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Dopamine incorporating forward osmosis membranes with enhanced selectivity and antifouling properties†

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A new type of polyamide thin-film composite forward osmosis (FO) membranes were prepared by controlling dopamine self-polymerization in the aqueous phase during interfacial polymerization. The as-prepared membranes were investigated by attenuated total reflection Fourier transform infrared, X-ray photoelectron spectroscopy, field-emission scanning electron microscopy, atomic force microscopy and water contact angle measurements. The influence of the dopamine self-polymerization degree with different polydopamine particle sizes on membrane morphologies and chemical properties was studied by regulating dopamine concentrations in the aqueous phase. FO performance of the membrane was evaluated under two different modes, *i.e.* active layer facing draw solution (AL-DS) and active layer facing feed solution (AL-FS). The optimized FO membranes achieved a doubly enhanced water flux (22.08 L m⁻² h⁻¹) compared with the control membrane without dopamine incorporation, and a half-reduced reverse salt flux (32.77 mmol m⁻² h⁻¹) with deionized water as the feed and 1 M NaCl as the draw in the AL-FS mode. The optimized FO membrane showed a significantly reduced structural parameter (176 μm) compared with the control membrane (635 μm), indicating the minimised internal concentration polarization. Moreover, the new FO membranes had less flux decline than the control membrane, suggesting the improved antifouling performance of the membrane. Incorporation of dopamine during interfacial polymerization can be an effective strategy to fabricate high-performance FO membranes with excellent antifouling properties.

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1. Introduction

Nowadays, water shortage and the energy crisis are two huge challenges for many communities around the world due to the exponentially growing population and the depletion of fossil fuels.^{1,2} Forward osmosis (FO) has attracted increasing interest in desalination,³⁻⁵ wastewater treatment,^{6,7} osmotic membrane bioreactor,^{8,9} fertilizing^{10,11} and power generation.^{12,13} It is an alternative to reverse osmosis (RO) as a low-cost and more environmentally friendly technology addressing the two issues.¹⁴ Thin-film composite polyamide (TFC-PA) membranes consisting of an active layer and porous substrate layer have been widely investigated for FO due to their excellent separation

capability, wide range pH tolerance and independently tailorable support layer and active layer properties.¹⁵⁻¹⁸ Despite extensive study in TFC-PA membrane synthesis, the lack of high-performance membrane is a bottleneck in the development FO process.

Commonly, a desirable FO membrane requires (1) an ultra-thin highly selective layer, (2) a highly porous and hydrophilic support layer for water transfer and low internal concentration polarization (ICP) and (3) excellent antifouling properties.¹⁹⁻²³ However, the low water flux and membrane fouling are still two key problems in FO.^{18,24-28} Water molecules have to pass through the active layer, thus the thickness and hydrophilicity changes in membranes can make water transport faster by reducing the mass transfer resistance. It has been reported that the thinner and more hydrophilic polyamide layer improves the water permeability and anti-fouling property of the TFC membrane.²⁹⁻³² In addition, the reverse solute flux, namely, the salt diffusion from the draw solution to the feed solution, also has critical impacts on FO flux and membrane fouling. Therefore, an ultra-thin and hydrophilic active layer with desirable solute rejection, high water flux and antifouling property is a critical criterion for fabricating high-performance FO membranes.

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In the past years, mussel-inspired dopamine (DA) or polydopamine (PDA) chemistry, has opened a new route to high-performance membrane fabrication. PDA has been recently used to improve fouling resistance of ultrafiltration (UF)³³ and RO membranes³⁴ by increasing their hydrophilicity of either the selective layer or the support layer. Xi *et al.* designed a novel method of surface modification with DA and polyethylene (PE) to significantly enhance the permeability of the membranes.³⁵ Zhao *et al.* proved that sole DA in the aqueous solution in the interfacial polymerization process improved the membrane structural and chemical stability, yet sacrificed the membrane salt rejection.³⁶ Recently, Huang *et al.*³⁷ prepared three kinds (coated on top, bottom and dual surface substrate) of PDA modified substrates before the formation of TFC membranes, and a reduction of reverse solute flux was observed for the PDA coated top surface membranes. Han *et al.*¹⁸ prepared TFC FO membranes based on PDA modified polysulfone top surface of substrates, the thickness of the PA layer decreased while salt rejection increased with a short PDA coating time on membrane substrates. Xu *et al.*³⁸ reported the incorporation of DA as a co-reactant for FO membrane fabrication by introducing DA into *m*-phenylenediamine (MPD) solution. The prepared new membrane showed improved water flux but increased reverse salt flux and PA layer thickness compared with the control membrane. These different trends of salt permeability and PA layer thickness may be attributed to different dopamine concentrations mixed with MPD monomers which triggers dopamine self-polymerization difference with different PDA particle size thus alters the process of interfacial polymerization. Therefore, the effect of different dopamine concentrations mixed with MPD in the aqueous phase on FO membrane properties and performance should be investigated.

In this study, we fabricated DA-incorporating TFC FO membranes *via* manipulating the concentration of DA in MPD constantly dissolved solution (DA-MPD mixture) as the aqueous phase during interfacial polymerization to get a better understanding of high-performance DA-related FO membrane synthesis. The DA self-polymerization produces different size of PDA particles in different DA-MPD mixtures were studied *via* adjusting the concentrations of DA. State-of-the-art characterization techniques were applied to confirm the PA layer formation mechanism, including attenuated total reflection Fourier transform infrared (ATR-FTIR), X-ray photoelectron spectroscopy (XPS), field-emission scanning electron microscope (FESEM), atomic force microscope (AFM). This study offers significant insights into developing high-performance FO membranes by exploring the relationship between the dopamine self-polymerization in aqueous phase and FO performance.

2. Experimental

2.1. Materials

Polysulfone beads (PSf, average molecular weight (MW) ~22 kDa, Aldrich, USA), polyvinylpyrrolidone (PVP, average MW ~10 kDa), 1-methyl-2-pyrrolidinone (NMP, 99%, RCI LABSCAN LIMITED, Thailand) were used to make polysulfone substrate.

m-Phenylenediamine flakes (MPD, Aldrich, USA, 99%) was dissolved in aqueous phase. 1,3,5-Benzenetricarbonyl chloride (TMC, Alfa Aesar®, England, 98%) was dispersed in hexane, (Univar, Redmond, WA). Dopamine hydrochloride (International Laboratory, USA, 99%). For membrane performance tests, sodium chloride (NaCl, ACS reagent) was dissolved in deionized water (DI) obtained from a Milli-Q ultra pure water purification system (Millipore, Billerica, MA).

2.2. Preparation of polysulfone substrates

A desired micro-structure substrate was fabricated using PSf-PVP mixed casting solution. To prepare the casting solution, a mixed solvent system containing 15 g PSf, 8 g PVP and 77 g NMP was magnetically stirred for 24 h and then left standing for about 12 h at room temperature for degassing.^{39,40} A thin film (195 ± 10 μm) of the obtained cast solution was cast onto a clean and smooth glass plate by a membrane casting knife (RK Print Coat Instruments Ltd., UK). The whole composite was exposed in the air for about 10 s before it was immersed into a 60 °C DI water bath for 30 min to initiate the phase inversion. Afterwards, the membrane was removed from the water bath, thoroughly rinsed with Milli-Q water and then transferred to a 4 °C DI water bath for storage and later use.

2.3. Preparation of thin film composite (TFC) membranes

The TFC composite membranes were prepared *via* interfacial polymerization between the mixed-amine (MPD and dopamine) solution and TMC on the surface of the PSf substrates, shown in Fig. 1. Specifically, a phosphate buffered solution (PBS) was prepared by mixing 3.0 wt% MPD and different concentrations of dopamine (0.0, 0.01, 0.05, 0.10 and 0.30 wt%). At the same time, change of DA self-polymerization, namely, PDA particle sizes in the aqueous phase was analysed by a zetasizer (Zetasizer Nano ZSP, Malvern, UK). The PSf substrate was immersed in the prepared solution for 30 min to ensure complete soaking and appropriate self-polymerization of dopamine (form PDA),³⁶ then the excess solution was removed by slowly moving an air-knife (Cincinnati, Ohio USA) from one end to the another within 30 s. Then, the substrate was dipped in TMC solution (0.15 wt% in hexane) for 60 s, initiating interfacial polymerization. Next, the substrate was taken from the hexane organic phase and set vertically for 2 min to evaporate excess organic solution. Afterwards, a dry curing process was proceeded by putting the as-prepared substrate into an oven at a temperature of 90 °C for 5 min. Finally, the obtained composite membranes were washed and stored in 4 °C DI water until they were tested. These resultant membranes were denoted as TFC-0, TFC-1, TFC-2, TFC-3 and TFC-4, containing 0, 0.01, 0.05, 0.10 and 0.3 wt% of dopamine in aqueous phase, respectively.

2.4. Characterizations of thin film composite (TFC) membranes

The morphology of the membrane surfaces and cross-sections (freeze-dried, fractured in liquid nitrogen) was observed by FESEM (Merlin ZEISS GEMINI2) operating at 5 kV and a working distance of 6.5–7.0 mm. For the PA layer thickness



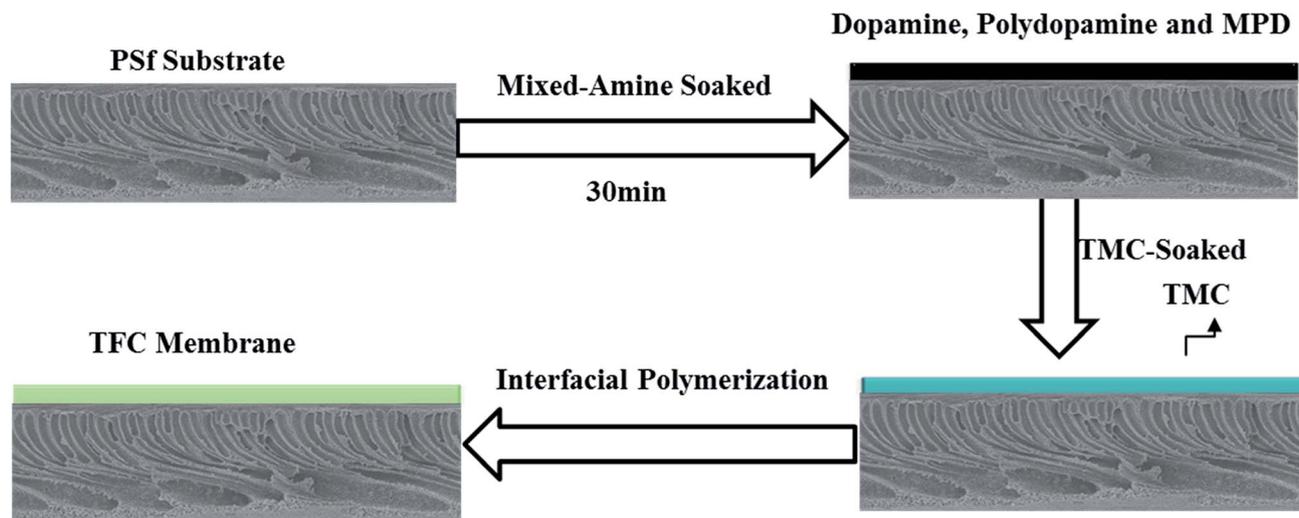


Fig. 1 Flow diagram of TFC membrane synthesis.

tests, 10 random measurements were conducted based on three different membranes in cross-section FESEM images. Surface roughness was measured by AFM (Asylum Research Cypher, Cypher S, USA). A tapping mode was operating at 20 ± 0.5 °C, with the images taken in the range of $5 \mu\text{m} \times 5 \mu\text{m}$. The mean roughness was used for membrane surface characterization. Surface chemical characterization and the degree of cross-linking of the skin layers were determined by XPS (ESCALA-b220IXL, VG Scientific Company Ltd., England) with an Al/K α ($h\nu = 1486.6$ eV) anode mono X-ray source. Water contact angles were measured using a contact angle system (Dataphysics OCA 20) by placing a $2 \mu\text{L}$ droplet on a dried membrane surface. For each membrane, 10 measurements were performed for each of

three independently casted membranes. ATR-FTIR spectroscopy instrument (Thermo Scientific Nicolet 6700) was used to confirm the change of the functional groups and element binding states of the polyamide skin layer.

2.5. FO performance tests

FO performance experiments were tested with a lab-scale filtration unit with an effective membrane area of about 42.0 cm^2 (Fig. 2). A commercial virgin PTFE cell (CF042-FO, Sterlitech Co.) was used to hold the membrane, having two rectangular channels with dimensions of 92.1 mm in length, 45.7 mm in width and 2.3 mm in depth. Co-current cross-flow was selected and the flow velocities were 4.9 cm s^{-1} for both the feed

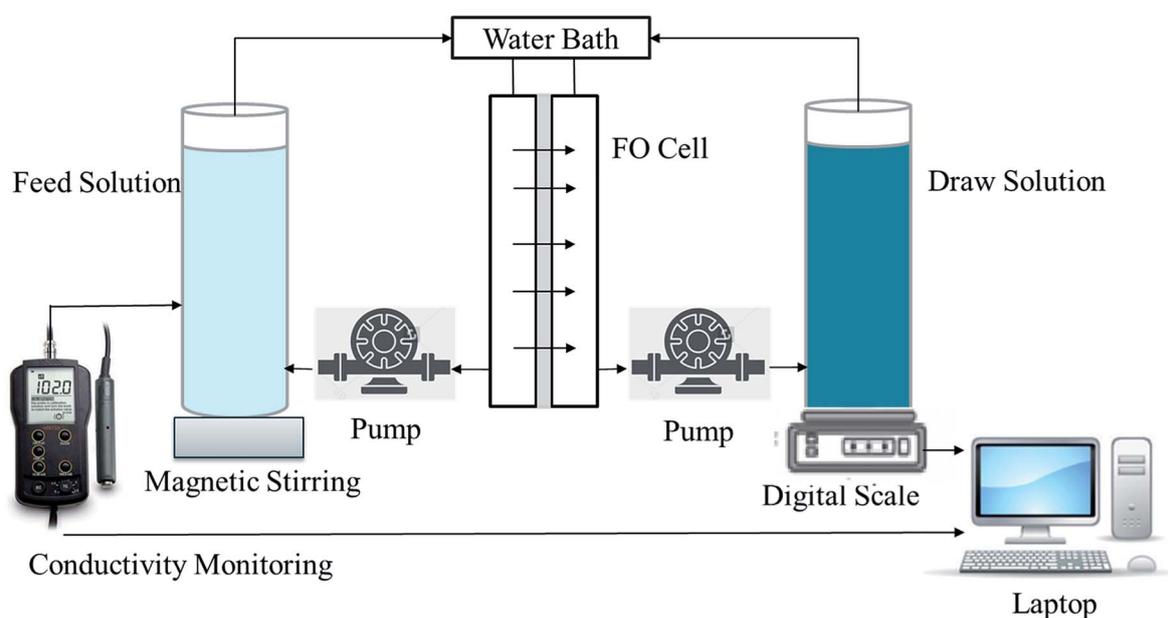


Fig. 2 Schematic diagram of FO performance testing system.



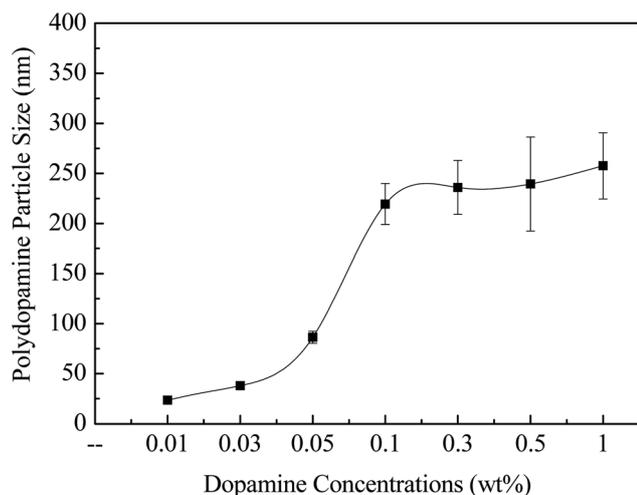


Fig. 3 Polydopamine particle size as a function of the dopamine concentration. The testing was performed in 30 ± 1 min and 3.0 wt% MPD monomers were dissolved in PBS solutions to simulate the environment in interfacial polymerization.

and draw solutions. The temperatures of the feed and draw solutions were controlled at 20 ± 0.5 °C. NaCl solutions with different concentrations (0.1, 0.5, 1.0, 2.0 M) were used as the draw solutions and a digital balance was connected a computer to monitor weight change of the permeated water at 30 s intervals (ML4002, METTLER TOLEDO). DI water was used as the feed solutions and a conductivity meter (Con 110, Oakton Instruments) was used to monitor the conductivity change at 60 s intervals for calculating the reverse solute flux of the membrane. Membranes were tested under two different modes: active layer facing draw solution (AL-DS) mode, and active layer facing feed solution (AL-FS) mode. Each test was conducted for 60 min in triplicate.

The water permeation flux (J_w) ($L m^{-2} h^{-1}$, LMH) was calculated by the following equation:

$$J_w = \frac{\Delta w}{\rho m_e t} \quad (1)$$

where Δw (kg) is the mass change of the draw solution over a period time t (h), ρ is the density of water ($kg L^{-1}$) and m_e is the effective membrane area (m^2).

The reverse solute flux, (J_s) ($mmol m^{-2} h^{-1}$), salt leakage or reverse diffusion from the draw solution to the feed solution, is an important parameter in FO determined by the increase of the feed solution conductivity.

$$J_s = \frac{\Delta C_t V_t}{m_e t} \quad (2)$$

where ΔC_t and V_t are the change of feed solution concentration and volume the feed solution at the end of FO experiment, respectively.

2.6. FO fouling experiments

FO fouling experiments were tested using the TFC-0, TFC-1 and TFC-4 membranes with the laboratory scale FO setup described in Section 2.5, based on the reported method.^{21,30,41,42} The feed

solution was made up of seawater collected from Briton Beach in Melbourne. The fouling experiments were performed under AL-FS mode with the same flow velocity ($4.9 cm s^{-1}$) for both the feed and draw solutions. Baseline experiments were performed using DI water to measure the water flux declines derived solely from reverse solute flux and draw solute diluted with fresh FO membranes. NaCl solutions of different concentrations were used to generate the same initial FO water flux (J_{w_0} , $10 L m^{-2} h^{-1}$). The fouling experiment was conducted at the same initial flux as the baseline experiment. Then, the fouling experiment data were corrected to eliminate the flux decline from reverse solute flux and draw solution dilution. This means the presented data demonstrate only the water flux decline due to membrane fouling. The baseline and fouling experiments were conducted up to 10 h. To evaluate the extent of irreversible fouling, the membranes were washed for 30 min using DI water through the feed and draw compartments at a cross-flow velocity of $14.7 cm s^{-1}$. Ultimately, water flux of the washed FO membrane was measured under the same conditions as the baseline experiments.

2.7. Determination of FO transport and structural parameters

Separation parameters of the FO membranes, the water permeability coefficient (A), salt permeability coefficient (B), and structural parameter (S) were evaluated by the classic method.⁴³ The method includes a four-stage FO experiment, each stage utilizing a different draw solution concentration. The water permeation flux (J_w) and reverse solute flux (J_s) were measured through non-linear regression based on eqn (3) and (4), in each experimental stage. The presented data are the average values based on triplicated measurements.

$$J_w = A \left\{ \frac{\pi_D \exp\left(-\frac{J_w S}{D}\right) - \pi_F}{1 + \frac{B}{J_w} \left[1 - \exp\left(-\frac{J_w S}{D}\right)\right]} \right\} \quad (3)$$

$$J_s = B \left\{ \frac{C_D \exp\left(-\frac{J_w S}{D}\right) - C_F}{1 + \frac{B}{J_w} \left[1 - \exp\left(-\frac{J_w S}{D}\right)\right]} \right\} \quad (4)$$

where D is the bulk diffusion coefficient of the draw solute and F represents for feed solution.

3. Results and discussion

3.1. The DA self-polymerization process in the aqueous phase

To select suitable DA concentrations for interfacial polymerization, the relationship between PDA particle sizes and DA concentrations was studied. Self-polymerization of DA forms PDA particles, and the reaction activity is high in the alkaline condition, reaching the highest level at pH 8.5.³⁵ Therefore, PBS at pH 7 was prepared to dissolve MPD and DA, which helps keep a mild and controllable self-polymerization of DA.^{35,36}



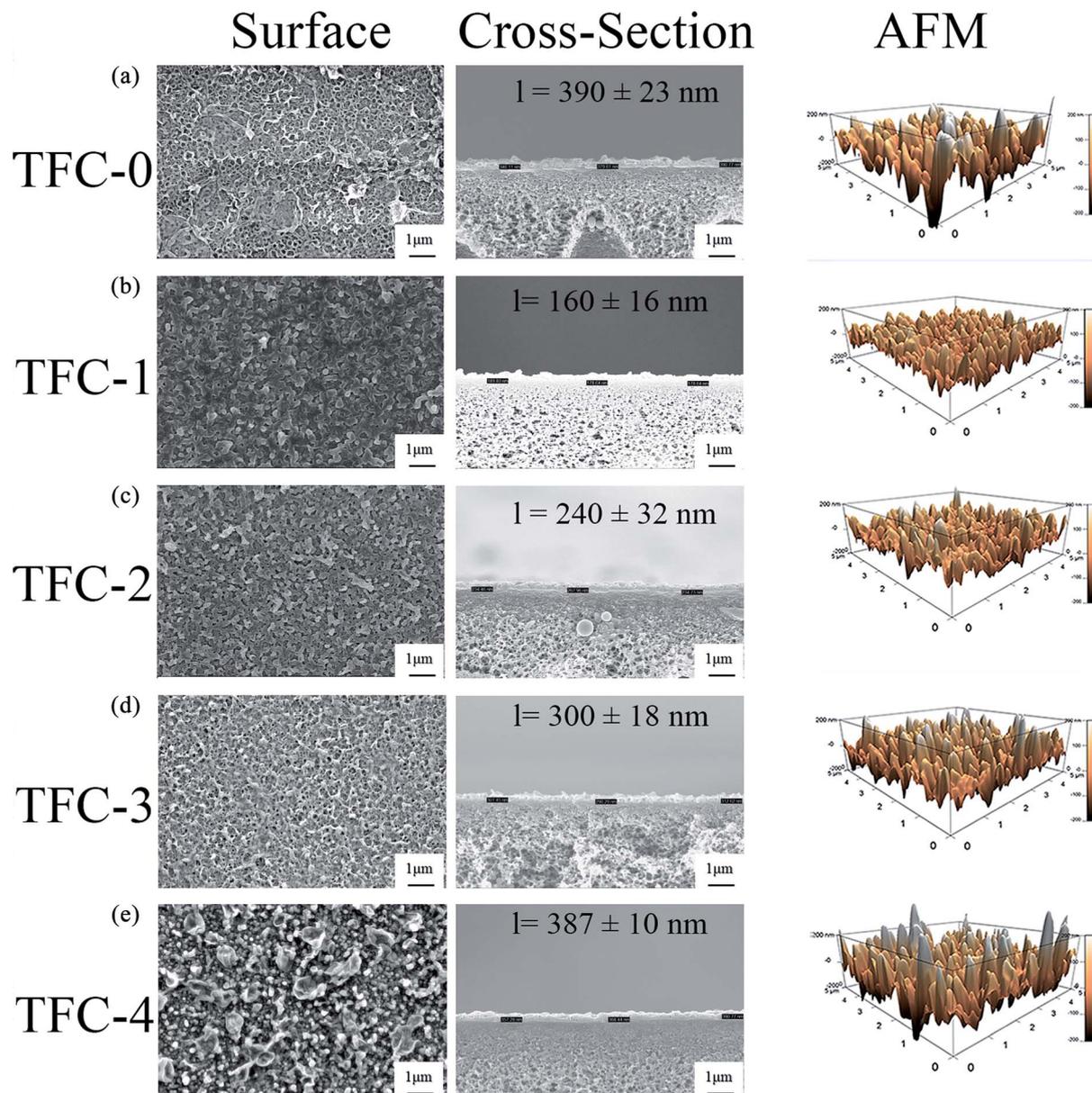


Fig. 4 SEM and AFM images of (a) TFC-0, (b) TFC-1, (c) TFC-2, (d) TFC-3, and (e) TFC-4, in which l means PA layer thickness.

Fig. 3 shows the relationship between PDA particle sizes and DA concentrations in the aqueous phase. The PDA particle sizes ascended as the DA concentration increased. As the DA concentration increased from 0.01 to 0.10 wt%, a significant dopamine self-polymerization was observed, with the PDA particle sizes increased from 23.4 nm at 0.01 wt to 219.4 nm at 0.10 wt%. Further increasing the DA concentration from 0.30 wt% to 1.0 wt% only resulted in a very small increase in PDA particle sizes, from 236.0 nm to 257.6 nm with much higher error bars, indicating the higher agglomeration due to the DA self-polymerization. Thus, we chose 0.01 wt% and 0.05 wt%, low DA concentration represented a relatively low-degree dopamine self-polymerization and small PDA particles in aqueous phase, while 0.10 wt% and 0.30 wt% high DA

concentration represented high-degree DA self-polymerization and big PDA particles in the aqueous phase in the following work.

3.2. Effects of DA self-polymerization on membrane morphologies

SEM images in Fig. 4 shows that FO membrane surfaces displayed “ridge and valley” and some “leaves” characteristics, which is consistent with the typical morphology of an interfacial polymerized PA membrane.^{17,18,21,44} An additional thin PA layer was observed on top of the porous support layer through the cross-sectional SEM images, which proved the successful interfacial polymerization. Increase DA concentration led to the changes in both surface and cross-sectional morphology.



Table 1 Water contact angles of the TFC FO membranes

| Membranes | TFC-0 | TFC-1 | TFC-2 | TFC-3 | TFC-4 |
|-------------------------|------------|------------|------------|------------|------------|
| Water contact angle (°) | 76.9 ± 3.3 | 49.1 ± 2.1 | 48.6 ± 1.7 | 44.2 ± 2.2 | 41.7 ± 2.8 |

With low DA concentration in the aqueous phase, that is, the DA self-polymerization degree was low, the “leaves” structure became more compact and denser, which is highly desirable for achieving high selectivity. In contrast, with a high-degree DA self-polymerization incorporation, the “leaves” structure became more loosely packed and rougher probably due to the excessive PDA particles aggregation on the membrane surface, which may compromise on the membrane salt rejection.

With incorporation of DA in low-degree self-polymerization, the average surface roughness (R_a) decreased from 68.1 nm for the TFC-0 membrane to 43.8 and 48.2 nm for the TFC-1 and TFC-2 membranes, respectively, which may be favourable for reducing the adhesion of contaminants. While the membranes incorporated with higher degree DA self-polymerizations, namely the TFC-3 and TFC-4 membranes show R_a values of 64.5 and 68.4 nm, respectively, similar to the surface roughness of the nascent membrane. Apart from this, when compared with the control membrane, the PA layer thickness of the modified membranes increased from a half-reduced (160 nm) thickness to a similar thickness (387 nm) of the control membrane (390 nm) when the concentration of DA was increased from 0.01 wt% to 0.30 wt%. Generally, TMC monomers are less soluble in the aqueous phase, thus interfacial polymerization occurs in the organic phase. MPD monomers⁴⁵ (Fig. S-1†) are required to diffuse from the aqueous phase to the organic phase to react with the TMC monomers. For the TFC-1 and TFC-2 membranes, the diffusion rate may be hindered due to the presence of small PDA particles in the aqueous phase and substrate pores, thus limited the polymerization reactions between MPD and TMC monomers, which led to the formation of thinner but compact active layers, similar to the recent published work “Turing structure” polyamide, that the existence of hydrophilic materials in aqueous phase would alter polyamide structure and

morphology.^{46,47} When the DA self-polymerization process increased significantly thus PDA particles were big enough to anchor on the substrate, more MPD monomers may be able to diffuse into the organic phase due to the less attractive force between MPD monomers and PDA particles. Thus, the PA layer thickness of the TFC-3 and TFC-4 membrane increased to approximately the same value of the controlled membrane. Moreover, attributed to the aggregation and steric hindrance of the big PDA particles due to high-degree DA self-polymerization, loosely-packed and thick active layers were formed.

It is expected that the introduction of DA and PDA (after DA self-polymerization) with abundant amine and hydroxyl groups may result in an enhanced surface hydrophilicity. The water contact angle results from Table 1 confirmed these hypotheses. The membrane surface hydrophilicity increased with increasing the DA concentration in the aqueous phase. The water contact angle dropped from 76.9° of the control membrane to 49.1° of the TFC-1 membrane, and further decreased to 41.7° of the TFC-4 membrane. The improved hydrophilicity of the DA-incorporated membrane is mainly attributed to the hydrophilic nature of PDA.^{33,48} The TFC membranes modified with low DA incorporation and self-polymerization (TFC-1 and TFC-2) had more compact surfaces (see Fig. 4). The TFC-3 and TFC-4 membrane modified by relatively high DA concentrations and self-polymerization showed a better hydrophilicity likely due to the capillary effect of the rougher membrane surface.

3.3. Effects of DA self-polymerization on membrane chemical properties

ATR-FTIR results of the PSf substrate and TFC membranes are shown in Fig. 5. The characteristic bands of polyamide at ~1544, 1610 and ~1650 cm^{-1} , were observed in all TFC

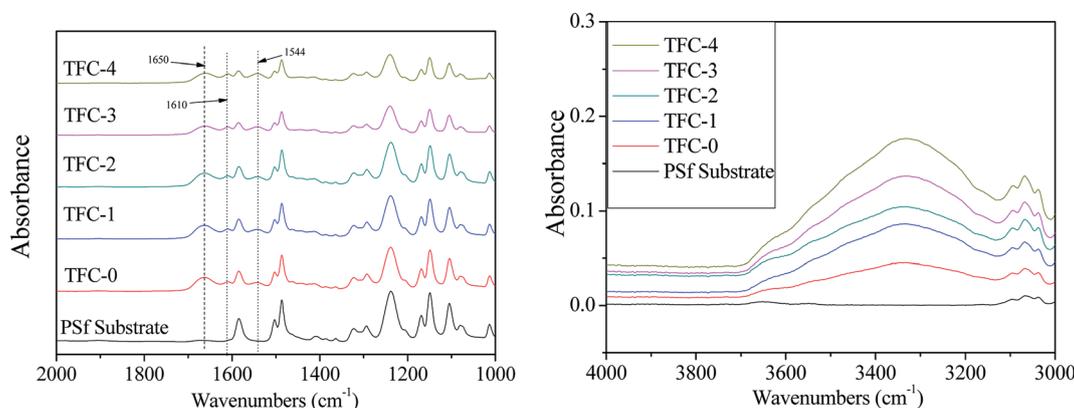


Fig. 5 ATR-FTIR images of membranes PSf substrate, TFC-0, TFC-1, TFC-2, TFC-3 and TFC-4.



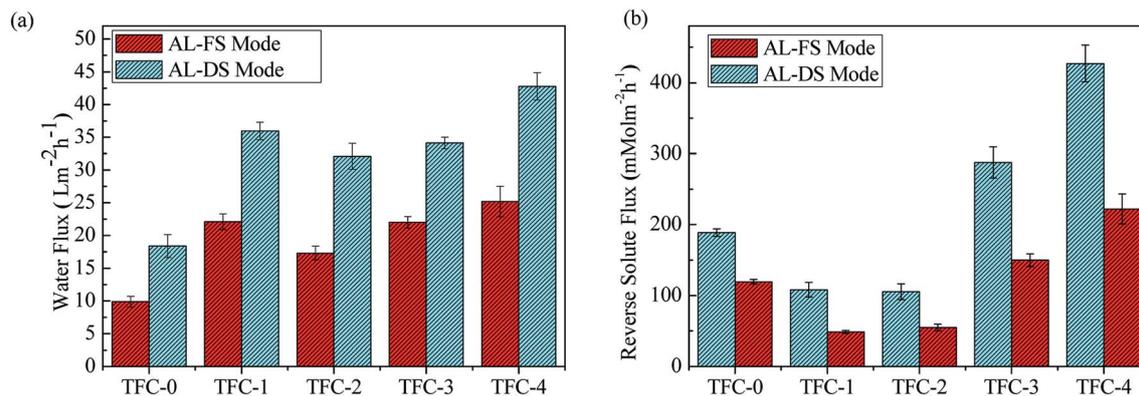


Fig. 6 Performance of the TFC membranes in FO performance tests, (a) water flux and (b) reverse solute flux in both AL-FS and AL-DS mode with 2 M NaCl as draw solution and DI water as the feed solution.

membranes, which correspond to the aromatic ring breathing, amide I band and amide II band, respectively, indicating a successful formation of a thin rejection layer by interfacial polymerization.^{30,49–51} Moreover, the increase in the peak intensity of hydroxyl groups at about $3100\text{--}3600\text{ cm}^{-1}$ was also found in all the modified TFC membranes, which are attributed to O–H and N–H stretching vibration in PDA, leading to more hydrophilic membrane surfaces (Table 1).³⁷

XPS measurements were carried out to further confirm the chemical changes of the TFC membranes. XPS is a highly sensitive technique that has the ability to analyze the elemental composition and chemical binding information for the top 5–10 nm depth of the membrane surface, which can provide information about the mechanism of reaction of interfacial polymerization and cross-linking degree of the PA layer.^{30,38,45} Fig. S-2† displays the XPS spectra of PSf substrate and TFC membranes, and three major emission peaks were detected at 285.0 eV for C1s, 532.4 eV for O1s and 400 eV for N1s. The O/N ratio of all the DA-incorporated TFC membranes was smaller than that of the control TFC membrane, and it increased with the increase of DA self-polymerization process, which also confirmed the successful introduction of DA in the membrane surface. Typically, O/N ratio is considered to be an insight into the cross-linking degree of PA layers.^{52,53} However, in this work, because of the significant changes in the O1s and N1s contents, O/N ratio was not accurate enough to estimate the PA layer cross-linking degree. Therefore, the chemical bonding and their contents were resolved from C1s, N1s and Cl2p (Fig. S-3†) to speculate the crosslinking degree of PA layers.

For the high-resolution C1s, core-level XPS spectrum of the composite membrane, as shown in the Fig. S-3(a–e),† the peaks at the bonding energy of 285.0, 286.5, and 288.2 eV could be attributed to C–C/C–H, C–N and O=C–O/O=C–N functional groups, respectively. When the particle size of PDA went up from 23.4 to 236.0 nm, the C=O proportion decreased from 9.90% to 6.31%, while the C–N proportion increased from 36.00% to 46.03%. The reduce of C=O/C–N ratio revealed that low degree DA self-polymerization in the aqueous phase could increase the cross-linking degree of the PA layer.⁵⁴ However, as the DA self-polymerization continued to increase, this ratio

increased to 0.456, indicating a loose PA layer produced. The N1s spectra were convoluted into two peaks including C–N⁺ and C–N components with the binding energies of approximately 402.0 and 399.8 eV, respectively, as shown in Fig. S-3(f–j).† The molar ratios of the C–N⁺/(C–N⁺ + C–N) can be calculated to analyse the PA layer cross-linking degree.⁵⁵ The calculated ratios were 12.06, 4.36, 5.36, 10.58 and 19.32, which represented the samples TFC-0, TFC-1, TFC-2, TFC-3 and TFC-4, respectively. These relative values demonstrated that as the DA concentration increased, more radicals of the monomers remain unreacted. Therefore, the fully crosslinking structure of the polyamide was increased initially but reduced eventually.

The chlorine (Cl2p) element detected in the polyamide could be assigned to the hydrolysis of the unreacted acyl chloride groups and the TMC monomers in the surface layer.⁵⁶ Moreover, the spectra of Cl2p were resolved into three peaks,⁵⁵ as illustrated in Fig. S-3(k)–(o),† consisting of Cl2p_{3/2} (~197 eV) and Cl2p_{1/2} (~198.7 eV) doublets divided from the Cl2p core-level spectrum and C–Cl2p at 200.0 eV, which represent chloride in TMC monomers that did not completely participate in the polymeric reaction. The molar ionization ratio of Cl, defined as Cl[–]/(C–Cl + Cl[–]), represented the cross-linking degree for PA layer.⁵⁵ Accordingly, the calculated values were 82.49, 98.64, 92.5, 66.48 and 73.06, which represent the samples TFC-0, TFC-1, TFC-2, TFC-3 and TFC-4, respectively.

Based on the findings from XPS analysis, combining with the membrane surface morphological analysis (Fig. 4), it is clear that dense, smooth and highly crosslinked surfaces were produced when incorporated with DA in low-degree self-polymerization and loosely-packed and rough membrane surfaces were formed with DA in high-degree self-polymerization.

3.4. Effects of DA self-polymerization on FO membrane performance

Fig. 6a illustrates the FO performance of membranes with different DA self-polymerizations under AL-DS and AL-FS modes using DI water and 2 M NaCl as the feed and draw solutions, respectively. In the AL-DS mode, the benchmark TFC-0 membrane showed a water flux of 18.38 LMH. When DA in



Table 2 J_s/J_w (mmol L⁻¹) ratio for TFC membranes with under AL-FS mode and AL-DS mode^a

| Membranes | TFC-0 | TFC-1 | TFC-2 | TFC-3 | TFC-4 |
|------------|--------------|-------------|-------------|--------------|--------------|
| AL-FS mode | 12.18 ± 1.35 | 2.21 ± 0.21 | 3.19 ± 0.47 | 6.85 ± 0.68 | 8.82 ± 0.97 |
| AL-DS mode | 10.37 ± 1.26 | 3.02 ± 0.39 | 3.32 ± 0.55 | 14.34 ± 1.71 | 22.67 ± 3.83 |

^a Feed solution: DI water. Draw solution 1 M NaCl.

Table 3 Comparison of the performance of various FO membranes

| Membrane | Water flux (L m ⁻² h ⁻¹) AL-FS mode | Reverse solute flux [mmol m ⁻² h ⁻¹] AL-FS mode | FO selectivity [J_s/J_w (mmol L ⁻¹)] | Feed solution | Draw solution | S (μm) | Reference |
|-------------------------|--|--|--|---------------|---------------|--------|---------------|
| HTI-CTA-HW ^a | 9.03 | 91.37 | 10.15 | 10 mM NaCl | 0.5 M NaCl | 481 | 54 |
| CTA-W ^a | 5.0 | 50.00 | 10.00 | 10 mM NaCl | 0.5 M NaCl | 473 | 54 |
| CTA-NW ^a | 4.4 | 10.34 | 2.35 | 10 mM NaCl | 0.5 M NaCl | 541 | 54 |
| TFC | 12.5 | 86.21 | 6.70 | DI water | 1.0 M NaCl | 370 | 20 |
| TFNC-2 | 18.1 | 139.65 | 7.76 | DI water | 1.0 M NaCl | 148 | 40 |
| Hollow fiber TFC | 34.5 | 170.17 | 4.93 | DI water | 2.0 M NaCl | 261 | 55 |
| TFC | 47.5 | 213.7 | 4.50 | DI water | 2.0 M NaCl | 238 | 56 |
| SPEK/PSF/TFC | 35 | 120 | 3.45 | DI water | 2.0 M NaCl | 107 | 27 |
| PAN | 28 | 155.2 | 5.54 | DI water | 1.5 M NaCl | 290 | 57 |
| TFC-PSfPDA-1h | 7.5 | 13.97 | 1.86 | DI water | 1.0 M NaCl | 1510 | 18 |
| TFC DA-modified | 50.5 | 140 | 2.77 | DI water | 1.0 M NaCl | 67.1 | 34 |
| TFC-0 | 7.58 | 84.15 | 12.18 | DI water | 1.0 M NaCl | 635 | Current study |
| TFC-1 | 15.09 | 32.77 | 2.21 | DI water | 1.0 M NaCl | 176 | Current study |

^a The FO membrane was cut from a Hydrowell® module (denoted as CTA-HW), other two membranes were received as flat coupons from Hydration Technology Innovations (HTI), either supported by a polyester woven fabric (denoted as CTA-W) or a non-woven fabric (denoted as CTA-NW).

low-degree self-polymerization was incorporated in the aqueous phase, the water flux was enhanced and reached 35.97 LMH for the TFC-1 membrane because of a thin PA layer and enhanced hydrophilicity property on the membrane surface. Further increase the self-polymerization of DA caused a slight reduction in water flux down to 32.11 LMH for the TFC-2 membrane, which could be attributed to the slightly increased active layer thickness compared with TFC-1. The TFC-3 and TFC-4 membranes showed significant improvement in water flux than the nascent membrane, probably can be assigned to the combined factors of loosely-packed structures, lower cross-linking degree and hydrophilic surface. In conclusion, all the DA-incorporated TFC membranes showed great improvement in water flux compared with the TFC-0 membrane, where a flux increase of ~133% in the AL-DS mode and ~154% in the AL-FS mode was achieved by TFC-4 membrane.

Fig. 6b shows the reverse solute flux under AL-FS and AL-DS modes for TFC series membranes, respectively. Reverse solute flux decreased sharply to 48.46 mmol m⁻² h⁻¹ and 108.16 mmol m⁻² h⁻¹ under AL-FS and AL-DS modes for TFC-1 membrane, respectively. However, as the DA self-polymerization increased significantly, the increases of reverse solute flux of TFC membranes were obvious when compared with the benchmark in the current study. This phenomenon may be attributed to the PDA aggregation in high DA concentrations, which greatly hampered the interfacial polymerization process by the steric

hindrance of PDA aggregations, leading to a loosely-packed intra-structure and low cross-linking surface with a poor salt rejection,^{36,38} which was confirmed by SEM (Fig. 4).

The reverse solute flux/water flux (J_s/J_w) ratio of the resultant TFC membranes are summarized in Table 2. A smaller J_s/J_w usually indicates a higher FO selectivity.^{57,58} Generally, the error bar of J_s/J_w is comparatively large because of the sample variation. However, a trend of selectivity for both AL-FS mode and AL-DS mode can still be observed. A great increase of J_s/J_w as the PDA particle size went up, because of more PDA aggregations were formed and embedded into the PA layer, which then decreased the membrane selectivity greatly. Whereas, with low-degree DA self-polymerization incorporation in the aqueous phase, the selectivity improved greatly for TFC-1 and TFC-2 membrane, and a lower value obtained for TFC-1. The improvement of selectivity and breaking the well-known trade-off of “selectivity and permeability” for TFC-1 and TFC-2 may be due to the enhanced hydrophilic surface, thin and high cross-linking of the PA layer, where water molecules can permeate with lower resistance but rejecting salt behind. To be more specific, the incorporated small PDA particles in water phase hindered the diffusion of MPD monomers, thus a thinner active layer was formed, its thickness reduced from 390 nm to 160 nm compared to the control membrane, this is similar to Han's work,¹⁸ that a thinner active layer would reduce the water transfer resistance thus increasing FO water flux. Besides, the



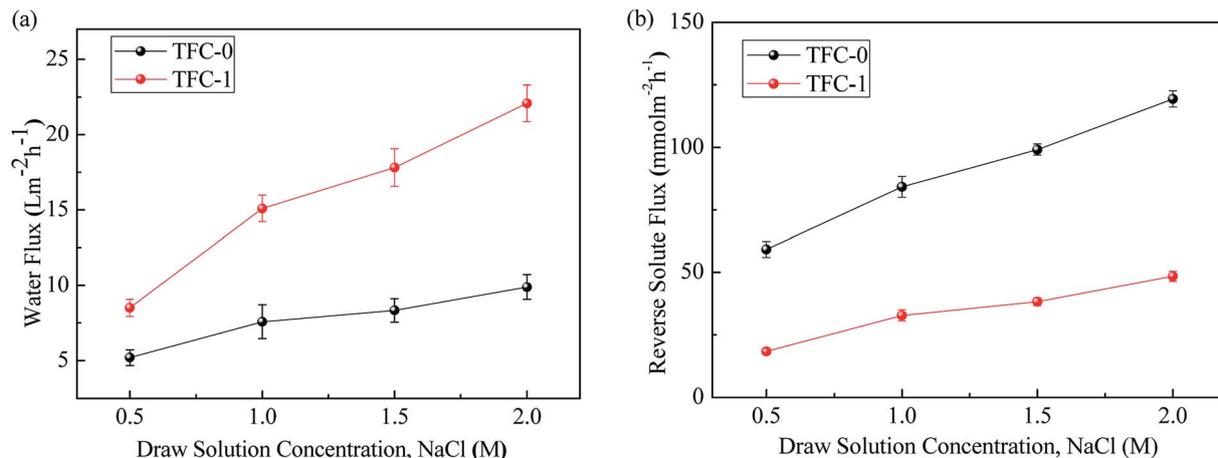


Fig. 7 (a) Water flux and (b) reverse solute flux for TFC-0 and TFC-1 membranes at AL-FS modes using DI water feed solutions and different concentrations of NaCl draw solutions.

incorporated polydopamine particles increased the membrane hydrophilicity compared to the control membrane, which is shown by a water contact angle drop, from 76.9° of the control membrane to 49.1° of the TFC-1 membrane. It is well-known that a hydrophilic membrane surface would increase FO water flux. As for the reverse solute flux reduction, the TFC-1 membrane surface showed increased compactness compared to the control membrane (as supported by SEM images), which could reduce the subnanometer-scale cavities in polyamide layer. These observations and results are quite similar to Shi's recent work,⁵⁹ they demonstrated that molecules with abundant hydroxyl groups, like tannic acid or polydopamine, affecting the polyamide layer formation and structure, specifically, forming a thinner and compact active layer, therefore, leading to the increased in both the water flux and rejection of the optimized membrane. Furthermore, J_s/J_w of TFC-1 membrane was much smaller than most TFC modified membranes, as shown in Table 3. In summary, the high water flux, low solute reverse flux and small J_s/J_w attained using TFC-1 and TFC-2 membrane suggests that the introduction of DA in the aqueous phase with low self-polymerization degree is an effective approach to optimize the membrane FO performance and AL-FS mode shows better FO performance for this new type of membrane. Then the FO performances of the controlled and best performing membrane TFC-1 are further investigated under AL-FS modes by varying draw solution concentrations (0.5–2 M NaCl).

Fig. 7a shows that under AL-FS mode, the water fluxes of TFC-1 membrane increase with NaCl concentration up to 2 M, which

is the highest concentration usually being investigated in the literature everywhere. Moreover, the averaged water flux increment per 0.5 M increase in the concentration of draw solution is approximately 4.15 LMH. At 2 M draw solution concentration, water flux of the TFC-1 membrane was 123% higher than the benchmark, indicating a dramatically reduced ICP effect by introduction of dopamine in the aqueous phase. It is hypothesized that the small viscous PDA particles efficiently enhances the cross-linking of the PA layer which limits the reverse solute flux even at high draw solution concentration, thereby mitigating the internal concentration polarization and afford higher net osmotic driving force. As shown in Fig. 7b, the reverse solute fluxes of TFC-0 and TFC-1 as the benchmark under AL-FS mode reveal opposite trends. The mean reverse solute flux increment per 0.5 M increase in draw solution for TFC-1 was only around 10.04 $\text{mmol m}^{-2} \text{h}^{-1}$ while the benchmark almost doubled (about 20.09 $\text{mmol m}^{-2} \text{h}^{-1}$) due to more severe ICP phenomenon, which is consistent with the hypothesis that a more hydrophilic and dense active has formatted on the surface of the substrate. To provide useful comparison, the FO performances of the TFC-0 membrane, the TFC-control in current study, together with other membranes investigated in the literature are shown in Table 3. Compared with the TFC-0 (control) membrane, TFC-1 membrane has exhibited superior water flux while maintaining relatively high selectivity. The current study demonstrates that DA in low-degree self-polymerization presents significant advantages over conventional additive in the polyamide layer in controlling the solute reverse diffusion.

Table 4 Summary of the transport properties of the TFC FO membranes

| Membranes | A (LMH bar ⁻¹) | B (LMH) | S (μm) | A/B (bar ⁻¹) | R ² (J _w) | R ² (J _s) | CV (%) |
|-----------|----------------------------|---------|--------|--------------------------|----------------------------------|----------------------------------|--------|
| TFC-0 | 0.396 | 0.231 | 635 | 1.714 | 0.971 | 0.990 | 3.88 |
| TFC-1 | 0.476 | 0.051 | 176 | 9.333 | 0.988 | 0.984 | 0.96 |
| TFC-2 | 0.408 | 0.077 | 315 | 6.468 | 0.975 | 0.978 | 3.45 |
| TFC-3 | 0.604 | 0.205 | 196 | 2.946 | 0.971 | 0.977 | 2.71 |
| TFC-4 | 0.788 | 0.357 | 191 | 2.207 | 0.967 | 0.954 | 5.19 |



Table 5 Practical seawater characterization

| Parameters (units) | Values |
|---|--------|
| Electrical conductivity (ms cm^{-1}) | 51.2 |
| pH | 8.00 |
| Na^+ (mM) | 469 |
| Ca^{2+} (mM) | 10.3 |
| Mg^{2+} (mM) | 53 |
| K^+ (mM) | 10.2 |

3.5. Effects of DA self-polymerization on the membrane separation properties

Table 4 presents the water permeability coefficient A , salt permeability coefficient B and S value of TFC membranes with different-degree DA self-polymerization in aqueous phase. A non-monotonic behaviour of A value was observed when the DA self-polymerization degree increased. The benchmark TFC membrane showed a water permeability of $0.396 \text{ LMH bar}^{-1}$. After the addition of DA in the aqueous phase, the water permeability coefficient of TFC membrane increases significantly, and reached a high value of $0.476 \text{ LMH bar}^{-1}$ for TFC-1 membrane. This trend is consistent with that derived from the FO performance experiments and due to the improved hydrophilicity of TFC membranes. Moreover, further increase the degree of DA self-polymerization led to a great increase of water permeability coefficient, for example, $0.788 \text{ LMH bar}^{-1}$ for TFC-4, probably due to the formation of a loose active layer, resulting in a rapid pass through of water molecules. Compared to water permeability coefficient, the salt permeability coefficient showed a different trend. It decreased sharply from 0.231 LMH for TFC-0 membrane to 0.051 LMH and 0.077 LMH for TFC-1 membrane and TFC-2 membrane, respectively, which may be attributed to the formation of dense and high cross-linking active layers. Then the trend was followed by a great increase from 0.205 LMH (TFC-3) to 0.357 LMH (TFC-4). This trend for B values is congruent with the behaviour of reverse solute flux,

namely, a lower salt permeability exhibits a lower reverse solute flux.

As for the S parameter, a critical parameter to evaluate FO membranes, decreases dramatically from 635 (TFC-0) to $176 \mu\text{m}$ (TFC-1). According to the “three layer model” for TFC membrane S parameters, namely, the PA layer, transition layer on the top of the substrate and the porous substrate, thus the decrease of PA layer thickness significantly decreases the S parameter of TFC membrane.⁶⁰ Moreover, the A/B ratio is an important parameter to evaluate FO membrane selectivity; a higher A/B is indicative of a greater selectivity and hence preferred. Table 4 reveals that the TFC-1 and TFC-2 membranes have similar A/B ratios, which are 377–444% higher than the TFC-0 membranes, implying that these new type of membranes may show good performance in seawater desalination. Overall, the addition of DA in the aqueous phase allows a significant improvement in FO performance.

3.6. Effects of DA self-polymerization on membrane FO antifouling performance

Antifouling tests of TFC-0, TFC-1 and TFC-4 membranes was tested in the AL-FS mode with seawater collected from Briton Beach in Melbourne as the feed solution, seawater compositions are shown in Table 5. The decline in water flux for these three membranes was due to fouling and net osmotic pressure lost (draw solution dilution and feed solution concentration) (Fig. 8a). The TFC-1 and TFC-4 membrane exhibited much smaller water flux decline (about 14.4% and 26.2%) than the benchmark membrane (around 38.8%). Fig. 8b shows higher flux recovery ratios for the TFC-1 and TFC-4 membranes, suggesting higher antifouling properties of the DA-incorporated membranes. The reverse solute accumulated at the active layer and feed solution interface reduced the net osmotic driving force, and hence reduced FO water flux. Organic foulants in seawater such as humic acid formed deformable gel-like fouling layers accumulated at the cake layer surface exacerbated these effects through the cake-enhanced osmotic

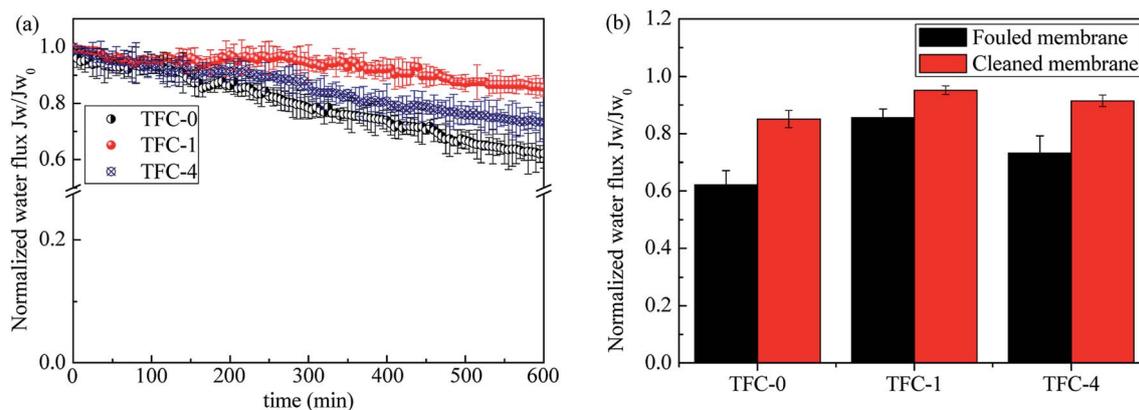


Fig. 8 (a) FO fouling curves for the benchmark membrane and the modified membranes (TFC-1 and TFC-4). The feed solution was supplemented with seawater collected from Briton Beach in Melbourne as model foulant. The initial permeate water flux of around $10 \text{ L m}^{-2} \text{ h}^{-1}$. The cross flow velocities of the FS and DS were 4.9 cm s^{-1} ($T = 20 \pm 0.5 \text{ }^\circ\text{C}$, DS = NaCl 0.5–4 M), (b) comparison between the FO water flux of foiled membrane and recovered after the physical cleaning step. Crossflow velocity during cleaning step was 14.9 cm s^{-1} .



pressure (CEOP).⁶¹ As the TFC-4 membrane had a higher reverse solute flux than the TFC-1 membrane (Fig. 7b), it showed a lower flux compared with the TFC-1 membrane after fouling (Fig. 8b).

Moreover, the membrane surface properties can change the antifouling propensity. For instance, a hydrophilic membrane surface can reduce its interaction with organic foulants in seawater. A thin water film (*i.e.* a hydration layer) that forms on a hydrophilic surface through hydrogen bonding prevents accumulation of foulants, offering a lower fouling propensity.⁶² This mechanism introduced by DA can explain the lower water flux decline of the TFC-1 and TFC-4 membranes. On the other hand, a smoother surface can enhance antifouling tendency of the membrane surface by minimizing foulants attachment and accumulation on the membrane surface.⁶³ The fouling experiment results indicate that the higher surface hydrophilicity and smoothness of the TFC-1 membrane resulted in a more advanced antifouling property compared with the TFC-4 membrane.

4. Conclusions

PDA with different self-polymerization degrees was successfully introduced into the aqueous phase during interfacial polymerization to improve the performance of TFC FO membranes. The surface of the membrane became compact and smooth when filled with small PDA particles while loose and rough with big PDA particles. Incorporation of DA in low-degree self-polymerization and small PDA particles during interfacial polymerization greatly improved membrane properties in terms of perm-selectivity and structural parameter. The more hydrophilic surface, thinner, denser and highly crosslinked PA layer can provide rapid transport pathways for water media through the membrane while efficiently reject salt ions. However, selecting DA in high-degree self-polymerizations in the aqueous phase enhanced the FO membrane water permeability but sacrificed the selectivity. The optimized TFC membrane exhibited higher FO performance but lower reverse solute diffusion than most FO membranes. The improved surface hydrophilicity and smooth surface of the DA-incorporated TFC-1 membrane showed enhanced antifouling properties. This study demonstrates that incorporating DA in low-degree self-polymerization and small PDA particles during interfacial polymerization may open new venue to new high-performance antifouling FO membranes.

Conflicts of interest

There are no conflicts to declare.

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