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## CO<sub>2</sub> capture by Mn(I) and Re(I) complexes with a deprotonated triethanolamine ligand†

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CO<sub>2</sub> capture at low concentration by catalysts is potentially useful for developing photocatalytic and electrocatalytic CO<sub>2</sub> reduction systems. We investigated the CO<sub>2</sub>-capturing abilities of two complexes, *fac*-Mn(X<sub>2</sub>bpy)(CO)<sub>3</sub>(OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>) and *fac*-Re(X<sub>2</sub>bpy)(CO)<sub>3</sub>(OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>) (X<sub>2</sub>bpy = 4,4'-X<sub>2</sub>-2,2'-bipyridine and R = -CH<sub>2</sub>CH<sub>2</sub>OH), which work as efficient catalysts for CO<sub>2</sub> reduction. Both complexes could efficiently capture CO<sub>2</sub> even from Ar gas containing only low concentration of CO<sub>2</sub> such as 1% to be converted into *fac*-M(X<sub>2</sub>bpy)(CO)<sub>3</sub>(OC(O)OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>) (M = Mn and Re). These CO<sub>2</sub>-capturing reactions proceeded reversibly and their equilibrium constants were >1000. The substituents of X<sub>2</sub>bpy strongly affected the CO<sub>2</sub>-capturing abilities of both Mn and Re complexes. The density functional theory (DFT) calculation could be used to estimate the CO<sub>2</sub>-capturing abilities of the metal complexes in the presence of triethanolamine.

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### Introduction

The catalytic conversion of CO<sub>2</sub> into useful carbon resources by using sustainable energy such as sun light has attracted much attention as one of the technologies that address the issues of both global warming and the shortage of carbon-based resources. Transition metal complexes with ions such as Re(I),<sup>1</sup> Mn(I),<sup>2</sup> Ru(II),<sup>3</sup> Ir(III),<sup>4</sup> Ni(II),<sup>5,6</sup> Fe(II),<sup>7–11</sup> and Co(II)<sup>12,13</sup> have been reported as catalysts for reducing CO<sub>2</sub> to CO, formic acid, and/or methane. For example, *fac*-[Re(N<sup>^</sup>N)(CO)<sub>3</sub>(L)]<sup>††</sup> (N<sup>^</sup>N = diimine ligand and L = monodentate ligand) catalyses the selective conversion of CO<sub>2</sub> into CO in a *N,N*-dimethylformamide (DMF) solution containing triethanolamine (TEOA) *via* photocatalytic reaction or a DMF solution containing H<sub>2</sub>O *via* electrocatalytic reaction.<sup>14</sup> *fac*-Mn(N<sup>^</sup>N)(CO)<sub>3</sub>Br has also been reported as an efficient CO<sub>2</sub> reduction catalyst selectively giving CO or formic acid in electrocatalytic and photocatalytic systems, respectively.<sup>2,15–17</sup>

We recently found that *fac*-Re(bpy)(CO)<sub>3</sub>(OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>) (2Re-bpy: bpy = 2,2'-bipyridine and R = -CH<sub>2</sub>CH<sub>2</sub>OH), which has a deprotonated triethanolamine ligand, can capture a CO<sub>2</sub> molecule even at low concentration of CO<sub>2</sub> by CO<sub>2</sub> insertion into the Re(I)-oxygen bond (Scheme 1).<sup>18</sup> Since this CO<sub>2</sub> insertion is a reversible reaction with a large equilibrium constant, more than 93% of the Re complex is converted into a CO<sub>2</sub> adduct, *i.e.*,

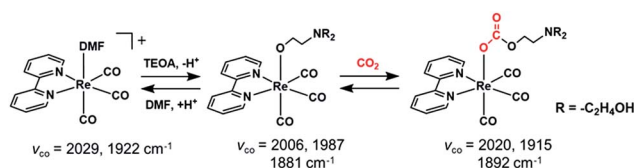
*fac*-Re(bpy)(CO)<sub>3</sub>(OC(O)OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>) (4Re-bpy), when bubbled with Ar gas containing only 10% CO<sub>2</sub> (1.6 × 10<sup>-2</sup> M in solution). This CO<sub>2</sub> capture reaction by the Re(I) complex was successfully applied to photocatalytic CO<sub>2</sub> reduction at low concentration of CO<sub>2</sub>.<sup>19</sup> A Ru(II)-Re(I) photocatalyst consisting of a Ru photosensitiser unit and *fac*-Re(BL)(CO)<sub>3</sub>(OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>) (BL = bridging ligand) as a catalyst unit shows almost same the photocatalytic efficiency and selectivity for CO<sub>2</sub> reduction in an atmosphere of 10% CO<sub>2</sub> as that in a 100% CO<sub>2</sub> (0.13 M in solution) atmosphere. Even at 0.5% CO<sub>2</sub> concentration, its photocatalytic efficiency was about 60% of that at 100% CO<sub>2</sub> concentration. These results suggest that the ability of metal complex catalysts to capture CO<sub>2</sub> using the deprotonated TEOA ligand potentially offers an effective method for reducing low-concentration CO<sub>2</sub> atmospheres without the need for condensation.

Although some similar CO<sub>2</sub> insertion reactions into the M-OR bond of other alkoxide metal complexes, such as M = Mn(I)<sup>20,21</sup> and Re(I)<sup>22,23</sup> and R = -CH<sub>3</sub> and -C<sub>2</sub>H<sub>5</sub>, have been reported,<sup>24–46</sup> systematic and quantitative research, especially into the effects of different ligands and/or different central metal ions on the CO<sub>2</sub> capture reactions, has not yet been reported to the best of our knowledge.

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Scheme 1 Ligand substitution and CO<sub>2</sub> capture reactions of the Re(I) complex and the  $\nu_{\text{CO}}$  values of the Re complexes.



Here we report the CO<sub>2</sub> capture abilities of Mn(I) 4,4'-X<sub>2</sub>-bpy tricarbonyl complexes, where X is any substituent of the corresponding Re(I) complexes, *i.e.*, X = H, Br, and MeO; *fac*-Mn(X<sub>2</sub>bpy)(CO)<sub>3</sub>(OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>) efficiently captured CO<sub>2</sub> in the same manner as the Re(I) complexes but the corresponding W(0) bpy tricarbonyl complex did not. The abilities of the Mn(I) complexes to capture CO<sub>2</sub> were different from those of the corresponding Re(I) complexes and the abilities of both the Mn(I) and Re(I) complexes were strongly dependent on the substituents on the diimine ligand. We successfully clarified the reasons for these dependences of the CO<sub>2</sub> capture abilities by using density functional theory (DFT) calculations.

## Results and discussion

### Ligand substitution of *fac*-[M(X<sub>2</sub>bpy)(CO)<sub>3</sub>(MeCN)]<sup>+</sup> in a DMF-TEOA mixed solution

As a typical example, *fac*-[Mn(bpy)(CO)<sub>3</sub>(MeCN)]<sup>+</sup> (5.0 mM) was added to an Ar-saturated DMF solution containing TEOA (1.3 M), and the changes in the vibrational bands of the CO ligands ( $\nu_{\text{CO}}$ ) were followed by FT-IR. In Fig. 1, the peaks at  $\nu_{\text{CO}} = 2046$  and  $1970 \text{ cm}^{-1}$  were attributed to the starting complex and their intensity gradually decreased and new absorption bands appeared over time. After 30 min, there were no more changes in the IR spectrum and the main bands were observed at  $\nu_{\text{CO}} = 2040$ ,  $1943$ , and  $1936 \text{ cm}^{-1}$ , which are attributed to *fac*-[Mn(bpy)(CO)<sub>3</sub>(DMF)]<sup>+</sup> (**1Mn-bpy**) based on the similarity of the highest wavenumber peaks to those of the starting complex in the case of the Re(I) complex.

Some shoulder peaks were also observed at  $\nu_{\text{CO}} = 2020$  and  $1900 \text{ cm}^{-1}$ . Fig. 2a shows the IR spectra measured several hours after dissolving the same complex into DMF solutions containing different concentrations of TEOA. At higher concentration of TEOA, the intensity of the peaks at  $\nu_{\text{CO}} = 2020$  and  $1900 \text{ cm}^{-1}$  increased. We focussed on the totally symmetric vibrational bands around  $2050\text{--}2010 \text{ cm}^{-1}$  for understanding how many complexes were produced in the DMF-TEOA mixed solution. We could not reasonably fit these spectra by using curve-fitting with two components, but by using three components, we were able to identify three bands at  $\nu_{\text{CO}} = 2040$ ,  $2030$ , and  $2017 \text{ cm}^{-1}$  (Fig. S1<sup>†</sup>). The peak at  $\nu_{\text{CO}} = 2040 \text{ cm}^{-1}$  was attributed to **1Mn-bpy**. The peak at  $\nu_{\text{CO}} = 2017 \text{ cm}^{-1}$  can be attributed to *fac*-Mn(bpy)(CO)<sub>3</sub>(OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>) (**2Mn-bpy**) because it became larger with increased TEOA concentration

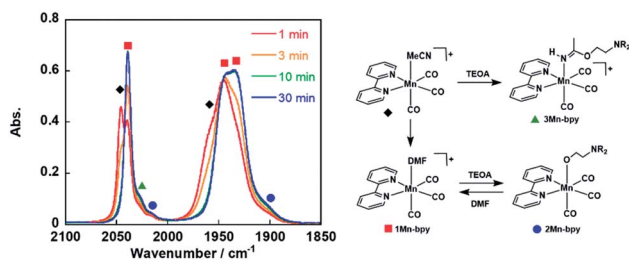


Fig. 1 Changes in the IR spectra of *fac*-[Mn(bpy)(CO)<sub>3</sub>(MeCN)]<sup>+</sup> (◆, 5.0 mM) in a DMF solution containing TEOA (1.3 M) under an Ar atmosphere over 30 min: ■, 1-Mnbpy; ●, 2-Mnbpy; ▲, 3-Mnbpy.

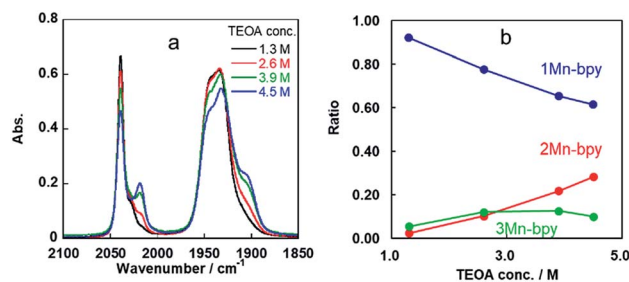


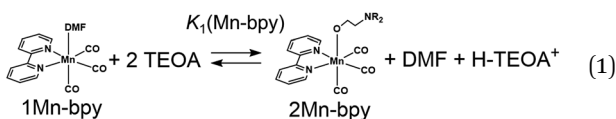
Fig. 2 (a) IR spectra of *fac*-[Mn(bpy)(CO)<sub>3</sub>(MeCN)]<sup>+</sup> in DMF solutions containing different concentrations of TEOA (1.3 M, black line; 2.6 M, red line; 3.9 M, green line; 4.5 M, blue line). (b) Ratios of **1Mn-bpy** (blue), **2Mn-bpy** (red) and **3Mn-bpy** (green) obtained by curve-fitting of the IR spectra between  $2000$  and  $2060 \text{ cm}^{-1}$  (Fig. S1<sup>†</sup>).

(Fig. 2a). The difference in the IR bands of **2Mn-bpy** and **1Mn-bpy** ( $\Delta\nu = 22 \text{ cm}^{-1}$ ) was very similar to the difference between *fac*-Re(bpy)(CO)<sub>3</sub>(OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>) and *fac*-[Re(bpy)(CO)<sub>3</sub>(DMF)]<sup>+</sup> ( $\Delta\nu = 23 \text{ cm}^{-1}$ , Scheme 1). This kind of shift to a lower energy of  $\nu_{\text{CO}}$  could be caused by an increase in  $\pi$  back donation from the Mn centre to the CO ligand because of the anionic alkoxide ligand instead of the neutral DMF. Fig. 2b shows the relationship between the peak area ratio of these complexes and the concentration of TEOA. The ratio of **1Mn-bpy** decreased with increasing TEOA concentration; however, **2Mn-bpy** showed a reverse correlation. From these results, it was concluded that **1Mn-bpy** and **2Mn-bpy** should be in equilibrium with each other in DMF-TEOA. On the other hand, the small peak at  $\nu_{\text{CO}} = 2030 \text{ cm}^{-1}$  did not strongly depend on the TEOA concentration. This was probably due to a Mn(I) complex with an imidate ester ligand, *i.e.*, *fac*-[Mn(bpy)(CO)<sub>3</sub>(-NH=C(CH<sub>3</sub>)-OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>)]<sup>+</sup> (**3Mn-bpy**), which is produced by the addition of deprotonated TEOA to the MeCN ligand (Fig. 1, right scheme). The difference in the totally symmetric vibrational band from *fac*-[Mn(bpy)(CO)<sub>3</sub>(MeCN)]<sup>+</sup> was  $\Delta\nu_{\text{CO}} = 16 \text{ cm}^{-1}$ , and a similar peak shift was observed when *fac*-[Mn(bpy)(CO)<sub>3</sub>(MeCN)]<sup>+</sup> was dissolved in a MeCN-TEOA (5 : 1, v/v) solution ( $\Delta\nu_{\text{CO}} = 14 \text{ cm}^{-1}$ , Fig. S2<sup>†</sup>). This identification is also supported by the fact that the difference in  $\nu_{\text{CO}}$  between *fac*-[Re(bpy)(CO)<sub>3</sub>(MeCN)]<sup>+</sup> and *fac*-[Re(bpy)(CO)<sub>3</sub>(-NH=C(CH<sub>3</sub>)-OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>)]<sup>+</sup> was  $\Delta\nu_{\text{CO}} = 13 \text{ cm}^{-1}$ . This reaction was much slower in the case of the Mn(I) complex than that of the corresponding Re(I) complex. To further clarify the identity of this minor product, the following experiment was performed. *fac*-[Mn(bpy)(CO)<sub>3</sub>(MeCN)]<sup>+</sup> was dissolved in a DMF solution containing 1.3 M TEOA. After 60 min, additional TEOA or DMF was added to this solution, *i.e.*, the concentration of TEOA in the solution was changed from 1.3 to 3.9 or 0.65 M. The changes in the concentration of the solvent did not affect the concentration of the minor product in either case (Fig. S2<sup>†</sup>). This suggests that the minor product was **3Mn-bpy**, which was only produced by the reaction between *fac*-[Mn(bpy)(CO)<sub>3</sub>(MeCN)]<sup>+</sup> and TEOA, was stable in the solution. In other words, the presence of **3Mn-bpy** in the solution should not affect the equilibrium between **1Mn-bpy** and **2Mn-bpy**. Therefore, in the following discussion, we consider only the equilibrium between the DMF and TEOA complexes.

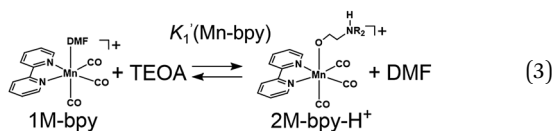


There are two possible equilibrium equations (eqn (1) and (3)) for the conversion of **1Mn-bpy** into **2Mn-bpy**. In the mechanism described in eqn (3), one TEOA molecule works as both a nucleophile and a base. In the case of eqn (1), two TEOA molecules contribute to the ligand substitution reaction, where proton capture from the TEOA interacting with the metal centre by the other TEOA molecule is considered. In this ligand substitution, the main mechanism is probably described in eqn (1) because the solution contained a very high concentration of TEOA (>1.3 M). Therefore, we chose eqn (1) for the DFT calculation as described below.†

In eqn (2), the concentration of the protonated TEOA ( $[H\text{-TEOA}^+]$ ) was assumed to be the same as that of **2Mn-bpy** because the proton that originated in the TEOA ligand should be captured by another TEOA molecule as described above. The concentrations of the Mn(I) complexes were calculated by curve-fitting of the IR spectra and were used to determine the equilibrium constant, *i.e.*,  $K_1(\text{Mn-bpy}) = (0.22 \pm 0.03) \times 10^{-3}$ , by using eqn (2).



$$K_1(\text{Mn-bpy}) = \frac{[\text{2Mn-bpy}][\text{DMF}][\text{H-TEOA}^+]}{[\text{1M-X}_2\text{bpy}][\text{TEOA}]^2} \approx \frac{[\text{2Mn-bpy}]^2[\text{DMF}]}{[\text{1M-X}_2\text{bpy}][\text{TEOA}]^2} \quad (2)$$



When the Mn complexes with substituents at the 4,4'-position of the bpy ligand, *i.e.*,  $\text{fac}[\text{Mn}(\text{X}_2\text{bpy})(\text{CO})_3(\text{MeCN})]^+$  ( $\text{X} = \text{Br}$  and  $\text{OMe}$ ), were dissolved in the DMF-TEOA mixed solution, similar IR spectral changes were observed in both cases (Fig. S4†). However, the equilibrium constants were very different from  $K_1(\text{Mn-bpy})$ . Electron-withdrawing substituents gave a larger constant, *i.e.*,  $K_1(\text{Mn-Br}_2\text{bpy}) = (0.64 \pm 0.03) \times 10^{-3}$ . On the other hand, electron-donating substituents gave a smaller constant, *i.e.*,  $K_1(\text{Mn-(MeO)}_2\text{bpy}) = (0.12 \pm 0.01) \times 10^{-3}$ . These results strongly suggest that stronger electron-withdrawing substituents on the  $\text{X}_2\text{bpy}$  ligand give rise to higher stability of **2-Mn-X<sub>2</sub>bpy**.

In the case of the corresponding Re(I) complex,  $\text{fac}[\text{Re}(\text{X}_2\text{-bpy})(\text{CO})_3(\text{MeCN})]^+$  was converted into  $\text{fac}[\text{Re}(\text{X}_2\text{bpy})(\text{CO})_3(\text{DMF})]^+$  (**1Re-X<sub>2</sub>bpy**) by first dissolving in DMF § and then into  $\text{fac}[\text{Re}(\text{X}_2\text{bpy})(\text{CO})_3(\text{OCH}_2\text{CH}_2\text{NR}_2)]$  (**2Re-X<sub>2</sub>bpy**) by the addition of TEOA to the solution because this procedure could suppress the formation of  $[\text{Re}(\text{bpy})(\text{CO})_3(-\text{NH}=\text{C}(\text{CH}_3)-\text{OCH}_2\text{CH}_2\text{NR}_2)]^+$  (**3Re-bpy**).<sup>18</sup> ¶ The equilibrium constants between **1Re-X<sub>2</sub>bpy** and **2Re-X<sub>2</sub>bpy** are summarised in Table 2. The values of  $K_1(\text{Re-X}_2\text{bpy})$

are consistent with those of the corresponding Mn complexes as described above, *i.e.*, the electron-withdrawing substituents on the  $\text{X}_2\text{bpy}$  ligand yielded a larger equilibrium constant. The equilibrium constant of the Re complexes was much larger than that of the corresponding Mn complexes, for example,  $K_1(\text{Re-bpy}) = (71 \pm 1) \times 10^{-3}$  and  $K_1(\text{Mn-bpy}) = (0.64 \pm 0.03) \times 10^{-3}$ , that is, the formation of **2Mn-X<sub>2</sub>bpy** was thermodynamically less favourable compared to that of the corresponding Re(I) complex in the DMF-TEOA mixed solution.

### CO<sub>2</sub> capture by *fac*-M(X<sub>2</sub>bpy)(CO)<sub>3</sub>(OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>)

Introduction of CO<sub>2</sub> into the DMF-TEOA solution containing the equilibrium mixture of **1Mn-bpy** and **2Mn-bpy** caused rapid disappearance of the  $\nu_{\text{CO}}$  bands attributed to **1Mn-bpy** and **2Mn-bpy** and the appearance of three new  $\nu_{\text{CO}}$  bands at 2028, 1936, and 1913  $\text{cm}^{-1}$  (Fig. 3a). When this CO<sub>2</sub>-saturated solution was bubbled with Ar for 30 min, the original  $\nu_{\text{CO}}$  bands of **1Mn-bpy** and **2Mn-bpy** were fully recovered (Fig. S5†). These IR spectral changes are very similar to those of the corresponding Re(I) complexes, where both **1Re-bpy** and **2Re-bpy** were converted into the CO<sub>2</sub> adduct complex  $\text{fac-Re}(\text{bpy})(\text{CO})_3(\text{OC}(\text{O})\text{OCH}_2\text{-CH}_2\text{NR}_2)$  (**4Re-bpy**) upon bubbling with CO<sub>2</sub>.<sup>18</sup> These results strongly suggest that CO<sub>2</sub> capture by the Mn(I) complex proceeded well and that the reaction was reversible. Identification of the CO<sub>2</sub> adduct was confirmed by <sup>1</sup>H and <sup>13</sup>C NMR experiments in a DMSO-*d*<sub>6</sub> solution containing TEOA.

DMF-*d*<sub>7</sub> was not used because the signal of a carbonate carbon (M-OC(O)O-R) is expected to be observed at a similar magnetic field to that of the amide carbon of DMF. We confirmed that even in a DMSO-TEOA mixed solution, similar IR spectral changes occurred to those in the DMF-TEOA solution (Fig. 3b). The <sup>1</sup>H NMR spectra of the solution containing the Mn complexes were first measured under an Ar atmosphere and then measured again after bubbling with CO<sub>2</sub> for 3 min (Fig. S6†). The <sup>1</sup>H NMR signals attributed to the bpy ligands changed completely before and after bubbling with CO<sub>2</sub>; the proton peaks attributed to the bpy ligands of **1Mn-bpy** and **2Mn-bpy**, which were observed under an Ar atmosphere, disappeared and four new proton signals were observed at a higher magnetic field under a CO<sub>2</sub> atmosphere. Fig. 4a shows the <sup>13</sup>C NMR spectrum with proton decoupling; a singlet peak attributable to the carbonate carbon (M-OC(O)O-R) at 158.7 ppm was observed under the CO<sub>2</sub> atmosphere. This signal was drastically enhanced by using <sup>13</sup>CO<sub>2</sub> (99% <sup>13</sup>C content)

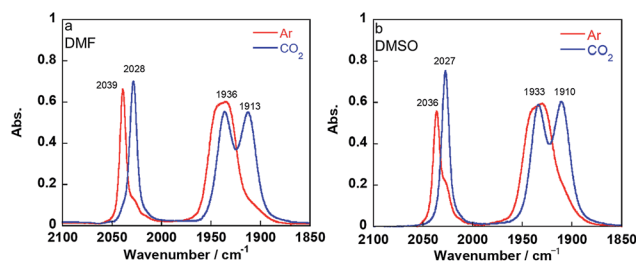


Fig. 3 IR spectra of the equilibrated mixture of **1Mn-bpy** and **2Mn-bpy** in DMF (a) or DMSO (b) containing TEOA (1.3 M) after Ar bubbling (red line) and CO<sub>2</sub> bubbling (blue line) for 15 min.





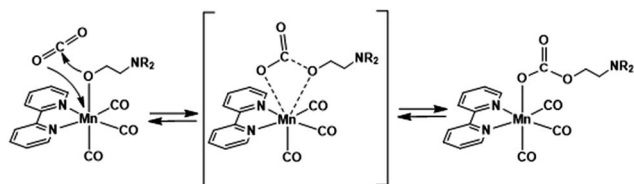
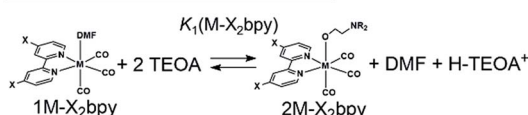
Scheme 2 Possible mechanism of CO<sub>2</sub> insertion into the M–O bond.

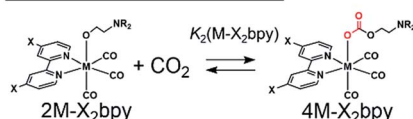
Table 2 Equilibrium constants of the Re complexes

| Complex |     |               |                           |                     |
|---------|-----|---------------|---------------------------|---------------------|
| Metal   | X   | $K_1/10^{-3}$ | $K_2/10^3 \text{ M}^{-1}$ | $K_3/\text{M}^{-1}$ |
| Re(i)   | H   | $71 \pm 1$    | $1.2 \pm 0.2$             | $88 \pm 13$         |
|         | Br  | $128 \pm 8$   | $1.4 \pm 0.1$             | $179 \pm 21$        |
|         | MeO | $30 \pm 2$    | $2.6 \pm 0.1$             | $79 \pm 10$         |

## Process 1 (Ligand substitution reaction)

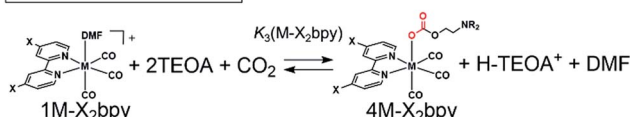


$$K_1(\text{M-X}_2\text{bpy}) = \frac{[2\text{M-X}_2\text{bpy}][\text{DMF}][\text{H-TEOA}^+]}{[1\text{M-X}_2\text{bpy}][\text{TEOA}]^2} \approx \frac{[2\text{M-X}_2\text{bpy}][\text{DMF}]}{[1\text{M-X}_2\text{bpy}][\text{TEOA}]^2}$$

Process 2 (CO<sub>2</sub> capture reaction)

$$K_2(\text{M-X}_2\text{bpy}) = \frac{[4\text{M-X}_2\text{bpy}]}{[2\text{M-X}_2\text{bpy}][\text{CO}_2]}$$

## Process 3 (Overall reaction)



$$K_3(\text{M-X}_2\text{bpy}) = K_1(\text{M-X}_2\text{bpy}) K_2(\text{M-X}_2\text{bpy}) = \frac{[4\text{M-X}_2\text{bpy}][\text{H-TEOA}^+][\text{DMF}]}{[1\text{M-X}_2\text{bpy}][\text{TEOA}]^2[\text{CO}_2]}$$

$$\approx \frac{[4\text{M-X}_2\text{bpy}]}{[1\text{M-X}_2\text{bpy}][\text{TEOA}]^2[\text{CO}_2]}$$

Scheme 3 Reactions and the corresponding equilibrium constants for each step.

was lower than that of **1Re-X<sub>2</sub>bpy**, and the equilibrium constants are defined as  $K_3(\text{M-X}_2\text{bpy})$  (Process 3). This is because the formation of the TEOA adducts (**2Mn-X<sub>2</sub>bpy** and **2Re-X<sub>2</sub>bpy**), *i.e.*, Process 1, is much more favourable in the case of the Re complexes, the equilibrium constants of which are  $K_1(\text{M-X}_2\text{bpy})$ .

**1Re-Br<sub>2</sub>bpy** as the starting complex most efficiently captured CO<sub>2</sub> from gases containing low concentrations of CO<sub>2</sub> in DMF including 1.3 M TEOA where the volume ratio between DMF and TEOA is 5 : 1; bubbling air containing only 400 ppm of CO<sub>2</sub> (1.7

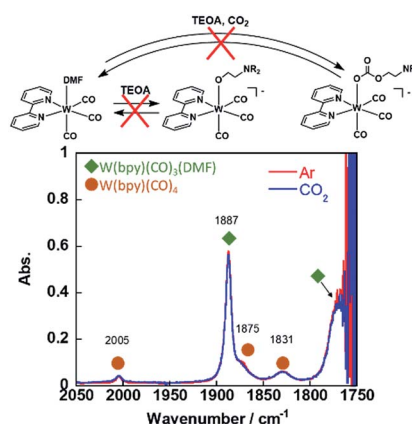
$\times 10^{-4}$  M in solution) into the DMF–TEOA mixed solution containing **1Re-Br<sub>2</sub>bpy** and **2Re-Br<sub>2</sub>bpy** converted 31% of the Re complexes into the corresponding CO<sub>2</sub> adduct, *i.e.*, **4Re-Br<sub>2</sub>bpy**. This conversion ratio increased by changing the solvent to DMSO. In a DMSO solution containing 1.3 M TEOA, **4Re-Br<sub>2</sub>bpy** formed at a 47% ratio under air.

Since the W(0) complex with a structure similar to that of the Re(i) and Mn(i) complexes has been reported, we checked the ligand substitution and CO<sub>2</sub>-capturing ability of *fac*-W(bpy)(CO)<sub>3</sub>(MeCN). This complex was synthesised by dissolving W(bpy)(CO)<sub>4</sub> into an MeCN solution, which was refluxed under an Ar atmosphere overnight. Evaporation of the solvent gave *fac*-W(bpy)(CO)<sub>3</sub>(MeCN) as a brown solid containing a small amount of W(bpy)(CO)<sub>4</sub>. Since *fac*-W(bpy)(CO)<sub>3</sub>(MeCN) was air-sensitive and W(bpy)(CO)<sub>4</sub> did not affect the following experiments, we used this solid.||

The FT-IR spectrum of *fac*-W(bpy)(CO)<sub>3</sub>(MeCN) in an MeCN solution showed carbonyl vibration bands at  $\nu_{\text{CO}} = 1898$  and  $1782 \text{ cm}^{-1}$  (Fig. S8†), which are consistent with a previous report.<sup>48</sup> This complex was dissolved in a DMF solution, and a CO vibration band at  $\nu_{\text{CO}} = 1887 \text{ cm}^{-1}$  attributable to *fac*-W(bpy)(CO)<sub>3</sub>(DMF) was observed (Fig. S9†). The other  $\nu_{\text{CO}}$  bands overlapped with the carbonyl vibrational band of the DMF solvent and small absorption bands at  $\nu_{\text{CO}} = 2005$ , 1875, and  $1831 \text{ cm}^{-1}$  were attributed to *fac*-W(bpy)(CO)<sub>4</sub>, which had the same wavelength and strength as those observed in the MeCN solution (Fig. 6). Addition of TEOA into the DMF solution containing W(bpy)(CO)<sub>3</sub>(DMF) did not affect the  $\nu_{\text{CO}}$  band at all under an Ar atmosphere. In addition, the CO vibration of *fac*-W(bpy)(CO)<sub>3</sub>(DMF) in the IR spectra did not change after bubbling CO<sub>2</sub> through this solution (Fig. 6). These results clearly indicate that *fac*-[W(bpy)(CO)<sub>3</sub>(OCH<sub>2</sub>CH<sub>2</sub>NR<sub>2</sub>)]<sup>−</sup> did not form from *fac*-W(bpy)(CO)<sub>3</sub>(DMF) even in the presence of 1.3 M TEOA, and the CO<sub>2</sub>-capturing reaction also did not proceed under these reaction conditions (refer the equation in Fig. 6).

## Gibbs free energy change and DFT calculation

The Gibbs free energy ( $G_f$ ) changes in Processes 1–3 were calculated by using the equilibrium constants ( $K_1$ ,  $K_2$ , and  $K_3$ ) and are summarised in Table 3 (values in parentheses).

Fig. 6 IR spectra of W(bpy)(CO)<sub>3</sub>(DMF) in DMF–TEOA under Ar and CO<sub>2</sub>.

**Table 3** Calculated and experimental<sup>a</sup> changes in the Gibbs free energy ( $\Delta G^0$ ) of reactions 1–3 for the Mn(i), Re(i) and W(0) complexes

| Metal | X   | $\Delta G^0/\text{kJ mol}^{-1}$ |                  |                  |
|-------|-----|---------------------------------|------------------|------------------|
|       |     | Process 1                       | Process 2        | Process 3        |
| Mn(i) | H   | 10.1<br>(20.9)                  | −15.3<br>(−27.3) | −5.2<br>(−6.4)   |
|       | Br  | 8.9<br>(18.2)                   | −15.0<br>(−26.4) | −6.1<br>(−8.2)   |
|       | OMe | 10.5<br>(22.4)                  | −10.3<br>(−28.1) | +0.2<br>(−5.7)   |
| Re(i) | H   | −8.0<br>(6.55)                  | −2.6<br>(−17.6)  | −10.6<br>(−11.1) |
|       | Br  | 7.8<br>(5.09)                   | −25.6<br>(−18.0) | −17.8<br>(−12.9) |
|       | OMe | 11.2<br>(8.67)                  | −16.7<br>(−19.5) | −5.5<br>(−10.8)  |
| W(0)  | H   | 21.8                            | −9.0             | 12.8             |

<sup>a</sup> Values in parentheses denote the experimental values calculated using  $\Delta G^0 = -RT \ln K$  using  $T = 298 \text{ K}$  and  $K_1$ ,  $K_2$  and  $K_3$ .

The observed abilities of the metal tricarbonyl complexes in the  $\text{CO}_2$ -capturing reactions involving TEOA were examined based on the DFT calculations. Selected geometrical parameters calculated at the def2-SVP/PBE1PBE level including solvent effects are depicted in Table S2.† All of the Mn–N(bpy) and Mn–C(CO) bond lengths were calculated for **1Mn-bpy**, **2Mn-bpy** and **4Mn-bpy** with the singlet spin state and were in good agreement with those of the X-ray structure determined for *fac*-Mn(CO)<sub>3</sub>(bpy)I,<sup>49</sup> supporting the accuracy of the DFT calculation. The geometrical optimisation of **1Re-bpy**, **2Re-bpy** and **4Re-bpy** also gave molecular structures with geometrical parameters similar to those of the X-ray structure of *fac*-Re(CO)<sub>3</sub>(bpy)OC<sub>5</sub>H<sub>11</sub>.<sup>23</sup>

The CO stretching vibrational frequencies of the tricarbonyl complexes were calculated for the DFT-optimised structures and were corrected using the reported scaling factor (Tables S3 and S5†).<sup>50</sup> While all of the calculated  $\nu_{\text{CO}}$  values were slightly higher than the observed ones, the differences in  $\nu_{\text{CO}}$  are almost constant for each series of the tricarbonyl complex: 31–50  $\text{cm}^{-1}$  for Mn(i) complexes and 16–23  $\text{cm}^{-1}$  for Re(i) complexes. The constant deviation in the calculated  $\nu_{\text{CO}}$  supports the experimental identification of the species involved in the  $\text{CO}_2$ -capturing reactions.

The changes in Gibbs free energy ( $\Delta G^0$ ) of each process for the Mn(i) and Re(i) complexes were then calculated based on the DFT calculations (Table 3). For the overall reaction (Process 3), these calculated  $\Delta G^0$  values are in good agreement with those obtained by the experiments with an error of 5  $\text{kJ mol}^{-1}$  ( $\sim 1 \text{ kcal mol}^{-1}$ ).

For  $\text{CO}_2$  capture by the Mn complexes, the calculated  $\Delta G^0$  for reaction 1 is about 9–14  $\text{kJ mol}^{-1}$  lower than the observed value, while those for Process 2 are 13–17  $\text{kJ mol}^{-1}$  higher. This trend is also seen in the Re complexes even though the differences are smaller compared to those of the Mn complexes. These results are probably caused by an overestimation of the free energy of formation for the M–TEOA complexes (**2M-X<sub>2</sub>bpy**). The TEOA moiety with three ethanol groups has several conformation

isomers. The geometrical optimisation of **2Mn-X<sub>2</sub>bpy** and **4Mn-X<sub>2</sub>bpy** gave somewhat compact structures due to intramolecular hydrogen bonding between the metal-coordinating O atom and the two remaining OH groups in the TEOA moiety.

The lengths of the hydrogen bonds in **4Mn-X<sub>2</sub>bpy** are around 1.76–1.81 Å. On the other hand, in the case of **2Mn-X<sub>2</sub>bpy**, the hydrogen bonds between the anionic O atom, which is attached to the metal ion, and the OH groups are considerably short (1.50–1.59 Å) (Fig. S10†). The same effects were observed in the Re complexes as well. Such strong intramolecular hydrogen bonding in **2M-X<sub>2</sub>bpy** is one of the reasons for the overestimation of their stabilisation energies because, in the DMF–TEOA mixed solution, intermolecular hydrogen bonds with other TEOA molecules could break such a compact conformation and several other stable conformations would be formed. A more accurate prediction of  $\Delta G^0$  for the  $\text{CO}_2$  insertion reaction therefore requires the evaluation of the TEOA conformational distribution including explicit interactions with other TEOA molecules. It should be noted that this uncertainty should not affect the  $\Delta G^0$  values of Process 3 because **2Mn-X<sub>2</sub>bpy** and **2Re-X<sub>2</sub>bpy** do not contribute to this process as shown in the equation for determining  $K_3$  (Scheme 3). In other words, the errors based on the overestimation of the stabilisation energy of **2Mn-X<sub>2</sub>bpy** and **2Re-X<sub>2</sub>bpy** can be cancelled between Processes 1 and 2.

As described in the previous section, there are two possible equilibrium equations [eqn (1) and (3)] for the ligand substitution of DMF by TEOA. However, in solutions containing high concentration of TEOA (1.3 M), the reaction with one TEOA molecule acting as both a nucleophile and a base is considered unfavourable. The DFT calculation also supports this consideration because the observed  $\nu_{\text{CO}}$  values (1902, 1918 and 2017  $\text{cm}^{-1}$ ) are close to those calculated for **2M-bpy** rather than for **2M-bpy-H<sup>+</sup>** (see Tables S3 and S4†). In addition, the calculated  $\Delta G^0$  corresponding to eqn (3) (14.5  $\text{kJ mol}^{-1}$ ) is higher than the  $\Delta G^0$  value for Process 1 (10.1  $\text{kJ mol}^{-1}$ ), which also indicates the favourability of eqn (1).

For the  $\text{CO}_2$ -capturing reaction of the corresponding W(CO)<sub>3</sub>(bpy)X species, the  $\Delta G^0$  values for Processes 1, 2, and 3 are calculated to be +21.8, −9.0, and +12.8  $\text{kJ mol}^{-1}$ , respectively. The calculated large positive  $\Delta G^0$  value of Process 3 is consistent with the results showing that the W(0) complex was less reactive for the  $\text{CO}_2$ -capturing reaction in the presence of TEOA compared to the Re(i) and Mn(i) complexes.

As shown for the three series of metal complexes consisting of different metal ions and different ligands, DFT was able to calculate the  $\Delta G^0$  values for the overall  $\text{CO}_2$ -capturing reaction (Process 3) with good accuracy ( $\sim 5 \text{ kJ mol}^{-1}$ ). We can use this method for estimating the  $\text{CO}_2$ -capturing abilities of other metal complexes and to examine the mechanism of  $\text{CO}_2$ -capturing reactions.

## Experimental section

### General procedures

FT-IR spectra were measured using a JASCO FT/IR-610 or 6600 spectrometer at 1  $\text{cm}^{-1}$  resolution. <sup>1</sup>H NMR and <sup>13</sup>C NMR spectra were measured in acetonitrile-*d*<sub>3</sub>, DMF-*d*<sub>7</sub> or DMSO-*d*<sub>6</sub>



using a JEOL ECA400-II at 400 and 100 MHz, respectively. Electrospray ionisation mass spectroscopy (ESI-MS) was performed using a Shimadzu LCMS-2010A system with acetonitrile as the mobile phase.

## Materials

DMF was distilled under reduced pressure after pre-drying using activated molecular sieves of 4 Å and stored under Ar in a glove box. TEOA was distilled under reduced pressure and stored under Ar in a glove box. DMSO was distilled under reduced pressure after pre-drying overnight with CaH<sub>2</sub> under Ar. Acetonitrile was distilled three times over P<sub>2</sub>O<sub>5</sub> and distilled again by CaH<sub>2</sub> before use. All other reagents of reagent-grade quality were used without further purification.

## Calculation of equilibrium constants

Preparation procedures of the solutions for the measurements were performed in a glove box (UNICO, UN-650F) with a gas circulation dehydration device (DGE-05) under Ar (water content was less than 376 ppm). Concentrations of the complexes in solutions were obtained by the peak areas of  $\nu_{\text{CO}}$  at the highest wavenumber (2000–2060 cm<sup>-1</sup>) in the IR spectra. The peak areas attributed to each complex were obtained by curve-fitting using a linear combination of the Gaussian and the Lorentzian functions.<sup>18,19</sup>

## Ligand substitution reaction

The Mn(I) and W(0) diimine tricarbonyl acetonitrile complexes were dissolved in DMF solutions containing different concentrations of TEOA. The solutions were kept at room temperature in the dark for several hours and then their IR spectra were measured. In the case of the Re(I) complexes, the complexes with an acetonitrile ligand were dissolved in DMF to avoid the formation of **3Re-X<sub>2</sub>bpy**. The solutions were kept at room temperature in the dark for several hours (>3 h), when all of the complexes were converted into **1Re-X<sub>2</sub>bpy**, and then TEOA was added to these solutions. After keeping the solutions at room temperature in the dark for 2 h, the IR spectra of the equilibrium mixtures were recorded.

## CO<sub>2</sub>-capturing reactions

Various amounts (0.020–0.025 mL) of a CO<sub>2</sub>-saturated DMF solution (the concentration of CO<sub>2</sub> is 0.20 M)<sup>18,19</sup> were added to DMF solutions (1.8 mL) containing TEOA (1.3 M) and the equilibrium mixture of the metal complexes in a shielded sample tube by using a micro-syringe in a glove box. After the solutions were kept at room temperature for several hours, the IR spectra were measured.

## Synthesis

*fac*-Re(X<sub>2</sub>bpy)(CO)<sub>3</sub>Br was synthesised according to a reported method.<sup>1,2</sup> *fac*-Mn(X<sub>2</sub>bpy)(CO)<sub>3</sub>Br was synthesised according to a reported method with a small difference indicated as follows.<sup>15</sup> All procedures for the synthesis of the Mn(I) complexes were performed in a dark room with red light. W(bpy)(CO)<sub>4</sub> and

*fac*-W(bpy)(CO)<sub>3</sub>(MeCN) were synthesised according to a reported method.<sup>51,52</sup>

*fac*-[Mn(bpy)(CO)<sub>3</sub>(MeCN)](PF<sub>6</sub>). *fac*-Mn(bpy)(CO)<sub>3</sub>Br (1.0 g, 2.7 mmol) and AgPF<sub>6</sub> (0.69 g, 2.7 mmol) were dissolved in 350 mL of Ar-saturated acetonitrile and this solution was heated at 40 °C for 1 h. After cooling to room temperature, the white precipitate of AgBr was removed by filtration with a Celite phase, and the solvent was evaporated. A yellow residue was dissolved in a small amount of CH<sub>2</sub>Cl<sub>2</sub>. *n*-Hexane was added to this solution to yield the target compound as a yellow precipitate, which was filtered and washed with a small amount of ether. Yield: 1.2 g (96%). <sup>1</sup>H NMR (400 MHz, chloroform-*d*<sub>1</sub>, ppm):  $\delta$  = 9.04 (d, 2H, *J* = 5.2 Hz, bpy-6,6'), 8.41 (d, 2H, *J* = 7.6 Hz, bpy-3,3'), 8.22 (dd, 2H, *J* = 7.6, 8.0 Hz, bpy-4,4'), 7.66 (dd, 2H, *J* = 8.0, 5.2 Hz, bpy-5,5'), 2.10 (s, 3H, NC-CH<sub>3</sub>). FT-IR (MeCN):  $\nu(\text{CO})/\text{cm}^{-1}$ , 2028, 1938, 1923. Elemental anal. calcd (%) for C<sub>15</sub>H<sub>11</sub>MnN<sub>3</sub>O<sub>3</sub>PF<sub>6</sub>: C, 37.44; H, 2.30; N, 8.73. Found: C, 37.56; H, 2.21; N, 8.83.

The following Mn complexes were synthesised by a similar method to that of [Mn(bpy)(CO)<sub>3</sub>(MeCN)](PF<sub>6</sub>) except for using the corresponding Br complexes as the starting complexes.

*fac*-[Mn(4,4'-dibromo-2,2-bipyridine)(CO)<sub>3</sub>(MeCN)](PF<sub>6</sub>). Yield: 0.45 g (95%). <sup>1</sup>H NMR (400 MHz, chloroform-*d*<sub>1</sub>, ppm):  $\delta$  = 8.83 (d, 2H, *J* = 6.8 Hz, bpy-6,6'), 8.42 (d, 2H, *J* = 2.4 Hz, bpy-3,3'), 7.82 (dd, 2H, *J* = 2.4, 6.8 Hz, bpy-5,5'), 2.16 (s, 3H, NC-CH<sub>3</sub>, H). FT-IR (MeCN):  $\nu(\text{CO})/\text{cm}^{-1}$ , 2051, 1963. Elemental anal. calcd (%) for C<sub>15</sub>H<sub>9</sub>Br<sub>2</sub>MnN<sub>3</sub>O<sub>3</sub>PF<sub>6</sub>: C, 28.20; H, 1.42; N, 6.58. Found: C, 28.50; H, 1.28; N, 6.69.

*fac*-[Mn(4,4'-methoxy-2,2-bipyridine)(CO)<sub>3</sub>(MeCN)](PF<sub>6</sub>). Yield: 0.26 g (75%). <sup>1</sup>H NMR (400 MHz, chloroform-*d*<sub>1</sub>, ppm):  $\delta$  = 8.72 (d, 2H, *J* = 6.4 Hz, bpy-6,6'), 7.81 (d, 2H, *J* = 2.6 Hz, bpy-3,3'), 7.82 (dd, 2H, *J* = 2.6, 6.4 Hz, bpy-5,5'), 4.11 (s, 6H, -OCH<sub>3</sub>), 2.16 (s, 3H, NC-CH<sub>3</sub>). FT-IR (MeCN):  $\nu(\text{CO})/\text{cm}^{-1}$ , 2047, 1953. Elemental anal. calcd (%) for C<sub>17</sub>H<sub>15</sub>MnN<sub>3</sub>O<sub>5</sub>PF<sub>6</sub>: C, 37.73; H, 2.79; N, 7.76. Found: C, 37.71; H, 2.72; N, 7.75.

*fac*-[Re(4,4'-dibromo-2,2-bipyridine)(CO)<sub>3</sub>(MeCN)](PF<sub>6</sub>). Yield: 0.44 g (63%). <sup>1</sup>H NMR (400 MHz, acetonitrile-*d*<sub>3</sub>, ppm):  $\delta$  = 8.81 (d, 2H, *J* = 6.0 Hz, bpy-6,6'), 8.72 (d, 2H, *J* = 2.0 Hz, bpy-3,3'), 7.92 (dd, 2H, *J* = 2.0, 6.0 Hz, bpy-5,5'), 2.06 (s, 3H, NC-CH<sub>3</sub>). FT-IR (MeCN):  $\nu(\text{CO})/\text{cm}^{-1}$ , 2042, 1941. Elemental anal. calcd (%) for C<sub>15</sub>H<sub>9</sub>Br<sub>2</sub>ReN<sub>3</sub>O<sub>3</sub>PF<sub>6</sub>: C, 23.39; H, 1.18; N, 5.46. Found: C, 23.56; H, 1.10; N, 5.62.

*fac*-[Re(4,4'-methoxy-2,2-bipyridine)(CO)<sub>3</sub>(MeCN)](PF<sub>6</sub>). Yield: 0.44 g (62%). <sup>1</sup>H NMR (400 MHz, acetonitrile-*d*<sub>3</sub>, ppm):  $\delta$  = 8.76 (d, 2H, *J* = 6.0 Hz, bpy-6,6'), 7.92 (d, 2H, *J* = 2.0 Hz, bpy-3,3'), 7.19 (dd, 2H, *J* = 2.0, 6.0 Hz, bpy-5,5'), 4.03 (s, 6H, -OCH<sub>3</sub>), 2.06 (s, 3H, NC-CH<sub>3</sub>). FT-IR (MeCN):  $\nu(\text{CO})/\text{cm}^{-1}$ , 2038, 1932. Elemental anal. calcd (%) for C<sub>17</sub>H<sub>15</sub>ReN<sub>3</sub>O<sub>5</sub>PF<sub>6</sub>: C, 30.36; H, 2.25; N, 6.25. Found: C, 30.85; H, 2.24; N, 6.43.

## DFT calculations of changes in Gibbs free energy ( $\Delta G^0$ )

The geometry optimisations of chemical species involved in CO<sub>2</sub>-capturing were carried out using a hybrid density functional with 25% exchange and a 75% Perdew, Burke, and Ernzerhof correlation functional (PBE1PBE)<sup>53</sup> using a smaller def2-SVP basis set with an effective core potential for Re and Br



atoms. The solvent DMF was modelled as a dielectric continuum using a polarisable continuum model.<sup>54</sup> The electronic energies were then obtained as the SCF energy of a single-point calculation on the optimised geometries using a larger basis set of def2-TZVP. The solvent effect of DMF was considered using the SMD method, a parametrised SCRF-based solvation model developed to predict the free energy of solvation.<sup>55</sup> The thermal correction terms to evaluate the standard Gibbs energy of formation ( $G_f$ ) were computed by vibrational frequency analysis of the stationary structures using the same calculation level as for the geometry optimisations. An appropriate scaling factor of 0.9817 was used for the computed zero-point energy.<sup>50</sup> Changes in the Gibbs free energy ( $\Delta G^0$ ) were calculated as the difference in  $G_f$  between the reactants and the products. For the  $\Delta G^0$  values corresponding to  $K_2$  and  $K_3$  with the unit of  $M^{-1}$ , the calculated  $G_f$  values were corrected by  $-7.9 \text{ kJ mol}^{-1}$  for the change in the reference states from 1 bar to 1 M.<sup>56</sup> All DFT calculations were executed using Gaussian 16 packages.<sup>57</sup>

## Conclusions

We found that the  $\text{CO}_2$ -capturing reactions of Mn(I) diimine tricarbonyl complexes with a deprotonated TEOA ligand were similar to those of the corresponding Re(I) complexes. The equilibrium constants of both the Mn(I) and Re(I) diimine complexes for the ligand substitution (Process 1) and for  $\text{CO}_2$  capture (Process 2) in DMF–TEOA solutions were determined. From the DFT calculations, in addition to these equilibrium constants, we systematically and quantitatively clarified the effects of both the metal and the substituents on the bpy ligand. This kind of metal affected both Processes 1 and 2. On the other hand, ligand substituents had a greater influence on Process 1 than Process 2. The DFT calculation can be applied to estimate the  $\Delta G^0$  of the total reaction containing both the ligand substitution and  $\text{CO}_2$  capture (Process 3), and the  $\Delta G^0$  values were in good agreement with those calculated from the experimental data. The  $\text{CO}_2$ -capturing reaction of  $\text{W}(\text{bpy})(\text{CO})_3(\text{DMF})$  in the TEOA–DMF solution did not proceed at all because of the large  $\Delta G^0$  value for Process 3. These calculation approaches can give a good approximation to judge whether the total  $\text{CO}_2$ -capturing phenomenon (Process 3) proceeds or not with various metal complexes.

## Conflicts of interest

There are no conflicts of interest to declare.

## Acknowledgements

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## Notes and references

‡ As shown in Table S1, a similar discussion can be done by using  $K'_1$  instead of  $K_1$ .  
§ Unfortunately, we could not use the step-by-step substitution synthesis method in the case of the Mn complexes because dissolving  $\text{fac}[\text{Mn}(\text{bpy})(\text{CO})_3(\text{MeCN})]^+$  into DMF gave not only **1Mn-bpy** but also a minor product which we have not been able to identify. As described above, therefore, we dissolved the Mn complexes directly into the DMF–TEOA mixed solution.

¶ In this experiment, we observed other small bands at  $2019 \text{ cm}^{-1}$ , peaks of which appeared and gradually increased after the addition of TEOA. This species was probably attributed to the formate complex  $\text{Re}(\text{bpy})(\text{CO})_3(\text{OCHO})$ , the formate ligand of which was probably supplied from DMF. This decomposition of DMF under basic conditions with TEOA and  $\text{H}_2\text{O}$  was reported by Vos and his coworkers.<sup>58</sup> It was also reported that this reaction is suppressed under more acidic conditions such as under a  $\text{CO}_2$  atmosphere. We used well dehydrated TEOA and DMF and minimized the time for measuring the IR spectra of the equilibrated mixtures under an Ar atmosphere after addition of TEOA (typically 120 min) for minimizing formation yields. The formation of the formate complex was less than 3% in all the Re complexes. In the case of Mn(I) complexes, this formation of the formate complex was very slow.

||  $\text{W}(\text{bpy})(\text{CO})_3(\text{MeCN})$  was unstable in air probably because of oxidation of the metal centre and decarbonylation. Therefore,  $\text{W}(\text{bpy})(\text{CO})_3(\text{MeCN})$  was used without any purification after the synthesis.

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