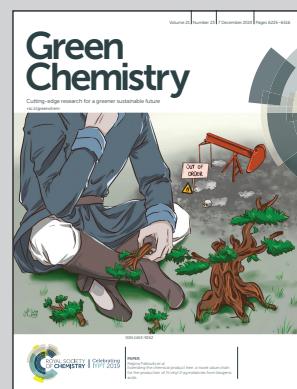


**Highlighting Research led by Prof. Alice MIJA from University Nice Côte d'Azur, Institute of Chemistry of Nice (ICN) – UMR CNRS 7272.**

**Cross-linked polyfuran networks with elastomeric behaviour based on humins biorefinery by-products**

Humins are biorefinery by-products with a polyfuranic structure. Auto-crosslinked humins networks exhibit only minor deformation and break very easily. By design of the humins' reactivity and network, copolymers were synthesized with a ductile and elastomeric character. The tensile strain at break of the copolymers reached ~60% which is a significant advance in terms of humins applications as a structural material. These are the first data reported on the synthesis of elastomeric humins-based copolymers opening the utilization of these emergent materials for industrial applications.

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## Cross-linked polyfuran networks with elastomeric behaviour based on humins biorefinery by-products†

Roxana Dinu and Alice Mija  \*

Biorefinery by-products have received much attention in the last few years. Humins are one of these candidates. Nevertheless, one common feature of humins, as a polyfuranic thermoset material, is their inherent brittleness which is a direct consequence of the network's structure. Auto-crosslinked humins networks exhibit only minor deformation and break very easily. Consequently, this behavior limits their use in many industrial applications. For this reason, we used in this work the copolymerization strategy, by combining humins with epoxide based aliphatic ethers, as a toughening approach. To gain a fundamental understanding of the humins based copolymers we thoroughly investigated their structure, behavior and properties by FT-IR, rheology, DSC, TGA, DMA and tensile tests. These investigations show one of the most important results: the humins copolymers have a ductile and elastomeric character. The tensile strain at break of the copolymers reaches  $\approx 60\%$  which is a significant advantage in terms of applications of humins as structural materials. To our knowledge, these are the first data reported on the synthesis of elastomeric humins based copolymers paving the way for the utilization of these emergent materials in industrial applications.

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## Introduction

In the last few years, particular attention has been paid to the use of renewable resources in order to replace fossil derivatives, which increasingly affect the environment. A continuous challenge for researchers is to find effective ways of conversion of abundant or cheap bioresources into fuels, chemicals or materials. Lignocellulosic biomass is a renewable resource composed mainly of three principal constituents *e.g.* lignin, cellulose, and hemicellulose, each of them having different compositions and reactivities. Lignin is an amorphous, branched polymer of aromatic alcohols while cellulose and hemicellulose are polysaccharides consisting of hexoses and pentoses.<sup>1</sup> Generally, 35–50% of lignocellulosic biomass is represented by cellulose, 20–35% by hemicellulose, and 10–25% by lignins and the remaining fraction is composed of oils, ash and proteins.<sup>2</sup>

Under acid-catalyzed hydrolysis, the cellulosic biomass can be converted into various platform molecules such as 5-hydroxymethylfurfural (HMF), levulinic acid or formic acid in biorefineries but the processing is sometimes accompanied

by the formation of a dark-colored by-product.<sup>3,4</sup> It has been revealed that this by-product, called humins, is formed more rapidly from fructose than from glucose.<sup>5</sup> The formation of this by-product has been reported in almost all the papers linked to the synthesis of levulinic acid, HMF or furfural. The yield of humins depends much on process parameters (catalysts, temperature, duration, *etc.*).<sup>6</sup> Although many efforts have been focused on reducing the amount of this side-product from biorefineries, the yield of humins still remains high, between 14 and 30 wt%.<sup>7,8</sup> Considering these factors, the valorization of humins is the optimal method for improving the economic value of a biorefinery chain, but above all, it is the best way to improve the environmental impact of the entire technological process. Another environmentally friendly process in which this side-product is formed is represented by the hydrothermal conversion of different biomass and derivatives.<sup>9–11</sup>

Since the 90s, the production and composition of the caramel of sugars have been a subject of great interest to the scientists.<sup>12–15</sup> Caramel is produced by heating sucrose and, from the compositional point of view, appears as a mixture of humins and iso-saccharosan in various proportions.<sup>5,16,17</sup> Despite numerous investigations regarding caramel formation, the compositions of caramel and humins are not yet fully understood.<sup>5</sup> Following the investigations, humins have been found to consist of about 50–65% C, 29–46% O and 4–5.5% H

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and contain in their structure aromatic rings, most of them furanics.<sup>5–7</sup> The structure of humins is known to depend on the reaction time, temperature, feedstock and solvent (water and alcohol).<sup>10,18–20</sup>

Van Zandvoort *et al.*<sup>18</sup> have analyzed in detail the formation, morphology and molecular structure of laboratory made humins samples, prepared from different feedstocks using various processing parameters (Fig. 1). Also, Hoang *et al.*<sup>21,22</sup> have investigated the chemical structure of humins prepared in a laboratory by dehydration of D-glucose in order to produce levulinic acid. Based on these studies, the authors revealed that humins present a variable furanic structure formed by a dehydration pathway and contain alcohol, acid, ketone, and aldehyde functional groups. In their study, Constant *et al.*<sup>23</sup> have quantified and classified the ketone and aldehyde carbonyl functional groups contained in industrial humins and lignins by <sup>19</sup>F NMR. Following these investigations, they found that the industrial humins contains 6.6 wt% carbonyl functions. The abundance of such functional groups provides opportunities for the use of these humins by-products in various domains of applications.

Recently, researchers started to explore possible routes to valorize this by-product derived from biomass conversion processes in order to turn them into high-value products. Kang *et al.*<sup>24</sup> have completely degraded humins by alkaline-catalytic hydrothermal treatment followed by wet oxidation. By this technology, the authors managed to convert humins into acetic acid obtaining a yield of 25.6% and a purity of 46.2%. Hoang *et al.*<sup>6</sup> studied the gasification and steam reforming of humins at 750 °C over a variety of alkali- and alkaline-earth

based catalysts in order to obtain syngas (a mixture of hydrogen and CO<sub>x</sub>). The authors found that Na<sub>2</sub>CO<sub>3</sub> was the most effective catalyst enabling complete conversion of humins (81% of H<sub>2</sub> yield). The liquefaction of humins has been reported by Rasrendra *et al.*<sup>8</sup> through fast pyrolysis resulting in low molecular furanics such as furfural and 2,5 dimethylfuran.

The potential of humins to generate materials has also been tested. Pin *et al.*<sup>25</sup> combined furfuryl alcohol (FA) with humins to produce a fully bio-based furanic resin tested in the development of thermoset furanic composites where cellulose fibers act as reinforcement. An original way to valorize the humins was their transformation into polymeric foams with potential applications in substrates for plant growth, absorbents for wastewater treatment, insulation materials, supports for solid catalysts, *etc.*<sup>26–30</sup> In our previous studies<sup>26,27</sup> we succeeded in developing macroporous foam materials based on an auto-crosslinking reaction of industrial humins. By adjusting the parameters of the humins-foam preparation process, we achieved a good control of the morphology and cell dimensions. The obtained foams show open and/or closed cells with cell diameters between 0.2 and 3.5 mm making possible the use of these materials in different application sectors. Humins were used by Kang *et al.*<sup>28</sup> in the production of activated carbon as a sorbent for wastewater treatment. These activated carbons were developed through KOH activation in a temperature range of 500–900 °C to investigate the optimal adsorption of methylene blue (MB) and phenol in aqueous solutions. The authors obtained very good results; the methylene blue adsorption capacity was about 40% while the phenol adsorption was around 39.7%. These results show the capacity of humins to produce porous materials with potential use as membranes for water purification, for the building sector or for use in green houses.

Following our previous work, the objective of this study is not only the valorization of industrial humins by-products obtained in biorefineries but also the development of emergent materials for different application sectors using non-toxic, low cost and environmentally friendly co-monomers. To do this, we had to decrease the inherent brittleness of reticulated humins, as it limits their use in many industrial applications. Auto-crosslinked humins networks allow only minor deformation and break very easily. To toughen brittle polyfuranic humins resins the strategy used in this work was chemical modifications of their backbone structure. The idea was to include more flexible moieties by copolymerizing humins with more flexible chains such as aliphatic diglycidyl ethers. Moreover, this pathway will possibly also result in lowering the crosslinking density intrinsic to humins. To produce a toughened network there are some requirements. Firstly, good compatibility between the humins matrix and the diglycidyls must exist, meaning the two components need to be totally miscible prior to curing. Subsequently, the humins should react with the diglycidyls in order to form chemical linkages between the modifier and the matrix.

To chemically modify the humins backbone we chose to copolymerize it with two aliphatic diglycidyl ethers: the poly-

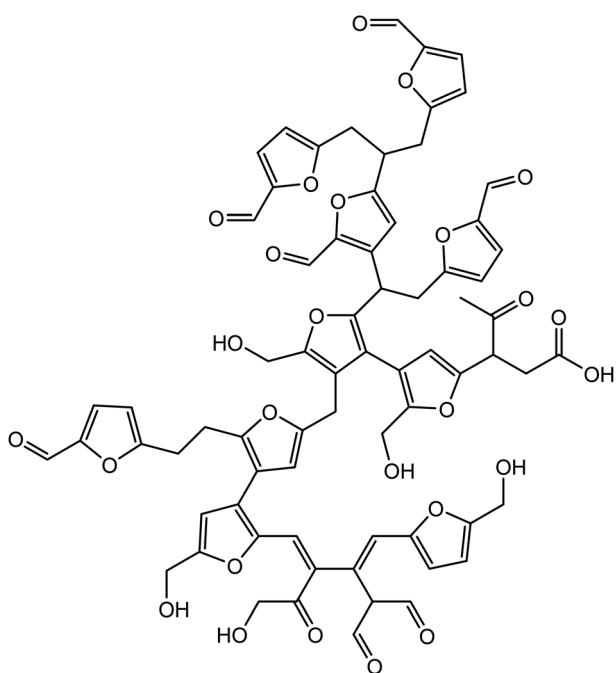


Fig. 1 Proposed molecular structure of a glucose-derived humins fragment.<sup>18</sup>



ethylene glycol diglycidyl ether (PEGDE) and the glycerol-1,3-diglycidyl ether (GDE). Polyethylene glycol (PEG) is a synthetic polyether which can be easily synthesized with a wide range of molecular weights. This polymer is soluble in water and also in many organic solvents.<sup>31</sup> PEG has been found to be nontoxic and is approved by the U.S. Food and Drug Administration; therefore it is used in many different applications such as biomedical research, drug deliveries, tissue engineering scaffolds, surface functionalization, *etc.*<sup>31–34</sup> For example, Kono<sup>35</sup> cross-linked a carboxymethyl cellulose sodium salt with PEGDE obtaining new hydrogels which could be used as carriers of drug delivery systems for protein-based drugs.

Glycerol, a by-product obtained by biodiesel refining, can be used as a monomer in the synthesis of polymers and materials in its original form or after chemical modifications.<sup>36</sup> Zhao *et al.*<sup>37</sup> developed a biodegradable microgel system based on glycerol-1,3-diglycidyl ether cross-linked with oxidized potato starch for controlled uptake and release of proteins. In another study, ur Rehman *et al.*<sup>38</sup> reported the preparation of a new microgel from tris(2-aminoethyl)amine and glycerol diglycidyl ether by using L- $\alpha$  lecithin as a surfactant and gasoline as the organic phase. The prepared microgels were found to be biocompatible against L929 fibroblast cells, showing antibacterial characteristics against common bacteria and possessing great potential in the biomedical fields.

In consequence, the two chosen chemical modifiers for the humins structure, the PEGDE and GDE, are non-toxic, have biomedical applications, and have good potential to produce materials by crosslinking in copolymerization with targeted structures. Together with their low viscosity and relatively low molar mass, they were ideal candidates for humins modification. The reactivity study of these novel formulations was realized by differential scanning calorimetry (DSC), rheology and *in situ* Fourier Transform Infrared Spectroscopy (FTIR). The physical and thermo-mechanical properties of the obtained thermoset materials were analyzed by thermogravimetric analysis (TGA), dynamic mechanical analysis (DMA), Shore hardness test and tensile tests.

## Experimental

### Materials

The main component of formulations is represented by humins, which are an industrial polymeric by-product produced by Avantium Chemicals at their Pilot Plant in Geleen, The Netherlands, formed during acid-catalyzed processing of carbohydrates. As already reported, the humins are carbonaceous, heterogeneous, polydisperse structures whose molecular structures remain largely unknown. To obtain thermoset materials, the humins were copolymerized with diglycidyl comonomers such as the poly(ethylene glycol) diglycidyl ether (PEGDE) and glycerol diglycidyl ether (GDE), with *N,N*-dimethylbenzylamine (BDMA) being used as an initiator. The comonomers and the initiator were purchased from Sigma-Aldrich and used as received.

**Humin-based formulations and thermoset sample preparation.** To design and develop different kinds of humins-based materials we tested different humins/comonomer formulations as presented in Table 1. The formulations were studied in terms of their reactivity, the temperature interval of the reaction and aspects of the synthesized material (homogeneity, foaming during curing, fragility of the final material, *etc.*). In all the formulations the BDMA initiator was used at 5% wt. To produce the reaction mixtures, the required amount of humins was mechanically mixed with necessary amounts of the comonomers and initiator until homogenization. The obtained formulations were poured into silicone molds and the thermosets were obtained by applying a curing and post-curing temperature program. Reactions were conducted for 4 h at 80 °C followed by post-curing for 1.5 h at 130 °C. To study the reactivity of humins with the diglycidyls, three types of humins mixture systems were prepared with PEGDE, GDE and PEGDE + GDE, in different ratios. BDMA was used as the initiator, at 5 wt%. The acronyms for the mixtures are reported based on their compositions: “HPB” – for the system humins/PEGDE/BDMA; “HGB” – for the system humins/GDE/BDMA; and “HPGB” – for the system humins/(PEGDE + GDE)/BDMA. 5% represents the quantity of the initiator in all the formulations, *e.g.* HP40B5 is a formulation with 40% PEGDE, 5% BDMA and 55% humins. After the first screen of reactivity optimization, the best three formulations (one of each system) were selected and characterized.

### Experimental techniques

**Differential scanning calorimetry.** DSC measurements were carried out on a Mettler-Toledo DSC 3 apparatus controlled by STARe Software developed by Mettler-Toledo. The heat flow and temperature of the instrument were calibrated in 3 points using water, indium and zinc standards. The humins-diglycidyl ether copolymerization reactions were directly performed in DSC pans, by simple heating. Samples of 10–15 mg were placed into 100  $\mu$ L aluminum crucibles. The different mixtures were reacted under non-isothermal conditions at a heating rate  $\beta$  of 10 °C min<sup>−1</sup> under air (100 mL min<sup>−1</sup>) over a temperature range of 25–250 °C. This thermal analysis technique was also used to study the second-order phase transitions on the resins. Samples of humins-based thermosets with masses between 9 and 12 mg were scanned at 10 °C min<sup>−1</sup> from –50 °C to 140 °C.

**FT-infrared spectroscopy.** The FT-IR technique was used to investigate the evolution of structures before, during and after

**Table 1** General properties of the compounds used for humins copolymerization

Compound name	Molecular weight (g mol <sup>−1</sup> )	Density at 25 °C (g cm <sup>−3</sup> )
<i>N,N</i> -Dimethylbenzylamine (BDMA)	135.21	0.9
Glycerol diglycidyl ether (GDE)	204.22	1.23
Poly(ethylene glycol) diglycidyl ether (PEGDE)	Average $M_n$ 500–650	1.14



the copolymerization of each formulation. The Fourier transform infrared (FTIR) spectra were recorded using a Nicolet iS50 FT-IR spectrometer equipped with a GladiATR (PIKE Technologies, Inc.) single diamond attenuated total reflectance system.

The spectrum of air was recorded as a background before each sample. All spectra were acquired with a spectral resolution of  $4\text{ cm}^{-1}$  and 32 scans in the range of  $4000\text{--}500\text{ cm}^{-1}$ .

**Rheometry.** Chemorheological measurements were conducted under nitrogen in an Anton Paar MCR-302 rheometer using disposable plate-plate geometries (25 mm diameter and 1 mm gap). The measurements were carried out at a scan rate of  $0.2\text{ }^{\circ}\text{C min}^{-1}$  over a temperature range of  $50\text{ }^{\circ}\text{C}$  to  $200\text{ }^{\circ}\text{C}$ . The complex viscosity, storage modulus ( $G'$ ) and loss modulus ( $G''$ ) were measured by oscillatory shear experiments with an angular frequency of  $10\text{ rad s}^{-1}$  and a deformation of 0.2%. The temperature of crossover of the values of storage ( $G'$ ) and loss ( $G''$ ) moduli was considered as the temperature of gelation ( $T_{\text{gel}}$ ).

**Dynamic mechanical analysis.** DMA measurements were performed using a Mettler-Toledo DMA 1 equipped with a three-point bending assembly at an oscillatory frequency of 1 Hz and an amplitude of  $20\text{ }\mu\text{m}$ . The analyzed samples had rectangular dimensions of  $48\text{ }\times\text{ }8\text{ }\times\text{ }4\text{ mm}^3$  (length  $\times$  width  $\times$  thickness). Each resin formulation was analyzed 3 times and the values averaged. The DMA was performed in the temperature-scanning mode with a constant displacement amplitude and frequency. The temperature ramp covered a range from  $-150$  to  $180\text{ }^{\circ}\text{C}$  at a heating rate of  $3\text{ }^{\circ}\text{C min}^{-1}$  under a nitrogen atmosphere. The loss ( $E''$ ) and storage ( $E'$ ) Young's moduli and damping factor ( $\tan \delta = E''/E'$ ) were determined.

Three different  $T_g$  values can be determined by the measurement according to AITM 1-0003 and ASTM D7028-07.<sup>39</sup> The three different  $T_g$  values are (i)  $T_{\text{g-onset}}$ , defined as the temperature of extrapolated tangents drawn from points on the storage modulus curve before and after the start of the glass transition; (ii)  $T_{\text{g-loss}}$ , defined as the maximum temperature on the loss modulus *versus* temperature curve; and (iii)  $T_{\text{g-peak}}$ , defined as the maximum of  $\tan \delta$  (damping). The  $T_{\text{g-peak}}$  value is generally higher than the  $T_{\text{g-loss}}$  value and corresponds more closely to the transition midpoint while the  $T_{\text{g-onset}}$  value more closely signifies the initial drop from the glassy state into the transition state. To unify the notation, the  $T_{\text{g-peak}}$  will be noted as  $\tan \delta$  assigned to the temperature of the maximum of the loss factor peak and attributed to the  $\alpha$  transitions.

The crosslinking densities for the humins-based copolymers were calculated using Flory's theory.<sup>40</sup> According to Flory the value of the storage modulus at the rubbery plateau allows the calculation of the crosslinking density of the resins:

$$\nu = \frac{E'}{3RT}$$

where  $\nu$  is the crosslinking density ( $\text{mol cm}^{-3}$ ),  $T$  represents the temperature ( $^{\circ}\text{K}$ ),  $E'$  is the storage modulus at the rubbery plateau at  $T$  (MPa) and  $R$  is the perfect gas constant. To calculate

the cross-linking density for the three humins-based resins, the values were taken at the rubbery plateau where the values of the modulus and  $\tan \delta$  are constant, namely at  $T_g + 80\text{ }^{\circ}\text{C}$ .

**Shore hardness test.** A Zwick Roell 3116 hardness tester was used for determining the hardness according to ISO 7619-1, ASTM D2240 and ISO 868. The Shore D hardness tester was released smoothly with a load force of  $50\text{ N} \pm 0.5\text{ N}$  until the presser foot was firmly seated on the specimens. To avoid errors, three samples of each resin formulation were tested, and three measurements were performed for each sample.

**Tensile tests.** The tensile strength and the Young's modulus of the humins/diglycidyl based thermosets were determined by tensile tests according to standard ISO 527-1<sup>41</sup> and ASTM D638-08<sup>42</sup> on a mechanical universal testing machine Instron, model 3365, controlled by BlueHill Lite software developed by Instron (Norwood, MA, USA). For the tests, a crosshead speed of  $10\text{ mm min}^{-1}$  was used on samples of dimensions  $75\text{ }\times\text{ }10\text{ }\times\text{ }2\text{ mm}^3$  (length  $\times$  width  $\times$  thickness). Five samples for each formulation were tested to evaluate the average calculations of mechanical properties (Young's modulus, tensile strength, and elongation at break).

**Thermogravimetric analysis.** Thermal stabilities of copolymerization formulations and the obtained thermosets were analyzed using thermogravimetric analysis (TGA). The thermal degradation, mass loss and its derivative as a function of temperature were evaluated using a TGA 2 Mettler-Toledo between  $25\text{ }^{\circ}\text{C}$  and  $1000\text{ }^{\circ}\text{C}$  under oxidative (air) and nitrogen flows ( $150\text{ mL min}^{-1}$ ) at a heating rate of  $10\text{ }^{\circ}\text{C min}^{-1}$ . About  $4\text{--}7\text{ mg}$  samples were placed into  $70\text{ }\mu\text{L}$  alumina pans and tested.

## Results and discussion

A first series of studies were carried out to determine the optimal formulations for the development of humins based thermosets by its copolymerization with the PEGDE and GDE in the presence of BDMA as the initiator. The formulations were analyzed using differential scanning calorimetry (DSC), rheology analysis and FTIR to determine the temperature range and the reactivity of the mixtures. We studied at the beginning 8 formulations by increasing from 20 to 50% the weight ratio of each comonomer in the humins mix in order to determine the optimum amounts of compounds required for the development of each of the systems humins/PEGDE and humins/GDE. Thereafter, we complexified the system by combining the humins with both GDE and PEGDE. Again, these formulations were analyzed, and the optimal formulations retained. So, in total, we decided to select 3 formulations: humins/PEGDE, humins/GDE, and humins/PEGDE/GDE.

### DSC studies of reactivity

Humins are materials with peculiar compositions and reactivities. To gain insights into their reactivity with the selected diglycidyl structures, dynamic DSC was conducted during thermally induced crosslinking *via* copolymerization. To select the optimum ratios of humins/PEGDE and humins/GDE copoly-



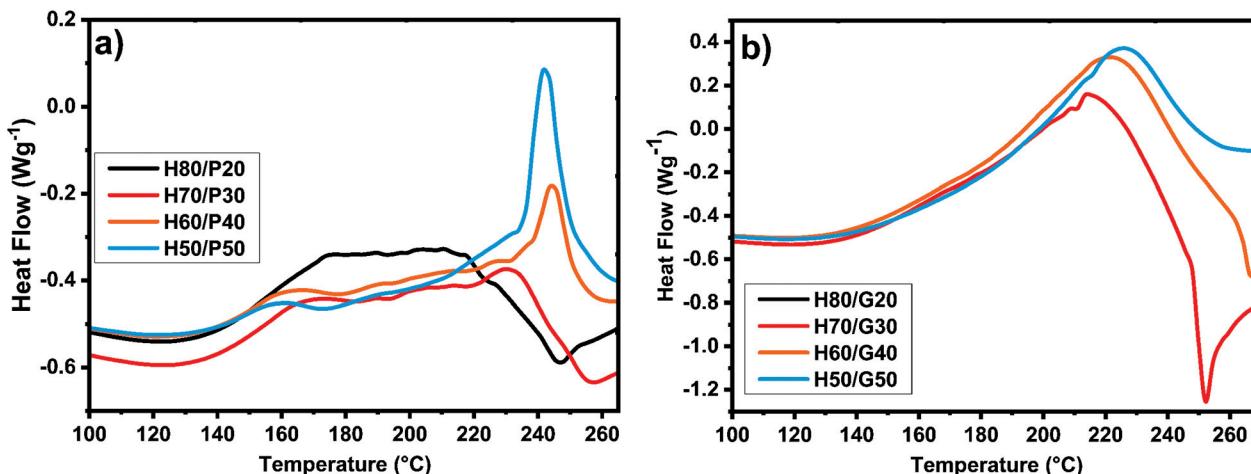


Fig. 2 DSC analysis of curing mixtures without an initiator at  $10\text{ }^{\circ}\text{C min}^{-1}$  between (a) humins and different amounts of PEGDE; and (b) humins and different amounts of GDE.

merization mixtures the reactivity between the humins and the comonomers without an initiator was analysed first by DSC. The collected results are shown in Fig. 2. As can be seen from the DSC analysis, the curing mixtures with low PEGDE contents (20 and 30 wt%) have a complex and wide temperature interval of reactivity, ranging between 115  $^{\circ}\text{C}$  and 260  $^{\circ}\text{C}$ . On increasing the PEGDE content (40 and 50 wt%), an evident double reaction can be observed, with the first exotherm observed between 120  $^{\circ}\text{C}$  and 180  $^{\circ}\text{C}$  followed by a second one between 190  $^{\circ}\text{C}$  and 250  $^{\circ}\text{C}$ .

With the increase in the amount of PEGDE, a decrease in the enthalpy of reaction can be observed which could be a sign that the PEGDE interrupts the auto-crosslinking of humins and that the copolymerization needs activation by an initiator. In the case of humins/GDE mixtures, the double reaction peak is no longer observed, because a single, long temperature range, reaction occurs.

Regardless of the amount of GDE, the maximum reactivity of the mixtures is observed around a temperature of 220  $^{\circ}\text{C}$ , the temperature range of reactivity ranging between 110  $^{\circ}\text{C}$  and 260  $^{\circ}\text{C}$ . With the increase in the amount of GDE, the total heat release of the reaction rises from  $\approx 240\text{ J g}^{-1}$  to  $\approx 300\text{ J g}^{-1}$ , except for the mixture with 50% comonomer, where the enthalpy of the reaction decreased considerably.

Following this study, these formulations were developed and cured in an oven to analyse if the amounts of compounds influence the physicochemical and mechanical properties of the materials. Practically, the thermosets obtained with less than 20 wt% PEGDE or GDE were rigid, brittle and thermally unstable, starting to foam at 130  $^{\circ}\text{C}$ , while the materials obtained with more than 30 wt% PEGDE or GDE were elastic and thermally stable when heated for curing at 150  $^{\circ}\text{C}$ . Then, the resins with a 50 wt% comonomer ratio were not selected because the aim of the study is valorization of the industrial humins by-product which should be the major part of the formulation composition. Following these rationales, the optimal

amount of comonomers was determined to be 40 wt%. Therefore, three formulations were selected: HP40B5, HG40B5 and the combination HP20G20B5.

To analyze properly the copolymerization reactions between humins and PEGDE or GDE, the reactivity of selected formulations in the presence of BDMA (5 wt%) as the initiator was studied. Normalized dynamic DSC thermograms of humins-based resin polymerizations are shown in Fig. 3 and data summarized in Table 2.

When BDMA is used as the initiator a strong decrease in the starting reaction temperature occurs, whatever the type of diglycidyl type comonomer. If the systems without an initiator start to react at temperatures higher than  $\approx 100\text{ }^{\circ}\text{C}$ , the presence of BDMA allows reactions to start at around 40  $^{\circ}\text{C}$ . Then, the reactivities remain complex, with overlapped thermal events indicating the multiple reactions that could occur

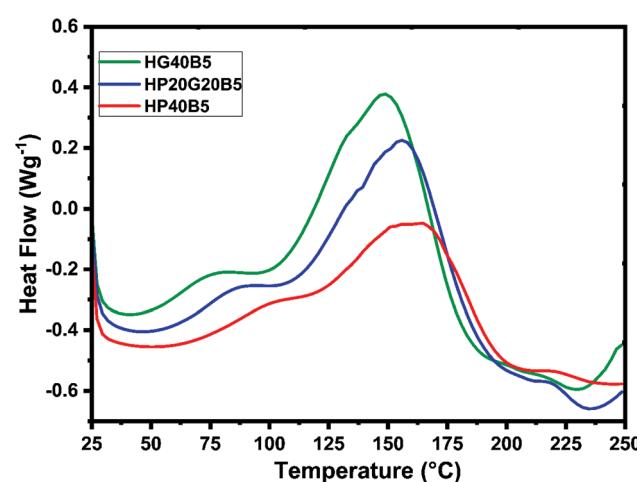


Fig. 3 Comparison between humins copolymerization reactions by dynamic DSC at  $10\text{ }^{\circ}\text{C min}^{-1}$ .

**Table 2** DSC analysis of humins/PEGDE, humins/GDE and humins/(PEGDE + GDE) copolymerization initiated by BDMA

	HP40B5	HP20G20B5	HG40B5
$T_{\text{peak}} (\text{°C})$	$164 \pm 1$	$157 \pm 1$	$149 \pm 1$
(reaction interval)	(42–215)	(40–230)	(38–228)
$\Delta_{\text{r}}H (\text{J g}^{-1})$	$212 \pm 4$	$319 \pm 4$	$333 \pm 4$

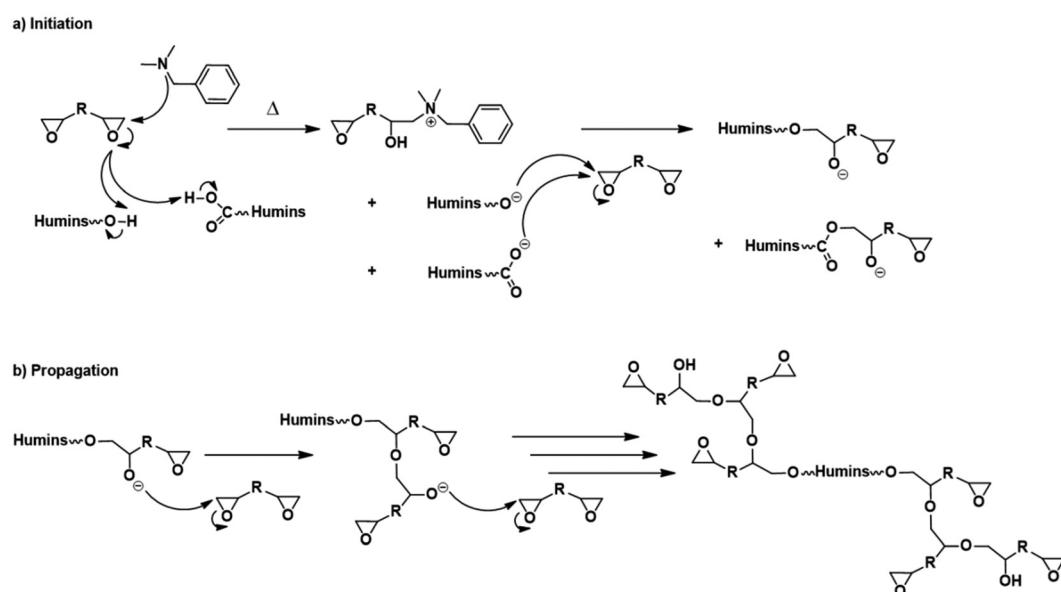
between the functional groups present in humins and the diglycidyls. The three thermograms follow the same trend, with a first small exotherm with a peak at  $\approx 80$ – $100$  °C followed by the main exotherm of the reaction with the maximum at 150–160 °C. At around 230 °C the DSC heat flows return to a quasi-linear response which represents the completion of curing. The areas under the exothermal peaks were integrated to obtain the heat of copolymerization. Among these three formulations, the greatest reaction enthalpy was shown by the mixture in which GDE was used and it is around  $333 \text{ J g}^{-1}$ . Secondary DSC scans at 250 °C reveal that no residual reactions occur.

As presented in Scheme 1 the copolymerization reactions of the humins with the diglycidyls could occur *via* an anionic mechanism. The first step is the initiation reaction with the participation of the tertiary amine in the ring opening of epoxy groups and formation of the zwitterions and then of the alkoxides which are the active species in anionic propagation reactions. We can suppose that this reaction of initiation appears in the three DSC thermograms as the small exotherms in the 80–100 °C temperature range. Then, once the alkoxides are formed, the propagation reactions occur faster, with a high enthalpy of reaction. The propagation reactions of humins-diglycidyl copolymerization are the main exothermic phenomena appearing in the DSC thermograms (Fig. 3) in the  $\approx 100$ – $200$  °C interval. By comparing the reactions of humins

with PEGDE and GDE, it is found that the copolymerization with the glycerol diglycidyl ether is more exothermic, with a higher reaction rate as shown by the higher slope of the peak. This higher reactivity could be explained by the presence of  $-\text{OH}$  in the GDE structure. The hydroxyl group of GDE could have a dual contribution: (i) *via* the interaction with the  $-\text{COOH}$  functions of the humins, and/or (ii) *via* the formation of ternary systems in the initiation steps. GDE's contribution also appears in terms of enthalpy and the reaction rate in the HP20G20B5 copolymerization system.

### Evolution of the structure during copolymerization reactions

**FT-IR investigations.** To highlight the copolymerization of humins with the diglycidyl comonomers, FTIR spectra of the initial components of formulations, of their mixture at  $t = 0$  and also of the cured systems were compared (Fig. 4). The industrial humins used in this study present similar IR spectra to those reported in the literature.<sup>18,22,25,43</sup> The significant assignments for all the initial components of the mixtures are presented in Table 4. In the spectra of humins we can observe an important peak at  $1666 \text{ cm}^{-1}$  which can be assigned to the  $\text{C}=\text{O}$  stretching vibrations of the aldehyde groups of HMF and MMF, while the  $\text{C}=\text{O}$  asymmetric stretching vibrations are presented at  $1702 \text{ cm}^{-1}$ . FT-IR absorption signals that can be attributed to the substituted furan rings are at  $1617 \text{ cm}^{-1}$  a  $\text{C}=\text{C}$  stretching vibration, at  $1018 \text{ cm}^{-1}$  a  $\text{C}-\text{O}$  stretching vibration or furan ring deformation, and also the signals from  $\text{C}-\text{H}$  out-of-plane deformation vibrations at  $804 \text{ cm}^{-1}$ ,  $768 \text{ cm}^{-1}$  and  $756 \text{ cm}^{-1}$ . In the case of PEGDE and GDE spectra, the most important signals are  $\text{CH}_2-\text{O}-\text{CH}_2$  asymmetric stretching vibrations of the ether groups at  $1092 \text{ cm}^{-1}$  for PEGDE and at  $1089 \text{ cm}^{-1}$  for GDE, and also the asymmetric stretching vibrations for the epoxy ring which appear at  $994 \text{ cm}^{-1}$ .

**Scheme 1** Summary of possible interactions among humins, aliphatic diepoxides and BDMA.

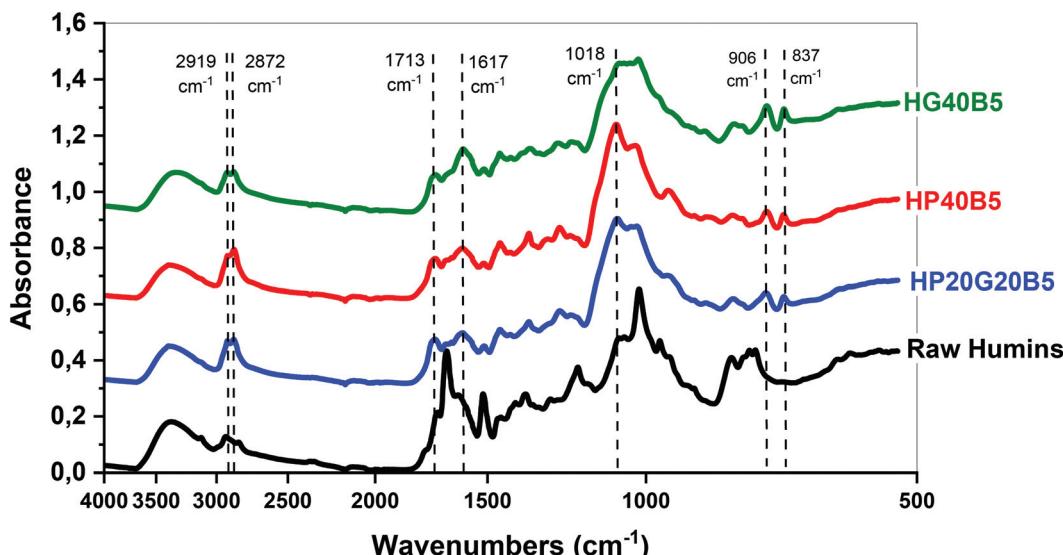


Fig. 4 FT-IR spectra of cured humins-based resins.

946, 910, and 840  $\text{cm}^{-1}$  for PEGDE and at 984, 906, and 837  $\text{cm}^{-1}$  for GDE. After analyzing the spectra of precursors, the three crosslinked resins were examined. For example, in the HG40B5 resin case we can observe that the peaks corresponding to  $-\text{OH}$  of humins and  $-\text{OH}$  present in the GDE structure are still present in the thermoset, meaning that the main reactions are not the etherification through epoxy ring additions to these groups (Fig. 4b). Then at 2919 and 2872  $\text{cm}^{-1}$  a well-defined doublet corresponding to  $\text{p}^3\text{C-H}$  stretching could indicate that during the copolymerization alkyl connections were formed inside the network. The  $\text{C=O}$  asymmetric stretching vibration at 1702  $\text{cm}^{-1}$  in the spectrum of humins is shifted slightly to the left (1713  $\text{cm}^{-1}$ ) and the shape is wider in the spectrum of the thermoset. This peak is not observed for GDE. The  $\text{C=C}$  stretching peak for the di-substituted furans is no longer visible in the spectrum of the resin, and the peak of the polysubstituted furans shifts from 1517  $\text{cm}^{-1}$  to 1596  $\text{cm}^{-1}$ , this being larger and lower in intensity. The  $\text{C-O-C}$  bonding of the humins are present as a multiplet with a maximum at 1018  $\text{cm}^{-1}$ , and in the case of GDE there is an intense and well-defined peak at 1090  $\text{cm}^{-1}$ .

In the resin spectrum, the peak is located at 1020  $\text{cm}^{-1}$ , being larger compared to those of the other two compounds, and its absorbance is lower. The disappearance of the peak of oxirane ring stretching which absorbs in GDE at 980–840  $\text{cm}^{-1}$  confirms the consumption of epoxy functions during humins/GDE copolymerization (Table 3).

**Rheometry analysis.** To study the copolymerization reactions of viscoelastic liquids such as humins-based resins, a parallel plate geometry was used, and the evolution of  $G'$ ,  $G''$  moduli and viscosity was recorded (Fig. 5).

From the rheology analyses it can be observed that the systems start to react, when heated at  $0.2\text{ }^{\circ}\text{C min}^{-1}$ , in the interval  $65$ – $75\text{ }^{\circ}\text{C}$  depending on the composition. The onset of reaction for the three formulations takes place at different

temperatures, decreasing from  $75\text{ }^{\circ}\text{C}$  for the formulation with PEGDE till  $65\text{ }^{\circ}\text{C}$  for the system with humins and GDE. These results are in good agreement with the DSC analysis results (Fig. 3) which show a higher reactivity for the system with GDE. The hardening of resin is given by the gel point of the system, taken as a crossover between  $G'$  and  $G''$ . For the resin formulation composed of humins and PEGDE the gelation process takes place at around  $98\text{ }^{\circ}\text{C}$ , while for the humins/GDE resin the gelling point is at around  $86\text{ }^{\circ}\text{C}$ . It can also be seen that the viscosity at  $25\text{ }^{\circ}\text{C}$  of the formulation which contains PEGDE is low, around  $\approx 0.35\text{ Pa s}$ , around ten times lower compared with the viscosity of the formulation with GDE with an approximate value of  $2.5\text{ Pa s}$ .

#### Physicochemical characterization of humins-based copolymers

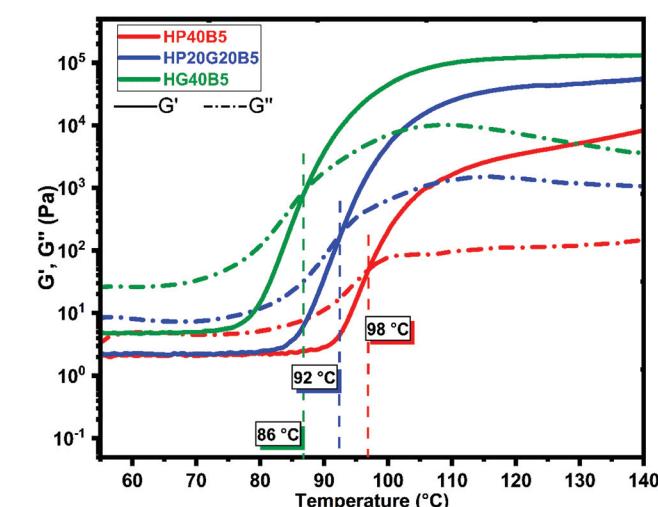
**Glass and sub-glass transitions.** Dynamic mechanical analysis (DMA) was used to determine the evolution of the viscoelastic properties of the prepared humins/diglycidyl thermosets. Furthermore, copolymer molecular motion which depends on the time-temperature scale was also studied by DMA. The chain motions of the polymer were classified according to their nature in  $\alpha$ ,  $\beta$  and  $\gamma$  transitions. At low temperature (short time), the so-called secondary relaxations are related to local motions such as rotation of lateral groups ( $\gamma$  relaxation) or motions of the main chain segment ( $\beta$  relaxation). At higher temperature (*i.e.* longer time), the so-called  $\alpha$  relaxation relates to cooperative motions of main chains.<sup>47</sup> The damping factor and the different transition temperatures of the materials were evaluated according to ASTM 1-0003 and ASTM D7028-07.<sup>39</sup>

The DMA results obtained over the interval temperature range  $-150$  to  $150\text{ }^{\circ}\text{C}$  are given in Fig. 6 and the main parameters are listed in Table 4. The values of maximum of  $\tan\delta$  are assigned to the  $\alpha$  relaxation ( $T_{\alpha}$ ) phenomenon related to cooperative chain motions and associated with the macroscopic  $T_g$ . Fig. 6 shows the evolution of the storage and loss



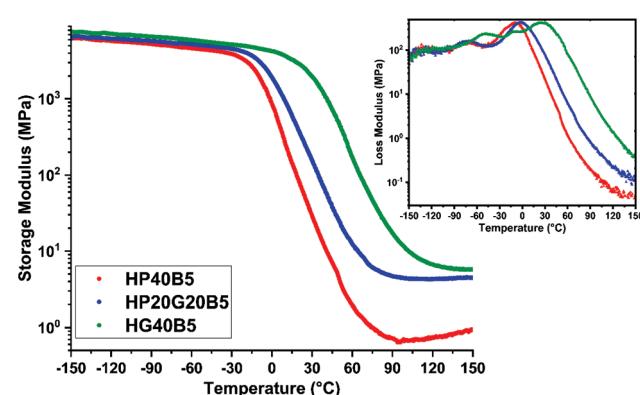
**Table 3** Assignment of bands in FT-IR spectra of the initial components of humins systems of copolymerization

Sample	Wavenumber (cm <sup>-1</sup> )	Assignments	Sample	Wavenumber (cm <sup>-1</sup> )	Assignments
Humins	3369	O-H stretching	GDE	3482	O-H stretching
	2930, 2837	Alkyl C-H stretching		3000, 2872	C-H stretching
	1702	C=O stretching		1457, 1432	CH <sub>2</sub> δ-deformation vibration, coincides with CH <sub>3</sub> δ asymmetric stretching
	1666	C=O stretching in furan		1339	O-H δ-deformation, in plane
	1617, 1517	C=C stretching		1253	C-O stretching
	1396, 1360	O-H δ-deformation, in plane		1089	CH <sub>2</sub> -O-CH <sub>2</sub> asymmetric stretching (ether group)
	1277, 1190, 1058, 1018	C-O stretching		984, 906, 837	Asymmetric stretching vibration for the epoxy ring
	965	C-O stretching in furan =C-H δ deformation		755, 702	CH <sub>2</sub> γ-skeletal deformation
	804, 768, 756	C-H out-of-plane deformation			
	2865	C-H stretching vibration			
PEGDE	1456	CH <sub>2</sub> δ-deformation, coincides with CH <sub>3</sub> δ as	BDMA	3086, 3063, 3027, 2973, 2941, 2854, 2814, 2763	C-H stretching
	1349, 1295, 1251	C-O stretching		1601	C=C stretching vibration (benzene ring)
	1092	CH <sub>2</sub> -O-CH <sub>2</sub> asymmetric stretching (ether group)		1495, 1452	CH <sub>2</sub> δ-deformation, coincides with CH <sub>3</sub> δ asymmetric CH <sub>3</sub> δ-symmetric deformation (from -N(CH <sub>3</sub> ) <sub>2</sub> )
	994, 946, 910, 840	Asymmetric stretching for the epoxy ring		1363, 1317	C-N stretching
	758	CH <sub>2</sub> γ-skeletal		1259, 1174, 1146, 1097, 1075, 1025	=C-H deformation
				975	=C-H out-of-plane deformation (benzene ring)
				908, 850, 734, 696, 608	

**Fig. 5** Rheology study of the humins-based systems for copolymerization. Evolution of moduli and viscosity during heating at 50–200 °C at 0.2 °C min<sup>-1</sup>. Comparison between formulations.

moduli of the three humins-based resins as a function of temperature.

At temperatures below the glass transition, in the glassy region of the materials, high storage modulus values were measured, of about 5400–6700 MPa. We can notice that the humins/PEGDE copolymer presents the smallest value of the  $E'$  modulus ( $\approx$ 5500 MPa), emphasizing the contribution of

**Fig. 6** Evolution of the storage modulus and loss modulus vs. temperature for humins-based copolymers.

aliphatic flexible chains inside the copolymer network, giving an elastic resin.

A higher value of modulus ( $\approx$ 6800 MPa) was measured in the humins/GDE copolymer showing a stiffer network, which was initially intended to achieve by the choice of a shorter aliphatic ether chain. Obviously, the copolymer with mixed glycidyls has an intermediate behavior.

With the increase of temperature, in the transition zone, we can observe a substantial decrease in the  $E'$  values. A clear shift of the  $E'$  drop between the three resins can be observed. The temperature at which the  $E'$  of the flexible humins-based



Table 4 Mechanical properties of the humins-based resins according to the DMA analysis

System	Tan $\delta$			Storage modulus, $E'$ (MPa)			$\nu$ (mmol cm $^{-3}$ )
	Peak (°C)	Interval of tan $\delta$ (°C)	Peak height	In glassy plateau (at -100 °C)	At tan $\delta$	In rubbery plateau (at 150 °C)	
HP40B5	30	100	0.76	5473	27	1	0.07
HP20G20B5	49	123	0.5	5916	25	4.5	0.43
HG40B5	71	142	0.4	6777	96	6.6	0.56

resin starts to decrease is around -26 °C while for the GDE based resin this drop starts at around 10 °C.

The domain above the glass transition has a width dependent on the molecular weight of the chains between entanglements or crosslinks.<sup>44,48</sup> By increasing the molecular weight of chain segments the transition to the rubbery plateau appears at higher temperatures. In the prepared humins/diglycidyl copolymers the zone appears in the order HP40B5 < HP20G20B5 < HG40B5.

In the rubbery plateau, the storage modulus value is directly correlated with the crosslinking density of the networks (Flory's theory).<sup>40</sup> The bigger the crosslinking density of the polymer, the smaller the drop of the storage modulus value.<sup>45,46</sup> The crosslinking densities for the three humins-based copolymers were calculated and are given in Table 4.

Moreover, the  $\alpha$ ,  $\beta$  and  $\gamma$  transitions of copolymers were also investigated by DMA. Fig. 7 shows the evolution of tan  $\delta$  for the three humins based copolymer networks. In our previous work<sup>27</sup> we have shown by dynamic DSC that the crude humins have a  $T_g$  at -15 °C, while humins auto-crosslinked at 250 °C have a  $T_g$  around 66 °C. Compared with the humins thermoset we can observe that the copolymerization with tailored diglycidyl aliphatic ethers allowed the tailoring of the mechanical properties. The shorter aliphatic ether chains from GDE gave a more rigid network, with a  $T_\alpha \approx 71$  °C and a smaller tan  $\delta$  peak. In contrast, by increasing the length of the aliphatic ether chains, copolymers with PEGDE, the  $T_\alpha$  decreases to  $\approx 30$  °C. The copolymer prepared with the combination of diglycidyls (PEGDE + GDE) and humins has an intermediate trend, with a  $T_\alpha \approx 49$  °C and a peak intensity more determined by the GDE contribution. Interestingly, all the three copolymer networks have similar ranges of the  $\alpha$  relaxation peaks which are very large (between 100 and 140 °C) indicating a large distribution of relaxation times, characteristic of long polymeric chains. The amplitude of tan  $\delta$  provides information on the crosslinking density of the materials. For the HG40B5 resin, the small amplitude of the tan  $\delta$  peak indicates a low damping capacity of the material and consequently indicates that HG40B5 is a less elastic thermoset. This observation is in accord with the fact that the HG40B5 resin presents the highest crosslinking density. In contrast, HP40B5 shows a relaxation at lower temperature, as a consequence of a much more flexible network due to the PEGDE aliphatic ether contribution. The corresponding tan  $\delta$  peak exhibits an intensity that indicates higher damping capacity through energy dissipation, and consequently a flexible network, in good accord with its low value of

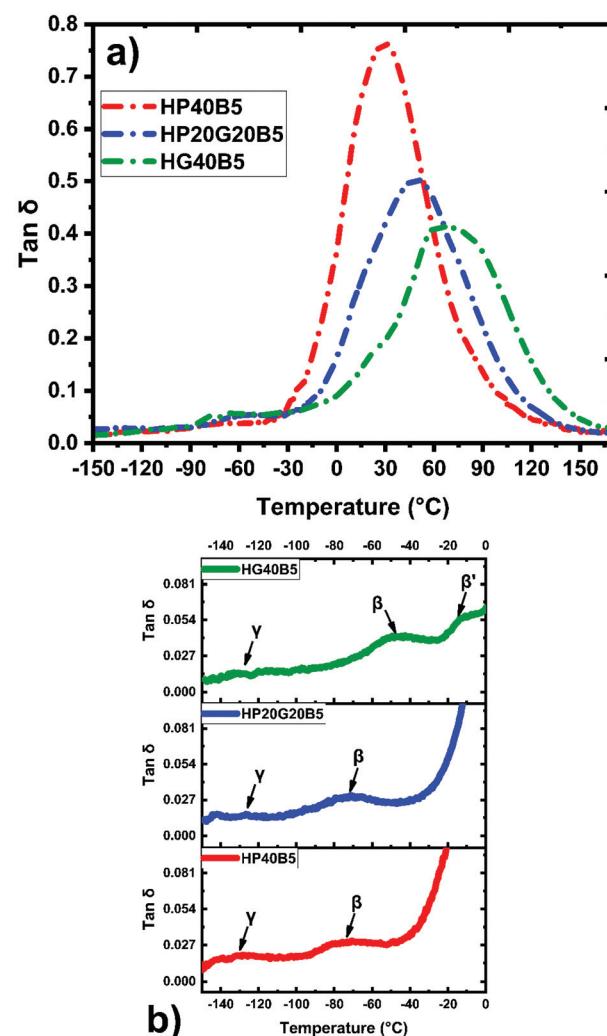


Fig. 7 Evolution of relaxation processes with temperature on the humins-based copolymers: (a) tan  $\delta$ ; and (b)  $\beta$  and  $\gamma$  transitions.

crosslinking density. As illustrated in Fig. 7b, all the three copolymers present a small  $\gamma$  relaxation at around  $T_\gamma \approx -130$  °C which could be associated with the rotation of hydroxyl or other functional groups from humins or from diglycidyls. Then, at  $\approx -73$  °C for HP40B5 and at  $\approx -71$  °C for HP20G20B5 beta relaxations corresponding to local motions of copolymers occur. For the HG40B5 system two beta transitions emerge at  $T_\beta \approx -47$  °C and  $T_{\beta'} \approx -12$  °C. The free moving dangling of segments present in the network after cross-linking and



the local breathing of furanic entities could be the origin and could explain these relaxations.<sup>49</sup>

The glass transition phenomenon was also studied with the help of DSC. The  $T_g$  values of cured materials were analyzed using 2 heating/cooling cycles at a temperature range of  $-50$  to  $220$  °C and a  $10$  °C min $^{-1}$  heating rate.

The obtained results (not presented here) corroborate very well with those measured by DMA ( $T_{g\text{-loss}}$ ) and are summarized in Table 5. In perfect accord with previously reported DMA results, the DSC results indicate the difference between the three humins based copolymers in terms of  $T_g$ . Accordingly, the presence of PEGDE in the copolymer network has a strong effect on its thermomechanical properties. The copolymer with a higher content on PEGDE – HP40B5 – has negative  $T_g$  values  $\approx -10$  to  $-8$  °C.

Its combination with a shorter aliphatic ether (GDE) gives a less elastic material, with a higher  $T_g$  at around  $10$  °C,  $T_g \approx -2$  to  $-1$  °C. In contrast, the resin with GDE was considered a more rigid material, having a  $T_g$  of  $\approx 27$  °C. These results were corroborated with the hardness test results where the hardness of the materials follow the same trend as the  $T_g$  values. Using the Shore hardness tests, the resistance of the humins-based resins was measured at the penetration of a spring-loaded needle-like indenter. Hardness of hard elastomers and most polymer materials such as thermoplastics and thermosets is measured with a Shore D scale. The Shore hardness test of the three copolymers revealed values from 44SD to 72SD. According to the Shore hardness scale, the first formulation corresponds to a medium hard material which can be compared with a shoe heel, door seal, or automotive tire tread, while the hardness of the other two resins can be compared with ebonite rubber, solid truck tires, hard wheels of roller skates and skateboard, computer casing, etc.

**Tensile properties.** With the help of tensile test measurements on the three humins based copolymers we analyzed their mechanical properties as Young's modulus and elongation at break. In particular, the tensile strength, Young's modulus, and yield stress are of primary importance for technical applications. The Young's modulus indicates the stiffness of materials and is defined by the relationship between stress and strain in a material in the linear elasticity regime of a uniaxial deformation.

Fig. 8 shows the tensile test results with stress-strain curves of the three humins based copolymers investigated in this

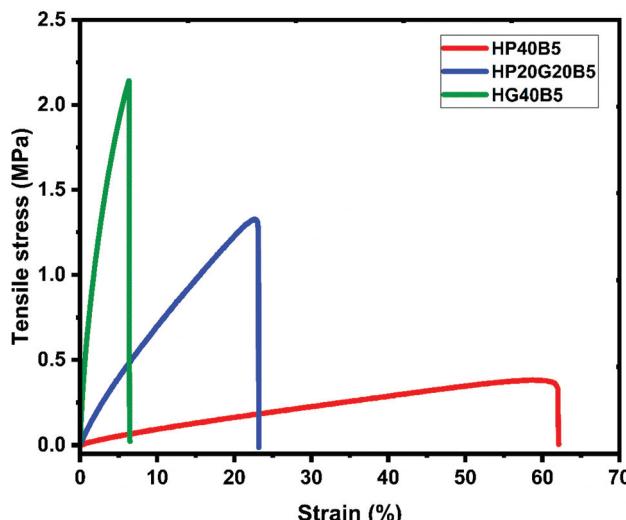


Fig. 8 Tensile profiles for the three humins-based resins.

work. We can notice that the combination of humins–diglycidyls gave copolymers with different mechanical responses which vary coherently with the values obtained by DMA, DSC and hardness tests. A significant increase in the maximum strength of the resins with the GDE content can be noticed. In agreement with the DMA results, the HP40B5 copolymer presents low  $T_\alpha$  and high ductility, the tensile strength for this resin being around 0.4 MPa, while for the rigid HG40B5 copolymer the strength reaches around 2.2 MPa. The elastic character of the HP40B5 resin is also shown by its elongation at break, the maximum strain being at around 60%.

As the HG40B5 resin matrix is crosslinked more densely, its capability to undergo plastic deformation is reduced. The HG40B5 resin presents a low elongation at break ( $\approx 7\%$ ) which means that this resin is more rigid and brittle than the HP40B5 resin (Table 6). Compared with the commercial resins it appears that the HP40B5 resin could be included in the category of elastomers having a tensile strength value close to that of butyl rubber (0.001–0.002 GPa), or of silicone elastomers (0.005–0.2 GPa).<sup>50</sup>

**Thermal stability of the networks (TGA).** Thermogravimetric analyses were carried out to determine the thermal stability of fully cured materials under inert ( $N_2$ ) or oxidant (air) flows.

Table 5 Comparison of glass transition temperatures ( $T_g$ ) of materials by DSC and DMA; and Shore hardness values

	HP40B5	HP20G20B5	HG40B5
$T_g$ from DSC/°C	-8	1	27
$T_{g\text{-loss}}/^\circ\text{C}$ (DMA)	-10	-2	26
$T_{g\text{-onset}}/^\circ\text{C}$ (DMA)	-11	-4	27
Shore hardness*/SD	44	59	72



Table 6 Tensile properties for the humins-based resins

	Young's modulus (MPa)	Tensile stress (MPa)	Strain (%)
HP40B5	1.01 ± 0.08	0.38 ± 0.12	62.4 ± 6.18
HP20G20B5	8.29 ± 0.61	1.33 ± 0.19	23.2 ± 6.76
HG40B5	106.1 ± 39	2.14 ± 0.55	6.4 ± 5.23

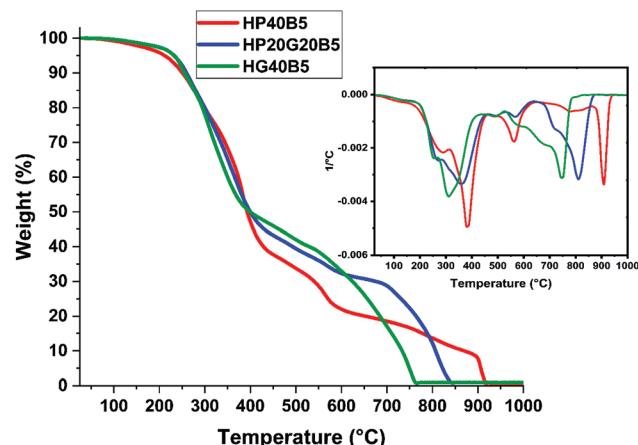
Fig. 9 Thermogravimetric analysis of humins based copolymers at  $10\text{ }^{\circ}\text{C min}^{-1}$  under air flow. Inset: weight loss of the derivative.

Table 7 Thermal stabilities of humins copolymers under air and nitrogen

	Temperature (°C)		
	HP40B5	HP20G20B5	HG40B5
$T_{5\%}$ under air	212	232	233
$T_{5\%}$ under $\text{N}_2$	244	251	246

TGA thermograms of the studied humins based copolymers are shown in Fig. 9. The temperature of degradation was considered at 5% weight loss,  $T_{5\%}$ . Under air, the thermal degradation is quite complex, with at least three stages of degradation which starts above the temperature of  $200\text{ }^{\circ}\text{C}$  (Table 7). The first degradation step shows the same shape under air and nitrogen atmospheres (not presented here), indicating that at this stage mainly non-oxidative degradation reactions of thermolysis occur. This first step presents the largest mass loss of resins of  $\approx 55\text{--}63\%$ . The mass loss decreases in this stage from 63% (formulation with PEGDE) to  $\approx 55\%$  (formulation with GDE). Above  $450\text{ }^{\circ}\text{C}$  a second mass loss follows for the three systems under air flow, with a lower mass loss (13–20%). Finally, starting at  $600\text{ }^{\circ}\text{C}$ , thermo-oxidation and carbonization reactions degrade the thermosets completely. As summarized in Table 7, the inert atmosphere slows down the initial degradation of the materials, with the  $T_{5\%}$  temperatures increasing at  $20\text{ }^{\circ}\text{C}$ . It can also be observed that the degradation at  $T >$

$500\text{ }^{\circ}\text{C}$  is completely absent in the results of TGA analysis under nitrogen flow, this step being characteristic of the oxidative degradation.

**The biobased content for humins-based copolymers.** In January 2005, the US Department of Agriculture defined the biobased content of a product as the amount of biobased carbon in the material or product as a percent of the weight (mass) of the total organic carbon in the product.<sup>51</sup> Currently, the minimum acceptable percentage of biomass plastics is  $\approx 25$  wt% of the total weight of the product.<sup>52,53</sup> Based on the study by Pan *et al.*<sup>54</sup> we calculated the biobased carbon content for each humins-based system. Humins are made of renewable raw materials and hence are 100% biobased, while PEGDE, GDE and BDMA are still petrochemical-based products, even if ethylene glycol and glycerol are nowadays produced *via* bio-resources.

According to previous studies performed on industrial humins produced by Avantium,<sup>29,55</sup> the carbon content of the crude humins is around 54%. On the basis of weight, the %C in PEGDE is 51.69%, in GDE it is 52.88% and in BDMA it is 79.88%. The HP40B5 and HG40B5 systems contain 55 g humins, 40 g PEGDE or GDE and 5 g BDMA. The HP20G20B5 system contains 55 g humins, 20 g PEGDE, 20 g GDE and 5 g BDMA. Theoretically, when only carbon is considered, the biobased carbon content in each formulation is:  $\approx 54.63\%$  for HP40B5,  $\approx 54.14\%$  for HG40B5 and  $\approx 54.39\%$  for HP20G20B5. Hence, the prepared formulations are biomass materials satisfying the criteria for biobased carbon contents.

## Conclusions

In conclusion three thermoset resins with a biobased carbon content  $>54\%$  were obtained from humins and aliphatic diglycidyl ethers. The effect of the formulation composition on the reactivity of the systems were studied by various methods, DSC, FT-IR and rheometry. All systems showed good reactivity during the copolymerization between the humins and the diglycidyls. The properties of the obtained materials were analyzed by DMA, tensile and Shore tests. These analyses proved the macroscopic homogeneity of the three copolymer materials and their ductile character. Resins with longer aliphatic chains, HP40B5, reached  $\approx 60\%$  elongation at break. All these properties together with the low curing time and temperature, and also the reduced numbers of components make these results feasible for the industry. To our knowledge, these are the first data reported on the synthesis of elastomeric humins based copolymers paving the way for their utilization, for example, as matrices for composites for industrial applications.

## Conflicts of interest

There are no conflicts to declare.



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