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# Facile synthesis of indole heterocyclic compounds based micellar nano anti-cancer drugs†

Imran Ali, <sup>ID</sup> \*<sup>ab</sup> Sofi Danish Mukhtar,<sup>a</sup> Ming Fa Hsieh,<sup>c</sup> Zeid A. Allothman<sup>d</sup> and Abdulrahman Alwarthan<sup>d</sup>

Facile synthesis of micellar “nano” indole heterocyclic anti-cancer compounds is described. The synthesized compounds (**11–23**) were characterized by UV-VIS, <sup>1</sup>H NMR, FT-IR and mass spectroscopy. The binding energies of DNA–compound adducts varied from –20.08 to –23.85 kJ mol<sup>–1</sup>, and they were stabilized by hydrophobic interactions and H-bonding. The synthesized compounds enter into minor grooves of DNA during adduct formation. The DNA binding constant of compounds **11–23** was 1.00 to 2.00 × 10<sup>5</sup> M<sup>–1</sup>. The drug-loading efficiency and drug-loading content in their micellar forms were recorded. Compounds **11**, **12**, **14** and **19** at a micellar concentration of 670 μL mL<sup>–1</sup> displayed excellent anticancer activities against the HepG2/C3A line (25–50%). The potency of nano anticancer drugs was predicted by drug likeness using Lipinski’s “rule of five”. Taken together, compounds **11–23** could be used to treat cancers.

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## 1. Introduction

Molecules such as cisplatin, 5-fluorouracil and taxol have been used to treat cancer but cannot cure cancers, especially for late-stage disease. Besides, these molecules show various serious side effects by damaging healthy body cells.<sup>1</sup> The most notorious problems with these drugs are a narrow therapeutic range, cytotoxicity and poor solubility.<sup>2</sup> Moreover, no single drug can cure different types of cancers.<sup>3,4</sup> These challenges can be addressed by developing “nano” anti-cancer drugs because they are cell-specific, targeted drugs that show few side effects.<sup>5–15</sup> Hence, different research teams, clinicians and regulatory authorities are looking for effective nano anti-cancer drugs.

Heterocyclic compounds appear to be most effective against various cancers.<sup>16,17</sup> Around 60% of the medications used for cancer are based on heterocyclic moieties.<sup>18</sup> Among the various heterocyclic moieties, nitrogen-based compounds have been found to be effective against different types of cancers.<sup>19</sup> Indoles (Fig. 1) are heterocyclic moieties with

a broad range of pharmacological properties, including anti-tumor.<sup>20–23</sup> Ahmad *et al.*<sup>24</sup> reported apoptosis of human cancer cells using indoles. Indoles have been shown to inhibit the proliferation of human cancer cells.<sup>25–27</sup> Liao *et al.*<sup>28</sup> showed that 2-aryl-3-substituted indoles derivatives were active against the breast cancer cell line MCF-7. Treatment with indoles or their derivatives [*e.g.*, I3C(indole-3-carbinol) and DIM (3,3′-diindolylmethane)] can result in the apoptosis of breast,<sup>29</sup> squamous cell carcinoma,<sup>30</sup> cholangiocarcinoma,<sup>31</sup> colon<sup>32</sup> and ovarian tumor cells.<sup>33</sup> These indolic heterocycles may be decisive anti-cancer agents for several human cancer cell lines.<sup>34</sup> Csipo *et al.*<sup>35</sup> studied the chemosensitization of indole moieties such as I3C and DIM.

Here, we synthesized a heterocyclic series ingrained with indolic moieties, followed by purification and characterization by column chromatography and spectroscopy. DNA binding studies of synthesized molecules were carried out and DNA binding constants calculated. The synthesized molecules were loaded onto micellar “nanocarriers”. The activities of the developed nano anticancer drugs were tested on HepG2(C3A) cell lines. Finally, Lipinski’s rule was applied to these synthesized compounds to ascertain if they could become anti-cancer drugs.

<sup>a</sup>Department of Chemistry, College of Sciences, Taibah University, Al-Medina Al-Munawara, 41477, Saudi Arabia. E-mail: drimran.chiral@gmail.com; drimran\_ali@yahoo.com

<sup>b</sup>Department of Chemistry, Jamia Millia Islamia (Central University), New Delhi-110025, India

<sup>c</sup>Department of Biomedical Engineering, Chung Yuan Christian University, 200, Chung Pei Rd., Chung Li, Taiwan

<sup>d</sup>Department of Chemistry, College of Science, King Saud University, Riyadh 11451, Kingdom of Saudi Arabia

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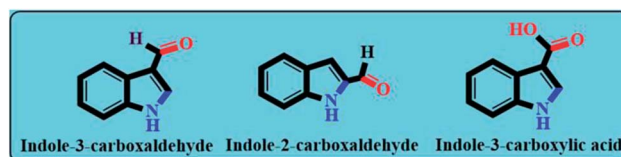


Fig. 1 Chemical structures of indole-3-carboxaldehyde, indole-2-carboxaldehyde and indole-3-carboxylic acid.

## 2. Experimental

### 2.1 Chemicals and reagents

Sulfuric acid, potassium hydroxide and formaldehyde of AR grade were purchased from Merck (Mumbai, India), as were LiChrosolv® chloroform, hexane and methanol. Indole-3-carboxaldehyde, 4-nitroaniline, 4-fluoroaniline, 4-bromoaniline, 4-chloroaniline, 4-methylaniline, 2-methylaniline and Ct-DNA were purchased from Spectrochem (Mumbai, India). Aluminum silica gel (60 F254) thin-layer plates were procured from Merck (Darmstadt, Germany). The human cancer cell line HepG2/C3A was from National Taiwan University (Taipei City, Taiwan). MTT [3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyl tetrazolium bromide] was obtained from Sigma-Aldrich (Saint Louis, MO, USA). Dulbecco's modified Eagle's medium (DMEM) and antimycotics/antibiotics were purchased from Gibco (Billings, MT, USA). Fetal bovine serum (FBS) was obtained from HyClone (Jülich, Germany). Poly( $\epsilon$ -caprolactone)-*block* poly(ethylene glycol)-poly( $\epsilon$ -caprolactone), abbreviated as PCL-PEG-PCL (nanocarrier) was obtained from Reinste Nano Ventures (New Delhi, India).

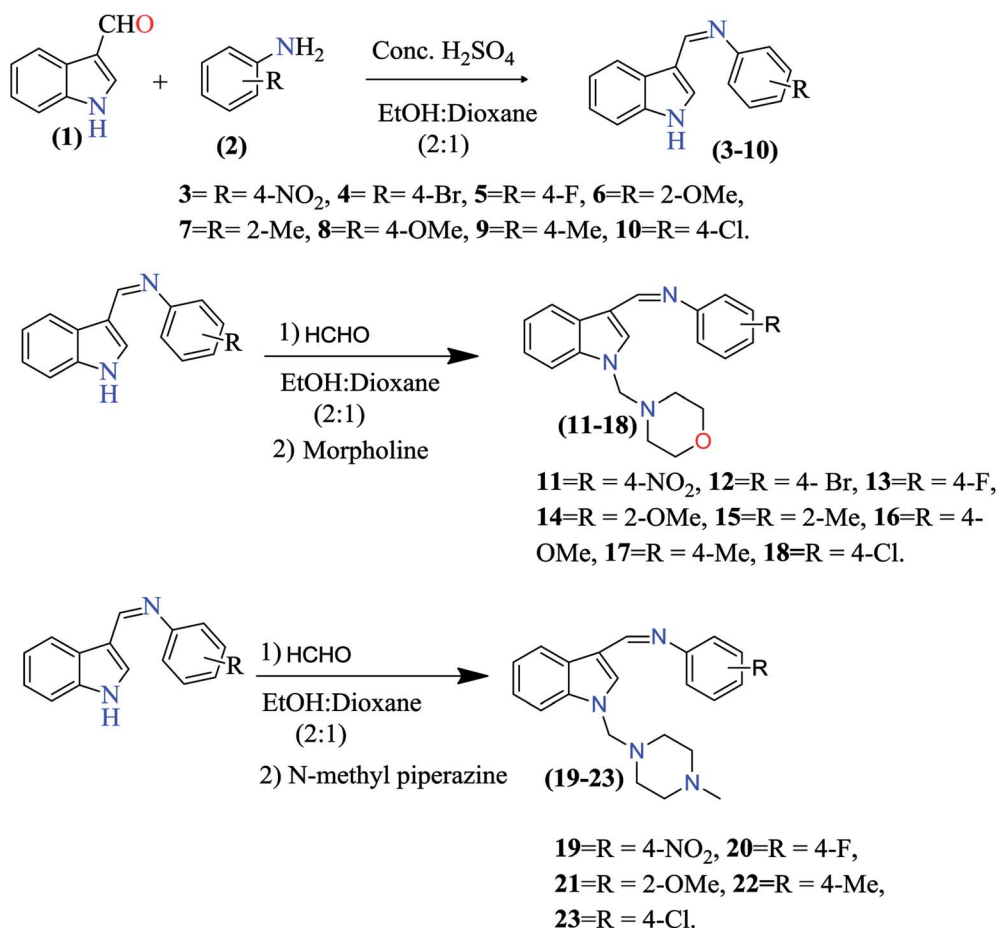
### 2.2 Instrumentation

Fourier transform infrared (FT-IR) spectroscopy was carried out using a RXIFT spectrometer (LR 64912C; PerkinElmer,

Waltham, MA, USA). A UV/VIS spectrometer (T80) from PG Instruments (Lutterworth, UK) was employed. A DPX300 300 MHz system (Bruker, Billerica, MA, USA) was used for NMR studies. A microTOF-Q II<sup>TM</sup> ESI-Qq-TOF mass spectrometer (10262; Bruker) was also used. Melting points were determined using a system developed by Veego Instruments (REC-22038; Mumbai). Deionized water was collected by a Milli-Q (Millipore; Bedford, MA, USA) water-purification system. Synthesized compounds were purified by flash chromatography using a pump (MP-200), UV detector and computer with CHEETAH software.

### 2.3 Wet chemistry

**2.3.1 Syntheses of the condensates of indole (3–10).** A clear solution of indole-3-carboxaldehyde (**1**) (10 mM) was prepared in ethanol/dioxane (2 : 1, v/v). Equimolar amounts of the corresponding aniline derivatives (**2**) [4-nitroaniline, 4-fluoroaniline, 4-bromoaniline, 4-chloroaniline, 4-methylaniline, 2-methylaniline] were added separately. These were stirred to obtain clear solutions. A few drops of concentrated H<sub>2</sub>SO<sub>4</sub> were added with constant heating under reflux for 4–6 h. The reaction was determined by thin-layer chromatography (TLC) using MeOH : CHCl<sub>3</sub> (1 : 3, v/v) solvent. Solid products started to precipitate and were filtered off after completion of the reaction.



Scheme 1 Synthesis of indole derivatives.



They were re-crystallized in an ethanol and dioxane (2 : 1, v/v) mixture to obtain pure products. The synthesized compounds (3–10) are shown in Scheme 1.

**2.3.2 Syntheses *N*-substituted indoles (11–23).** A solution (15 mL) of indole *N*-(1*H*-indol-3-yl methylene)-4-nitroaniline<sup>3</sup> (10 mM) was prepared in a mixture of ethanol and dioxane (2 : 1, v/v). Then, formaldehyde (40%; 1.5 mL) and morpholine (10 mM; 0.087 mL) in ethanol were added to the solution. Then, the mixture was stimulated for 3–4 h and left overnight. The solid was collected by filtration and recrystallized from ethanol and dioxane (2 : 1, v/v) to yield **11**. Compounds **12–23** were synthesized by the same procedure used in the preparation of compound **3**. Compounds having *Z*-geometry of C=N were formed because of the peak in IR spectra (*i.e.*, only *Z/cis* can show a peak in IR spectra). Also, the synthesized compound was stable in biological conditions. The purity of the synthesized compounds was ascertained by column chromatography and flash chromatography.

**2.3.3 Encapsulation of synthesized compounds (11–23) in PCL-PEG-PCL nanocarriers.** These compounds (3.0 mg each) were dissolved in 1.0 mL of a binary solution of THF : DMSO (1 : 1, v/v), separately, as was 20 mg of the polymer (PCL<sub>60</sub>-PEG<sub>40</sub>-PCL<sub>60</sub>). The compounds and polymer solutions were mixed in 3.0 mL of double-distilled water and stirred for 3 h. The resultant samples were placed in dialysis bags (molecular weight (MW) cutoff = 8000) and dialyzed for 24 h to obtain micellar solutions, the formation of which was confirmed by UV-VIS spectrometry by determining  $\lambda_{\text{max}}$ .

## 2.4 Docking studies

Docking studies were carried out as per standard protocol.<sup>36–38</sup> Heterocyclic molecules containing nitrogen, oxygen and sulfur

atom interact with the major and minor grooves of DNA.<sup>39</sup> The synthesized compounds contained the same number of nitrogen and sulfur atoms. These heteronuclear compounds tend to interact with DNA, as shown by docking studies theoretically and by DNA binding experimentally. Also, the cancer-causing genes (*i.e.*, oncogenes) are also present on DNA. “DNA intercalation” refers to insertion of molecules into DNA bases and cause frameshift mutations. Our synthesized compounds could act as DNA intercalators.

## 2.5 DNA binding

Interactions of compounds **11–23** were studied with Ct-DNA (pH 7.4) in a solution of deionized water with tris-(hydroxymethyl)-amino methane buffer (Tris, 10<sup>−2</sup> M). Initially, the concentration of freshly prepared Ct-DNA solution was determined by UV-VIS absorption spectrophotometry at 260 nm ( $\epsilon = 6600 \text{ M}^{-1} \text{ cm}^{-1}$ ) by knowing its absorbance.<sup>40</sup> To carry out binding experiments, the absorption spectra of freshly prepared compounds **11–23** at a fixed concentration of  $1.6 \times 10^{-4} \text{ M}$  were taken separately, and then at different concentrations of DNA ( $5.15 \times 10^{-5}$ ,  $3.0 \times 10^{-5}$ ,  $1.00 \times 10^{-5}$ ,  $0.9 \times 10^{-5}$  and  $0.7 \times 10^{-5} \text{ M}$ ).  $\lambda_{\text{max}}$  was recorded and the absorbance of the mixture (*i.e.*, with each different solution of DNA and compounds) was also measured. Experiments were repeated five times. The intrinsic DNA binding constant ( $K_b$ ) was resolved using the Benesi–Hildebrand equation as modified by Wolfe *et al.*<sup>41</sup>

$$[\text{DNA}]/(\epsilon_a - \epsilon_f) = [\text{DNA}]/(\epsilon_a - \epsilon_f) + 1/K(\epsilon_b - \epsilon_f) \quad (1)$$

where the absorption coefficients  $\epsilon_a$ ,  $\epsilon_f$ , and  $\epsilon_b$  represent  $A_{\text{obs}}/[\text{compound}]$ , the extinction coefficient for the molecule, and the extinction coefficient for molecule in the fully bound form,

Table 1 Docking parameters of compounds **11–23**

Compound	Binding energy KJ mol <sup>−1</sup>	No. of H-bonds	Residues involved in H-bonding (bond length)	Residues involved in hydrophobic interactions
<b>11</b>	−20.50	3	A: DT'8H:Unk0:O of nitro group (3.55) B: DG'10H : Unk0:N of C=N group (3.43) C: DC'15H:Unko:N of morpholine group (3.48)	Dt8, Dc9, Dg10, Dg14 & Dc15
<b>12</b>	−21.34	2	A: DG'14H:Unk0:N of C=N group (3.40) B: DG'10H:Unk0:O of nitro group (3.39)	Dt8, Dc9, Dg10, Dc13, Dg14, Dc15 & Dg16
<b>13</b>	−22.59	2	A: DG'14H:Unk0:O of morpholine group (3.36) B: DG'10H:Unk0:N of C=N group (3.42)	Dt8, Dc9, Dg10, Dg14, Dc15 & Dg16
<b>14</b>	−22.59	1	A: DG'10H:Unk0:Nof morpholine group (3.51)	Dt8, Dc9, Dg10, Dc13, Dg14, Dc15 & Dg16
<b>15</b>	−23.85	1	A: DG'10H:Unk0:Nof morpholine group (3.49)	Dt8, Dc9, Dg10, Dg14 & Dc15
<b>16</b>	−20.50	2	A: DC'13H:Unk0:O of methoxy group (3.39) B: DG'14H:Unko:O of morpholine group (3.44)	Dt8, Dc9, Dg10, Dc13, Dg14, Dc15 & Dg16
<b>17</b>	−20.92	1	A: DG'10H:Unk0:N of C=N group (3.32)	Dt8, Dc9, Dg10, Dc13, Dg14, Dc15 & Dg16
<b>18</b>	−21.34	2	A: DG'14H:Unk0:N of C=N group (3.43) B: DG'10H:Unk0:N of indole group (3.40)	Dt8, Dc9, Dg10, Dc13, Dg14, Dc15 & Dg16
<b>19</b>	−20.08	2	A: DG'10H:Unk0:N of C=N group (3.42) B: DC'13H : Unk0:O of nitro group (3.24)	Dt8, Dc9, Dg10, Dc13, Dg14 & Dc15
<b>20</b>	−20.92	2	A: DG'10H : Unk0:N of C=N group (3.35) B: DG'14H:Unk0:N of indole group (3.46)	Dt8, Dc9, Dg10, Dc13, Dg14 & Dc15
<b>21</b>	−20.92	1	A: DC'9H:Unk0:N of indole group (3.57)	Dt8, Dc9, Dg10, Dc13, Dg14, Dc15 & Dg16
<b>22</b>	−21.34	1	A: DC'9H:Unk0:N of indole group (3.58)	Dt8, Dc9, Dg10, Dc13, Dg14 & Dc15
<b>23</b>	−20.92	2	A: DC'14H:Unk0:N of C=N group (3.41) B: DG'10H:Unk0:N of indole group (3.28)	Dt8, Dc9, Dg10, Dc13, Dg14 & Dc15



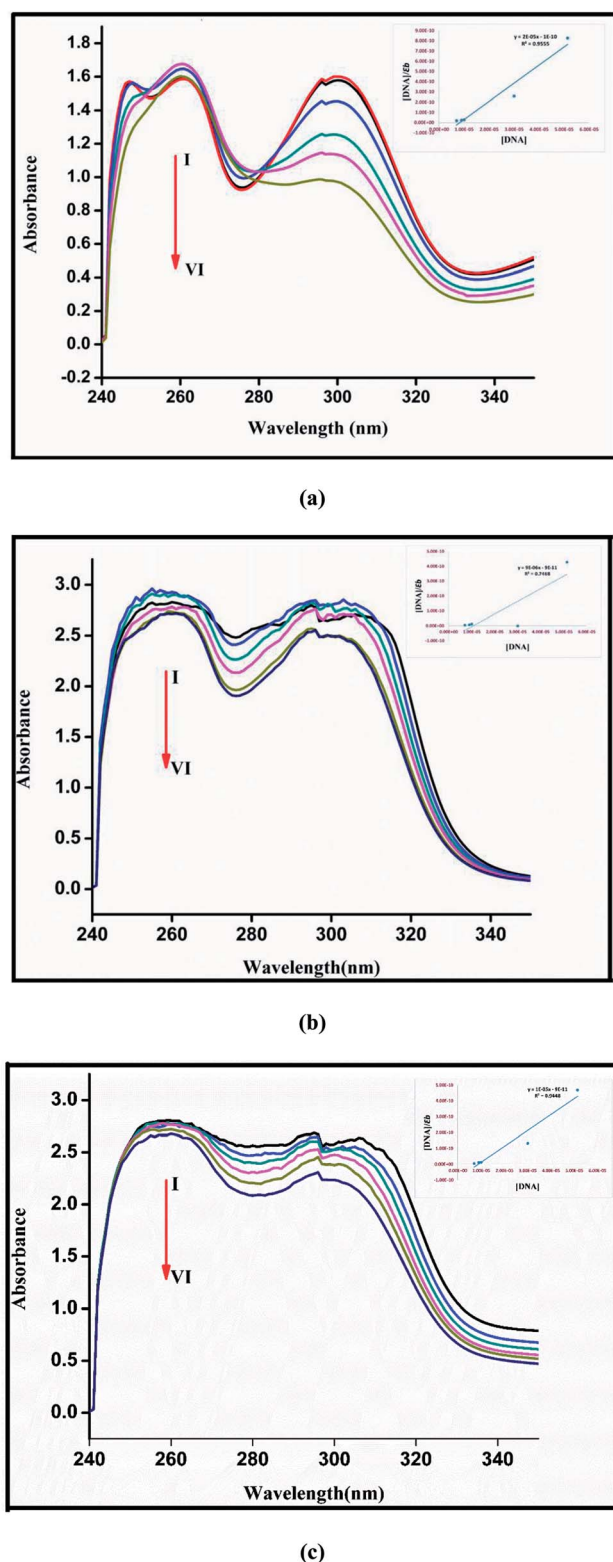


Fig. 2 (a–c) Absorption spectra of compounds 11, 21 and 23 in the absence and presence of different Ct-DNA concentrations. Arrows indicate the decrease in absorbance upon addition of decreasing concentrations of DNA. Inset: plots of  $[DNA]/\epsilon_b$  vs.  $[DNA]$  for the absorption titration of Ct-DNA with compounds. DNA concentrations are (1)  $5.15 \times 10^{-5}$ , (2)  $3.0 \times 10^{-5}$ , (3)  $1.00 \times 10^{-5}$ , (4)  $0.9 \times 10^{-5}$ , and (5)  $0.7 \times 10^{-5}$ .

respectively. The  $K_b$  for dissimilar compounds was ascertained by division of the slopes and intercepts of the plots of  $[DNA]/(\epsilon_a - \epsilon_f)$  vs.  $[DNA]$ .

## 2.6 Determination of drug loading

**2.6.1 Drug-loaded micelles.** Synthesized molecules (0.2 mg) were loaded onto micelles and measured by UV-VIS spectrophotometry. DMF (4.0 mL) and H<sub>2</sub>O (0.9 mL) were introduced into 0.1 mL of a drug-loaded micellar solution. Due to ultrasonic vibration for 10 min, micelles were broken up and the molecules (11–23) dissolved in the solutions. The characteristic absorbance of the molecules was recorded at 320 nm and compared with a standard curve generated from a solvent mixture of DMF/H<sub>2</sub>O in 4 : 1 (v/v) ratio. Two main concepts were utilized to determine molecule loading: drug-loading efficiency (DLE) (also known as entrapment efficiency [EE]), and drug-loading content (DLC). DLE is given by:<sup>42</sup>

$$DLC = \left( \frac{\text{weight of loaded drug}}{\text{weight of polymer}} \right) \times 100\% \quad (2)$$

DLE/EE is given by:

$$DLE/EE = \left( \frac{\text{weight of loaded drug}}{\text{weight of drug in feed}} \right) \times 100\% \quad (3)$$

**2.6.2 Anticancer assays.** *In vitro* anticancer profiles of the nano drugs (11–23) were determined reiteratively with a murine microglial cell line (HepG2/C3A) by a cell viability (MTT) assay.<sup>43</sup> The principle of the MTT assay is to remove succinic acid from the mitochondria of living cells under the action of hydrogenase and cytochrome C. The tetrazolium ring opens to form purple crystals, which are eluted and have an absorbance peak at 570 nm. The experimental method involved four steps. First, HepG2/C3A cells were seeded in 96-well dishes at  $1 \times 10^4$ /well. Cells were allowed to attach for 24 h and washed several times with phosphate-buffered saline (PBS) and serum-free medium containing micellar solution-encapsulated drugs. Second, the old medium was removed at the end of incubation time, and fresh water containing  $0.5 \text{ mg mL}^{-1}$  of MTT added. Third, the medium was cultured for 4 h, the culture medium removed and 100  $\mu\text{L}$  of DMSO was added to the ELISA Reader. Crystals were dissolved by shaking for 5 min, and the absorbance at 570 nm measured. Finally, cell cytotoxicity was calculated using the following equation:

$$\text{Cell cytotoxicity} = \frac{\text{Abs (570 nm)}_{\text{sample}}}{\text{Abs (570 nm)}_{\text{control}}} \times 100\%$$

## 3. Results and discussion

Finally, 13 compounds (11–23) were synthesized (Scheme 1) and purified by flash chromatography. The melting points of synthesized compounds were recorded. The synthesized compounds were characterized by UV-VIS, FT-IR, mass and NMR spectroscopy. Their structural data are described in ESI.†





Table 2 Wavelength shifts, %hypochromism and binding constants of compounds 11–23

Compound	$\lambda_{\max}$ (free)	$\lambda_{\max}$ (bound to DNA)	Change	%hypochromism <sup>a</sup>	$K_b$ <sup>b</sup> (M <sup>-1</sup> )
11	300 nm	260 nm	40	39.29	$2.0 \times 10^5$
12	261 nm	262 nm	1	0.72	$1.0 \times 10^5$
13	261 nm	260 nm	1	0.22	$1.0 \times 10^5$
14	255 nm	257 nm	2	9.11	$1.125 \times 10^5$
15	255 nm	255 nm	0	0.34	$1.125 \times 10^5$
16	256 nm	255 nm	1	3.28	$1.111 \times 10^5$
17	261 nm	258 nm	3	6.69	$1.125 \times 10^5$
18	262 nm	264 nm	2	4.53	$1.111 \times 10^5$
19	260 nm	260 nm	0	0.46	$1.0 \times 10^5$
20	264 nm	258 nm	6	0.41	$1.125 \times 10^5$
21	260 nm	261 nm	1	4.17	$1.0 \times 10^5$
22	258 nm	256 nm	2	5.89	$1.25 \times 10^5$
23	259 nm	260 nm	1	5.03	$1.111 \times 10^5$

<sup>a</sup> % hypochromicity ( $H\%$ ) =  $[(A_f - A_b)/A_f] \times 100$ , where  $A_f$  and  $A_b$  represent the absorbance of free and bound compounds. <sup>b</sup> Binding constants.

Table 3 DLC and DLE values of compounds 11–23

Compound	DLC	DLE/EE
11	5.42%	54.2%
12	2.44%	24.4%
13	4.52%	45.2%
14	3.54%	35.4%
15	0.58%	5.8%
16	3.30%	33.0%
17	0.33%	3.28%
18	4.10%	41.0%
19	1.44%	14.4%
20	4.87%	48.7%
21	3.53%	35.3%
22	4.79%	47.9%
23	3.17%	31.7%

### 3.1 Docking studies

To study the *in silico* properties of compounds 11–23 with DNA, theoretical DNA docking studies were carried out. B-DNA is most common form used due to its wide and deep major grooves, narrow and deep minor grooves, and its pattern of base pairing between the two DNA strands. It gives different hydrogen bond donor/acceptor forms in the major and minor grooves, respectively.

The DNA docking of compounds 11–23 was done with DNA dodecamers d(CGCGAATTCGCG)2 having a (PDB ID: 1BNA) file. The DNA docking results are shown in Fig. SI1–5 (ESI)<sup>†</sup> and Table 1. Almost all compounds adjusted themselves in a co-crystallized ligand-like appearance in the vicinities of DNA. The binding affinities of compounds 11–23 followed the order 15 > 14 ≈ 13 > 18 ≈ 22 ≈ 12 > 23 ≈ 17 ≈ 20 ≈ 21 > 16 ≈ 11 > 19.

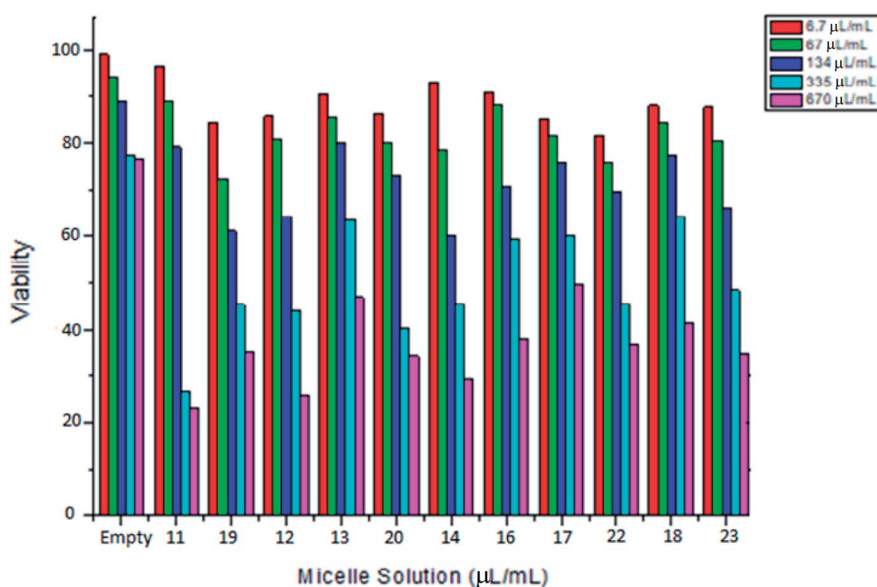


Fig. 3 Percentage viabilities of HepG2/C3A cells upon treatment with compounds 11–23 at micellar concentrations of 6.7, 67, 134, 335 and 670  $\mu\text{L mL}^{-1}$ .



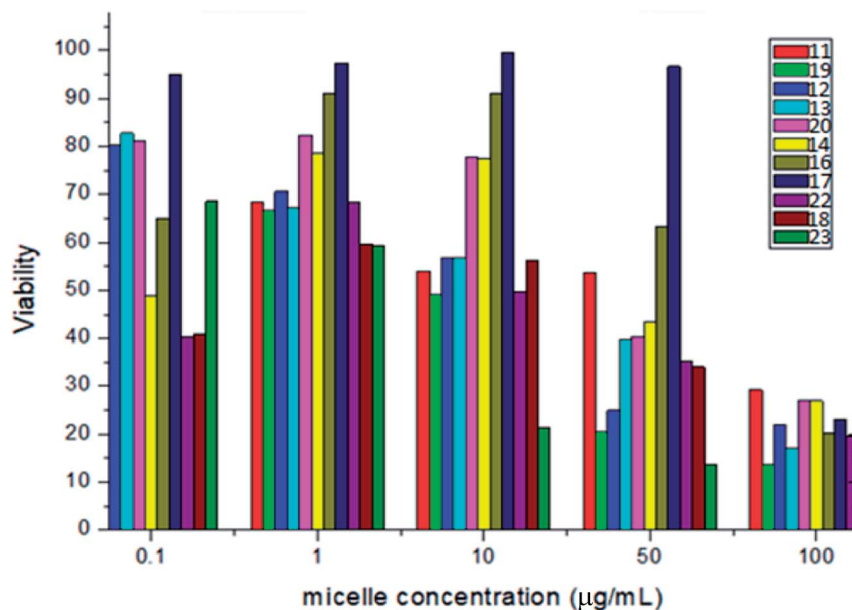


Fig. 4 Percentage viabilities of HepG2/C3A cells upon treatment with compounds 11–23 at micellar concentrations of 0.1, 1.0, 10, 50 and 100  $\mu\text{g mL}^{-1}$ .

Table 4  $\text{IC}_{50}$  values of drugs in micelles in the HepG2/C3A line

Compounds	$\text{IC}_{50}$ ( $\mu\text{g mL}^{-1}$ )
11	3.85
12	2.03
13	3.30
14	1.91
16	5.28
17	3.27
18	3.66
19	1.63
20	4.29
22	18.7
23	7.28

The number of hydrophobic interactions and H-bonds of compounds 11–23 with DNA are given in Table 1. The hydrogen bonds formed in the DNA-compound adducts were one for 14, 15, 17, 21 and 22, two for 12, 13, 16, 18, 19 and 20, and three for 11 compounds, respectively. During the interaction with DNA, compounds 11–23 adjusted themselves so that their substituted indole, morpholine and *N*-methylpiperazine rings were within the minor grooves of DNA mostly, whereas *Z*-geometry  $\text{C}=\text{N}$  moieties attached to benzene rings were mainly in the major grooves of DNA. Also, 1–3 H-bonds were formed by this spatial orientation. The residues of DNA involved in H-bonding were guanine, adenine, cytosine and thymine bases (DG'14H, DG'10H, DC'9H, DC'13H, DC'15H, DT'8H) with the amine group of the indole ring, morpholine and *N*-methylpiperazine rings. Also, cyano and methoxy groups were attached to benzene rings. One hydrogen bond was attached to guanine and cytosine bases. This twisting of rings might have been because of the GC-rich region with a large positive potential responsible for

molecular attraction,<sup>44</sup> and more repulsion from phosphate groups in the backbone. Also, twisting can lead to more hydrogen bonding. It can be seen in Fig. SI1† that, during the molecular interaction of compound 11 with DNA, it favored the minor grooves of DNA with  $-20.50 \text{ kJ mol}^{-1}$  of binding energy. Compound 11 formed three hydrogen bonds with the residues (thymine, guanine and cytosine) of DNA with bond lengths of 3.55, 3.43 and 3.48 Å, respectively. With regard to hydrophobic interactions, the nitrogen-containing nucleobases Dt8, Dc9, Dg10, Dg14 and Dc15 were involved. Similarly, compound 19 preferred the minor grooves of DNA and formed two hydrogen bonds with the residues of DNA with a binding affinity of  $-20.08 \text{ kJ mol}^{-1}$  (ESI†). The residues involved in hydrogen bonding were DG'10H and DC'13H. Besides these interactions, compound 19 (ESI†) also interacted with the nitrogen-containing nucleobases of DNA hydrophobically in a two-dimensional network. The residues involved in these hydrophobic interactions were Dt8, Dc9, Dg10, Dc13, Dg14 and Dc15. It is not useful to discuss the details of docking studies for all compounds as all the compounds interacted with DNA in a similar fashion.

### 3.2 DNA binding

Interactive study of newly synthesized heterocyclic moieties with DNA is crucial for estimation of their anticancer activity, and to shed light on a viable mechanism of their action. Hence, DNA binding is considered to be the most essential experimental step to measure the activity of anticancer drugs because most anticancer drugs target DNA specifically.<sup>45</sup> In general, there are two modes of binding of a drug with DNA: non-covalent or covalent. In covalent binding, a labile ligand is replaced with a nitrogen atom of a DNA base, such as N7 of guanine. In non-covalent binding, there are “electrostatic”,



Table 5 Lipinski's 'rule-of-five' data for compounds 11–23

Compounds	Mol. wt	No. of HBA	No. of HBD	Mol log <i>P</i>	Mol log <i>S</i> [log(moles per L)]/(mg L <sup>−1</sup> )	Mol PSA (Å <sup>2</sup> )	Mol vol (Å <sup>3</sup> )
11	365.16	5	1	2.74	−5.78(0.61)	61.69	352.50
12	397.08	3	0	4.00	−6.43(0.15)	23.43	344.16
13	337.16	3	0	3.42	−5.80(0.53)	23.43	328.22
14	349.18	4	0	3.12	−5.24(2.02)	30.37	354.43
15	333.18	3	0	3.43	−5.71(0.65)	22.73	342.94
16	349.18	4	0	3.24	−5.54(1.00)	30.98	354.14
17	333.18	3	0	3.55	−5.74(0.61)	23.43	343.24
18	353.13	3	0	3.86	−6.24(0.20)	23.43	339.50
19	378.19	5	1	2.80	−5.39(1.56)	57.26	377.68
20	350.19	3	0	3.47	−5.41(1.37)	19.00	353.40
21	362.21	4	0	3.17	−4.84(5.18)	25.93	379.61
22	346.22	3	0	3.60	−5.35(1.56)	19.00	368.42
23	366.16	3	0	3.92	−5.85(0.52)	19.00	364.68

“groove” and “intercalative” types of interactions. Bathochromic and hypochromic shifts revealed the intercalative binding of compounds with DNA. Intercalation enhanced strong stacking interactions between aromatic chromophores and the base pairs of DNA.<sup>46</sup> Also, the electrostatic binding of a compound with DNA decreased the  $\pi \rightarrow \pi^*$  transition energy because the  $\pi^*$  orbital of the ligand interacted with DNA base pairs. This led to a lower hypochromic effect with no or negligible bathochromic shift.<sup>47</sup> However, covalent bonding led to bathochromism and hyperchromism due to breaking of the DNA structure when a compound interacted with DNA covalently. A compound coordinating with DNA through the N7 position of guanine was indicated by a bathochromic shift. Binding with the outside groove of DNA had a minor or no effect and, rarely, some hyperchromism was shown by groove binding in the UV-VIS spectrum<sup>48,49</sup>

The purity of DNA in the Ct-DNA stock solution was shown by  $A_{260}/A_{280} \geq 1.80$ , which indicated a sufficiently DNA protein-free nature.<sup>50</sup> With the addition of DNA, slight band shifting was observed at 200–350 nm. The concentration of the stock solution of DNA was determined experimentally by utilizing  $\epsilon = 6600 \text{ M}^{-1} \text{ cm}^{-1}$  at 260 nm. Additional solutions of DNA ( $3.0, 1.0, 0.9$  and  $0.7 \times 10^{-4} \text{ M}$ ) were made from a stock solution ( $5.15 \times 10^{-5} \text{ M}$ ). The concentration of the compounds was fixed at  $1.6 \times 10^{-4} \text{ M}$ . With each different concentration of DNA solution, absorption spectra were recorded.

The DNA binding spectra of the most effective compounds, 11, 21 and 23, are shown in Fig. 2a–c, respectively. Changes such as hypochromism (low to moderate) and slight shifting of bands indicated the interaction of compounds with DNA bands *via* electrostatic mode due to a decrease of the  $\pi \rightarrow \pi^*$  transition energy because the  $\pi^*$  orbital of the intercalated ligand coupled with the orbital of the base pairs. Graphs of compounds 20 and 17 (ESI†) showed that hyperchromism was because these compounds were covalently bonded with DNA, which was due to breakage of the DNA structure.

Graphs of compounds 12, 13, 15, 16, 18 and 19 (ESI†) showed no change in UV-VIS spectra when the different DNA concentrations were added to solutions of the compounds. This

confirmed the outer-groove binding of DNA with the compound with low hyperchromism and negligible bathochromism. The  $K_b$  was calculated for compounds 11–23 and ranged from 1.0 to  $2.0 \times 10^5 \text{ M}^{-1}$ , thereby confirming that the synthesized compounds interacted strongly with DNA. Using Microsoft Excel™, regression analysis was carried out for DNA binding studies. The correlation coefficient ( $R^2$ ) was found to be 0.7468–0.9555%. The order of  $K_b$  for compounds 11–23 was almost identical. Finally, we summarized that nearly all the synthesized heterocyclic compounds interacted with Ct-DNA through minor grooves, as noted by other scholars.<sup>51–53</sup> Overall, the order of binding of differently substituted compounds with DNA was nitro > halogen > methoxy > methyl groups. The binding constants, % hypochromism and wavelength shifts of compounds 11–23 are given in Table 2. Hence, DNA binding studies were comparable with DNA docking studies. Therefore, compounds 11–23 acted as DNA-binding agents.

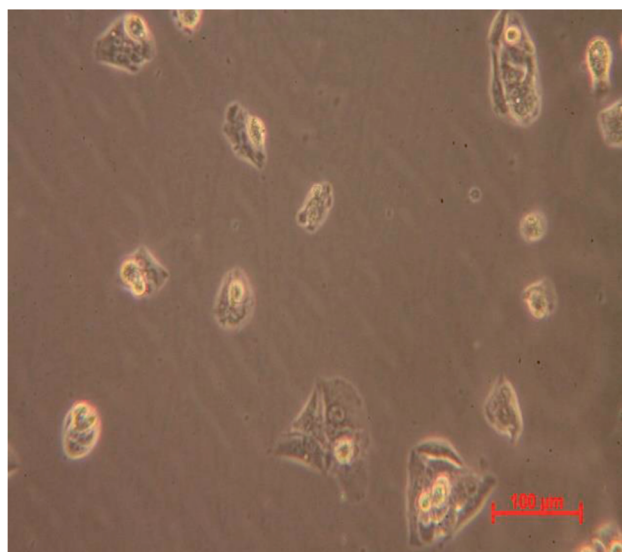
### 3.3 DLC and DLE of drugs encapsulated in micelles

Drug-loaded micelles were prepared by dialysis. All the drugs loaded in micelles showed good results of DLC and DLE (Table 3). DLC ranged from 0.33% to 5.42%. Higher DLC was shown by compound 11 and lower by compound 17. DLE ranged from 3.28% to 54.2%. Compound 11 showed highest DLE and the lowest was shown by compound 17. DLE was relatively good but showing no clear pattern. During dialysis, no precipitate or aggregate was observed. DLC and EE data predicted drug loading into nanocarriers. Also, the encapsulation experiment done with PCL-PEG-PCL nanocarrier showed its efficiency as a nanodrug. Micelle formation is shown in ESI.†

### 3.4 Anticancer profiles of nano drugs

The prepared nano drugs were tested for anticancer activities in terms of % viability on the HepG2/C3A line. The viability of HepG2C3A cells after exposure to compounds 11–23 at varying micellar concentrations ( $6.7, 67, 134, 335$ , and  $670 \mu\text{L mL}^{-1}$ ) are given in Fig. 3 and 4. Fig. 3 reveals that the indole derivatives 11–23 at a micellar concentration of  $670 \mu\text{L mL}^{-1}$  led to viabilities of HepG2/C3A cells of 25, 35, 28, 48, 32, 30, 39, 50, 40,





(a)



(b)

Fig. 5 Morphology of HepG2 cells (a) before treatment and (b) after treatment with nano drugs.

45 and 38%. Thus, HepG2/C3A cells displayed low viability upon treatment with heterocyclic moieties **11**, **12**, **14**, **20** and **19**, which indicated the good anticancer potential of these synthesized heterocyclic compounds. Fig. 4 shows all the indole moiety derivatives (**11–23**) caused low viability of HepG2/C3A cells at a micellar concentration of  $100 \mu\text{g mL}^{-1}$ . Also, compound **23** showed low viability at micellar concentrations of 10 and  $50 \mu\text{g mL}^{-1}$ . Therefore, these synthesized compounds interacted differently and had different mechanisms with different cell targets. Also, the half-maximal inhibitory concentration ( $\text{IC}_{50}$ ) was calculated for the micelles formed for compounds **11–23** (Table 4). Compounds **12**, **14**, **17** and **19**

showed  $\text{IC}_{50}$  values in the range  $1.63\text{--}18.70 \mu\text{g mL}^{-1}$  at low concentrations. Half of the cells were dead and compound **22** showed a low  $\text{IC}_{50}$ . Morphologies of HepG2 cells before and after treatment with nanodrugs are shown in Fig. 5a and b: the nano drugs were effective because all cells died after treatment (Fig. 5b).

### 3.5 Drug likeness

In general, the time required to synthesize promising compounds and utilize them is long because their toxicity, pharmacokinetic properties and effects on metabolism must be tested. This leads to considerable burdens on the research-and-development budget of a pharmaceutical company. A number of scientists and researchers are involved to find answers of the above questions. Lipinski's "rule of five" can be used to identify some of the important properties of compounds considered theoretically to be drugs. That is, molecules must have good membrane permeability,  $\text{MW} < 400$ ,  $\log P < 5$ , number of H-bond donors  $< 5$  and number of H-bond acceptors  $< 10$ . For analyses of small drug-like molecules, a filter of  $\log D > 0$  and  $< 3$  increases the chances of a compound displaying good intestinal permeability. Moreover, a compound that has  $>10$  hydrogen bond acceptors and 5 hydrogen-bond donors shows poor permeation. Therefore, this rule was applied to compounds **11–23** synthesized according to the procedure described by Veber *et al.*<sup>54</sup> As seen in Table 5, compounds **11–23** followed Lipinski's rule of five.

## 4. Conclusions

Thirteen compounds (**11–23**) were synthesized with good yield and loaded onto micellar nanocarriers. DNA-binding studies indicated that compound–DNA adducts were stabilized mainly by electrostatic attractions, van der Waals forces and hydrogen bonding. The minor grooves of DNA were the areas through which the synthesized compounds interacted with DNA. Hypochromism indicated that the synthesized compounds bound with DNA through electrostatic attraction and intercalations  $K_b$  values ( $1.0$  to  $2.0 \times 10^5 \text{ M}^{-1}$ ) indicated the strong binding affinities of compounds **11–23**. Good percentages of DLC and DLE were achieved. Cell viability was 25–50% at  $670 \mu\text{L mL}^{-1}$ , which indicated excellent anticancer activities.  $\text{IC}_{50}$  values were  $1.63\text{--}18.70 \mu\text{g mL}^{-1}$ . Compounds **11–23** followed Lipinski's rule of five. Compounds **11–23** could be used to treat cancers.

## Conflicts of interest

There is no conflict of interest for this manuscript.

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