Photoluminescence color stability of green-emitting InP/ZnS core/shell quantum dots embedded in silica prepared via hydrophobic routes†

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In this work, green-emitting InP/ZnS quantum dots (QDs) modified with 1-dodecanethiol were embedded into silica by two methods to improve their photostability while maintaining a high photoluminescence quantum yield (PLQY) and a color coordinate. A monolithic QD-silica composite prepared by a non-aqueous route with tetraethyl orthosilicate and lactic acid featured low transparency, a loss of the color purity of green, and a PLQY of 1.6%, which was considerably lower than that of the original QDs (67%). The decrease of the PLQY was attributed to QD aggregation in the sol–gel process and degradation of the QDs by the acid. The alternative method involved stirring a toluene dispersion of the QDs with tetramethyl orthosilicate (TMOS) for 20 h or 7 days. The PLQY of the TMOS-modified InP/ZnS QDs (20 h) was 62%, which was only slightly lower than that of the original QDs. The PLQY decreased to 52% when the duration of aging was prolonged to 7 days. This decrease was attributed to desorption of surface modifiers from the QD surface and oxidative degradation by oxygen dissolved in toluene. Herein, the color coordinate was maintained stably. Photostability was evaluated by continuous irradiation of the samples by a blue light emitting diode. The decrease of photoluminescence (PL) intensity was suppressed by the silica encapsulation. In particular, the PL intensity of the TMOS-modified InP/ZnS QD sample (7 d) maintained 99% of its initial intensity. Silica encapsulation of InP/ZnS QDs prevented contact of the QDs with oxygen in the air, resulting in improved photostability.

1 Introduction

Fluorescent quantum dots (QDs) are nano-sized crystals of a semiconductor, which have widened bandgaps owing to quantum size effects. QDs are useful for a range of applications such as displays, white light emitting diodes (LEDs), and bio-imaging owing to their tunable emission wavelength and high photoluminescence quantum yields (PLQYs). Although CdS and CdSe QDs are representative materials showing strong light emission in the visible spectrum, the high toxicity of Cd is a serious obstacle to practical applications of these materials. Cd-free alternatives are therefore highly desired. InP QDs have attracted attention as a Cd-free material for applications to displays and bioimaging because of their efficient visible luminescence through a direct transition.1-4 This material is synthesized by non-aqueous liquid phase methods.5,6 Furthermore, core–shell type InP/ZnS QDs can be prepared by growth of a ZnS shell on the InP core to passivate surface defects that contribute to non-radiative relaxation. Yang et al. reported a PLQY of more than 60% for InP/ZnS QDs with a narrow emission peak, the position of which could be tuned in the visible region.6 Such fluorescent materials with high PLQYs and color purity are required for wide color gamut displays.

For applications of QDs to opto-electronic devices, the PL intensity of QDs should be maintained under conditions of long-term continuous excitation. However, InP QDs degrade through photooxidation of their surfaces.7 This problem is not completely resolved by ZnS shell growth over the InP core.8 Additional approaches to stabilizing QDs involve preventing contact with air by embedding the QDs in a transparent matrix, such as poly(methyl methacrylate) (PMMA)9 or silica.9 This encapsulation effectively suppresses photooxidation of the QD surface by oxygen. The gas-barrier properties and chemical
stability of silica are superior to those of PMMA; thus, protection of InP/ZnS QDs by silica shows great potential for improving their photostability.

Most composites of nanocrystals and silica are prepared by sol–gel methods involving alkoxysilanes. One problem with these approaches is that HCl is generally used as a catalyst to promote gelation in the sol–gel technique; however, QDs are damaged by strong acid. Moreover, it is technically difficult to maintain the dispersion of QDs and avoid aggregation in the sol, which is a mixture of a hydrophilic liquid, lipophilic liquid, and an amphiphilic liquid with the desired composition. The aggregation of QDs increases the probability of self-absorption and is accompanied by a redshift of the emission peak and a decrease of the PLQY.

We have previously focused on a tetramethylethlammonium silicate (TMAS) aqueous solution as a silica source. Monolithic silica samples were fabricated from this solution through a sol–gel method following the addition of an ester compound as a gelling agent. In our previous work, we prepared monolithic and transparent TMAS-derivatized silica composites embedded with negatively-charged fluorescent nanoparticles, e.g., YVO₄:Bi³⁺, Eu³⁺, CuInS₂/ZnS QDs, and InP/ZnS QDs. In these works, hydrophilization of the QDs was required because they featured a hydrophobic surface owing to their modification with 1-dodecanethiol (DDT). Hydrophilization of the nanoparticles was achieved by exploiting interactions between amphiphilic molecules and hydrophobic ligands on the QD surface, and ligand exchange of the hydrophobic ligands with hydrophilic ligands. We hydrophilized the CuInS₂/ZnS and InP/ZnS QDs by exchanging adsorbed DDT with 3-mercaptopropionic acid (MPA), followed by dispersion in an aqueous TMAS solution and gelation. The fabricated silica composite with the embedded fluorescent nanoparticles was highly transparent and photostable under excitation. However, the PLQY of the InP/ZnS QDs decreased from 51.6% to 19.2% through the hydrophilization process. This decrease might be attributed to desorption of the surface ligands accompanied by the generation of surface defects. The reduced PLQY is a considerable disadvantage of the hydrophilization process by ligand exchange, as has been previously reported. Tamang et al. reported quenching of stearate-modified InP/ZnS through hydrophilization by ligand exchange using various thiol molecules having a carboxylate group in their structure, which led to a 4–42% retention of the initial PL intensity. Hence, non-aqueous techniques for embedding hydrophobic QDs in a silica matrix without ligand exchange treatments are therefore required to suppress the PLQY decrease.

In this work, we report: (i) a non-aqueous fabrication of a monolithic silica composite containing green-emitting InP/ZnS QDs via a sol–gel method and (ii) encapsulation of QDs into silica through modification of alkoxysilane on the QD surface with only traces of water in a solvent. Method (i) involves the preparation of silica from carboxylic acid and an alkoxysilane, as reported by Sharp. We fabricated monolithic silica composites through gelation of a mixture of a carboxylic acid and tetraethylorthosilicate (TEOS) dispersion containing DDT-modified InP/ZnS QDs. A carboxylic acid with a pKa < 4 was required for gelation in this method. However, a strong acid would degrade the QDs. Therefore, lactic acid with a pKa = 3.86 was chosen. Method (ii) refers to an approach previously used by Huang et al. to improve the stability of QDs by silica encapsulation. They prepared silica spheres embedded with oleate-modified CH₃NH₂PbBr₃ QDs by the addition of tetramethyl orthosilicate (TMOS) into a toluene dispersion of the QDs, through stirring at room temperature. The mechanism of silica generation was considered to be hydrolysis and condensation of TMOS on the QD surface owing to traces of water in the toluene solution (0.0623%). Herein, TMOS was used because of its high hydrolysis rate. The positive charge of silicon increases when the number of carbon atoms in the alkyll chain decreases. This results in the increase of the sensitivity toward hydrolysis; hence, TMOS is preferable to TEOS for this purpose. Silica composites embedded with InP/ZnS QDs can be fabricated by exploiting this acid-free method based on traces of water in the solvent. We characterized properties of our silica composites prepared by the above two methods including the structure, morphology, light absorption, color coordinate calculated from PL spectrum, PLQY, and photostability.

2 Experimental section

2.1 Reagents

A 1-octadecene dispersion of as-received InP/ZnS QDs capped with DDT (0.6 wt%, SHOEI CHEMICAL), hexane (95.0%, Kanto Chemical), toluene (>99.5%, Kanto Chemical), and TEOS (99.9%, Kanto Chemical) was used. Hexane, toluene, acetone, and TMOS were dehydrated over molecular sieves (3 Å 1/8, Wako Pure Chemical Industries) prior to use.

To prepare a dried powder of the as-received InP/ZnS QDs, dehydrated acetone (20 mL) was added to 6.0 mL of the QD dispersion, followed by ultrasonication. Precipitated QDs were obtained by centrifugation at ~16 000 × g (12 000 rpm, with a 10 cm diameter rotor) for 15 min. After removal of the supernatant, the obtained precipitate was dispersed in a mixture of dehydrated toluene (10 mL) and dehydrated acetone (20 mL) under ultrasonication and centrifuged at ~16 000 × g for 15 min. This procedure was repeated twice. The powder sample was prepared by drying the final precipitate under vacuum for 1 day.

2.2 Preparation of monolithic QD-silica composite by a sol–gel method with TEOS

InP/ZnS QD powder (14 mg) was dispersed in TEOS (1.5 mL) by ultrasonication. The prepared TEOS dispersion of QDs (9.3 mg mL⁻¹) was mixed with lactic acid (1 mL) under stirring for 2 min and then poured into a polystyrene mold (25 mm × 25 mm × 15 mm) before gelation. After drying in an electric descicator for 5 days, a monolithic InP/ZnS QD-embedded silica composite sample was obtained. A certain degree of shrinkage was observed during drying. The final QD concentration was 1.1 wt%, as calculated from the weight of the fabricated...
composite (1.28 g). The blank sample without QDs was also prepared from only TEOS and lactic acid in the same manner.

2.3 Preparation of QD-silica composite by TMOS modification

The as-received InP/ZnS QD powder (12.8 mg) was dispersed in toluene (20 mL) by ultrasonication. Herein, as-purchased toluene was used without dehydration because a trace amount of water is needed for hydrolysis of the TMOS. The TMOS (100 μL) was added to the QD dispersion (0.64 mg mL⁻¹) and this dispersion was aged for 20 h or 7 days with stirring at room temperature. The aged dispersion was transferred to a round-bottom glass flask and then evaporated under reduced pressure at 30 °C for 90 min to remove toluene and residual TMOS. The remaining paste was dispersed in toluene (1 mL) for retrieval from the flask. This dispersion was finally vacuum dried for 1 day in a glass vial to yield TMOS-modified InP/ZnS QDs (20 h or 7 d). Dispersions of TMOS-modified InP/ZnS QDs (20 h and 7 d) were also prepared by dispersing the dried QDs in dehydrated toluene.

2.4 Characterization

X-ray diffraction (XRD) profiles of dried samples were obtained with an X-ray diffractometer (Rint-2200, Rigaku) with a Cu Kα radiation source and a monochromator. Elemental compositions of dried samples were determined by the fundamental parameter method using an X-ray fluorescence (XRF) analyzer (ZSX mini II, Rigaku). Fourier transform infrared (FT-IR) spectra of dried samples dispersed in KBr disks were measured on a spectrometer (FT/IR-4200, JASCO). The QD morphologies were observed with a field-emission transmission electron microscope (TEM; Tecnai 12, FEI). TEM samples were prepared by drying a drop of the QD dispersion on a collodion-coated copper TEM grid (COL-C10, Oken Shoji) in an electric desiccator for 1 day or more.

Ultraviolet/visible (UV-vis) absorption spectra of QD dispersions and transmission spectra of monolithic samples were measured with a UV/visible/near-infrared optical absorption spectrometer (V-570, JASCO). PL spectra were collected with a fluorescence spectrometer (FP-6500, JASCO). Color coordinates were calculated from the recorded PL spectra. The absolute PLQY values of the dried samples and monolithic composites were measured on the same spectrometer equipped with an integrating sphere (ISF-513, JASCO) based on the following equation:

\[
\Phi = \frac{I_{em}}{I_{ex} - I_{ref}}
\]

where \(\Phi\) is PLQY, \(I_{em}\) is the integrated emission intensity of the sample, \(I_{ex}\) is the integrated intensity of the incident excitation light (\(\lambda_{ex} = 468.3\) nm), and \(I_{ref}\) is the integrated intensity of the excitation light reflected by the sample. A reflectance standard (Spectralon SRS-99, Labsphere) was used to determine \(I_{ex}\). The absolute PLQYs of the QD dispersions at \(\lambda_{ex} = 468.3\) nm were measured with a quantum efficiency measurement system (QE-2000, Otsuka Electronics). A flat panel blue LED (TE-4556, Nissin Electronics) equipped with a power supply (LPR-10W, Nissin Electronics) was used for photostability testing (see Fig. S1 in ESI†). Its luminescent wavelength and irradiance were 468.3 nm and 34 W m⁻², respectively.

3 Results and discussion

3.1 Characterization of monolithic InP/ZnS QD-silica composite

Fig. 1 shows photographs of the monolithic blank silica without QDs and a silica composite containing InP/ZnS QDs. The blank sample was colorless and transparent to the naked eye. The silica composite with the embedded QDs appeared to be translucent and showed emission of green light from the QDs under UV excitation. The transmission spectra in Fig. 2 show that the blank sample had a transmittance greater than 80% in

Fig. 1 Photographs of monolithic (a) blank silica and (b and c) silica composite containing InP/ZnS QDs (20 mm × 20 mm × 3.6 mm) under (a and b) white light and (c) 365 nm UV light.
the visible region, whereas the transmittance of the QD-silica composite was less than 25%. This low transmittance was attributed to increased light scattering by QD aggregates. The as-received InP/ZnS QDs modified with DDT were dispersed in TEOS to form a transparent dispersion (see Fig. S2 in ESI†). The dispersion became turbid within a few minutes of mixing. The QD dispersions modified with DDT therefore did not show long-term stability in TEOS. Furthermore, the hydrophobicity of the sol decreased over time owing to the generation of polar molecules in the sol–gel process,29 which promoted QD aggregation.

The normalized PL spectra of the QD dispersions in toluene and TEOS were similar, as shown in Fig. 3. Their color coordinates were outside of the BT.709 color gamut, which is the traditional format of high-definition television, as shown in the chromaticity diagram. However, the PL peak of the monolithic silica composite showed a red-shift, likely because of enhanced self-absorption resulting from QD aggregation. Accordingly, its emission color was closer to the BT.709 color gamut, indicating a loss of green color purity. The PLQY of the monolithic silica composite was 1.6%, which was considerably lower than that of the QD toluene dispersion (67%). The decrease of PLQY can be attributed to degradation of the QDs in the presence of lactic acid and aggregation effects. The volume fraction of the TEOS : lactic acid used in the fabrication of the monolithic samples was 3 : 2; hence, a large amount of acid remained in the prepared solids. The PLQY decrease should be suppressed by reducing the amount of acid used. We therefore prepared monolithic silica without QDs at a volume fraction of 3 : 1. However, a transparent gel was not obtained under this condition (see Fig. S3 in ESI†), indicating that this amount of carboxylic acid might not be sufficient to achieve complete and homogeneous gelation of the sol.

3.2 Characterization of TMOS-modified InP/ZnS QDs

3.2.1 Structures and compositions. Fig. 4 shows XRD profiles of as-received InP/ZnS QDs, TMOS-modified InP/ZnS QDs (20 h), and TMOS-modified InP/ZnS QDs (7 d). These profiles corresponded to the ICDD card data of zinc blende ZnS. The lack of InP peaks was attributed to the low diffraction intensity of InP owing to its strong X-ray absorption.16 An amorphous halo of silica was not clearly observed for the TMOS-modified InP/ZnS QDs (20 h and 7 d), which suggested that only a small amount of silica coated each QD. However, the XRF analysis confirmed the presence of In and Si in the TMOS-modified InP/ZnS QDs prepared by both routes. The molar ratio of Si to In for the TMOS-modified InP/ZnS QDs increased from 5.9 to 19.1 when the aging was prolonged from 20 h to 7 days, which suggested that growth of a silica shell proceeded in this time. FT-IR analysis confirmed the presence of silica, as shown in Fig. 5. In the FT-IR spectrum of TMOS, the peak at
the progress of the TMOS hydrolysis. \(n\) attributed to stretching vibrations of SiO and CO; \(n\) determined. The FT-IR spectrum of the TMOS-modi ed InP/ZnS QDs, although a precise assignment could not be observed at \(\sim 1100\) cm\(^{-1}\), assigned to asymmetric stretching vibrations of Si-O-Si; \(\nu_{as}(Si-O-Si)\). This is a characteristic feature of silica gel. The aging duration of 20 h was therefore considered to be too short to generate an adequate amount of silica through hydrolysis and condensation of TMOS. From the XRF and FT-IR analyses, we concluded that silica formed in the acid-free solution containing trace amounts of water under stirring at room temperature.

3.2.2 Particle morphologies. TEM images of the as-received InP/ZnS QDs and TMOS-modified InP/ZnS QDs (20 h and 7 d) are shown in Fig. 6. The mean particle size of the as-received InP/ZnS QDs was 4.3 \(\pm 1.2\) nm, as calculated from the size distribution by TEM observation [Fig. 6(d)]. An aggregate of QDs, \(\sim 30\) nm in size, was observed for the TMOS-modified InP/ZnS QDs (20 h). Furthermore, larger aggregates, 60–250 nm in size, were formed for TMOS-modified InP/ZnS QDs (20 h). The observed aggregates might be composed of QDs combined with silica derived from TMOS. Prolonged aging promoted silica generation, resulting in the formation of larger aggregates.

3.2.3 Optical properties. Toluene dispersions of InP/ZnS QDs and TMOS-modified InP/ZnS QDs (20 h and 7 d) at a QD concentration of 0.5 mg mL\(^{-1}\) appeared to be highly transparent (see Fig. S4 in ESI†). Fig. 7 shows the UV-vis absorption spectra and Tauc plots of the dispersions. Herein, the Tauc plots were calculated according to eqn (2) to determine the band gap \(E_g\) of the QDs.

\[
\frac{(a \alpha h^2)}{\gamma} = A(h \nu - E_g),
\]

where \(\alpha\) is the absorbance, \(h\) is the Planck constant, \(\nu\) is the frequency, and \(A\) is a constant. In the measurements of UV-vis absorption spectra, the absorbance values of the solutions at 400.0 nm were adjusted to be 0.05 by diluting the QD concentration. The three UV-vis absorption spectra overlaid each other over the whole region. The band gap determined from Tauc plots was 2.35 eV, revealing that the InP core size remained unchanged through the TMOS modification and aging processes. The InP core diameter was 4.5 nm as estimated from the size distribution by TEM. The InP core should occupy a large part of the InP/ZnS QD volume; however, its presence was not detected by XRD analysis as discussed above.

Fig. 8 depicts PL spectra of toluene dispersions, for which the absorbance at 400.0 nm was adjusted to be 0.05. No changes in the spectral shape and no peak shifts were found, which was consistent with the UV-vis spectroscopy results. As shown in the chromaticity diagram, emission color of the QDs was retained stably. The PLQYs of as-received InP/ZnS QDs and TMOS-modified InP/ZnS QDs (20 h) dispersed in toluene were 67% and 62%, respectively. The PLQY of TMOS-modified InP/ZnS QDs (7 d) was 52%. The TMOS modification and aging process decreased the PLQY; however, the values remained greater than 50%. For control experiments, toluene dispersions of the QDs without TMOS were prepared. After aging for 20 h or

\[\text{Fig. 4 XRD profiles of (a) as-received InP/ZnS QDs, (b) TMOS-modified InP/ZnS QDs (20 h), and (c) TMOS-modified InP/ZnS QDs (7 d). ICDD card data for zinc blende InP and ZnS are also shown for reference.}\]

\[\text{Fig. 5 FT-IR spectra of (a) as-received InP/ZnS QDs, (b) TMOS-modified InP/ZnS QDs (20 h), and (c) TMOS-modified InP/ZnS QDs (7 d). TMOS is also shown for reference.}\]
7 days, similar decreases of the PLQY were observed in both cases (see Table S2 in ESI†), indicating that the TMOS modification itself was not responsible for the PLQY decrease. Possible mechanisms for the decrease include desorption of DDT from the QD surface and oxidative degradation of the QD by oxygen dissolved in the toluene during the aging.

Photostability was tested by continuous irradiation with a panel blue LED for 1200 min. PL spectra were recorded over

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Fig. 6 TEM images of (a) as-received InP/ZnS QDs, (b) TMOS-modified InP/ZnS QDs (20 h), and (c) TMOS-modified InP/ZnS QDs (7 d). (d) Size distribution determined by TEM observations for the as-received InP/ZnS QDs.

Fig. 7 (A) UV-vis absorption spectra and (B) Tauc plots for toluene dispersions of (a) as-received InP/ZnS QDs, (b) TMOS-modified InP/ZnS QDs (20 h), and (c) TMOS-modified InP/ZnS QDs (7 d). The absorbance of the dispersions at 400 nm was adjusted to 0.05 by modulating the QD concentration.
the irradiation duration (see Fig. S5 in ESI†). The PL peak showed no shift under continuous irradiation for any of the samples. Changes in PL intensity under continuous excitation are plotted in Fig. 9. The PL intensity was normalized at the initial value for each sample. In the early stage of the LED irradiation, we observed an increase of the PL intensity to 125% of its initial value at 30 min for the as-received InP/ZnS QDs. This phenomenon has also been reported for thiol-modified InP/ZnS QDs, although its mechanism has yet to be clarified. Subsequently, the PL intensity decreased to 83% at 180 min. Conversely, no notable changes were observed for the TMOS-modified InP/ZnS QD samples within 180 min. Hence, the irradiation duration was prolonged to 1200 min. The PL intensity of as-received InP/ZnS QDs finally decreased to 65%. However, the TMOS-modified InP/ZnS QD sample (20 h) maintained 80% of its initial value. Furthermore, the final PL intensity of the TMOS-modified InP/ZnS QD sample (7 d) was 99%, indicating its excellent photostability to blue light excitation. These results reveal that the photostability of the InP/ZnS QDs was improved by the silica encapsulation, which protected the QD from photooxidation by oxygen.

The PLQY and photostability of TMOS-modified InP/ZnS QDs (7 d) were superior to those of the TMAS-derived silica composite containing hydrophilized InP/ZnS QDs, as prepared through an aqueous sol–gel method reported in our previous report, which had a PLQY of 21.7% and showed PL decrease under blue light irradiation. The final PLQY achieved for the QD-silica composite fabricated by method (ii) was over 50% demonstrating the excellent photostability.

4 Conclusions

In this work, green-emitting InP/ZnS QDs modified with DDT were embedded into silica by two methods to improve their photostability while maintaining a high PLQY and a color purity in green luminescence. Monolithic silica composites from a non-aqueous route based on TEOS and lactic acid showed low transparency, a loss in the green color purity, and a PLQY of 1.6%, owing to QD aggregation in the sol–gel process and degradation of the QDs by the acid. In another method, a toluene dispersion of the QDs added to TMOS was aged for 20 h or 7 days with stirring at room temperature. The generation of silica was confirmed by FT-IR analysis, which revealed that hydrolysis and condensation of TMOS occurred in the presence of trace water in the system. The PLQY of the TMOS-modified InP/ZnS QDs (20 h) was 62%, which was slightly lower than the 67% of the original QDs. The PLQY decreased to 52% when the aging was prolonged to 7 days. This PLQY decrease was attributed to desorption of surface ligands from the QD surface and oxidative degradation by dissolved oxygen in toluene. Shape and position of the PL peak for each TMOS-modified sample were not changed from those of the as-received QDs.
The high color purity of green emission therefore was retained well even after silica encapsulation. Photostability testing was performed by continuous irradiation from a blue LED. The decrease of the PL intensity was suppressed by silica encapsulation. In particular, the PL intensity of the TMOS-modified InP/ZnS QDs (7 d) maintained 99% of its initial intensity. Silica encapsulation of the InP/ZnS QDs could prevent contact of the QDs with oxygen in the air, resulting in an improvement in the photostability. Consequently, excellent photostability with a PLQY greater than 50% was achieved for our silica and InP/ZnS QD composites. The good long-term photostability is attractive for practical applications. In particular, the excellent stability of the highly-pure green PL, in terms of intensity, peak width, and emission wavelength, is desirable for maintaining color tone in wide-color gamut display applications. Highly-photostable green-emitting fluorescent nanocomposites were successfully obtained through the second route using TMOS preventing significant degradation of PLQY and change in emission color. Such a fluorescent nanomaterial would be applicable to LEDs and bioassay as well as wide-color gamut displays.

Conflicts of interest

There are no conflicts to declare.

References

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