. Due to an increase in saturation pressure, the higher air temperature increases the vapor pressure deficit (VPD). VPD reflects the difference between the water vapor content in the air and its saturation value.<sup>56</sup> Note that the absorption of the radiative heat does not occur only at the surface of the tissue. The near-infrared radiation provided by the solar lamp (wavelengths > 780 nm in Fig. 1d) is partly transmitted until a certain depth into the tissue.<sup>57,58</sup> It is then absorbed by the underlying tissue and would locally increase the tissue temperature. According to the spectral emission in Fig. 1d and previous observations by<sup>58</sup> for apple tissue, the penetration depth of the solar lamp radiation can be approximately around 3 mm under the tissue surface for a fresh tissue and it would decrease as the tissue dehydrates. The absorbed heat from the radiation also supplies the necessary heat of evaporation. The combined effect of the internal heat absorption from radiation and higher air temperature





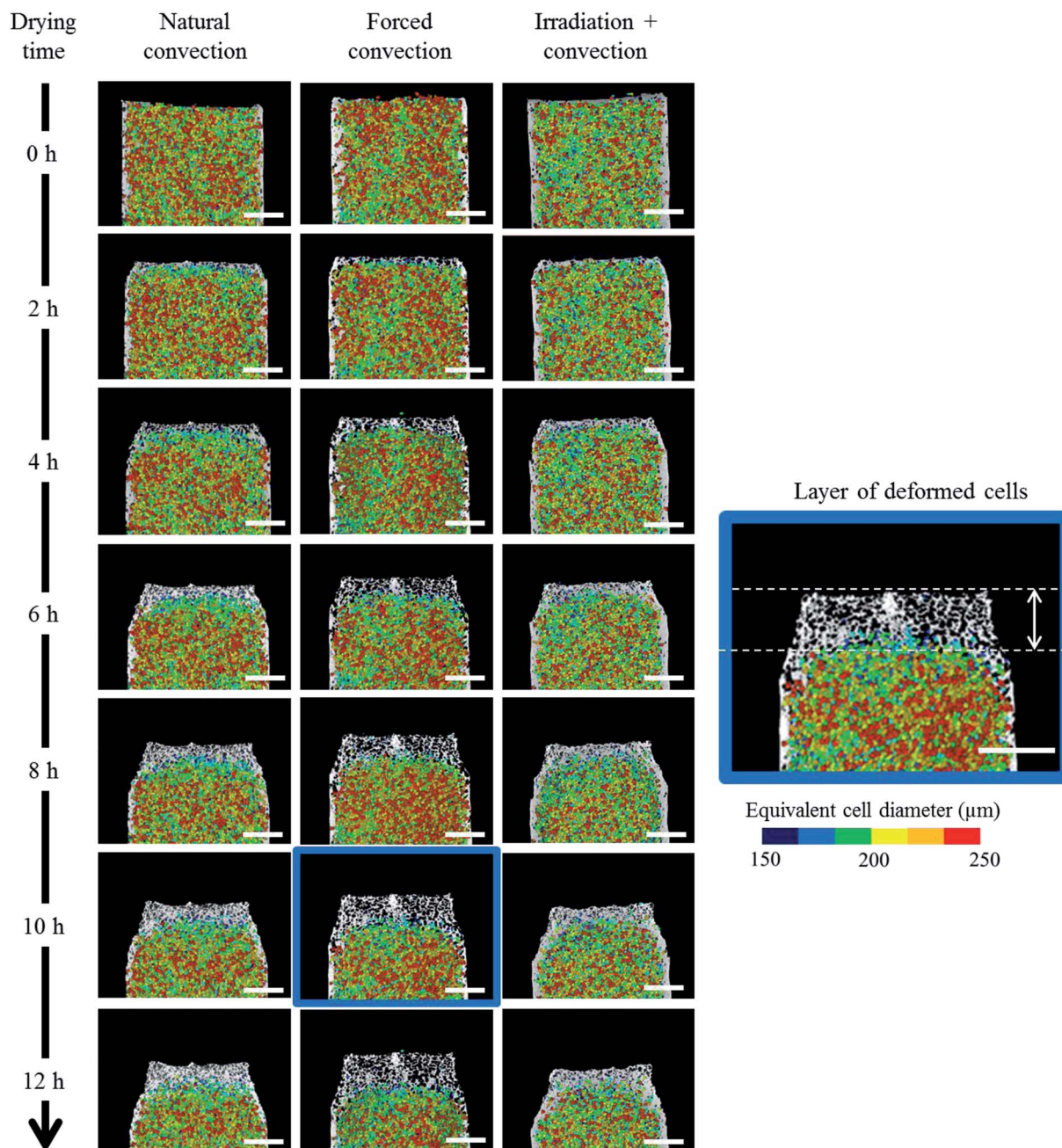


Fig. 14 3D rendering of undeformed cells (cells with sphericity more than 0.75). The undeformed cells are overlaid on the middle plane of the original filtered X-ray images. The region of the sample in which the average sphericity is less than 0.75 is defined as the deformed-cell layer. The scale (white) bars indicate a width of 3 mm (results from Repetition 1 experiment).

caused the surface temperature of the tissue in the coupled-radiative convective case (Fig. 5a) to be higher than in the natural convective case.

When comparing the three different drying methods, it is clear that the fast, low-temperature forced convection drying provides the most open-porous structure. Previous studies on dried apple and potato also showed that forced convective drying resulted in products with a higher (Eulerian) porosity.<sup>59–61</sup> Using a Lagrangian approach, we find that the pore volume stays almost constant for our forced convective condition. This is due to a small degree of bulk shrinkage while cells

shrink during drying. A possible explanation for the low degree of shrinkage in this case is an increase of cellular matrix stiffness at higher drying rates. A study shows that by reducing the water activity from 100% to 35%, the secant modulus (ratio of failure stress to failure strain) of the cell wall will increase from 1.3 to 3676 MPa.<sup>62</sup> Therefore, the drier the tissue gets, the stiffer it becomes. The change occurs faster in the forced convective drying case than in the other drying cases. This leads to a higher mechanical resistance to bulk shrinkage during the subsequent moisture removal. As a result, the pore volume reduction is less than other drying cases. The coupled irradiation-convective



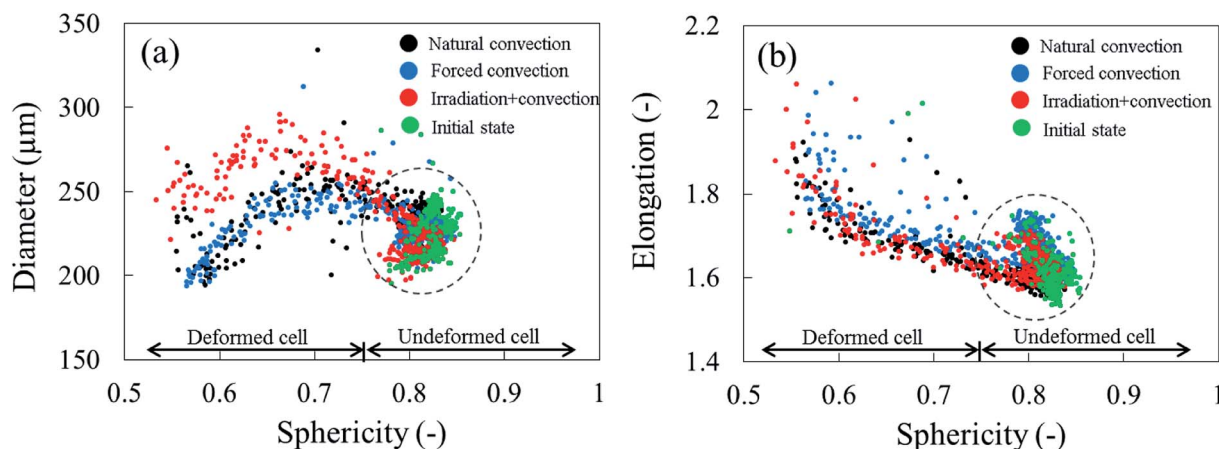


Fig. 15 Cell sphericity and corresponding (a) cell equivalent diameter and (b) cell elongation in each of sample slices, plotted together over the 12 hours of drying. The data spread within the circles shows the variability in sphericity, equivalent diameter and elongation of the undeformed cells (results from Repetition 1 experiment). The initial state of the fresh tissue microstructure is denoted in green dots.

drying yields the highest radial and axial shrinkage compared to the other drying methods. Note that in this case, the difference between the drying air temperature (29 °C) and core temperature (23.8 °C after 12 hours of drying) is the largest. We hypothesize that a higher temperature gradient is created in the tissue, both in the radial and axial directions. It may cause additional stresses on the structure which results in more extensive shrinkage. To confirm this hypothesis, however, additional temperature measurements along the radial and axial directions are needed.

The results above suggest that, ideally, the optimization of fruit drying processes must be done based on the understanding of microstructural changes during drying and the desired microstructural properties of the final product. The microstructure characteristics affect the internal water transport since the water permeability is lower in the dehydrated tissue.<sup>17</sup> Furthermore, the water permeability also depends on the porosity and cell deformation. Controlling the thickness of the elevated porosity and deformed cell layers is crucial to direct the drying process and the subsequent changes of microstructure in the tissue underneath those layers. As such, an optimized process can be made up of either one or a combination of different drying methods. Based on this study, for instance, forced convection at low temperature (25 °C) can be perceived as the most suitable method to produce dried products that have high porosity with minimal shrinkage. Such porous products could have a high degree of crispness.<sup>63,64</sup> Crispness is a desirable textural quality that is often related to the product mechanical properties, *i.e.* the intensity and number of force peaks in strain-force curves.<sup>65–67</sup>

Overall, this study shows that the X-ray micro-CT technique is able to document the changes in the microstructural features of a complex porous material, like fruit, during the dynamic process of drying. At the given X-ray energy (70 keV), no tissue damage was observed on the samples during the measurements. This was verified by comparing the reconstructed slice at each drying time (Fig. 6) for the bottom part of the tissue. This part was not affected by dehydration and the structure was

preserved during the entire measurement. It can also be verified quantitatively by looking at the porosity and cell sphericity profiles at the bottom part of the tissue (at  $h/h_0 = 0-0.2$ ) for every time step. The profiles are similar, which means the pores and cells are remain intact. Due to limited accesses to the X-ray micro-CT facility, only six measurements were performed. However, the results showed distinctive tendencies for each the drying method. Considering the repeatability of the mass losses and the sample temperatures that were measured using the same setup (Section 3.1), the X-ray micro-CT datasets were expected to be reproducible. Nevertheless, some improvement can still be done to refine our understanding of the associated physical phenomena that are happening during the drying process. At this point, we could only observe the formation of a deformed cell layer. The deformation mechanism of each cell in real time, starting from the fresh cell until it deforms and interacts with its neighboring cells to form a cell cluster, could not be identified. This was due to the limitation of the temporal resolution of the X-ray system. To be able to track the deformation of every single fruit cell during drying, an ultrafast X-ray system that is able to perform a tomography in real time, such as synchrotron X-ray, is required. Additionally, a synchrotron X-ray system has a submicron spatial resolution that can also resolve the cell wall with a thickness of around 1–10  $\mu\text{m}$ .<sup>68–70</sup> The information can be used to deeper understand the impact of drying conditions on the deformation mechanism of the microstructure, such as the cell shrinkage, plasmolysis (cell membrane rupture and the shrinkage of protoplasm while the cell wall is still intact) or lysis (cell membrane rupture, thus faster water removal or volume reduction). In addition, given the work of,<sup>38,71</sup> the identification of the changes in cell wall and protoplast volume of a single cell, in real time, would give additional insights on the water movement and the water holding capacity of the cellular structure. Since the product quality is associated with the final product shape,<sup>18</sup> the impact of different tissue geometries on the micro- and macrostructural changes is also essential to be studied in a next step.



## 5. Conclusion

X-ray micro-CT was successfully used to characterize and quantify *in situ* the changes of the microstructure of apple tissue during drying under natural convective, forced convective and coupled irradiation-convective drying. By combining a Eulerian and Lagrangian approaches, we were able to identify the relations between drying rate, bulk shrinkage and changes in the microstructural characteristics, such as porosity, pore diameter, cell sphericity, cell diameter and cell elongation. While the Eulerian approach evaluated the microstructural parameters in their current states, the Lagrangian approach allowed us to relate these microstructural changes back to the initial state. Due to the large cellular deformations and changes in porosity, both approaches provided complementary information. In all drying cases, a layer with an elevated Eulerian porosity and deformed cells developed during drying near the tissue surface. The thickness and structure of these layers, however, depended strongly on the applied drying method. The samples in the forced convection drying showed the least bulk shrinkage and the highest Eulerian porosity. It happened when Lagrangian pore volume almost remained constant while the cell volume decreased. On the other hand, the natural convective and coupled irradiation-convective cases, the pore volume decreased and magnified the shrinkage caused by cell volume reduction. The coupled irradiation-convective drying induced the most extensive shrinkage and formation of larger cell clusters due to the combined effect of high air temperature and radiative heating.

## Conflicts of interest

There are no conflicts to declare.

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