Construction of 3D carbon networks with well-dispersed SiO$_x$ nanodomains from gelable building blocks for lithium-ion batteries†

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Nonstoichiometric silicon oxide (SiO$_x$) with high theoretical capacity is a promising anode material for lithium-ion batteries (LIBs). However, volume changes and poor electronic conductivity of SiO$_x$ are major impediments to its practical application. The modification of SiO$_x$ with carbonaceous materials to accommodate volume variations and improve conductivity is a valuable strategy. Nanonetwork-structured (NNS) carbons have been paid great attention because of their unique three-dimensional structure, and high electronic and ionic conductivity. Incorporating SiO$_x$ with well-designed NNS carbons is a promising method to prepare high quality electrode materials for lithium-ion batteries. In this work, a fabrication approach is developed to synthesize a 3D carbon network composed of carbonaceous hybrid nanotubes with well-dispersed SiO$_x$ nanodomains (CNT@SiO$_x$–C) from 1D gelable bottlebrushes as network building blocks based on molecular-scale interface engineering technology. Herein, nano-sized SiO$_x$ particles are embedded into the carbonaceous matrix to prevent their volume change during cycling. The experimental results indicated that the CNT@SiO$_x$–C presents high reversible capacity, remarkable cycle life and high rate capability due to the high dispersion of nano-sized SiO$_x$ and conductive 3D carbon nanonetwork.

1. Introduction

Rechargeable lithium-ion batteries (LIBs) are the dominant power source for a wide range of portable electronic devices like mobile phones and electric vehicles due to the advantages of high energy density, high working voltage, long lifespan and environment benignity. Carbon materials such as graphite are used as commercial anode materials in LIBs due to their superior electronic and mechanical properties. However, these traditional carbon materials suffer from low theoretical specific capacity (graphite = 372 mAh g$^{-1}$) and poor rate capability. Therefore, various anodes for LIBs with high capacity have been investigated.

Silicon-based materials including silicon (Si) and nonstoichiometric silicon oxide (SiO$_x$) have been proposed as the most promising anode materials due to their high theoretical capacity (Si = 4200 mAh g$^{-1}$ and SiO$_x$ = 1960 mAh g$^{-1}$ maximum), satisfactory working potential and abundant sources. Nowadays, SiO$_x$ has attracted increasing interest. Compared with elemental Si which suffers from element volume change (around 300%) and high production cost, SiO$_x$ exhibits its appropriateness as anode material. Unfortunately, bulk SiO$_x$ with volume change and low electronic conductivity is still far from satisfactory so that the strategies of nanosizing and combining SiO$_x$ with electronic conductive carbons are purpose to accommodate large volume variations and improve conductivity. Carbonaceous materials incorporated with SiO$_x$ as functional composition has been demonstrated to be effective at improving the lithium ion storage ability. Among them, nanonetwork-structured (NNS) carbons have drawn more and more attention because of their unique three-dimensional structure, high electronic and ionic conductivity. Thus, silicon-based materials with high theoretical capacity incorporated in NNS carbons as functional composition have been explored. However, the inhomogeneous distribution and easily falling off the carbon matrix of SiO$_x$ are still bottleneck issues in the further applications. The different chemical properties between SiO$_x$ and carbons also cause pulverization and particle aggregation. Furthermore, to construct carbon materials with SiO$_x$, chemical vapor deposition (CVD) and reduction of SiO$_x$ are usually used but the practical application is hindered by high cost and low manufacturing efficiency. Therefore, in order to combine the excellent electronic conductivity of NNS carbons with good electrochemistry property of SiO$_x$, it is quite important to incorporate nanosized SiO$_x$ with well-distribution NNS carbons via a simple and controllable method.
In this work, we design 3D carbon networks composed of carbonaceous hybrid nanotube with well-dispersed SiO\(_2\) nanodomains (CNT@SiO\(_2\)-C) based on molecular-scale interface engineering technology. A 1D molecular-brush building block, poly(3-(triethoxysilyl)propyl methacrylate) grafted CNT (CNT-g-PTEPM), is synthesized by surface-initiated atom transfer radical polymerization (SI-ATRP) and employed to be a network building unit. As both crosslinker and precursor of functional constituents in the synthesis process, the PTEPM brushes on the surfaces of CNTs can generate both intra- and inter-nanotube crosslinking via the hydrolysis reaction of siloxane groups to form 3D interconnected conductive nanonetwork. A uniform carbon/SiO\(_2\) source interface on the molecular scale is produced by \textit{in situ} sol-gel reaction of PTEPM brushes, which causes the well-dispersion of nano-sized SiO\(_2\) in carbon matrix after carbonization. As a result, avoiding CVD or reduction process of SiO\(_2\), the nanonetworked CNT@SiO\(_2\)-C is successfully prepared with well-dispersed SiO\(_2\) nanodomains. In addition, the PTEPM chain length can be easily controlled by SI-ATRP technology, which finally influences contents of SiO\(_2\) and the morphologies of CNT@SiO\(_2\)-C. When served as anode material for LIBs, the as-synthesized CNT@SiO\(_2\)-C exhibits high reversible capacity, remarkable cycle life and high rate capability due to the high dispersion of SiO\(_2\) and conductive 3D carbon nanonetwork.

2. Experimental

2.1 Materials

Carbon nanotubes (CNT, Shenzhen Nanotech Port Co. Ltd., this article range of diameter of 40–60 nm), methacryloxypropyl triethoxysilane (TEPM, Beijing HWRK Chem Co. Ltd., 98%), copper(I) bromide (CuBr) were purified with acetic acid and ethanol, filtration and drying, and were stored under nitrogen before use. N,N,N',N'',N'''-Pentamethyldiethylenetriamine (PMDETA; TCI, 98%), 2-bromo-2-methylpropionyl bromide (Aladdin, AR), thionyl chloride (SOCl\(_2\), AR), and other chemicals were used as received.

2.2 Preparation of Br-modified CNT

In a typical synthesis, pristine CNT (5.7 g) was added to a mixture of 65% HNO\(_3\) (174 mL) and H\(_2\)O (21 mL). After ultrasonic dispersion for 30 min, the mixture was stirred at 120 °C for 28 h under reflux. After filtering off, washed with water for several times and drying under vacuum 90 °C overnight, carboxyl groups functionalized CNT (CNT-COOH) was obtained. Subsequently, the CNT-COOH was suspended in 60 mL of SOCl\(_2\) and stirred at 70 °C for 24 h to obtain functionalized CNT with carbonyl chloride groups (CNT-COCI). After removing the excess SOCl\(_2\) by vacuum, the CNT-COCI was mixed with 120 mL of anhydrous glycol and stirred at 120 °C for 48 h. Hydroxyl groups functionalized CNT (CNT-OH) was obtained after filtering off, washing with anhydrous tetrahydrofuran (THF) and drying under vacuum overnight. Then, CNT-OH (1.4 g), CHCl\(_3\) (35 mL), 4-dimethylaminopyridine (0.1 g) and triethylamine (1.5 mL) were sealed in a flask in an ice/water bath and flushed with N\(_2\). A solution of 2-bromo-2-methylpropionyl bromide (1.44 mL) dissolved in anhydrous CHCl\(_3\) (15 mL) was added dropwise in 30 min into the flask. The reaction was maintained at 0 °C for 3 h and then at room temperature for 48 h. Finally, Br-modified CNT (CNT-Br) was obtained by filtering off, washing with CHCl\(_3\) and drying in a vacuum oven.

2.3 Preparation of CNT-g-PTEPM

CNT-g-PTEPM was synthesized according to the following recipe: TEMPO/CNT-Br/CuBr/PMDETA = 100/1/5/5 (molar ratio). First, TEPM was sealed in a bottle after flushing with N\(_2\) for 15 min. Then, a Schlenk flask was charged with CNT-Br, CuBr, PMDETA and THF (8 mL) and stirred for 0.5 h under gentle N\(_2\) purge. TEMPO was pulled out with a syringe and injected rapidly into the Schlenk flask. The solution was bubbled with N\(_2\) for another 0.5 h, sealed and settled into a water bath of 50 °C. The reaction was stopped by opening the flask and exposing catalysts to air after different times (24 h is a typical reaction time). Then, the mixture in the flask was added into a large amount of ethanol (~200 mL). After stirring for 15 min, filtering off and washing efficiently with ethanol, CNT-g-PTEPM was obtained.

2.4 Preparation of CNT@SiO\(_2\)-C

Gelation of CNT-g-PTEPM was carried out by adding CNT-g-PTEPM into a mixture of ethanol (30 mL), distilled water (5 mL) and chlorhydric acid (37%, 5 mL). By setting quietly for 1 day, filtered off, washed efficiently with ethanol and drying under vacuum overnight, CNT-g-xPTEPM was obtained. Finally, the as-obtained CNT-g-xPTEPM was annealed at 800 °C for 3 h at a rate of 5 °C min\(^{-1}\) in flowing N\(_2\) in a tube furnace and CNT@SiO\(_2\)-C was obtained.

2.5 Structural characterizations

The nanostructures of the samples were observed by Hitachi S-4800 scanning electron microscope (SEM) and JEOL JEM-1400 Plus transmission electron microscope (TEM). Thermogravimetric analysis (TGA) was performed by using PerkinElmer PE Pyris1 TGA thermogravimetric analyzer. X-ray photoelectron spectroscopy (XPS) measurements were recorded on a DMAX 2200 VPC diffractometer using Cu K radiation (40 kV, 26 mA). X-ray photoelectron spectroscopy (XPS) measurements were carried out with a Thermo SCIENTIFIC ESCALAB 250Xi instrument. Raman spectra were tested with HORIBA JY LabRAM HR Evolution. Fourier-transform infrared (FTIR) measurements of the samples were performed with IR spectroscopy (Bruker TENSOR 27), using KBr disk method.

2.6 Electrochemical characterizations

The electrochemical performance of the samples was measured in 2032 coin cells. Li metal was used as a counter/reference electrode. CNT as contrast sample was purified by HNO\(_3\) solution and washing with water. Working electrodes were made of active materials (80 wt%), super P (10 wt%) and polyvinylidene fluoride (PVDF) (10 wt%) slurry coated onto a copper foil.
substrate. The mass loading of active material on current collector is 0.8–1.2 mg cm$^{-2}$. The electrodes were cut into circular disks with a diameter of 12 mm. Electrolyte was 1 mol L$^{-1}$ LiPF$_6$ in a mixture of ethylene carbonate (EC) and diethyl carbonate (DEC) (1 : 1 wt%). Coin cells were assembled in an argon-filled glove box. Galvanostatic charge/discharge tests were conducted in the potential range from 0.001 to 3 V at different current densities. Electrochemical impedance spectra (EIS) were recorded with an electrochemical station (CHI 660D, Chenhua, Shanghai, China) with a Nyquist plot in a frequency range of 100 kHz and 0.01 Hz.

3. Results and discussion

The fabrication route of CNT@SiO$_x$–C is schematically shown in Fig. 1. CNT-Br was firstly synthesized by reacting 2-bromo-2-methylpropionyl bromide with hydroxyl groups functionalized CNTs (CNT–OH) (Fig. S1†), and the density of Br atoms on the surfaces of the CNT-Br was calculated to be 0.121 mmol g$^{-1}$ by TGA result (Fig. S2†). Then, well-defined building blocks of CNT-g-PTEPM were obtained by grafting PTEPM chains on the surface of CNT. Subsequently, nanonetworked CNT-g-PTEPM with crosslinked side chains were formed via an in situ sol–gel reaction of gelable PTEPM blocks. Due to the flexibility and intertwining of gelable PTEPM, CNT-g-PTEPM presents an obvious 3D crosslinked nanonetwork structure (Fig. 2a), and the xPTEPM layers are clearly seen in TEM images (Fig. S3†). The mass percentage of xPTEPM in the CNT-g-xPTEPM was calculated to be 31.0 wt% according to the TGA curves of xPTEPM and CNT-g-xPTEPM (Fig. S4†). The targeted CNT@SiO$_x$–C was obtained by carbonization of CNT-g-xPTEPM. It can be seen that the 3D nanonetwork morphology of CNT@SiO$_x$–C was kept very well after carbonization (Fig. 2b). As illustrated in the TEM image (Fig. 2c), the nanonetwork unit of CNT@SiO$_x$–C is mainly composed of carbonaceous hybrid nanotube and well-dispersed SiO$_x$ nanodomains. High-resolution TEM image (Fig. S5†) displays the graphitic CNT and the amorphous SiO$_x$–C. Energy-dispersive X-ray spectrometry (EDS) was conducted to evaluate and verify the distribution of major elements (C, Si, O). The TEM EDS-mapping (Fig. 2d) displays the distribution of the C, Si and O elements without any agglomeration, indicating that C, Si and O are in homogeneously distribution. As an anode material of battery, the well dispersed SiO$_x$ in the multi-dimensional nanonetwork structure is propitious to the restraint of volume change during the charge and discharge process and exerting the full play to the potential specific capacity.

Owing to SI-ATRP technology, chain length of PTEPM can be easily controlled by polymerization time, resulting in different content of SiO$_x$. With different polymerization times of 12 h, 24 h and 48 h, CNT-g-xPTEPM and CNT@SiO$_x$/C exhibit different morphologies (Fig. S6†). It is worth to mention that when polymerization time is short (12 h), the gelation among building blocks cannot occur because therafted chains is relative short. While a long polymerization time (48 h) results the CNT@SiO$_x$–C in anastomosing and becoming a bulk. TGA curves (Fig. S7†) show that the remaining weight of the samples increases from 5.3 wt% to 18.2 wt% along with the prolonged polymerization time from 12 h to 48 h. These results are consistent with the SEM images.

XRD was carried out to examine the crystalline structure of the CNT@SiO$_x$–C. As shown in Fig. 3a, the (0 0 2) peak at 26°, the (1 0 0) peak at 42.4°, the (0 0 4) peak at 54.2° and the (1 1 0) peak at 77.7° are obviously seen in XRD patterns corresponding to CNT, which indicates their high graphitic characteristic and intrinsically good electronic conductivity because of the carbonaceous hybrid nanotube networks. No clear diffraction peak corresponding to crystal SiO$_x$ can be observed, indicating that SiO$_x$ possesses amorphous structure. FT-IR spectra were taken in the spectra range 2500 to 500 cm$^{-1}$ (Fig. 3b). The peak at 1090 cm$^{-1}$ was assigned to Si–O–Si asymmetrical stretching bond. Moreover, the property of carbon is also confirmed by Raman spectroscopy with a Lorentzian fit multipeaks analysis (Fig. 3c), showing the characteristic peaks at 1578, 1523 and 1323 cm$^{-1}$, which are denoted as G (graphitic) peak, A (amorphous) peak and D (disordered) peak, respectively. The ratio ($I_D/I_G$) implies the degree of graphitization, which also indicates the electronic conductivity of materials. The calculated $I_D/I_G$ ratio value for CNT@SiO$_x$–C is 2.0, suggesting CNT@SiO$_x$–C possess...
good electronic conductivity. Meanwhile, XPS spectra were applied to clarify the chemical properties of Si and C. As observed in Fig. 3d, the Si XPS signal shows two main peaks at 154.2 eV and 103.5 eV corresponding to Si 2s and Si 2p. The high-resolution XPS spectra of Si 2p can be deconvoluted into two peaks at 103.3 eV and 103.8 eV, which corresponds to Si$^{3+}$ and Si$^{4+}$, respectively (Fig. 3e). Accordingly, the ratio value of Si($^{3+}$/Si($^{4+}$) is determined to be 1.1 : 1. The peaks of C 1s at 284.6, 285.1 and 286.2 eV are from carbon substrate (Fig. 3f).

The synthesized CNT@SiO$_x$–C are used as anode materials for lithium ion batteries and their electrochemical performance are evaluated using 2032 coin cells with Li metal as the counter electrode. Here, to explore the mechanism of electrochemical reaction, the cyclic voltammogram (CV) of CNT@SiO$_x$–C is performed at a scan rate of 0.5 mV s$^{-1}$ in the voltage range of 0.001–3 V (Fig. 4a). Several reactions happen during the first cathodic process, such as the formation of SEI film, the conversion of SiO$_x$ to lithium silicates, Si, and Li$_2$O (eqn (a)–(c)). As reported in the literature, the cascade of reactions between SiO$_x$ and Li$^+$ is generally understood to be:

$$\text{SiO}_x + 2x\text{Li}^+ + 2xe^- \rightarrow x\text{Li}_2\text{O} + \text{Si}$$  
$$\text{SiO}_x + x\text{Li}^+ + xe^- \rightarrow 0.25x\text{Li}_4\text{SiO}_4 + (1 - 0.25x)\text{Si}$$  
$$\text{SiO}_x + 0.4x\text{Li}^+ + 0.4xe^- \rightarrow 0.2x\text{Li}_2\text{Si}_2\text{O}_5 + (1 - 0.4x)\text{Si}$$  
$$\text{Si} + x\text{Li}^+ + xe^- \leftrightarrow \text{Li}_x\text{Si}$$

According to above reactions, the small cathodic peak at $\sim$1.30 V in the first cycle can be ascribed to the irreversible electrochemical reduction of SiO$_x$ to Si and the formation of Li$_2$O or Li$_4$SiO$_4$. The broad cathodic peaks at $\sim$0.91 and $\sim$0.65 V are assigned to electrolyte decomposition and the formation of solid electrolyte interface (SEI) layer. Most of the reversible capacity is attributed by the reversible reaction between Li and...
Si or carbon (eqn (d)), which is associated to the cathodic peak at ~0.01 V and anodic peak at ~0.20 V. The second and third CV curves become nearly overlapped, demonstrating to the stable and reversible alloying/de-alloying reaction between Si and Li$_2$Si (eqn (d)). SiO$_2$C displays the similar peaks at the 1st cycle but the cathodic peak assigned to electrolyte decompositon and the formation of SEI layer locates at ~0.77 and ~0.55 V. The 1st cycle of CNT depicts no obvious peak as CNT@SiO$_2$C due to the lack of SiO$_2$(Fig. S8†).

The charge/discharge voltage profiles of the CNT@SiO$_2$C electrode for the 1st, 5th, 10th, 70th and 150th circles at a constant current density of 0.2 A g$^{-1}$ in the potential range of 0.001–3 V versus Li/Li$^+$ are shown in Fig. 4b. The initial discharge capacity of 1307 mA h g$^{-1}$ and a charge capacity of 728 mA h g$^{-1}$, results in an initial coulombic efficiency of approximately 55.7%. The relatively high irreversible capacity (579 mA h g$^{-1}$) during the first cycle is attributed to the formation of a SEI layer and irreversible electrochemical reaction between Li$^+$ and SiO$_2$ component as shown in Fig. 4a. In the subsequent charge/discharge process, a stable discharge capacity is performed with a much higher coulombic efficiency than the first cycle. The cycling performance of CNT@SiO$_2$C is depicted in Fig. 4c with synthesized SiO$_2$C (pure xPTEPM after carbonization) and pure CNT as contrast samples. A low average reversible capacity of 364 mA h g$^{-1}$ is achieved in CNT due to the lack of functional composition with high theory capacity. Compared to CNT@SiO$_2$C, SiO$_2$C exhibits a relatively lower initial discharge capacity of 827 mA h g$^{-1}$ and initial coulombic efficiency of 51.8%, even the content of SiO$_2$ for SiO$_2$C is much more than that for CNT@SiO$_2$C (Fig. S9†). The discharge capacity decreases dramatically from 470 mA h g$^{-1}$ at the 2nd cycle to 145 mA h g$^{-1}$ at the 150th cycle with a low capacity retention of 30.8%. On the contrast, a high initial discharge capacity of 1307 mA h g$^{-1}$ is exhibited for CNT@SiO$_2$C with an initial coulombic efficiency of 55.7% and a slight decay from 782 mA h g$^{-1}$ at the 2nd cycle to 631 mA h g$^{-1}$ at 150th cycle, indicating a higher capacity retention of 80.7%. The low coulombic efficiency in the first cycle recovers to ~96% after few cycles. The nanotextured structure of CNT@SiO$_2$C electrode remains after cycling without any falling off of SiO$_2$ or Si powder while the rough after cycling is generally caused by formation of SEI layer (Fig. S11†).

At a higher current density of 1 A g$^{-1}$, CNT@SiO$_2$C still exhibits an outstanding long-cycling performance (Fig. S12†), CNT@SiO$_2$C displays a lower initial capacity (805.5 mA h g$^{-1}$) at 1 A g$^{-1}$ than 0.2 A g$^{-1}$ but the capacity still keeps stable at ~509 mA h g$^{-1}$ with a high coulombic efficiency of 97.6% after 200 cycles. The rate performance under various current densities was further evaluated (Fig. 4d). The specific capacities of CNT@SiO$_2$C are calculated to be around 637, 527, 456, 311, 269 and 655 mA h g$^{-1}$, at current densities of 0.2, 0.5, 1.0, 2.0, 5.0 and 0.2 A g$^{-1}$, respectively, indicating that CNT@SiO$_2$C has better rate performance than CNT and SiO$_2$C. Electrochemical performance of CNT@SiO$_2$C anode in high-energy rechargeable lithium battery reported by different research groups are compared in ESI Table 1†. CNT@SiO$_2$C exhibits high initial discharge capacities and reversible capacities at both 0.2 and 1 A g$^{-1}$. The electrode displays good cycling performance even with a relatively low content of SiO$_2$ due to the well-designed structure.

Electrochemical impedance spectroscopy (EIS) was conducted in a frequency range of 100 kHz and 0.01 Hz at open circuit voltage after complete cycling to understand the mechanism of enhanced electrochemical performance of CNT@SiO$_2$C (Fig. 4). Depressed semicircles in high-to-medium frequency region are exhibited in Nyquist plots from the 1st to 50th cycles (Fig. 4e), revealing the charge-transfer resistance through the electrode/electrolyte interface. It is noted that the charge-transfer resistance of CNT@SiO$_2$C electrode becomes smaller with increasing cycles, which is probably caused by the formation of Si nanoparticles and stable SEI layer during cycling. Obviously, CNT@SiO$_2$C electrode exhibits much lower charge-transfer impedances than SiO$_2$C electrode after different cycles (Fig. 4f and S13†). The charge-transfer impedances of CNT electrode are lower than those of CNT@SiO$_2$C electrode, which may be caused by the lack of SiO$_2$C matrix. These results suggest that CNT matrix plays a significant role in boosting electronic conductivity.

In order to study the influence of PTEPM polymerization time in the synthesis of CNT@SiO$_2$C on LIBs performance,
cycling and rate performance tests were carried out (Fig. S14†). Experimental results indicate that the SiOx contents of CNT@SiOx–C increase with prolonging polymerization time. However, both cycling and rate performance of CNT@SiOx–C do not change along with the increase of SiOx content. When polymerization time is set in 24 h (the percentage of SiOx is about 10.0% in this condition), CNT@SiOx–C has the best electrochemical performance. Furthermore, 3D nanonetworked structure has a great influence on insertion and rapid diffusion of Li ions. Too short or too long polymerization time is disadvantageous to the construction of 3D nanonetworked structure and causes the degradation of electrochemical performance.

These results reveal 3D carbonaceous hybrid nanotube with well-dispersed nano-sized SiOx nanodomains in CNT@SiOx–C is favorable anode material for lithium ion batteries. Carbonaceous hybrid nanotube can prevent the severe volume changes of SiOx during cycling and provide better electronic conductivity. In addition, 3D nanonetworked structure allows rapid diffusion of Li ions and better contact between electrolyte and nanosizing SiOx, resulting in better electrochemical performance during charge/discharge cycling.

4. Conclusions

In summary, CNT@SiOx–C, a facile approach for the preparation of 3D carbon networks with well-dispersed SiOx nanodomains from gelable building blocks as an anode for LIBs was developed. As anode material for LIBs, the as-synthesized CNT@SiOx–C exhibits an initial discharge capacity of 1307 mA h g⁻¹ and a reversible capacity of 631 mA h g⁻¹ with good cycling stability and rate capability. The good performance of the CNT@SiOx–C in LIBs is due to the well-distributed nano-sized SiOx particles which are embedded into the carbonaceous matrix to prevent their volume change during cycling. Furthermore, the networked structure with CNT core improves the electronic conductivity. The results suggest that this strategy can be employed to construct high-performance SiOx/C composite anode material in LIBs.

Conflicts of interest

There are no conflicts to declare.

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