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Supramolecular chirality and crystallization from biocatalytic self-assembly in lipidic cubic mesophases†

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Biocatalytic self-assembly in a nanoconfined environment is widely used in nature to construct complex structures that endow special characteristics to life. There is tremendous interest in mimicking such bottom-up processes to fabricate functional materials. In this study, we have investigated a novel biomimetic scaffold based on lipidic cubic mesophases (LCMs), which provide a special nanoconfined environment for biocatalytic self-assembly and subsequent formation of organic crystals. (*R*)-Benzoin generated *in situ* from benzaldehyde in a reaction catalyzed by the enzyme benzaldehyde lyase (BAL) exhibits – when confined within LCMs – enhanced chirality compared to (*R*)-benzoin in solution or (*R*)-benzoin-doped LCMs. We infer that a metastable state is formed under kinetic control that displays enhanced supramolecular chirality. As they age, these metastable structures can further grow into thermodynamically stable crystals. The biomimetic, nanoconfined environment provided by the LCMs plays a key role in the development of supramolecular chirality and subsequent crystallization.

In nature, enzymes are used to control molecular self-assembly processes and thus guide the formation of supramolecular structures, such as basement membranes, which play key roles in biological systems.^{1,2} Most *in vivo* enzymatic reactions occur in a highly confined environment, such as on a surface or inside a partitioning volume.^{3–5} As a consequence, self-assembly processes *in vivo* are often controlled by spatial confinement.^{1–5} There is tremendous interest in mimicking such bottom-up processes in order to fabricate functional materials with novel properties and to study biological processes in relevant environments.^{2,6} However, it remains chal-

lenging to design systems in which molecules self-assemble spontaneously and exclusively in a highly confined environment.⁷ The most useful approach developed so far to address this problem is nanoconfined biocatalytic self-assembly, such as on the cell surface (2D) or inside the cell (3D), a strategy prevailing in nature.^{8,9} In this approach, precursors, which normally would not undergo self-assembly processes, acquire self-assembling propensities as a consequence of enzymatic triggers.^{2,10,11}

Pioneering work in confined biocatalytic self-assembly has been performed by Ulijn *et al.* and Xu *et al.*^{6,12–18} In 2009, Ulijn *et al.* reported that thermolysin, anchored on a glass surface, can activate the self-assembly of peptides under thermodynamic control.⁶ The confinement created by the glass surface adds an extra control over the self-assembly, thus resulting in fewer defects.⁶ The work by Xu *et al.* on novel bottom-up fabrication of nanomaterials shows great promise in biological systems.^{15–18} Enzymes immobilized on the cell surface or in specific subcellular space promote the self-assembly of peptides in the confined environment, which can cause apoptosis in cancer cells.^{15–18} Similar work by Pires *et al.* showed that membrane-bound alkaline phosphatase (ALP) can induce the formation of a cytotoxic nanonet/hydrogel “cage” surrounding cells and subsequent apoptosis, suggesting that the location of the enzyme has a critical impact on the assembly process.¹⁹ The aforementioned examples highlight the promise of confined biocatalytic self-assembly for biologically interfacing systems and as a tool for bottom-up nanofabrication.^{20–24}

Here, we introduce lipidic cubic mesophases as a medium for biocatalytic self-assembly aiming at controlled design of new nanomaterials. Lipidic cubic mesophases (LCMs), formed by the self-assembly of lipids in water, consist of two sets of interpenetrating, yet noncommunicating water channels, separated by a single, continuous lipid bilayer, with triply periodic surfaces.²⁵ Previous work has shown that LCMs are excellent host matrices for enzymatic reactions, due to their biomimetic structures and thermodynamic stability.^{26–33} More interest-

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ingly, the nanoconfined water channels provide a unique environment where enzymatic reactions do not follow Michaelis–Menten kinetics as for the homologue reactions in solution.³⁰ In this work, we show that LCMs can also serve as a unique environment for mimicking the confined biocatalytic self-assembly process. We use the enzyme benzaldehyde lyase (BAL) for the biocatalytic self-assembly, incorporating it within LCMs to catalyze the condensation of achiral benzaldehyde to chiral (*R*)-benzoin (Fig. 1a). BAL has been widely used as a catalyst for asymmetric C–C bond forming reactions.^{34,35} Here, (*R*)-benzoin, produced by BAL in LCMs, shows enhanced chirality compared to both standard (*R*)-benzoin in solution and standard (*R*)-benzoin-doped LCMs. We hypothesize that the enzymatic reaction and nanoconfinement provided by LCMs play key roles in the formation of metastable supramolecular chirality, emerging from the subtle interplay between kinetically trapped states and thermodynamic driving force.

The LCMs in this study consist of 60% lipid and 40% buffer solution. Small angle X-ray scattering (SAXS) was used to determine their symmetry. The positions of the Bragg peaks, which follow the sequence $\sqrt{2} : \sqrt{3} : \sqrt{4} : \sqrt{6} : \sqrt{8} : \sqrt{9}$, are a fingerprint of LCMs possessing double-diamond symmetry (*Pn3m* group), as shown in Fig. 1b. The corresponding lattice parameter is 9.16 nm (neat cubic phase) and the diameter of the water channels is calculated to be 3.72 nm (see ESI, section 1.4† and Fig. 1c). In the presence of BAL, a slight increase in both the lattice parameter (9.35 nm) and the size of water channels (3.81 nm) was observed, indicative of enzyme incorporation in the cubic lattice. Moreover, the symmetry of the LCM was preserved during the entire biocatalytic

transformation. HPLC results proved that the high catalytic efficiency of BAL was fully preserved in LCMs (ESI Fig. S1 and S2†), affording yields and enantiomeric excesses (ee) >90%.

Apart from being a superior encapsulation medium for enzymes,^{26–33} LCMs also provide special nanoconfined environments for self-assembly of the biocatalytically produced (*R*)-benzoin. The biocatalytic transformations in LCMs were investigated by CD spectroscopy and compared to standard (*R*)-benzoin and (*R*)-benzoin-doped mesophases. Standard (*R*)-benzoin was dissolved in DMSO to obtain the CD information of (*R*)-benzoin (see ESI†).³⁶ The (*R*)-benzoin were fully soluble in DMSO,³⁶ which is further certified by quantitative NMR and linearly increased signal of UV, CD and ORD. As shown in Fig. 2a, (*R*)-benzoin has two CD features, a maximum at *ca.* 290 nm and a minimum at 317 nm. As expected, the minimum at 317 nm band increases linearly with increasing concentrations of standard (*R*)-benzoin (obtained from a commercial supplier) (Fig. 2b). Then, we focused on the *in situ* biocatalytic self-assembly in solution (mixture of 40% buffer solution and 60% DMSO) and in LCMs. In solution, no obvious self-assembly was achieved, as there is no CD signal with increasing reaction time (Fig. S8a†). The absence of CD signal results from the spontaneous precipitation of (*R*)-benzoin once converted: indeed, the biocatalytic product, (*R*)-benzoin, precipitated over time in solution and few plate-like crystals were formed (Fig. S8c†). Most interestingly, however, when the reaction medium changed from solution to LCMs, the converted (*R*)-benzoin displayed a peculiar supramolecular chiral behavior. Need to mention, the reactions carried out in LCMs are characterized by a shift in the CD minimum from 317 nm to 314 nm.^{37,38} At low concentrations of substrate (0.02 and 0.06 mmol ml^{−1}), this CD signal steadily increased in absolute

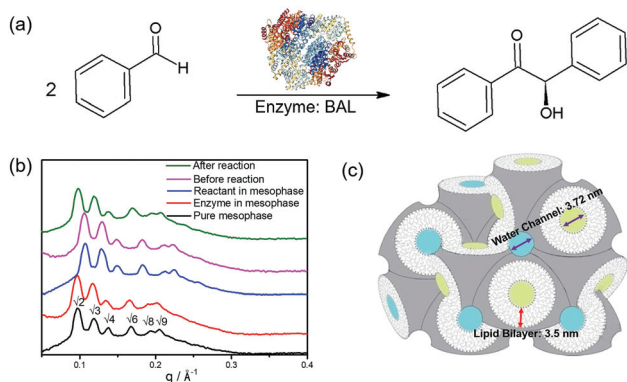


Fig. 1 (a) Formation of chiral (*R*)-benzoin from benzaldehyde catalyzed by the enzyme BAL (PDB code: 2AG0). (b) SAXS spectra of lipidic cubic mesophases (LCMs). Black: pure LCMs prepared by mixing 60% monolinolein and 40% buffer solution; red: 1 mg ml^{−1} enzyme BAL loaded in the LCMs; blue: 0.1 mmol ml^{−1} reactant benzaldehyde loaded in the LCMs; pink: both enzyme and reactant loaded in the LCM and analysed by SAXS immediately after preparing the sample; green: same as pink, but SAXS analysis was performed after completion of the enzymatic reaction. (c) Schematic of liquid crystals with cubic symmetry *Pn3m* which constituted the biomimetic lipid bilayer environment used in this work. The two continuous water channels (blue and yellow) which are interpenetrating but noncommunicating to each other separated by a single, continuous lipid bilayer (grey).

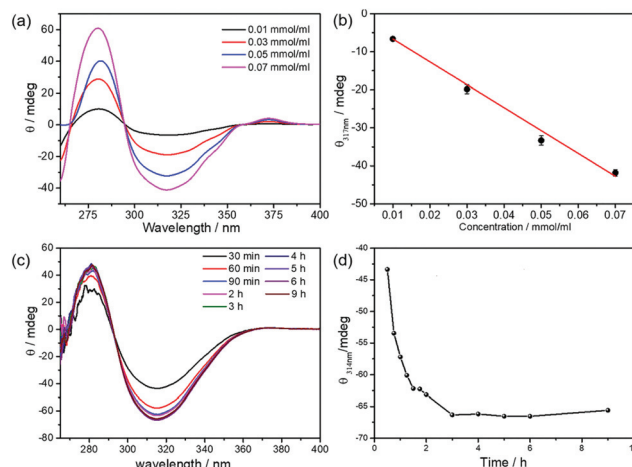


Fig. 2 CD measurements of (*R*)-benzoin. (a) Spectra recorded at different concentration of authentic product in solution; (b) linear dependence of the CD signal at 317 nm as a function of (*R*)-benzoin concentration; (c) CD spectra of (*R*)-benzoin synthesized biocatalytically *in situ* in LCMs; and (d) evolution of the CD signal at 314 nm as a function of time. The concentration of substrate in (c) and (d) is 0.14 mmol ml^{−1}.



value as the reaction progressed before plateauing at a value that remained essentially constant over time (Fig. S9†). The final signal was nearly the same as that observed for the same concentration of authentic (*R*)-benzoin in solution. Interestingly, however, when the substrate concentration was increased to 0.10 mmol ml⁻¹, the CD signal increased in absolute value steadily over three hours to a final value of -50 mdeg (Fig. S10†), considerably enhanced over the value of -30 mdeg expected for quantitative conversion to (*R*)-benzoin in solution. Similar behavior was also observed at higher substrate concentrations: for reactions carried out with 0.14 mmol ml⁻¹ benzaldehyde, the CD signal reached -66 mdeg in LCMs *versus* -41 mdeg for the solution control, corresponding to a 60% enhanced CD signal change (Fig. 2c and d). These results clearly show that benzoin condensations carried out in LCMs lead to enhanced chirality compared to their solution counterparts.

As a next step, we studied the CD signal of LCMs doped with (*R*)-benzoin from a commercial supplier in order to check whether the enhanced chirality observed for the LCM samples results from mere accumulation of product in LCMs. For this purpose, we doped LCMs with different concentrations of (*R*)-benzoin and compared the CD spectra of the resulting solutions (Fig. S11†) with those for the same concentrations of (*R*)-benzoin synthesized *in situ* in the LCMs. In the low concentration range, doped LCMs exhibited the same CD signal as enzymatically synthesized (*R*)-benzoin. However, when the concentration was increased, doped LCMs exhibited very low signals, even lower than solutions of authentic sample, likely due to precipitation of the molecule. This result supports the conclusion that enhanced chirality is unique to the enzymatic reactions that generate (*R*)-benzoin *in situ*, and does not arise from simple accumulation of product in the partitioning compartments of the LCMs.

Fig. 3a summarizes the CD results, highlighting the enhanced chirality of (*R*)-benzoin prepared in LCMs at concentrations of 0.05 mmol ml⁻¹ or higher. The enhanced chirality was confirmed by independent optical rotatory dispersion (ORD) measurements. As shown in Fig. 3b, the ORD signal of free (*R*)-benzoin in solution increases linearly with increasing concentration. For low concentrations, such as 0.01 mmol ml⁻¹ and 0.03 mmol ml⁻¹, (*R*)-benzoin synthesized in LCMs has the same ORD signal as free (*R*)-benzoin in solution. However, just as for the CD spectra, if the concentration is

increased to 0.05 mmol ml⁻¹ and beyond, the (*R*)-benzoin produced in LCMs reaches a significantly higher ORD signal, again demonstrating enhanced chirality. Taking together the results from CD and ORD analysis, it is clear that supramolecular chirality is successfully established *via* biocatalytic self-assembly in LCMs.

Another interesting phenomenon is observed when the concentration of substrate is increased to 0.2 mmol ml⁻¹. As seen in Fig. 4a and b, enhanced chirality is achieved very rapidly, that is within the first 60–90 min. The CD signal of 0.1 mmol ml⁻¹ (*R*)-benzoin in solution is only -60 mdeg while the CD signal in LCM amplifies to -106 mdeg by 2 hours and then remained constant till 5 hours. However, afterwards, the CD signal starts to fade slowly, reaching a value of -25 mdeg after 24 hours (Fig. 4a). This drastic decrease in CD signal intensity is due to the formation of crystals after 24 hours (Fig. 4d). The crystals grown from the standard (*R*)-benzoin dissolved in a solvent show negligible CD signal (Fig. S12†). Interestingly, the final CD signal is very similar to the CD signal of doped (*R*)-benzoin in LCMs. Conversely, samples at lower concentrations of (*R*)-benzoin for which no crystals are formed, maintain a monotonic evolution of CD intensity (Fig. 4b), confirming that the fading of the CD signal is associated with the crystal formation. Additionally, real-time HPLC results confirmed that synthesized (*R*)-benzoin was not converted to benzaldehyde (Fig. 4c). The HPLC results also show that the reaction is almost complete after 8 hours, while there is no sign of crystals until 24 hours. Taken together, these results indicate that the product is kinetically trapped in a supramolecular chiral state and then relaxes over time to afford thermodynamically favored crystals. It is widely known that crystals are the most stable species.^{39–41} It has been reported previously that kinetically controlled superstructures, such as fibers, can transform into more thermodynamically stable crystals upon aging.^{42–44} Here,

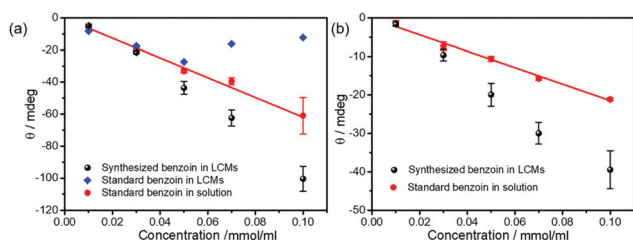


Fig. 3 (a) CD and (b) ORD measurements of (*R*)-benzoin from a commercial supplier and (*R*)-benzoin produced biocatalytically in solution (red) and in LCMs (black).

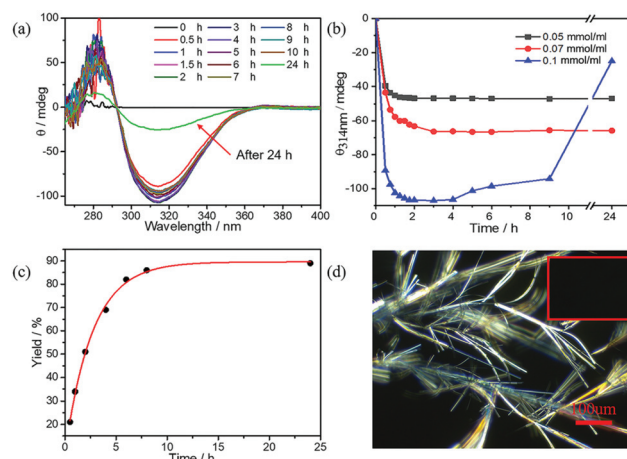


Fig. 4 (a) CD spectra and (b) in time CD measurement of synthesized (*R*)-benzoin in LCMs. (c) In time HPLC measurement of biocatalytic (*R*)-benzoin in LCMs. (d) Polarized optical microscopy (POM) images of synthesized (*R*)-benzoin crystals in LCMs after 24 h, the inset POM image is obtained after 9 h. The concentration of substrate is 0.2 mmol ml⁻¹.



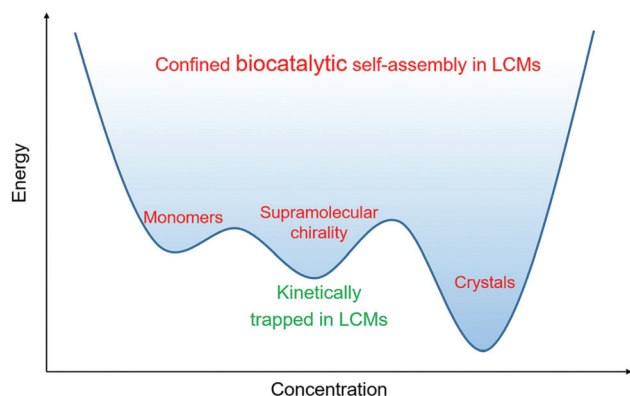


Fig. 5 Suggested schematic of biocatalytic self-assembly and crystallization in LCMs under kinetic and thermodynamic control.

we presume that at intermediate product concentrations a metastable state is formed that shows enhanced supramolecular chirality and is under kinetic control. These metastable structures can further evolve into the thermodynamically favored state (crystals), as shown in Fig. 5. Upon further increase in concentration, the thermodynamically favored crystals are formed rapidly. After only 30 minutes, a large amount of crystals can be observed *via* polarized optical microscopy (POM) (Fig S13–15†). Interestingly, all the LCMs in which crystals formed show very similar CD spectra (Fig. S16†), likely because some soluble (*R*)-benzoin remains trapped in the channels. Pure crystals formed from standard (*R*)-benzoin dissolved in a volatile solvent did not exhibit a CD signal (Fig. S12†).

Conclusions

In this work, we successfully mimicked a spatially confined biocatalytic self-assembly process in LCMs. The CD and ORD results show that (*R*)-benzoin generated by BAL in LCMs exhibits enhanced chirality compared to (*R*)-benzoin in solution or added exogenously to LCMs. These data recapitulate key roles of spatially confined environments on enzymatic conversions, leading to the formation of supramolecular chirality. When these structures age, they are gradually transformed into thermodynamically favored crystals. Overall, this special bottom-up material fabrication in a biomimetic environment should give chemists additional tools to create more complex and functional materials.

Conflicts of interest

There are no conflicts to declare.

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