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# Diphosphine-protected ultrasmall gold nanoclusters: opened icosahedral Au<sub>13</sub> and heart-shaped Au<sub>8</sub> clusters†

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Due to distinctive quantum confinement effects, ultrasmall gold nanoparticles usually exhibit interesting electronic structure and molecular-like properties. However, the lack of atomically-precise structural information makes the understanding of them almost impossible, such as understanding the relationships between their compositions and unique properties. Herein, by reducing a diphosphine Au<sup>I</sup> precursor (Au<sub>2</sub>(dppm)<sub>2</sub>Cl<sub>2</sub>; dppm = Ph<sub>2</sub>PCH<sub>2</sub>PPh<sub>2</sub>) with or without a S<sup>2-</sup> releasing reagent, we enriched our knowledge of the members in the families of Au<sub>13</sub> and Au<sub>8</sub> by the structural determinations of two new dppm-protected gold nanoclusters, [Au<sub>13</sub>(dppm)<sub>6</sub>]<sup>5+</sup> (SD/Au1) and [Au<sub>8</sub>(dppm)<sub>4</sub>S<sub>2</sub>]<sup>2+</sup> (SD/Au2), respectively. Within SD/Au1, the Au<sub>13</sub> kernel significantly deviates from the ideal I<sub>h</sub> icosahedron by the elongation of three surface Au–Au bonds to ~3.5 Å, giving it C<sub>3</sub> symmetry, whereas SD/Au2 has a novel heart-shaped C<sub>2</sub> symmetric Au<sub>8</sub>S<sub>2</sub> core (central Au<sub>4</sub> tetrahedron + two Au<sub>2</sub>S units) protected by four μ<sub>2</sub>-dppm ligands in the outer shell. Of note, SD/Au1 represents a rare Au<sub>13</sub> nanocluster with an opened icosahedral geometry, and SD/Au2 shows a new edge-shared “core + 4exo” structure type that has never been observed before. The electronic structures and optical absorption spectra of these systems are correlated with time-dependent density functional theory (TDDFT) calculations. Based on the spherical jellium model, the stability of the Au<sub>13</sub> and Au<sub>8</sub> nanoclusters can be ascribed to 8- and 2-electron superatoms with 1S<sup>2</sup>1P<sup>6</sup> and 1S<sup>2</sup> configurations, respectively. Interestingly, the cluster SD/Au2 exhibits bright yellow luminescence with an emission maximum at 591 nm that slightly hypsochromically shifts to 581 nm upon cooling to 93 K. Our findings not only enrich the family of diphosphine-protected ultrasmall gold nanoclusters, but also demonstrate the rich variations of gold kernels during the transformation from a simple Au<sup>I</sup> precursor to Au nanoclusters.

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## Introduction

Gold nanoclusters with defined nuclearity and configurations have attracted considerable interest due to their nuclearity-selective optical, electronic, and catalytic properties which diverge significantly from those of their bulk metal counterparts.<sup>1</sup> The total structure characterization of gold nanoclusters

by X-ray crystallography is a prerequisite to the better understanding of their stability, metal–ligand interfacial bonding, as well as the aforementioned properties.<sup>2</sup> Until now, phosphine,<sup>3</sup> thiolate,<sup>4</sup> and alkynyl-protected<sup>5</sup> gold nanoclusters have been well identified based on total structure elucidations; however, it is still a challenge to acquire their X-ray quality single crystals and there are also many difficult questions still to be answered in this field, such as whether the face centered-cubic (fcc) structure in bulk gold would be preserved in these ultrasmall nanoclusters and how the organic ligands influence the configuration of the gold kernel, even for systems with the same nuclearity.<sup>6</sup> Compared to the rapid progress of gold–thiolate nanoclusters starting from the seminal X-ray structure identification of the Au<sub>102</sub> cluster,<sup>7</sup> phosphine-protected gold nanoclusters are severely underdeveloped,<sup>8</sup> although the first X-ray single crystal structure of a phosphine-protected cluster (*i.e.* Au<sub>11</sub>) dates back to 1969.<sup>9</sup> The well-recognized phosphine-protected gold nanoclusters include undecagold Au<sub>11</sub> and icosahedral Au<sub>13</sub> protected by phosphine and halide.<sup>10</sup>

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† Electronic supplementary information (ESI) available: Detailed synthesis procedure, computational details, IR, <sup>31</sup>P NMR, ESI-MS, details of the data collection and structure refinements, and detailed analysis of the computed spectra. Additional figures (Fig. S1–S5 and Scheme S1) and tables (Tables S1–S4). CCDC 1562504, 1562505 and 1577669 for SD/Au1, SD/Au2, and SD/Au3. CCDC 1577877–1577881 for SD/Au2 at 93, 183, 243, 273, and 293 K. For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/c7sc03566g

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Larger nanoclusters such as  $[\text{Au}_{20}(\text{PPhpy}_2)_{10}\text{Cl}_4]$  and  $[\text{Au}_{20}(\text{PP}_3)_4]$  were recently characterized based on new phosphine ligands [ $\text{PPhpy}_2$  = bis(2-pyridyl)-phenylphosphine; and  $\text{PP}_3$  = tris[2-(diphenylphosphino)ethyl]phosphine].<sup>11</sup> Among many of the reported gold nanoclusters,  $\text{Au}_{13}$  can be seen as a common ‘seed’ in the growth of larger ones from mono-icosahedral  $\text{Au}_{13}$ , biicosahedral  $\text{Au}_{25}$ , to triicosahedral  $\text{Au}_{37}$ , *i.e.* a ‘cluster of clusters’ motif.<sup>12</sup> Of note, the configuration of the  $\text{Au}_{13}$  kernel usually deviates from that of the ideal  $I_h$  icosahedron depending on the protecting ligands; at the same time, such a variation in the metallic kernel may dramatically influence the physical and chemical properties.<sup>13</sup> With these considerations in mind, herein, we revisited the dppm-protected gold nanoclusters and isolated two new members,  $[\text{Au}_{13}(\text{dppm})_6]^{5+}$  (**SD/Au1**) and  $[\text{Au}_8(\text{dppm})_4\text{S}_2]^{2+}$  (**SD/Au2**), in this family (Scheme 1). Although **1** initially looks like its cousin with nitrate counteranions reported in 1981 (ref. 14) at first glance, in **1** the metallic kernel severely deviates from an ideal  $I_h$  icosahedron by the elongation of three Au–Au contacts to approximately 3.5 Å, giving it  $C_3$  symmetry. After introducing a  $\text{S}^{2-}$  releasing reagent, another novel octanuclear gold nanocluster was isolated that possessed a heart-shaped  $C_2$  symmetric  $\text{Au}_8\text{S}_2$  core (central  $\text{Au}_4$  tetrahedron + two  $\text{Au}_2\text{S}$  units).

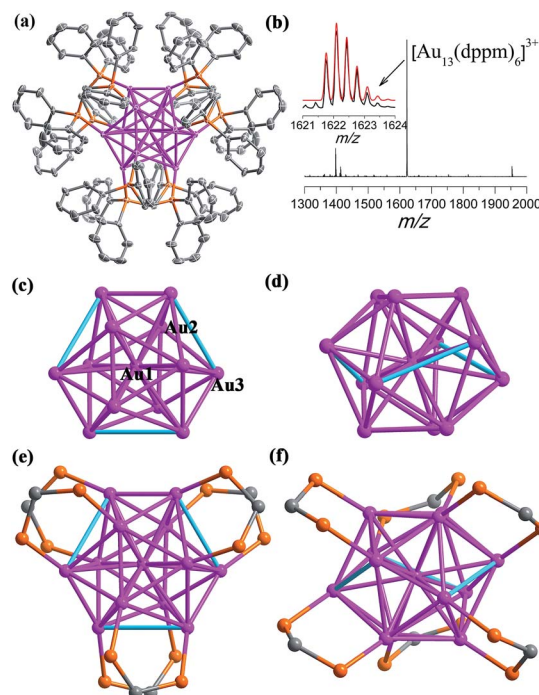
## Results and discussion

### The X-ray structures of $[\text{Au}_{13}(\text{dppm})_6]\text{Cl}_5$ (**SD/Au1·5Cl**) and $[\text{Au}_8(\text{dppm})_4\text{S}_2]\text{Cl}_2$ (**SD/Au2·2Cl**)

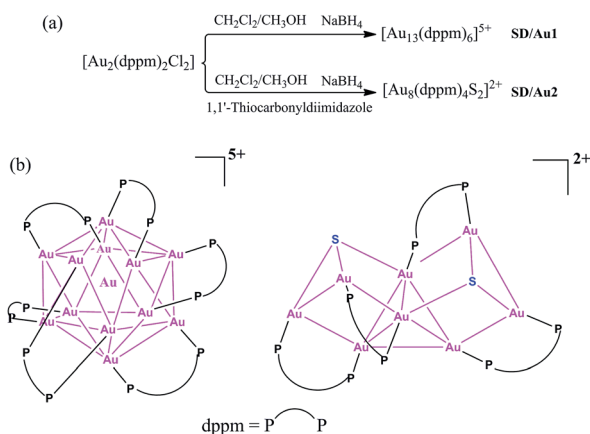
Details of the synthesis are shown in the ESI.† Briefly, to 12 mL of methanol and dichloromethane suspension containing 0.02 mmol  $\text{Au}_2(\text{dppm})_2\text{Cl}_2$ ,<sup>15</sup> a freshly prepared solution of  $\text{NaBH}_4$  (0.60 mmol in 1 mL of cold water) was added dropwise under vigorous stirring. The color immediately changed from colorless to dark green, and then to black. The reaction continued for 12 h at 0 °C in air under the exclusion of light. The crude black solid obtained by rotary evaporation was dissolved in 6 mL of  $\text{CH}_2\text{Cl}_2$ , and the resulting solution was subject to diffusion of benzene to afford black crystals (Scheme S1†). The

identity was determined to be  $[\text{Au}_{13}(\text{dppm})_6]\text{Cl}_5$  (**SD/Au1·5Cl**). Similarly, in the presence of a  $\text{S}^{2-}$  releasing reagent during a similar synthesis as for **SD/Au1·5Cl**, we could obtain  $[\text{Au}_8(\text{dppm})_4\text{S}_2]\text{Cl}_2$  (**SD/Au2·2Cl**) as yellow block crystals. The difference in the kernel structures between the two systems may arise from the etching effect of  $\text{S}^{2-}$  on the Au core surface due to its strong coordination ability toward Au atoms. Therefore, the introduction of  $\text{S}^{2-}$  in the synthesis of Au nanoclusters can significantly influence the final core structure.

The single crystal X-ray diffraction (SCXD) analysis of **SD/Au1·5Cl** at 173 K indicates that it crystallizes in the trigonal space group  $P31c$  and that the asymmetric unit contains only one sixth of the  $\text{Au}_{13}$  nanocluster. The overall structure of **SD/Au1** is a distorted icosahedral  $\text{Au}_{13}$  kernel with six  $\mu_2$ -dppm ligands bound to its six edges of the outer shell (Fig. 1a). The Au1 (denoted as  $\text{Au}_c$  hereafter) site possesses one  $C_3$  axis and 3  $C_2$  axes, giving it an occupancy of 1/6, whereas all of the  $\text{Cl}^-$  ions are located on the  $C_3$  axis and Cl2 additionally sits on the inversion center. Thus, in total, five uncoordinated  $\text{Cl}^-$  ions are found in the lattice corresponding to each  $\text{Au}_{13}$  cluster, making the charge state of the cationic part of **SD/Au1** +5. As shown in Fig. 1b, the positive mode of the high-resolution electrospray ionization mass spectrometry (HR-ESI-MS) shows a main peak centered at  $m/z$  1622.0950 corresponding to  $[\text{Au}_{13}(\text{dppm})_6]^{3+}$ .



**Fig. 1** (a) The X-ray crystal structure of cationic  $[\text{Au}_{13}(\text{dppm})_6]^{5+}$ . Thermal contours are drawn at the 50% probability level. Color labels: purple, Au; orange, P; and gray, C. H atoms and  $\text{Cl}^-$  ions are omitted. (b) The positive mode of the HRESI-MS of **SD/Au1·5Cl** dissolved in  $\text{CH}_2\text{Cl}_2$ . Inset: comparison of the measured (black trace) and simulated (red trace) isotopic patterns of  $[\text{Au}_{13}(\text{dppm})_6]^{3+}$ . (c and e) Top view of the kernel structure of  $\text{Au}_{13}$  along the  $C_3$  axis with the crystallographically unique Au atoms labelled. (d and f) Side view of the kernel structure of  $\text{Au}_{13}$ . The three cyan edges denote the longer Au–Au separations (3.4995(5) Å).



**Scheme 1** (a) Synthetic routes for  $[\text{Au}_{13}(\text{dppm})_6]^{5+}$  and  $[\text{Au}_8(\text{dppm})_4\text{S}_2]^{2+}$  and (b) a chemical structure illustration of the trideca- and octagold nanoclusters.



(calcd. 1622.0949). The different charge state between the X-ray diffraction and mass spectroscopy results may be attributed to the trapping of two additional electrons by **SD/Au1** under the mass spectroscopy conditions.

The views of the  $\text{Au}_{13}$  kernel of **SD/Au1** drawn orthogonal with respect to the  $C_3$  axis are shown in Fig. 1c–f. The  $\text{Au}_{13}$  kernel consists of  $\text{Au}_3$  trigons perpendicular to the  $C_3$  axis at two poles and a chair-like  $\text{Au}_6$  ring (or  $\text{Au}_7$  if considering the central Au as well) sandwiched by them at the equatorial position. Each dppm ligand bridges two Au atoms, one at the pole and another at the equator (Au–P: 2.282(2) and 2.346(2) Å). The distortion of the  $\text{Au}_{13}$  kernel in **SD/Au1** is mainly reflected by three extraordinarily long Au–Au contacts of nearly 3.5 Å and a larger acute bond angle around the central Au atom ( $73.519(12)^\circ$ ), which makes the symmetry of the metallic kernel differ substantially from that of an ideal  $I_h$  icosahedron. The central Au atom ( $\text{Au}_c$ ) binds to twelve surface Au atoms ( $\text{Au}_s$ ), giving twelve radial bonds of 2.7024(4) and 2.9236(4) Å, whereas 27 of the 30  $\text{Au}_s$ – $\text{Au}_s$  bonds fall in the range of 2.7155(7)–3.0272(5) Å, leaving the remaining three  $\text{Au}_s$ – $\text{Au}_s$  separations to be 3.4995(5) Å, which are much longer than those observed in many other phosphine-protected Au nanoclusters and thus do not belong in the classic bonding range for aurophilic interactions.<sup>16</sup> Although phosphines are deemed as one of the best types of ligand to stabilize gold nanoclusters, and the one mostly used is triphenylphosphine,<sup>17</sup> diphosphine-protected (hereafter  $\text{Ph}_2\text{P}(\text{CH}_2)_m\text{PPh}_2 = \text{L}^m$  for short) gold nanoclusters with atomically-precise structural identification are still few, such as  $[\text{Au}_{11}(\text{L}^2)_6]^{3+}$ ,<sup>18</sup>  $[\text{Au}_{13}(\text{L}^2)_5\text{Cl}_2]^{3+}$ ,<sup>19a</sup>  $[\text{Au}_6(\text{L}^3)_4]^{2+}$ ,<sup>20</sup>  $[\text{Au}_8(\text{L}^3)_4]^{2+}$ ,<sup>21</sup>  $[\text{Au}_{11}(\text{L}^3)_5]^{3+}$ ,<sup>22</sup> and the largest is  $[\text{Au}_{22}(\text{L}^8)_6]^{2+}$ .<sup>23</sup> Dppm, as the shortest diphosphine ligand, has rarely been used to build gold nanoclusters; only two were reported previously,  $\text{Au}_5$  and  $\text{Au}_{13}$ .<sup>14</sup>

The most interesting feature of **SD/Au1** compared to the previous  $[\text{Au}_{13}(\text{dppm})_6](\text{NO}_3)_4$ <sup>14</sup> structure is the configuration of the  $\text{Au}_{13}$  kernel, which is an opened icosahedral cage in **SD/Au1** instead of a closed one. For a perfect icosahedron, it should have twofold, threefold, and fivefold rotation axes, but the latter is missing in **SD/Au1** due to the distortion. It is also worth noting that in  $[\text{Au}_{13}(\text{dppm})_6](\text{NO}_3)_4$ , both the  $\text{Au}_s$ – $\text{Au}_s$  and  $\text{Au}_c$ – $\text{Au}_s$  bond lengths are below 3.0 Å (2.75–2.98 Å). By comparing opened icosahedral **SD/Au1** and closed icosahedral  $[\text{Au}_{13}(\text{dppm})_6](\text{NO}_3)_4$  and  $[\text{Au}_{13}(\text{dppe})_5(\text{C}\equiv\text{CPh})_2]^{3+}$ ,<sup>19b</sup> we found that **SD/Au1** and  $[\text{Au}_{13}(\text{dppm})_6](\text{NO}_3)_4$  have the same ligand shell but different electron counts in the  $\text{Au}_{13}$  core, whereas **SD/Au1** and  $[\text{Au}_{13}(\text{dppe})_5(\text{C}\equiv\text{CPh})_2]^{3+}$  have different ligand shells but isoelectronic  $\text{Au}_{13}$  cores, which facilitated us to reasonably assign the distortion of the  $\text{Au}_{13}$  core to the synergistic effects of the electron count of the  $\text{Au}_{13}$  core and ligand size as well as the ligand arrangement on the surface. The current  $\text{Au}_{13}$  cluster carries five positive charges with eight valence electrons delocalized in “superatomic orbitals” ( $1\text{S}^21\text{P}^6$ ), which matches a major shell closing in the electron shell model.<sup>24</sup> Thus, **SD/Au1**·5Cl is the first gold nanocluster with an opened icosahedral  $\text{Au}_{13}$  kernel.

Considering the high oxidation state of **SD/Au1**, we also tried to reduce it by adding  $\text{NaBH}_4$  in  $\text{CH}_2\text{Cl}_2$  in the presence of  $^n\text{Bu}_4\text{NPF}_6$ , and as a result we isolated another  $\text{Au}_{13}^{5+}$  cluster, **SD/**

**Au3**·4PF<sub>6</sub>·Cl, which has almost the same structure as that of **SD/Au1**·5Cl, except for the difference in the counteranions. The synthesis and structural graphic of **SD/Au3**·4PF<sub>6</sub>·Cl are shown in the ESI.† These results indicate that an  $8e \text{ Au}_{13}^{5+}$  nanocluster cannot be reduced to a  $10e \text{ Au}_{13}^{3+}$  nanocluster and in turn support the oxidation state of **SD/Au1**. Due to the large thermal ellipsoids of two of the four PF<sub>6</sub><sup>−</sup> in the X-ray structure of **SD/Au3**·4PF<sub>6</sub>·Cl, we re-determined the number of PF<sub>6</sub><sup>−</sup> using <sup>31</sup>P NMR of HCl-digested **SD/Au3**·4PF<sub>6</sub>·Cl (Fig. S2†), which clearly showed the ratio of dppm (singlet,  $\delta = 28.40$  ppm) and PF<sub>6</sub><sup>−</sup> (heptet,  $\delta = -133.36, -137.01, -140.80, -144.19, -147.41, -151.17, \text{ and } -154.62$  ppm) to be 1 : 0.31 (calcd. 1 : 0.33), indicating a total of four PF<sub>6</sub><sup>−</sup> anions in **SD/Au3** and verifying the +5 oxidation state of **SD/Au3**.

In the presence of a  $\text{S}^{2-}$  releasing reagent during a similar synthesis as for **SD/Au1**·5Cl, we isolated crystals of **SD/Au2**·2Cl in benzene or  $\text{CH}_2\text{Cl}_2$  solvent. The single crystal structure analysis of **SD/Au2**·2Cl at 173 K reveals that it crystallized in an orthorhombic *Ccca* space group with a half molecule in the asymmetric unit. This nanocluster contains eight Au atoms, four dppm ligands, and two  $\text{S}^{2-}$  ions (Fig. 2a). The composition and charge state were further confirmed by the good comparison of the HR-ESI-MS which gave one intense peak at  $m/z$  1588.5774 that is assigned to the parent  $[\text{Au}_8(\text{dppm})_4\text{S}_2]^{2+}$  with a simulated isotopic distribution pattern and no other fragments were observed in the  $m/z$  range of 1000–2000 (Fig. 2b). A  $C_2$  axis passes through the  $\text{Au}_8$  cluster through the mid points of  $\text{Au1}$ – $\text{Au1}^i$  and  $\text{Au2}$ – $\text{Au2}^i$  (symmetric code:  $i = -x + 1.5, -y + 1, z$ ). **SD/Au2** is not a Au-centered polyhedral skeleton but has a “core + 4exo” structure exhibiting a heart shape. The octanuclear kernel in **SD/Au2** has a tetrahedral core and two pairs of

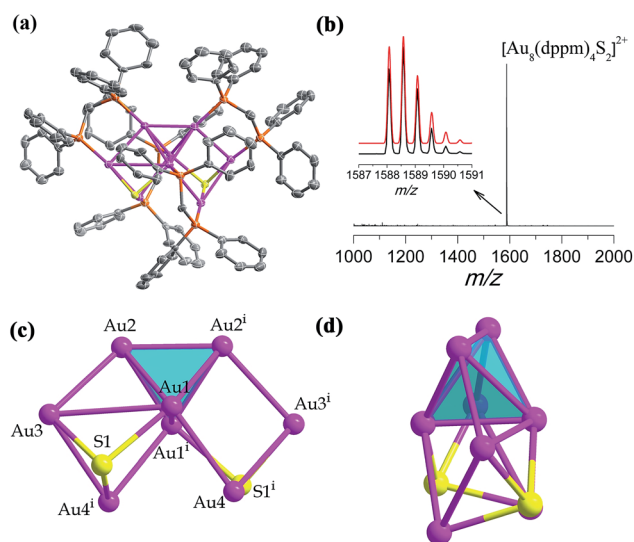


Fig. 2 (a) The X-ray crystal structure of cationic  $[\text{Au}_8(\text{dppm})_4\text{S}_2]^{2+}$ . Thermal contours are drawn at the 50% probability level. Color labels: purple, Au; orange, P; and gray, C. H atoms and  $\text{Cl}^-$  ions are omitted. (b) The positive mode of the HRESI-MS of **SD/Au2** dissolved in  $\text{CH}_2\text{Cl}_2$ . Inset: comparison of the measured (black trace) and simulated (red trace) isotopic patterns of  $[\text{Au}_8(\text{dppm})_4\text{S}_2]^{2+}$ . (c and d) Orthogonal views of the kernel structure of  $\text{Au}_8\text{S}_2$ .



*exo* gold atoms attached at the opposite edges through edge-sharing, thus being described as a  $\text{Au}_4 + 2(\text{Au}_2)$  type structure, as shown in Fig. 2c and d. The four *exo* Au atoms form rectangles by sharing an edge of the  $\text{Au}_4$  tetrahedron. The pure metallic kernel is further capped by two  $\mu_3\text{-S}^{2-}$  ions with Au–S distances of 2.297(4)–2.600(4) Å, giving the overall core structure of  $\text{Au}_8\text{S}_2$ . The Au–Au bonds within the tetrahedron are in the range of 2.6223(13)–2.8155(13) Å, which are shorter than that in metallic gold (2.88 Å). The core-to-*exo* distances (Au1–Au4 = 2.9907(9) Å and Au2–Au3 = 2.9269(9) Å) are markedly longer than those in the tetrahedron. Each dpmm ligand as a bidentate bridge links one Au atom in the tetrahedron and one in an *exo* position to consolidate this “core + 4*exo*” structure (Au–P: 2.263(4)–2.320(4) Å). Several  $\text{Au}_8$  nanoclusters with different kernel geometries have been previously reported, such as capped centered chair-like  $[\text{Au}_8(\text{PPh}_3)_7]^{2+}$ <sup>25a</sup> and  $[\text{Au}_8(\text{PPh}_3)_8]^{2+}$ ,<sup>25b</sup> [bitetrahedron + two]-type  $[\text{Au}_8(\text{dppp})_4\text{Cl}_2]^{2+}$ ,<sup>21</sup>  $[\text{Au}_8(\text{dppp})_4(\text{C}\equiv\text{CPh})_2]^{2+}$ ,<sup>26</sup> and tritetrahedral  $[\text{Au}_8(\text{dppp})_4]^{2+}$ ,<sup>21</sup> however, a “core + 4*exo*” structure type has not been isolated hitherto. The closest case is  $[\text{Au}_8(\text{PMes}_3)_6]^{2+}$  reported by Sharp *et al.* in 1994,<sup>27</sup> and it also has a tetrahedral core like in **SD/Au2** but with two pairs of Au atoms appended on the vertices, and not the edges, of the tetrahedron. The current  $\text{Au}_8$  cluster carries two positive charges, thus the total number of free valence electrons is calculated to be 2 ( $n = 8 - 4 - 2$ ), the smallest magic electron count. The formation of such a special  $\text{Au}_8$  cluster different from the other  $\text{Au}_8$  cousins found before may be caused by the coordination of the  $\text{S}^{2-}$  ligand, and its stability should be attributed to the jellium electronic shell closing of  $1s^2$ .

### The optical properties and time-dependent DFT (TDDFT) calculations of **SD/Au1**·5Cl and **SD/Au2**·2Cl

**SD/Au1**·5Cl can be dissolved in  $\text{CH}_2\text{Cl}_2$  and ethanol, whereas **SD/Au2**·2Cl can be dissolved in methanol, acetonitrile and  $\text{CH}_2\text{Cl}_2$ . In these solvents, both **SD/Au1** and **SD/Au2** keep their cluster integrity without any disassembly, as shown by their parent ion peaks in the HR-ESI-MS (Fig. S3†). As revealed by time-dependent UV-vis spectra, no obvious changes were observed after their solutions were stored under ambient conditions for two weeks (Fig. S4†), indicating that both **SD/Au1** and **SD/Au2** are quite stable in solution. The optical absorption spectra of **SD/Au1** and **SD/Au2** were recorded in  $\text{CH}_2\text{Cl}_2$  solution and are shown in Fig. 3. The absorption spectrum of **SD/Au1** showed tail-and-hump spectral features that comprised a strong absorption band in the UV region and a relatively weak shoulder peak at 440 nm tailing to the red region of the spectrum, whereas only two peaks at 327 and 342 nm were observed in the absorption spectrum of **SD/Au2** and no obvious peaks could be detected in the visible region. As discussed by Konishi,<sup>10b</sup> the absorption spectra of gold clusters are mainly influenced by the kernel nuclearity but far less so by the phosphine ligands. As shown in Table S7,† the absorption bands of  $\text{Au}_{13}$  clusters reported by Mingos and Konishi are very similar at ~340 and ~430 nm, whereas the absorption peak of **SD/Au1** shifted to 440 nm, which suggested that the absorption spectra of gold

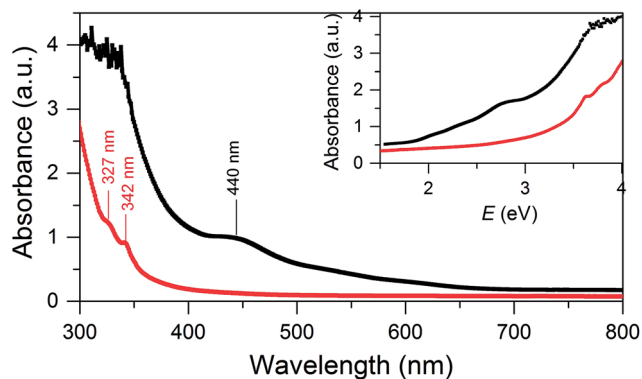


Fig. 3 UV-vis absorption spectra of the Au nanoclusters **SD/Au1** (black) and **SD/Au2** (red). Inset: optical absorbance vs. photon energy (eV).

clusters are not only influenced by the kernel nuclearity but also the configuration of the core even with the same nuclearity.

Density functional theory (DFT) calculations were performed to analyze the electronic structures and optical absorptions of the clusters **SD/Au1** and **SD/Au2**. The calculated time-dependent DFT (TDDFT) spectrum for **SD/Au1** is shown in Fig. 4a. According to Fig. 4a and Table S3,† it is clear that there is a set of high and medium intensity peaks in the range of 401–484 nm (2.5–3 eV). The experimental peak observed at 440 nm is also clearly visible in the calculated absorption spectrum with an oscillator strength of 0.021–0.023 (Table S3†). The most probable transitions involved in the excitation around 440 nm are HOMO–2 → LUMO+2 and HOMO–2 → LUMO+3 with other transitions being less significant. The HOMO–2, LUMO+2, and LUMO+3 orbitals are gold core-based 6sp mixed orbitals with

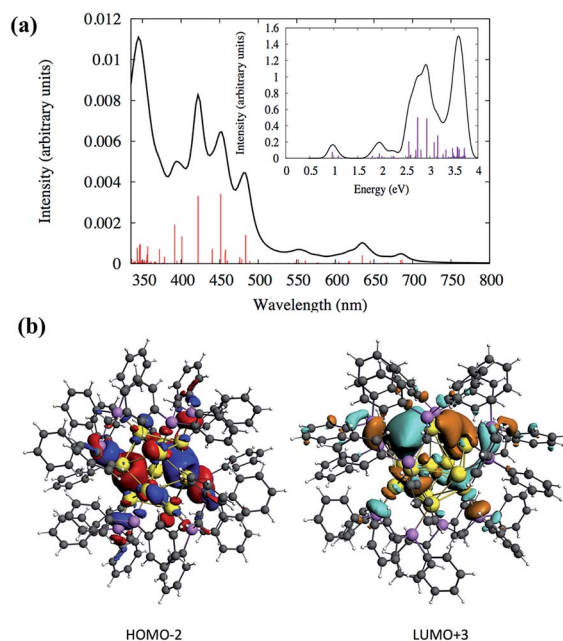


Fig. 4 (a) Calculated TDDFT UV-vis absorption spectra of the Au nanocluster **SD/Au1**. Inset: optical absorbance vs. photon energy (eV). (b) The calculated HOMO–2 and LUMO+3 orbitals of **SD/Au1**.



small contributions from the p orbitals from phosphorus (Fig. 4b). The HOMO–2 orbital has superatomic P character, as expected from the electron count of 8 in the core; similarly, the LUMO+3 has superatomic D-like character. A few low energy peaks were also observed in the calculated spectrum between 500–700 nm (1.7–2.4 eV) which could correspond to the very small peaks observed experimentally in a similar energy range. All of the relevant most probable transitions are shown in Table S3.† Apart from that, the theoretical calculation could identify a peak around  $\sim 0.97$  eV (1278 nm) which is the HOMO  $\rightarrow$  LUMO transition (Fig. 4a and Table S3†). The HOMO and LUMO orbitals arise from gold 6s orbitals based in the core.

Similarly, the TDDFT absorption spectrum for the cluster **SD/Au2** was also analyzed, as shown in Fig. 5 and Table S4.† The experimental absorption spectrum could only identify two peaks around 327 and 342 nm, whereas the theoretically calculated spectrum demonstrates similar high intensity peaks around the same energy range (Table S4†). According to the calculated spectrum (Fig. 5 and Table S4†), a high intensity peak is predicted to arise around 408 nm ( $\sim 3.0$  eV) with a high oscillator strength of 0.033 which has HOMO  $\rightarrow$  LUMO+25 (Table S4†) as the most probable transition contributing to that peak. Due to the underestimation expected for the level of theory used in this work, this peak could correspond to the 342 nm peak observed experimentally. The deviation in the peak positions is similar to the underestimation/overestimation observed for this level of theory in previous work.<sup>28</sup> This HOMO  $\rightarrow$  LUMO+25 transition originates out of an orbital based on atomic p orbitals from sulfur atoms mixed with d orbitals of the gold core into the  $\pi^*$  orbitals of the phenyl rings (Fig. 5b). The HOMO is not a superatomic orbital because the superatomic S orbital (corresponding with 2 core electrons) usually lies lower in energy than the gold d and ligand atomic orbitals.

From Fig. 5a, two other high intensity peaks are visible around 308 nm (4.0 eV) and 354 nm (3.5 eV) with higher oscillator strengths. The peak around 308 nm has HOMO–7  $\rightarrow$  LUMO+5 and HOMO  $\rightarrow$  LUMO+36 as the most probable transitions. The occupied orbitals have p atomic orbital character from sulfur which is mixed with d orbitals of the gold core while the unoccupied orbitals have  $\pi^*$  character from the phenyl rings which is mixed with the p and s orbitals of gold and p of phosphorus and sulfur. Fig. 5b shows the shapes of some orbitals involved in the transitions. The HOMO–1  $\rightarrow$  LUMO+34 and HOMO–2  $\rightarrow$  LUMO+24 transitions are mainly contributing to the peak at 345 nm. Here, the HOMO–2 and HOMO–1 have the p character from phosphorus and sulfur and have a small contribution from the d orbitals of the gold core atoms. The unoccupied orbitals have  $\pi^*$  character from the phenyl rings with the p and s orbitals of gold mixed with p of phosphorus. The experimental spectrum did not identify any peaks in the visible range (400–700 nm) for the cluster **SD/Au2**. Even so, the calculated spectrum for **SD/Au2** shows that several other weak peaks are located at 421, 447, 544 and 594 nm, although these may be too broadened to be noticeable in experiment. All of the relevant transitions are shown in Table S4.†

### The photoluminescence properties of **SD/Au2**·2Cl

We investigated the photoluminescence properties of **SD/Au1**·5Cl and **SD/Au2**·2Cl in the solid state at room temperature, and only **SD/Au2**·2Cl was actively luminescent, displaying yellow emission at 591 nm under an excitation of 370 nm (Fig. 6a). The absolute luminescence quantum yield obtained by using an integrating sphere is about 4.57% with the emission decay lifetimes on the microsecond scale ( $\tau_1^{293\text{ K}} = 1.43$  and  $\tau_2^{293\text{ K}} = 7.10$   $\mu\text{s}$ ), indicating a phosphorescent nature (Fig. S5†). Upon gradual cooling to 93 K, the emission maxima blue-shift from 591 to 581 nm along with a 3-fold intensity enhancement. The yellow emission can be tentatively assigned to a  $\text{S}^{2-}$  (or dppm)  $\rightarrow$  Au charge transfer triplet state, probably with some mixing of a metal-centered (ds/dp) state.<sup>29</sup> A linear correlation with the function of  $I_{\text{em}} = -808.0317 + 250.600$  and a correlation coefficient of 0.98 was constructed between the emission intensity and temperature (Fig. 6b). To clarify the mechanism of the temperature-dependent emission behaviors of **SD/Au2**·2Cl, we collected variable-temperature SCXD data for the same crystal at 93, 183, 243, 273, and 293 K (Table S5†). The results firstly ruled out the possible phase transition dependent emission changes as indicated by the similar unit cell parameters as well as the invariable space group. Upon cooling, we found that the average Au–Au bond distances in **SD/Au2** stay almost constant within the margin of error in the 93–293 K range (Fig. S6†). Such small variations of the Au–Au separation may not significantly influence the auriphilicity-related metal-centered (ds/dp) state, thus no red-shifted emission upon cooling was observed. Therefore, the cooling-induced emission blue-shift and intensity boosting should be caused by the enhanced rigidity of the  $\text{Au}_8$  cluster, which is in turn supported by the elongated lifetime ( $\tau_1^{93\text{ K}} = 10.08$  and  $\tau_2^{93\text{ K}} = 19.60$   $\mu\text{s}$ ) at low temperature.<sup>30</sup> Compared to non-emissive  $\text{Au}_{13}$  with the

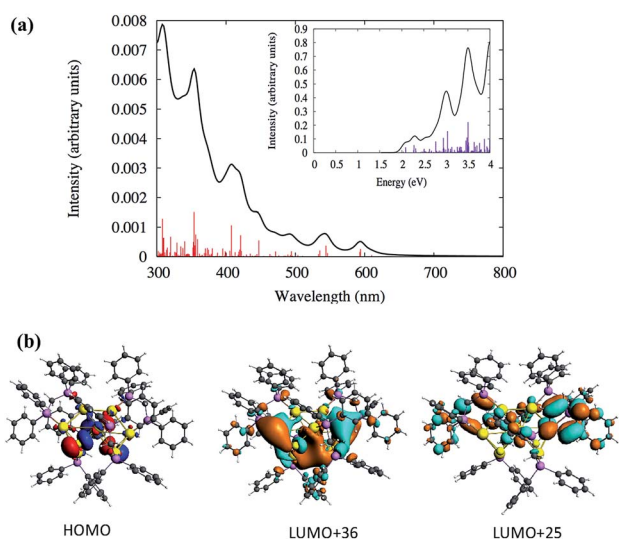


Fig. 5 (a) Calculated TDDFT UV-vis absorption spectra of the Au nanocluster **SD/Au2**. Inset: optical absorbance vs. photon energy (eV). (b) The calculated HOMO, LUMO+36 and LUMO+25 orbitals of the cluster **SD/Au2**.



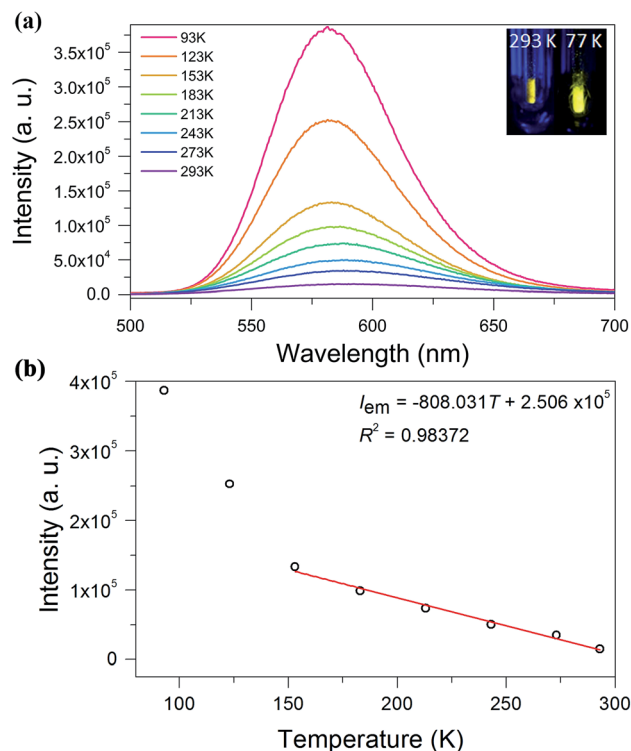


Fig. 6 (a) The luminescence spectra of the cluster **SD/Au2** recorded in the solid state from 293 to 93 K under an excitation of 370 nm. Inset in the top right corner: photographs of the solid sample of **SD/Au2** excited by a hand-held UV lamp (365 nm) at room temperature and at 77 K. (b) The correlation between the temperature and emission intensity at 153–293 K.

same dppm protection shell, we can find that both the core structures and the incorporation of  $S^{2-}$  can affect the radiative path and efficiency of the luminescence.<sup>31</sup>

### Electrochemistry of **SD/Au1·5Cl** and **SD/Au2·2Cl**

Electrochemical study can provide rich information related to the properties of highly monodisperse metal nanoparticles, especially with regards to HOMO–LUMO energy gaps determined from the difference value between the first oxidation and reduction peaks in differential pulse voltammograms or, equivalently, the  $E_{1/2}$  potentials for these redox couples in cyclic voltammograms.<sup>32</sup> Differential pulse voltammetry (DPV) was performed to investigate the electrochemical properties of the nanocluster **SD/Au1·5Cl** and **SD/Au2·2Cl** at room temperature in  $CH_2Cl_2$  solution (0.1 M  $nBu_4NPF_6$  as electrolyte). As shown in Fig. 7a, the electrochemical energy gap of 1.66 V is in excellent agreement with that derived from the UV-vis spectroscopy data of 1.68 eV (440 nm) for **SD/Au1·5Cl**. We note that such an energy gap of  $Au_{13}$  is 0.10 eV smaller than that of a fully-closed icosahedral  $Au_{13}(PPh_3)_4(SC_{12}H_{25})_2Cl_2$  cluster.<sup>33</sup> The energy gap deduced from the DPV (Fig. 7b) of the  $Au_8$  cluster is 1.82 V, which is significantly smaller than 2.86 eV (342 nm) deduced from the experimental UV-vis spectrum of **SD/Au2·2Cl**. The theoretical calculation for cluster 2 predicted a HOMO–LUMO gap of 2.019 eV using the LB94/DZ level of theory. An optical gap

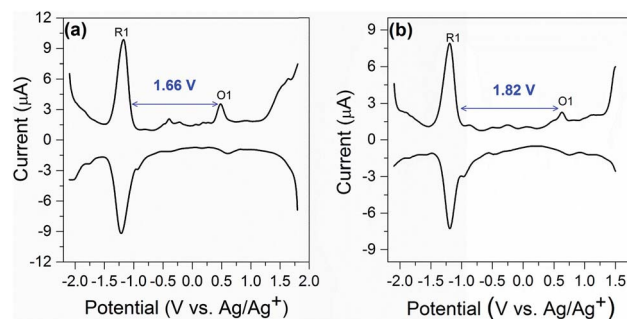


Fig. 7 Differential pulse voltammetry spectrum of **SD/Au1·5Cl** (a) and **SD/Au2·2Cl** (b).

of 2.032 eV is calculated for the first excited energy state ( $S_1$  state). Due to its low oscillator strength, it is not observed in the experimental spectrum, but lies close to the energy gap deduced from DPV. The difference between the electrochemical gaps and optical absorption of **SD/Au2·2Cl** is ascribed to the fact that the lowest energy excited state is not observed in the experimental UV-vis spectrum.<sup>34</sup> The electrochemical energy gap of the  $Au_{13}$  cluster is smaller than that of the  $Au_8$  cluster, suggesting that their electronic structures are distinctively different and depend on the nuclearity and geometry of the metallic cores.

## Conclusions

In conclusion, we have successfully synthesized two new small gold nanoclusters:  $[Au_{13}(dppm)_6]^{5+}$  and  $[Au_8(dppm)_4S_2]^{2+}$ . The atomically-precise structures of these two nanoclusters were determined by single-crystal X-ray crystallography. The frameworks of **SD/Au1** and **SD/Au2** contain an opened  $C_3$ -symmetric icosahedral  $Au_{13}$  kernel and a heart-shaped  $C_2$  symmetric  $Au_8S_2$  core with a new “core + 4exo” structure type, respectively. The correlations between the electronic structures and optical absorption spectra are revealed by TDDFT calculations. The stability of the  $Au_{13}$  and  $Au_8$  nanoclusters can be ascribed to 8- and 2-electron superatoms with  $1S^21P^6$  and  $1S^2$  configurations, respectively. More interestingly, the cluster **SD/Au2** exhibits bright yellow luminescence and a temperature-induced hypsochromic shift. This study thus not only enriches the structures of ultrasmall gold nanoclusters but also provides fundamental insights into their electronic structures and luminescence properties.

## Conflicts of interest

There are no conflicts to declare.

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