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## Nature of the "Z"-phase in layered Na-ion battery cathodes†

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Layered sodium transition metal oxides with the P2 structure, e.g.  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$ , are regarded as candidates for Na-ion battery cathodes. On charging, extraction of Na destabilizes the P2 phase (ABBA oxide ion stacking) in which  $\text{Na}^+$  is in trigonal prismatic coordination, resulting in layer gliding and formation of an O2 phase (ABAC stacking) with octahedral coordination. However, many related compounds do not exhibit such a simple P2 to O2 transition but rather form a so called "Z"-phase. Substituting Ni by Fe in  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$  is attractive as it reduces cost. The Fe containing compounds, such as  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$ , form a "Z"-phase when charged above 4.1 V vs.  $\text{Na}^+/\text{Na}$ . By combining *ex situ* and *operando* X-ray diffraction with scanning transmission electron microscopy and simulated diffraction patterns, we demonstrate that the "Z"-phase is most accurately described as a continuously changing intergrowth structure which evolves from P2 to O2 through the OP4 structure as an intermediate. On charging,  $\text{Na}^+$  removal results in O-type stacking faults within the P2 structure which increase in proportion. At 50% O-type stacking faults, the ordered OP4 phase forms and on further charging more O-type stacking faults are formed progressing towards a pure O2 structure. This gives the superficial appearance of a solid solution. Furthermore, in contrast to some previous studies, we did not detect Fe migration at any state-of-charge using  $^{57}\text{Fe}$ -Mössbauer spectroscopy. It was, however, found that the Fe-substitution serves to disrupt cation ordering in the material.

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### Broader context

The Li-ion battery has revolutionised our lives. This cell chemistry, however, has a relatively high cost and suffers from sustainability issues, particularly surrounding the use of cobalt. The Na-ion battery represents a promising alternative as it avoids these problems and possesses several other advantageous characteristics (e.g. use of Al negative current collector). For high capacity layered oxide cathodes, the larger ionic radius of  $\text{Na}^+$  compared with  $\text{Li}^+$  grants a wider choice of transition metals that can be utilised and opens an avenue towards low cost, Co-free batteries. At the same time, the larger radius of  $\text{Na}^+$  enables a richer variety of stable layered configurations (O3, P2, P3 etc.), which can lead to multiple changes in crystal structure as the battery is charged and discharged. In this paper, we focus on the P2 layered oxide  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$  and unravel its complex structural changes at low Na contents (previously termed the "Z"-phase). Specifically, we identify this phase as a continuously evolving intergrowth structure between trigonal prismatic and octahedral stacking. This work can be reasonably extended to many other P2-type cathodes and will also have relevance to additional layered oxide cathode types (e.g. Li-ion Ni-rich cathodes) that exhibit high voltage structural transitions.

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## Introduction

Layered sodium transition-metal oxides,  $\text{NaMO}_2$  (where  $\text{M} = \text{Mn, Fe, Co, Ni, etc.}$ ), represent a promising class of materials for Na-ion battery cathodes due to their higher theoretical volumetric and gravimetric energy densities compared with other cathode candidates (e.g. Prussian blue analogues, polyanion, etc.).<sup>1,2</sup>  $\text{NaMO}_2$  compounds crystallise in structures that vary in the coordination of Na (octahedral: O or trigonal prismatic: P) and the stacking of the oxygen layers (e.g. 2: ABBA ordering).<sup>3</sup> To date, the full charge storage capacity of  $\text{NaMO}_2$  compounds cannot be utilized primarily because of phase transitions at low Na contents (high states of charge) which adversely affect capacity retention on cycling.<sup>4</sup>

For example,  $\text{P2-Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]_{\text{O}_2}$  undergoes a phase transition to the  $\text{O}_2$  structure, shown in Fig. 1, when charged above 4.1 V vs.  $\text{Na}^+/\text{Na}$ .<sup>5,6</sup> This is characterised by the gliding of the transition metal oxide ( $\text{TMO}_2$ ) slabs resulting in a re-coordination of the Na sites from trigonal prisms to octahedra and a severe contraction of the unit cell along the  $c$ -axis.

$\text{P2-Na}_{2/3}[\text{Fe}_{1/2}\text{Mn}_{1/2}]_{\text{O}_2}$  emerged as a promising cathode material because of its Earth-abundant elements and improved cycling stability compared with  $\text{P2-Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]_{\text{O}_2}$ .<sup>7</sup> This compound also undergoes a phase transition when charged above 4.1 V, but unlike the  $\text{O}_2$  structure, the new phase continues to evolve upon further charge and the overall volume contraction is less severe.<sup>8,9</sup>

The identification of this new high voltage phase and the mechanism by which it evolves has been a controversial subject in the literature. Originally, Yabuuchi *et al.* described the high voltage phase of  $\text{P2-Na}_{2/3}[\text{Fe}_{1/2}\text{Mn}_{1/2}]_{\text{O}_2}$  as an OP4-type structure (alternating layers of O and P-type stacking, shown in Fig. 1) based on *ex situ* synchrotron powder X-ray diffraction (PXRD) results.<sup>7</sup> After carrying out *in situ* PXRD work on the same compound, Mortemard de Boisse *et al.* concluded that many model structures could be used to fit the small number of Bragg

reflections observed and therefore referred to this high voltage phase more generally as the “Z”-phase.<sup>8</sup> Work by Talaie *et al.* later postulated that this “Z”-phase was not OP4 but instead an  $\text{O}_2$  phase where some Fe (and/or other transition metal cations) had migrated into tetrahedral sites of the Na layer.<sup>9</sup> Discussion of the high voltage phase has since expanded to a range of P2 compounds containing elements such as Mg, Co, Ni, and Cu.<sup>9–18</sup> Many descriptors have been used to identify the high voltage phase in these compounds including “Z”-phase,  $\text{O}_2$ , OP4, and P2– $\text{O}_2$  intergrowth structures. A common observation however is the sudden decrease of long-range order and a continuous contraction (002) peak to higher  $2\theta$  value in *in situ* XRD. From here on, structures producing this signature will be referred to only as “Z”-phase for simplicity.

Despite the large amount of interest, questions remain over the dynamic nature of this “Z”-phase (seemingly a solid solution) and whether transition metal migration plays a critical role.

Two new sodium intercalation cathodes targeted for high specific energy and elemental abundance,  $\text{P2-Na}_{2/3}[\text{Ni}_{1/3-y/2}\text{Mn}_{2/3-y/2}\text{Fe}_y]_{\text{O}_2}$  ( $y = 1/6, 1/3$ ), were recently reported by us.<sup>19</sup> It was found that the cycling stability of these Fe-substituted compositions was superior to Fe-free  $\text{P2-Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]_{\text{O}_2}$ . In the current paper, we focus on comparing the structural evolution of the Fe-free compound ( $y = 0$ ) with one of these Fe-substituted compositions,  $\text{Na}_{2/3}[\text{Ni}_{1/3-y/2}\text{Mn}_{2/3-y/2}\text{Fe}_y]_{\text{O}_2}$  ( $y = 1/3$ ), as they are representative examples of Na-ion cathodes transitioning to a well-defined  $\text{O}_2$ -structure and the “Z”-phase, respectively, when charged above 4.1 V vs.  $\text{Na}^+/\text{Na}$ . It is worth mentioning that we also analysed the composition  $\text{Na}_{2/3}[\text{Ni}_{1/3-y/2}\text{Mn}_{2/3-y/2}\text{Fe}_y]_{\text{O}_2}$  ( $y = 1/6$ ), and that the results from this compound are included in the ESI† for comparison and are largely the same as the  $y = 1/3$  composition. We show that the “Z”-phase is most accurately described as a continuously changing intergrowth structure which evolves between P2 and  $\text{O}_2$  with OP4 as an intermediary. This is in contrast to the Fe-free compound, which is confirmed to transform directly to the  $\text{O}_2$  structure *via* a biphasic reaction. Finally, <sup>57</sup>Fe-Mössbauer spectroscopy did not show any evidence of Fe migration to the Na-layers for  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]_{\text{O}_2}$  at high voltages or after cycling.

## Experimental section

Stoichiometric amounts of  $\text{NiO}$  (Sigma Aldrich 99.8%),  $\text{MnO}_2$  (Sigma Aldrich  $\geq 99\%$ ), and  $\text{Fe}_2\text{O}_3$  (Sigma Aldrich  $\geq 99\%$ ) were ball milled with 5% excess of  $\text{Na}_2\text{CO}_3$  (Sigma Aldrich  $\geq 99.5\%$ ) for 1 h at 400 rpm in acetone. The powders were subsequently pressed into approximately 250 mg pellets of 13 mm in diameter using a pressure of 5 tons  $\text{cm}^{-2}$  and heated in a tube furnace under flowing oxygen gas at a temperature of 950 °C for  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]_{\text{O}_2}$  and 1030 °C for  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]_{\text{O}_2}$ . The employed heating and cooling rate was 10 °C  $\text{min}^{-1}$  and the pellets were immediately transferred to an Ar-filled glovebox for storage and further characterisation.

Synchrotron XRD data was measured at the 11 ID-B beamline of the Advanced Photon Source. The wavelength ( $\lambda$ ) used was

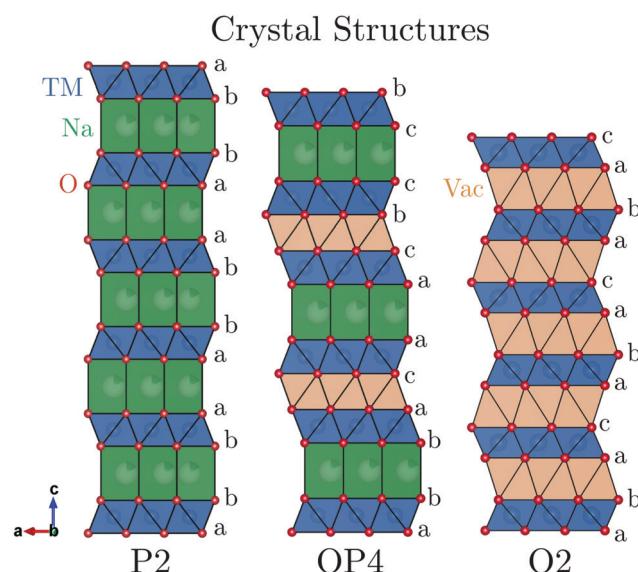


Fig. 1 The P2, OP4, and  $\text{O}_2$  crystal structures are shown projected parallel to the layers showing the stacking sequence.



0.2113 Å. Data was collected on a 2D area detector and subsequently reduced using the GSAS-II software.<sup>20</sup> Rietveld refinements were also carried out using GSAS-II. *Operando* XRD measurements were performed at room temperature on a PANalytical Empyrean diffractometer equipped with a Cu K $\alpha$  source.

Powder neutron diffraction (ND) measurements were carried out at ISIS, Rutherford Appleton Laboratories, at the POLARIS medium resolution instrument in the time of flight (TOF) mode.

Annular-dark field scanning transmission electron microscopy (ADF-STEM) images were collected on an aberration corrected JEOL ARM 200F which was operated at a voltage of 200 kV. 22 mrad and 69.6–164.8 mrad were used for the convergence semi-angle and the collection semi-angle, respectively. Each image is composed of multiple frames which were recorded and subsequently aligned correcting for drift and scan distortions using SmartAlign.<sup>21</sup>

Electrodes were prepared in a glovebox under Ar atmosphere and had a composite weight ratio of 75% active material, 15% Carbon black (Super S, Timcal), and 10% PVDF (Kynar) using NMP (Sigma Aldrich) as a casting solvent. These electrodes were then electrochemically tested in 2325-type coin cells using Whatman glass fibre separators and an electrolyte composed of 1 M NaPF<sub>6</sub> in EC/DEC (1:1 volumetric ratio). Metallic Na pressed into a flat sheet was used as the counter electrode. For *ex situ* measurements, the coin cells were disassembled, the electrodes were washed in DMC to remove any remaining electrolyte salt and solvent and then dried under vacuum. Self-standing electrodes for *operando* XRD measurements were prepared using a weight ratio of 75% active material, 10% Carbon black (Imerys) and 15% Kynar Flex (Solvay) dissolved in a suspension of acetone and ethanol. The solution was cast using a doctor blade onto a glass window. After evaporation of the solvent, the electrode sheet was peeled off and electrodes were punched out.

The *operando* XRD cell is a custom-made cell and has been described elsewhere.<sup>22</sup> The measurements were performed at a rate of 5 mA g<sup>-1</sup> between 1.5 and 4.5 V vs. Na<sup>+</sup>/Na. Analysis of the diffraction patterns was performed with the GSAS-II software and colour maps were generated using MATLAB. *Operando* XRD patterns were corrected for sample displacement and the background was subtracted before being plotted.

Simulation of intergrowth structures was carried out with FAULTS profile refinement program (based on the DIFFaX simulation program).<sup>23,24</sup> Structural parameters were taken from the *operando* XRD data. P-type layers were defined to have a Na content of 1/3 Na per formula unit and an interlayer distance equal to that of P2-Na<sub>2/3</sub>[Ni<sub>1/6</sub>Mn<sub>1/2</sub>Fe<sub>1/3</sub>]O<sub>2</sub> immediately before transitioning to the “Z”-phase ( $d_{\text{P-type}} = 5.69$  Å). O-type layers were defined to be fully de-sodiated with an interlayer spacing matching that of the O<sub>2</sub> structure, [Ni<sub>1/3</sub>Mn<sub>2/3</sub>]O<sub>2</sub> ( $d_{\text{O-type}} = 4.44$  Å). Both possible glide vectors, [1/3 2/3 z] and [2/3 1/3 z], were assumed to have equal probability to achieve O-type stacking. Crystal structure models were drawn with the freely available software, VESTA.<sup>25</sup>

<sup>57</sup>Fe-Mössbauer spectra were collected in transmission mode using a <sup>57</sup>CoRh source of constant acceleration covering a

velocity span of  $\pm 4$  mm s<sup>-1</sup>. The samples were prepared in an Ar-filled glovebox by mixing 10–20 mg of the composite electrode with a suitable amount of boron nitride as an inert filler. This powder mixture was then evenly spread over a 13 mm in diameter absorber disc. Due to the moisture sensitivity of the active material, the samples were kept under a constant flow of N<sub>2</sub> throughout the measurements. The spectra were Lorentzian line least-squares fitted, as applied by Lagarec and Rancourt, using the software Recoil.<sup>26</sup> The centre shift, CS, being the sum of the true isomer shift and the second order Doppler shift, is given relative to metallic iron ( $\alpha$ -Fe) at room temperature. The magnitude of the quadrupole splitting, QS, is given as the peak separation of the doublets, and the line width, W, is the experimental full-width half maximum (FWHM) of the spectral signals.

## Results and discussion

### Pristine characterisation

Na<sub>2/3</sub>[Ni<sub>1/3</sub>Mn<sub>2/3</sub>]O<sub>2</sub> and Na<sub>2/3</sub>[Ni<sub>1/6</sub>Mn<sub>1/2</sub>Fe<sub>1/3</sub>]O<sub>2</sub> were synthesised *via* solid state methods. Powder X-ray and neutron diffraction (PXRD and ND) patterns are presented in Fig. 2a and b. Rietveld refinements of the PXRD patterns confirmed crystallisation in a P2-type structure for both compositions (refinement details are presented in Fig. S1, S2 and Tables S2, S3, ESI<sup>†</sup>). The in-plane *a*-parameter increases with Fe content, from 2.897 to 2.925 Å, corresponding well with the slightly larger radius of high spin Fe<sup>3+</sup> (0.65 Å) compared to the average radii of Mn<sup>4+</sup> (0.53 Å) and Ni<sup>2+</sup> (0.69 Å) ions, for which the Fe is substituted. The *c*-parameter, reflecting the interlayer distances, showed only small variations with no clear trend as a function of Fe content.

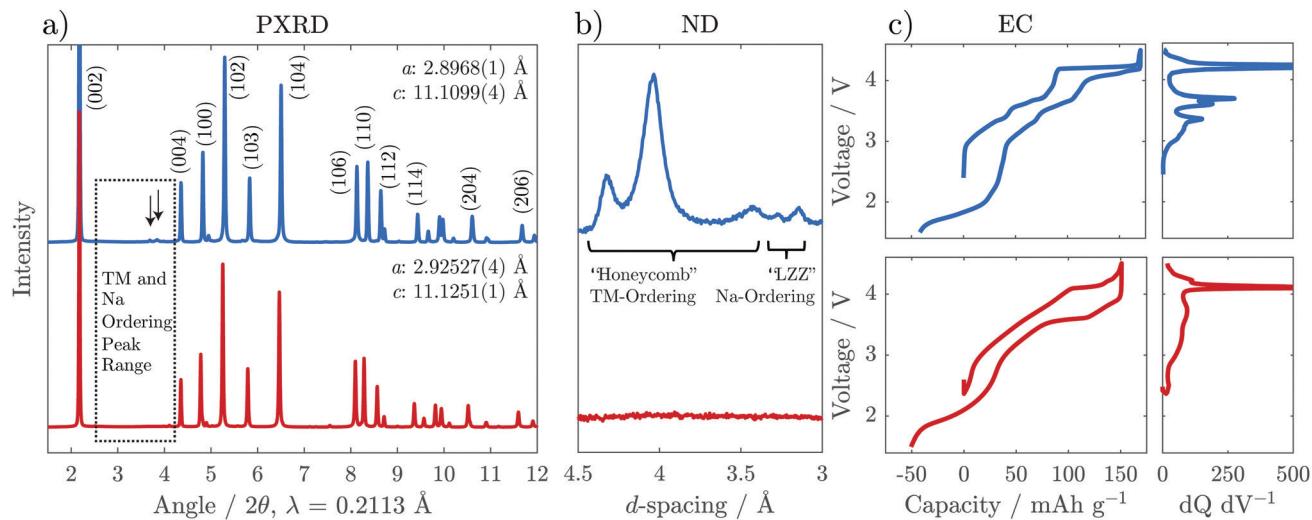
The PXRD pattern of Fe-free Na<sub>2/3</sub>[Ni<sub>1/3</sub>Mn<sub>2/3</sub>]O<sub>2</sub> in Fig. 2a shows weak reflections in the  $2\theta$  range 26–30° indicated by the arrows, which are not accounted for by the structural model. These reflections have been attributed previously to a “large zig zag” (LZZ) Na ordering.<sup>6</sup> Extra reflections stemming from honeycomb ordering of the Ni and Mn are also evident in the highlighted region (3.6–4.6 Å) of the ND pattern in Fig. 2b. The honeycomb-ordering between Ni and Mn is only discernible in ND because of the greater difference in neutron scattering lengths *vs.* X-ray scattering factors.<sup>27,28</sup> With the introduction of Fe in Na<sub>2/3</sub>[Ni<sub>1/6</sub>Mn<sub>1/2</sub>Fe<sub>1/3</sub>]O<sub>2</sub>, both the Na-ordering and the transition metal ordering reflections disappear. A similar effect was reported for Co-substitution into Na<sub>2/3</sub>[Ni<sub>1/3</sub>Mn<sub>2/3</sub>]O<sub>2</sub>.<sup>29</sup>

The interruption of the transition metal ordering with Fe substitution was also found to have a strong effect on the electrochemical behaviour. The steps in the galvanostatic voltage profile become much less pronounced for the Fe-substituted compound which is also reflected in broadened features of the dQ dV<sup>-1</sup> trace (Fig. 2c). This effect is similar to that observed through Mg-doping, as reported recently by us and by others,<sup>30,31</sup> and suggests a disruption in the Na and charge ordering.

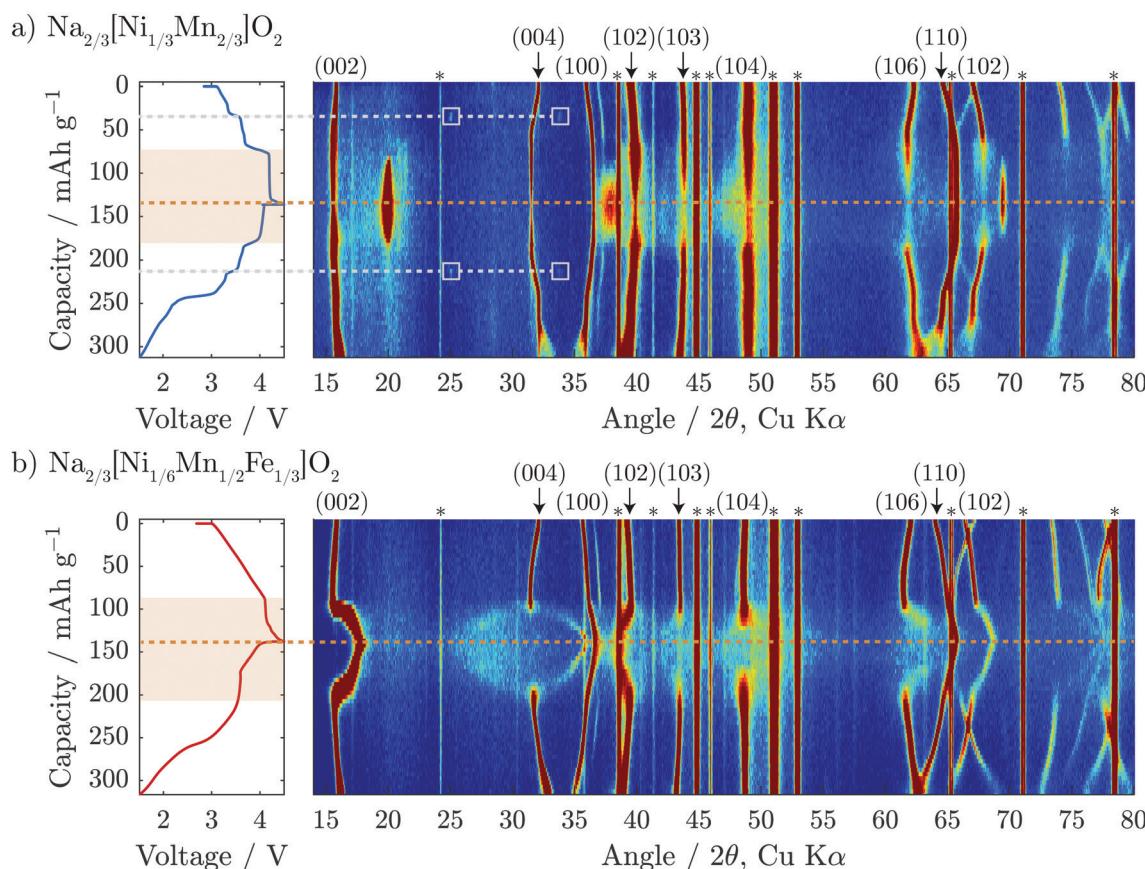
### Operando X-ray diffraction

*Operando* XRD was performed on the first cycle of both Na<sub>2/3</sub>[Ni<sub>1/3</sub>Mn<sub>2/3</sub>]O<sub>2</sub> and Na<sub>2/3</sub>[Ni<sub>1/6</sub>Mn<sub>1/2</sub>Fe<sub>1/3</sub>]O<sub>2</sub> to gain a deeper





**Fig. 2** (a) Synchrotron powder X-ray diffraction (PXRD), (b) a section of neutron diffraction (ND) highlighting peaks due to ordering, and (c) electrochemical load curves of the first cycle for  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$  (blue, top) and  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$  (red, bottom) are shown. Na-ordering is indicated by the arrows in (a) and also seen in the ND in (b). To emphasise the subtle steps in the electrochemical load curves in (c), the differential capacity with respect to voltage ( $dQ dV^{-1}$ ) is presented in the right panel for the charge curve only.



**Fig. 3** Operando XRD colour maps of the first complete electrochemical cycle are shown for (a)  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$  and (b)  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$ . The corresponding electrochemical load curves are shown on the left side of the figure with an orange dashed line denoting the top of charge and light grey dashed lines denoting the Na-ordering event at  $\text{Na}_{1/2}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$ . The regions of the electrochemistry which correspond to the high voltage structural changes are highlighted in orange. Asterisks (\*) denote reflections associated with the operando cell window and inactive electrode constituents (e.g. carbon).

understanding of the structural evolution. The results are presented in Fig. 3 and Fig. S5 (ESI<sup>†</sup>) for  $\text{Na}_{2/3}[\text{Ni}_{1/4}\text{Mn}_{7/12}\text{Fe}_{1/6}]\text{O}_2$ .

On initial charge to 4.1 V, both compositions show shifts of diffraction peaks which are consistent with an increase in



interlayer distance (*i.e.* 0.5 *c*-parameter) and decrease in the in-plane TM to TM distance (*a*-parameter). The interlayer distance increases due to reduced screening between adjacent negatively charged oxygen layers when Na is removed. The in-plane TM–TM distance decreases due to the shortening of the TM–O bond as the transition metals are oxidized.<sup>32,33</sup>

A closer look at the  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$  data presented in Fig. 3a shows two small reflections that appear in the diffraction pattern at  $25^\circ$  and  $34^\circ 2\theta$  at  $35 \text{ mA h g}^{-1}$  on the cumulative capacity scale (approx.  $\text{Na}_{1/2}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$ ). The reflections reappear at the same  $2\theta$ -position and the same state-of-charge on discharge (at  $215 \text{ mA h g}^{-1}$ ) demonstrating that this is a reversible phenomenon. Upon further inspection of the structure using higher intensity synchrotron XRD (Fig. S4, ESI†), several superstructure peaks could be detected and were indexed to a  $\sqrt{3}a \times 2a \times c$  superstructure (space group: *Pnnm*). The extra reflections are apparent due to Na-ordering and the identified superstructure matches that which was previously found for  $\text{Na}_{1/2}\text{CoO}_2$  by Huang *et al.*<sup>34</sup> In contrast, no evidence of Na-ion ordering was seen for  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$ .

When charged above 4.1 V, both compositions show a plateau in the electrochemical load curve indicating a two-phase transition, which decreases in length with Fe-substitution. In the case of the Fe-free composition,  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$ , it has been reported previously that the end member in this two-phase region is a fully desodiated structure of O2-type with octahedral vacancies in the alkali-ion layer,<sup>5</sup> as shown in Fig. 1. From our *operando* diffraction results, we confirm that the decrease of the P2 (002) reflection intensity at  $2\theta = 15.6^\circ$  is concurrent with the appearance and increase of the O2 (002) reflection intensity at  $2\theta = 20.0^\circ$  during charging. This results in a change of the interlayer distance from approximately 5.68 to 4.44 Å and a total unit cell volume contraction (from pristine to fully charged) of 23%. This biphasic transition along the voltage plateau continues until a sudden increase in potential reaches the upper cut-off at 4.5 V *vs.*  $\text{Na}^+/\text{Na}$ .

For the Fe-containing composition,  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$ , shown in Fig. 3b, the structural evolution when charged above 4.1 V differs significantly from the Fe-free composition. The initial P2 structure reaches an interlayer spacing of 5.69 Å before entering a biphasic region, identified by the disappearance of the P2 (002) reflection during charge at  $\approx 95 \text{ mA h g}^{-1}$  (approx.  $\text{Na}_{1/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$ ), and a new reflection appearing at only slightly higher  $2\theta$ , characteristic of the so-called “Z”-phase. As soon as this “Z”-phase is formed, further Na-extraction appears to proceed through a solid solution process, as indicated by a continuous shift of this new reflection towards higher  $2\theta$  angles, initially sharpening in peak shape and then broadening. This can be seen clearly in Fig. 6a. At the top of charge, the angle of the new reflection reaches  $2\theta = 17.8^\circ$  corresponding to an interlayer spacing of 4.99 Å and a total volume change of 15%.

A new feature of the high voltage “Z”-phase not, to our knowledge, observed previously, is a faint splitting of the P2 (004) reflection to both higher and lower angles of  $2\theta$ . This is in contrast to  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$  for which the evolution of the (004) reflection on charging mirrors that of the (002) reflection.

This splitting is not consistent with the “Z”-phase being a solid solution based purely on P2, O2, or OP4-type structures. Instead, we show below that the “Z”-phase can be described as an evolving intergrowth involving the P2, O2, and OP4 structures varying in ratios from P2 through OP4 to O2 on charging (Na extraction).

### Scanning transmission electron microscopy

Annular-dark field scanning transmission electron microscopy (ADF-STEM) was carried out to provide direct structural visualization of the “Z”-phase. Fig. 4 compares pristine  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$  with an *ex situ* sample (charged to 4.5 V) along the [100] projection in order to identify the stacking of the  $\text{TMO}_2$  slabs. ADF mode images are dominated by the contribution of heavier elements, thus the atomic columns seen in the image are a result of the transition metals in the structure.

In the pristine material (Fig. 4a), the TM columns in adjacent layers are aligned along the vertical direction (indicated by the green line). This is consistent with trigonal prismatic Na sites in the interslab space (*i.e.* P-type layers). In contrast, the image of the sample charged to 4.5 V (Fig. 4b) shows some adjacent layers which are aligned (green line) and others which are offset from one another (orange line). This observation is consistent with the simultaneous presence of P-type and O-type layers in the “Z”-phase and once again discounts the hypothesis that the “Z”-phase is purely of O2-type.

Interestingly, the interlayer distances between the observed P-type and O-type layers in the charged sample differ from one another. The P-type layers have a relatively large interlayer spacing of approximately 5.7 Å, approximately equal to the interlayer

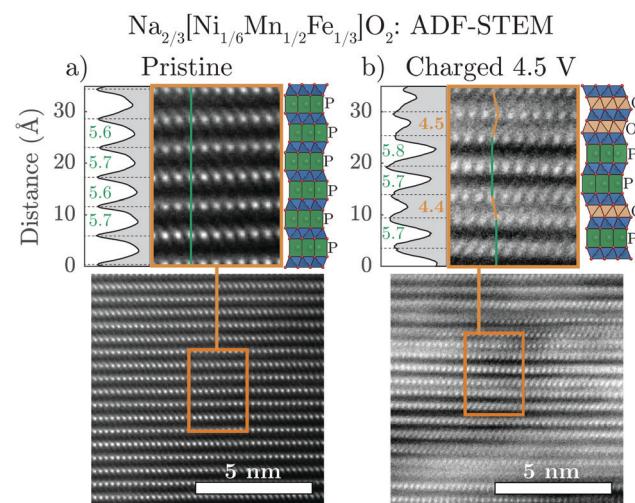


Fig. 4 Scanning transmission electron microscopy (STEM) images of (a) pristine and (b) charged to 4.5 V  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$  taken along the [100] projection are shown in annular-dark field (ADF) mode. The highlighted region of each image clearly shows the stacking of the layers which are identified as either P-type (no TM offset: green line) or O-type (TM offset: orange line). The intensity variation is plotted to the left of each highlighted image in order to measure the interlayer distances between adjacent  $\text{TMO}_2$  slabs. Structural illustrations are also shown to the right of each of the highlighted images.



spacing of the P2 structure before transformation to the “Z”-phase. On the other hand, the O-type layers have a much smaller interlayer spacing of approximately 4.4 Å matching that of the O<sub>2</sub> structure of fully charged  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$ . As the Na content in charged O<sub>2</sub> phase of  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$  is close to zero, the STEM results of the charged  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$  “Z”-phase strongly suggest that the Na-ions remaining in the structure should be predominately located in the P-type layers. These new insights are important for creating more accurate models of the “Z”-phase.

### Ex situ synchrotron XRD

*Ex situ* synchrotron XRD was carried out on  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$  and  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$  after the materials were charged to 4.5 V, shown in Fig. 5a and b, respectively. For  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$ , the majority phase is seen to be the O<sub>2</sub> structure although some reflections associated with the P2 phase remain in the diffraction pattern at the end of charge. The O<sub>2</sub> reflections, in particular, show dramatic *hkl*-dependent broadening as a result of the equal

probability that the  $\text{TMO}_2$  slabs glide along two distinct vectors,  $[1/3 \ 2/3 \ z]$  and  $[2/3 \ 1/3 \ z]$ , as the structure transforms Na layers containing trigonal prismatic sites (P-type Na layers) to octahedral sites (O-type Na layers).<sup>5,17</sup> For comparison to the experimental data, the P2 and O<sub>2</sub> diffraction patterns were simulated with the program FAULTS (an extension of DIFFAX), which can adequately model the interlayer disorder caused by such a transition. It is clear that the experimental data is well represented by the two simulated patterns.

The diffraction pattern of charged  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$  (Fig. 5b) also shows severe *hkl*-dependent broadening. The average interlayer distance of this phase, indicated by the position of the first intense reflection, is significantly larger than that of the fully de-sodiated O<sub>2</sub> phase ( $\approx 5.1$  Å). As the STEM results indicated the simultaneous presence of P and O-type layers, a diffraction pattern for the OP4 structure (as seen in Fig. 1) was simulated. Our methodology is described in detail in the Experimental Section, but it is important to point out that the assumption was made that the O-type layers are completely vacant of  $\text{Na}^+$  and for this reason have a smaller interlayer spacing equal to that of the O<sub>2</sub> structure observed for O<sub>2</sub>- $[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$ , 4.44 Å. As the octahedral sites in the O<sub>2</sub>-type layers share a face with high-valence transition metals, it is indeed very likely that all Na is removed from these layers. The P-type layers are modeled with partial Na occupancy of 1/3 Na per formula unit and an interlayer distance equal to that of P2- $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$  immediately before the “Z”-phase transition, 5.69 Å. The OP4 simulated pattern matches the broadened peaks of the “Z”-phase rather well with the exception of the sharp peak at  $2\theta = 3.6^\circ$ . Fig. S7 (ESI<sup>†</sup>), however, shows that the sharpness of this peak reduces dramatically with minimal deviation from the ideal P-O-P-O alternation.

A diffraction pattern for an O<sub>2</sub> structure with an interlayer distance of 5.07 Å, equivalent to the average interlayer spacing of the simulated OP4 structure, was also simulated (green). In contrast to the OP4 simulated pattern, the broad peaks of the O<sub>2</sub> pattern clearly do not match that of the “Z”-phase. Together, the *ex situ* STEM and synchrotron XRD results provide strong evidence that the “Z”-phase (after charge to 4.5 V) is an intergrowth containing both P and O-type layers.

### Modelling the “Z”-phase as an evolving intergrowth

Attention can now be turned to the interesting evolution of the “Z”-phase which would appear to move through a solid solution-like regime from the *operando* XRD. To study this evolution, diffraction patterns were simulated for two intergrowth regimes; from 0–100% P2-OP4 and from 0–100% O<sub>2</sub>-OP4 and plotted in Fig. 6b and d. Among the simulated patterns in Fig. 6b, the sharpest reflections are associated with the ordered P2, OP4, and O<sub>2</sub> structures, as would be expected as these have long range order. The more disordered intergrowth structures between the P2, OP4, and O<sub>2</sub> are relatively broader. Fig. 6d shows these same simulated patterns over a wider  $2\theta$  range in colour map format. In this plot, it can be seen that the P2 (004) peak splits into the OP4 (006) and (008) peaks moving down along the  $y$ -axis. Moreover, the P2 (102) reflection decreases in  $2\theta$  as it morphs into the OP4 (103) peak.

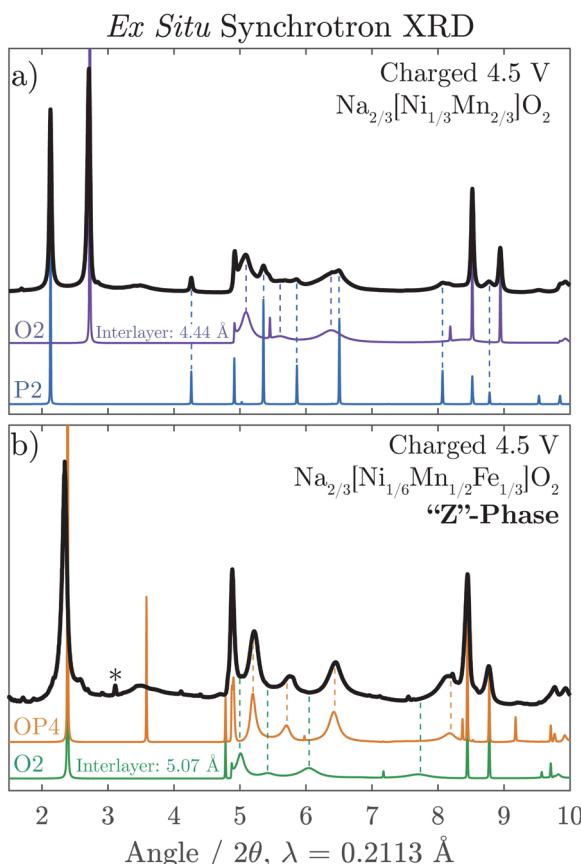


Fig. 5 *Ex situ* synchrotron XRD is shown for (a) charged  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$  and (b) charged  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$  shown in black. In (a), a diffraction pattern for the O<sub>2</sub> structure with an interlayer distance of  $d_{\text{O-type}} = 4.44$  Å is simulated using FAULTS alongside a simulated diffraction pattern for the P2 structure. For comparison in (b), a diffraction pattern for the OP4 structure is simulated using FAULTS with differing interlayer spacing ( $d_{\text{P-type}} = 5.69$  Å and  $d_{\text{O-type}} = 4.44$  Å) alongside a simulated diffraction pattern for an O<sub>2</sub> structure with uniform interlayer spacing ( $d_{\text{O-type}} = 5.07$  Å).



The simulated patterns for the intergrowth structure varying ratios from P2 through OP4 to O2 match well with the evolution of the *operando* XRD of  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]O_2$  shown in Fig. 6a. The first peak of the experimental data sharpens at almost exactly the same  $2\theta$  value ( $\approx 17.5^\circ$ ) as that of the simulated OP4 phase. Furthermore, at higher and lower voltages, the first peak of experimental patterns is much broader, closely resembling the intergrowth model patterns (Fig. 6a and b). The simulated patterns also explain the splitting of the P2 (004) peak, as it is shown to split into the OP4 (006) and (008) peaks in Fig. 6d. The (002) peak movement to higher  $2\theta$  and the (004) peak splitting are both consequences of continuously increasing quantity of O-type layers in the intergrowth structure.

From the above, we see that the "Z"-phase in  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]O_2$  is not a solid solution upon Na extraction but

instead an evolving intergrowth structure. The evolution of the "Z"-phase on charging progresses through a staging process where  $\text{Na}^+$  is removed from P2-type layers to form vacant O-type Na layers randomly distributed within the P2 structure. The proportion of such O-type stacking faults increases on charging until it reaches 50% at which point an ordered OP4 phase forms (alternating P and O-stacking). On further charging, more P-type layers glide to form O-type layers resulting in random O-type stacking faults within an otherwise OP4 structure. A choice of two equally probable glide vectors which the  $\text{TMO}_2$  slabs can traverse to transform from P-type to O-type stacking, causes most of the long-range interlayer order of the structure to be lost. Meanwhile, the average interlayer distance (*i.e.* 0.5  $c$ -parameter) has a steep and continual decrease as  $\text{Na}^+$  is removed due to the smaller spacing of vacant O-type layers compared with partially sodiated P-type layers. This gives the misleading appearance of a solid solution region on charging.

### <sup>57</sup>Fe-Mössbauer spectroscopy

In order to achieve a more complete picture regarding the role of Fe in the structural evolution, <sup>57</sup>Fe-Mössbauer Spectroscopy (Fe-MS) was employed. Fe-MS measurements were conducted on the  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]O_2$  composition at several states of charge. *Ex situ* samples charged to 4.0 V, 4.2 V, and 4.5 V, respectively, were compared to the pristine material as well as fully discharged samples (1.5 V) after 1 and 5 full charge-discharge cycles. The spectrum of the pristine sample (Fig. 7a) shows a single sharp doublet with hyperfine parameters (Table 1) consistent with high-spin  $\text{Fe}^{3+}$  ( $S = 5/2$ ) in an octahedral environment.

As the material is charged to 4.0 V, however, an asymmetry weighted toward the left-hand side of the main doublet becomes apparent (Fig. 7b) and is attributed to oxidation of Fe within the transition metal layer to a tetravalent state. This agrees with changes in the XANES Fe K-edge that we reported previously.<sup>19</sup> As the material is charged further across the high-voltage plateau, the  $\text{Fe}^{4+}$  signal increases in intensity, as seen in the spectra of the samples charged to 4.2 V (Fig. 7d) and 4.5 V (Fig. 7f), respectively, and reaches a spectral contribution of 20% at the end of charge. Additionally, it should be noted that during charging, both the  $\text{Fe}^{3+}$  and  $\text{Fe}^{4+}$  signals show clear trends of continuously increasing peak widths (FWHM) and decreasing centre shifts (CS), as presented in Table 1. The peak broadening is attributed to a decrease in crystallinity (increased distribution of Fe environments) as a result of the phase transition from the P2 to the "Z"-phase, which is supported by the general peak broadening observed in the *operando* XRD results, shown above. The change in the CS parameter to more negative values on charging is consistent with an increase in electron density at the Fe nuclei as the Fe–O bonds contract. This agrees with the contraction in the in-plane TM–TM distance ( $a$ -parameter) seen in the *operando* XRD. Moreover, the quadrupole splitting (QS) for the  $\text{Fe}^{3+}$  signal is generally larger for the charged samples as compared to the pristine/discharged, indicating an increased distortion of the octahedral environment within the transition metal layers. It should be mentioned that we also attempted to fit an extra signal to assess for the presence of tetrahedrally

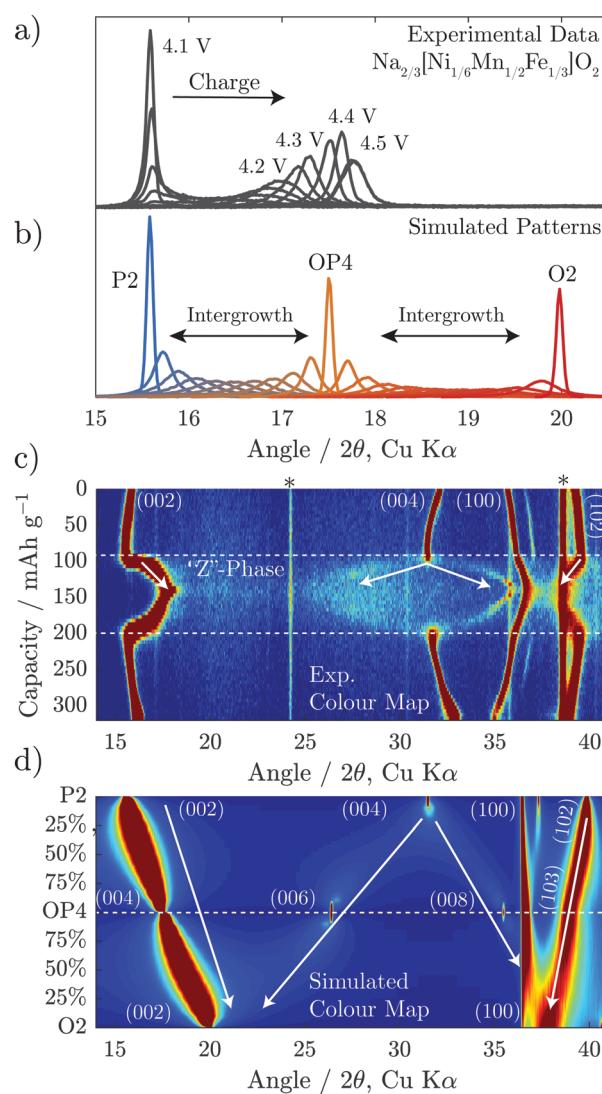


Fig. 6 Diffraction patterns are simulated using FAULTS for intergrowth structures composed of P2 and OP4, and OP4 and O2. These are plotted overlaid in (b) and over a wider  $2\theta$  range in (d) as a colour map. Experimental data for  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{Mn}_{1/2}\text{Fe}_{1/3}]O_2$  (also presented in Fig. 3b) is replotted in (a and c) for comparison to the simulations.

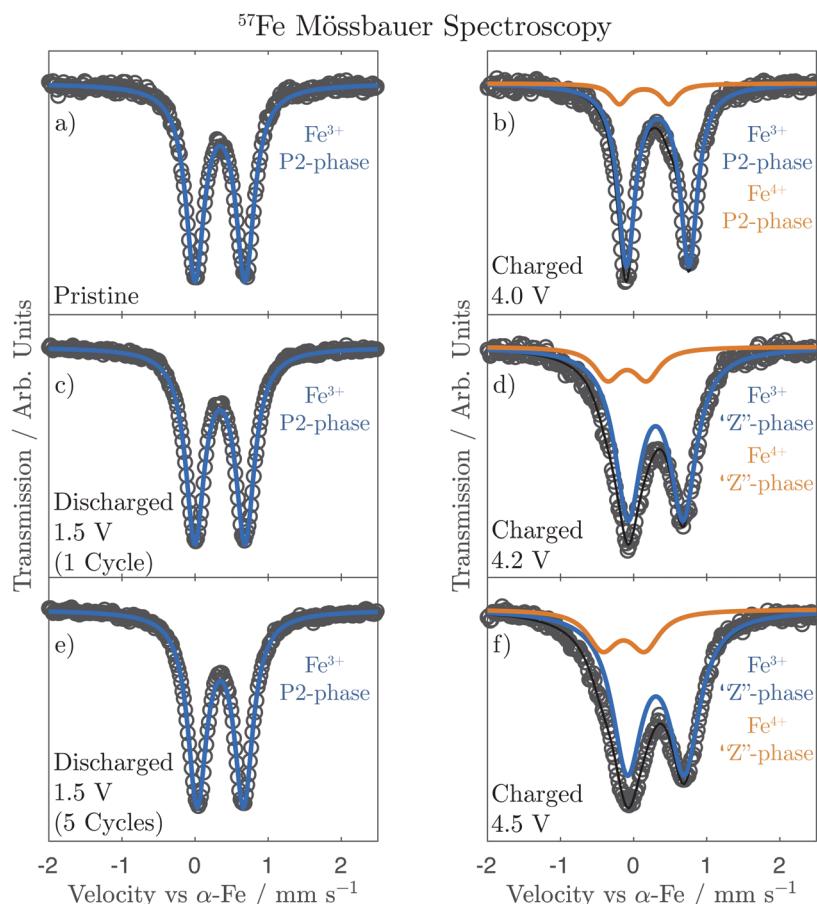


Fig. 7  $^{57}\text{Fe}$ -Mössbauer spectroscopy spectra at various states of charge with blue lines representing a  $\text{Fe}^{3+}$  doublet fit, orange lines representing a  $\text{Fe}^{4+}$  doublet fit, and the black line representing the total fit.

coordinated Fe that may have formed due to migration of Fe to the interlayer space upon charge, as has been suggested in several recent publications.<sup>9,35,36</sup> Such a model did not, however, improve the fit in our case and did not result in logical trends of the hyperfine parameters.

The spectra (Fig. 7c and e) and the corresponding hyperfine parameters (Table 1) for the samples discharged to 1.5 V after 1 and 5 cycles are nearly identical to that of the pristine material, showing a single sharp doublet corresponding to octahedrally

coordinated  $\text{Fe}^{3+}$ . This further highlights the facile reversibility between the P2 and “Z”-phases.

## Discussion

It has been demonstrated that the “Z”-phase of  $\text{Na}_{2/3}[\text{Ni}_{1/6}\text{-Mn}_{1/2}\text{Fe}_{1/3}]\text{O}_2$ , which forms on charging above 4.1 V, is in fact an intergrowth between the P2 and O2 structures with OP4 as an intermediate. Removal of  $\text{Na}^+$  from the P2 structure occurs by staging, *i.e.* introducing stacking faults of highly depleted  $\text{Na}^+$  layers with O-type stacking while neighbouring layers still contain  $\text{Na}^+$  and P-type stacking. The ratio of random O-type stacking faults within the P2 structure increases on charging passing through an ordered OP4 structure at 50% O-type stacking faults and continuing towards a pure O2 structure, as shown in Fig. 8. This constantly evolving intergrowth on charge gives the superficial appearance of a solid solution upon first inspection of the *operando* XRD. It was previously hypothesized that the continuous decrease of the average interlayer spacing was a result of progressive Fe migration;<sup>9</sup> however, we found no evidence of any tetrahedral Fe species through  $^{57}\text{Fe}$ -Mössbauer spectroscopy at the top of charge or after cycling. Moreover, other layered oxide cathodes undergo a similar staging

Table 1  $^{57}\text{Fe}$ -Mössbauer spectroscopy parameters

	CS ( $\text{mm s}^{-1}$ )	QS ( $\text{mm s}^{-1}$ )	FWHM ( $\text{mm s}^{-1}$ )	Relative intensity
Pristine	0.34(1)	0.68(1)	0.25(1)	100% ( $\text{Fe}^{3+}$ )
Charged 4.0 V	0.33(1)	0.85(1)	0.24(1)	90% ( $\text{Fe}^{3+}$ )
	0.14(1)	0.68(1)	0.24*	10% ( $\text{Fe}^{4+}$ )
Charged 4.2 V	0.30(1)	0.75(1)	0.31(1)	84% ( $\text{Fe}^{3+}$ )
	-0.09(1)	0.54(1)	0.31*	16% ( $\text{Fe}^{4+}$ )
Charged 4.5 V	0.30(1)	0.78(1)	0.35(1)	80% ( $\text{Fe}^{3+}$ )
	-0.14(1)	0.58(1)	0.35*	20% ( $\text{Fe}^{4+}$ )
Discharged 1.5 V (1 cycle)	0.34(1)	0.69(1)	0.25(1)	100% ( $\text{Fe}^{3+}$ )
Discharged 1.5 V (5 cycles)	0.35(1)	0.64(1)	0.25(1)	100% ( $\text{Fe}^{3+}$ )

\* = fixed value.

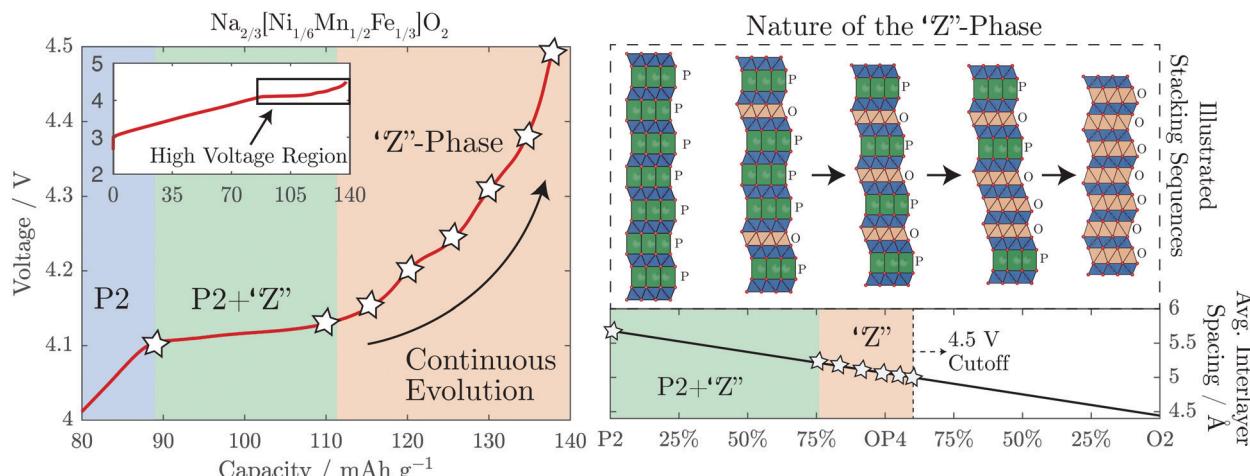


Fig. 8 A summary of the proposed phase transformation mechanism for the "Z"-phase is shown. Above 4.1 V, Na is extracted via the introduction of O-type stacking faults into the P2 structure decreasing the average interlayer spacing of the structure. The inset on the top left shows the whole charging curve, highlighting the high voltage region to which the main plot relates.

mechanism when they are severely depleted of alkali ions. For example, when  $\text{LiCoO}_2$  is charged beyond 4.5 V *vs.*  $\text{Li}^+/\text{Li}$  it too contracts along the *c*-axis as it transforms from O3 to the H1-3 phase, which contains lithiated O3-type layers alternating with vacant O1-type layers.<sup>37,38</sup> When more  $\text{Li}^+$  is removed from this compound, the average interlayer spacing further decreases until the pure O1 phase is formed.<sup>39</sup>

It is still not clear why some P2- $\text{NaMO}_2$  compounds transform directly to the O2-phase on charge while others evolve *via* the intergrowth mechanism described here. In the case of  $\text{Na}_{2/3}[\text{Ni}_{1/3}\text{Mn}_{2/3}]\text{O}_2$ , perhaps the transition metal and/or Na-ordering play a role in changing the energetics. "Z"-phase formation is beneficial compared to O2, as the overall volume changes are smaller in magnitude and less abrupt, leading to better capacity retention.<sup>19</sup>

## Conclusion

The nature of the "Z"-phase has been elusive for some time due to minimal long-range interlayer order and its complicated evolution on charge. We have been able to show here that the "Z"-phase is an evolving intergrowth of P2 and O2 with OP4 as an intermediate which varies its Na content by a staging phenomenon; Na removal is compensated by the introduction of vacant O-type stacking faults into the P2 structure. Much of the long-range order is lost because these O-type stacking faults can occur by the layers gliding in two directions with equal probability.

Many P2 compounds have been shown to transform into the "Z"-phase, especially those containing Fe, when charged to high voltages. The exploitation of low-cost Fe, which is active in  $\text{NaMO}_2$  cathodes, is a major advantage of the Na-ion chemistry over analogous Li-ion compounds. Therefore, correctly discerning the structural identity of the "Z"-phase is a key step forward in the development of Earth-abundant, high capacity P2- $\text{NaMO}_2$  materials as cathodes for Na-ion batteries.

## Author contributions

JWS synthesized the compounds in the study. Experimental data was collected by JWS (synchrotron XRD, electrochemistry, ICP-OES), AS (Fe-MS), TE (Fe-MS), LH (Fe-MS), JB (*operando* XRD), JGL (STEM) and LCG (*ex situ* synchrotron XRD). JWS analysed the data with vital contributions from AS, NTR, RAH, MRR, UM, and PGB. JWS, AS, and PGB wrote the paper with contributions from all authors.

## Conflicts of interest

There are no conflicts to declare.

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