

Cite this: *Chem. Sci.*, 2018, 9, 2952

# Positional effects of second-sphere amide pendants on electrochemical CO<sub>2</sub> reduction catalyzed by iron porphyrins†

Eva M. Nichols,<sup>‡ab</sup> Jeffrey S. Derrick,<sup>‡ab</sup> Sepand K. Nistanaki,<sup>a</sup> Peter T. Smith<sup>ab</sup> and Christopher J. Chang<sup>id</sup> \*<sup>abcd</sup>

The development of catalysts for electrochemical reduction of carbon dioxide offers an attractive approach to transforming this greenhouse gas into value-added carbon products with sustainable energy input. Inspired by natural bioinorganic systems that feature precisely positioned hydrogen-bond donors in the secondary coordination sphere to direct chemical transformations occurring at redox-active metal centers, we now report the design, synthesis, and characterization of a series of iron tetraphenylporphyrin (Fe-TPP) derivatives bearing amide pendants at various positions at the periphery of the metal core. Proper positioning of the amide pendants greatly affects the electrocatalytic activity for carbon dioxide reduction to carbon monoxide. In particular, derivatives bearing proximal and distal amide pendants on the *ortho* position of the phenyl ring exhibit significantly larger turnover frequencies (TOF) compared to the analogous *para*-functionalized amide isomers or unfunctionalized Fe-TPP. Analysis of TOF as a function of catalyst standard reduction potential enables first-sphere electronic effects to be disentangled from second-sphere through-space interactions, suggesting that the *ortho*-functionalized porphyrins can utilize the latter second-sphere property to promote CO<sub>2</sub> reduction. Indeed, the distally-functionalized *ortho*-amide isomer shows a significantly larger through-space interaction than its proximal *ortho*-amide analogue. These data establish that proper positioning of secondary coordination sphere groups is an effective design element for breaking electronic scaling relationships that are often observed in electrochemical CO<sub>2</sub> reduction.

Received 30th October 2017  
Accepted 14th February 2018

DOI: 10.1039/c7sc04682k

rsc.li/chemical-science

## Introduction

Environmental challenges associated with rising atmospheric CO<sub>2</sub> concentrations and the promise of CO<sub>2</sub> as a cheap and abundant C1 feedstock motivate the research into technologies to convert CO<sub>2</sub> into value-added chemical products.<sup>1–3</sup> Electrochemical reduction of CO<sub>2</sub> offers an attractive approach to meet this goal using sustainable energy input, but the kinetic and thermodynamic barriers to CO<sub>2</sub> activation provide significant chemical challenges, necessitating the development of catalysts that can promote CO<sub>2</sub> reduction selectively over the competing

background reduction of protons to hydrogen that can occur at comparable potentials. Indeed, despite broad interest in electrochemical CO<sub>2</sub> reduction, molecular catalysis efforts have focused largely on a limited number of primary coordination sphere motifs, including metal complexes with porphyrins<sup>4–8</sup> and aza-macrocycles,<sup>9–15</sup> bipyridine,<sup>16–23</sup> phosphine,<sup>24–26</sup> and carbene<sup>27–29</sup> ligands. In contrast, biological systems for CO<sub>2</sub> redox catalysis, such as the carbon monoxide dehydrogenase (CODH) enzymes that catalyze the reversible interconversion of CO<sub>2</sub> and CO, modulate activity using precisely positioned hydrogen-bond and proton-relay groups in the second coordination sphere.<sup>30</sup> For example, second-sphere histidine and lysine residues in Ni-Fe CODH are positioned to stabilize a CO<sub>2</sub>-metal adduct and assist in C–O bond cleavage (Scheme 1).<sup>31–33</sup>

The aforementioned bioinorganic systems provide inspiration for the design of metal complexes bearing second-sphere groups to aid with activation or transformation of small molecule substrates. Notable advances in utilizing intramolecular hydrogen-bond donors to promote oxygen binding/activation include picket fence-, picnic basket-, and hangman porphyrins by Collman, Reed, C. K. Chang, and Nocera,<sup>34–39</sup> dicopper complexes by Masuda,<sup>40,41</sup> and tripodal systems by Borovik.<sup>42–45</sup> Second-sphere donors have also been shown to play important

<sup>a</sup>Department of Chemistry, University of California, Berkeley, CA 94720, USA. E-mail: chrischang@berkeley.edu

<sup>b</sup>Chemical Sciences Division, Lawrence Berkeley National Laboratory, Berkeley, CA 94720, USA

<sup>c</sup>Department of Molecular and Cell Biology, University of California, Berkeley, CA 94720, USA

<sup>d</sup>Howard Hughes Medical Institute, University of California, Berkeley, CA 94720, USA

† Electronic supplementary information (ESI) available: Procedures for synthetic, spectroscopic, and electrochemical experiments. CCDC 1582750. For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/c7sc04682k

‡ These authors contributed equally to this work.





Scheme 1 The active site of Ni–Fe CO dehydrogenase, showing activated CO<sub>2</sub> in red and the nearby network of hydrogen bond donor amino acid residues in blue (left), inspires the design of *ortho*-amide-functionalized Fe porphyrins examined in this work (right).

roles in structural mimics of alcohol dehydrogenase,<sup>46,47</sup> as well as in complexes for stoichiometric reduction of nitrite,<sup>48,49</sup> nitrate,<sup>50</sup> and nitrogen.<sup>51</sup> Furthermore, second-sphere pendants have been incorporated into electrocatalyst scaffolds to facilitate protonation steps relevant to H<sub>2</sub> evolution<sup>52–54</sup> and O<sub>2</sub> reduction,<sup>55,56</sup> and to stabilize adducts or promote C–O bond cleavage in CO<sub>2</sub> reduction.<sup>11,12,57–59</sup> With specific regard to CO<sub>2</sub> reduction, elegant work on iron tetraphenylporphyrins by Savéant, Costentin, and Robert has explored the use of phenol-based pendants for enhancing electrochemical CO<sub>2</sub> reduction.<sup>57,60</sup>

Against this backdrop, we targeted the study of functionalized porphyrin complexes that could yield insight into questions of optimal placement of second-sphere pendants on a conserved first-sphere metal core. In this report, we describe the synthesis and electrochemical study of positional isomers of iron porphyrin CO<sub>2</sub> reduction catalysts bearing pendant amides in the secondary coordination sphere. Intermolecular addition of a parent iron tetraphenylporphyrin catalyst, **Fe-TPP**, with an aryl amide additive results in a dose-dependent increase in CO<sub>2</sub> reduction activity. Building upon this result, we designed and evaluated a series of positional isomers bearing intramolecular amide pendants in the *ortho* or *para* positions of the *meso* aryl ring, both proximal and distal to the porphyrin plane. Comparison of the catalytic activities of these isomers establishes that *ortho* orientation of the second-sphere groups is critical for an enhancement in catalysis. Correlation between the turnover frequency (TOF) and standard potential of the formal Fe<sup>I/0</sup> couple indicates that through-space interactions play a role in enhancing the rate of CO<sub>2</sub> reduction for both *ortho* amide-functionalized catalysts, with the distal donor exhibiting a more significant through-space component. In contrast, such effects are largely absent in the *para*-functionalized congeners. These data provide a starting point for more sophisticated design of second-sphere functionalities as bioinspired design elements for redox catalysis of CO<sub>2</sub> and other small-molecule substrates.

## Results and discussion

### Bis(aryl)amide additive promotes CO<sub>2</sub> reduction with Fe porphyrin

As a starting point to explore the use of amides as functional secondary coordination sphere pendants, we examined the

effects of an electron-deficient aryl amide additive on CO<sub>2</sub> reduction catalyzed by **Fe-TPP**. Titration of 3,5-bis(trifluoromethyl)phenyl]amide to **Fe-TPP** in dimethylformamide (DMF) reveals a dose-dependent increase in catalytic activity (Fig. 1), with the additive acting as a proton source as well as a potential hydrogen bond donor/acceptor. Foot-of-the-wave analysis (FOWA), as described by Savéant, Costentin, Robert and co-workers,<sup>61</sup> can be used to extract kinetic information from cyclic voltammetric data, especially in cases where a plateau current is not observed due to substrate consumption or catalyst inhibition. Using this approach, the rate of CO<sub>2</sub> reduction was examined as a function of amide concentration under pseudo-first order conditions of excess CO<sub>2</sub>, and the rate was found to exhibit a first-order dependence on the amide additive (Fig. S1†). Cyclic voltammograms under a nitrogen atmosphere show a negligible change between 50 equivalents of amide additive and **Fe-TPP** alone, indicating that background processes such as proton reduction are likely to be minimal with this additive (Fig. S2†). With this pilot result in hand, we sought to explore the effects of introducing intramolecular amide substituents onto the porphyrin scaffold in a spatially well-defined fashion.



Fig. 1 Titration of 3,5-bis(trifluoromethyl)phenyl]amide to **Fe-TPP** under CO<sub>2</sub> showing current increases with increasing concentrations of amide. Conditions: 0.1 M TBAPF<sub>6</sub> in DMF, 1 mM **Fe-TPP**.

## Synthesis of covalently-modified porphyrins bearing intramolecular amide groups

As only amide groups located at the *ortho* position of the porphyrin *meso* aryl rings are expected to engage in intramolecular proton donor- or hydrogen bonding interactions with CO<sub>2</sub>-derived intermediates bound at the iron center, we envisioned two positional isomers: **ortho-1-amide**, bearing the NH proximal to the porphyrin ring, and **ortho-2-amide**, bearing the NH distal to the porphyrin ring. Most porphyrin-based catalysts bearing second-sphere groups, especially those evaluated for CO<sub>2</sub> reduction, feature these functionalities in the proximal location for reasons of synthetic practicality. We were interested

in interrogating the effect of distal group positioning given a previous report showing that proximal second-sphere groups are located too far away from the metal center and are less effective at promoting oxygen binding at iron.<sup>36</sup> The corresponding **para-1-amide** and **para-2-amide** porphyrins were targeted to serve as control compounds, where the amide NH group at the *para* position of the *meso* aryl rings is not expected to interact productively with CO<sub>2</sub> or CO<sub>2</sub>-derived intermediates. The synthesis of all four functionalized porphyrin ligands is shown in Scheme 2.

Condensation of 2-nitrobenzaldehyde and benzaldehyde with pyrrole results in the mono-(2-nitrophenyl)porphyrin starting material **1**, which can be subsequently reduced to the



Scheme 2 Synthesis of *ortho*- and *para*-functionalized tetraphenylporphyrin ligands **ortho-1-amide**, **ortho-2-amide**, **para-1-amide**, and **para-2-amide**.



mono-(2-aminophenyl)porphyrin synthon **2**. Reaction with 3,5-bis(trifluoromethyl)phenylacetyl chloride affords the proximal target **ortho-1-amide**. The distal *ortho* congener was prepared by condensation of 2-formylphenylacetic acid ethyl ester **4** (obtained in two steps from 2-indanone) and benzaldehyde with 5-phenyldipyrromethane to afford porphyrin **5**. Subsequent hydrolysis, followed by *in situ* generation of the acyl chloride and reaction with 3,5-bis(trifluoromethyl)aniline affords **ortho-2-amide**. Porphyrin **para-1-amide** is obtained from mono-(4-aminophenyl)porphyrin **8**, which is in turn prepared by regio-selective *para*-nitration of tetraphenylporphyrin and subsequent reduction. A precursor to **para-2-amide** was accessed by condensation of commercially available 4-formylphenylacetic acid methyl ester and benzaldehyde with 5-phenyldipyrromethane to afford porphyrin **9**. Hydrolysis, followed by *in situ* generation of the acyl chloride and reaction with 3,5-bis(trifluoromethyl)aniline, gave the desired ligand.

The iron complexes of the aforementioned porphyrin ligands were obtained by metalation with FeBr<sub>2</sub> in anhydrous tetrahydrofuran in the presence of 2,6-lutidine as a base. All porphyrin ligands were characterized by <sup>1</sup>H-, <sup>13</sup>C-, and <sup>19</sup>F-NMR spectroscopy, and freebase and metalloporphyrins were additionally characterized by UV-visible spectroscopy and ESI mass spectrometry (see ESI† for details).

### Structural characterization of Zn-*ortho*-1-amide

We sought structural characterization of the amide-appended porphyrins to confirm the expected connectivity. Owing to a lack of success in obtaining suitable quality single crystals for structural characterization of the iron complexes, the corresponding zinc complexes were targeted. Porphyrin **Zn-ortho-1-amide** was prepared by metalation of the ligand with Zn(OAc)<sub>2</sub>. Crystals suitable for X-ray diffraction were grown by vapor diffusion of water into a concentrated DMF solution. The solid-state structure is shown in Fig. 2, where a DMF molecule occupies the fifth axial coordination site. As is typical for

pentacoordinate Zn porphyrins, the Zn atom is displaced from the porphyrin plane. As desired, the amide is oriented such that it may engage CO<sub>2</sub> directly or act as a proton relay or hydrogen bond donor with CO<sub>2</sub>-bound intermediates during catalysis. We have been unable to obtain X-ray quality crystals of **Zn-ortho-2-amide**, perhaps due to enhanced flexibility of the amide group caused by the presence of the methylene spacer.

### Cyclic voltammetry studies in dimethylformamide

With these positional isomers in hand (Scheme 3), we next evaluated their electrochemical characteristics under an inert atmosphere and under CO<sub>2</sub>. Cyclic voltammograms (CVs) of the functionalized iron porphyrins and parent **Fe-TPP** measured in DMF under argon atmosphere (0.1 M TBAPF<sub>6</sub>, 1 mM catalyst) show three distinct redox events corresponding to formal Fe<sup>III/II</sup>, Fe<sup>II/I</sup>, and Fe<sup>I/0</sup> couples (Fig. S3†). Reversible Fe<sup>I/0</sup> couples are observed at a scan rate of 100 mV s<sup>-1</sup> under these conditions. Scan rate-dependence studies show a linear correlation between peak current and (scan rate)<sup>1/2</sup>, indicating that all the complexes are freely diffusing under non-catalytic conditions (Fig. S4†). The Fe<sup>I/0</sup> standard potentials,  $E_{\text{cat}}^0$ , are given in Table 1 for each porphyrin complex. The  $E_{\text{cat}}^0$  potentials trend with the electron-withdrawing nature of the substituent, with *ortho* substituents exerting a larger electronic effect than *para* substituents: the proximal *ortho* amide is inductively electron-withdrawing, followed by the proximal *para* amide and unsubstituted TPP. The distal amides are rendered electron-donating by the methylene substituent, with the *ortho* positional isomer being more electron-rich than the *para*-substituted analogue.

Under 1 atmosphere of CO<sub>2</sub> and in the presence of phenol as an acid source, catalytic responses indicative of CO<sub>2</sub> reduction are observed by cyclic voltammetry for all catalysts examined. In the presence of 100 mM phenol, **Fe-ortho-1-amide** exhibits a slightly more positive catalytic onset than **Fe-ortho-2-amide**,

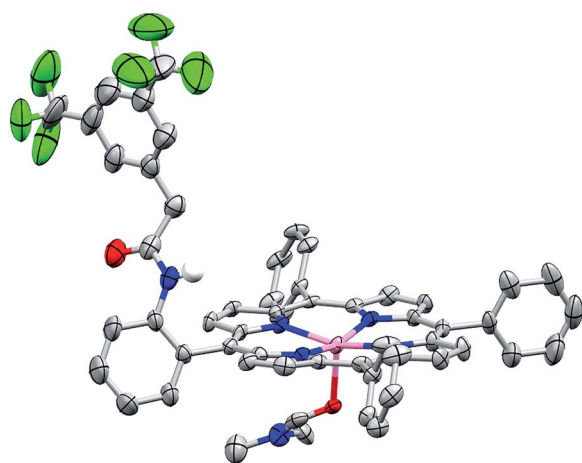
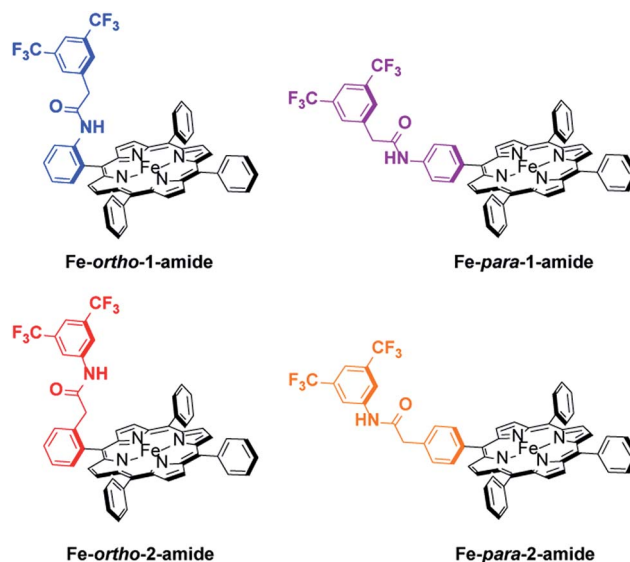


Fig. 2 Solid-state structure of **Zn-ortho-1-amide**. Non-coordinated solvent molecules and non-amide hydrogen atoms have been omitted for clarity. Thermal ellipsoids are shown at the 50% level.



Scheme 3 Positional isomers of amide-functionalized iron tetraphenylporphyrins examined in this work.



Table 1 Summary of electrochemical properties of iron porphyrin catalysts

Catalyst	$E_{\text{cat}}^0$ <sup>a</sup> (V vs. Fc/Fc <sup>+</sup> )	TOF <sub>max</sub> <sup>b</sup> (s <sup>-1</sup> )	log(TOF <sub>max</sub> )	$k_{\text{cat}}$ <sup>c</sup> (M <sup>-2</sup> s <sup>-1</sup> )	FE <sub>CO</sub> <sup>d</sup> (%)
<b>Fe-<i>ortho</i>-1-amide</b>	-2.12	$2.24 \times 10^4$	4.35	$1.01 \times 10^6$	83 ± 3
<b>Fe-<i>ortho</i>-2-amide</b>	-2.18	$5.50 \times 10^6$	6.74	$3.33 \times 10^8$	92 ± 2
<b>Fe-<i>para</i>-1-amide</b>	-2.15	$1.70 \times 10^2$	2.23	$1.03 \times 10^4$	74 ± 8
<b>Fe-<i>para</i>-2-amide</b>	-2.16	$6.76 \times 10^3$	3.84	$4.20 \times 10^5$	79 ± 8
<b>Fe-TPP</b>	-2.15	$6.76 \times 10^2$	2.83	$2.13 \times 10^5$	90 ± 6
<b>Fe-TPP + 50 mM amide</b>	-2.15	$4.04 \times 10^3$	3.61	$3.18 \times 10^5$	—

<sup>a</sup> The standard reduction potential for the formal Fe<sup>I/0</sup> couple,  $E_{\text{cat}}^0$ , is reported as an average over three independent experiments. <sup>b</sup> TOF<sub>max</sub> values are reported in presence of 100 mM PhOH and 0.23 M CO<sub>2</sub> except for the last entry, which is in presence of 50 mM amide additive and 0.23 M CO<sub>2</sub>.

<sup>c</sup>  $k_{\text{cat}}$  values are reported as an average over three sets of experimental conditions (different [PhOH], 0.23 M CO<sub>2</sub>, in the regime where rate is linearly dependent on [PhOH]). <sup>d</sup> FE for CO is reported as an average over three CPE experiments, see ESI for details.

but both show significantly higher catalytic responses than the corresponding *para*-functionalized positional congeners or unfunctionalized **Fe-TPP** (Fig. 3). In the presence of low phenol concentrations (5 mM), **Fe-*ortho*-2-amide** shows a significantly larger peak current compared to all other catalysts and a comparable onset potential to that of **Fe-*ortho*-1-amide** (Fig. S5†). In contrast, at higher phenol concentrations of 250 mM, **Fe-*ortho*-1-amide** displays a larger peak current and a more anodic onset potential than **Fe-*ortho*-2-amide** (Fig. S5†). These findings suggest that the role of phenol may differ among the positional isomers, or that altered mechanisms of catalyst inactivation are at play.

To gain further insight into CO<sub>2</sub> reduction catalyzed by this series of amide-functionalized porphyrins, the observed rate constants ( $k_{\text{obs}} = \text{TOF}_{\text{max}}, \text{s}^{-1}$ ) determined by FOWA were examined as a function of phenol and CO<sub>2</sub> concentration. Under pseudo-first order conditions, all four functionalized catalysts display first-order dependence on phenol concentration (Fig. 4, S6–13†). **Fe-*ortho*-2-amide** achieves the largest observed rate constants ( $k_{\text{obs}}$ ) of all catalysts tested, but exhibits

non-linearity at higher phenol concentrations, possibly as a result of catalyst inhibition or local depletion of CO<sub>2</sub>. **Fe-*ortho*-1-amide** exhibits the next highest set of  $k_{\text{obs}}$  values, followed by **Fe-*para*-2-amide** and finally **Fe-*para*-1-amide**. Maximum turnover frequencies (TOF<sub>max</sub>) in the presence of 100 mM phenol are summarized in Table 1. Likewise, all four catalysts show first-order dependence on CO<sub>2</sub> concentration in the presence of excess (500 mM) phenol (Fig. S20†), as well as on catalyst concentration (Fig. S21†). Taken together, in the linear regimes of [PhOH] and [CO<sub>2</sub>] where secondary phenomena are minimal, all four catalysts follow the rate law

$$\frac{-d[\text{CO}_2]}{dt} = k_{\text{obs}}[\text{cat}] = k_{\text{cat}}[\text{PhOH}][\text{CO}_2][\text{cat}]$$

where  $k_{\text{cat}} (\text{M}^{-2} \text{s}^{-1})$  is the intrinsic third-order rate constant. Average values for  $k_{\text{cat}}$ , determined at several phenol concentrations in the linear regime, are reported in Table 1. TOF<sub>max</sub> and  $k_{\text{cat}}$  values for unfunctionalized **Fe-TPP** in the presence of 50 mM amide additive are also shown for comparison, demonstrating that *ortho* pendant amide groups are necessary to observe large enhancements in activity.



Fig. 3 Cyclic voltammograms of amide-functionalized porphyrins and unfunctionalized **Fe-TPP** under CO<sub>2</sub> atmosphere. Conditions: 1 mM catalyst, 100 mM phenol, 0.1 M TBAPF<sub>6</sub> in DMF, saturated with CO<sub>2</sub> (0.23 M); scan rate 100 mV s<sup>-1</sup>.  $i$  represents current under catalytic conditions;  $i_p^0$  represents the cathodic peak height of the formal Fe<sup>I/0</sup> couple under inert atmosphere.



Fig. 4 Observed rate constants (s<sup>-1</sup>) for catalytic CO<sub>2</sub> reduction as a function of PhOH concentration for **Fe-*ortho*-1-amide** (blue diamonds), **Fe-*ortho*-2-amide** (red squares), **Fe-*para*-1-amide** (purple upward triangles), and **Fe-*para*-2-amide** (orange downward triangles). Conditions: 1 mM catalyst, 0.1 M TBAPF<sub>6</sub> in DMF; 0.23 M CO<sub>2</sub>; scan rate is 100 mV s<sup>-1</sup>.  $k_{\text{obs}}$  values were determined by FOWA.



For the purposes of catalyst benchmarking, it is illustrative to examine the  $\log(\text{TOF})$  vs. overpotential ( $\eta$ ) relationships as indicated by a catalytic Tafel plot.<sup>61</sup> More effective catalysts operate with higher TOFs at lower overpotentials and are displayed in the upper left portion of such plots. As shown in Fig. 5, **Fe-ortho-2-amide** exhibits higher TOFs over all overpotential values compared to **Fe-ortho-1-amide**, which in turn exhibits higher TOFs compared to **Fe-TPP** and both *para*-substituted porphyrins. The values at the plateau of such curves represent  $\log(\text{TOF}_{\text{max}})$ , the maximum turnover frequency achievable at large overpotential (when the catalyst is fully present in its reduced state).

The selectivity and stability of the aforementioned catalysts were examined by controlled potential electrolysis (CPE). A solution of catalyst in  $\text{CO}_2$ -saturated DMF electrolyte (0.1 M TBAPF<sub>6</sub>, 0.5 mM catalyst, 0.5 M phenol as acid source) was electrolyzed at potentials between  $-2.1$  and  $-2.2$  V vs.  $\text{Fc}/\text{Fc}^+$  in a homemade gas-tight electrolysis cell (see ESI† for details). Gas chromatographic measurements of the headspace reveal that all four amide-functionalized catalysts have high selectivity for  $\text{CO}$ , with faradaic efficiencies (FE) between 74% and 92% (Tables 1, S2†). No hydrogen was observed for any catalyst under these conditions. Representative CPE traces are provided in Fig. S25.†

Though the previous metrics indicate that **Fe-ortho-2-amide** is a superior catalyst compared to **Fe-ortho-1-amide**, it is important to stress that the difference in  $E_{\text{cat}}^0$  values between catalysts must be considered when attempting to resolve questions of optimal second-sphere pendant placement. As illustrated previously,<sup>62,63</sup> electron withdrawing- or donating substituents on the porphyrin aryl rings modulate  $E_{\text{cat}}^0$  and consequently alter the driving force for electrochemical  $\text{CO}_2$  reduction. In such cases, a linear scaling relationship between  $\log(\text{TOF}_{\text{max}})$  and  $E_{\text{cat}}^0$  is observed, where catalysts having more negative  $E_{\text{cat}}^0$  values exhibit larger TOFs. Deviation from this

relationship occurs when second-sphere interactions either promote or inhibit catalysis, as has been previously documented for electrostatic effects.<sup>64</sup>

In order to benchmark the electronic scaling relationship, **Fe-TPP** and two additional iron porphyrins with no second-sphere influences were investigated: **Fe-para-(CF<sub>3</sub>)<sub>4</sub>**, the iron complex of *meso*-tetra(4-trifluoromethylphenyl)porphyrin, and **Fe-para-(OMe)<sub>4</sub>**, the iron complex of *meso*-tetra(4-methoxyphenyl)porphyrin. The synthesis and characterization of these two additional complexes are provided in the ESI.† Cyclic voltammograms of **Fe-para-(CF<sub>3</sub>)<sub>4</sub>** and **Fe-para-(OMe)<sub>4</sub>** in the presence of phenol and  $\text{CO}_2$  show characteristic responses indicative of  $\text{CO}_2$  reduction (Fig. S14, S18†). FOWA was applied to determine  $\text{TOF}_{\text{max}}$  values under conditions identical to those given above for the amide-functionalized porphyrins (Fig. S15, S19†). Controlled potential electrolysis experiments (Fig. S26†) show that  $\text{CO}$  is also a major product for these two catalysts, with  $\text{FE}_{\text{CO}}$  of 70–74% (Table S2†). The similar product selectivity permits meaningful comparisons with the amide-functionalized complexes. The electrochemical parameters for **Fe-para-(CF<sub>3</sub>)<sub>4</sub>** and **Fe-para-(OMe)<sub>4</sub>** are summarized in Table S1.† Together with **Fe-TPP**, these two catalysts exhibit the expected linear relationship between  $\log(\text{TOF}_{\text{max}})$  and  $E_{\text{cat}}^0$  (circles, Fig. 6).

To examine the contribution of through-space interactions on catalysis by the *ortho*- and *para*-amide-substituted porphyrins, each complex was added to the plot of  $\log(\text{TOF}_{\text{max}})$  vs.  $E_{\text{cat}}^0$  shown in Fig. 6. Both **Fe-ortho-1-amide** and **Fe-ortho-2-amide** lie significantly above the line corresponding to electronic-only effects, confirming that second-sphere interactions enhance the rate of  $\text{CO}_2$  reduction for both complexes. **Fe-ortho-2-amide** shows a much larger departure from the electronic correlation line compared to **Fe-ortho-1-amide**,

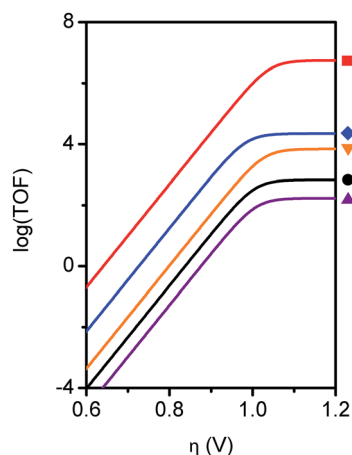


Fig. 5 Catalytic Tafel plots for **Fe-ortho-1-amide** (blue diamond), **Fe-ortho-2-amide** (red square), **Fe-para-1-amide** (purple upward triangle), **Fe-para-2-amide** (orange downward triangle), and **Fe-TPP** (black circle). Conditions: 0.1 M TBAPF<sub>6</sub> in DMF; 0.23 M  $\text{CO}_2$ ; 100 mM PhOH; scan rate is 100  $\text{mV s}^{-1}$ .  $\text{TOF} = k_{\text{obs}}$  and was determined by FOWA.



Fig. 6 Correlation between  $\log(\text{TOF}_{\text{max}})$  and  $E_{\text{cat}}^0$  illustrating the through-space interactions that promote catalysis in the case of **Fe-ortho-1-amide** (blue diamond) and **Fe-ortho-2-amide** (red square). Porphyrin catalysts with no through-space interactions are shown in black: **Fe-para-(CF<sub>3</sub>)<sub>4</sub>** (white circle), **Fe-TPP** (black circle), and **Fe-para-(OMe)<sub>4</sub>** (gray circle). **Fe-para-2-amide** (orange downward triangle) and **Fe-para-1-amide** (purple upward triangle) show minimal through-space effects.  $\text{TOF}_{\text{max}}$  determined by FOWA in the presence of 100 mM phenol and 0.23 M  $\text{CO}_2$ .



suggesting that the extent of through-space stabilization of the iron-bound CO<sub>2</sub> adduct is larger for the distal *ortho* isomer than for the proximal congener. In contrast, the log(TOF<sub>max</sub>) for **Fe-para-2-amide** is very slightly higher, and that for **Fe-para-1-amide** is very slightly lower, than that predicted by the electronic scaling relationship, suggesting that the *para*-substituted positional isomers do not exhibit notable through-space effects.

In order to gain further insight into the role of the *ortho* amide pendants in promoting catalysis, we determined the equilibrium constants for CO<sub>2</sub> binding,  $K_{\text{CO}_2}$ , based on the potential shift of the formal Fe<sup>I/0</sup> couple under Ar and CO<sub>2</sub>. These experiments were performed at fast scan rates (2–10 V s<sup>-1</sup>) in the absence of an added proton source to prevent subsequent catalytic turnover (Fig. S35†). As shown in Table 2, **Fe-TPP** and **Fe-para-2-amide**, which lack the ability to engage in productive through-space interactions, exhibit low  $K_{\text{CO}_2}$  values (2–4 M<sup>-1</sup>). In contrast, **Fe-ortho-1-amide** and **Fe-ortho-2-amide** exhibit significantly larger  $K_{\text{CO}_2}$  values (14–17 M<sup>-1</sup>), indicating that properly positioned amide pendants act to increase the affinity for CO<sub>2</sub> *via* hydrogen bonding interactions. Quantum chemical calculations on the Fe–CO<sub>2</sub> adducts of both *ortho*-functionalized complexes reveal differences in the length of the amide–CO<sub>2</sub> hydrogen bond (see ESI† for details), with an H–O distance of 1.61 Å for **Fe-ortho-1-amide** and 1.45 Å for **Fe-ortho-2-amide** (Fig. S39, S40†). The shorter H-bond distance for the latter complex is in agreement with the larger through-space interaction seen electrochemically (Fig. 6).

The role of proton transfer during catalysis was examined by measuring the p*K*<sub>a</sub> values of all four amide pendants, as well as the H/D kinetic isotope effects. The p*K*<sub>a</sub> values of all four amide pendants were determined spectrophotometrically (Fig. S36–S38†) in DMSO, as shown in Table 2. Importantly, the amide of **Fe-ortho-2-amide** is less acidic (p*K*<sub>a</sub> = 19.3 ± 0.1) than that of **Fe-ortho-1-amide** (p*K*<sub>a</sub> = 18.7 ± 0.2). Thus, the higher catalytic activity of **Fe-ortho-2-amide** cannot be ascribed simply to a more acidic amide pendant. In addition, kinetic isotope effects for all four positional isomers were measured using H<sub>2</sub>O or D<sub>2</sub>O as the proton source (Fig. S20–S27†). Normal primary H/D kinetic isotope effects were observed for all four amide-functionalized catalysts ( $k_{\text{H}}/k_{\text{D}}$  between 1.47 and 1.88, see Table 2), suggesting that proton transfer is involved in the rate-determining step regardless of amide positioning.

**Table 2** Summary of equilibrium CO<sub>2</sub> binding constants ( $K_{\text{CO}_2}$ ), amide p*K*<sub>a</sub> values, and kinetic isotope effects (KIE) for the iron porphyrin catalysts

Catalyst	$K_{\text{CO}_2}^a$ (M <sup>-1</sup> )	Amide p <i>K</i> <sub>a</sub>	KIE <sup>b</sup>
<b>Fe-TPP</b>	2.3	N/A	1.5–2.5 <sup>c</sup>
<b>Fe-ortho-1-amide</b>	17.1	18.7 ± 0.2	1.47
<b>Fe-ortho-2-amide</b>	14.4	19.3 ± 0.1	1.77
<b>Fe-para-1-amide</b>	—	18.8 ± 0.1	1.88
<b>Fe-para-2-amide</b>	3.6	18.7 ± 0.5	1.84

<sup>a</sup> The binding constant for **Fe-para-1-amide** could not be measured but is expected to be between 2–4 M<sup>-1</sup>. <sup>b</sup> KIE values represent the ratio of  $k_{\text{H}}/k_{\text{D}}$  measured using water as the proton source (see ESI† for details).

<sup>c</sup> Values reported for various proton sources, see ref. 65.

These results confirm, unsurprisingly, that second-sphere donors must be located at the *ortho*-positions of the porphyrin scaffold to exhibit productive interactions with catalytically-relevant intermediates. More interestingly, we show that a distally-located second-sphere amide group is more effective at breaking the electronic scaling relationship for CO<sub>2</sub> reduction than the proximal positional isomer due to enhanced hydrogen bond stabilization of the Fe–CO<sub>2</sub> intermediate. Differences in p*K*<sub>a</sub> of the four amide pendants are minimal, indicating that their position with respect to the iron-bound CO<sub>2</sub> is more likely what governs differences in catalytic activity. The primary kinetic isotope effects observed for all four amide-functionalized catalysts are in agreement with those measured with other proton sources<sup>65</sup> or for other CO<sub>2</sub> reduction catalysts,<sup>22</sup> and indicate that proton transfer is involved in the rate-determining step. Given the p*K*<sub>a</sub> of the amide pendants, they are expected to act as proton relays in addition to hydrogen bond donors. We therefore conclude that distal *ortho* positioning allows the pendant to adopt a configuration that is more ideally suited to both hydrogen bond stabilization and proton transfer.

## Conclusions

In summary, we investigate the positional dependence of secondary coordination sphere groups on the reactivity of a conserved primary iron porphyrin core for electrochemical CO<sub>2</sub> reduction. To this end, four positional isomers were synthesized, varying the location of the second-sphere amide group *ortho*- and *para*-, as well as proximal and distal, to the porphyrin plane. Cyclic voltammetry and controlled potential electrolysis were used to fully characterize the electrochemical behaviour of this family of functionalized porphyrins, including kinetic parameters, catalytic Tafel plots, and faradaic efficiencies for the product CO. Studying the correlation between log(TOF<sub>max</sub>) and the Fe<sup>I/0</sup> standard potential,  $E_{\text{cat}}^0$ , reveals that through-space interactions enhance the rate of catalysis in both *ortho*-functionalized positional isomers, with the distally-located amide exhibiting a more significant enhancement. In contrast, the *para*-functionalized congeners display no significant through-space effects. These results demonstrate that fine-tuning the location of second-sphere pendants is an effective design strategy for the development of highly-active biomimetic catalysts for CO<sub>2</sub> reduction, and by extension, for a wider range of chemical transformations.

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

We thank DOE/LBNL Grant 101528-002 for funding this research. C. J. C. is an Investigator with the Howard Hughes Medical Institute and a CIFAR Senior Fellow. E. M. N. and P. T. S. acknowledge the NSF for graduate research fellowships. S. K. N. thanks the UC Berkeley College of Chemistry for a summer



undergraduate research award. We acknowledge the National Institutes of Health for funding the UC Berkeley CheXray X-ray crystallographic facility under the Shared Instrumentation Grant S10-RR027172. We also thank Micah S. Ziegler for helpful discussions.

## Notes and references

- 1 A. M. Appel, J. E. Bercaw, A. B. Bocarsly, H. Dobbek, D. L. DuBois, M. Dupuis, J. G. Ferry, E. Fujita, R. Hille, P. J. A. Kenis, C. A. Kerfeld, R. H. Morris, C. H. F. Peden, A. R. Portis, S. W. Ragsdale, T. B. Rauchfuss, J. N. H. Reek, L. C. Seefeldt, R. K. Thauer and G. L. Waldrop, *Chem. Rev.*, 2013, **113**, 6621–6658.
- 2 E. E. Benson, C. P. Kubiak, A. J. Sathrum and J. M. Smieja, *Chem. Soc. Rev.*, 2009, **38**, 89–99.
- 3 A. J. Morris, G. J. Meyer and E. Fujita, *Acc. Chem. Res.*, 2009, **42**, 1983–1994.
- 4 K. H. Takahashi, K. Hiratsuka, H. Sasaki and S. Toshima, *Chem. Lett.*, 1979, **8**, 305–308.
- 5 M. Hammouche, D. Lexa, J. M. Savéant and M. Momenteau, *J. Electroanal. Chem. Interfacial Electrochem.*, 1988, **249**, 347–351.
- 6 M. Hammouche, D. Lexa, M. Momenteau and J. M. Saveant, *J. Am. Chem. Soc.*, 1991, **113**, 8455–8466.
- 7 I. Bhugun, D. Lexa and J.-M. Savéant, *J. Am. Chem. Soc.*, 1996, **118**, 1769–1776.
- 8 D. D. Behar, T. Dhanasekaran, P. Neta, C. M. Hosten, D. Ejeh, P. Hambright and E. Fujita, *J. Phys. Chem. A*, 1998, **102**, 2870–2877.
- 9 B. J. Fisher and R. Eisenberg, *J. Am. Chem. Soc.*, 1980, **102**, 7361–7363.
- 10 A. H. A. Tinnemans, T. P. M. Koster, D. H. M. W. Thewissen and A. Mackor, *Recl. Trav. Chim. Pays-Bas*, 1984, **103**, 288–295.
- 11 M. Beley, J.-P. Collin, R. Ruppert and J.-P. Sauvage, *J. Chem. Soc., Chem. Commun.*, 1984, 1315–1316.
- 12 M. Beley, J. P. Collin, R. Ruppert and J. P. Sauvage, *J. Am. Chem. Soc.*, 1986, **108**, 7461–7467.
- 13 E. C. Fujita, C. Creutz, N. Sutin and D. J. Szalda, *J. Am. Chem. Soc.*, 1991, **113**, 343–353.
- 14 J. Schneider, H. Jia, K. Kobi, D. E. Cabelli, J. T. Muckerman and E. Fujita, *Energy Environ. Sci.*, 2012, **5**, 9502–9510.
- 15 D. C. M. Lacy, C. C. L. McCrory and J. C. Peters, *Inorg. Chem.*, 2014, **53**, 4980–4988.
- 16 J. Hawecker, J.-M. Lehn and R. Ziessel, *J. Chem. Soc., Chem. Commun.*, 1984, 328–330.
- 17 J. Hawecker, J.-M. Lehn and R. Ziessel, *Helv. Chim. Acta*, 1986, **69**, 1990–2012.
- 18 B. P. Sullivan and T. J. Meyer, *J. Chem. Soc., Chem. Commun.*, 1984, 1244–1245.
- 19 C. M. Bolinger, N. Story, B. P. Sullivan and T. J. Meyer, *Inorg. Chem.*, 1988, **27**, 4582–4587.
- 20 H. T. Ishida, K. Tanaka and T. Tanaka, *Organometallics*, 1987, **6**, 181–186.
- 21 M. Bourrez, F. Molton, S. Chardon-Noblat and A. Deronzier, *Angew. Chem., Int. Ed.*, 2011, **50**, 9903–9906.
- 22 J. M. Smieja, E. E. Benson, B. Kumar, K. A. Grice, C. S. Seu, A. J. M. Miller, J. M. Mayer and C. P. Kubiak, *Proc. Natl. Acad. Sci. U. S. A.*, 2012, **109**, 15646–15650.
- 23 M. D. N. Sampson, A. D. Nguyen, K. A. Grice, C. E. Moore, A. L. Rheingold and C. P. Kubiak, *J. Am. Chem. Soc.*, 2014, **136**, 5460–5471.
- 24 S. W. Slater and J. H. Wagenknecht, *J. Am. Chem. Soc.*, 1984, **106**, 5367–5368.
- 25 D. L. DuBois, A. Miedaner and R. C. Haltiwanger, *J. Am. Chem. Soc.*, 1991, **113**, 8753–8764.
- 26 P. Kang, T. J. Meyer and M. Brookhart, *Chem. Sci.*, 2013, **4**, 3497–3502.
- 27 V. S. Thoi and C. J. Chang, *Chem. Commun.*, 2011, **47**, 6578–6580.
- 28 V. S. K. Thoi, N. Kornienko, C. G. Margarit, P. Yang and C. J. Chang, *J. Am. Chem. Soc.*, 2013, **135**, 14413–14424.
- 29 J. Agarwal, T. W. Shaw, C. J. Stanton, G. F. Majetich, A. B. Bocarsly and H. F. Schaefer, *Angew. Chem., Int. Ed.*, 2014, **53**, 5152–5155.
- 30 B. Mondal, J. Song, F. Neese and S. Ye, *Curr. Opin. Chem. Biol.*, 2015, **25**, 103–109.
- 31 C. L. Drennan, J. Heo, M. D. Sintchak, E. Schreiter and P. W. Ludden, *Proc. Natl. Acad. Sci. U. S. A.*, 2001, **98**, 11973–11978.
- 32 J.-H. Jeoung and H. Dobbek, *Science*, 2007, **318**, 1461–1464.
- 33 W. Gong, B. Hao, Z. Wei, D. J. Ferguson, T. Tallant, J. A. Krzycki and M. K. Chan, *Proc. Natl. Acad. Sci. U. S. A.*, 2008, **105**, 9558–9563.
- 34 J. P. Collman, R. R. Gagne, C. Reed, T. R. Halbert, G. Lang and W. T. Robinson, *J. Am. Chem. Soc.*, 1975, **97**, 1427–1439.
- 35 J. P. Collman, X. Zhang, K. Wong and J. I. Brauman, *J. Am. Chem. Soc.*, 1994, **116**, 6245–6251.
- 36 G. E. Wuenschell, C. Tetreau, D. Lavalette and C. A. Reed, *J. Am. Chem. Soc.*, 1992, **114**, 3346–3355.
- 37 M. Momenteau and C. A. Reed, *Chem. Rev.*, 1994, **94**, 659–698.
- 38 C. K. Chang, Y. Liang, G. Aviles and S.-M. Peng, *J. Am. Chem. Soc.*, 1995, **117**, 4191–4192.
- 39 C. J. Chang, L. L. Chng and D. G. Nocera, *J. Am. Chem. Soc.*, 2003, **125**, 1866–1876.
- 40 A. Wada, M. Harata, K. Hasegawa, K. Jitsukawa, H. Masuda, M. Mukai, T. Kitagawa and H. Einaga, *Angew. Chem., Int. Ed.*, 1998, **37**, 798–799.
- 41 A. H. Wada, Y. Honda, S. Yamaguchi, S. Nagatomo, T. Kitagawa, K. Jitsukawa and H. Masuda, *Inorg. Chem.*, 2004, **43**, 5725–5735.
- 42 C. E. MacBeth, A. P. Golombek, V. G. Young, C. Yang, K. Kucera, M. P. Hendrich and A. S. Borovik, *Science*, 2000, **289**, 938–941.
- 43 R. L. Z. Lucas, M. K. Zart, J. Mukerjee, T. N. Sorrell, D. R. Powell and A. S. Borovik, *J. Am. Chem. Soc.*, 2006, **128**, 15476–15489.
- 44 R. L. Shook and A. S. Borovik, *Inorg. Chem.*, 2010, **49**, 3646–3660.
- 45 S. A. Cook and A. S. Borovik, *Acc. Chem. Res.*, 2015, **48**, 2407–2414.





- 46 L. M. Berreau, M. M. Makowska-Grzyska and A. M. Arif, *Inorg. Chem.*, 2001, **40**, 2212–2213.
- 47 M. M. J. Makowska-Grzyska, P. C. Jeppson, R. A. Allred, A. M. Arif and L. M. Berreau, *Inorg. Chem.*, 2002, **41**, 4872–4887.
- 48 E. M. Matson, Y. J. Park and A. R. Fout, *J. Am. Chem. Soc.*, 2014, **136**, 17398–17401.
- 49 C. M. Moore and N. K. Szymczak, *Chem. Sci.*, 2015, **6**, 3373–3377.
- 50 C. L. Ford, Y. J. Park, E. M. Matson, Z. Gordon and A. R. Fout, *Science*, 2016, **354**, 741–743.
- 51 S. E. Creutz and J. C. Peters, *Chem. Sci.*, 2017, **8**, 2321–2328.
- 52 M. L. Helm, M. P. Stewart, R. M. Bullock, M. R. DuBois and D. L. DuBois, *Science*, 2011, **333**, 863–866.
- 53 J. Y. Yang, S. E. Smith, T. Liu, W. G. Dougherty, W. A. Hoffert, W. S. Kassel, M. R. DuBois, D. L. DuBois and R. M. Bullock, *J. Am. Chem. Soc.*, 2013, **135**, 9700–9712.
- 54 A. Rana, B. Mondal, P. Sen, S. Dey and A. Dey, *Inorg. Chem.*, 2017, **56**, 1783–1793.
- 55 D. K. Dogutan, R. McGuire and D. G. Nocera, *J. Am. Chem. Soc.*, 2011, **133**, 9178–9180.
- 56 C. T. M. Carver, B. D. Matson and J. M. Mayer, *J. Am. Chem. Soc.*, 2012, **134**, 5444–5447.
- 57 C. Costentin, S. Drouet, M. Robert and J.-M. Savéant, *Science*, 2012, **338**, 90–94.
- 58 A. Chapovetsky, T. H. Do, R. Haiges, M. K. Takase and S. C. Marinescu, *J. Am. Chem. Soc.*, 2016, **138**, 5765–5768.
- 59 S. Roy, B. Sharma, J. Pécaut, P. Simon, M. Fontecave, P. D. Tran, E. Derat and V. Artero, *J. Am. Chem. Soc.*, 2017, **139**, 3685–3696.
- 60 C. Costentin, G. Passard, M. Robert and J.-M. Savéant, *Proc. Natl. Acad. Sci. U. S. A.*, 2014, **111**, 14990–14994.
- 61 C. Costentin, S. Drouet, M. Robert and J.-M. Savéant, *J. Am. Chem. Soc.*, 2012, **134**, 11235–11242.
- 62 I. Azcarate, C. Costentin, M. Robert and J.-M. Savéant, *J. Phys. Chem. C*, 2016, **120**, 28951–28960.
- 63 M. L. Pegis, B. A. McKeown, N. Kumar, K. Lang, D. J. Wasylenko, X. P. Zhang, S. Rauegi and J. M. Mayer, *ACS Cent. Sci.*, 2016, **2**, 850–856.
- 64 I. Azcarate, C. Costentin, M. Robert and J.-M. Savéant, *J. Am. Chem. Soc.*, 2016, **138**, 16639–16644.
- 65 C. Costentin, S. Drouet, G. Passard, M. Robert and J.-M. Savéant, *J. Am. Chem. Soc.*, 2013, **135**, 9023–9031.

