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# Development of a high-throughput and sensitive assay of fusion genes in lung cancer by array-based MALDI-TOFMS†

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ALK (anaplastic lymphoma kinase gene), *ROS1* (*ros proto-oncogene 1*) and *RET* (*ret proto-oncogene*) fusions are oncogenic drivers in non-small cell lung cancer (NSCLC). Methods like fluorescence *in situ* hybridization (FISH) and immunohistochemistry (IHC) are highly sensitive but subjectively analyzed, labor intensive, expensive and unsuitable for multiple fusion gene screening. This study aimed to establish a high-throughput, sensitive and cost-effective screening method (array-based matrix-assisted laser desorption/ionization time-of-flight mass spectrometry, array-based MALDI-TOFMS) for *ALK*, *ROS1* and *RET* fusion detection. This method was established with three fusion gene positive cell lines (H2228, *ALK* positive; HCC78, *ROS1* positive; LC-2/AD, *RET* positive) and negative samples. Then, 34 clinical samples were selected and detected by Sanger sequencing, next generation sequencing (NGS) and array-based MALDI-TOFMS. The results were compared and analyzed and Sanger sequencing was considered the standard. 7 cases showed *ALK* fusions, 1 case showed *ROS1* fusions, no case showed *RET* fusions and 4 cases were both *ALK* and *ROS1* fusions. Results showed that array-based MALDI-TOFMS was 100% concordant with Sanger sequencing and NGS 82.3%. In this study, we reported the utility of array-based MALDI-TOFMS in the assessment of *ALK*, *ROS1* and *RET* fusions in routine lung biopsies of FFPE and fresh tissue specimens. Besides, this method may also be applied to the diagnosis, monitoring and prognosis of illness.

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## 1. Introduction

Lung cancer is the leading cause of cancer-related mortality worldwide,<sup>1,2</sup> with non-small cell lung cancer accounting for 85% of all lung cancer cases, and its prognosis remains very poor.<sup>3,4</sup>

The anaplastic lymphoma kinase gene (*ALK*) encodes a receptor tyrosine kinase that is aberrant in a variety of malignancies. Approximately 3–7% of NSCLC patients harbor *ALK* fusions and they are more commonly found in light smokers (<10 pack years) and/or never-smokers.<sup>5,6</sup> DNA rearrangement leads to expression of a constitutively active protein kinase. Tumors harboring *ALK* rearrangements rely specifically on the chimeric oncoprotein for progression and are sensitive to *ALK* inhibitors, such as crizotinib, a drug approved by US Food and Drug Administration for the treatment of *ALK* fusion-positive non-small cell lung cancer (NSCLC).<sup>5,7</sup>

The *ROS* proto-oncogene 1, receptor tyrosine kinase (*ROS1*) is a member of the insulin receptor family. Approximately 2% of lung tumors harbor *ROS1* fusions and, like *ALK* fusions, *ROS1* fusions are more commonly found in light smokers (<10 pack years) and/or never-smokers.<sup>8</sup> In an expansion cohort of a phase I study, 50 patients with *ROS1*-positive NSCLC demonstrated a 72% response rate and 19.2 month median progression-free survival interval when treated with crizotinib.<sup>9</sup>

The *ret* proto-oncogene (*RET*) gene, located on chromosome 10, encodes a receptor tyrosine kinase belonging to the *RET* family. Several recent cancer genome sequencing studies identified *RET* fusions in 1–2% of NSCLC, most frequently involving kinesin family member 5B (*KIF5B*) and coiled-coil domain containing 6 (*CCDC6*) as fusion partners.<sup>10</sup> In addition, cells with *RET* fusions may be sensitive to multitargeted kinase inhibitors such as sunitinib, sorafenib and vandetanib.<sup>11</sup>

Currently, the gold standard method for gene fusion detection in lung cancer is fluorescence *in situ* hybridization (FISH).<sup>12</sup> However, this technique is expensive, labor intensive and requires assessment by a pathologist meaning that the results are subjective.<sup>13</sup> Other methods like immunohistochemistry (IHC) and reverse transcription polymerase chain reaction (RT-PCR) also have their disadvantages, like the false positive rate of RT-PCR<sup>14</sup> and the low and partial expression of *ALK* oncoproteins in IHC.<sup>15</sup>

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NanoString technology is a promising new method for detecting fusions. Adriane and co-workers reported the usefulness of NanoString in the assessment of *ALK* fusions in 43 lung biopsies of FFPE specimens, which were validated previously by FISH/IHC.<sup>16</sup> However, a larger number of samples still need to be assessed for this to be validated.

Consequently, a high-throughput, sensitive and cost-effective method that detects and evaluates *ALK*, *ROS1* and *RET* fusion gene is urgently needed. MALDI-TOF was widely applied in the detection of protein and nucleic acid. Based on the conservation protein peak, this method can distinguish different species of microorganism.<sup>17</sup> David and his co-workers made a proof of principle of their MALDI-TOFMS approach in the clinical setting using recently isolated *Fusarium* strains demonstrated its validity.<sup>18</sup> Joyner utilized MALDI-TOFMS to monitor the kinetics and products of RNA cleavage and proved the method is a rapid, accurate, highly-detailed and semi-quantitative analysis of RNA cleavage.<sup>19</sup> In this study, we combined a transcriptomic platform to handle RNA extracted from samples and array to perform high-throughput. Then we validated this method with three fusion gene positive cell lines and negative samples and tested this method with 34 formalin-fixed paraffin-embedded (FFPE) and fresh tissue samples from Chinese population and compared the results with Sanger sequencing and NGS.

## 2. Experimental

### 2.1 Reagents and instruments

The following materials were used in this study: lung cancer *ALK/ROS1/RET* gene Fusion Detection Kit (Guangzhou Darui Biotechnology Co. Ltd, Guangzhou, China), Recover All™ Total Nucleic Acid Isolation Kit (Thermo, MA, USA), Qiagen RNeasy Mini kit (Qiagen, Valencia, Germany), Invitrogen SuperScript® VILO cDNA synthesis kit (Thermo, MA, USA), H<sub>2</sub>O (Sigma-Aldrich, USA), Qubit 3.0™ fluorimeter (Thermo, MA, USA). Veriti Dx Thermal 96 well Cyclor (Life Technologies, New York, USA), time of flight mass spectrometry detection system (DR MassARRAY) (Guangzhou Darui Biotechnology Co. Ltd, Guangzhou, China). All processes were followed by the manufacturer recommendations (Fig. 1).

### 2.2 Preparation of samples

**2.2.1 Samples.** Three cell lines obtained from Cobiaoer Biotechnology Company (H2228, *ALK* positive<sup>20</sup>; HCC78, *ROS1* positive<sup>21</sup>; LC-2/AD, *RET* positive<sup>21</sup>) cultured in 37 °C, 5% CO<sub>2</sub> for two days and negative samples that had been previously tested by Sanger sequencing. A total of 34 surgically resected NSCLC samples which were not randomly collected but partly validated by FISH previously and 128 negative samples from DaAn Gene Co. Ltd. of Sun Yat-sen University were collected and maintained in −20 °C.

**2.2.2 Ethical approval.** Informed consent was obtained from each patient and the study was conducted in accordance with the *Declaration of Helsinki* and was approved by the Local Ethics Committee of Southern Medical University.

### 2.3 Establishment of array-based MALDI-TOFMS

**2.3.1 RNA extraction.** For FFPE samples, 2 to 10 sections (7-μm thickness) were used to isolate RNA and 30 mg for fresh tissue samples, and all of the samples used Recover All™ Total Nucleic Acid Isolation Kit. For the cell lines total RNA was isolated using the Qiagen RNeasy Mini kit. RNA concentration was assessed with Qubit.

**2.3.2 Conversion of cDNA.** Total RNA was converted to cDNA using an Invitrogen SuperScript® VILO cDNA synthesis kit with random hexamer priming according to manufacturer's recommendations and RNA was maintained in −80 °C.

**2.3.3 Array-based MALDI-TOFMS.** Multiplex screening of the samples was performed using the array-based MALDI-TOFMS LungFusion panel. The 16 extension primers were designed as listed in Table 1. Each extension primer was accompanied with 250 copies competitive fragments, and they were designed to evaluate the amount of fusion gene expression. By comparing the expression quantity of 3' and 5' section of fusion genes, the status was determined. The primers designed for *EML4* were to ensure the samples obtained from lung tissues and the primers designed for *GAPDH* were to evaluate the quantity of gDNA.

This method contained 5 steps, PCR, Shrimp alkaline phosphatase reaction (SAP), extension reaction, preparation of array and analysis. The thermocycling cocktail and condition are listed at Tables S1–S3.†

Array was maintained at room temperature. After liquid handling, 40 μL resin was added into extension product to adsorb saline ions, then it was sealed and spotted six dots a time on the array by dispenser. And 15 nL extension product was spotted on the array. While finishing spotting, array was sent into MALDI-TOFMS. The intensity of laser is 500 and the whole process was maintained at 4 °C.

The results were analyzed by Type 4.0, the report was given by comparing the unbalanced expression of 3' and 5' of genes.

**2.3.4 Sanger sequencing.** For the fusion gene variants of *ALK*, *ROS1* and *RET* fusions, PCR primers were designed using Primer Premier 5.0 (<http://www.bio-soft.net>). PCR was performed by Veriti Dx Thermal 96 well Cyclor. cDNA was converted from RNA, then cDNA was quantified using Qubit 3.0. The thermocycling cocktail and conditions were as follows. The sequencing process was followed to Sanger Sequencing Protocol and thermocycling cocktail and conditions are listed at Tables S4 and S5.† After that, we analyzed the results with Chromas V1.62 (<http://www.sangon.com>).

**2.3.5 Next-generation sequencing.** We used the ThermoFisher NGS Fusion Assay for fusion gene sequencing including AmpliSeq assay technology and Ion Torrent sequencing for the targeted sequencing of known and *de novo* fusion junctions for *ALK*, *ROS1* and *RET*. The concentration of RNA was evaluated by Qubit followed by reverse transcription by multiplex PCR using a single primer pool. Briefly, libraries were prepared and bar-coded sequencing adapters ligated to the resulting amplicons. Libraries were pooled, amplified in emulsion PCR and sequenced on the Ion Torrent PGM instrument. Samples were batched on a single 318 PGM chip to give >150 000 reads per sample.



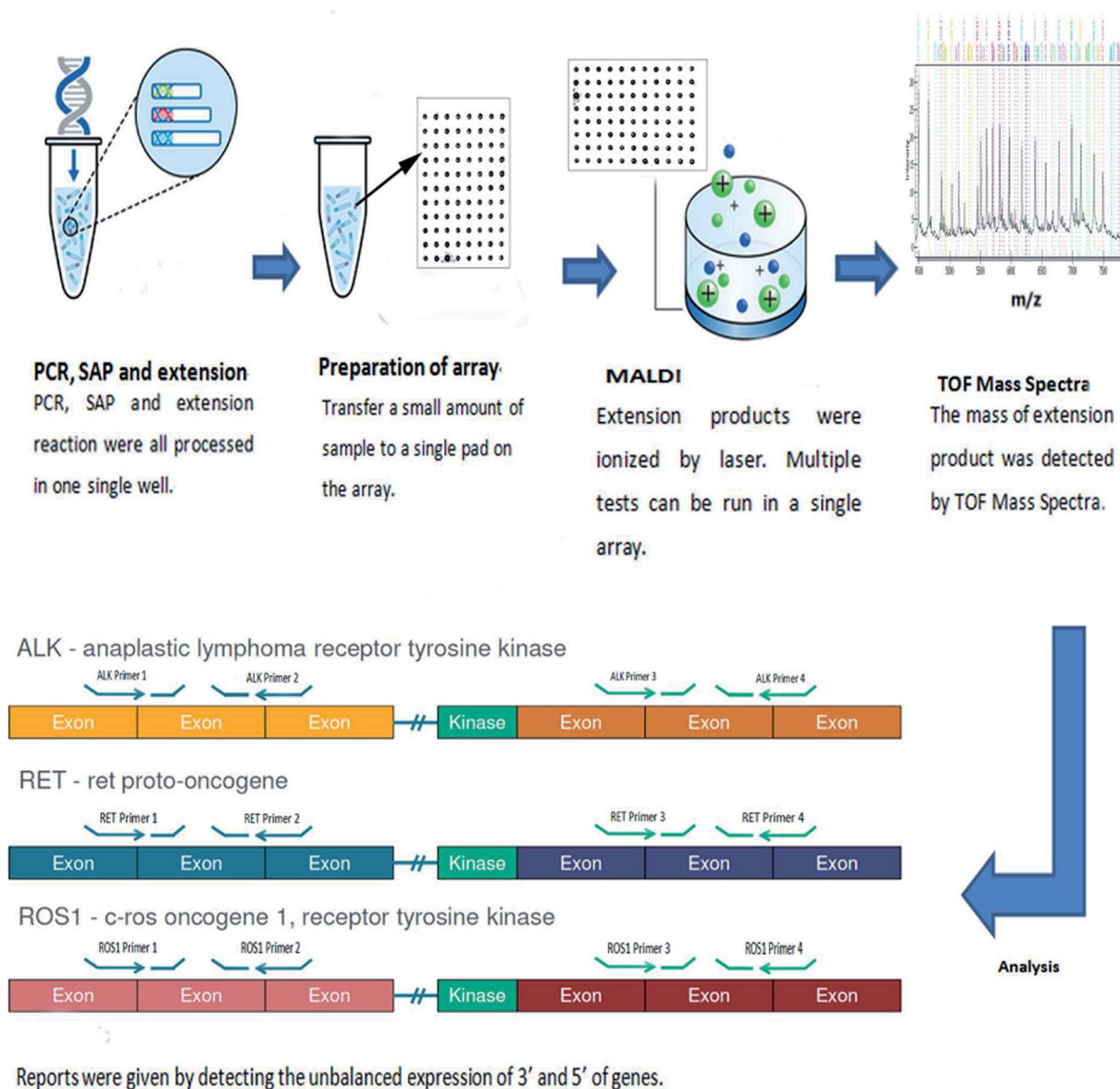


Fig. 1 Workflow of array-based MALDI-TOFMS. RNA was extracted from samples and converted to cDNA, then PCR, SAP and extension were performed, extension primers were extended one base and ionized by laser. The mass of extended primers was detected by MALDI-TOFMS. By detecting and comparing the expression of 3' and 5' of fusion gene, a Z score would be given to evaluate fusion.

Torrent Suite software server for alignment was used to transfer sample data and Ion Reporter software v4.4 for variant calling. After sequencing, reads are aligned and for samples with more than 34 000 mapped reads, fusions were detected when the sequence of one gene was found relocated to that of

another gene at the breakpoint. A fusion was called when more than 40 fusion reads supported the breakpoint. Fusions were also inferred qualitatively from differential expression data, where expression of the 5' and 3' ends of a transcript were significantly imbalanced in fusion samples compared with normal samples.

Table 1 Number and position of extension primers<sup>a</sup>

Primer position	ALK	ROS1	RET	EML4	GAPDH
	3' 5'	3' 5'	3' 5'		
Primer number	2 3	2 2	2 2	2	2

<sup>a</sup> Primers for 3' and 5' fusion genes were to ensure fusion types. Primers for EML4 were to ensure the samples resource and the primers designed for GAPDH were to evaluate gDNA quantity.

Table 2 Median and variance of ALK, ROS1 and RET

ALK median	ALK variance	ROS1 median	ROS1 variance	RET median	RET variance
0.519	0.126	0.504	0.092	0.518	0.105



Table 3 Summary of fusion detection results in cell lines. P = positive, N = negative

	Array-based MALDI-TOF			NGS			Sanger sequencing		
	ALK	ROS1	RET	ALK	ROS1	RET	ALK	ROS1	ALK
H2228	P	N	N	EML4-ALK (E13, A20)	N	N	EML4-ALK (E13, A20)	N	N
HCC78	N	P	N	N	SLC34A2-ROS1 (S4, R34)	N	N	SLC34A2-ROS1 (S4, R34)	N
LC-2/AD	N	N	P	N	N	KIF5B-RET (K15, R12)	N	N	KIF5B-RET (K15, R12)
Negative samples	N	N	N	N	N	N	N	N	N

## 2.4 Establishment of baseline

128 negative samples were collected to establish baseline. Every extend primer was along with 250 copies competitive sequences which were synthesized to evaluate the expression of fusion

gene. After calculating the median and variance of 128 samples, Z scores of each fusion type were deduced by following formula and the median and variance of *ALK*, *ROS1* and *RET* are listed in Table 2.

Table 4 Summary of fusion detection results in clinical samples. N = negative

Sample ID	Array-based MALDI-TOF	Sanger sequencing	NGS
01	ALK	EML4-ALK(E13, A20)	EML4-ALK (E13, A20)
02	ALK	EML4-ALK (E13, A20)	EML4-ALK (E13, A20)
		EML4-ALK (E20,A20)	EML4-ALK (E20, A20)
03	ALK&ROS1	EML4-ALK (E13, A20)	EML4-ALK (E18, A20)
		SLC34A2-ROS1(S4-R34)	SLC34A2-ROS1(S4-R32)
		SLC34A2-ROS1(S4-R32)	
04	ALK&ROS1	EML4-ALK (E13, A20)	EML4-ALK (E13, A20)
		SLC34A2-ROS1(S4-R34)	SLC34A2-ROS1(S4-R34)
05	ALK	EML4-ALK (E13, A20)	EML4-ALK (E13, A20)
06	ALK	EML4-ALK (E13, A20)	EML4-ALK (E13, A20)
07	ALK	EML4-ALK (E13, A20)	EML4-ALK (E13, A20)
08	ROS1	SLC34A2-ROS1(S4-R34)	SLC34A2-ROS1(S4-R34)
		SLC34A2-ROS1(S4-R32)	SLC34A2-ROS1(S4-R32)
09	ALK	EML4-ALK (E13, A20)	N
10	N	N	EML4-ALK (E13, A20)
11	N	N	N
12	N	N	N
13	N	N	N
14	ALK&ROS1	EML4-ALK (E13, A20)	EML4-ALK (E6, A20)
		SLC34A2-ROS1(S4-R34)	
15	ALK	EML4-ALK (E13, A20)	N
16	ALK&ROS1	EML4-ALK (E13, A20)	N
		SLC34A2-ROS1(S4-R34)	
17	N	N	N
18	N	N	N
19	N	N	N
20	N	N	N
21	N	N	N
22	N	N	N
23	N	N	N
24	N	N	N
25	N	N	N
26	N	N	N
27	N	N	N
28	N	N	N
29	N	N	N
30	N	N	N
31	N	N	N
32	N	N	N
33	N	N	N
34	N	N	N





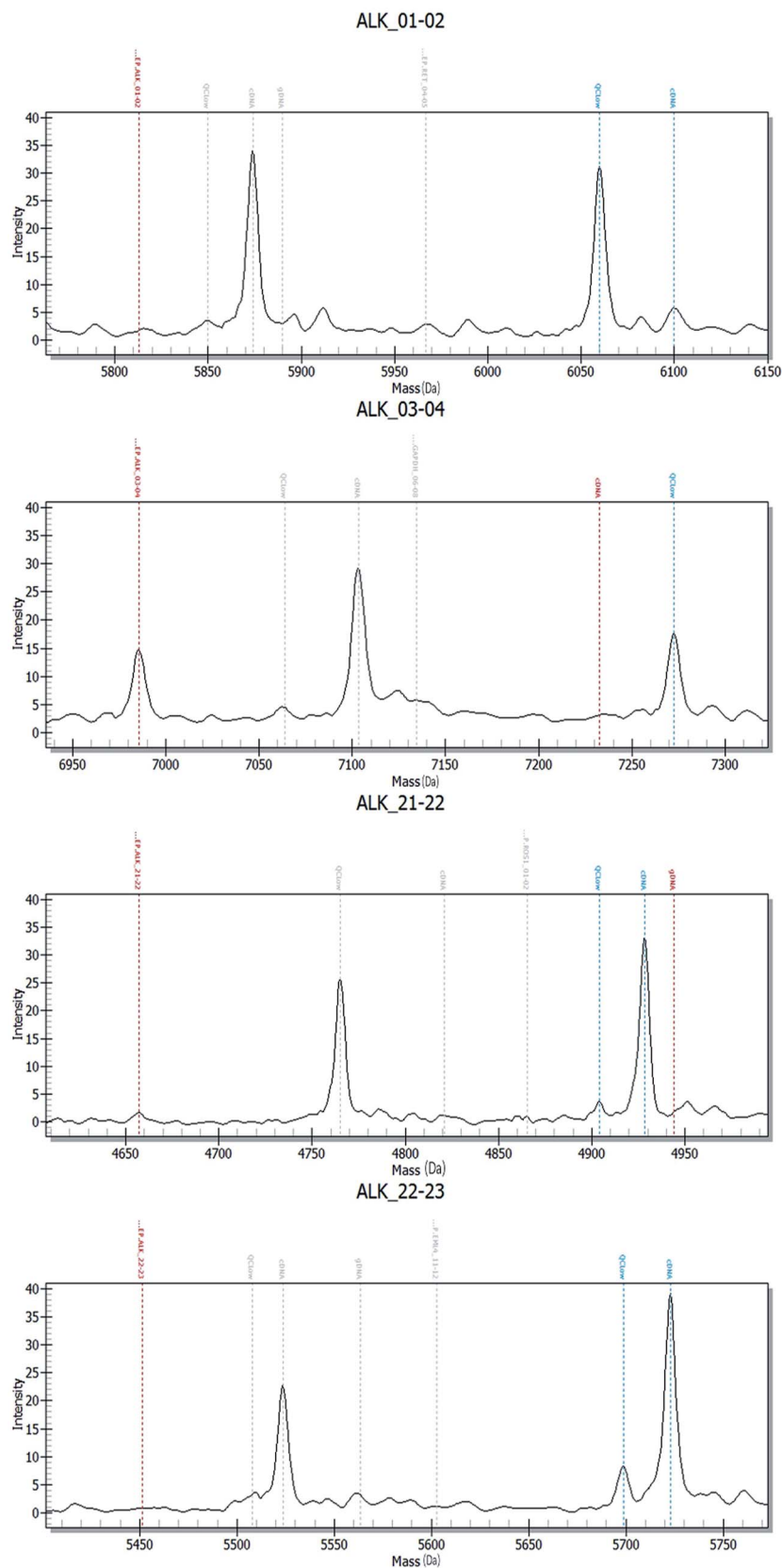


Fig. 2 Array-based MALDI-TOFMS results of *ALK* fusions. In the mass spectra of *ALK*01-02, extended primers were supposed to be detected in 6100 dalton and 7232 in *ALK*03-04, but no cDNA or very few cDNAs were detected in *ALK* 01-02 and *ALK* 03-04, in the mass spectra of *ALK* 21-22, extended primers were supposed to be detected in 4929 dalton and 5722 in *ALK* 22-23, and large amounts of cDNA were detected in *ALK* 21-22 and *ALK* 22-23. By calculating Z score, *ALK* fusion was determined.



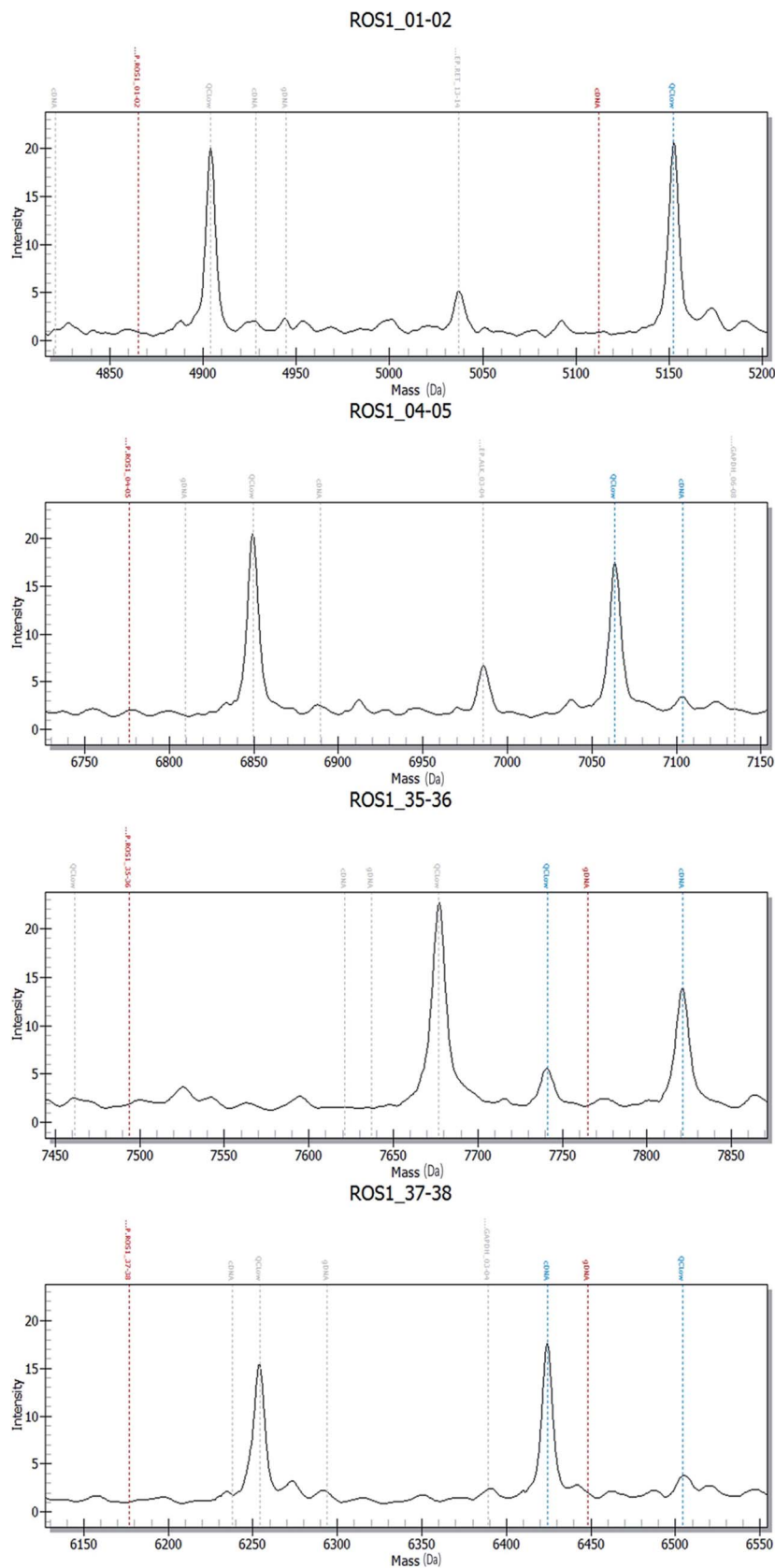


Fig. 3 Array-based MALDI-TOFMS results of *ROS1* fusions. In the mass spectra of *ROS1* 01-02, extended primers were supposed to be detected in 5112 dalton and 7103 in *ROS1* 04-05, but no cDNA or very few cDNAs were detected in *ROS1* 01-02 and *ROS1* 04-05, in the mass spectra of *ROS1* 35-36, extended primers were supposed to be detected in 7821 dalton and 6423 in *ROS1* 37-38, and large amounts of cDNA were detected in *ROS1* 35-36 and *ROS1* 37-38. By calculating Z score, *ROS1* fusion was determined.



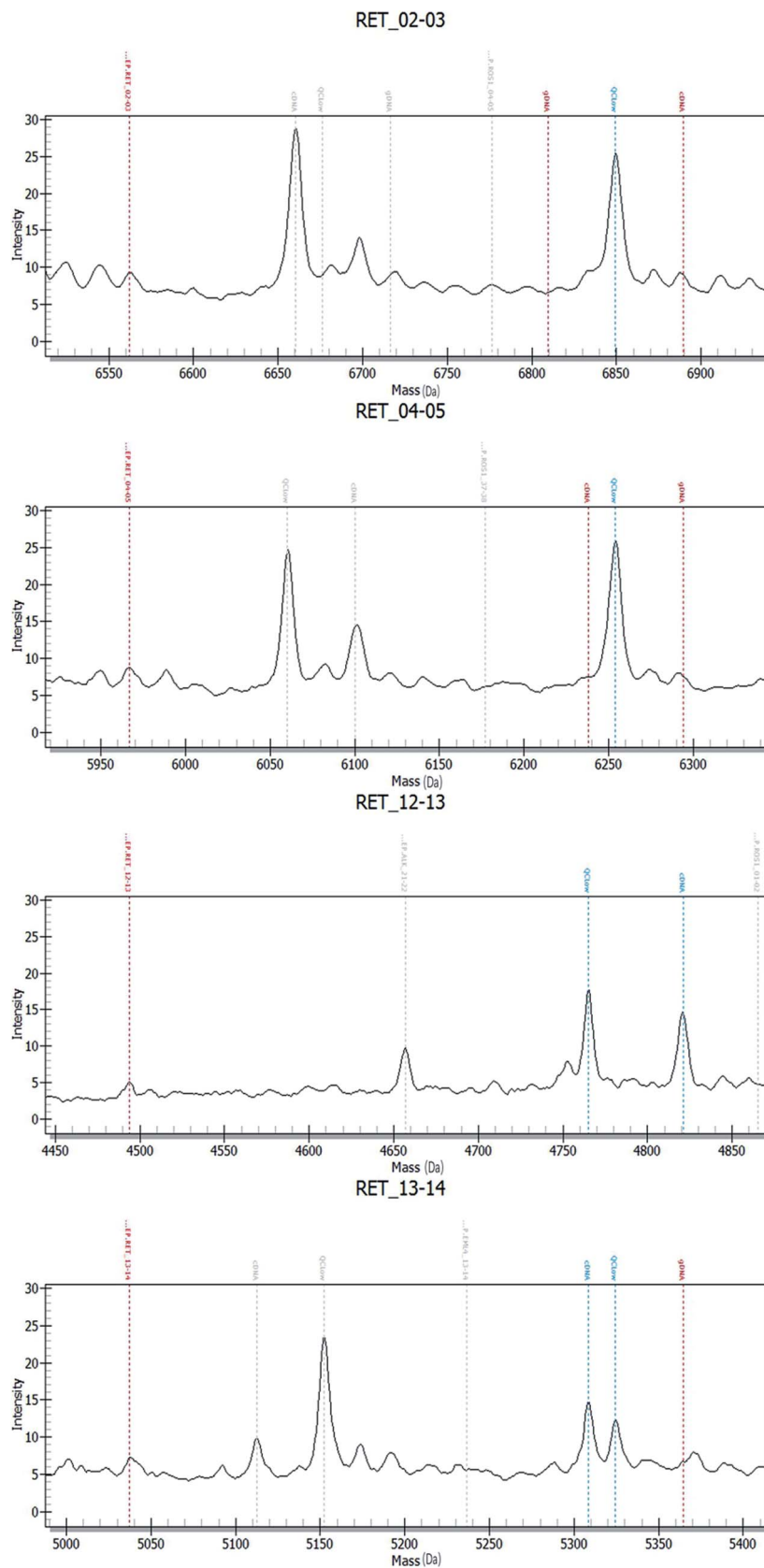


Fig. 4 Array-based MALDI-TOFMS results of *RET* fusions in the mass spectra of *RET* 02-03, extended primers were supposed to be detected in 6890 dalton and 6238 in *RET*04-05, but no cDNA or very few cDNAs were detected in *RET* 02-03 and *RET* 04-05, in the mass spectra of *RET*12-13, extended primers were supposed to be detected in 4821 dalton and 5309 in *RET* 13-14, and large amounts of cDNAs were detected in *RET* 12-13 and *RET* 13-14. By calculating Z score, *RET* fusion was determined.



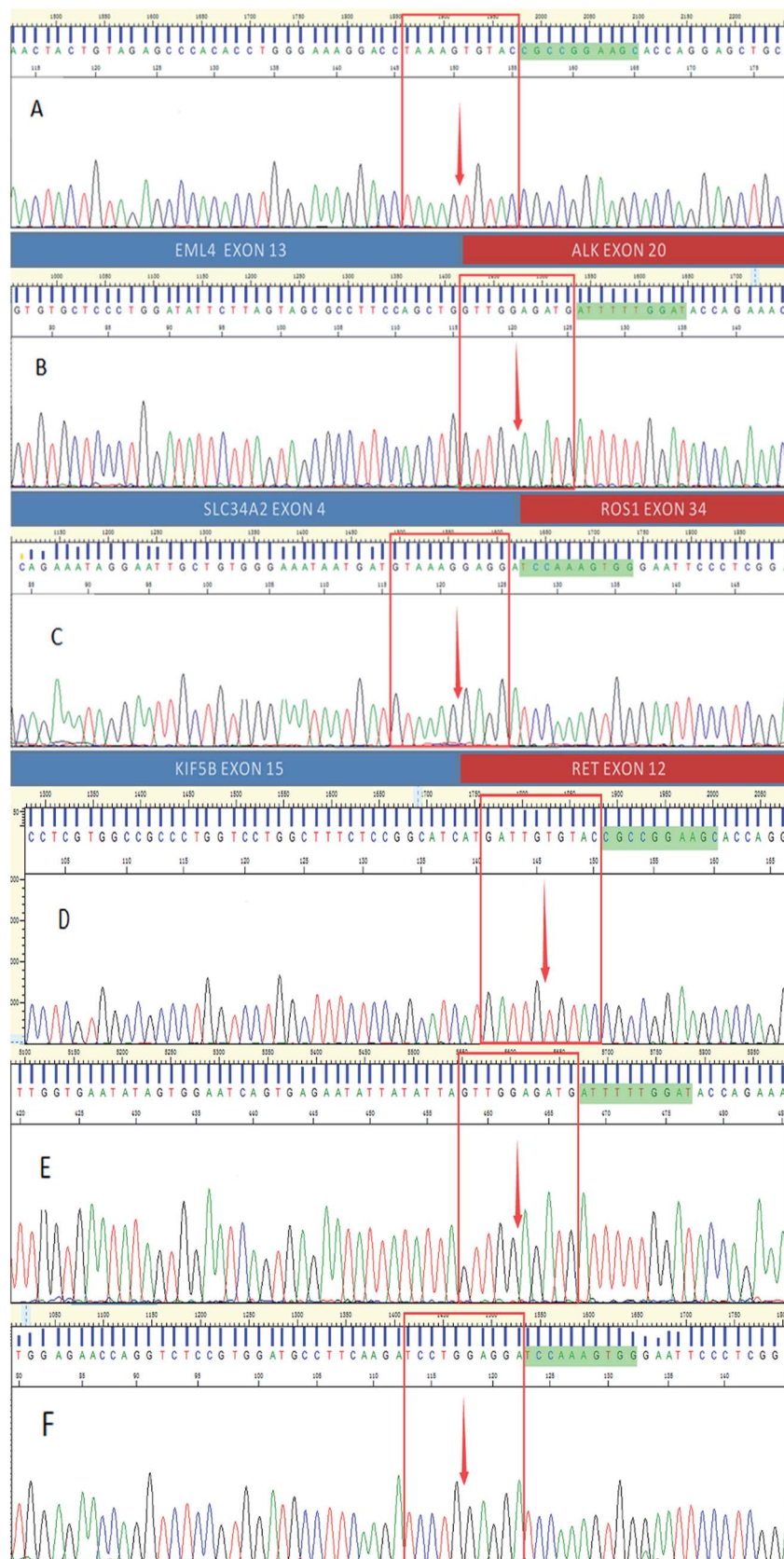


Fig. 5 Results of Sanger sequencing. A is *ALK* fusion positive (E13, A34), the sequence before the arrow belongs to *EML4*, the sequence after the arrow belongs to *ALK*, B is *ROS1* fusion positive (S4, R34), the sequence before the arrow belongs to *EML4*, the sequence after the arrow belongs to *ROS1*, C is *RET* fusion positive (K15, R12), the sequence before the arrow belongs to *EML4*, the sequence after the arrow belongs to *RET*, D is *ALK* fusion negative, E is *ROS1* fusion negative, F is *RET* fusion negative.





$$Z = \frac{3' \% - \text{median}}{\text{st}} \quad (1)$$

when  $Z$  score  $\geq 3$ , sample was determined as fusion positive.

## 2.5 Validation of clinical samples

A total of 34 clinical samples were tested by array-based MALDI-TOFMS, Sanger sequencing and NGS and the results were compared.

## 3. Results

### 3.1 Results of three cell lines and negative samples

Three cell lines (H2228, *ALK* positive; HCC78, *ROS1* positive; LC-2/AD, *RET* positive) and negative samples were used as positive and negative standard to establish DR MassARRAY and all these samples were also tested by Sanger sequencing and NGS. The results are listed in Table 3.

As showed in Table 3, the results of array-based MALDI are totally in accordance with Sanger sequencing and NGS.

### 3.2 Results of 34 clinical samples

After the establishment of array-based MALDI-TOFMS, a total of 34 samples were performed fusion types detecting with three methods and the results are listed in Table 4.

As shown in Table 4, a total of 34 samples were analyzed by array-based MALDI-TOFMS, Sanger sequencing and NGS. Of these, 12 cases contain fusions gene. 7 samples were *ALK* positive, 1 sample was *ROS1* positive, 4 samples had both *ALK* and *ROS1* fusions gene and no *RET* fusions gene were detected. Comparison of the three methods showed that the results of array-based MALDI-TOFMS were fully concordant with Sanger sequencing and 82.3% with NGS.

**3.2.1 Array-based MALDI-TOFMS.** Array-based MALDI-TOFMS is a promising method detecting fusion types by the expression of 3' and 5' of fusion genes. The positive results of *ALK*, *ROS1* and *RET* fusion genes are as follows.

Fig. 2–4 show the results of *ALK*, *ROS1* and *RET* fusion positive tested by array-based MALDI-TOFMS. We tested 34 clinical samples of which 12 samples were positive for fusions. 7 were *ALK* positive, 1 was *ROS1* positive and 4 were both *ALK* and *ROS1* positive, which agreed with the results from the Sanger sequencing, but was 82.3% concordant with NGS.

**3.2.2 Sanger sequencing.** Sanger sequencing is the gold standard for sequencing and it was performed as the standard of these samples, the results are as follows.

Fig. 5 shows the results of *ALK*, *ROS1* and *RET* fusion positive and negative tested by Sanger sequencing. A total of 34 samples were tested and gave the same results as array-based MALDI-TOFMS. Sanger sequencing provided more information than array-based MALDI-TOFMS, showing that among the 7 *ALK* fusion samples, six *ALK* fusions were an *EML4-ALK* (E13, A20) and 1 sample was an *EML4-ALK* (E13, A20) (E20, A20), and sample 8 was *ROS1* (S4, R32) (S4, R34) fusion. 4 samples were both *ALK* and *ROS1* fusions, no *RET* fusion was detected.

**3.2.3 Next-generation sequencing.** A total of six samples gave different results between Sanger sequencing and array-based MALDI-TOFMS. This included the exon difference in sample 03, a false *ALK* positive in sample 10, a false *ALK* negative in samples 09, 15 and 16, and a false *ROS1* negative in sample 14. In conclusion, the NGS method matched 82.3% with Sanger sequencing.

## 4. Discussion

*ALK*, *ROS1* and *RET* fusions are important biomarkers for the detection of fusions in cancer,<sup>22–24</sup> and a cost-effective, rapid and sensitive method that are capable of screening multiple fusions is required.

In the present study, we established a method to detect *ALK*, *ROS1* and *RET* fusions gene in one single tube, three cell lines (H2228, *ALK* positive; HCC78, *ROS1* positive; LC-2/AD, *RET* positive) and negative samples were used as standard. At the meantime, 128 negative samples were collected to establish baseline by giving median and variance,  $Z$  score would be calculated to determine fusion types. Then we collected 34 FFPE samples which were not randomly collected but partly validated by FISH previously to test this method and compared results with Sanger sequencing and NGS.

The blinded evaluation of these three methods revealed that array-based MALDI-TOFMS was fully concordant with Sanger sequencing but 82.3% concordant with NGS. However, with the limited cohort size, our statistical power for the analysis of all the three fusions was insufficient. A further assessment of these platforms with a larger number of samples is warranted.

Array-based MALDI-TOFMS requires a cDNA synthesis step, an amplification step, an annealing and single base extension step followed by MALDI-TOFMS whereby the masses of extended primers are obtained. Each extension primer was along with 250 copies competitive sequence to evaluate the 3' and 5' section expression of fusion gene. The  $Z$  scores of each fusion would be calculated to determine fusion type of samples.

In this study, this method provides a high-throughput, sensitive and cost-effective way to detect *ALK*, *ROS1* and *RET* fusions. Array-based MALDI-TOFMS is an efficient method because it detects three fusion types in one tube, but Sanger sequencing needs at least 10 tubes. In addition, it requires no professional analysis which means the results are more objective. The whole process takes only one day to get results which is much faster compared with three or four days of NGS. However, this method is unable to identify specific fusion types and it is therefore limited for fundamental research. In this way, the method can not only be time and cost saving but also reduced the need of samples. However, we did not find *RET* fusion samples as this fusion only accounts for less than 1% and are hard to collect.<sup>25</sup>

The NGS fusion panel provides not only different fusion types but also the sequence information. Furthermore, it requires only 10 ng of total RNA, 10-fold less RNA than array-based MALDI-TOFMS requires. Ali and co-authors suggested that NGS could be especially useful for detecting *ALK* rearrangements.<sup>26</sup> However, the disadvantages, such as expense and



more effort for analysis it required are two major problems for large-scale application of NGS.<sup>27</sup> Besides, because of the short sequence of fusion genes, NGS may not reach a precise result.

As the gold standard sequencing method, Sanger sequencing has been applied in many laboratories. Though this method did not approach a high precision in mutation detecting, it still cannot be replaced by new methods like NGS, especially when involves low-quality single-nucleotide variants and insertions or deletions <10 bp.<sup>28–30</sup> The reasons why Sanger sequencing has not been used in large-scale application are the high cost and professional results analysis.<sup>31</sup>

Progression-free survival was significantly longer with targeted medicine than chemotherapy. For examples, patients harboring ALK positive lung cancer treated with crizotinib got a longer progression-free survival than chemotherapy.<sup>32</sup> And crizotinib to ROS1 fusion,<sup>33</sup> alectinib for RET fusion also approached good effect.<sup>34</sup> Fusion gene detecting is vital for patients to get a reasonable treatment. Besides, it can also optimize the clinical resource, reduce the pain and save cost. Methods for detecting fusion gene largely applied in clinical are FISH and IHC, however, these two methods are limited in throughput, sensitive and cost. FISH and IHC detect one fusion of samples a time, and according to the study of Yi-cheng Wu, these two methods are less sensitive.<sup>35</sup> Besides, these two methods are expensive, about 7200yuan for FISH and 1950yuan for IHC.<sup>36</sup> Array-based MALDI-TOF is quite a method to overcome the three problems. It detects three fusion gene of 96 samples a time and according to our study, it reached a high accordance with Sanger sequencing, lastly, it costs less than 100yuan per sample.

## 5. Conclusion

We established a high-throughput, sensitive and cost-effective screening method for detecting *ALK*, *ROS1* and *RET* fusions which expanded the application of mass spectra. Our findings validate this promising method that is a favorable alternative to FISH testing. Although the further evaluation with a larger number of samples would be carried. The developed method showed the potential in single-nucleotide variants, ctDNA detecting and may also be applied to the diagnosing, monitoring and prognosis of illness.

## Conflict of interest

None.

## Acknowledgements

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