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## Fully solution-induced high performance indium oxide thin film transistors with $ZrO_x$ high-k gate dielectrics†

 Li Zhu,<sup>a</sup> Gang He, <sup>\*a</sup> Jianguo Lv,<sup>b</sup> Elvira Fortunato <sup>c</sup> and Rodrigo Martins<sup>c</sup>

Solution based deposition has been recently considered as a viable option for low-cost flexible electronics. In this context, research efforts have been increasingly focused on the development of suitable solution-processed materials for oxide based transistors. In this work, we report a fully solution synthesis route, using 2-methoxyethanol as solvent, for the preparation of  $In_2O_3$  thin films and  $ZrO_x$  gate dielectrics, as well as the fabrication of  $In_2O_3$ -based TFTs. To verify the possible applications of  $ZrO_x$  thin films as the gate dielectric in complementary metal oxide semiconductor (CMOS) electronics, fully solution-induced  $In_2O_3$  TFTs based on  $ZrO_2$  dielectrics have been integrated and investigated. The devices, with an optimized annealing temperature of 300 °C, have demonstrated high electrical performance and operational stability at a low voltage of 2 V, including a high  $\mu_{sat}$  of 4.42  $cm^2 V^{-1} s^{-1}$ , low threshold voltage of 0.31 V, threshold voltage shift of 0.15 V under positive bias stress for 7200 s, and large  $I_{on}/I_{off}$  of  $7.5 \times 10^7$ , respectively. The as-fabricated  $In_2O_3/ZrO_x$  TFTs enable fully solution-derived oxide TFTs for potential application in portable and low-power consumption electronics.

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### 1. Introduction

Metal oxide semiconductors have been attracting considerable attention as excellent channel materials for thin-film transistors (TFTs) in backplane electronics for active-matrix organic light-emitting diodes (AMOLEDs) and other emerging electronic applications such as complementary-metal-oxide-semiconductor (CMOS) and logic gate devices on low cost substrates due to their high mobility, together with high driven currents, the possibility of coupling optical transparency to visible light and mechanical flexibility with excellent electrical performance.<sup>1,2</sup> Indeed, the performance of oxide-based TFTs, especially the carrier mobility, exceeds that of amorphous Si-based TFTs, and their stability rivals or exceeds that of typical organic semiconductors.<sup>3,4</sup> As a result, metal-oxide-based TFTs have been regarded as the most potential candidates for next generation TFTs in flat panel display driven circuits. Unfortunately, these high-mobility oxide TFTs are conventionally manufactured using costly vacuum-based processing methodologies, such as pulsed laser deposition, atomic layer deposition, and

sputtering.<sup>1,3</sup> In spite of their advantages from vacuum-based methods, the high fabrication cost and complex preparation process become major obstacles for realizing large-area electronic devices with high performance.

In an effort to address this problem, recently, there has been remarkable development of high-performance TFTs based on solution-processable oxide semiconductors, such as spin-coating,<sup>5</sup> inkjet printing,<sup>6</sup> spray pyrolysis,<sup>7</sup> and dip-coating,<sup>8</sup> which offers the advantages of simplicity, versatility, and scale-up capability. In addition, solution-derived TFTs have demonstrated impressive performance,<sup>9–12</sup> which in some cases are comparable to or even surpass the ones obtained by physical techniques.<sup>13</sup> However, for traditional oxide-based TFTs fabricated by solution-processed method, a high temperature annealing process is imperative to obtain optimized semiconductor properties.<sup>14</sup> In order to fabricate solution-processed oxide TFTs on flexible polymeric substrates, it is desirable to reduce the process temperature and enable greater process flexibility due to the lower thermal budget. To achieve high performance solution-processed oxide TFTs at low temperatures, appropriate metal precursors, solvents, and gate dielectrics should be taken into account.

As a potential candidate of channel material for the transparent electronics, indium oxide ( $In_2O_3$ ) has been paid more attention due to its high electron mobility and high optical transparency in the visible region.<sup>15–17</sup>  $In_2O_3$  exhibits excellent electrical properties, including metallic, semiconducting, and insulating characteristics depending on the stoichiometry and defects in materials.<sup>5</sup> Combining the advantages of “solution

<sup>a</sup>School of Physics and Materials Science, Radiation Detection Materials & Devices Lab, Anhui University, Hefei 230039, P. R. China. E-mail: hegang@ahu.edu.cn

<sup>b</sup>Department of Physics and Electronic Engineering, Hefei Normal University, Hefei 230061, P. R. China

<sup>c</sup>Department of Materials Science/CENIMAT-I3N, Faculty of Sciences and Technology, New University of Lisbon, CEMOP-UNINOVA, Campus de Caparica 2829-516 Caparica, Portugal

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route" and  $\text{In}_2\text{O}_3$  materials, it can be noted that solution-processed  $\text{In}_2\text{O}_3$  has been regarded as the promising candidate to fabricate high performance oxide TFTs devices at low temperature.

Although whilst progress on solution-processed oxide TFTs has been rapidly advancing, most of these TFTs generally based on conventional  $\text{SiO}_2$  as dielectric requires high operation voltages to put out usable drain current.<sup>18,19</sup> To decrease operation voltage and power consumption for the realization of mobile and portable applications, recent work has been focused on the investigation of large areal capacitance gate dielectrics, including ultrathin nano-dielectrics,<sup>20</sup> electrolyte gate dielectrics,<sup>21</sup> and high-k oxide dielectrics.<sup>22</sup> Among these candidates, oxide-based high-k dielectrics have been regarded as the promising alternatives due to their high dielectric constant and stable interface chemistry with oxide semiconductors.<sup>23,24</sup> By far, oxide transistors based on high-k dielectrics have been confirmed to be successful and demonstrates optimized performance compared to conventional  $\text{SiO}_2$  dielectrics.<sup>7,25-28</sup> Among these,  $\text{ZrO}_2$  has become one of the most remarkable gate dielectrics due to its relatively high dielectric constants, good thermal stability, and suitable band alignment.<sup>16,29</sup> Shan *et al.* have demonstrated  $\text{In}_2\text{O}_3$  TFTs based on  $\text{ZrO}_x$  dielectric exhibits a saturation mobility of  $3.08 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$  and an on/off current ratio of  $10^8$ .<sup>16</sup> Pradhan *et al.* fabricated CdS TFTs with  $\text{ZrO}_2$  as the gate dielectric grown by chemical bath, which exhibits a low operation voltage of 3.8 V and a field effect mobility of  $4 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ .<sup>30</sup> Despite their attractive properties, however, TFTs based on high-k gate dielectric are usually realized using stringent and potentially costly manufacturing techniques.<sup>7</sup> The development of solution-derived dielectrics is still a step behind, inhibiting the realization of fully solution-processed TFTs with high performance. Although there exist some investigations on the fully solution-processed TFTs, the fabrication of solution-processed TFTs suffers from strict experimental condition, such as high annealing temperature, long annealing time, and complex process, which limits the further development of  $\text{In}_2\text{O}_3$  TFTs *via* the solution route in high performance electronics. Therefore, the experimental process and the device performance of fully solution-derived TFTs should be optimized.

It has been shown that the carrier mobility and transport properties of TFTs, derived from solution-processed methods, are strongly dependent on the preparation condition, including annealing conditions and the precursor solution concentration.<sup>15,25,31</sup> However, the annealing temperature modulated electrical properties of the fully solution-processed oxide TFTs is not investigated systematically before. In current work, we demonstrate a fully solution-processed method, a simple and large-area-compatible deposition technique, which can be used for the processing of high-quality  $\text{ZrO}_2$  dielectric and  $\text{In}_2\text{O}_3$  channel layer onto Si substrates. An in-depth investigation was performed to reveal the effect of annealing temperature on the structural and dielectric properties of  $\text{ZrO}_x$  dielectric. In order to confirm the possibility of the  $\text{In}_2\text{O}_3$  thin films as channel layer, their applications in TFTs based on  $\text{ZrO}_x$  dielectric by device engineering were also demonstrated. In particular, it has been demonstrated  $\text{In}_2\text{O}_3/\text{ZrO}_x$  TFT exhibited a high performance

under a ultralow operating voltage of 2 V, with a high  $I_{\text{on}}/I_{\text{off}}$  of around  $10^7$ , and a high  $\mu_{\text{sat}}$  of  $4.42 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ .

## 2. Experimental

### 2.1 Preparations of precursor solutions

The  $\text{In}_2\text{O}_3$  (0.1 M) and  $\text{ZrO}_x$  (0.1 M) precursor solution was synthesized through respectively dissolving indium nitrate hydrate ( $\text{InN}_3\text{O}_9 \cdot x\text{H}_2\text{O}$ ) and zirconium oxychloride octahydrate ( $\text{ZrOCl}_2 \cdot 8\text{H}_2\text{O}$ ) in 2-methoxyethanol ( $\text{C}_3\text{H}_8\text{O}_2$ ) solution. The precursor solutions were stirred at room temperature for 6 h by a magnetic stirrer. After that, to get a more pure sol solution, the solutions were filtered through a 0.22  $\mu\text{m}$  injection filter before spin coating.

### 2.2 Film deposition and devices fabrication

Heavily doped p-type Si wafers with resistivity of  $0.02 \Omega \text{ cm}$  were cleaned by a modified RCA method, and etched by hydrofluoric acid and dried by  $\text{N}_2$ . Then, all-processed wafers were placed in a plasma cleaner to enhance the hydrophilicity of the substrate surface. The  $\text{ZrO}_x$  solution was filtered through a 0.22  $\mu\text{m}$  injection filter and then spun on the hydrophilic Si substrates at 800 rpm for 6 s and 5000 rpm for 25 s, and then  $\text{ZrO}_x$  thin films were placed on the roaster and bake at 150 °C for 10 minutes to remove the residual solvent and cooled to room temperature. In order to obtain the desired thickness, the procedure was repeated twice times. At the end, all the  $\text{ZrO}_x$  thin films were annealed in air with temperatures ranging from 300 °C to 600 °C for 1 h, and their thicknesses were 18.28, 18.02, 17.56, and 17.08 nm, respectively.

The  $\text{In}_2\text{O}_3$  precursor solution was respectively spun on heavily doped p-type Si substrates with thermally grown  $\text{SiO}_2$  gate dielectric (200 nm) and 400 °C-annealed  $\text{ZrO}_x$  thin films at 800 rpm for 6 s and 5000 rpm for 25 s, and then the laminated samples were annealed at 250–325 °C in air for 1 h. Finally, Al source and drain electrodes were deposited by thermal evaporation through a shadow mask. The channel length and width for all devices were 100 and 1000  $\mu\text{m}$ , respectively. The detailed schematic diagram of the solution-process for  $\text{In}_2\text{O}_3$  and  $\text{ZrO}_x$  thin films and  $\text{In}_2\text{O}_3$ -based TFTs fabrication are demonstrated in Fig. 1. In order to measure the dielectric properties of the  $\text{ZrO}_x$  thin films, MOS capacitor based on structures of Al/ $\text{ZrO}_x$ / $\text{p}^+ \text{-Si}/\text{Al}$  were fabricated and investigated using an impedance analyzer (TH2636B).

### 2.3 Characterization

The microstructure of  $\text{ZrO}_x$  gate dielectric thin films correlated to annealing temperature were studied by X-Ray diffractometer (XRD). The thickness of  $\text{In}_2\text{O}_3$  (about 9 nm) and  $\text{ZrO}_x$  thin films was obtained by spectroscopy ellipsometry (SE) (SC630, SANCO Co, Shanghai). The ultraviolet visible spectroscopy (UV-Vis, Shimadzu, UV-2550) were carried out to investigate the annealing temperature dependent band gap and transmittance spectra of the  $\text{ZrO}_x$  thin films. The surface morphologies of the  $\text{ZrO}_x$  thin films were investigated by atomic force microscopy (AFM). X-Ray photoelectron spectroscopy (XPS, ESCALAB 250Xi



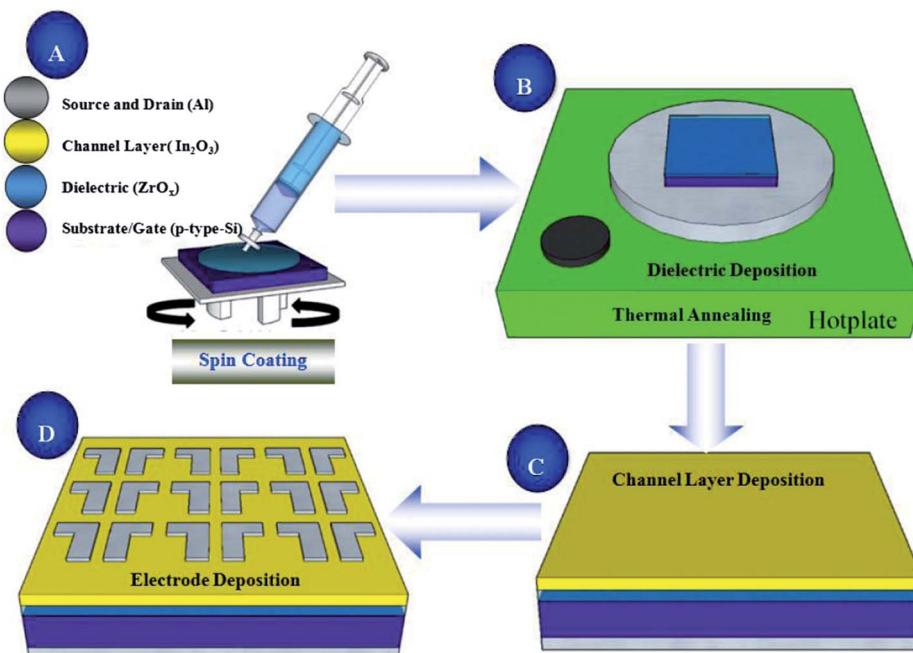


Fig. 1 Schematic diagram of solution-derived  $\text{In}_2\text{O}_3$  and  $\text{ZrO}_x$  thin films and  $\text{In}_2\text{O}_3$  TFTs device fabrication.

Thermo Scientific) was used to analyze the chemical compositions of the  $\text{ZrO}_x$  thin films. The electrical properties of the  $\text{ZrO}_x$  thin films,  $\text{In}_2\text{O}_3/\text{SiO}_2$  TFTs and  $\text{In}_2\text{O}_3/\text{ZrO}_x$  TFTs were measured by using an Agilent B1500A semiconductor device analyzer in a dark shelter.

### 3. Results and discussion

#### 3.1 Microstructure and surface morphology of $\text{ZrO}_x$ thin films

Fig. 2 shows the XRD patterns for the solution-derived  $\text{ZrO}_x$  thin films as a function of annealing temperature. Based on Fig. 2, it can be noted that  $\text{ZrO}_x$  thin films keep amorphous when the

annealing temperature is lower than  $400\text{ }^\circ\text{C}$ . However, when the annealing temperature has increased to  $500\text{ }^\circ\text{C}$ , the amorphous state disappears and polycrystalline films with diffraction patterns matching that of  $\text{ZrO}_x$  have been detected, indicating that the formation of  $\text{ZrO}_x$  phase is thermally activated and crystallization takes place at  $500\text{ }^\circ\text{C}$ , which is in good agreement with the observation from Park *et al.*<sup>32</sup> Four main peaks centered at  $30.4^\circ$ ,  $35.1^\circ$ ,  $50.6^\circ$  and  $60.1^\circ$  corresponding to the  $(011)$ ,  $(110)$ ,  $(020)$ , and  $(121)$  planes of  $\text{ZrO}_x$ , are attributed to the tetragonal phase of  $\text{ZrO}_2$ .<sup>32</sup> Further increase of annealing temperature enhances the crystallinity of the film, which is represented by the decreased full width at half maximum (FWHM) of the  $\text{ZrO}_x$  peaks. The high annealing temperature supplies high kinetic energy and increases the surface mobility of deposited particles.<sup>31</sup> As we know, as a potential candidate of dielectric layer for TFTs, the amorphous nature of the dielectrics has demonstrates apparent advantage over the crystalline phase due to its low leakage current and high thermal stability. The grain boundaries act as preferential paths for impurity diffusion and leakage current, leading to show high off-state current in TFT devices.<sup>33</sup> In addition, dielectric with amorphous structure normally exhibits smooth surface morphology, which is beneficial to obtain dielectric/channel heterointerface with high quality.<sup>28</sup> For TFTs, the smooth and sharp interface between channel and dielectric layer is highly desired because carrier transport is generally limited in a narrow region of the interface. Thus, it can be inferred that the annealing temperature for solution-processed  $\text{ZrO}_x$  dielectric should be controlled accurately for the gate dielectric applications.

Surface morphology of solution-processed  $\text{ZrO}_x$  dielectric thin films annealed at different temperatures were measured by atomic force microscope (AFM), as shown in Fig. 3. The films

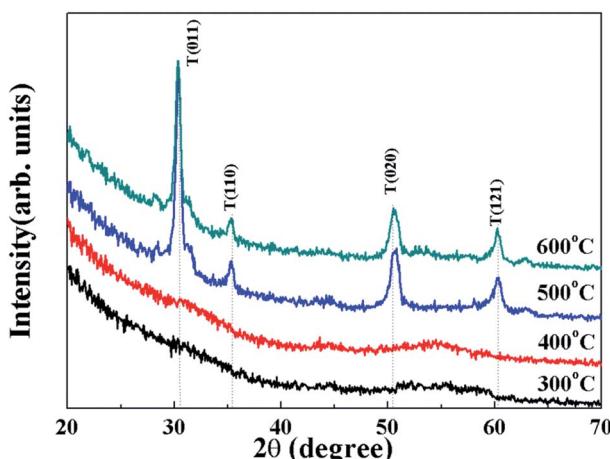


Fig. 2 XRD patterns of  $\text{ZrO}_x$  thin films as a function of annealing temperature.



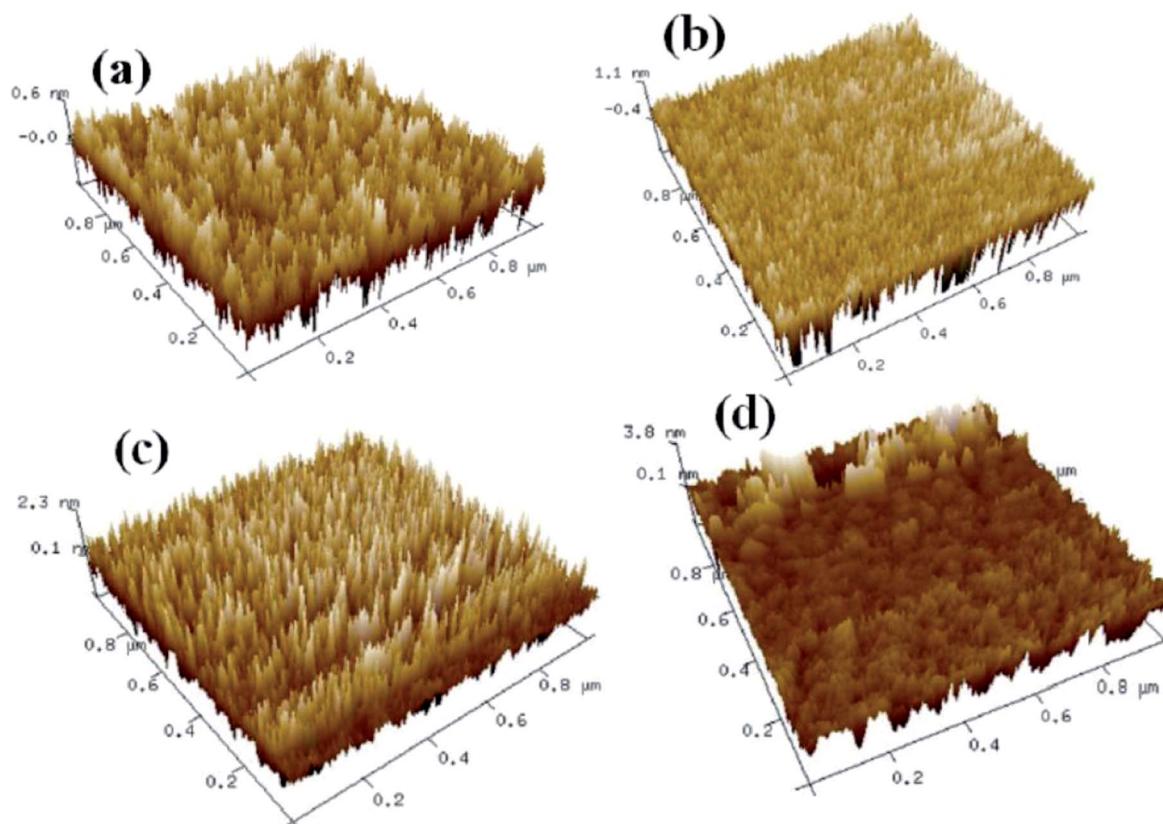


Fig. 3 AFM images of the  $\text{ZrO}_x$  thin films annealed at (a)  $300\text{ }^\circ\text{C}$ , (b)  $400\text{ }^\circ\text{C}$ , (c)  $500\text{ }^\circ\text{C}$ , (d)  $600\text{ }^\circ\text{C}$ .

roughness was determined from the AFM height profile of a  $1 \times 1 \mu\text{m}^2$  area scan. The root mean square (RMS) roughness of  $\text{ZrO}_x$  thin films annealed at  $300$ ,  $400$ ,  $500$ , and  $600\text{ }^\circ\text{C}$  are  $0.17$ ,  $0.37$ ,  $0.60$ , and  $0.91\text{ nm}$ , respectively. Based on Fig. 3, RMS values of smaller than  $1\text{ nm}$  have been observed for solution-processed  $\text{ZrO}_x$  dielectrics. The smooth surface of the dielectric layer plays an important role in improving the TFT performance and stability. Indeed, the smooth surface is connected to the reduction of the interface charge traps, carrier scattering centers and so, to the production of an excellent interface between the channel layer and the dielectric layer. This will certainly lead to high performance TFTs with higher field-effect mobility and smaller sub-threshold slope (SS) value. Additionally, dielectric with small RMS is also in favor of growing the high-quality channel layer, which is beneficial to obtain high-stability TFTs.<sup>34</sup> The slight increase in RMS value for the  $600\text{ }^\circ\text{C}$ -annealed sample may be attributed to the agglomeration induced by high annealing temperature.

### 3.2 Optical properties characterization of $\text{ZrO}_x$ thin films

To investigate the optical properties of the solution-derived  $\text{ZrO}_x$  dielectric thin films as a function of annealing temperature, the optical transmittances spectra of the  $\text{ZrO}_x$  thin films on quartz substrates were measured with the wavelength ranging from  $200$  to  $900\text{ nm}$ , as demonstrated in Fig. 4. All of the films are highly transparent with an average optical transmittance of

over  $80\%$  in the visible range. An optical image of the corresponding  $\text{ZrO}_x$  thin films annealed at different temperature is shown as the inset of Fig. 4. No apparent color difference between the bare glass and the samples has been observed, demonstrating the good optical transparency. The high transmittances of the  $\text{ZrO}_x$  thin films indicate the potential

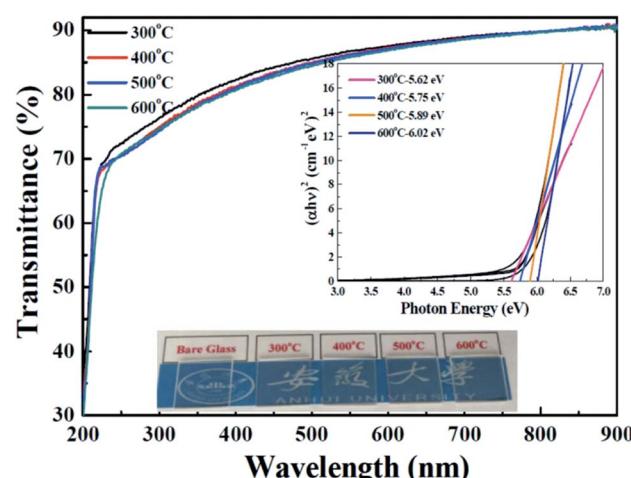


Fig. 4 Optical transmittances of  $\text{ZrO}_x$  thin films annealed at different temperatures. The insets above and below display the band gap energy values of these  $\text{ZrO}_x$  films and photographs of bare glass and as-processed  $\text{ZrO}_x$  thin films annealed at various temperatures, respectively.

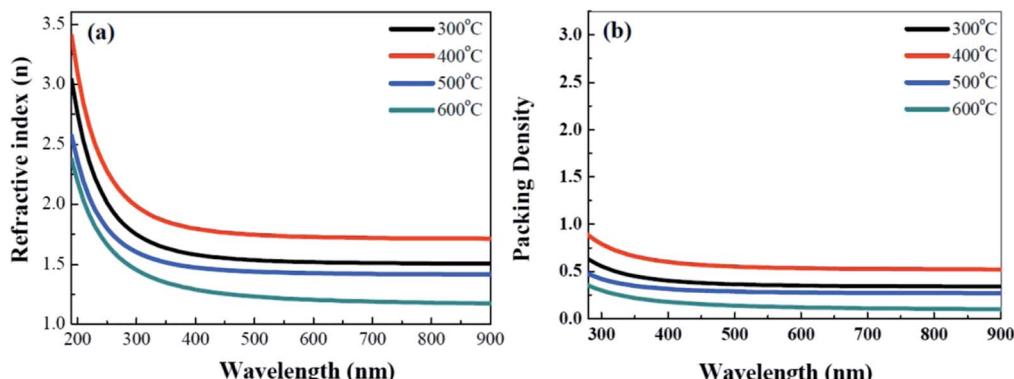


Fig. 5 Annealing temperature dependent refractive index (a) and fitting packing density (b) for solution-derived ZrO<sub>2</sub> thin films.

applications in transparent electronics. With the increase in annealing temperature, a slight reduction in transmittance has been observed, which can be attributed to the increase in surface roughness of ZrO<sub>x</sub> thin films or the elimination of oxygen defect at high temperatures.<sup>35</sup> The optical band gap of the ZrO<sub>x</sub> thin films was calculated by using a standard Tauc plot method,<sup>36</sup> as shown in the inset of Fig. 4. For ZrO<sub>x</sub> dielectric annealed at low temperature, the presence of defects in thin films would produce localized states in the forbidden gap, which will lead to the reduced band gap energy.<sup>26</sup> At high annealing temperature, the annihilation of oxygen vacancies/defects results in the reduced density of localized states and the increased band gap energy. As a candidate of high-k gate dielectric for TFTs, it should possess higher packing density and compactness, which can be determined by the optical constant of refractive index. Fig. 5a displays the evolution of refractive index of solution-derived ZrO<sub>2</sub> gate dielectrics as a function of annealing temperature. It can be seen that the refractive index increases with the annealing temperature ranging from 300 to 400 °C, indicating the increase in packing density. However, annealing the samples from 500 to 600 °C, reduction in refractive index has been detected, which can be attributed to the increased RMS value for ZrO<sub>2</sub> samples. As we know, the refractive index (*n*) is related to its packing density (*p*) and its porosity. Based on the following Yoldas formula,

$$p = (n_p^2 - 1)/(n_{\text{bulk}}^2 - 1)$$

where *n<sub>p</sub>* is the refractive index, *n<sub>bulk</sub>* is the refractive index in bulk form. Fig. 5b gives the fitted packing density for all the samples as a function of annealing temperature, which fits well the evolution of refractive index. It can be concluded that 400 °C-annealed sample demonstrates increased thin film quality and higher compactness, which indicates its potential application in TFTs.

### 3.3 X-Ray photoelectron spectroscopy measurements for ZrO<sub>x</sub> thin films

The surface impurities, chemical bonding states and compositions of ZrO<sub>x</sub> thin films were analyzed by X-ray Photoelectron Spectroscopy (XPS). C 1s peak at 284.6 eV was taken as a reference for charge correction. The charge neutralizations of X-ray

bombarded samples are performed by flood guns and spectral deconvolution was performed by Shirley background subtraction using a Voigt function convoluting Gaussian and Lorentzian functions. Fig. 6a shows the O 1s core-level XPS spectra for ZrO<sub>x</sub> thin films as a function of annealing temperature. The deconvoluted O 1s spectra show three peaks centered at 529.8, 531.2, and 532.4 eV, respectively. The peak centers at 529.8 and 531.2 eV can be assigned to the oxygen in oxide lattices (Zr-O) and the oxygen vacancy in lattices (V<sub>o</sub>), respectively. The feature at 532.4 eV can originate from the hydroxyl species or absorbed H<sub>2</sub>O on the surface.<sup>17</sup> The semiquantitative analyses of oxygen compositions are summarized in Fig. 6b. The atomic percentages are calculated based on the area integration of each O 1s peak. Based on Fig. 6b, it can be seen that annealing the samples from 300 to 600 °C, the fraction of O<sup>2-</sup> in ZrO<sub>x</sub> increases and oxygen vacancy or hydroxyl species decreases, indicating that high temperature annealing removes the bonded oxygen including the oxygen vacancy and hydroxyl species, and improves the metal-oxygen lattice. For a good candidate as dielectric layer, the bonded oxygen in the film should be kept at a relatively low level, which can be explained by the fact that the bonded oxygen generally creates defect states in the forbidden band of dielectric film, contributing the uncontrollable leakage current and the reduced breakdown voltage.<sup>37</sup>

Fig. 6c displays the XPS Zr 3d core-level spectra of ZrO<sub>x</sub> dielectric thin films annealed at various temperatures. It can be seen that there is a doublet corresponding to Zr 3d<sub>5/2</sub> and Zr 3d<sub>3/2</sub> features at 181.8 and 184.1 eV for 300 °C-annealed sample, respectively. With the increase in annealing temperature, the Zr 3d peaks shift to lower binding energies. The same trend has been observed previously in solution-processed ZrO<sub>x</sub> and ScO<sub>x</sub> thin films,<sup>26,38</sup> which can be due to the full oxidation of ZrO<sub>x</sub> and the enhanced alloy reaction. To obtain the interface chemistry bonding states and interfacial composition of Si/ZrO<sub>x</sub> gate stack, XPS depth profile has been performed. After etching the Si/ZrO<sub>x</sub> gate stack by Ar<sup>+</sup>, Si 2p has been paid more investigation. Fig. 6d shows the Si 2p core-level XPS spectra as a function of annealing temperature. All Si 2p core-level spectra are fitted with three peaks. For all-processed samples, the fitted substrate doublet peaks (Si-Si) have a separation of 0.57 eV, which is composed of the Si 2p<sub>3/2</sub>



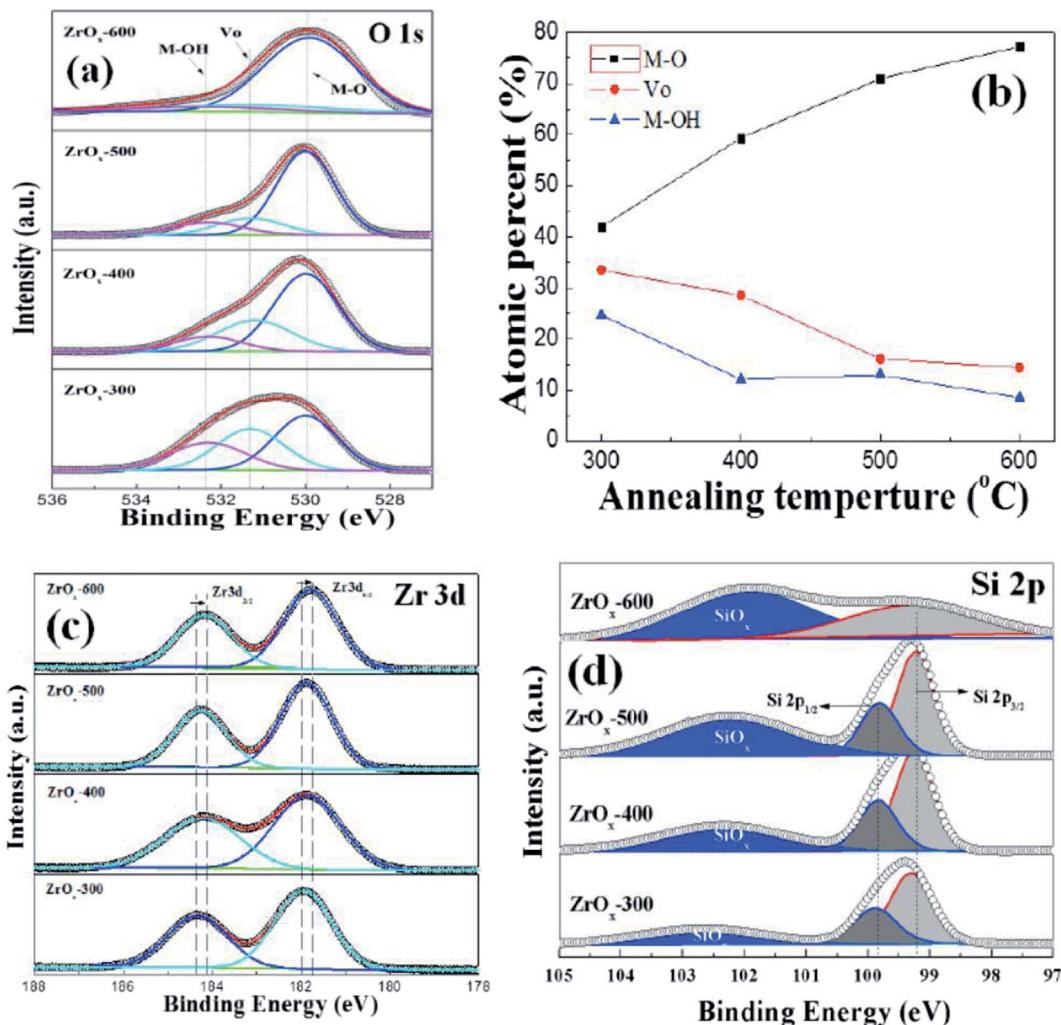


Fig. 6 XPS spectra of O 1s (a) and Zr 3d peaks (c) for ZrO<sub>x</sub> thin films as a function of annealing temperature. (b) Semiquantitative analyses of the oxygen component for the corresponding ZrO<sub>x</sub> thin films. (d) Si 2p XPS core-level spectra of Si/ZrO<sub>x</sub> gate stacks annealed at different temperatures.

for 99.27 eV and Si 2p<sub>1/2</sub> for 99.84 eV. The third peaks centered at 102–103 eV are attributed to the interfacial SiO<sub>x</sub> layer. It can be noted that with increasing the annealing temperature, there is a continuous increase in the intensity ratio of the high binding energy content, which is attributed to the formation of more SiO<sub>x</sub> interfacial layer by diffusion of oxygen from annealing ambient or oxide film.

#### 3.4 Dielectric and electrical properties of ZrO<sub>x</sub> thin films

In order to investigate the dielectric behavior and electrical properties of solution-grown ZrO<sub>x</sub> dielectric thin films as a function of annealing temperature, MOS capacitor based on ZrO<sub>x</sub>/Si gate stack were fabricated. Fig. 7a demonstrates the frequency dependent areal capacitance. Reduction in area capacitance at high frequency has been detected, which can be attributed to the limited polarization response time.<sup>17</sup> The areal capacitance of ZrO<sub>x</sub> thin films annealed at 300, 400, 500, and 600 °C are measured to 388, 313, 268, and 254 nF cm<sup>-2</sup> at 10<sup>3</sup> Hz, respectively. As a result, the relative dielectric constants for all the samples are calculated to be 7.05, 5.69, 5.09, and 4.65,

respectively. With the increase in annealing temperature, it can be seen that the areal capacitance demonstrate an apparent decreased trend, which can be attributed to the formation of low-k interface layer,<sup>39</sup> which is confirmed by previous XPS measurements. In addition, ZrO<sub>x</sub> thin films annealed at higher temperatures exhibit weaker frequency dispersion of capacitance, indicating a low defect density such as hydroxyl group and/or oxygen vacancies in thin films. This will be beneficial to control the leakage current because the conduction paths in dielectrics are mainly caused by hydroxyl and grain boundaries.<sup>15</sup>

The current-density and electric field characteristics of the same ZrO<sub>x</sub> capacitors are shown in Fig. 7b to evaluate the leakage behavior of the ZrO<sub>x</sub> thin films. As can be seen, the MOS capacitor devices based on 400 °C-annealed ZrO<sub>x</sub> dielectrics exhibit a low leakage density of  $1.5 \times 10^{-9}$  A cm<sup>-2</sup> at 2 mV cm<sup>-1</sup>.<sup>40</sup> The decreased leakage current at 400 °C annealing temperature comes from the gradually decomposition of residuals and reduction in the defect density.<sup>41</sup> The leakage current density levels for devices annealed at 500 °C and 600 °C

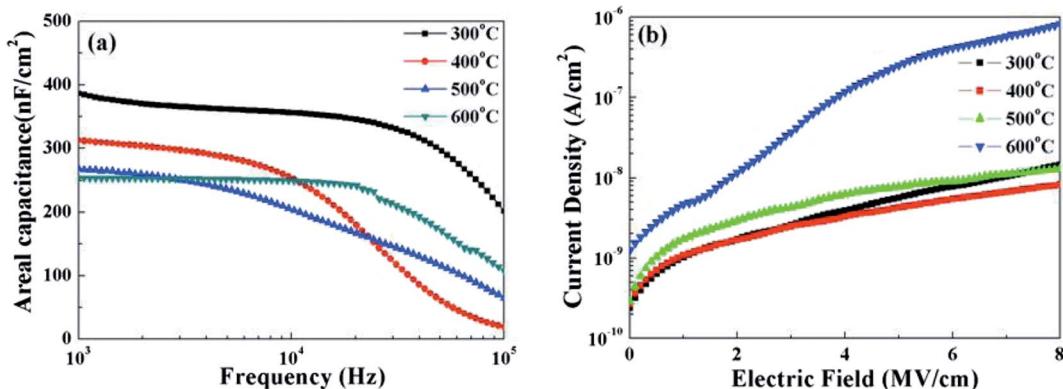


Fig. 7 (a) Areal capacitance and (b) leakage current density of the  $\text{ZrO}_x$  dielectric thin films annealed at various temperatures.

have been increased much, originating from the increased surface smooth and the crystallization-induced grain boundaries. As a result, it can be concluded that the sufficient low leakage current for 400 °C-annealed solution-processed  $\text{ZrO}_x$  dielectric attributed to the smooth surface and amorphous structure guarantees its potential application in low-voltage transistor.

### 3.5 Electrical properties of $\text{In}_2\text{O}_3$ TFTs on $\text{SiO}_2/\text{Si}$ substrate

Based on the aforementioned discussion, it can be inferred that 400 °C-annealed solution-processed  $\text{ZrO}_x$  dielectric can be applied as potential dielectric thin film in TFTs. Before investigating the feasibility of solution-processed  $\text{ZrO}_x$  as gate dielectric in TFTs, the possibility of solution-derived  $\text{In}_2\text{O}_3$  TFTs with bottom-gate and top-contact architecture has been evaluated. Thermally-grown  $\text{SiO}_2$  with thickness of 200 nm is adopted as the dielectric layer due to its low trap defects and high reliability. The schematic diagram of the  $\text{In}_2\text{O}_3$  TFTs is displayed in Fig. 8a. The solution-processed  $\text{In}_2\text{O}_3$  channel layers were annealed at 250–325 °C. The output curves of each  $\text{In}_2\text{O}_3/\text{SiO}_2$  TFT are shown in Fig. S1 (ESI†) and the typical output characteristics of the as-fabricated  $\text{In}_2\text{O}_3$  TFTs annealed at different temperatures, at a gate voltage of ( $V_{\text{GS}}$ ) of 20 V, are depicted in Fig. 8b. It has been found that all the as-fabricated TFTs exhibit typical n-type channel conduction behavior with clear pinch-off voltage and current saturation.

The representative transfer characteristics of  $\text{In}_2\text{O}_3$  TFTs as functions of annealing temperatures, at a drain voltage of ( $V_{\text{DS}}$ ) of 20 V, are displayed in Fig. 8c. With increasing the annealing temperature from 250 to 325 °C, the  $\text{In}_2\text{O}_3$  TFTs exhibit the increased saturation current and negative shifted threshold voltage ( $V_{\text{TH}}$ ). At the same time, the device operation mode changes from enhancement to depletion mode. The low saturation current at 250 °C may mainly attributed to the formation of defect states and the degree of the oxidation in the channel layer.<sup>38</sup> With the formation of high-temperature-induced In–O bonds in  $\text{In}_2\text{O}_3$  channel layer and the decreased lattice defects, such as hydroxides and residual impurities,<sup>42,43</sup> the improved electrical performance has been achieved at higher annealing temperatures.

To further investigate the electrical properties of the as-fabricated  $\text{In}_2\text{O}_3$  TFTs, the saturation mobility ( $\mu_{\text{sat}}$ ) and the threshold voltage ( $V_{\text{TH}}$ ) are calculated from the slope of  $I_{\text{DS,sat}}^{1/2}$  vs.  $V_{\text{GS}}$  according to the following equation,<sup>16</sup>

$$\mu_{\text{sat}} = \frac{2L}{WC_i} \left( \frac{\partial \sqrt{I_{\text{DS}}}}{\partial V_{\text{GS}}} \right)^2$$

where  $W$  is the channel width,  $L$  is the channel length,  $V_{\text{G}}$  is the source-gate voltage,  $I_{\text{D}}$  is the saturation current, and  $C_i$  is the areal capacitance of the dielectric layer. The extracted TFTs performance parameters as a function of annealing temperature are summarized in Table 1. It is found that  $\mu_{\text{sat}}$  values increase from 0.06 to 0.63  $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$  with the increase in annealing temperature. According to the observations from Shan *et al.*,<sup>16</sup> solution-derived  $\text{In}_2\text{O}_3$  channel layer annealed at low temperature contains a large amount of organic groups and degrades the electrical performance of TFTs. Therefore, high annealing temperature leads to the decomposition of organic groups and the formation of metal–oxygen bonds, which attributes to the gradual enhancement of  $\mu_{\text{sat}}$  in TFTs.

The  $V_{\text{TH}}$  of the  $\text{In}_2\text{O}_3$  TFTs based on  $\text{SiO}_2$  dielectric thin film annealed at 250, 275, 300, and 325 °C is 11.25, 5.56, 4.15, and –22.92 V, respectively. The negative shift of  $V_{\text{TH}}$  may be mainly due to the decrease amount of interfacial defects acting as carrier trap between  $\text{In}_2\text{O}_3$  channel and  $\text{SiO}_2$  gate dielectric layer. With increasing the annealing temperature, the oxygen vacancy and free carrier concentration decrease. As a result,  $I_{\text{on}}/I_{\text{off}}$  initially increases because of the reduced  $I_{\text{off}}$ . However, further increase of the annealing temperature for  $\text{In}_2\text{O}_3$  channel layer to 325 °C leads to the degradation of the device performance, which may be due to the increased trap states near the  $\text{In}_2\text{O}_3/\text{SiO}_2$  interface. The subthreshold swing (SS) value, an important performance parameter, which is defined as the  $V_{\text{DS}}$  required to increase the  $I_{\text{DS}}$  by one decade, are calculated to be 1.09, 0.96, 0.41, and 20 V  $\text{dec}^{-1}$  in 250, 275, 300, and 325 °C-annealed  $\text{In}_2\text{O}_3$  TFTs. A small SS is expected to achieve a high operation speed and low power consumption. Normally, the SS value is dependent on the traps located in channel/dielectric interface.<sup>44</sup> Based on SS value, the density of interface states ( $D_{\text{it}}$ ) can be inferred using the following equation,<sup>16</sup>

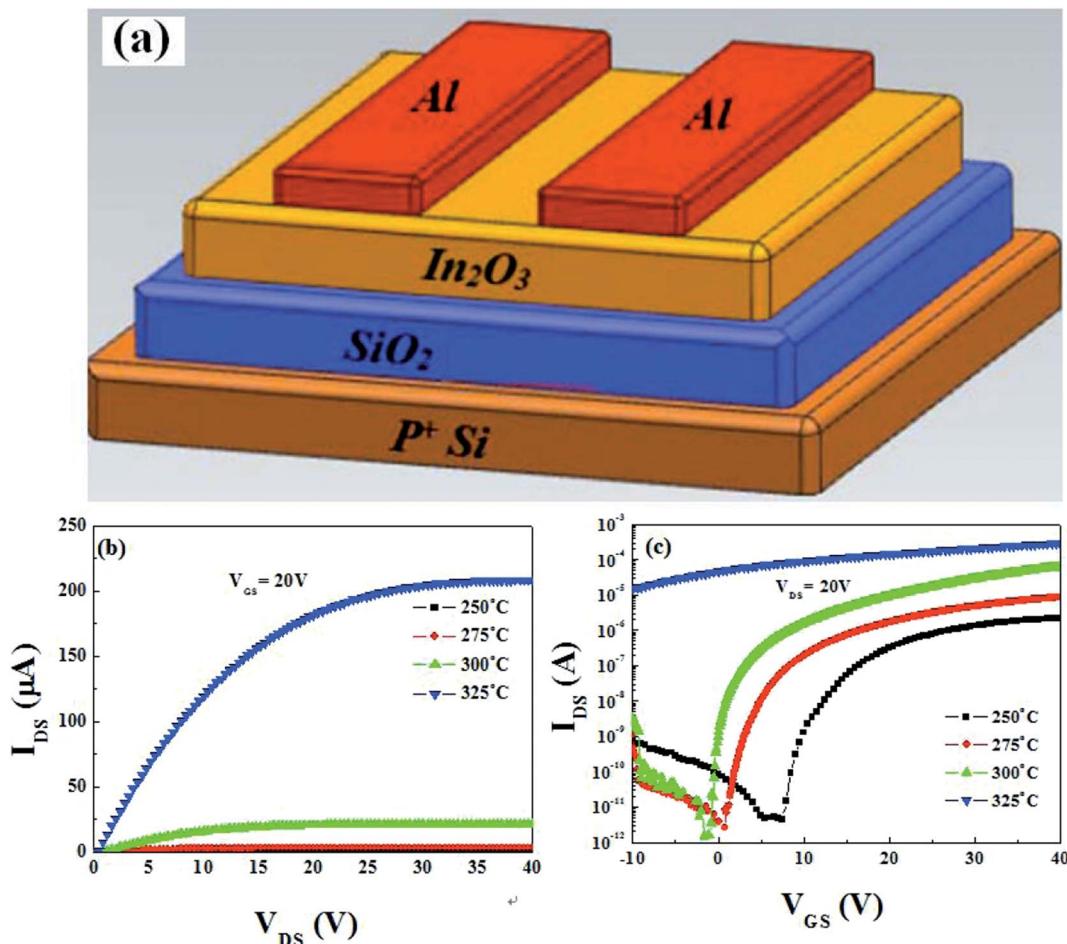


Fig. 8 (a) Schematic illustration of the bottom-gate and top-contact  $In_2O_3/SiO_2$  TFTs. (b) and (c) Output and transfer characteristics of the  $In_2O_3/SiO_2$  TFTs.

$$D_{it} = \left[ \frac{SS \log(e)}{kT/q} - 1 \right] \frac{C_1}{q}$$

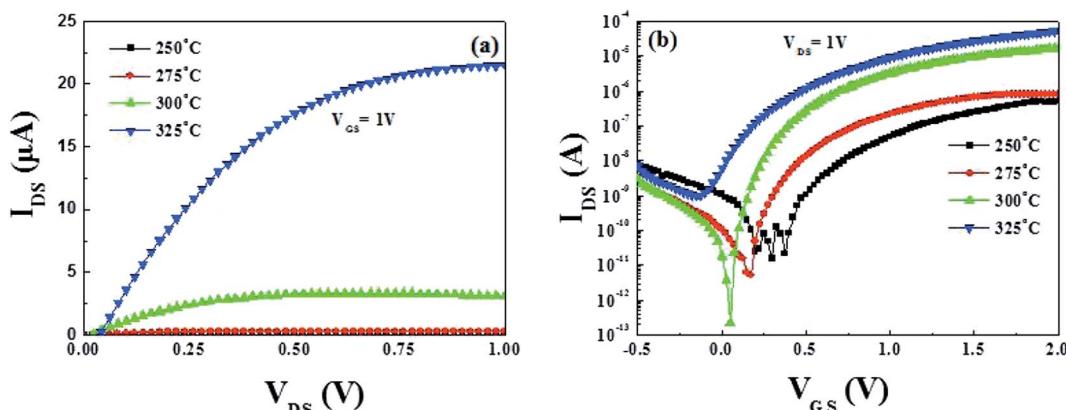
where  $k$ ,  $T$ , and  $q$  are Boltzmann's constant, absolute temperature, and charge quality, respectively. The  $D_{it}$  values of  $In_2O_3$  TFTs annealed at 250, 275, 300, and 325 °C are calculated to be  $1.35 \times 10^{12}$ ,  $1.18 \times 10^{12}$ ,  $4.60 \times 10^{11}$ , and  $2.62 \times 10^{13} \text{ cm}^{-2}$ , respectively. A large  $D_{it}$  has been observed in the 250 °C-annealed TFTs, which is attributed to the incomplete decomposition of residual organic groups and the existence of the defects states near  $In_2O_3/SiO_2$  interface. Smallest  $D_{it}$  has been obtained for 300 °C-annealed sample, indicating the controllable growth of the interface layer and reduced trap states. However, for 325 °C-annealed TFTs, the sharp increased  $D_{it}$  has been observed. Okamura *et al.* have reported that the degraded surface morphology will lead to a rough interface and more

interfacial trap states.<sup>45</sup> As a result, it can be inferred that the 325 °C-annealing increases the surface smooth and accelerates the uncontrollable growth of the interface layer. Therefore, the increased defect states will undoubtedly trap mobile carriers and degrade the performance parameter of TFTs.

From overall consideration of the electrical performance of the  $In_2O_3$  TFTs, it can be concluded that the solution-derived  $In_2O_3$  TFTs annealed at 300 °C exhibits the optimized electrical performance including a high  $\mu_{sat}$  of  $0.6 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ , a high  $I_{on}/I_{off}$  value of  $10^7$ , and a small SS value of  $0.41 \text{ V dec}^{-1}$ , respectively. However, due to the low dielectric constant of  $SiO_2$  employed as candidate of gate dielectric in TFTs, all the as-fabricated TFTs devices operate at high voltages and hence increase the power consumption. To decrease the operation voltage and reduce the power consumption of solution-derived

Table 1 Electrical parameters of  $In_2O_3/SiO_2$  annealed at various conditions

Sample	$\mu_{sat} [\text{cm}^2 \text{ V}^{-1} \text{ s}^{-1}]$	$I_{on}/I_{off}$	$V_{TH} [\text{V}]$	$SS [\text{V dec}^{-1}]$	$D_{it} [\text{cm}^{-2}]$
$In_2O_3-250/SiO_2$	0.07	$4.78 \times 10^5$	11.25	1.09	$1.35 \times 10^{12}$
$In_2O_3-275/SiO_2$	0.13	$3.30 \times 10^6$	5.56	0.96	$1.18 \times 10^{12}$
$In_2O_3-300/SiO_2$	0.63	$4.09 \times 10^7$	4.15	0.41	$4.60 \times 10^{11}$
$In_2O_3-325/SiO_2$	Conductive	$2.12 \times 10$	-22.92	20.00	$2.62 \times 10^{13}$

Fig. 9 Output and transfer characteristics of the  $\text{In}_2\text{O}_3/\text{ZrO}_x$  TFTs.

$\text{In}_2\text{O}_3$  TFTs, replacing  $\text{SiO}_2$  with high- $k$  gate dielectric should be explored.

### 3.6 Electrical properties of solution-processed $\text{In}_2\text{O}_3/\text{ZrO}_x$ TFTs

Encouraged by the successful TFTs performance based on  $\text{SiO}_2$  dielectric, the TFTs performance needs to be optimized further. To explore the possibility of the improvement of device performance, bottom-gated fully solution-derived TFTs combined with  $\text{In}_2\text{O}_3$  channel and  $\text{ZrO}_x$  dielectric layer were fabricated. Based on previous investigation, 400 °C-annealed  $\text{ZrO}_x$  has been selected to act as the dielectric layer due to its relatively low leakage current and good dielectric properties. For the solution-derived  $\text{In}_2\text{O}_3$  channel layer, 250–325 °C annealing temperature is still selected to pursue the optimized TFTs device performance.

The output curves of each TFT are shown in Fig. S2 (ESI<sup>†</sup>) and the summarized output curves of  $\text{In}_2\text{O}_3$  TFTs at a  $V_{\text{GS}}$  of 1 V are demonstrated in Fig. 9a. It is noted that an ultra-low operating voltage of 2 V has been observed in  $\text{ZrO}_x$ -based TFTs. Based on our best knowledge, it is the lowest reported operating voltage for fully solution-derived  $\text{In}_2\text{O}_3$  TFTs. As a result, the as-fabricated TFTs expend lower consumption compared to those TFTs based on conventional  $\text{SiO}_2$  dielectrics, which is desirable for low-consumption electronics. Fig. 9b shows the corresponding transfer characteristics of  $\text{In}_2\text{O}_3$  TFTs. Table 2 summarizes all the electrical parameters of fully solution-derived  $\text{In}_2\text{O}_3$  TFTs based on 400 °C-annealed  $\text{ZrO}_x$  dielectrics. It can be clearly seen that  $\text{In}_2\text{O}_3$  TFTs annealed at 300 °C represents improved electrical performances, such as a high  $\mu_{\text{sat}}$  of 4.42  $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ , a low  $V_{\text{TH}}$  of 0.31 V, an large  $I_{\text{on}}/I_{\text{off}}$  of  $7.5 \times 10^7$ ,

a turn-on voltage of 0 V, and a small SS of 78.1 mV  $\text{dec}^{-1}$ . In addition,  $D_{\text{it}}$  is calculated to  $5.2 \times 10^{11} \text{ cm}^{-2}$  for 300 °C-processed  $\text{In}_2\text{O}_3$  TFTs. The as-calculated  $D_{\text{it}}$  is much lower than that of the reported TFTs based on solution-derived  $\text{ZrO}_x$  ( $8.7 \times 10^{11} \text{ cm}^{-2}$ ),<sup>16</sup> sputtered  $\text{AlO}_x$  ( $1.1 \times 10^{13} \text{ cm}^{-2}$ ),<sup>46</sup> spin-coated  $\text{MgO}$  ( $1.1 \times 10^{13} \text{ cm}^{-2}$ ),<sup>17</sup> and water-induced  $\text{ScO}_x$  ( $1.1 \times 10^{13} \text{ cm}^{-2}$ ).<sup>26</sup> Such a small  $D_{\text{it}}$  is not only beneficial to carrier transport in the interface region, but also to the operation stability. The small SS values for 300 °C-annealed TFTs may be attributed to the large areal capacitance of the  $\text{ZrO}_x$  dielectric layer and the electronic-clean interface between  $\text{In}_2\text{O}_3$  and  $\text{ZrO}_x$ .<sup>15,25</sup> Based on the optimized electrical performance parameters, it can be noted that the  $\mu_{\text{sat}}$  of  $\text{In}_2\text{O}_3$  TFTs based on  $\text{ZrO}_x$  dielectric has been significantly enhanced by a factor of 7 compared to that of based on  $\text{SiO}_2$  dielectric. The sharp increase in  $\mu_{\text{sat}}$  comes from the electronic-free interface and high capacitance density of  $\text{ZrO}_x$  dielectric layer. According to the multiple-trap-and-release (MTR) model, Lee *et al.* have supposed that a higher electron mobility may originate from an increased gate capacitance, which stems from the larger dielectric constant of the gate dielectric relative to  $\text{SiO}_2$ .<sup>47</sup> The increase in gate capacitance causes electrons to rapidly fill the lower localized states between the energy gap, allowing additional induced electrons to occupy the upper localized states. As a result, electrons can easily jump into the nearby localized states along the percolating-conduction path, leading to the enhanced electron mobility.<sup>24</sup> Based on above analyses, it can be concluded that the optimized device performance for 300 °C-annealed  $\text{In}_2\text{O}_3$  TFTs based on  $\text{ZrO}_x$  dielectric may be due to the smooth surface, the high capacitance of the  $\text{ZrO}_x$  dielectric, and/or the enhanced interface quality of  $\text{In}_2\text{O}_3/\text{ZrO}_x$ .

Table 2 Electrical parameters of  $\text{In}_2\text{O}_3/\text{ZrO}_x$  annealed at various conditions

Sample	$\mu_{\text{sat}}$ [ $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ ]	$I_{\text{on}}/I_{\text{off}}$	$V_{\text{TH}}$ [V]	SS [V $\text{dec}^{-1}$ ]	$D_{\text{it}}$ [ $\text{cm}^{-2}$ ]
$\text{In}_2\text{O}_3\text{-}250/\text{ZrO}_x$	0.18	$2.27 \times 10^4$	0.56	0.11	$2.13 \times 10^{12}$
$\text{In}_2\text{O}_3\text{-}275/\text{ZrO}_x$	0.32	$1.61 \times 10^5$	0.34	0.082	$7.25 \times 10^{11}$
$\text{In}_2\text{O}_3\text{-}300/\text{ZrO}_x$	4.42	$7.50 \times 10^7$	0.31	0.078	$5.21 \times 10^{11}$
$\text{In}_2\text{O}_3\text{-}325/\text{ZrO}_x$	11.32	$5.50 \times 10^4$	-0.15	0.13	$1.89 \times 10^{12}$

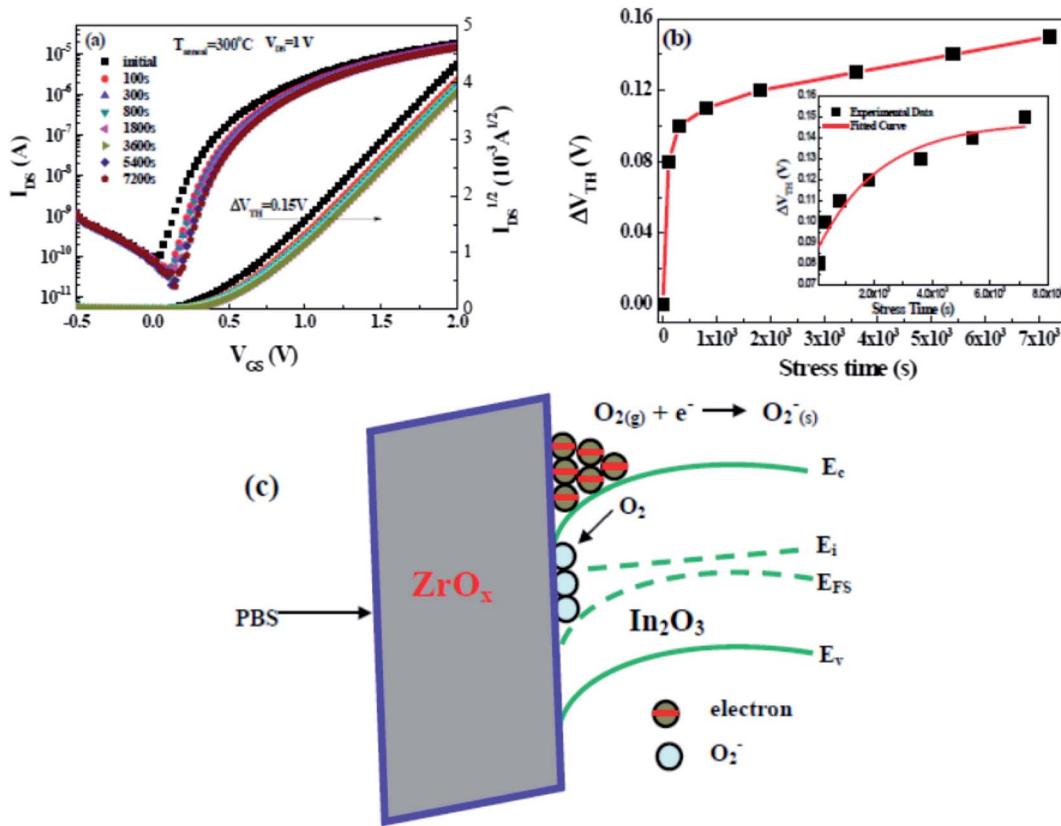


Fig. 10 (a) Transfer curves of  $300\text{ }^{\circ}\text{C}$ -annealed  $\text{In}_2\text{O}_3/\text{ZrO}_x$  TFT under PBS with a  $V_G$  value of 2 V for 7200 s. (b) The  $V_{TH}$  shift as a function of stress time. The inset shows the time dependence of  $\Delta V_{TH}$  in the  $\text{In}_2\text{O}_3$  TFT with an  $\text{ZrO}_x$  gate dielectric under the bias-stress of 1 V. (c) The energy band diagram of the  $300\text{ }^{\circ}\text{C}$ -annealed  $\text{In}_2\text{O}_3/\text{ZrO}_x$  TFT under PBS.

In spite of the high saturation current and the large  $\mu_{sat}$  for  $\text{In}_2\text{O}_3$  TFTs annealed at  $325\text{ }^{\circ}\text{C}$ , it operates in the depletion mode with a negative of  $-0.15\text{ V}$ , which can be due to the high carrier concentration resulting from Fermi level approaching the conduction band minimum (CBM).<sup>2</sup> The high carrier concentration, as well as the increased interface defect states make it difficult to deplete  $\text{In}_2\text{O}_3$  channel layer, leading to a negative  $V_{TH}$ , a high off current, and a low  $I_{on}/I_{off}$ . What's more, the corresponding  $D_{it}$  for  $325\text{ }^{\circ}\text{C}$ -annealed TFTs is calculated to be  $1.89 \times 10^{12}\text{ cm}^{-2}$ , approaching nearly one magnitude larger than that of  $300\text{ }^{\circ}\text{C}$ -annealed TFTs. The small  $I_{on}/I_{off}$  value for  $325\text{ }^{\circ}\text{C}$ -annealed TFTs is mainly caused by the large off-state current ( $I_{off}$ ), which will lead to the inevitable static power consumption and degrade device performance.<sup>48</sup> It is known that static power consumption is comparable to dynamic power in modern silicon chips or even become dominating in the future.<sup>49</sup> Therefore, the  $I_{off}$  has been regarded as a critical parameter to evaluate the power consumption of a device in modern integrated circuits.

Although solution-derived TFTs based on high-k gate dielectric have been previously achieved, there have been little reports on the investigation of their electrical stability under long-term bias stressing. To investigate the bias stability of the  $\text{In}_2\text{O}_3/\text{ZrO}_x$  TFT positive bias stress (PBS) tests were performed by applying a constant gate bias of 1 V while maintaining source and drain electrodes grounded. Fig. 10a displays the transfer

curves with stress time intervals for  $300\text{ }^{\circ}\text{C}$ -annealed  $\text{In}_2\text{O}_3/\text{ZrO}_x$  TFT. The resulting  $V_{TH}$  versus stress time has been demonstrated in Fig. 10b. It can be seen that the  $300\text{ }^{\circ}\text{C}$ -annealed  $\text{In}_2\text{O}_3/\text{ZrO}_x$  TFT exhibits higher operation stability with a small threshold voltage shift ( $\Delta V_{TH}$ ) of  $0.15\text{ V}$  up to 7200 s. The negligible change in the SS value reveals that there is no additional defect creation at the channel/dielectric interface during bias stressing.<sup>29</sup> The small  $\Delta V_{TH}$  shift value reveals that there are a small number of defects at the  $\text{In}_2\text{O}_3/\text{ZrO}_x$  interface, which is consistent with previous  $D_{it}$  data. Based on the investigation from Jeong *et al.*, it can be noted that the interaction between the channel layer and oxygen from the ambient atmosphere determines the instability.<sup>50</sup> Testing PBS in the atmosphere, excess electrons will accumulate in the channel layer. The  $\text{O}_2$  adsorption in the channel layer can deplete the electron carriers, leading to a positively shift of  $\Delta V_{TH}$ .<sup>29</sup> The chemical reaction and the proposed band diagram are displayed in Fig. 10c.

The investigation of the time dependence of  $\Delta V_{TH}$  can be used to confirm the dominant charge trapping mechanism causing the bias stress-induced  $\Delta V_{TH}$  in TFTs.<sup>51</sup> From the inset plot shown in Fig. 10b, it can be noted that the time dependence of  $\Delta V_{TH}$  in the  $\text{In}_2\text{O}_3$  TFTs device follows a stretched exponential equation. This model is predictive of the voltage threshold shift for long stress durations of up to 2 h. This dependence is

indicative of charge trapping phenomenon. The stretched exponential model of  $\Delta V_{\text{TH}}$  is defined as<sup>51</sup>

$$\Delta V_{\text{TH}} = \Delta V_{\text{TH}_0} \left[ 1 - e^{-\left(\frac{t}{\tau}\right)^\beta} \right]$$

where  $\Delta V_{\text{TH}_0}$  is the  $\Delta V_{\text{TH}}$  right before the relaxation begins,  $\tau$  is the characteristic detrapping time of carriers, and  $\beta$  is the stretched exponential exponent. The stretched exponential model well describes the measured  $\Delta V_{\text{TH}}$ , which confirms our assumption that the charge trapping is the dominant mechanism of  $\Delta V_{\text{TH}}$  in the  $\text{ZrO}_x/\text{In}_2\text{O}_3$  interface. Similar results have been detected in InGaZnO-based TFTs.<sup>51,52</sup>

The achievement of the high-performance fully solution-derived  $\text{In}_2\text{O}_3$  TFTs based on  $\text{ZrO}_x$  gate dielectric indicates the potential application of  $\text{ZrO}_x$  as an excellent high-k dielectric candidate, which represents a significant step towards the development of low-cost, low-power consumption, and large-area oxide flexible electronics. Although the progress made by integrating  $\text{In}_2\text{O}_3$  channel layer with high-k gate dielectric, the processing temperature (400 °C) is somewhat beyond the limitation of flexible substrate. In addition,  $\text{In}_2\text{O}_3$  and  $\text{ZrO}_x$  in current work has been obtained by conventional solution-based route, the use of toxic organic precursors has hindered its development. An eco-friendly, innovative and green synthetic route should be reconsidered. Currently, water-inducement route<sup>15,23</sup> and solution combustion synthesis process<sup>53–55</sup> have been adopted to success in reducing the processing temperature. Such process in under way.

## 4. Conclusions

In summary, we have demonstrated the solution-processed  $\text{In}_2\text{O}_3$  thin films and explored its application possibility as channel layer in TFTs. The annealing temperature dependence on the electrical properties of  $\text{In}_2\text{O}_3$  TFTs based on  $\text{SiO}_2$  gate dielectric has been investigated. The optimized  $\text{In}_2\text{O}_3/\text{SiO}_2$  TFTs annealed at 300 °C exhibit excellent electrical performance, including a  $\mu_{\text{sat}}$  of 0.6  $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ , a high  $I_{\text{on}}/I_{\text{off}}$  value of  $10^7$ ,  $V_{\text{TH}}$  of 4.15 V, and a small SS value of 0.41 V  $\text{dec}^{-1}$ , respectively. To verify the possible application of the  $\text{ZrO}_x$  thin films as dielectrics in low-temperature-processed CMOS logics, fully solution-derived  $\text{In}_2\text{O}_3/\text{ZrO}_x$  TFTs have been successfully fabricated to display a ultralow operating voltage of 2 V with optimized performance, including a high  $\mu_{\text{sat}}$  of 4.42  $\text{cm}^2 \text{V}^{-1} \text{s}^{-1}$ , a low  $V_{\text{TH}}$  of 0.31 V, an large  $I_{\text{on}}/I_{\text{off}}$  of  $7.5 \times 10^7$ , a small SS of 78.1 mV  $\text{dec}^{-1}$ , an threshold voltage shift of 0.15 V under positive bias stress for 7200 s, respectively. As a result, it can be inferred that fully solution-based  $\text{In}_2\text{O}_3/\text{ZrO}_x$  TFTs have potential application as a promising alternative for low-cost, low-power consumption, and large-area oxide flexible electronics.

## Conflicts of interest

The authors declare no competing financial interest.

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