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# Surface modification and direct plasma amination of L605 CoCr alloys: on the optimization of the oxide layer for application in cardiovascular implants

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Stents are cardiovascular devices used to treat atherosclerosis, and are deployed into narrowed arteries and implanted by expansion to reopen the biological lumen. Nevertheless, complications after implantation are still observed in 10–14% of the implantations. Therefore, functionalizing these devices with active molecules to improve the interfacial effects with the surrounding tissue strongly impacts their success. A plasma-based procedure to directly graft biomolecules to the surface of cobalt chromium alloys, without any polymeric coating, has been recently reported. Assuring the stability of the coating during plastic deformation generated during the implantation whilst avoiding the corrosion of the surface is crucial. This study explores different surface treatments to be used as a pre-treatment for this novel procedure. The effects of (i) electropolishing, (ii) thermal treatments, and (iii) the plasma immersion ion implantation of oxygen on the chemical composition, roughness, wettability and efficiency during the plasma-amination procedure whilst avoiding cracks after deformation, thus maintaining corrosion resistant behaviour, were investigated by XPS, AFM, ToF-SIMS imaging and depth profile, and WCA. Furthermore, the hemocompatibility of the surface and cell viability assays were also performed. Results showed that all of the treatments created a different surface chemical composition: EP mainly of chromium oxide, PIII with a layer of cobalt oxide and TT with a mixture of oxides, as observed by XPS and ToF-SIMS. Moreover, EP was the process that generated a surface with the highest efficiency to amination and the most corrosion resistance among the treatments, and it appeared as the most suitable pre-treatment for stent functionalization.

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## 1 Introduction

Atherosclerosis is a cardiovascular disease characterized by the formation of a plaque that narrows the arterial walls, leading to thrombus formation and ultimately heart attacks.<sup>1</sup> Once it has progressed to the late stages, this disease is surgically treated by the implantation of a stent which is a metallic wire mesh. This device is introduced with a catheter to the narrowed zone and is expanded up to 25% deformation to reopen the arterial flow, deploying the device whilst avoiding the collapse of the artery.<sup>2,3</sup> Among the materials used for bare metal stents (BMS), there is cobalt chromium L605 alloy, which has interesting mechanical properties and is covered with a passive oxide layer that protects the surface from potential corrosion. Furthermore, this alloy

allows for the fabrication of thinner devices with smaller struts that have been correlated to lower clinical post-implantation adverse effects.<sup>4</sup> Nevertheless, complications with BMS remain, for example in-stent restenosis, thrombus formation, and endothelium damage still occur due to the invasive procedures of stent deployment.<sup>5</sup> Although, drug eluting stents (DES) have been developed to counter these complications by releasing drugs from a polymeric coating (deposited on the surface). Nonetheless, cracks and delamination of this coating have been observed due to the lack of adhesion and cohesion between the surface and the coating.<sup>6,7</sup> This finding can be related to the complex geometry of the stents and the deposition techniques of the polymeric layer (dip coating, spray coating, layer by layer coating, *etc.*) that can not assure coating stability during the deployment of the device.<sup>8</sup>

Therefore, a novel procedure that allows for the direct covalent grafting of active molecules without any intermediate layer to enhance the biological performance of cardiovascular devices has been developed. Briefly, this procedure focuses on direct functionalization with primary amine groups ( $-\text{NH}_2$ ),

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known for their high reactivity,<sup>9</sup> on the surface of the L605 alloys that act as anchor points for the further grafting of molecules of interest with free carboxylic groups in their structure (–COOH). Assuring the stability and the properties of the surface (oxide layer) thus appears crucial for the application of this strategy for cardiovascular devices. This oxide layer must resist deformation related to the deployment of the device, and must be corrosion resistant to avoid the release of toxic ions in the blood stream, whilst being biocompatible.<sup>6,10,11</sup> Among the different strategies to modify the oxide layers and the finishing of metallic substrates, procedures can be divided into mechanical treatments, thermal treatments, ion implantation and chemical treatments.<sup>12</sup> All of these treatments can modulate properties such as chemical composition, surface roughness, corrosion and deformation resistance, wettability, blood compatibility and cytotoxicity.

Although all of these treatments can modify the surface of metallic substrates, regarding the stent applications mechanical treatments (mechanical polishing for example) are not suitable due to the complex geometry of the devices. Therefore, thermal treatments, ion implantation and chemical treatments represent suitable modification procedures. For instance, thermal treatments in a controlled atmosphere can be used to achieve homogeneous changes in the chemical and structural composition.<sup>12,13</sup> In regards to ion implantation processes, such as plasma immersion ion implantation (PIII), these can produce changes both on the surface and in the internal structure, producing an amorphous oxide layer, due to the acceleration of ions into the metallic substrate.<sup>11,14–16</sup> Finally, chemical treatments, for example electropolishing, allow for the removal of any surface contaminant and the passivation of the surface whilst obtaining a nano-smooth surface with a mirror like finish.<sup>7,12,17</sup>

This study focuses on the surface preparation step of L605 alloys for their direct plasma amination. Three different surface treatments on L605 CoCr alloys to modify the oxide layer are proposed: electropolishing (EP), as a base treatment, followed by either thermal treatment (TT) or the PIII of oxygen. The specimens were thoroughly characterized by X-ray Photoelectron Spectroscopy (XPS), Time of Flight-Secondary Ion Mass Spectrometry (ToF-SIMS), Atomic Force Microscopy (AFM), Scanning Electron Microscopy (SEM) and Water Contact Angle (WCA) to study their chemical composition, topography and wettability. Furthermore, the influence of these properties on the plasma amination efficiency of the surface was assessed. The deformation resistance and the corrosion behaviour were also determined along with the biological performance of the surface (hemocompatibility and short-term cellular response). These biological properties were selected to study the potential effect of the device on wound healing of the endothelium and thrombus formation.

## 2 Materials and methods

### 2.1 Materials

L605 alloy sheets (nominal composition wt%: Co 51%, Cr 20%, W 15%, Ni 10%, Fe ≤ 3%) were purchased from Rolled

Alloys Inc. (QC, Canada). Acetone and methanol (ACS grade, respectively) were purchased from Fisher Scientific (NJ, USA). Phosphoric acid (85%), sulfuric acid (ACS grade), and hydrofluoric acid (48%) were purchased from Laboratoire MAT (QC, Canada). From Sigma-Aldrich, the following reagents were purchased: sodium hydroxide (≥97%, pellets), calcium chloride (99.99%) and 5-bromosalicylaldehyde (98%). PBS (phosphate buffered solution, 1× powder) was purchased from Fisher Scientific.

### 2.2 Sample preparation

**2.2.1 Sample cleaning.** To remove impurities, round 12.7 mm diameter specimens cut from the L605 alloy sheets were first cleaned in three successive 10 min ultrasonic baths of acetone, nanopure water (18.2 MΩ cm at 25 °C), and methanol. Samples were air-dried after each cleaning and stored under vacuum until further use.

**2.2.2 Electropolishing.** All samples underwent a three-cycle electropolishing procedure; each cycle was performed for 2 minutes with a fixed current density of 2.4 A cm<sup>-2</sup> in a solution containing 67 vol% phosphoric acid, 20 vol% sulfuric acid, 10 vol% nanopure water and 3 vol% hydrofluoric acid at 10 °C in an ice bath with a round L605 specimen as the cathode. After electropolishing, samples were cleaned in three ultrasonic baths for 10 min each using nanopure water, sodium hydroxide 2 N and nanopure water, respectively.

**2.2.3 Surface treatments.** To modify the composition of the oxide layer and to study its effect on the direct plasma amination, EP samples were further treated by two different surface modifications: thermal treatment and the PIII of oxygen. For the thermal treatments, samples were placed in an air furnace at atmospheric pressure at three different temperatures and for two different times: 400, 500 and 600 °C for one or two hours. On the other hand, samples modified by the PIII of oxygen were implanted using a Plasmionique Inc. (QC, Canada) reactor with a gas flow of 10 sccm of O<sub>2</sub>, a pulse of 50 μs, a frequency of 100 Hz at 5 mTorr, and 300 W of power; for 60 min at –10 kV, –1 kV or –0.1 kV as bias; or for 15 min and 30 min at –10 kV bias.

### 2.3 Stability tests

**2.3.1 Deformation test.** Treated samples were deformed up to 25% using a custom-made small punch test device, mounted on a SATEC T20000 testing machine (Instron, Norwood, USA), which has already been described elsewhere.<sup>18</sup> Deformations were performed at room temperature with a displacement rate of 0.05 mm s<sup>-1</sup> with a maximal load of 2800 N, adapted for L605, and were further characterized.

**2.3.2 Corrosion test.** Corrosion tests were carried out using a standard 3 electrode electrochemical set up using large carbon electrodes as the counter electrodes and a saturated calomel electrode as the reference in a 1 L corrosion cell. The selected solution was PBS at 37 ± 1 °C under mechanical stirring. Open circuit potential analyses were performed for one hour followed by potentiodynamic analyses. Corrosion rate calculations were performed following the ASTM G102-89, as previously



described.<sup>19</sup> Briefly the corrosion rate was calculated based on Faradays law (eqn (1)).

$$\text{Corrosion rate} = 0.003272 I_{\text{corr}} \frac{E_{\text{W}}}{d} \quad (1)$$

where 0.003272 is the conversion factor (mm per  $\mu\text{A}$  per year),  $I_{\text{corr}}$  is the corrosion current density, expressed in  $\mu\text{A cm}^{-2}$ ,  $E_{\text{W}}$  is the equivalent weight of the alloy (26 g) and  $d$  is the density of the L605 alloy ( $9.134 \text{ g cm}^{-3}$ ). Measurements were performed with a Model K47 Corrosion Cell system and a Versa-STAT 3 Potentiostat controlled *via* Versa-Studio software (AMETEK Princeton Applied Research, TN, USA).

## 2.4 Plasma functionalization

**2.4.1 Plasma amination.** Direct plasma amination was performed in microwave plasma (Plasmionique Inc., QC, Canada) through a two-step procedure: the first step used a mixture of  $\text{N}_2$  (grade 4.8, Linde, QC, Canada) and  $\text{H}_2$  (grade 5.0, Linde, QC, Canada) as feeding gases at 150 W for 10 min at 100 mTorr with a 5/5 sccm flow.<sup>9</sup> The second step was carried out at 150 W for 30 s at 300 mTorr with a 10 sccm flow of hydrogen. This short plasma treatment using hydrogen was performed to promote the formation of amine groups and reduce the presence of other nitrogen species.<sup>20</sup> During both steps, the samples were placed in the after-glow ( $\sim 7$  cm). Amination efficiency was assessed by chemical derivatization with 5-bromosalicylaldehyde as described by Chevallier *et al.*<sup>21</sup> immediately after the plasma treatments. Briefly, the vapor-phase reaction took place in a sealed glass tube at  $40^\circ \text{C}$  for 2 h to complete the derivatization. This molecule was selected due to its specific selectivity to primary amine groups.

## 2.5 Biological tests

**2.5.1 Cell viability.** Human umbilical vein endothelial cells (HUVEC) were isolated from an umbilical cord with the previous consent of donor mothers as previously described.<sup>22</sup> Cells from third to sixth passages were used to evaluate the interaction with the surfaces. 40 000 cells in M199 (Thermo Fisher, 11150-067) culture media containing serum and penicillin-streptomycin (Gibco, 15140-122) were deposited on surfaces and incubated at  $37^\circ \text{C}$ . After adhesion for 24 h, cells were rinsed with PBS in order to eliminate all remaining culture medium. 300  $\mu\text{L}$  of solution of resazurin in the culture medium (1 : 10) were then added to the samples and allowed to react for 4 h. 150  $\mu\text{L}$  were taken from each sample and the fluorescence was measured at 570 nm using a spectrophotometer ELISA reader (BioRad mod.450, ON, Canada).

**2.5.2 Haemolysis rate.** In order to study the hemocompatibility of the different surfaces, a hemoglobin free methodology was assessed.<sup>23</sup> Briefly, 120  $\mu\text{L}$  of recalcified citrated blood were immediately dropped onto the surfaces. Samples were incubated at  $37^\circ \text{C}$  and after 20 min, 2 mL of distilled water were added to each surface. Red blood cells not entrapped in a thrombus were hemolyzed. Free hemoglobin molecules were measured using a spectrophotometer ELISA reader at 540 nm. The test was performed 3 independent times with 2 samples per

condition each time. Blood from different donors was used for each experiment.

## 2.6 Surface characterization

**2.6.1 X-ray photoelectron spectroscopy (XPS).** The chemical composition of the different surfaces was assessed by XPS (depth analysis of  $\sim 5$  nm). The analyses were carried out using an X-ray Photoelectron Spectrometer (XPS-PHI 5600-ci Spectrometer-Physical Electronics, MN, USA). Survey spectra (0–1400 eV) were acquired with a standard aluminium X-ray source (1486.6 eV) at 300 W. Charge neutralization was not applied for the analyses. The detection was performed at  $45^\circ$  with respect to the surface normal and the analyzed area was  $0.005 \text{ cm}^2$ .

**2.6.2 Time of flight secondary ion mass spectroscopy (ToF-SIMS).** All analyses were performed using a ToF-SIMS IV spectrometer (ION-TOF GmbH, Münster Germany) with, for the surface spectra and images, the following operating conditions: a pulsed 25 keV  $\text{Bi}_3^+$  ion beam (current = 0.3–0.4 pA; pulse width = 20 ns; pulse width after bunching = 1.0 ns; repetition rate = 10 kHz) was rastered during an acquisition time of 100 s over a  $250 \times 250 \mu\text{m}^2$  area. The total ion fluence was kept under  $10^{12}$  ions per  $\text{cm}^2$  in order to guarantee static conditions. The secondary ions were extracted at a 2 kV acceleration voltage. Positive and negative spectra were calibrated to the  $\text{CH}_3^+$ ,  $\text{C}_3\text{H}_3^+$  and  $\text{CrOH}^+$  peaks and to the  $\text{C}_2^-$ ,  $\text{CrO}^-$  and  $\text{CoO}_2^-$  peaks, respectively. ToF-SIMS spectra were acquired from 0 to 880  $m/z$ .

During ToF-SIMS depth profiling, the analysis beam (as previously described) and the erosion beam ( $\text{Cs}^+$  at 500 eV, current: 40–45 nA), rastered over a  $500 \times 500 \mu\text{m}^2$  area to avoid border effects, were operated in non-interlaced mode with one analysis frame (1.6384 s) and 5 s erosion per cycle, both with a  $45^\circ$  incidence angle to the sample surface.

**2.6.3 Scanning electron microscopy.** The sample surfaces were imaged by SEM using a FEI Quanta 250 (FEI Company Inc. Thermo-Fisher Scientific, OR, USA), with a tungsten filament and an acceleration voltage in the range of 10–30 kV in secondary electron mode.

**2.6.4 Atomic force microscopy.** Surface texture investigations were performed using the tapping mode on a Dimensions™ 3100 Atomic Force Microscope (Digital Instruments/Veeco, NY, USA) with an etched silicon tip (model NCHV, tip radius = 10 nm, Bruker). Areas of  $20 \times 20 \mu\text{m}^2$  were recorded and analyzed using the NanoScope Analysis software (Bruker). Surface roughness was assessed with NanoScope Analysis (Bruker Corporation, MA, USA) and reported as the root mean square roughness ( $R_q$ ).

**2.6.5 Water contact angle.** Surface wettability was assessed by water contact angle measurements with a video contact angle system VCA-2500 XE™ (AST products Inc., MA, USA) in static mode before and after plasma functionalization. A 1  $\mu\text{L}$  droplet of nanopure water was used.

**2.6.6 Statistical analysis.** For XPS, WCA, hemoglobin free, cell viability and corrosion tests, the data were represented as the mean  $\pm$  standard deviation of 9 measurements, corresponding to 3 independent experiments with each condition in triplicate. For all tests, significant differences were determined



by running a one-way ANOVA followed by Tukeys *post-hoc* method to test all possible pairwise comparisons and to determine where the differences lied. A *p*-value < 0.05 was considered significant (\*).

### 3 Results

#### 3.1 Selection of conditions

Due to the importance of the mechanical stability of the oxide layer during the deployment of a stent, a deformation test was performed in order to evaluate the resistance of the different treatments. As observed in Fig. 1, conditions such as 600 °C for 1 h for TT and in the case of PIII, –10 kV for 1 h, presented cracks and peelings on their oxide layer. Therefore, such conditions were discarded. From the remaining conditions, only one for each treatment was selected for the complete study. The selected conditions were EP as a base treatment, TT at 400 °C for 1 h and PIII –0.1 kV for 1 h.

#### 3.2 Surface treatments

The chemical composition of the oxide layers, assessed by XPS survey analyses, shown in Fig. 2a, showed that the first few nanometers of the oxide layer of the EP sample surface were composed mainly of chromium (%Cr =  $4.8 \pm 0.2$ ); on the contrary, the PIII sample surface was composed of cobalt (%Co =  $13.5 \pm 0.7\%$ ); finally, the TT sample surfaces showed the presence of both cobalt and chromium oxides (%Cr =  $1.5 \pm 0.2$ , %Co =  $12.1 \pm 0.5$ ) with traces of other metals such as nickel. Moreover, the distribution of  $\text{CrO}_2^-$  and  $\text{CoO}_2^-$  ions was studied for each of the metallic oxides by ToF-SIMS imaging in negative mode (Fig. 2b). This confirmed what was observed in the XPS survey results, where it was found that the composition of the outmost layers of EP, TT and PIII follows the same trend. ToF-SIMS depth profile analyses were also performed to obtain information about the depth distribution of the different elements of the oxide layers. Fig. 3 shows the obtained profiles where it was found that the EP oxide was the thinnest of them all (~50 s of sputtering) and is composed mainly of chromium oxide. PIII on the other side, has a thicker oxide layer that is composed of a topmost cobalt oxide layer on a chromium oxide sublayer (~150 s and ~350 s of sputtering, respectively). Finally, TT has a thick oxide layer composed of both cobalt and chromium oxides on the topmost layer of the surface (~600 s of sputtering). It is worth noting that SRIM simulations have been performed to estimate the sputter yields of chromium and cobalt oxides under Cs bombardment and that their similar values (2.4 and 2.6 atoms per ion, respectively) allowed us to safely compare the sputter times between the profiles and equate them with depths.

The morphology of the substrate surface was evaluated through AFM (Fig. 4). The presence of grain boundaries can be observed on the EP surface, but not as visibly as on the TT surface, whereas on PIII, grain boundaries are not clearly present. Regarding the surface roughness,  $R_q$ , it was found that the smoothest surface among the different treatments was EP and that after surface modification this value increased significantly on both TT and PIII.

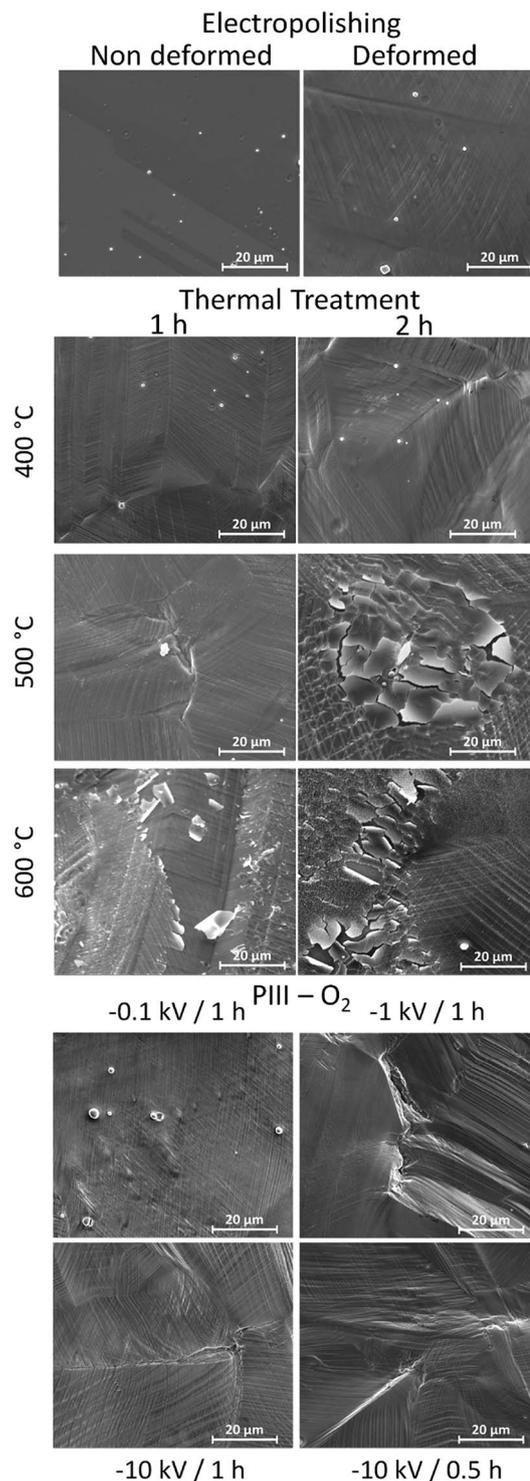


Fig. 1 SEM images of the surfaces after small punch tests to simulate deformation up to 25%. Cracks and delamination were observed under some TT and PIII conditions. Selected conditions for the further steps of the study were EP, as a base surface, 400 °C for 1 h for TT and –0.1 kV for 1 h for PIII. Magnification of 2000 $\times$  was used for all of the images. TT and PIII images were all obtained after deformation.

To investigate the corrosion behaviour of the different surface treatments, OCP and potentiodynamic polarization analyses were performed. Regarding OCP (Fig. 5a) it was found



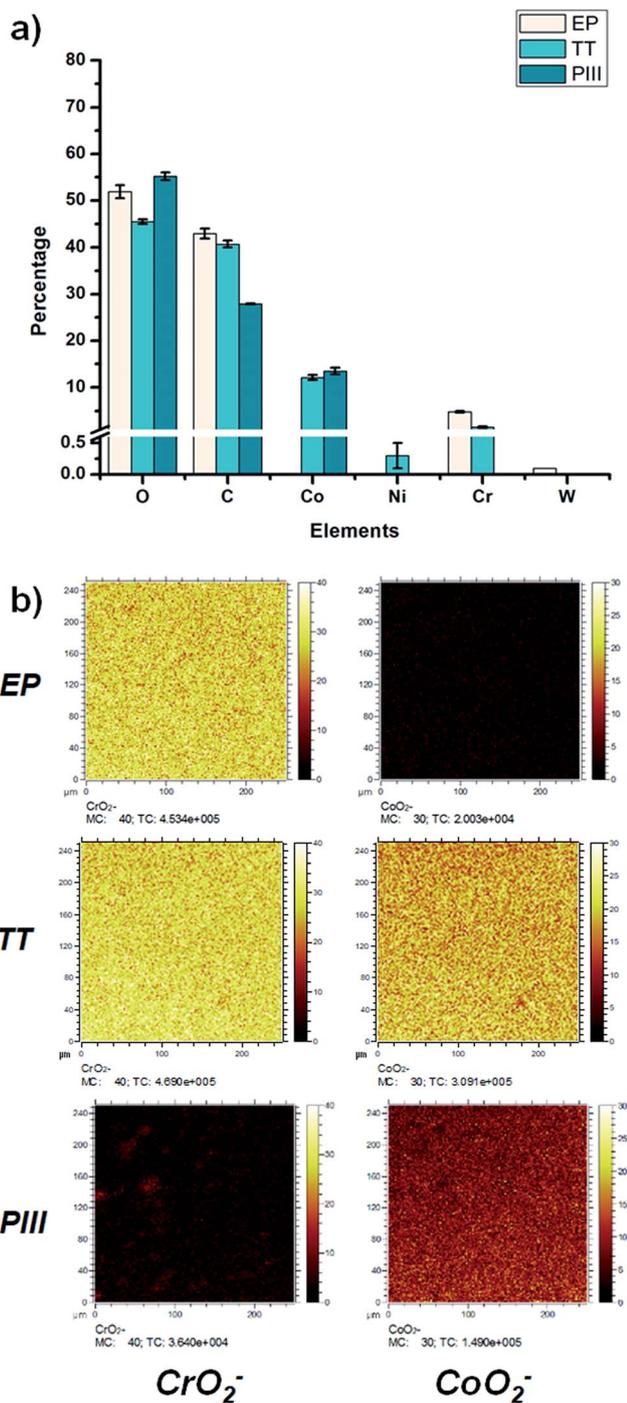


Fig. 2 Chemical composition of the studied surfaces. (a) XPS survey analyses and (b) ToF-SIMS imaging mode of the surface of the specific metallic oxide fragments for chromium  $\text{CrO}_2^-$  (84  $m/z$ ) and for cobalt  $\text{CoO}_2^-$  (91  $m/z$ ).

that TT, composed of a mixture of chromium and cobalt oxides, had the lowest open circuit potential, had the most reactive oxide layer and was the most susceptible to corrosion, compared to the other surfaces, whilst PIII was the one with the most positive potential and had the least reactive oxide layer. Potentiodynamic curves (Fig. 5b) allowed for the calculation of

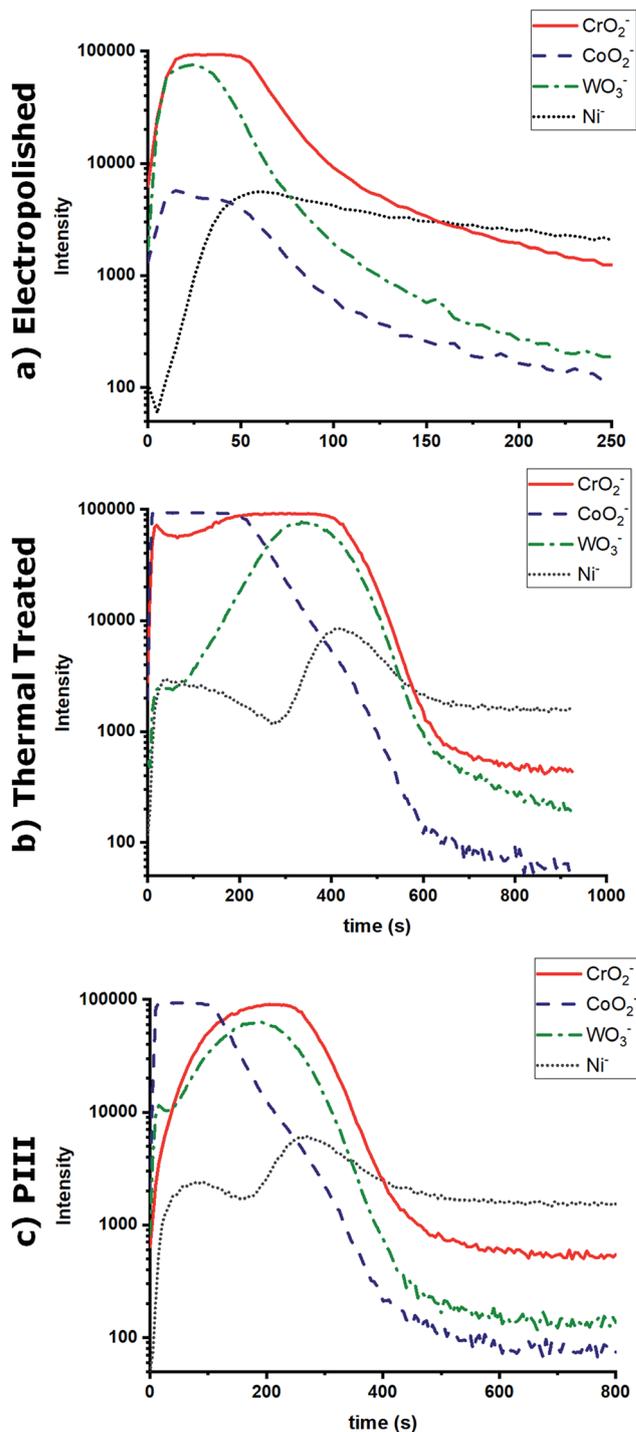


Fig. 3 ToF-SIMS depth profile of surface treatments. (a) EP, thin layer composed mainly of chromium oxides, (b) TT, thicker layer composed of both oxides on the same depth, and (c) PIII, two layers, first cobalt oxides then chromium oxide.

the corrosion rate where it was found that, as observed in the OCP curves, TT was the surface treatment with the highest corrosion rate, followed by PIII and finally EP with a  $\sim 20\times$  decrease compared to TT. These values and a summary of the results are shown in Fig. 5c.



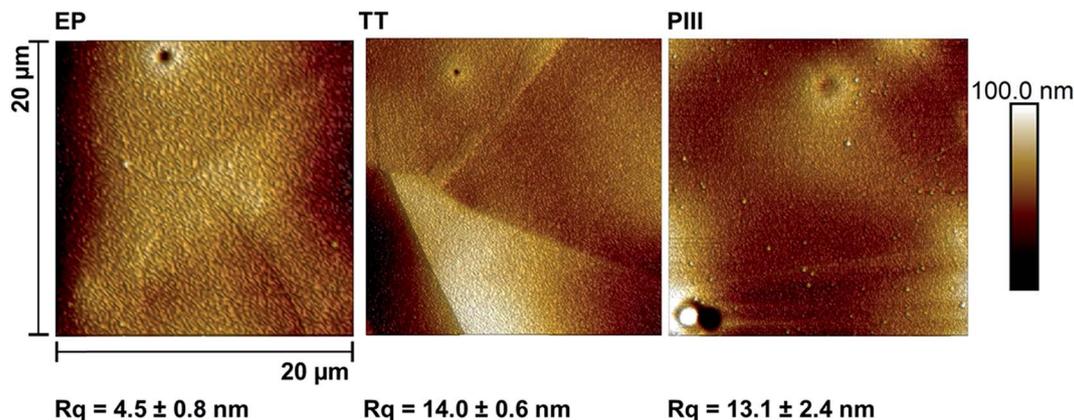


Fig. 4 AFM images ( $20 \times 20 \mu\text{m}^2$ , height mode) of the different samples with their respective roughness values. It can be observed that EP is significantly smoother than the other two surface treatments. TT and PIII present no significant difference among them.

### 3.3 Plasma functionalization

After the complete characterization of the different oxide surfaces, direct plasma amination was carried out. For the characterization of the surface, chemical derivatization was performed in order to confirm the presence of the amine groups.<sup>21</sup> XPS survey analysis results show that on the TT and PIII surfaces there was a significantly higher percentage of nitrogen on the surface compared to EP, as shown in Fig. 6a. Moreover, there was a significant difference in the percentage of  $\text{NH}_2$  on EP compared with the other two surface treatments, but not between TT and PIII. Finally, the efficiency of the functionalization ( $\%\text{NH}_2/\text{N}$ ) was assessed. This was calculated from the percentage of amine groups deduced from chemical derivatization ( $\%\text{NH}_2$ ) and the percentage of nitrogen on the surface after plasma treatment. It was found that EP was the one with the highest efficiency, close to 15%, compared to the other surface treatments, around 9%, as observed in Fig. 6a.

Due to the present debate for the use of chemical derivatization as a valid quantification method for primary amine groups, supplementary surface characterization was performed.<sup>24</sup> Complementary results obtained from ToF-SIMS static mode analyses for the  $\text{NH}_2^+$  fragment confirmed that EP has a higher relative concentration of this fragment, followed by PIII and then TT with the lowest signal, as observed in Fig. 6b. Another characterization technique that was performed was contact angle, where it was found that the wettability of all surface treatments changed from a relatively hydrophobic behaviour to a more hydrophilic surface after plasma amination, as observed in Fig. 7.

### 3.4 Biological performance

Further characterization of the surfaces was performed in order to evaluate the biological performance of the different conditions. The viability of HUVEC was studied after 24 h of contact with the samples. As observed in Fig. 8a, significantly higher cell

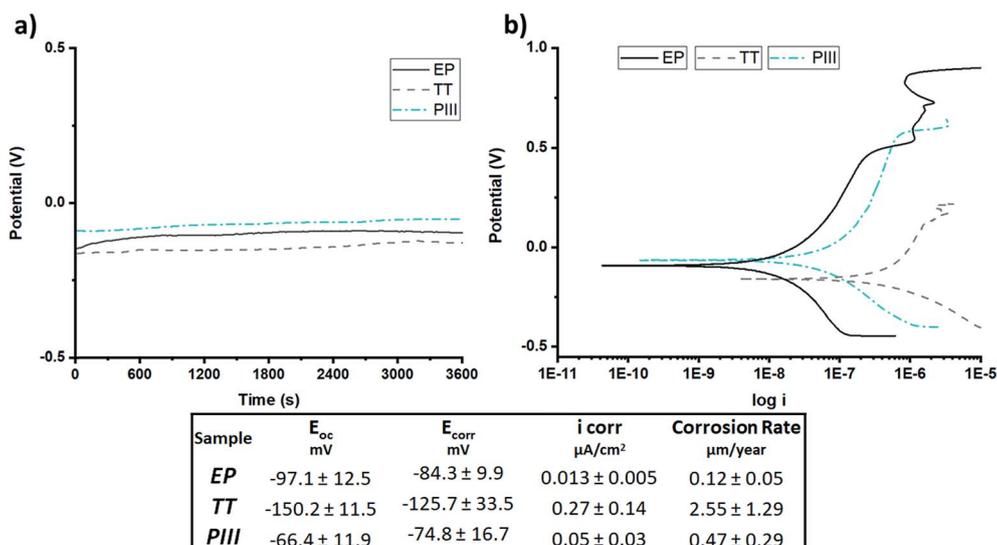


Fig. 5 Corrosion rate of the surface treatments. It was found that EP is the most corrosion resistant, followed by PIII and finally TT, the least resistant. Potentiodynamic tests were performed in PBS at  $37^\circ\text{C}$ .



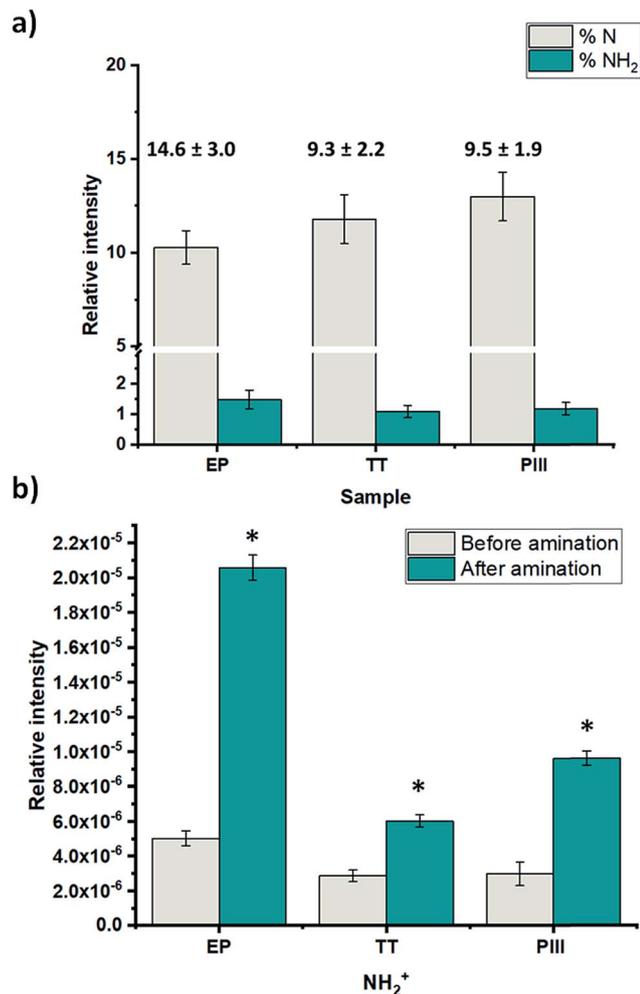


Fig. 6 Nitrogen and amine quantification after plasma treatment of the surfaces. (a) Percentage of nitrogen and percentage of amine groups after chemical derivatization, obtained by XPS survey analyses with the amination efficiency on top of each sample % (NH<sub>2</sub>/N). (b) Comparison of the presence of the NH<sub>2</sub><sup>+</sup> fragment on the different surfaces, before and after amination, performed by ToF-SIMS where EP showed the highest presence of the fragment compared to the other surfaces, which was similar to results obtained after XPS analyses. It should be noted that the intensity of the fragments was normalized by the complete total signal in order to be able to compare the results. Significant differences were determined by running a one-way ANOVA followed by Tukeys *post-hoc* method. A *p*-value < 0.05 was considered significant (\*).

viability was observed under the EP conditions (>80%) when compared to the PIII ones (>60%), while TT presented intermediate behaviour with no significant difference with TT nor with EP (>70%). Hence, EP exhibited the higher viability of all the samples. Regarding the evaluation of the hemocompatibility, neither of the samples presented thrombus formation after 20 min of contact with whole blood (Fig. 8b). The TT conditions seemed to present a higher amount of free hemoglobin and therefore the best hemocompatibility. However, no significant differences were found among the different conditions after statistical analysis, mainly because of the high variations observed.

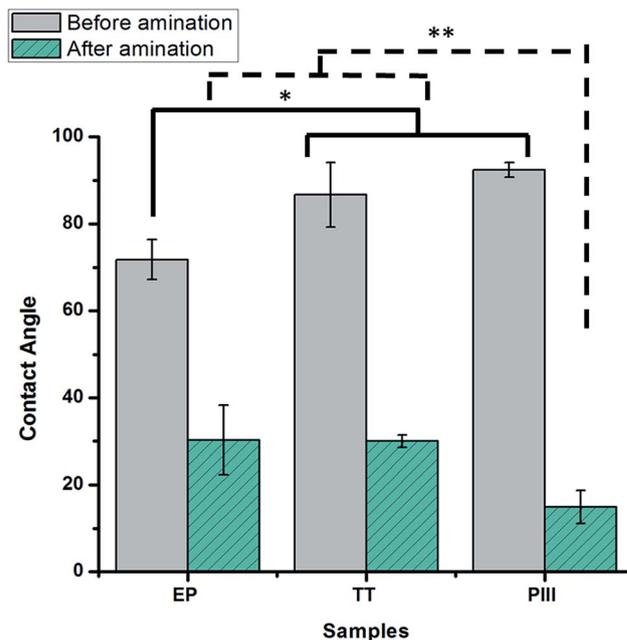


Fig. 7 Water contact angle of the surfaces before and after plasma amination. It was found that after functionalization all of the surfaces presented a hydrophilic behaviour. Significant differences were determined by running a one-way ANOVA followed by Tukeys *post-hoc* method. A *p*-value < 0.05 was considered significant (\*).

## 4 Discussion

The aim of this work was to study different surface treatments on L605 CoCr alloys with potential application for cardiovascular devices. In order to find the most suitable oxide layer, properties such as deformation and corrosion resistance, efficiency towards direct functionalization (therein amination) and biological performance in terms of cytotoxicity and hemocompatibility were studied.

Therefore, one of the initial properties that was sought after for these oxide layers was the resistance to deformation, without showing either cracks or delamination. After deforming them up to 25%, similar to a stent deployment,<sup>18</sup> some of the initially proposed conditions exhibited cracks and delamination, as observed in Fig. 1, meaning that their oxide layers were unstable and not suitable for application. This may be explained by the formation of brittle or too thick oxide layers that were not able to sustain deformation. Regarding the thermal treated samples, the only oxide layer to not exhibit cracks and delamination was the one obtained at 400 °C for 1 h (Fig. 1), despite being approximately 10 times thicker than the oxide layer obtained after EP, as observed by the ToF-SIMS depth-profile results (sputter time 600 s *versus* 60 s, respectively – Fig. 3). The failure of thermal treatments at higher temperatures and longer times could be related to the formation of bigger oxide crystals (as seen in Fig. 1 for 500 °C – 2 h, and 600 °C – 1 h and 2 h). Similar observations have already been described by Griffage *et al.* where they found that during thermal treatments the L605s surface had a low resistance to oxidation, resulting in a thicker



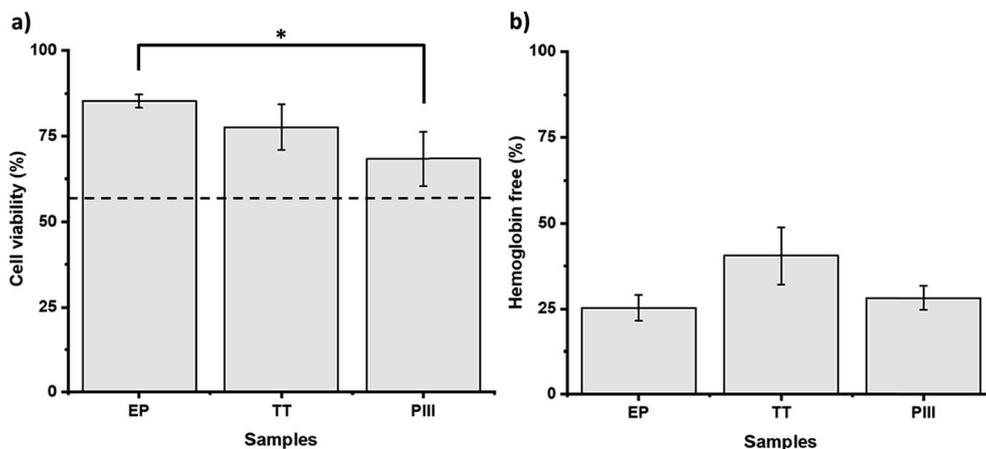


Fig. 8 Biological performance of the different treated surfaces. (a) HUVEC viability, normalized to polystyrene well. There was no significant difference among samples, except EP with PIII, but the viability higher than 60% of the cells is represented by the dashed line. (b) Hemocompatibility test, normalized to haemolysed blood, where there was no significant difference between samples after the haemoglobin free test. Significant differences were determined by running a one-way ANOVA followed by Tukeys *post-hoc* method. A  $p$ -value < 0.05 was considered significant (\*).

oxide layer as a function of temperature and time.<sup>25</sup> Moreover, the mechanism for cracks and delamination proposed by Wang *et al.* was considered, which involves the formation of microcracks/voids and a high density of oxide particles on the grain boundaries in the near-surface area that propagate parallel to the alloy surface with deformation.<sup>26</sup> These features create a less ductile surface than the underlying bulk leading to peeling, cracks and delamination. This mechanism explains what was observed at the higher temperatures of the thermal treatments (Fig. 1), making these conditions not suitable for the desired application.

In the case of the PIII treatments, a higher bias voltage (−1 kV and −10 kV) appeared to induce a thicker oxide layer which was unstable to deformation, without formation of crystals compared to TT, as observed in Fig. 1, whereas the oxide surface obtained at the lowest bias, −0.1 kV, sustained the deformation. It is known that oxygen implantation doses can modulate the crystalline structure (hexagonal Co-oxygen rich phase), and the oxide layer thickness, thus leading to changes in the mechanical properties (hardness).<sup>27,28</sup> The ion doses are higher when the bias voltage applied on the sample is more negative, leading to the acceleration of positive ions which are thus more implanted (an increase of the oxide thickness). Thus, −1 kV and −10 kV should create a thicker oxide layer than the one obtained at −0.1 kV, which already needed a sputter time of 400 s (Fig. 3, ToF-SIMS depth profile). Moreover, as can be observed in Fig. 1, the failure of the PIII oxide layer is mainly due to delamination rather than to the formation of cracks, as seen in the thermal treatments. This behaviour might be related to the amorphization of the oxide layer after the implantation of the oxygen ions.<sup>27</sup> Hence, due to their resistance to deformation, only EP, as a base surface treatment, TT at 400 °C for 1 h and PIII with a bias of −0.1 kV for 1 h of implantation of oxygen were considered.

Another desired surface property for stent application is corrosion resistance. Due to the composition of the alloy, the

concern of the release of potential cancerogenic ions, such as nickel and chromium, into the blood stream is latent. It is known that alloys such as L605 are resistant to corrosion due to its percentage of chromium oxide,<sup>29,30</sup> but modifying its surface composition can influence its corrosion resistance. To get a better idea of the oxide layer stability and resistance to corrosion, both Open Circuit Potential (OCP) and potentiodynamic tests were performed (Fig. 5). As shown in this figure, EP, the treatment with the thinnest oxide layer (Fig. 3) composed of chromium oxides on the surface, based on XPS and ToF-SIMS analyses (Fig. 2), was the most corrosion resistant compared to the other ones. This can be attributed to the formation of a passive layer by the  $\text{Cr}_2\text{O}_3$  surface, similar to what Sojitra *et al.* observed on stainless steels.<sup>17</sup> Furthermore, the corrosion rate for PIII was not far from that of EP, which could be associated to the “bilayer” composition of the oxide layer of the PIII treatment. The topmost layer was composed mainly by cobalt oxides then followed closely by chromium oxides, as observed *via* ToF-SIMS depth analyses (Fig. 3c). This change in both composition and corrosion is similar to what was found by Lutz *et al.*:<sup>31</sup> a diffusion of cobalt to the topmost layer of the alloy. This increases the dissolution of this ion during the initial step of corrosion, followed by a decrease of the corrosion rate by the presence of the passive layer conferred by  $\text{Cr}_2\text{O}_3$ . Moreover, as observed in Fig. 5, PIII exhibited the highest  $E_{\text{oc}}$ , meaning that this oxide layer behaves as the noblest surface compared to the 2 other samples. However, all of the samples exhibited a stable oxide layer as no increase nor decrease was observed during the 1 h of OCP. Finally, on the TT surface, a combination of chromium and cobalt oxides are present on both the surface and in depth (Fig. 3b). In fact, this surface chemical composition could be related to the potential formation of a spinel ( $\text{CoCr}_2\text{O}_4$ ) which has been described previously in literature.<sup>25,32</sup> Furthermore, after thermal treatments at higher temperatures, a dark blue colour, characteristic of this compound, was observed under polarized light (image not shown). The formation of this



spinel added to the increase in the roughness of the surface, as observed by AFM (Fig. 4), and produced the lowest values of both  $E_{oc}$  and  $E_{corr}$  (Fig. 5), leading to a surface more prone to corrode and thus an increase in the corrosion on the surface.

Regarding the direct amination of the different oxide layers by plasma functionalization, it was found that all of the surfaces changed their hydrophobic behaviour after plasma treatment to a more hydrophilic surface as shown by the contact angle (Fig. 7). This can be related to the nitrogen species formed after the plasma treatments since surfaces functionalized with amine groups can lower the contact angle of the oxide layers *via* hydrogen bond interactions.<sup>33</sup> Furthermore, the nitrogen content incorporated on the surfaces was assessed by XPS survey analyses (Fig. 6a). Among the proposed surface treatments, EP was the one with the smaller percentage of nitrogen on the surface with around 10%, whilst TT and PIII were both around 15%. However, the percentage of primary amine groups on the surfaces on metallic substrates is around 1.5% with no significant difference between the surfaces. When evaluating the efficiency of the direct plasma amination, %NH<sub>2</sub>/N, EP was the surface treatment with the highest efficiency, as observed in Fig. 6a. Moreover, this observation was further confirmed by ToF-SIMS analyses on the static mode of the NH<sub>2</sub><sup>+</sup> fragment related to the primary amine group (Fig. 6b). Indeed, similar to the XPS survey analyses, EP was the oxide layer that showed the highest concentration of this specific fragment on the first layer of its atomic composition, followed by PIII and finally TT, which had the lowest presence of this fragment on its surface. The main hypothesis for this preferential formation of amines on the EP surface could be explained by a substitution reaction of -NH<sub>2</sub> on the surface hydroxyl groups (-OH), which are already present in the surface of the L605 alloy and are generated during the basic bath performed just after electropolishing.<sup>34</sup> Nevertheless, when performing the thermal treatment and the ion implantation, these -OH groups could be lost by re-oxidizing the surface, therefore decreasing the amination efficiency.

The biological performances of the different samples were also assessed to ensure that the proposed surface treatments were suitable for stent application. Therefore, the interaction of the samples with blood and endothelial cells was evaluated. The decreasing cell viability observed in the samples as EP > TT > PIII, as observed in Fig. 8a, can be explained with the chemical composition of the surfaces. As it was previously stated, the EP sample has mainly chromium at the surface, PIII has mostly cobalt, while TT has a mixture of both elements. Hence, our results are coherent with previous works comparing the behaviour of different cell types in the presence of chromium and cobalt. Indeed, Rushton *et al.* compared these two elements when in contact with osteoblast-like cells, proving that cobalt has the most negative effect when compared to chromium and to an alloy of both elements.<sup>35</sup> Other groups have also evaluated the negative effect of the presence of cobalt in the proliferation and collagen production of osteoblast-like cells.<sup>36–38</sup> Moreover, Maffi *et al.* also proved higher endothelial cell viability when cobalt–chromium alloys were enriched with Cr at the surface.<sup>39</sup> However, chromium, and specifically Cr<sub>6</sub><sup>+</sup>, has also been proven

to reduce cell survival, which explains the limited difference among all samples, as well as the decrease of cell viability when compared to the positive control. These findings are also coherent with the intermediate behaviour of TT between EP and PIII, mainly because TT has both Cr and Co at the surface. When it comes to hemocompatibility, shown in Fig. 8b, all samples presented promising results with no thrombus formation after 20 min of contact with the samples. These results are coherent with previous clinical studies comparing several alloys used in biomaterials where cobalt–chromium presented high hemocompatibility.<sup>37,40</sup> The different treatments performed had no significant impact on the hemocompatibility of the samples.

## 5 Conclusions

In this study, different surface treatments have been explored in order to reach the most suitable surface, regarding oxide layer stability towards deformation and corrosion, and for amination efficiency. Some surface treatment conditions were discarded as after deformation their surfaces showed cracks or delamination. The other treatments led to different oxide layer compositions and thicknesses as evidenced by XPS and ToF-SIMS depth profiling analyses. Indeed, the EP surface was mainly composed of a thin layer of chromium oxide, whereas PIII surface oxides exhibited a bilayer arrangement, with essentially cobalt oxides on the outermost layer followed by chromium oxides underneath and, finally, TT displayed the thickest oxide layer of mixed chromium and cobalt oxides on the surface. Corrosion performance showed that among the three proposed surface treatments EP was the surface with the lowest corrosion rate, followed by PIII and finally TT with the highest corrosion rate, which was ~20 times more than EP. Then, regarding biological tests, the different surface compositions of the oxides exhibited no significant differences among them. In the short-term, it appeared to not induce a negative cellular response, cell viability was higher than 60%, and to not induce thrombus formation as evidenced by hemocompatibility tests. Concerning direct plasma functionalization, it was found that all the surfaces could be directly functionalized with primary amine groups regardless of initial oxide layer composition. However, regarding the amination efficiency, EP oxide composition seemed to promote amine formation as evidenced by XPS and ToF-SIMS analyses.

Therefore, among the different surface treatments, the EP oxide surface displayed the best corrosion resistance as well as the highest amination efficiency, and did not induce a negative cellular response or thrombus formation. Thus, EP treatment appeared as the most suitable metallic interface for the further grafting of biomolecules. This direct amination of metallic substrates and grafting of biomolecules, without any intermediate layer, emerges as a potential approach for a new generation of bioactive cardiovascular devices, aiming to lower post implantation complications. Future studies will investigate different grafting strategies of peptides on EP aminated samples, their stability under pseudo-physiological conditions and their biological performances.



## Conflicts of interest

There are no conflicts to declare.

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