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Title: Chemo-enzymatic pathways toward pinene-based renewable materials

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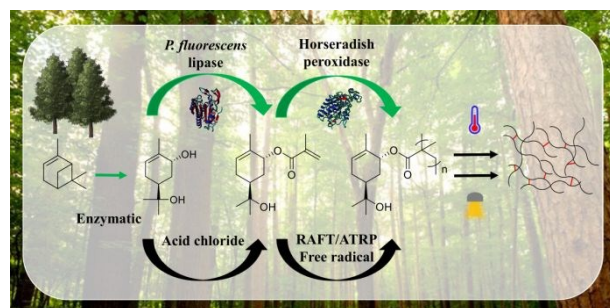
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Graphical Abstract:**Abstract**

Sobrrol methacrylate (SobMA) was synthesized and subsequently polymerized using different chemical and enzymatic routes. Sobrerol was enzymatically converted from α -pinene in a small model scale by a Cytochrome P450 mutant from *Bacillus megaterium*. Conversion of sobrerol into SobMA was performed using both classical ester synthesis, i.e., acid chloride-reactions in organic solvents, and a more green approach, the benign lipase catalysis. Sobrerol was



successfully esterified, leaving the tertiary alcohol and “ene” to be used for further chemistry. SobMA was polymerized into PSobMA using different radical polymerization techniques, including free radical (FR), controlled procedures (Reversible Addition Fragmentation chain-Transfer polymerization, (RAFT) and Atom Transfer Radical Polymerization (ATRP)) as well as by enzyme catalysis (horseradish peroxidase-mediated free radical polymerization). The resulting polymers showed high glass-transition temperatures (T_g) around 150 °C, and a thermal degradation onset above 200 °C. It was demonstrated that the T_g could be tailored by copolymerizing SobMa with appropriate methacrylate monomers and that the Flory-Fox equation could be used to predict the T_g . The versatility of PSobMA was further demonstrated by forming crosslinked thin films, either using the ‘ene-functionality for photochemically initiated ‘thiol-ene’-chemistry, or reacting the tertiary hydroxyl-group with hexamethoxymethylmelamine, as readily used for thermally curing coatings systems.

INTRODUCTION

Reducing the need for petroleum-based materials is one of the main challenges in the contemporary society. Proposed practical solutions to this are either to reduce the use of fossil-based consumer products, or to develop alternative materials originating from renewable sources. Most likely, a combination of both strategies is required to accelerate the transition towards a more sustainable society. Lately, the members of the European Parliament voted for the ban of single-use plastic by 2021, increasing the demand for new materials.¹

Still, the vast majority of all plastics produced are based on fossil-sources; only around one percent of the about 300 million tons of plastic produced annually is bio-based.² There are different methods for obtaining renewable polymers. One common strategy is to utilize abundant biopolymers available in biomass, mainly cellulose, and by degradation/refining produce



renewable equivalents in order to replace monomers traditionally obtained from fossil-sources, e.g., ethylene and propylene. One major drawback with this is that the biopolymer has to be degraded into small molecules and then again polymerized to large molecules. Furthermore, the production of the polymers utilizes the same harsh industrial processes regardless of the origin of the monomers.³ Another strategy is to use biopolymers originating from renewable sources, such as cellulose, lignin, starch and chitosan, in their inherent state to produce sustainable materials.^{3,4} This approach is hampered by the fact that biopolymers are associated with large property variations as an effect of varying growth conditions (growth season, locus etc.), significantly challenging their industrial utilization. A third strategy is to use novel renewable monomers from biomass, not readily available from fossil sources, to produce renewable polymers with properties comparable to fossil-based counterparts.

Trees and plants have proven particularly useful as sources for sustainable monomers.^{3,5,6} Besides containing significant amounts of biopolymers, which can be used either directly for producing materials or to produce monomers^{4,6} by depolymerization followed by fermentation and/or upgrading,⁵ these sources are also rich in small molecules useful as monomers, such as furans, fatty acids, and terpenes.^{3,5} With the rapid emergence of modern biorefineries, focusing not only on energy recovery, an array of waste-stream products has become more available and, therefore, more interesting from a commercial point of view.⁷ Terpenes belong to one such group of compounds that are rendering increasing interest in this context. Terpenes constitute one of the most diversified classes of natural products with essential functions in a broad range of organisms.^{8,9} Terpenes/terpenoids have, for instance, found important applications, or potential applications, in areas such as fragrances and flavors,¹⁰ biofuels,¹¹ fine chemical synthons,¹² and as anticancer and antiviral agents.⁸ Terpenes are assembled from the universal C₅-building blocks, dimethylallyl diphosphate and isopentenyl diphosphate, to yield linear polyisoprene building



blocks³. The extensive structural variations found in terpenes is an effect of enzyme-catalyzed cyclizations to result in chiral and multicyclic natural products, which presents further opportunities for producing polymers with a wide range of properties¹² beyond natural rubber (i.e. polyisoprene). The main source of terpenes is the pulp industry-derived pine-tree resin turpentine, industrially available on ca. 300,000 ton scale annually.³ The primary terpene in turpentine is α -pinene.⁶ It has a bicyclic unsaturated structure (Scheme 1), and has been reported to result in polymers with alternating linear and cyclic units via cationic polymerization.^{13–15} Still, achieving controlled polymerization of pinene monomers remains a challenge, which has spurred an increased interest towards unlocking their inherent potential by activation through oxidation by chemical and biocatalytic methods.^{16–18} Biocatalysis displays a great potential to replace harsh chemical synthesis with green options for the sustainable generation of medicines, biochemicals and materials.¹⁹ In particular, by capitalizing on synthetic-biology approaches, mild oxidations required for monomer activation – which would be challenging to access by traditional organic synthesis²⁰ – can be achieved in water with oxygen as co-substrate.²¹ Over the last years, many studies have been devoted to the use of enzymes as mediators for different polymerization techniques.^{22–26} Polymers from terpenes, or chemically modified terpenes, exhibit a wide range of molecular weights and thermal properties depending on the terpene structure/composition and polymerization technique.^{12,27} In the pursuit of novel polymeric materials, exploring the toolbox of terpene-based polymers holds great potential.²⁸

One promising underutilized terpene is sobrerol, an unsaturated diol, Scheme 1, which is formed directly via biotransformation of α -pinene in the fungi *Armillaria mella*²¹ or through aqueous oxidation.²⁹ Sobrerol has shown antimycotic properties,³⁰ to prevent pulmonary hypertension³¹ and the development of breast cancer.³²

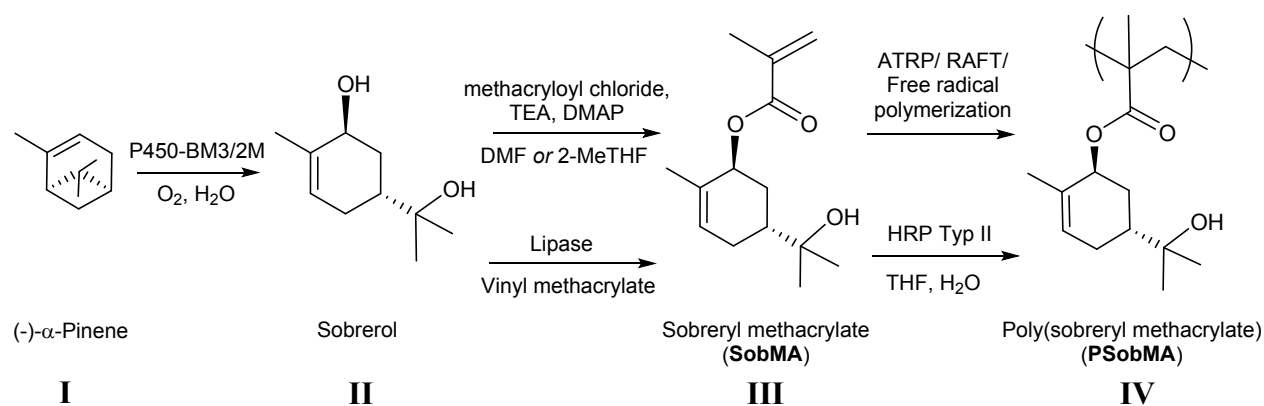


The multifunctional structure of sobrerol presents several opportunities for producing polymers. Its cyclic structure furthermore holds great promise for producing higher T_g -materials, in contrast to the majority of available green monomers that predominantly have non-cyclic structures. However, despite its functional groups, offering versatility in both polymer production and post-polymerization modifications, sobrerol is yet to be further explored as a novel monomer for polymeric materials.³³

Structurally similar terpenes and terpenoids have been converted to polycarbonates,^{34–36} polyethers,³⁷ polyesters,³⁸ polyamides,¹⁷ and poly(meth)acrylates²⁷ upon modification. The diol present in sobrerol presents opportunities for producing polymers of all the aforementioned classes. (Meth)acrylate polymers are widely used in the automotive, paint, home electronics, and medical-device industries, with a steadily increasing global market.^{39,40} Due to these widespread industrial applications, methods for large-scale production of (meth)acrylates are becoming increasingly more sustainable.⁴¹ The industrial usage and well-developed polymerization techniques of methacrylates,⁴² make sobrerol-based methacrylate polymers an interesting starting point for studying the potential of sobrerol as a renewable component in materials. Fonseca *et al.* recently published the use of SobMA as a substituent for polystyrene in the formation of polyester resins.³³ Sobrerol was obtained from α -pinene oxide in the presence of CO₂ and, after (meth)acrylation, incorporated into unsaturated polyester-resin formulations as a reactive diluent. The sobrerol-based formulations exhibited similar properties to those of the styrene-based polyester resins while also improving the environmental compatibility due to its biobased origin, low volatility and thus, a reduced exposure of VOC's to humans and the environment.



In this work, we have developed a chemoenzymatic “green” route for the synthesis of SobMA. The polymerization of SobMA was studied along with the properties of the resulting polymers. We present different routes to synthesize sobrerol-based polymethacrylates (PSobMAs). The resulting polymethacrylates showed a T_g around 150 °C. It was possible to tailor the T_g by copolymerization of various methacrylate monomers. The great versatility of PSobMA allows different material applications, e.g., coatings, and was demonstrated by subsequent crosslinking of the functional side-groups, using either thiol-ene or condensation coating chemistry.



Scheme 1. Chemoenzymatic pathways from (-)- α -pinene to PSobMA. **I-II:** Conversion of α -pinene into SobMA catalyzed by Cytochrome P450 from *Bacillus megaterium* (CYP102A1)A74G/L188Q mutant (P450-BM3/2M), cofactors have been omitted for clarity. **II-IV:** Enzymatic conversion or chemical synthesis of SobMA followed by radical polymerization through different polymerization techniques.

RESULTS & DISCUSSION

Biotransformation of (-)- α -pinene to prepare *trans*-sobrerol. The ability of Cytochrome P450 monooxygenases to, under mild conditions, insert oxygen at activated positions (eg allylic)

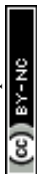


makes them a promising class of biocatalysts for oxidation of α -pinene and other monoterpenes into possible monomers.^{43–46} To afford *trans*-sobrerol from (-)- α -pinene in one-pot *via* the corresponding epoxide, the biotransformation was performed *in vitro* using purified P450-BM3/2M adopted to procedures described for glucose-6-phosphate (G6P), and G6P dehydrogenase (G6PDH) was used for the co-factor regeneration (i.e. adenine dinucleotide phosphate NADPH) (Figure S 1).^{46,47} After 22 h reaction time under aqueous conditions, 42 % conversion (according to GC/MS) of (-)- α -pinene into *trans*-sobrerol was observed without intermediate work-up, which is in good agreement with recently reported data (Figures S 2 and S 3).⁴⁶ At this point, this reaction was demonstrated on a small scale, in support of previous reports⁴⁶ why all further experiments were conducted on commercially available *trans*-sobrerol.

Chemical transformation of *trans*-sobrerol to SobMA. The SobMA was synthesized via a conventional chemical approach starting from commercially available sobrerol, using methacryloyl chloride and triethyl amine in DMF. DMF was not a preferred solvent but despite previous reports,^{48,49} sobrerol proved difficult to dissolve. Purification via medium pressure liquid chromatography (MPLC) yielded the liquid SobMA monomer in 66 % yield. The monomer was sensitive to self-polymerization and was therefore stored in the mobile phase after MPLC. ¹H NMR spectrum of SobMA showed a downfield shift of the signal corresponding to the methine proton neighboring the secondary alcohol (signal a in Figure 1a-b) compared with sobrerol, corroborating the successful ester formation. This signal and the signals corresponding to the ene-protons in the ring and the methacrylate alkenes (peak e, n₁, and n₂ in Figure 1b) were found to have a 1:1:1:1 integral ratio. This confirmed that the monomer was selectively mono-methacrylated. The signal of the methyl group on the ring (signal g in Figure 1 a and b) showed an upfield shift compared with sobrerol, confirming a change in electron density in the six-



membered ring. ^{13}C NMR also displayed a noticeable downfield shift for the signal corresponding to the carbon bound to the secondary alcohol (signal a in Figure 1 **Error!** **Reference source not found.** c,d and e), which further confirmed esterification of the secondary alcohol. GC-MS and GC-FID (Figures S 4 - 5) confirmed methacrylation at the secondary alcohol. A previous study showed that the tertiary alcohol in sobrerol, upon ionization in the mass detector, dehydrates selectively to form a mass fragment 18 a.u. below its molecular ion.⁴⁷ The mass fragment at $m/z = 220$ in the monomer mass spectrum thus confirmed that the methacrylation occurred selectively at the secondary alcohol, as this fragment is exactly 18 below the molecular ion of the desired monomer. These combined results show the predominant formation of a mono-methacrylated product with clear selectivity for the secondary alcohol over the tertiary, during the methacrylation. This could prove useful in industrial applications as it eliminates the need for protection/deprotection schemes.



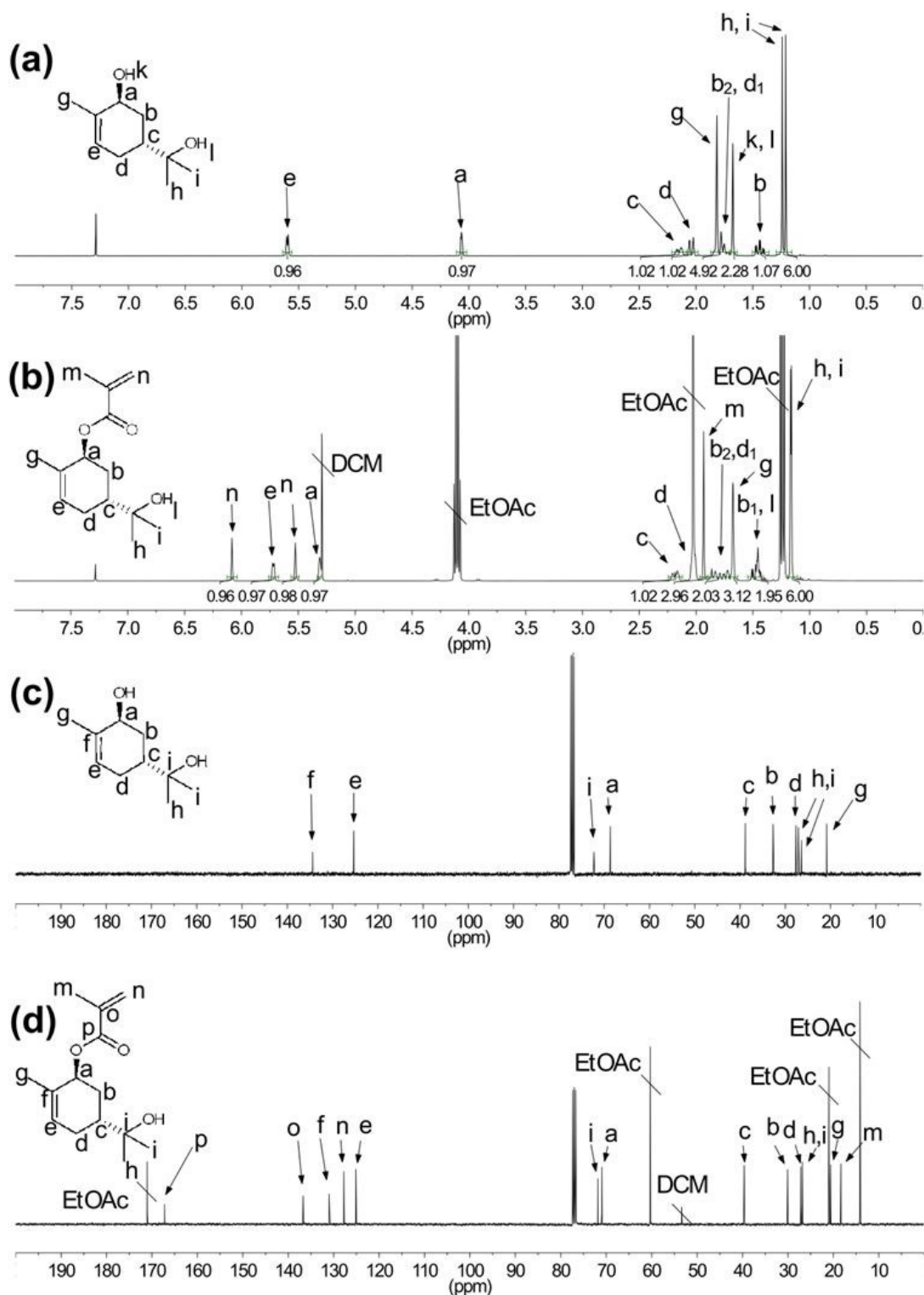


Figure 1. NMR spectra in CDCl_3 . (a) ^1H NMR spectrum of sobrerol. (b) ^1H NMR spectrum of SobMA. (c) ^{13}C NMR spectrum of sobrerol. (d) ^{13}C NMR spectrum of SobMA.

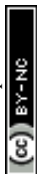


One obvious drawback with the described synthesis is the use of DMF, which is a non-renewable solvent and associated with reproduction toxicity. A renewable and less toxic solvent is hence desirable, particularly upon scale-up. 2-Methyltetrahydrofuran (2-MeTHF) and is derived from biomass⁵⁰ and exhibit a low toxicity.⁵¹ Using identical conditions as described above, but 2-MeTHF instead of DMF afforded SobMA in 57 % yield.

Enzymatic transformation of *trans*-sobrerol to SobMA. In our strive to design a ‘green chemistry-approach’ with no use of CMR-classified chemicals, we demonstrated that it is possible to accomplish SobMA from *trans*-Sob and vinyl methacrylate (VMA) using the enzyme Amano lipase from *Pseudomonas Fluorescenc* (*P. fluorescenc*) at a high conversion (96%) and without the need for purification. The conditions were adopted from Chen *et. al.*, who reported high conversions for the lipase-catalyzed acetylation of sobrerol.⁵² The highest conversion was obtained at 80 °C and a molar ratio of Sob:VMA equal to 1:56. However, it was found that high conversions (~90%) were possible to achieve at a lower excess of VMA (1:20) (**Error!**

Reference source not found. Table S 1, Table S 2). Nevertheless, it should be mentioned that in scales above 4g of sobrerol purification by MPLC had to be conducted to isolate the pure product.

RAFT polymerization of SobMA. SobMA was polymerized by reversible addition-fragmentation chain-transfer (RAFT) polymerization to assess its applicability as a methacrylate monomer. SobMA was stored in a mixture of dichloromethane and ethyl acetate of which none is suitable for thermally initiated free radical polymerizations. The solution volume was therefore carefully reduced to prevent premature polymerization, whereafter the monomer was diluted by DMF and polymerized using 4,4'-azobis(4-cyanovaleric acid) (ACVA) as the initiator and 4-cyano-4-(phenylcarbonothioylthio)pentanoic acid (CPAD) as the RAFT agent. The monomer



conversion was followed by ^1H NMR (Figure S 6) and the polymerization was confirmed by the disappearance of the methacryloyl alkene signals at $\delta = 6.07$ and 5.51 ppm, as well as the upfield shift of the signal corresponding to the proton neighboring the methacrylate group from 5.29 ppm to 5.00 ppm. The ratio between the latter signals was used to determine the monomer conversion. The monomer consumption over time followed the first-order kinetics (Figure S 7). This, in combination with the linear evolution of molecular weight with monomer conversion (Figure S 8), corroborated a reasonably controlled RAFT polymerization. After 8 hours at 70 °C and conversions reaching above 80 %, the polymer was precipitated in diethyl ether and isolated, yielding poly(sobryl methacrylate) (PSobMA_R) as a pinkish powder.

Three different RAFT polymerizations were performed, using three different $[\text{SobMA}]:[\text{CPAD}]$ ratios; $50:1$, $100:1$, and $200:1$. The resulting polymers PSobMA_{R50} , PSobMA_{R100} , and PSobMA_{R200} were analyzed by NMR and SEC. Typical ^1H NMR and ^{13}C NMR spectra for RAFT-derived PSobMA s are displayed in **Error! Reference source not found.** The molecular weights (M_n) determined by SEC (Figure 3a) corresponded fairly well with the theoretical M_n (Table 1). The dispersities D were all reasonably narrow. By varying the ratio of monomer to RAFT agent it was possible to control the molecular weight.

FR and ATRP polymerization of SobMA. To further elaborate the potential as methacrylate monomer, SobMA was polymerized using free radical (FR)- and atom transfer radical polymerization (ATRP) techniques. As for the RAFT polymerizations, the ATRP reactions were performed using three different $[\text{SobMA}]:[\text{HMTETA}]:[\text{EBrP}]:[\text{CuCl}]$ ratios; $50:2:1:1$, $100:2:1:1$, and $200:2:1:1$. In the FR polymerizations, similar conditions were used as in the RAFT polymerization but without the RAFT agent and with a reduced polymerization time (5 hours). All polymers were analyzed by NMR and SEC to determine molecular weights and dispersity



(Figure S 7 - 10). The results (Table 1) were reasonable for free-radical and ATRP polymerizations and corroborated that the polymer chain length can be tailored using ATRP, as observed for the RAFT polymerizations.

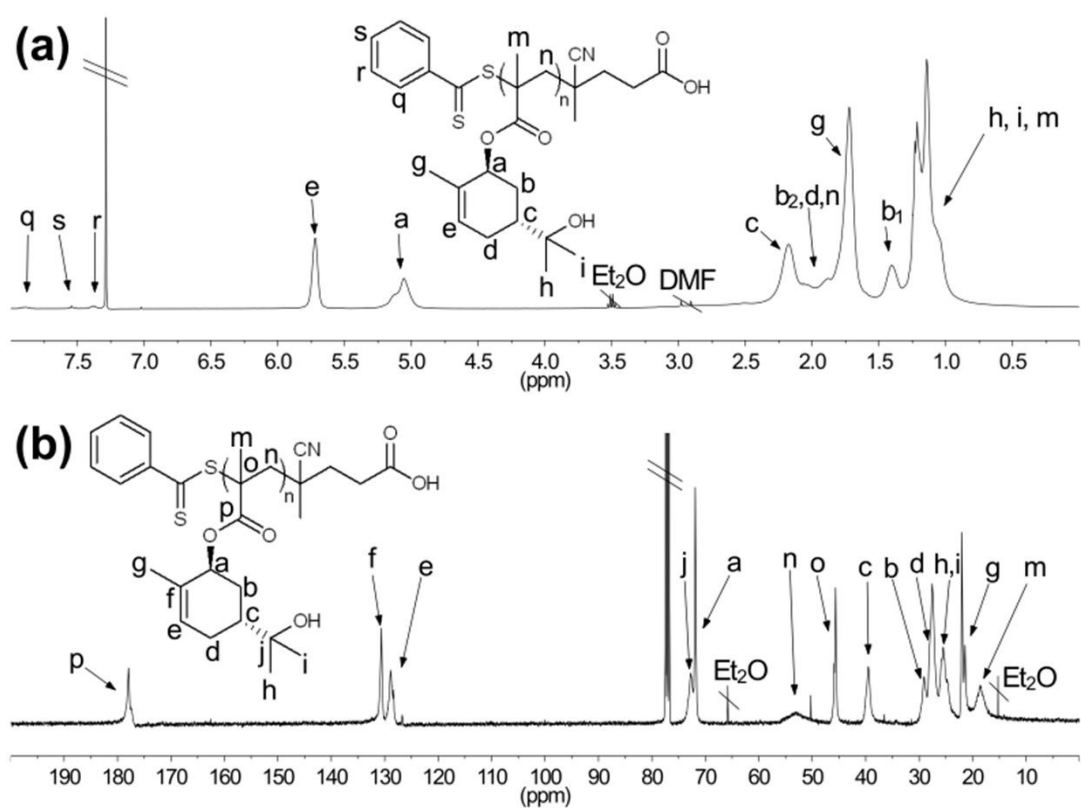


Figure 2. ^1H (a) and ^{13}C (b) NMR spectra in CDCl_3 of PSobMA via RAFT polymerization.



Table 1. Characterization of sobryl methacrylate polymers.

Polymer ^a	Method	Ratio (Method)	Time (h)	Conv. ^b (%)	$M_{n, \text{theo.}}^c$ (g/mol)	$M_{n, \text{SEC}}^d$ (g/mol)	D^d	T_g^e (°C)	T_d^f (°C)
PSobMA_{FR}	FR	250:1 (FR)	5	91	-	48 200	2.4	154	238
PSobMA_{R50}	RAFT	50:1:0.2 (R)	8	90	11 000	7 200	1.2	116	191
PSobMA_{R100}	RAFT	100:1:0.2 (R)	8	83	20 100	20 900	1.3	145	224
PSobMA_{R200}	RAFT	200:1:0.2 (R)	8	81	38 900	31 600	1.2	155	238
P(SobMA_{R100}-<i>b</i>-MMA_{R100})	RAFT	(100:100) ^g :1:0.2 (R)	24	95/66 ^g	29 500	28 000	1.2	141	227
P(SobMA_{R100}-<i>b</i>-BMA_{R100})	RAFT	(100:100) ^g :1:0.2 (R)	24	88/70 ^g	31 200	27 000	1.1	n.d.	200
P(SobMA_{R100}-<i>st</i>-MMA_{R100})	RAFT	(100:100) ^g :1:0.2 (R)	8	73/74 ^g	25 100	27 800	1.2	133	224
P(SobMA_{R100}-<i>st</i>-BMA_{R100})	RAFT	(100:100) ^g :1:0.2 (R)	8	67/81 ^g	27 800	25 800	1.1	74	213
P(SobMA_{R25}-<i>st</i>-BMA_{R75})	RAFT	(25:75) ^g :1:0.2 (R)	8	39/48	13000	11000	1.2	41	195
PSobMA_{A50}	ATRP	50:2:1:1 (A)	7	75	9 100	10 500	1.2	132	215
PSobMA_{A100}	ATRP	100:2:1:1 (A)	9	62	15 000	16 900	1.2	138	220
PSobMA_{A200}	ATRP	200:2:1:1 (A)	9	71	34 500	20 900	1.2	141	224
PSobMA_E	Enz.	42:1:1.48 (E)	1	89	n.d.	39 800	1.9	149	235
P(SobMA_{E25}-<i>st</i>-BMA_{E75})	Enz.	(10.5:31.5):1:1.48 (E)	3	59/79	n.d.	51 100	2.3	37	196

^aThe the numbers refer to the target DP; the letter in the annotation refers to the polymerization method where R = RAFT (ratio: [M]:[CPAD]:[ACVA]), FR = free-radical (ratio: [M]:[ACVA]), A = ATRP (ratio: [M]:[HMTETA]:[EBrP]:[CuCl]) and E=enzymatic (ratio: [M]:[2,4-pentanedione]:[H₂O₂] (Oxidase) 80 mg/mL (2.9 mg enzyme per mmol monomer)). ^bDetermined by ¹H-NMR. ^cCalculated according to the RAFT and ATRP mechanisms. ^dDetermined by SEC using DMF as eluent and PMMA standards. ^eDetermined by DSC using second heating to assess the glass transition temperature. ^fDetermined by TGA at the temperature corresponding to 5 % weight loss. ^gLeft figure refers to SobMA, right figure refers to MMA or BMA.



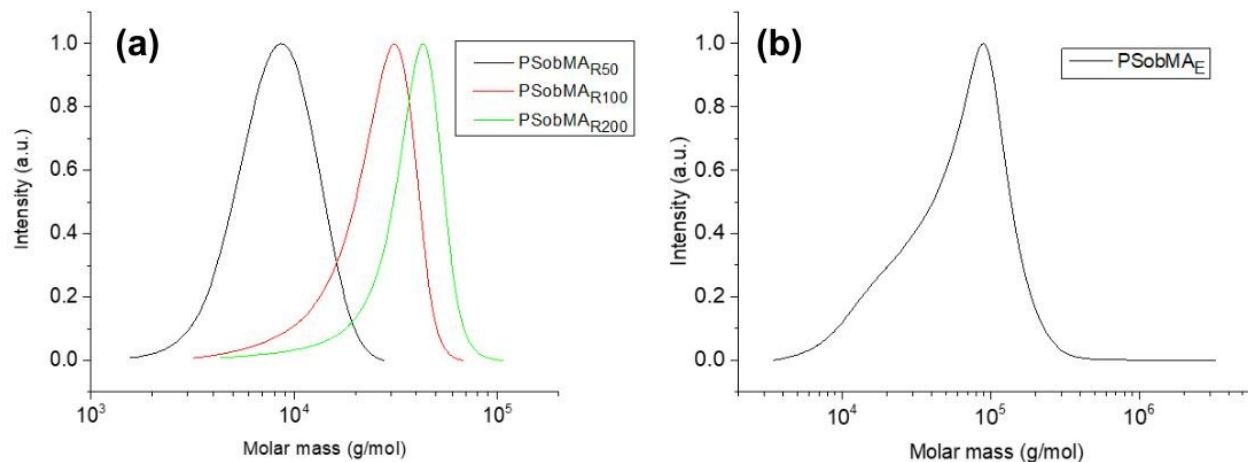
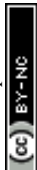


Figure 3. Molecular weight distributions for poly(SobMA) synthesized using (a) RAFT and (b) enzymatic polymerization.

Copolymerization of SobMA with MMA and BMA. To confirm the controlled character of the RAFT polymerizations, block copolymers were synthesized using SobMA and methyl methacrylate (MMA) or butyl methacrylate (BMA). SobMA was polymerized for 6 hours, under the same conditions as the other RAFT polymers, reaching ca 80 % conversion of SobMA as assessed by ^1H NMR. Thereafter, one molar equivalent of the co-monomer was added and the polymerization was left for another 18h. ^1H NMR samples acquired after a total of 24 h of polymerization showed high monomer conversions, Table 1. The MMA conversion was determined through the ratio between the signals corresponding to the methyl side groups, which show an upfield move in shift from $\delta = 3.69$ ppm to $\delta = 3.54$ ppm upon polymerization (Figure S 11). Similarly, the methylene protons neighboring the alcohol part of the methacrylate ester in BMA showed an upfield shift from $\delta = 4.14$ ppm to $\delta = 3.94$ upon polymerization. The ratio between these signals was consequently used to determine the BMA conversion



(Figure S 12). SEC analysis shows increasing M_n after the copolymerizations and retained relatively narrow polydispersity (Figure S 13 - 14). This confirmed the chain extension of the polymer chains, and thus the successful block formation. Analysis of the resulting P(SobMA_{R100}-*b*-MMA_{R100}) and P(SobMA_{R100}-*b*-BMA_{R100}), by SEC (Figure S 13 - 14), again showed molecular weights comparable to the theoretical M_n (Table 1). Comonomer molar fractions of the isolated polymers were [SobMA]:[MMA] = 1:0.61 and [SobMA]:[BMA] = 1:1.37 for P(SobMA_{R100}-*b*-MMA_{R100}) and P(SobMA_{R100}-*b*-BMA_{R100}), respectively, as determined by ¹H NMR (Figure S 15 - 16).

The possibility of tailoring the properties of the polymer materials by statistical copolymerization of SobMA with MMA or BMA was explored. Both monomers were added just prior to the polymerization, which was left to proceed for 24 h under conditions similar as for the homopolymers by RAFT. After 8 hours, monomer conversions of 73 and 74 %, for SobMA and MMA respectively, and 67 and 81 %, for SobMA and BMA respectively, were detected by ¹H NMR. SEC analysis for the resulting P(SobMA_{R100}-*st*-MMA_{R100}) and P(SobMA_{R100}-*st*-BMA_{R100}) again showed molecular weights in good agreement with the theoretical molecular weights (Table 1). The copolymer compositions were [SobMA]:[MMA] = 1:0.99 and [SobMA]:[BMA] = 1:1.32 for P(SobMA_{R100}-*st*-MMA_{R100}) and P(SobMA_{R100}-*st*-BMA_{R100}), respectively, as determined by ¹H NMR (Figure S 17 - 18). The FTIR spectra of the four copolymers (block and statistical) showed absorbance patterns similar to those of the homo RAFT polymers (Figure S 19).

Enzymatic polymerization of SobMA. In addition to traditional radical polymerization techniques, SobMA was also polymerized using horseradish peroxidase (HRP)^{53,54} in the presence of low concentrations of hydrogen peroxide and acetylacetone as radical initiator, following the



procedure described by Gross *et al.*⁵⁵ SobMA was homo- and copolymerized with BMA at room temperature in a 1:3 water:THF mixture. The reason why THF was used instead of 2-MeTHF was the low miscibility of 2-MeTHF in water. The reaction time was 1 h for the homopolymerizations and 3 h for the copolymerizations, respectively. The monomer conversion was determined by ¹H NMR and found to be above 80% for both homo- and copolymerization. After precipitation, the resulting polymers were characterized by NMR and SEC (Figures 3b and S 20 - 22). The obtained polymers were of high molecular weights, >45 kg/mol, and $D \sim 2.2$, in good agreement as reported by Gross *et al.* for PMMA.

Thermal Characterization of PolySobMA. To assess the potential material applications of PSobMA, all polymers were analyzed by thermogravimetric analysis (TGA) and differential scanning calorimetry (DSC). TGA analysis showed that all polymers undergo a three-step degradation (Figures 4 and S 23). This multistep-degradation profile is known for polymethacrylates and many studies were conducted to investigate and simulate the thermal degradation profile of polymers like PMMA.^{56–58} The weight ratios between the observed degradation steps correlate to the ratio of different chain-termination mechanisms (coupling or disproportionation) and therefore slightly varies from one polymerization technique to another. The degradation occurring at the lowest temperature (165 °C) is attributed to degradation through chain-scissioning of the weak head-to-head linkages.⁵⁸ The second step (around 270 °C) is referred to as β -scissioning of the unsaturated chain ends formed during disproportionation and finally, the last step is random scissioning within the remaining polymer chains. A study by Yamago *et al.* on the effect of reaction temperature on the ratio of combination and disproportionation, showed an increased amount of combination at higher temperature. However, the ratio became constant for polymers with M_n above 10^4 .⁵⁹ This dependency is in good agreement with our results and explains the larger weight drop for PSobMA_{R50} at 165 °C



(reaction temperature 70 °C → increased amount of coupling), as well as the higher magnitude of the second degradation step for PSobMA_E (reaction temperature r.t. → increased amount of disproportionation). The degradation profiles of the prepared statistical and block copolymers generally show a decreased magnitude of the second degradation step which is in good agreement to the degradation profiles of PMMA analyzed by Ferriol *et. al.*⁵⁸ The degradation temperatures (T_d , defined at 5 % weight loss) of all polymers are found in Table 1.

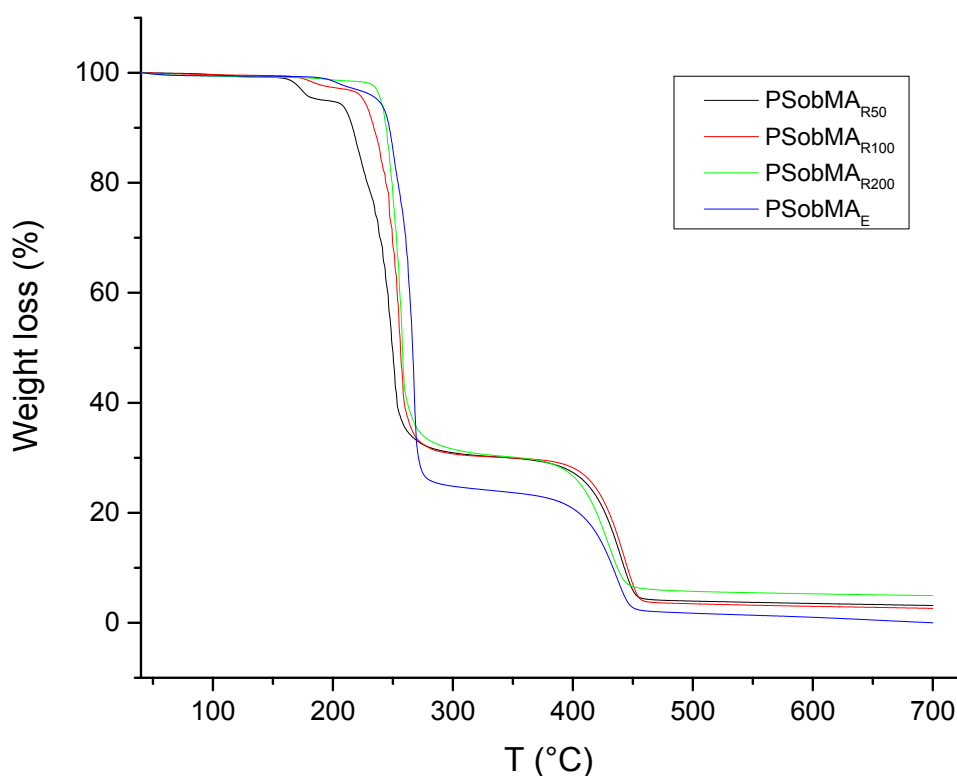


Figure 4. Thermograms (TGA) for enzymatic PSobMA and RAFT PSobMAs of different chain lengths at a heating rate of 10 °C/min.



DSC analyses (Figure S 24) showed that all homopolymers exhibited glass transition temperatures (T_g) between 110-155 °C (see Table 1), with the maximum T_g for the polymer with $M_n = 30\,000$ g/mol. The statistical copolymer containing MMA showed a T_g comparable to those of the homopolymers, whereas the statistical incorporation of BMA into the polymer structure resulted in a markedly decreased T_g , close to 37 °C (P(SobMA_{E25}-*st*-BMA_{E75})). As expected, no melting transitions could be detected for any of the polymers.

Coating preparation. In PSobMA, each repeating unit contains both a tertiary alcohol and a substituted alkene. These groups render possibilities for versatile post-polymerization modifications, e.g., crosslinking to form gels or coatings (**Error! Reference source not found.**5). Crosslinking via alkenes can be conducted using thiol-ene chemistry, employing a multi-functional thiol, even though similar cyclic alkenes are reported to react relatively slowly.⁶⁰ To assess the possibility of utilizing the double bond (the “ene”) in the side-chain for crosslinking purposes, PSobMAs were mixed with trimethylolpropane tris(3-mercaptopropionate) (TMTP, a trifunctional thiol), and a radical initiator (Irgacure 651). The mixture was applied on a teflon substrate and cured under UV-irradiation. The resulting crosslinked films were analyzed by FT Raman spectroscopy which showed reductions in the “ene”-band, compared with PSobMA_{R50} (CH=C: 1678 cm⁻¹, normalized to the carbonyl peak at 1727 cm⁻¹; Figure S 25 - 26**Error! Reference source not found.**). The successful crosslinking of PSobMAs-films was further confirmed as films were insoluble in both THF and dichloromethane, two solvents which readily dissolve PSobMAs (Figure S 27). A control experiment was conducted to further verify the observations, the film was then irradiation in the presence of the photoinitiator but in the absence of TMTP and the resulting film was readily dissolved in both THF and dichloromethane. Further attempts to crosslink PSobMA were made



via transesterifications, utilizing the tertiary alcohol rather than the alkene. PSobMA_{R50} and P(SobMA_{E25}-*st*-BMA_{E75}) were mixed with hexamethoxymethylmelamine (HMMM), a commonly used crosslinker in thermally curable industrial coating applications. and subsequently *p*-toluenesulfonic acid (PTSA) dissolved in a small amount of ethyl acetate was added. The coating was applied onto a Teflon substrate and cured at 140 °C to a solid film. A slight reduction in the band corresponding to the alcohol was observed by FTIR (Figure S 28), indicating that crosslinking had occurred. The successful crosslinking were further verified by the fact that the cured coating resins were insoluble (Figure S 29).

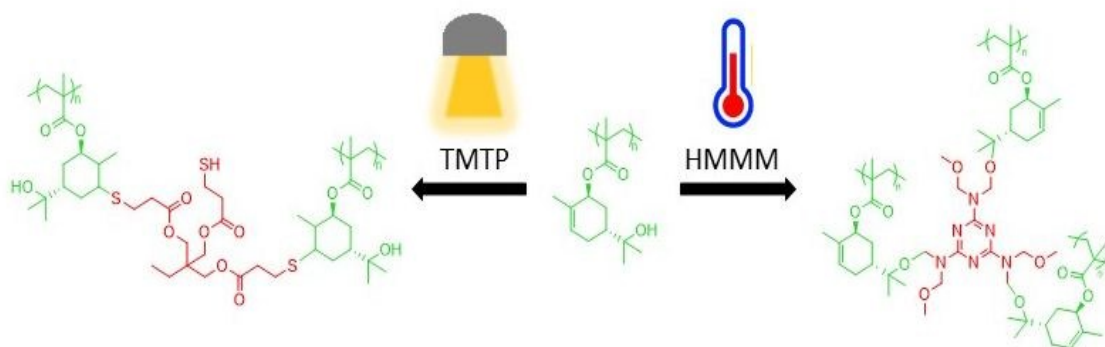


Figure 5. Crosslinking of functional side-chain groups on poly(sobryl methacrylate) via thiol-ene chemistry (left) and transesterification (right).

Conclusions

Sobrerol can be readily obtained by upgrading of α -pinene. Sobrerol can be transformed into SobMA either by classical esterification, or by enzyme catalysis, and both methods show very good selectivity for the secondary alcohol over the tertiary. SobMA can readily be polymerized by RAFT, FR, and ATRP in DMF, 2-MeTHF, and THF, respectively. In contrast to the chemical



catalysis, enzyme-mediated polymerization was performed in aqueous systems at room temperature showing superior activity. Copolymers could be obtained with properties as expected from stoichiometry. The synthesized polymethacrylates showed T_g s around 150 °C and narrow dispersities in the case of RAFT and ATRP. Tailoring of T_g was demonstrated by copolymerization of methacrylate monomers. Further functionalization possibilities were demonstrated by utilizing the remaining functional groups in PSobMA; thin films were photochemically cured in the presence of multifunctional thiols or thermally cured using HMMM. Our work demonstrates the paramount potential of upcycling underutilized biobased building blocks into sustainable materials through chemo-enzymatic catalysis.

MATERIALS & METHODS

Materials. All chemicals were obtained from Sigma-Aldrich unless otherwise noted. Methyl methacrylate (MMA) was passed through a column with Al_2O_3 (activated, neutral, Brockmann Activity I) before used to remove the MEHQ inhibitor.

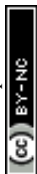
Instrumentation. GC-MS (Shimadzu, GCMS-QP2010 Ultra) was performed on an Rxi®-5ms (30 m, 0.25 mm [inner diameter], 0.25 μ m [film thickness], RESTEK). The temperature program was set at 70 °C, before increasing to 300 °C with a rate of 20 °C/min and finally increased to 350 °C with a rate of 5 °C/min before holding at 350 °C for 10 min. For MPLC, a Biotage Isolera Four system equipped with a UV detector and Biotage KP-Sil SNAP Cartridge columns was used. 1H (400 MHz) and ^{13}C (100 MHz) NMR spectra were recorded with a Bruker Avance AM 400 instrument. The signal of the deuterated solvent $CDCl_3$ ($\delta = 7.26$ ppm⁶¹) was used as reference. For SEC, a TOSOH EcoSEC HLC-8320GPC system was used equipped with an EcoSEC RI detector and three PSS PFG 5 μ m columns (microguard, 100 Å, and 300 Å). Poly(methyl methacrylate) (PMMA) standards were used for calibration and toluene was used as



internal standard. DSC was performed using a Mettler Toledo DSC 820 module. Samples (5-10 mg) were prepared in 100 μ L aluminum crucibles. The samples were subjected to heating from 30 to 170 $^{\circ}$ C (or 160 $^{\circ}$ C), then cooled to -60 $^{\circ}$ C, and then heated again to 170 $^{\circ}$ C (or 160 $^{\circ}$ C) at a heating/cooling rate of 10 $^{\circ}$ C/min under nitrogen flow (50 mL/min). The data obtained from the second heating was used for analyses. For TGA, a Mettler Toledo TGA/DSC1 instrument was used. Samples (5-7 μ g) were prepared in 70 μ L alumina crucibles and heated from 40 to 700 $^{\circ}$ C at a heating rate of 10 $^{\circ}$ C/min under a nitrogen flow (50 mL/min). FTIR was performed on a Perkin-Elmer Spectrum 100 FT-IR instrument equipped with a single reflection ATR system and a MIR-TGS detector using a MKII Golden Gate (Graseby Specac Ltd, Kent, England). Spectra were recorded over the range 4000-600 cm^{-1} and based on 16 scans at an average 4.0 cm^{-1} resolution.

Sequences and strains for producing P450-BM3/2M. The vector with P450-BM3 gene inserted into pET28a(+) plasmid was generously provided by Dr. Bettina Nestl, University of Stuttgart, Germany. Site directed mutagenesis to afford the P450-BM3/A74G/L188Q (P450-BM3/2M) was carried out following the Agilent Pfu Ultra II protocol with primers listed in Table S 3 with the temperature program according to Table S 4. Competent *E. coli* BL21(DE3) [genotype: F-*ompT hsdSB(rB-, mB-) gal dcm* (DE3)] were transformed with P450-BM3/2M_pET28a(+) vector by heat-shock and the cells were plated on LB-agar plate [1.6% (w/v) tryptone, 0.5% (w/v) yeast extract, 1% (w/v) NaCl, 1% (w/v) agar] supplemented with 50 mg/L kanamycin.

Protein expression and purification. Enzyme was produced by inoculating 25 ml 2xYT media [1.6% (w/v) tryptone, 1% (w/v) yeast extract, 0.5% (w/v) NaCl] supplemented with 50 mg/L kanamycin (2xYT-Kan media) with a single colony from the transformed BL21(DE3) cells and incubating overnight at 37 $^{\circ}$ C, 200 r.p.m. Overnight culture was transferred to 600 ml of 2xYT-Kan media to an estimated OD₆₀₀ of 0.07. The culture was incubated at 37 $^{\circ}$ C, 170 r.p.m. until



reaching an OD₆₀₀ of 0.5 at which protein expression was induced by 0.35 mM IPTG and incubating the culture at 30 °C, 170 r.p.m. for additionally 6 h. Cells were harvested by centrifugation (4500 r.p.m., 4 °C) and P450-BM3/2M was purified by utilizing the N-terminal hexa-histidine-tag as previously described⁶². Cells pellets were resuspended in loading buffer (50 mM K₂HPO₄/KH₂PO₄, 800 mM NaCl, pH 7.5) supplemented with Roche cOmplete, Mini Protease Inhibitor (3 ml/g pellet). Cells were lysed by sonication (QSonica sonicator, Q125, 5 x [50 s, amplitude: 50%, pulse: 1 s on, 2 s off]) and cell debris was discarded by centrifugation (18.000 rpm, 4 °C for 35 min). Purification of P450-BM3/2M was performed by immobilized metal affinity chromatography (IMAC, Ni-NTA agarose beads, Qiagen). After loading the crude lysate, the column was washed with loading buffer followed by washing buffer A (50 mM K₂HPO₄/KH₂PO₄, 800 mM NaCl, pH 6.2) and washing buffer B (50 mM K₂HPO₄/KH₂PO₄, 800 mM NaCl, 250 mM glycine, pH 7.5). The enzyme was subsequently eluted by elution buffer (50 mM K₂HPO₄/KH₂PO₄, 80 mM L-histidine, pH 7.5) and the purity of fractions were analyzed by sodium dodecyl sulfate–polyacrylamide gel electrophoresis (SDS-PAGE, 10% Mini PROTEAN TGX-gels, BioRad) (Figure S 30). Elution fractions were pooled before concentrating and exchanging buffer to reaction buffer (50 mM K₂HPO₄/KH₂PO₄, pH 7.5) using an Amicon® Ultra 15 mL Centrifugal Filters 30 kDa cut-off. Concentration was measured by Bradford⁶³ (Expedeon BradfordUltra kit).

Biotransformation to convert α -pinene into *trans*-sobrerol. 0.5 mLscale reactions in reaction buffer were carried out in 2 mL Eppendorf tubes with (-)- α -pinene (2mM), NADPH (0.2mM), G6P (glucose-6-phosphate, 100mM), G6PDH (glucose-6-phosphate dehydrogenase, 222U/mL), DMSO (2%v/v) and purified P450-BM3/2M (4.16 μ M), catalase (578 U/mL). Reactions were incubated at 30 °C, 500 rpm for 22h before extracting in 2 x 0.5 mL ethyl acetate and separating organic-from aqueous phase by centrifugation (10,000 g, 10 min, r.t.). Organic



phases were analyzed by GC-MS (Shimadzu, GCMS-QP2010 Ultra) performed on an Rxi®-5ms column (30 m, 0.25 mm [inner diameter], 0.25 μm [film thickness], RESTEK). The temperature program was set at 70 $^{\circ}\text{C}$, before increasing to 300 $^{\circ}\text{C}$ with a rate of 20 $^{\circ}\text{C}/\text{min}$ and finally increased to 350 $^{\circ}\text{C}$ with a rate of 5 $^{\circ}\text{C}/\text{min}$ before holding at 350 $^{\circ}\text{C}$ for 10 min.

Biotransformation to convert *trans*-sobrerol into sobreryl methacrylate (SobMA). *Trans*-sobrerol (0.1 g, 0.58 mmol, 1 eq) and PS Fluorescence (0.13 g) were mixed and heated to 80 $^{\circ}\text{C}$ under exclusion of light. The reaction was initiated by addition of vinyl methacrylate (10-40 eq) and proceeded for 72 h. For purification, the lipase and unreacted sobrerol were removed by filtration through a thin layer of silica. Afterwards, the filtrate was stirred at 60 $^{\circ}\text{C}$ with 100 mg of PS Fluorescence and 2 ml of water for 2 h. The reaction mixture was then filtered through celite, diluted with EtOAc, washed with sodium bicarbonate and reduced to afford SobMA (0.105 g, 0.441 mmol, 76% yield). $^1\text{H-NMR}$ and GC-FID samples were taken, using deuterated chloroform (CDCl_3) as solvent for the NMR, and ethyl acetate as solvent for the GC-FID. Products were detected by GC-MS analysis as previously described (Biotransformation to convert of α -pinene into prepare *trans*-sobrerol). $^1\text{H NMR}$ (400 MHz, CDCl_3): δ 6.09 (d, 1H, $J = 1.7$ Hz), 5.72 (d, 1H, $J = 4.7$ Hz), 5.53 (d, 1H, $J = 1.6$ Hz), 5.30 (t, 1H, $J = 2.1$ Hz), 2.23-2.15 (m, 1H), 2.05-2.00 (m, 1H), 1.94 (s, 3H), 1.88-1.69 (m, 2H), 1.68 (s, 3H), 1.47 (dt, 1H, $J = 3.9, 13.0$ Hz), 1.44 (s, 1H), 1.17 (2 s, 6H); $^{13}\text{C NMR}$ (100 MHz, CDCl_3): δ 167.2, 136.7, 131.0, 127.8, 125.1, 71.8, 71.0, 39.6, 30.1, 27.2, 26.8 (two signals), 20.6, 18.3

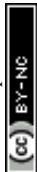
Chemical Synthesis of Sobreryl Methacrylate (SobMA). Methacryloyl chloride (3.05 mL, 31.5 mmol) was dissolved in DMF (30 mL) on an ice-bath and added dropwise under stirring to an ice-cold solution of *trans*-sobrerol (4.83 g, 28.4 mmol), triethyl amine (5.90 mL, 42.6 mmol), and DMAP (0.693 g, 5.67 mmol) in DMF (50 mL). The solution was stirred for 23 hours during which it was allowed to reach room temperature. The solution was diluted with 200 mL ethyl



acetate and washed with 2 x 200 mL HCl (aq., 1M), 2 x 200 mL NaHCO₃ (aq., 10 % w/w), and 200 mL de-ionized water. The organic phase was dried with MgSO₄ and filtered. The solid was rinsed with 200 mL ethyl acetate and the combined organic phases were reduced. Purification by MPLC (100% dichloromethane → dichloromethane / ethyl acetate 4:1) afforded the **SobMA** (4.41 g, 18.7 mmol, 66 % yield). Spectroscopical data were in agreement with those for the previously synthesized **SobMA**

As an alternative synthesis of **SobMA**, methacryloyl chloride (0.63 mL, 6.51 mmol) was dissolved in 2-MeTHF (5 mL) on an ice-bath and added dropwise under stirring to an ice-cold solution of *trans*-sobrerol (1.00 g, 5.89 mmol), triethyl amine (1.25 mL, 9.02 mmol), and DMAP (0.144 g, 1.18 mmol) in 2-MeTHF (30 mL). The solution was stirred for 19 hours during which it was allowed to reach room temperature. Upon work-up and purification as described above, **SobMA** (0.80 g, 3.36 mmol, 57 % yield) was afforded. Spectroscopical data were again in agreement with those for the previously synthesized **SobMA**.

Free-Radical Polymerization of SobMA. A solution of **SobMA** (0.824 g, 3.46 mmol) stored in dichloromethane / ethyl acetate was reduced under vacuum to roughly 80 % w/w **SobMA**. DMF (2.90 mL, 1.20 M) was added and the solution was reduced under vacuum overnight. The solution was transferred to a round flask equipped with a magnetic stirrer containing ACVA (0.0042 mg, 0.015 mmol). The flask was sealed with a rubber septum. The solution was bubbled with argon for 60 minutes and subjected to 4 vacuum /argon cycles. The flask was put in an oil bath pre-heated to 70 °C and stirred for five hours. The polymerization was quenched by subjecting the solution to air and the solution was then diluted with 2 mL DMF. The polymer was precipitated by dropwise addition of the solution to 400 mL diethyl ether under stirring and the suspension was then stirred overnight. The polymer was recovered by filtration, rinsed with 200 mL diethyl ether and dried under vacuum overnight.



RAFT Polymerizations of SobMA. Specific ratios between the reagents are described in Table 1 for each RAFT-derived polymer. A solution containing **SobMA** (typically 0.8-1.0 g) in dichloromethane /diethyl ether was reduced under vacuum to around 80 % w/w **SobMA**. The solution was diluted with DMF (1.20 M with regards to **SobMA**) and reduced under vacuum overnight. CPAD was added and the solution transferred to a round flask with ACVA and a magnetic stirrer. The flask was sealed with a rubber septum and the solution bubbled with argon for 60 minutes. After being subjected to 4 vacuum / argon cycles, the flask was put on an oil bath pre-heated to 70 °C. After stirring for 8 hours, the polymerization was quenched exposure to air and then diluted with 2 mL DMF. The polymers were precipitated by dropwise addition of the solution to 400 mL diethyl ether under stirring. The solution was stirred overnight, filtered, and the recovered polymers rinsed with 200 mL diethyl ether. The polymers were then dried under vacuum overnight.

Co-polymers were synthesized in a similar fashion. For the statistical co-polymers, the co-monomers were bubbled with argon for 30 minutes in a separate round flask and added via a syringe under argon atmosphere to the **SobMA**-containing solution just before the vacuum / argon cycles. Statistical co-polymers containing MMA were precipitated twice in diethyl ether. Statistical co-polymers containing BMA were precipitated twice in heptane/diethyl ether 3:1. The block co-polymers were prepared by bubbling the co-monomers with argon for 30 minutes. The co-monomers were transferred via a syringe under argon atmosphere to the polymerization solution containing **SobMA** after 6 hours of polymerization and the polymerization then proceeded for another 18 hours. The block co-polymer containing MMA was obtained after precipitation in heptane and then re-precipitation in diethyl ether from dichloromethane. The block co-polymer containing BMA was precipitated twice in heptane/diethyl ether 3:1.



ATRP Polymerizations of SobMA. Specific ratios between the reagents are described in Table 1 for each ATRP-derived polymer. Acetone was dried for 6 h on molecular sieves (3Å) and distilled. A solution containing SobMA (typically 0.8-1.0 g) in dichloromethane /diethyl ether was carefully reduced under vacuum and transferred in a 5mL round bottom flask. The flask, equipped with a magnetic stirrer, was sealed with a septum and degassed. Dry acetone was added (70 wt% with regards to acetone) and the solution was cooled to 0 °C. HMTETA and EBrP were added and the solution and degassed by applying three vacuum-argon cycles. The flask was then quickly opened to add Cu(I)Cl, whereafter it was sealed and subjected to three additional vacuum-argon cycles. The flask was immersed in an oil bath preheated to 50 °C to start the polymerization. After the reaction, the polymerization mixture was quenched exposure to air and then diluted with 2 mL acetone. The polymers were obtained after two precipitations in water and one precipitation in diethyl ether (250 mL each).

Enzymatic Polymerization. The specific ratios for homo- and copolymers are adopted from A. Gross⁵⁵ and listed in Table 1. For the synthesis of PSobMA, the mixture of SobMA and ethyl acetate was diluted in THF and reduced. This was repeated until the EtOAc was completely removed. The mixture SobMA (2.94 mmol) and THF was then added under Argon to small roundbottom flask and diluted with THF and deionized water to reach a final concentration of 3M (in 25/75 THF/H₂O). Hydrogen peroxide (0.045 mmol), and 2,4-pentanedione (0.068 mmol) were added and the reaction was initiated by the addition of 0.11 ml HRP (80 mg/mL, 8.4 mg of enzyme). The reaction was stirred at r.t. until the reaction mixture was too viscous to be stirred. Then, the reaction mixture was slightly diluted with THF and precipitated in a large excess of diethyl ether (or chilled MeOH for BMA copolymers). The precipitate was filtered and dried under vacuum.



Preparation of PSobMA-based) Coatings. Coatings cross-linked via thiol-ene chemistry were prepared by dissolving **PSobMA_{R50}** (0.100 g) and TMTP (0.046 mL, 0.140 mmol, thiol/ene = 1:1) in THF (0.200 mL, containing 5 mg/mL Irgacure 651). The solution was applied to a glass substrate using a 150 μm applicator. Upon drying in a fume hood for 10 minutes, the coating was cured via UV-irradiation (10 x 5 s., total dose = 28.8 J/cm²).

Coatings cross-linked via transesterification were prepared by dissolving **PSobMA_{R50}** (0.100 g), *p*-Toluenesulfonic acid (PTSA, 1 μl) and HMMM (0.022 g) in THF (0.200 mL). The solution was applied to a glass substrate using a 150 μm applicator and dried in a fume hood for 10 minutes. The coating was cured in an oven at 140 °C for 20 minutes.

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