One-step heat treatment to process semi-coke powders as an anode material with superior rate performance for Li-ion batteries

Ming Shi, Yuanzhen Chen, Hua Wen and Yongning Liu

1. Introduction

Graphite (mainly synthetic) is viewed as one of the mainstream anodic materials in commercial lithium ion (Li-ion) batteries, due to its relatively high capacity (theoretical capacity: 372 mA h g⁻¹), long cycling life, and high coulombic efficiency. Graphitizable carbon materials, such as petroleum coke, meso-carbon microbeads (MCMBs) and mesophase pitch-based carbon fibers (MPCFs), are currently the main precursors for synthetic graphite. Hard carbon materials can also be used as Li-ion battery anodes. Recently, MCMBs have attracted the most attention in academia and commerce, because of their exceptional electrochemical performance.

Usually, MCMBs are prepared by two routes: thermal condensation and emulsification. For thermal condensation, a compound of pitches needs to be heated to around 400 °C with stirring, and the temperature is maintained for a while before the compound is cooled to form the microbeads. Then the microbeads are extracted from the cooled compound using an organic solvent. In the emulsification method, the pitches are first pulverized into powders, then dissolved into a medium such as silicone oil and heated to 300–400 °C with stirring and ultrasonic vibration to form an emulsion. After cooling to room temperature, the microbeads are extracted with centrifugation. After this, a graphitization process, at above 2800 °C under an argon flow, is necessary to produce an anodic material for Li-ion batteries. All the processes are high cost and cause pollution in mass production.

In the graphitization of MCMBs, MPCFs and graphite, boron is often used as a doping element, which leads to the intermediate formation of carbides, and promotes graphitization at a lower temperature. It has been reported that boron can be added to graphite as a solid solution (max. 2.35 at%, about 2.12 wt%) or as a second phase, B₄C, when the content is more than 2.35 at%. Because the substitutional boron in the carbon lattice acts as an electron acceptor, the carbon materials give a better electronic performance in lithiation and delithiation. Jang et al. synthesized carbon nanofiber/graphite compounds by chemical vapor deposition (CVD) and the anode material could deliver the discharge capacity of 359 mA h g⁻¹ at 1C, keeping 90% of the initial capacity. However, the lower first coulombic efficiency (65.8%) limited the further application of these compounds to a large extent. Ohta et al. prepared natural graphite by coating carbon to perfect the electrochemical performance. The sample displayed a high capacity of 360 mA h g⁻¹ but showed an irreversible capacity of 30 mA h g⁻¹. Camean et al. studied the properties of different series of coal ash treated at 2700 °C; the results proved that man-made graphite can retain 310 mA h g⁻¹ after 50 charge/discharge cycles at 0.1C, but the capacity cannot satisfy increasing demand.

This paper aims to prepare negative materials for Li-ion batteries using semi-coke (SC) powders. The SC is fired from high quality Jura coal block produced from the Shenmu coal field of China, and is different from general coke. For example, the SC can be produced at a temperature of 600 °C, while the general coke is produced at ca. 1000 °C. Additionally, SC has a rich carbon content, high chemical activation and low ash content, and it has been widely used in metallurgy, chemistry, gas generation and other industries. Generally, the size of SC
products is over 3 mm for convenience of transport and use, and the SC powders with sizes below 3 mm are abandoned as solid waste. The goal and value of this work is to turn waste into treasure.

In the industrial production of anode materials, the precursors (e.g. petroleum based cokes, coal based cokes, natural graphite) which have high carbon content (>90 wt%) are usually used to prepare commercial anodes, such as MCMBs, meso-carbon microfibers (MCMFs) and pure graphite. Usually, MCMBs and MCMFs obtain high rate performance and specific capacities of <340 mAh g\(^{-1}\) \(^{27,38}\). The SC powders contain more solid impurities such as SiO\(_2\), Al\(_2\)O\(_3\), and CaO etc., and so their carbon content (ca. 85 wt%) is lower than that of the above mentioned precursors. Therefore, it is crucial to decrease the content of impurities and improve the graphitization of SC powders. In this work, a simple one-step heat treatment has been developed by which graphitization and impurity elimination can be simultaneously accomplished. The modified SC powder shows good discharge capacity and cycle performance. The preparation of the SC powders as a precursor for an anode material eliminates the production process required for MCMBs, which is beneficial for energy saving and cost saving, and does not cause chemical liquid pollution.

2. Experimental

2.1 Graphitization of raw SC

The raw SC powders were pre-processed by ball-milling for one hour with a ball-powder weight ratio of 4 : 1. Then, the powders were treated with temperatures ranging from 2100 to 2700 °C for one hour under argon gas protection.

2.2 Electrochemical tests

The high-temperature treated SC powders, Super P, and PVDF (99%, Sinopharm) were mixed with N-methyl-2-pyrrolidone (NMP, 99%, Sinopharm) solvent at the weight ratio of 84 : 6 : 10. After mechanical mixing by magnetic stirring, the homogeneous slurry was coated onto a copper foil as the anode. After the completion of the above steps, the anode was dried at 80 °C in a vacuum for 24 hours.

The coated copper foil was cut into a small disc with a diameter of 12 mm. The loading of the SC sample was in the range of 1.8–2 mg cm\(^{-2}\) (weighed using a METTLER TOLEDO ME53 balance with accuracy of 0.01 mg); the thickness of each electrode was about 25 µm. A CR2025 coin-type testing cell was assembled in an argon-filled glove box using a lithium tablet (JinNiu Co., Ltd, China), and a porous polyolefin as a separator (Celgard 2400). The assembled cells were sealed with about 0.08 mL electrolyte per cell.

The assembled batteries were tested at current densities of 0.1 and 1C (1C = 372 mA g\(^{-1}\)) in the voltage range from 0.01 to 2 V using a testing machine (BTS-5 V/5 mA, Neware Co., Ltd, China) at room temperature. The mass of the whole anodic material (SC, Super P and PVDF) was used to calculate the applied current. The calculation of capacity was based only on the mass of SC.

2.3 Characterization

The components and structure of the processed SC were examined using scanning electron microscopy (SEM, JEOL JEM-35C), transmission electron microscopy (TEM, JEM-F200) and X-ray diffraction (XRD, RIGAKU D/MAX-2400). The percentage contents of the samples were tested by inductively coupled plasma-atomic emission spectrometry (ICP-AES, iCAP 6300, Thermo Elemental). The Brunauer–Emmett–Teller (BET) equation was used to calculate surface areas.

3. Results and discussion

3.1 Performance of raw SC

Fig. 1 gives SEM (a and b) and TEM (c and d) images of the pristine SC. It is apparent that the raw SC has a particle size of about 5 µm and many tiny granules, showing the irregular morphology. As shown in Fig. 1d, the SC is amorphous. The primary impurities in the raw SC are various oxides such as SiO\(_2\), Al\(_2\)O\(_3\), CaO (Fig. 2a) and the total content of oxides is around 15.1 wt%, contrasting with the 99.99% carbon content of commercial graphite (CG). Fig. 2b shows the XRD pattern of the raw SC powders. There is no peak at 26.38° for the (002) plane of graphite, however, a bump appears in the range from 18 to 30°, which suggests that the raw SC is in an amorphous state. The characteristic peaks of the most abundant oxides in Fig. 2a occur in this curve too. The first charge and discharge curves of the raw SC and CG at the current density of 0.1C are shown in Fig. 2c. The discharge/charge capacities of CG are 366.7 and 343.6 mA h g\(^{-1}\) indicating a coulombic efficiency of 93.7%. Unlike the CG, the raw SC has no plateau in its discharge or charge processes. The lithiation capacity is 791.9 mA h g\(^{-1}\), and the delithiation capacity is only 312.0 mA h g\(^{-1}\) giving a coulombic efficiency of 39.4%, which is lower than that of CG. The curves of the raw SC for both the discharge and charge processes have a significant slope, which is caused by the amorphous structure. Fig. 2d shows the cycle performance of the raw SC and CG. The capacity retention of the raw SC is 71.4% after 10 cycles, which is much lower than that of CG (95.3%). The electrochemical performance indicates that the raw SC is not appropriate for use as an electrode material for a Li-ion battery.

3.2 Heat treatment

As analyzed above, the graphitization degree of a sample exerts a significant effect on the electrochemical performance of the anode material. Therefore, it is necessary to study the influence of the heat treatment temperature on the graphitization degree and electrochemical performance of the SC samples. In this part, different temperatures from 2100 to 2700 °C were used to process the raw SC. Fig. 3a shows the XRD patterns of the samples treated at different temperatures. With increasing temperature, the (002), (100), (101), (004) and (110) peaks of graphite gradually become obvious, especially that of (002),
which indicates that the temperature greatly influences the graphitization. The peaks at 36.65° in the curves of 2100 and 2300 °C indicate that there is a small amount of SiC. However, no SiC peak appears when the temperature is over 2500 °C, when SiC sublimes.\textsuperscript{a1} Fig. 3b shows the graphitization parameters, such as the spacing of the (002) crystal plane ($d_{(002)}$), the full width at half maximum (FWHM) of the (002) and (110) crystal planes (FWHM\textsubscript{(002)} and FWHM\textsubscript{(110)}), and the graphitization degree ($g$) which is calculated by the classic Maire and Mérings equation:\textsuperscript{6,13} $g = \frac{3.44 - d_{(002)}}{3.44 - 3.354}$. Obviously, the $d_{(002)}$ spacing, FWHM\textsubscript{(002)} and FWHM\textsubscript{(110)} all decrease and $g$ increases with rising temperature, indicating that the sample obtains a high graphitization degree at high temperature. The first discharge/charge cycle curves of the samples at the current density of 0.1C are shown in Fig. 3c. It is found that the specific capacity of the sample treated at 2100 °C is lower than that of the other samples in the plateau region (0–0.25 V), because that sample is more amorphous than the other materials. The charge capacity increases slightly with increasing temperature, and it peaks at 322.8 mA h g\textsuperscript{-1} with the temperature of 2700 °C. The highest coulombic efficiency is 99.7%. The capacity decline rate (300\textsuperscript{th} cycle capacity/the highest capacity) is only 5.2%. All the electrochemical properties are summarized in Fig. 2f. According to the above analysis, the graphitization degree increases with rising temperature. However, there is no enhancement in the corresponding cycle properties. In this study, a new parameter $f = \frac{\text{capacity (300th cycle)}}{\text{temperature}}$ was defined to describe the energy efficiency. As shown in Table 1, parameter $f$ reaches its peak at a temperature of 2300 °C, which indicates that this is the best temperature for heat treatment. It is suggested that at this temperature, an appropriate ratio of amorphous and graphitic structures exists, which gives the best performance.

### 3.3 The effect of boron doping

In this section, boron powder (99%, Sinopharm) was mixed using an agate mortar with the SC at pre-set weight ratios after ball-milling, and the mixed powder was then heat treated using the one-step process at 2300 °C. The effect of different boron doping levels on structure and electrochemical performance was examined through XRD and electrochemical tests. The XRD patterns of the processed SC powders with various amounts of
Fig. 2  (a) The primary percentage content of constituents in the raw SC. (b) XRD pattern of the raw SC. (c) The first discharge/charge cycle curves and (d) cycle performance of CG and the raw SC at a current density of 0.1C.

Fig. 3  (a) XRD patterns of the SC after processing at different temperatures: 2100 °C, 2300 °C, 2500 °C, and 2700 °C. (b) The crystal parameters of samples treated at different temperatures. The electrochemical performance of SC powders after processing at different temperatures: (c) the first discharge/charge cycle curves at 0.1C; (d) the first discharge/charge cycle curves at 1C; (e) cycle performance repeating 5 cycles at 0.1C followed by 50 cycles at 1C in cycle testing. (f) The electrochemical testing data of samples treated at different temperatures.
added boron are shown in Fig. 4. A clear peak near 26.38°/C14 in all the patterns in Fig. 4a shows that the samples achieve a good graphitization degree following the treatment. The left shift of the peaks from 26.38°, shown in Fig. 4b, indicates that the (002) plane of the graphitized SC has an obvious distortion or intercalation of alloy elements between the (002) planes when compared with that of graphite. With increasing levels of boron doping, the peak deviation from 26.38° increases. Because the amount of boron doping is larger than 2.12 wt% in all samples, the excess boron in the graphite is in the form of B4C,21 which seems to make the interlayer space larger. With increasing boron doping content, the B4C peaks increase as shown in the patterns in Fig. 4c. The calculated crystal parameters of the samples are summarized in Fig. 4d. With increasing levels of boron doping, the interlayer spaces (d002), FWHM(002) and FWHM(110) all increase, and g decreases, indicating that the sample with the highest boron doping level has the lowest graphitization degree of the doped samples. In order to test the catalytic action of boron doping, the graphitization degree of the samples was calculated. The processed SC without boron doping has a graphitization degree of 37.8% while the 4% boron doped sample could reach 49%.

Table 2 gives the ash content of samples before and after heat treatment. It can be seen that the sample treated at 2300 °C has a low content of metallic impurities. During the heating process, plenty of metal impurities gradually melt and vaporize. For example, Al2O3 has a fusing point of 2050 °C, so when the temperature reaches nearly 2000 °C, the compound starts to melt and relatively pure graphite is obtained; this can be used as an anode material for Li-ion batteries and delivers superior electrochemical performance.

The morphology of a material also has an effect on its performance. Fig. 5 gives SEM (a and b) and TEM (c and d) images of the SC after being heat treated at 2300 °C. From the photos, it is apparent that the processed SC has a particle size of about 5 μm, and shows a more regular morphology than that of the raw SC. Also, we can see the lattice fringe in Fig. 5d, which indicates a high crystallinity. It suggests that treatment at the appropriate temperature has a positive effect on the crystallinity of the material. Fig. 6 presents the N2 adsorption/desorption profiles of the raw SC, the SC treated at 2300 °C and the boron doped SC treated at 2300 °C; the specific surface areas are 9.9897, 5.0377 and 2.2966 m² g⁻¹ corresponding to pore volumes of 0.045854, 0.024377 and 0.008662 cm³ g⁻¹,

![Fig. 4](image)

**Fig. 4** XRD patterns of samples with different levels of boron doping: 4 wt%, 6 wt%, 8 wt% and 10 wt% after treatment at 2300 °C: (a) the full range of 2θ values; (b) the 2θ range from 20° to 30°; (c) the 2θ range from 30° to 40°; (d) the crystal parameters of samples with different levels of boron doping.
respectively. Obviously, the specific surface areas of the samples change a lot with heat treatment, which may be attributed to the graphitization of the SC. The materials are promising for use as anodes in Li-ion batteries and show superior electrochemical properties. However, as a non-negligible character of electrode materials, tap densities are of great significance for the cells. A bigger tap density may result in a larger specific energy and higher specific capacity. Experimentally, the tap densities of the samples are shown to be 0.907, 0.867 and 0.918 g cm$^{-3}$. The density makes a great contribution to the excellent performance of batteries.

Fig. 7a displays the first discharge/charge cycle curves of all boron doped samples at the current density of 0.1C, and all samples show obvious plateaus in the range 0–0.25 V. The charge capacities, which represent the delithiation quantities, are all above 300 mA h g$^{-1}$. The sample with boron doping of 8 wt% has the best capacity of 351.5 mA h g$^{-1}$, while its coulombic efficiency is 83.2%. In addition, the sample with boron doping of 8 wt% shows the largest capacity at 1C, as shown in Fig. 7b. Fig. 7c shows the cycle performances of all samples. It is found that the first coulombic efficiencies of all samples are around 83% at 0.1C, and the second coulombic efficiencies increase to ~96%. In the following cycles, the coulombic efficiencies of all samples are close to 100% at the current density of 1C. Additionally, the sample with boron doping of 8 wt% shows the largest delithiation capacity of 314.4 mA h g$^{-1}$ after 300 cycles at 1C. Some important data are summarized in Fig. 7d. Compared with the sample without boron doping, the delithiation capacity is progressively enhanced for the first cycle with increasing levels of boron doping up to 8 wt%. Additionally, the sample with 8 wt% boron doping shows the highest capacity of 322 mA h g$^{-1}$ and maintains the highest capacity of 314.4 mA h g$^{-1}$ after 300 cycles, resulting in the lowest decline rate of 2.4%. Therefore, it seems that a larger interlayer space is good for lithiation/delithiation reactions in the appropriate graphitization degree range.
Fig. 8a gives the initial charge/discharge curves of the processed SC anode materials which were measured between 0.01 V and 2.0 V at a current density of 0.2C, 0.5C and 2.0C. As shown, the discharge capacity is 337.8 mA h g\textsuperscript{-1} for 0.2C and 325.8 mA h g\textsuperscript{-1} for 0.5C, and even when the current density reaches 2C, the capacity is still maintained at 245.1 mA h g\textsuperscript{-1}, displaying the excellent rate properties of the processed SC. Remarkably, no obvious decline in capacity is observed after 40 cycles at either of the current densities in Fig. 8b. Fig. 8c shows the rate and cycling performance of the sample at different current densities. It is seen that the capacity can recover to its initial performance level even after charging/discharging at a high rate of 5C. All of these results indicate superior electrochemical performance.

Fig. 9 illustrates the EIS curves of the raw SC, the SC treated at 2300 °C and boron doped SC treated at 2300 °C. It can be clearly seen that the impedance spectra contain an intercept at high frequency, a depressed semicircle at medium frequency and a straight line at low frequency. As we know, the high frequency intercept at the real axis represents the ohmic resistance (Re) of the cell, which mainly results from the electrode and electrolyte. Also, the semicircle in the medium frequency range is ascribed to the interface or charge-transfer resistance (R\textsubscript{ct}) at the anode-electrolyte interface. The straight line at low frequency corresponds to Warburg impedance, related to the diffusion of Li ions in graphite. A comparison of the curves further confirms the enhancement of electrochemical performance after processing the raw SC. The R\textsubscript{ct} of the boron doped sample displays the smallest semicircle diameter within the
medium frequency range among all of the materials, revealing that it gives the fastest Li⁺ transfers, which is conducive to the dynamics of an electrochemical reaction.

3.4 Impurity elimination

In this part, a pre-process for impurity elimination was employed. After ball-milling, the raw SC powder was mixed with NaOH (99.5%, Sinopharm), which can react with oxide impurities, at the weight ratio of 1 : 1. Then, the mixed sample was treated at 850 °C under argon gas protection for one hour. After the heat treatment, the powder was put into de-ionized water and stirred for two hours at 80 °C. The treated powder was filtered and washed with de-ionized water to pH-neutral. Lastly, the washed powder was dried at 80 °C in vacuum for 24 hours. After the treatment, the impurities were reduced by around 9 wt%. The dried powder was mixed with 8 wt% boron, and treated at 2300 °C for one hour. Table 3 shows the resistivity of the treated samples, which gives supporting evidence for the XRD patterns. Because of the high degree of graphitization, the CG has the lowest resistivity of 0.0011 Ω cm. The raw SC has the largest resistivity of 0.094 Ω cm. The processed SC, without impurity elimination, has a larger resistivity than the one treated for impurity elimination. This means that the elimination step is effective, which is consistent with the content percentage results given by ICP-AES, as shown in Table 4.

Table 3  Resistivity of CG, raw SC, and processed SC with and without impurity elimination

<table>
<thead>
<tr>
<th>Material</th>
<th>Resistivity (Ω cm)</th>
</tr>
</thead>
<tbody>
<tr>
<td>CG</td>
<td>0.0011</td>
</tr>
<tr>
<td>Raw SC</td>
<td>0.0940</td>
</tr>
<tr>
<td>Processed SC with elimination</td>
<td>0.0496</td>
</tr>
<tr>
<td>Processed SC without elimination</td>
<td>0.0512</td>
</tr>
</tbody>
</table>

*a The resistivity is measured using the method described by Yang et al.13*
Compared with primary SC, the samples without the impurity elimination process show an obvious loss of metal elements, but little change for Si. Additionally, the samples with and without the impurity elimination process show some differences in the percentage content of Si and Al, because the elimination process mainly aims to remove SiO$_2$ and Al$_2$O$_3$. Most of the other light metals have obviously decreased in percentage content because of their evaporation at high temperature. In addition, there are some Fe residuals which show as white dots in Fig. 10a and b, but the percentage content is decreased to a small value. The XRD patterns in Fig. 10c show that the structures of the samples with and without the elimination process are mainly graphitic and there is little SiC in either sample. The calculated g values are 0.42 and 0.45, and the FWHM values are 0.467° and 0.483° for the samples without and with impurity elimination respectively, displaying small differences in graphitization degree, which should be attributed to the graphitization promotion effect of some elements, such as Si, Al and Fe.

Fig. 11a shows the discharge/charge curves of the samples with and without impurity elimination at 0.1C, which are similar to each other. The sample with impurity elimination has a lower delithiation capacity of 342.0 mA h g$^{-1}$ compared with the one without elimination (351.5 mA h g$^{-1}$). The same trend is found at the current density of 1C, as shown in Fig. 11b. The cycle performances are shown in Fig. 11c. The sample with impurity elimination shows the highest specific
capacity of 310 mA h $^{-1}$ during cycling and the final dilithiation capacity is 266.3 mA h $^{-1}$ at the 300th cycle, corresponding to a decline rate of 14.1%. The sample without the impurity elimination process shows the highest specific capacity of 322 mA h $^{-1}$ during cycling and maintains a final dilithiation capacity of 314.4 mA h $^{-1}$, displaying a low decline rate of 2.4%. This indicates that the process of impurity elimination is not necessary. The heat treatment without impurity elimination can reduce chemical pollution, shorten the production period and reduce the cost.

4. Conclusions

Raw SC powders have an amorphous structure and contain 15 wt% impurities. One-step heat treatment at 2100–2700 °C can eliminate most impurities and simultaneously graphitize the SC powders. Additionally, boron doping can promote the graphitization of SC and micro-quantities of impurities. Comparison experiments show that the SC sample with 8 wt% boron doping and treated at 2300 °C displays the best electrochemical performance as an anode material. Its delithiation capacity reaches 351.5 mA h $^{-1}$ at 0.1C and 322 mA h $^{-1}$ at 1C, while the capacity is maintained at 314.3 mA h $^{-1}$ after 300 cycles at a 1C current with a decline rate of 2.4%.

Conflicts of interest
There are no conflicts to declare.

Acknowledgements
This work was supported by the National Natural Science Foundation of China (No. 51602246) and the Natural Science Foundation Research Project of Shaanxi Province (No. 2018JQ2002).

References
28 S. Hossain, Y. K. Kim, Y. Saleh and R. Loutfy, Comparative studies of MCMB and C-C composite as anodes for lithium-ion battery systems, *J. Power Sources*, 2003, 114, 264–276.