Mechanistic control of a galvanic replacement reaction on cuprous oxide†

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Galvanic replacement (GR) reactions are a versatile approach to fabricating hierarchically structured functional nanomaterials for catalytic, plasmonic, and sensing applications. Most research efforts aim to identify chemical strategies to control the resultant morphology of GR deposition on metallic nanoparticle seeds. Recently, GR has become a method of interest for fabricating heterogeneous interfaces for these applications. Here, we study the chemical mechanism for the GR reaction of AuCl₄⁻ on Cu-based thin films. X-ray photoelectron spectroscopy and structural characterization show that, while the GR reaction proceeds through the direct dissolution of Cu and reduction of AuCl₄⁻ on Cu, the reaction on Cu₂O results in the solid-state formation of CuO at the interface which passivates the interface from further Au deposition. As a result, the chemistry and morphology of Au deposited on Cu₂O is limited by the rate of CuO dissolution in the background acidic electrolyte. To explain the observed differences between the GR reaction of AuCl₄⁻ on Cu and Cu₂O interfaces, we propose a new mechanism for the GR reaction on Cu₂O surfaces where disproportionation is the limiting intermediate reaction which can be mediated by AuCl₄⁻ concentration and by the photoelectrochemical generation of Cu nanoparticles throughout the bulk of the Cu₂O. Consequently, the hierarchical structure of the GR deposition of Au can be chemically controlled on Cu₂O films. More generally, this highlights how the details of the chemical kinetics at the reaction interface can be exploited to tailor the resulting nanostructure of metals deposited via GR reactions.

Introduction

Galvanic replacement (GR) is the electroless redox reaction that occurs at the interface of a substrate and a solution containing metal ions.¹,² The difference in the reduction potentials between the substrate and ions drives the spontaneous oxidation of the substrate and deposition of metal atoms via the reduction of ions. GR reactions are sensitive to the chemistry of the metal ions and surface chemistry of the metal substrate.³⁻⁵ As a result, the morphology and composition of the GR-deposited metal can be controlled by parameters such as the faceting of the starting substrate, the ligand structure of the ions, the concentration of each component, and the incorporation of other reducing agents.⁶⁻¹¹ GR reactions are used to synthesize a wide range of multi-metallic hierarchical nanostructures of interest to a wide range of optical, plasmonic, catalytic, and sensing applications.¹²⁻¹⁷

Copper is a particularly interesting substrate for GR reactions due to the wide range of nanostructures, nanocrystals, and films that can be chemically synthesized as a starting material.

The mechanism for the GR-driven deposition of Au (from AuCl₄⁻, for example) on Cu metal substrates through the reduction of Au salt and the oxidation/dissolution of Cu in acidic electrolytes:¹⁸

\[
3\text{Cu(s)} + 2\text{AuCl}_4^{-}(aq) \rightarrow 3\text{Cu}^{2+}(aq) + 2\text{Au(s)} + 8\text{Cl}^{-}(aq) \quad (1)
\]

This mechanism describes the GR reaction on both nanoparticle Cu substrates and on thin films. While it is not as common as metallic Cu, Cu₂O is also of interest as a GR substrate. The reduction potentials of Cu(0) (Cu ⇌ Cu²⁺ + 2e⁻, \(E^0 = -0.3419 \text{V}\)) and Cu₂O (Cu₂O + 2H₂O + 2e⁻ ⇌ 2CuO + 2H⁺ + 2OH⁻, \(E^0 = -0.360 \text{V}\)) are roughly equal and, naively, should demonstrate roughly the same reactivity.¹⁹ Cu₂O is useful because the shape and faceting of nanoparticles and thin-films, and therefore the resulting noble metal structures from GR, can be controlled during chemical synthesis.²⁰,²¹ The GR reaction of AuCl₄⁻ also occurs spontaneously on Cu₂O, though less is known about the mechanism and kinetics. The overall reaction on Cu₂O has been proposed to follow a similar stoichiometric mechanism to the one observed on Cu metal in acid:²⁰,²²

\[
3\text{Cu}_2\text{O(s)} + 2\text{AuCl}_4^{-}(aq) + 6\text{H}^{+}(aq) \rightarrow 6\text{Cu}^{2+}(aq) + 2\text{Au(s)} + 8\text{Cl}^{-}(aq) + 3\text{H}_2\text{O} \quad (2)
\]

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In this work, we elucidate the mechanism for the GR reaction of $\text{AuCl}_4^-$ on Cu and Cu$_2$O thin film substrates by extensive characterization of the surface and bulk transformations of the substrates. Contrary to previously proposed stoichiometric mechanisms for GR on Cu$_2$O (Reaction 2), we observe that the reaction proceeds through the solid-state formation of a passivating layer of CuO at the interface, which is not observed for the same GR reaction on Cu. As a result, the kinetics and overall morphology of the Au deposition on Cu$_2$O are dependent on the rate of dissolution of the GR-inactive CuO. We show that the morphology and fidelity of the deposited Au can be controlled by the concentration of the noble metal salts and by the presence of Cu nanoinclusions throughout the Cu$_2$O film that form during photoelectrodeposition. Based on the experiments described here, we propose a mechanism for the GR-based deposition of Au on Cu$_2$O interfaces that is initiated by disproportionation of the Cu$_2$O surface.

Experimental section

Copper(II) sulfate hydrate (98%; Sigma-Aldrich), lactic acid solution (reagent grade, 85%; Sigma-Aldrich), sodium hydroxide solution (50% w/w; VWR), sodium tetra-chloroaurate(III) dihydrate (99%; Sigma-Aldrich), sulfuric acid (95–98% ACS grade; VWR), and water (HPLC grade; VWR) were used as received. Fluorine-doped tin oxide (FTO)-coated substrates (TEC-15, 12–14 Ω sq; MTI Corp) were diced and rinsed in acetone, methanol, and isopropanol, then dried under nitrogen prior to use.

Materials characterization

X-ray diffraction (XRD) measurements (Mini-Flex II, Rigaku) were performed using Cu-Kα radiation ($\lambda = 1.54 \, \text{Å}$). Scanning electron micrographs (SEM) were measured with a FEI Nova Nanolab SEM. X-ray photoelectron spectroscopy (XPS) measurements (Phi Versaprobe) were performed with a monochromated Al Kα source (1486.6 eV).

Preparation of copper-based thin films

Electrodeposited and photoelectrodeposited Cu$_2$O films were prepared identically to previously reported methods. The electrodeposition solution was prepared by dissolving CuSO$_4$ in a 3.0 M lactic acid solution to a final CuSO$_4$ concentration of 40.0 mM. The pH of the electrodeposition solution was adjusted to 10.0 by the slow addition of NaOH solution. Electrodeposition onto FTO electrodes was carried out in a three-electrode configuration with a Pt mesh counter electrode and a Ag/AgCl (saturated KCl) reference electrode (Bioanalytical Systems) with a potentiostat (Bio-Logic SP-240). The electrodeposition bath was heated to 60°C by partially submerging it into a heated water bath. The films were synthesized by potentiostatic electrodeposition at $0.4 \, \text{V vs. Ag/AgCl}$ until a charge density of 0.36 mC cm$^{-2}$ had passed. Photoelectrodeposited Cu$_2$O films were prepared under identical conditions to electrodeposited ones, though deposition was stimulated by a 455 nm LED (350 mW cm$^{-2}$). The resultant electrodeposited or photoelectrodeposited Cu$_2$O films were roughly 600 nm thick based on previously published measurements. CuO films were prepared by...
annealing electrodeposited Cu₂O films at 500 °C in air for 1 h (10 °C min⁻¹ ramp rate). The complete transformation to CuO was confirmed by XRD before proceeding. 600 nm Cu films were deposited onto FTO substrates by electron beam evaporation (10 Å s⁻¹ deposition rate).

**Galvanic replacement (GR) reactions on Cu-based films**

Au GR reaction solutions of specified concentrations were prepared by dissolving NaAuCl₄ into 0.01 M sulfuric acid. NaOH solution was added slowly to adjust the solution to pH 2.7. The GR reaction was performed by submerging the substrate in GR solution for the desired time. After submersion, the films were rinsed in water and dried under nitrogen.

**Results**

We characterized the surface chemistry of the evaporated Cu, electrodeposited Cu₂O, and air-annealed CuO films exposed to 5 mM AuCl₄⁻ GR solution. Under exposures of up to 60 s, the Cu 2p XPS spectra for the Cu substrate remained constant, indicating that the oxidation state of the Cu interface was maintained during the reaction (Fig. 1a). Cu 2p XPS spectra of CuO showed the broad features and satellite peaks indicative of Cu(II) for GR solution exposures of up to 3600 s (Fig. 1b). For Cu 2p XPS measurements on Cu₂O (Fig. 1c), we observed the rapid oxidation of the surface for 2 s of GR solution exposure. The film was completely transformed from Cu(I) to Cu(II) for the

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**Fig. 2** The Cu 2p XPS spectra for Cu₂O thin film substrates exposed to varying concentration AuCl₄⁻ GR solutions. The substrate was observed to oxidize within 2 s to CuO for 5.0 mM (green) and 50.0 mM AuCl₄⁻ (purple) solutions. The oxidation of the Cu₂O substrate progresses more slowly for lower AuCl₄⁻ concentrations. Little oxidation is observed for 0.2 mM AuCl₄⁻, and a pronounced CuO peak is observed for 1.0 mM AuCl₄⁻ after 300 s exposure.

**Fig. 3** (a) XRD measurements of a time series of 5 mM AuCl₄⁻ GR reactions on Cu (blue) and Cu₂O (red) thin films. No significant change is observed in either film for 2 s and 10 s exposures. A small peak (blue arrow; 2θ = 28.0°) was observed on the Cu substrate at an angle corresponding to the (120) Bragg reflection for an orthorhombic CuAu alloy. After 60 s, Au was observed on the Cu₂O substrate (red arrow; 2θ = 38.1°, corresponding to the Au (111) Bragg reflection). (b) XRD measurements of GR deposition on Cu and Cu₂O substrates after 60 s from varying AuCl₄⁻ concentration. At low concentrations (0.2 mM, 1.0 mM), no significant features were observed beyond the peaks for the substrate on either the Cu or Cu₂O films. The (120) Cu–Au alloy Bragg reflection was significantly more intense on Cu exposed to 50 mM AuCl₄⁻. Other features (purple arrows) indicated significant alloying and solid solution formation between Cu and Au at this high concentration, though the peak positions can be assigned to a number of Au–Cu intermetallic phases. On Cu₂O, the Bragg intensity for Au was slightly more intense for 50 mM AuCl₄⁻ than for 5 mM, but no XRD features indicating alloy formation were observed. (c) An isolated region of the XRD measurements in (b), emphasizing the concentration-dependent observation of the Au (111) Bragg reflection. For reference, the calculated diffraction patterns for the known phases of the Au–Cu alloy system are shown in Fig. S4.
dark regions in the images of the interface are Cu$_2$O (from XRD measurements) with a thin layer of CuO (from XPS). SEM images with larger Au formed nanoparticles on the surface of Cu$_2$O.

AuCl$_4^-$ dissolved of Cu$_2$O (Fig. S1 and S2†), which is known to occur on Cu$_2$O in dilute sulfuric acid.** Au 4f XPS measurements on each substrate (Fig. 1d) showed Au deposition on Cu and Cu$_2$O surfaces within 2 s, but no deposition on CuO for any exposure duration. The null solution slowly dissolved each of the oxide substrates (Fig. S1†).

We measured the dynamics of the surface oxidation on Cu$_2$O films by the GR reaction as a function of AuCl$_4^-$ concentration by Cu 2p XPS (Fig. 2a). The surface was fully oxidized within 2 s of exposure to the 5.0 mM and 50.0 mM solutions. A small amount of oxidation was observed in the 0.2 mM solutions after 300 s, though not much more than the native CuO formed on as-prepared Cu$_2$O. A significant amount of oxidation was observed for the films exposed to the 1.0 mM GR solution. The intensity of the Cu(i) and Cu(ii) peaks was roughly equal after 300 s.

XRD measurements (Fig. 3a) for the time series of GR deposition on Cu shows only a small peak (2θ = 28.0°) after 60 s that corresponds to the (120) Bragg reflection of the orthorhombic Phm CuAu intermetallic phase.** On Cu$_2$O, a weak feature was observed at the angle corresponding to the Au(111) Bragg reflection (2θ = 38.1°). Peaks with stronger intensities were observed in XRD measurements with increasing AuCl$_4^-$ concentration after 60 s exposure (Fig. 3b). At 50.0 mM, the intensity of the (120) orthorhombic CuAu Bragg reflection was significantly higher than for the 5 mM exposure. Other diffraction peaks were observed for the 50.0 mM exposure, including one corresponding to the Au(111) Bragg reflection and others that indicate significant alloying between Cu and Au. The peak positions not attributable to the substrate or pure metal deposition (2θ = 32°; 2θ = 38–41°) can be assigned to a number of Au–Cu intermetallic phases.** Additionally, the diffraction intensity for the Cu substrate was significantly less intense at 50.0 mM than for other exposures, showing that the substrate film was being rapidly consumed in the GR reaction. On Cu$_2$O, only the Au(111) and Au(200) Bragg reflections were observed, with only a slight intensity increase compared to the 5 mM case (Fig. 3c). No XRD features indicating large scale crystalline Au deposition was observed at lower concentrations for either Cu or Cu$_2$O films. Energy-dispersive X-ray spectroscopy showed that a significant amount of Au was deposited on Cu$_2$O surfaces exposed to 1 mM AuCl$_4^-$ (Fig. S3†).

We imaged the surface morphology of the deposited Au on Cu after a 60 s exposure to the GR solution (Fig. 4). At concentrations of up to 5 mM AuCl$_4^-$, the GR reaction resulted in a uniform, homogenous deposition of Au. For comparison, the Cu substrate and the Au-coated substrate are shown in Fig. S5†.

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![Fig. 4](image-url) Scanning electron micrographs of GR deposition after a 60 s exposure on (left) Cu substrates and (right) Cu$_2$O substrates for varying AuCl$_4^-$ concentration. On Cu, conformal deposition was observed for concentrations of (a) 5.0 mM and lower. At 50.0 mM (b), the film was etched by the GR reaction to form a microporous surface, though the deposition was uniform otherwise. A sparse distribution of very small (~50 nm) Au nanoparticles were observed on the Cu$_2$O substrates exposed to 0.2 mM GR solution (c). At higher AuCl$_4^-$ concentrations, GR-deposited Au formed nanoparticles on the surface of Cu$_2$O (d–f), with increasing characteristic size and interparticle distance with increasing AuCl$_4^-$ concentration. The characteristic pyramidal morphology of electrodeposited Cu$_2$O films was etched flat at 5.0 mM and 50.0 mM AuCl$_4^-$.

The dark regions in the images of the interface are Cu$_2$O (from XRD measurements) with a thin layer of CuO (from XPS). SEM images with larger fields of view are shown in Fig. S6†.
At 50 mM AuCl₄⁻, the surface became porous by the deposition, though the Au was homogenously distributed over the roughened interface. On Cu₂O, a 60 s exposure to the GR solution resulted in the deposition of Au nanoparticles with a distribution that varied with concentration. A sparse distribution of Au nanoparticles was observed for 0.2 mM AuCl₄⁻. A roughly uniform distribution of 50–100 nm Au nanoparticles was observed for the 1 mM solution. The particle size and the heterogeneity of the distribution increased with increasing AuCl₄⁻ concentration (5 mM and 50 mM). Additionally, the oriented, pyramidal surface characteristic of electrodeposited Cu₂O was etched flat at these high concentrations.

Fig. 5 (a) XRD measurements of electrodeposited (green) and photoelectrodeposited (black) Cu₂O films. Measurements of the photoelectrodeposited films are distinguished by broadened Cu₂O Bragg reflections and a Cu (111) Bragg reflection indicating the presence of metallic Cu nano inclusions throughout the bulk of the film. (b) Cu 2p XPS of photoelectrodeposited Cu₂O exposed to the GR reaction. At high concentrations (5.0 mM, 50 mM) the interface was fully oxidized within 2 s of exposure, as observed on ordinary Cu₂O. Virtually no surface oxidation was observed for the film exposed to 1.0 mM or 0.2 mM AuCl₄⁻ for exposures of up to 300 s, compared to the significant oxidation observed on ordinary Cu₂O (Fig. 2). (c) Au 4f XPS indicating Au deposition at each concentration and exposure time, with increasing XPS intensity for longer exposure times.

Fig. 6 (a) XRD of the Au deposition on photoelectrodeposited Cu₂O after a 60 s exposure to GR solution. No significant XRD features were observed for the low concentration samples (0.2 mM, 1.0 mM). The Au (111) and Au (200) Bragg reflections were observed for 5.0 mM and 50.0 mM GR reactions with higher intensities than the corresponding peaks on ordinary Cu₂O (Fig. 3b). (b) An isolated region of the XRD measurements in (a), emphasizing the concentration-dependent observation of the Au (111) Bragg reflection.
We used photoelectrodeposited Cu$_2$O films to study the effects of excess Cu on surface oxidation during the GR reaction. At sufficient illumination intensity, the photoelectrodeposition of Cu$_2$O results in a film that is doped by a homogeneous distribution of Cu nanoparticles. This is indicated by the emergence of a Scherrer-broadened Cu(111) peak in an XRD measurement of Cu$_2$O electrodeposited under 455 nm LED illumination at an intensity of 350 mW cm$^{-2}$ (Fig. 5a). Cu 2p XPS measurements of the surface oxidation dynamics on Cu-doped Cu$_2$O films showed that the rate of oxidation was reduced at low concentrations (Fig. 5b). Virtually no oxide formation was observed on films exposed to 0.2 mM or 1.0 mM films for 300 s, indicating that any initial CuO at the interface had been etched away in the solution. The surfaces were almost totally transformed to Cu(II) at 5.0 mM and 50.0 mM within 2 s of GR solution exposure. We observed Au deposition at all concentrations by Au 4f XPS (Fig. 5c), with the signal increasing with exposure time. As a result, the intensity in XRD measurements (Fig. 6) for the Au(111) and Au(200) Bragg reflections was higher on the photoelectrodeposited Cu$_2$O compared to ordinary Cu$_2$O exposed to identical GR conditions (Fig. 3b). No diffraction peaks were observed for Au for 0.2 mM or 1.0 mM GR exposure even after 300 s. While Au is evident on these surfaces from XPS, the deposition is too thin to generate measurable Bragg reflections.

The SEM-imaged structure of GR-deposited Au on Cu-doped Cu$_2$O after a 60 s exposure is shown in Fig. 7. At 1.0 mM, the resultant deposition consisted of small nanoparticles (Fig. 7a) distributed more homogeneously (Fig. 7b) than for the ordinary Cu$_2$O (Fig. 4). The Au deposition formed larger, more heterogeneously dispersed nanoparticles with increasing AuCl$_4^-$ concentration for 5.0 mM and 50.0 mM solutions (Fig. 7c,d).

**Discussion**

The previously proposed mechanism (Reaction 2) for the AuCl$_4^-$ GR reaction on Cu$_2$O closely follows the one for the same reaction on Cu. The results of the experiments described in this work show that there are distinct mechanisms for the GR reaction of AuCl$_4^-$ on Cu and Cu$_2$O substrates. The oxidation state of a Cu substrate remains unchanged during the GR reaction, regardless of the duration of the exposure or concentration of AuCl$_4^-$ in solution. The Au deposition is relatively homogeneous over the exposed substrate, with some induced roughness occurring at higher concentrations of AuCl$_4^-$. The formation of intermetallic Cu–Au alloys and the continuous presence of Cu at the substrate surface even after significant Au deposition suggests that the GR reaction on Cu metal depends on the mobility of Cu atoms through the solid deposition to maintain the reaction. The chemical parameters that limit the GR reaction are the concentration of AuCl$_4^-$ and solution access to the Cu surface. These observations are consistent with and expand on the GR mechanism proposed by other researchers for Cu substrates (Reaction 1).

For Cu$_2$O exposure to AuCl$_4^-$ forms a CuO layer at the interface that is inactive for the GR reaction, but dissolves under exposure to sulfuric acid. The heterogeneity of the Au deposition on Cu$_2$O and the rate of surface oxidation increases with increasing AuCl$_4^-$ concentration. Doping the Cu$_2$O substrate
with Cu nanoparticles via photoelectrodeposition increases the resistance to the formation of CuO and the uniformity of the Au deposition. If Cu₂O was the direct reactant, as suggested by the previously proposed mechanism (Reaction 2), the surface would maintain the Cu(Ⅰ) oxidation state throughout the reaction. However, after sufficient exposure to AuCl₄⁻ in H₂SO₄, the surface is transformed to Cu(Ⅰ) (in the form of CuO) to such a complete degree that only Cu(Ⅰ) is observable by XPS. The formation of a passivating surface oxide rather than the direct dissolution of substrate is also known for electroless noble metal deposition on Si in aqueous solutions. Similar to the GR reaction on Cu₂O, the electroless deposition reaction on Si requires an active oxide etchant like hydrofluoric acid to proceed.²⁹,³⁰

The difference in the GR reaction for the two substrates suggests a more complex mechanism for Cu₂O substrates. Cu₂O is known to disproportionate under acidic conditions based on the standard reduction potentials for Cu(Ⅰ) and Cu(Ⅱ) in aqueous, acidified media.²⁵,²⁶ Cu formation was observed in these studies for the dissolution of electrodeposited Cu₂O films in the null, sulfuric acid-only solution (Fig. S1 and S2†). We propose that disproportionation is the initial step for the GR-driven deposition of Au on Cu₂O (Fig. 8). To initiate the reaction, the surface of the Cu₂O film disproportionate upon exposure to the acidic AuCl₄⁻ deposition solution,

$$\text{Cu}_2\text{O}(s) \rightarrow \text{CuO}(s) + \text{Cu}(s)$$

(3)

exposing Cu(0) at the interface and initiating the GR reaction with AuCl₄⁻ (Reaction 1). Au is deposited through a redox reaction with the interfacial Cu(0), leaving the CuO on the surface of the substrate. The reaction proceeds as long as Cu₂O is exposed to the surface and disproportionation can occur. When the interface is depleted, the reaction is kinetically limited by the dissolution rate of CuO or by the rate of electron drift from the bulk to the interface.

A mechanism for the GR reaction on Cu₂O that depends on disproportionation as an intermediate step explains the AuCl₄⁻ concentration-dependent kinetics of CuO formation and heterogeneity of Au deposition on Cu₂O. Similarly, the doping of photoelectrodeposited Cu₂O by Cu nanoinclusions throughout a substrate mitigates the formation of a reaction-limited amount of CuO at the surface at low AuCl₄⁻. On undoped Cu₂O, the consumption of Cu from the surface by the GR reaction traps the equilibrium Reaction 3 at the products. The depletion of interfacial Cu₂O extends into the near-surface bulk of the substrate, which transforms entirely into CuO as observed from XPS measurements. The excess of Cu(0) throughout the photoelectrodeposited film contributes to the disproportionation reaction by LeChatelier’s principle, providing a reservoir of Cu to maintain the equilibrium presence of CuO near the interface. The resulting photoelectrodeposited Cu₂O is more resistant to the build-up of GR-limiting CuO at the interface, particularly at low AuCl₄⁻ concentrations, and thus can maintain the reaction to form a more homogeneous deposition. The complex energetics of the Au/Cu₂O/CuO interface may promote the collection of electrons from the bulk on surface Au(0) to drive further reduction of AuCl₄⁻ to catalyze the observed nanoparticle formation rather than a homogeneous layer, though further experiments are necessary to explore this. As disproportionation is characteristic of the interfacial chemistry between the acidic media and the Cu₂O surface, it potentially mediates the GR reaction observed for other noble metal salts, such as Ag⁺, PtCl₆²⁻ or PdCl₄²⁻, which also have appropriate reduction potentials for driving GR reactions on Cu and Cu₂O.³¹–³⁴

**Conclusion**

In conclusion, we have characterized the interfacial chemistry of Cu and Cu₂O thin films after the deposition of Au via galvanic replacement reaction of AuCl₄⁻ in H₂SO₄. The conventional reaction mechanism is an apt description of GR on Cu, where surface Cu(0) is the direct reactant. We have outlined experiments that show that the disproportionation mediates the same reaction on Cu₂O, resulting in Au deposition that is limited by the formation of CuO on the interface. Further deposition depends on the kinetics of CuO dissolution and electron drift from the near-surface bulk at the interface. The passivation of the surface and further reduction of Au on deposited Au provides a chemical handle for controlling the size and distribution of Au nanoparticles at the interface. As a result, this new mechanistic understanding can lead to new methods for engineering the morphology-dependent functional interfaces.
Conflicts of interest

There are no conflicts to declare.

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