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Stereoselective deconjugation of macrocyclic α,β -unsaturated esters by sequential amidation and olefin transposition: application to enantioselective phase-transfer catalysis†‡

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The stereoselective synthesis of chiral macrocycles bearing two aliphatic amide functional groups is reported. After the amidation mediated by TBD, a guanidine derivative, the olefin transposition step is performed with a slight excess of *t*-BuOK. The products are afforded in moderate to good combined yields (up to 59%) and with an excellent *syn* diastereoselectivity (*dr* > 49 : 1). Introducing enantiopure α -branched substituents was possible and it resulted in mixtures of diastereomers, which could be tested as phase-transfer catalysts using the formation of a phenylalanine analog as a test reaction (up to 43% ee). A clear matched–mismatched situation was observed in the two diastereomeric series.

Introduction

In unsaturated esters, olefin transposition from the α,β to β,γ -position is thermodynamically disfavored. To promote this deconjugation, various protocols are usually employed which utilize photochemical¹ or strongly basic² conditions to generate dienol(ate) intermediates (Scheme 1A). Subsequent (enantioselective) protonation in the α position leads to β,γ -unsaturated esters.³ In this context, our group recently reported the remote stereoselective deconjugations of bis- α,β -unsaturated macrocycle **1** in the presence of an excess of aromatic amines and *t*-BuOK.⁴ In a single step, chiral polyether macrocycles of type **2** are formed by a double tandem [amidation + olefin transposition] process (Scheme 1B, left). Mechanistically, it is believed that the ester functions are first transformed into amide groups. Then, irreversible olefin transpositions occur to yield macrocycles **2** as single stereoisomers (racemic, *dr* > 49 : 1). Various applications have been developed for compounds **2**. In fact, such bis(aromatic) derivatives have

been used as pH-independent nanosensors,⁵ heteroditopic receptors for salts,⁶ ratiometric luminescent or reversible chiroptical switches⁷ and circularly-polarized electrochemiluminescent emitters.⁸ Herein, the stereoselective synthesis of macrocycles of type **3** bearing two aliphatic amide functional groups is reported (Scheme 1B, right). A two-step process is

Scheme 1 Typical conditions for the deconjugation of α,β -unsaturated esters (A). Remote stereoselective synthesis of chiral polyether macrocycles (B, *syn* transposition, *dr* > 49 : 1).

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this time necessary. Amine additions are mediated by TBD (1,5,7-triazabicyclo[4.4.0]dec-5-ene), a guanidine derivative that helps in the formation of the α,β -unsaturated amide derivatives **4**. Then, olefin transpositions under basic conditions (*t*-BuOK) afford the corresponding chiral macrocycles **3** with high *syn* diastereoselectivity (*dr* > 49 : 1, yields up to 59% for the combined steps). Chiral enantiopure amines can be utilized and after the preparation of the resulting diastereomers, macrocycles were used as phase-transfer catalysts. In the enantioselective alkylation of a protected glycine (*ee* up to 43%), a clear matched–mismatched situation is observed in the two diastereomeric series.

Results and discussion

Initial attempts

Previously, it was shown that methyl α -diazo- β -ketoester reacts with 1,4-dioxane under dirhodium catalysis in a formal [3 + 6 + 3 + 6] multi-component condensation. The process is mild and affords the resulting unsaturated macrocycle **1** on a multi-gram scale (up to 20 grams) while using a low catalyst loading (0.01–0.001 mol%).^{9,10} As mentioned earlier, compound **1** reacts with excesses of ArNH₂ and *t*-BuOK (>3 equiv. each) to yield unsaturated bis(aromatic) derivatives **2**. This reaction tolerates a large variety of aromatic amines.^{4–8,11} However, despite major efforts by the group, it was never possible to achieve the analogous **1** → **3** transformation with aliphatic amines instead of anilines. Of the two consecutive steps, it was clearly the first one that was problematic under the previous conditions. Care was thus taken to decouple the two steps of the process and perform the amide formation first, prior to the olefin transposition.

Optimization of the two-step process

For the transformation of macrocycle **1** into the corresponding α,β -unsaturated bis(aliphatic) macrocycles **4**, mild conditions of amidation were looked for. With regular non-activated esters,¹² the use of additives such as (Lewis) acids,¹³ bases,¹⁴ metal salts and complexes,¹⁵ enzymes¹⁶ or organocatalysts is required to promote the reaction.¹⁷ Many such conditions were tested using macrocycle **1** and benzyl amine as the model substrate and reagent, respectively (Table 1). Heating the macrocycle and the amine in THF at 60 °C did not induce a conversion (entry 1). Strongly basic conditions, *t*-BuOK or *n*-BuLi, led either to a total degradation or a partial 30% conversion of macrocycle **1** respectively (entries 2 and 3). Lewis acids (Yb(OTf)₃, BF₃·OEt₂) and N-heterocyclic carbenes were also tested (entries 4–10). In many instances, a lack of reactivity was observed. Otherwise, it was a full degradation of **1**. Previously, for the transformation of esters into amides, Mioskowski and collaborators used TBD as the catalyst.^{17g,18,19,20} Using 20 mol% of this cyclic guanidine base, an amidation could not be observed (entry 11).²¹ However, with a stoichiometric amount of it (2 equiv.), macrocycle **4a** was obtained in a satisfactory yield (47%) after 4 hours of reaction and a simple filtration (entry 12). Increasing the reaction

Table 1 Amidation of **1**: optimization

Entry	Reagent (equiv.)	Time (h)	Isolated yield
1	—	15	No conv.
2 ^a	<i>t</i> -BuOK (4)	3	Degradation
3 ^a	<i>n</i> -BuLi (4)	3	<30% conv. ^b
4	Yb(OTf) ₃ (0.1)	15	No conv.
5	Yb(OTf) ₃ (2)	15	No conv.
6	BF ₃ ·OEt ₂ (0.2)	15	No conv.
7	BF ₃ ·OEt ₂ (2)	15	No conv.
8	IMes·HCl/ <i>t</i> -BuOK (0.05)	15	No conv.
9	IMes·HCl/ <i>t</i> -BuOK (2)	15	Degradation
10	SIMes·HCl/ <i>t</i> -BuOK (2)	15	Degradation
11	TBD (0.2)	15	No conv.
12	TBD (2)	4	47%
13	TBD (2)	15	75%

^a –100 °C (1 min), then 25 °C instead of 60 °C. ^b The isolation of **4a** was attempted but failed; abbreviations: Mes = mesityl.

Scheme 2 Base-induced olefin transposition of **4a** to form **3a**.

time to 15 hours afforded **4a** in 75% yield (entry 10). The structure of **4a** was confirmed by NMR spectroscopy and X-ray diffraction analysis (see Fig. 1A).

Next, the olefin transposition of compound **4a** was considered under basic conditions.⁴ After optimization

Fig. 1 Stick view of the crystal structure of **4a** (A) and [Na·3a]⁺[BaF₄][–] (B) (BaF₄[–] anion and most hydrogen atoms are hidden for clarity).

(Table S1†), it was found that the combination of *t*-BuOK (2.2 equiv.) and 1,4-dioxane as the solvent afforded the best conditions.²² Macrocycle **3a** was isolated in good yield (65%, Scheme 2) as a single diastereoisomer (*dr* > 49:1, ¹H NMR monitoring). Once again, an effective remote stereoselectivity is noticed in this type of process.⁴ The relative configuration of **3a** was determined by the solid state structure of the sodium BAr_F (tetrakis[3,5-bis(trifluoromethyl)phenyl]borate) adduct (Fig. 1B). Only the chiral *cis*-diastereoisomer (racemic) is formed during the reaction and traces of the achiral (*meso*, *trans*) stereoisomer could not be detected. This result indicates that the mechanism for the olefin transposition(s) is similar to that previously reported;⁴ the potassium ion acts as a template and helps to relay the second reprotonation on the same prochiral face as the first one.

In solution, compounds **3** differ from the bis-anilide derivatives **2**. In fact, in terms of the simple host-guest chemistry, it had been previously observed that a water molecule is usually complexed inside the cavity of macrocycles **2**.⁴ Herein, in chloroform-*d*, there is little of interaction of **3a** with water as monitored by ¹H NMR spectroscopy at different concentrations (8–116 mM, see the ESI†). In comparison with the aniline series (compounds **2**), the proton signals of the amide groups or of the water molecule are only weakly perturbed.

2-Step process: scope and (lack of) asymmetric induction with enantiopure amines

With the optimal conditions for the amidation and the olefin transposition in hand, various linear and α -branched amines were introduced. In Table 2, the yields are reported for the two steps. In general, with linear primary amines, moderate to good combined yields were obtained (35–55%); the nature of the side chains has little influence on the outcome. For the standard reaction, macrocycle **3a** was obtained in 54% overall yield. Methyl, propyl, octyl and allyl substituted derivatives **3b** to **3e** were obtained in similar yields (42%–55%). Silyl or methyl protected amino alcohols were used and afforded macrocycles **3f** to **3h** in 35% to 54% yield.²³

However, when the introduction of α -branched amines was investigated under the above conditions, only poor conversions were observed for the first amidation step, the sterically-hindered amines being much less reactive. It was necessary to increase both the amount of TBD (from 2 to 4 equiv.) and the reaction time (15 hours to 10 days) to reach full conversion of **1**. Then, upon transposition with *t*-BuOK, macrocycles **3i** (*i*-Pr) and **3j** (*c*-Hex) were obtained in moderate combined yields (29% and 18%, respectively). Similarly, with enantiopure α -alkyl substituted benzyl or methylnaphthyl amines, we could isolate the corresponding macrocycles **3k** to **3s** (15–35%). In all these reactions, the critical step in terms of yield is not the transposition but the amidation. In fact, a slow competitive degradation of the starting macrocycle **1** occurs during the amidation that disfavors the overall process.²⁴

Finally, care was taken to study the stereochemical outcome of the reaction in the presence of enantiopure α -branched amines.²⁵ Satisfactorily, the double transposition still occurs

Table 2 Combined yields (two steps) of macrocycles **3a–3s**

method A (linear amines): ^[a]	method B (enantiopure series, <i>dr</i> ≤ 1.4:1): ^[b]
R ¹ = Bn, 3a : 54% R ¹ = Me, 3b : 46% R ¹ = <i>n</i> -Pr, 3c : 42% R ¹ = <i>n</i> -Oct, 3d : 55% R ¹ = allyl, 3e : 44% R ¹ = (CH ₂) ₂ OTBS, 3f , 35% R ¹ = (CH ₂) ₂ OMe, 3g , 54% R ¹ = (CH ₂) ₃ OMe, 3h , 54%	R ² = Me, Ar = Ph, 3k : 25% R ² = Et, Ar = Ph, 3l : 15% R ² = Me, Ar = <i>p</i> -OMeC ₆ H ₄ , 3m : 35% R ² = Me, Ar = 1-naphthyl, 3n : 20% R ² = Me, Ar = 2-naphthyl, 3o : 15% R ² = Me, Ar = Ph, 3p : 25% R ² = Et, Ar = Ph, 3q : 15% R ² = Me, Ar = <i>p</i> -FC ₆ H ₄ , 3r : 35% R ² = Me, Ar = 1-naphthyl, 3s : 20%
method B (α -branched achiral amines): ^[b]	
R ¹ = <i>i</i> -Pr, 3i , 29% R ¹ = <i>c</i> -Hex, 3j , 18%	

^a Method A: **1** (0.25 mmol), linear amine (4.0 equiv.), TBD (2.0 equiv.), THF, 60 °C, 15 hours; then *t*-BuOK (2.2 equiv.), dioxane, 25 °C, 15 hours. ^b Method B: **1** (0.25 mmol), α -branched amine (4.0 equiv.), TBD (4.0 equiv.), THF, 60 °C, 10 days; then *t*-BuOK (2.2 equiv.), dioxane, 25 °C, 15 hours. Macrocycles **3k** to **3s** are obtained as mixtures of diastereoisomers (1:1 ≤ *dr* ≤ 1.4:1, see the ESI).

with a *syn* stereoselectivity but it leads, this time, to two different products. Indeed, the enantiopure amine residues exert little influence on the newly created stereogenic centers inside the macrocyclic skeleton and two diastereoisomers are formed. For instance, with (*S*)-phenylethylamine, product **3k** exists in two different (*S,S,S,S*) and (*S,R,R,S*) configurations with a low overall stereoselectivity (*dr* 1.3:1).²⁶ Several other amines were tested and the selectivity has remained low in all cases (*dr* ≤ 1.4:1). In Table 2, the yields are given for the two steps and both diastereoisomers together; the stereoisomers were separated in only one instance (see the next paragraph).

Application: enantioselective phase-transfer catalysis

Crown ethers, thanks to their ability to complex alkali metal salts and render them soluble in both polar and apolar solutions, are efficient phase-transfer catalysts (PTCs). Several chiral non-racemic versions of these derivatives have been developed and applied in various enantioselective reactions such as alkylations, 1,4-additions or oxidations.²⁷ It was then logical to test compounds **3k** to **3s** bearing α -branched enantiopure substituents as PTCs. We selected the alkylation of protected glycine **5** with benzyl bromide which affords chiral phenylalanine derivative **6** as the product as the benchmark; this reaction occurs only in the presence of catalysts (Table 3, entry 1).²⁸ The different macrocycles were reacted under con-

Table 3 Asymmetric phase-transfer catalysis with **3n**^a

Entry	Catalyst (mol%)	Temp. (°C)	Time (h)	ee (%)
1	— (Blank)	25	15	— ^b
2	3k (5)	25	15	17
3	3k (10)	25	15	21
4 ^c	3k (5)	25	96	−12
5	3p (5)	25	15	−17
6	3n (10)	25	15	32
7	3n (10)	10	15	39
8	(<i>S,S,S,S</i>)- 3n (10)	10	15	43
9	(<i>S,S,S,S</i>)- 3n (20)	10	15	42
10	(<i>S,S,S,S</i>)- 3n (10)	0	15	42
11	(<i>S,R,R,S</i>)- 3n (10)	10	48	−13

^a For all catalyzed reactions, isolated yields are consistent in the range of 85–87%. ^b No conversion of **5**. ^c In toluene instead of CH₂Cl₂.

ditions that are standard for phase-transfer catalysis with chiral crown ethers (Tables S2–S5†). Overall and not surprisingly, it was found that sodium and potassium salts afforded the best conversions (Table S3†). A rather large screening of solvents was also performed and CH₂Cl₂ was the most favorable followed by 1,1-dichloroethane and 1,1,1-trichloroethane, similar *ee* values however being observed (Table S5†). With derivative **3k** as the catalyst (5 mol%, Table 3, entry 2), amino acid (+)-**6** was obtained in good yield (86%) and low enantioselectivity (17% *ee*). The increase of catalyst loading to 10 mol% improved slightly the enantiomeric excess to 21% (entry 3). With toluene as the solvent, a longer reaction time, lower enantioselectivity and reversal of the stereoinduction in favor of the antipodal enantiomer (−)-**6** were observed (entry 4). Using macrocycle **3p** (with an inverted configuration on the amide side chain), analogous results to **3k** were obtained in favor of the levorotatory enantiomer. It was then shown that 1-naphthyl derivative **3n** is the most efficient in the series (see the ESI†). Using **3n** as the PTC (10 mol%, *dr* 1.4 : 1) at 25 °C, amino acid (+)-**6** was obtained in good yield (86%) and moderate enantioselectivity (32% *ee*) (Table 3, entry 6). Decreasing the temperature to 10 °C improved slightly the enantiomeric excess (39% *ee*, entry 7). The two diastereoisomers of **3n**, namely (*S,S,S,S*)-**3n** (major) and (*S,R,R,S*)-**3n** (minor), could be separated by column chromatography (SiO₂, CH₂Cl₂/MeOH gradient) and used independently in the phase-transfer reaction. The configuration of (*S,S,S,S*)-**3n** was ascertained by the X-ray structural analysis of the NaBAR_F salt.

With (*S,S,S,S*)-**3n**, the dextrorotatory enantiomer of **6** was again favored with a slightly improved enantiomeric excess (43% *ee*, entry 8). Higher catalyst loading (20 mol%) or temperature decrease (0 °C) did not lead to a larger enantioinduction (entries 9 and 10). Interestingly, using the minor diastereoisomer (*S,R,R,S*)-**3n** and after a longer reaction time (48 hours), the antipodal enantiomer (−)-**6** was obtained in 86% yield and only a 13% *ee* value (entry 11). The difference in reactivity and selectivity between the two diastereoisomers of **3n** illustrates thus a clear matched–mismatched situation in the enantioselective alkylation of glycine **5**.

Conclusion

Starting from the readily prepared unsaturated macrocyclic precursor **1**, chiral crown ethers bearing two aliphatic amide functional groups were synthesized. It was necessary to separate the amidation (mediated by TBD) and the olefin transposition step (induced by a slight excess of *t*-BuOK). The products were afforded in moderate to good combined yields (up to 59%) and with an excellent *syn* diastereoselectivity (*dr* > 49 : 1). Introducing enantiopure α -branched substituents was possible and resulted in mixtures of diastereomers, which could be tested as phase-transfer catalysts using the formation of enantioenriched phenylalanine analogs as a benchmark (up to 43% *ee*). In this reaction, a clear matched–mismatched situation was observed in the two diastereomeric series.

Conflicts of interest

There are no conflicts to declare.

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