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# Examining the effect of hydroxyl groups on the thermal properties of polybenzoxazines: using molecular design and Monte Carlo simulation

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The influence of methylol and phenolic hydroxyl on the thermal properties of polybenzoxazines has been studied using two monofunctional benzoxazine monomers synthesized from *para* methylol-/ethyl- phenol, aniline and paraformaldehyde. The chemical structures of the synthesized monomers are confirmed by <sup>1</sup>H nuclear magnetic resonance (NMR), <sup>13</sup>C NMR and Fourier transform infrared spectroscopy (FT-IR). Polymerizations are monitored by differential scanning calorimetry (DSC). The glass transition temperature ( $T_g$ ) of each polybenzoxazine is measured by DSC as well as dynamic mechanical analysis (DMA), indicating the greatly increased  $T_g$  via incorporation of methylol functionality into benzoxazine moiety. Monte Carlo simulations are also applied to further investigate the underlying structure-property relationship between intermolecular hydrogen-bonding network originating from different types of hydroxyl groups and thermal properties of polybenzoxazines. The agreement between the experimental and simulation results provide us with a fundamental understanding of the designing roles in highly thermally stable polybenzoxazines.

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## Introduction

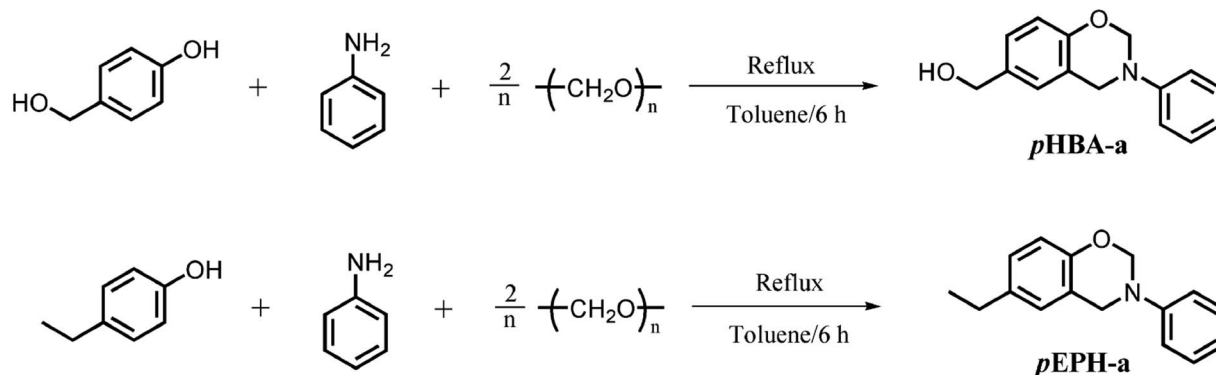
In recent years, needs for development of polymers with highly thermally stable properties have increased drastically due to technological advancements that require materials for use in harsher environments. Polybenzoxazines are a class of thermosetting phenolic resins that gained great interest because of their unusual set of competitive properties.<sup>1–6</sup> They combine various outstanding properties of high performance thermosets like high thermal stability,<sup>7</sup> high char yield,<sup>8</sup> high glass transition temperature ( $T_g$ ),<sup>9</sup> near-zero volumetric change during polymerization,<sup>10</sup> good mechanical<sup>11</sup> and dielectric properties,<sup>12,13</sup> low water absorption<sup>14</sup> and low flammability.<sup>15</sup> The most attractive characteristic of polybenzoxazines is their extraordinarily rich molecular design flexibility and versatility in molecular structure. Thus, polybenzoxazine can satisfy specific requirements for different applications such as high temperature resistant adhesives, coatings, membranes and aerospace materials. For example, the thermal stability of polybenzoxazines can be achieved by incorporation of various functionalities such as amide,<sup>16,17</sup> imide,<sup>17,18</sup> allyl,<sup>19,20</sup> nitrile<sup>21</sup> and furfuryl<sup>22</sup> or increasing the number of oxazine rings in molecular backbone.<sup>23</sup>

Benzoxazines containing hydroxyl group have been extensively studied due to their lower polymerization temperature and the modifiable character of hydroxyl functionality.<sup>24–27</sup> Among these hydroxylated compounds, methylol functional benzoxazines have been reported as flexible precursors for chemical modification and their improved polymerization behavior and polymer properties.<sup>28,29</sup> For example, a methacryloyl-benzoxazine-containing monomer from methylol-functional benzoxazine was synthesized and its photopolymerization behavior as well as thermal polymerization was studied by Jin *et al.*<sup>28</sup> Besides, the hydrogen-bonding interactions and polymerization mechanism of methylol functional benzoxazine isomers have been systematically investigated.<sup>30–33</sup> It has been found that intermolecular hydrogen-bonding exists in *para*-methylol functional benzoxazines. However, intramolecular hydrogen-bonding system is present only in the *ortho*-isomer.<sup>32</sup> In addition, both condensation reaction between the methylol and phenyl groups, in a manner similar to the traditional phenolic resins, and the usual cationic ring-opening polymerization of the oxazine group during thermal treatment of *ortho*-methylol functional benzoxazine were found to occur.<sup>33</sup> Unlike smart reactions reported for *ortho*-functional benzoxazines that further undergo structural transformation forming benzoxazole structure at elevated temperatures, only phenolic moieties can be formed for *para*-isomer upon heating. Despite lack of the structural transformation unique to the *ortho*-isomers, it is worth noticing that excellent thermal stability of the polybenzoxazines derived from *para*-methylol functional benzoxazine monomers compared with other

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Scheme 1 Synthesis of methylol and ethyl functional benzoxazine monomers.

traditional polybenzoxazines based on non-hydroxyl benzoxazine monomers can be obtained. It is hypothesized that the existence of additional hydroxyl from methylol groups in polybenzoxazine network is the key factor causing their outstanding thermal properties.

Dynamic Monte Carlo simulations are usually applied to investigate microscopic structural changes or segmental dynamics, such as polymer crystallization,<sup>34–36</sup> structural relaxation<sup>37,38</sup> and glass transition.<sup>39,40</sup> Previously, the correlation between dynamic heterogeneity and conformational transition during glass transition<sup>41</sup> has been revealed by using dynamic Monte Carlo simulations. Such conformational change is the key factor controlling the effect of chain topology on dynamic heterogeneity and fragility.<sup>42,43</sup> In addition, we also demonstrated that local segmental density and interfacial interaction are the key factors controlling the distribution of local  $T_g$ s in ultrathin polymer films.<sup>44</sup> In short, many experimental findings can be theoretically supported, and, more importantly, underlying molecular mechanisms can be unearthed based on molecular simulations where experimental verification is difficult.

This work forms part of our ongoing research into examining and understanding the role of hydroxyl functionalities on improving the thermal properties of polybenzoxazines. Here, we synthesize two benzoxazine monomers, *pHBA-a* (a hydroxyl-containing benzoxazine) and *pEPH-a* (ethyl-functional benzoxazine) as shown in Scheme 1 to investigate the existence of different roles of hydroxyl on the thermal properties of polybenzoxazines. *pEPH-a* is designed as a control by replacing the hydroxyl in *pHBA-a* by methyl for comparison. We correlate analytical measurements carried out on very pure benzoxazine samples with Monte Carlo simulations in order to better understand the underlying structure-property relationship between different types of hydroxyl interactions and thermal properties of polybenzoxazines.

## Experimental

### Materials

4-Hydroxybenzyl alcohol (*pHBA*) (98%), 4-ethylphenol (*pEPH*) (98%), aniline, and paraformaldehyde (96%) were used as

received from Sigma-Aldrich. Toluene, ethyl acetate, hexane, chloroform, sodium hydroxide, and sodium sulfate were obtained from Fisher Scientific and used as received.

### Synthesis of (3-phenyl-3,4-dihydro-2H-benzo[e][1,3]oxazin-6-yl)methanol (abbreviated as *pHBA-a*)

Into a 50 mL round flask were added 20 mL of toluene, aniline (1.4 g, 15 mmol), *pHBA* (1.83 g, 15 mmol), and paraformaldehyde (0.96 g, 30 mmol). The mixture was refluxed for 6 h. Then the product was concentrated using a rotary evaporator and redissolved in chloroform followed by base-wash using 1 N NaOH aqueous solution three times and subsequent wash with deionized water. The chloroform solution was dried over sodium sulfate anhydrous and recrystallized from chloroform to yield white crystals (yield: 75%). <sup>1</sup>H NMR (600 MHz, DMSO-*d*<sub>6</sub>, 25 °C)  $\delta$ , ppm: 4.34 (d, 2H, -CH<sub>2</sub>-OH), 4.62 (s, 2H, Ar-CH<sub>2</sub>-N, oxazine), 5.00 (t, 1H, -OH), 5.40 (s, 2H, O-CH<sub>2</sub>-N, oxazine), 6.65–7.21 (m, 8H, Ar). FT-IR spectra (KBr), cm<sup>-1</sup>: 3250 (stretching of OH of methylol), 1497 (stretching of trisubstituted benzene ring), 1236 (Ar-O-C asymmetric stretching), 944 (oxazine ring related mode). Anal. calcd for C<sub>15</sub>H<sub>15</sub>NO<sub>2</sub>: C, 74.69%; H, 6.22%; N, 5.84%. Found: C, 74.13%; H, 6.37%; N, 5.69%.

### Synthesis of 6-ethyl-3-phenyl-3,4-dihydro-2H-benzo[e][1,3]oxazine (abbreviated as *pEPH-a*)

Into a 50 mL round flask were added 20 mL of toluene, aniline (1.4 g, 15 mmol), *pEPH* (1.86 g, 15 mmol), and paraformaldehyde (0.96 g, 30 mmol). The mixture was refluxed for 6 h. Then the product was cooled to room temperature and washed with 1 N NaOH solution and then water to eliminate the unreacted residual starting materials and byproducts. The solution was dried over sodium sulfate anhydrous and followed by fractionating with column chromatography (eluent: hexanes and ethyl acetate, in a volume ratio of 4 : 1) to obtain final products (yield: 58%). <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>, 25 °C)  $\delta$ , ppm: 1.18 (m, 3H, -CH<sub>3</sub>), 2.55 (q, 2H, -CH<sub>2</sub>), 4.61 (s, 2H, Ar-CH<sub>2</sub>-N, oxazine), 5.33 (s, 2H, O-CH<sub>2</sub>-N, oxazine), 6.64–7.25 (m, 8H, Ar). FT-IR spectra (KBr), cm<sup>-1</sup>: 1499 (stretching of trisubstituted benzene ring), 1228 (Ar-O-C asymmetric stretching), 949 (oxazine ring related mode). Anal. calcd for



$C_{15}H_{15}NO_2$ : C, 80.30%; H, 7.16%; N, 5.85%. Found: C, 80.09%; H, 7.22%; N, 5.78%.

$$E = cE_c + lE_{LJ} + bE_b \quad (1)$$

### Polymerization of benzoxazine monomers

Each benzoxazine monomer was polymerized using different polymerization cycles. Polymerizations of *p*HBA-a was carried out in an oven by heating at 160, 180, 200 and 220 °C for 2 h each step, thus obtaining poly(*p*HBA-a). In addition, polymerization of *p*EPH-a was done by heating at 160, 180, 200, 220, 240 and 260 °C for 2 h each step, thus forming poly(*p*EPH-a).

**Characterization.** Varian Oxford AS600 (600 MHz) nuclear magnetic resonance (NMR) spectrometer was used to obtain  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra using the number of transient of 64 and 1024, respectively.  $^1\text{H}$ - $^1\text{H}$  nuclear Overhauser effect spectroscopy (NOESY) was conducted on Bruker AVANCE II 400 MHz NMR. A Bomem Michelson MB100 Fourier transform infrared (FT-IR) spectrophotometer was used to obtain FT-IR spectra. The spectrophotometer was equipped with a deuterated triglycine sulfate (DTGS) detector and a dry air purge unit. Sixty four scans were coadded at a resolution of  $4\text{ cm}^{-1}$  in the frequency range of  $4000\text{--}400\text{ cm}^{-1}$ . All benzoxazine monomers were finely ground with potassium bromide powder and pressed into a disk and the spectrum was taken as the transmission mode. A TA Instruments differential scanning calorimeter (DSC) model 2920 was used with a temperature ramp rate of  $10\text{ }^\circ\text{C min}^{-1}$  and a nitrogen flow rate of  $60\text{ mL min}^{-1}$  for all tests of DSC study. All samples were sealed in hermetic aluminum pans with lids. Viscosity was tested by Anton Paar GmbH Rheometer MCR 301 with constant shear rate at  $10\text{ s}^{-1}$  with a temperature ramp rate of  $5\text{ }^\circ\text{C min}^{-1}$ . Dynamic mechanical analysis (DMA) was conducted on a TA Instruments Model Q800 DMA applying controlled strain tension mode with amplitude of  $10\text{ }\mu\text{m}$  and a temperature ramp rate of  $3\text{ }^\circ\text{C min}^{-1}$ . Strain sweep was first performed to determine the linear viscoelastic limit. Thermogravimetric analyses (TGA) were performed on a TA Instruments Q500 thermogravimetric analyzer that was purged with nitrogen at a flow rate of  $40\text{ mL min}^{-1}$ . A heating rate of  $10\text{ }^\circ\text{C min}^{-1}$  was applied.

**Simulation details.** Dynamic Monte Carlo simulations were carried out on lattice space. First, a lattice box containing  $30 \times 30 \times 30$  cubic lattice cells with periodic boundary conditions was set up. Then, 390 model chains, each containing 60 segments, were regularly put into the simulation box. In the lattice space, one lattice site could be only occupied by one segment, and double occupations were not allowed due to the volume exclusion of polymer chains. In this condition, the polymer volume fraction was 0.867, and the remaining vacancy lattice sites were treated as free volume for the trial moves of polymer chains. The bonds could be oriented either along lattice axes or along diagonals, and therefore the coordination number of each segment was 26. During chain movements, a segment could jump from an occupied site into a neighboring unoccupied site.

The conventional Metropolis sampling algorithm is used to decide whether a motion can be accepted with the potential energy penalty by eqn (1):

where  $E_c$  is the bending energy for two consecutive bonds along the chain, reflecting the chain semiflexibility.<sup>34</sup> Besides,  $E_{LJ} = 4\varepsilon \left[ \left( \frac{\sigma}{r} \right)^{12} - \left( \frac{\sigma}{r} \right)^6 \right]$  is the Lennard-Jones potential for non-bonded segments ( $\varepsilon$  is the characteristic energy well of the potential,  $\sigma$  is the lattice spacing in consideration of the excluded volume, and  $r$  is the distance between two non-bonded segments),  $E_b$  is the mixing-interaction energy for one nearest-neighbor pair of hydroxyl groups, reflecting the hydrogen-bonding interactions,  $c$  is the net number of non-collinear connection pairs of bonds along the chain,  $l$  is the net number of Lennard-Jones interaction pairs between non-bonded segments within the cut-off distance, and  $b$  is the net change of the nearest-neighbor hydroxyl pairs. In the current simulations,  $4\varepsilon/E_c$  is fixed at 2,  $E_b/E_c$  is set to  $-1$ ,  $\sigma$  is 1 lattice site, the cut-off distance for the Lennard-Jones potential is 3 lattice sites, and  $kT/E_c$  ( $k$  is the Boltzmann's constant and  $T$  is the temperature) represents the reduced system temperature (abbreviated as  $T^*$ ). The initial chains with regular arrangements were relaxed for  $10^6$  MC cycles (one MC cycle represents the step when all segments have a move trial on average) to obtain an equilibrium melt state at  $T^* = 8.0$ . Subsequently, the system was cooled gradually from  $T^* = 8.0$  to  $T^* = 0.05$  at a cooling rate of 0.01 unit of  $T^*$  per 100 MC cycles.

## Result and discussion

### Synthesis of benzoxazine monomers

Monofunctional benzoxazine monomer containing methylol functionality was successfully synthesized using a primary amine (aniline), formaldehyde, and 4-hydroxybenzyl alcohol (*p*HBA). In addition, a counterpart, *p*EPH-a, has also been designed. *p*EPH-a is a benzoxazine compound with *para* functionality of ethyl group, while the functionality is methylol for *p*HBA-a at the same position. The synthesis routes involving structure of the reactants used, and final benzoxazine monomers are shown in Scheme 1.

The chemical structures of *p*HBA-a and *p*EPH-a are confirmed by  $^1\text{H}$  NMR,  $^{13}\text{C}$  NMR, FT-IR and elemental analysis. Fig. 1 shows the  $^1\text{H}$  NMR spectra of *p*HBA-a and *p*EPH-a. The characteristic resonances<sup>2</sup> attributed to the oxazine ring structure,  $\text{Ar-CH}_2\text{-N-}$  and  $\text{-O-CH}_2\text{-N-}$  for *p*HBA-a are observed at 4.60 and 5.40 ppm, respectively. The corresponding resonances for *p*EPH-a are observed at 4.61 and 5.33 ppm, respectively. The  $^1\text{H}$  NMR spectrum also indicates the presence of the methylol group ( $\text{-CH}_2\text{OH}$ ) in *p*HBA-a based on the observation of the resonances of  $\text{-CH}_2\text{-O}$  and hydroxyl attached to a methylol group at 4.34 and 5.00 ppm, respectively. Moreover, the resonances due to the presence of ethyl group in *p*EPH-a are observed at 1.18 and 2.55 ppm.

$^{13}\text{C}$  NMR analyses were also performed to further confirm the structures of *p*HBA-a and *p*EPH-a. The spectra are shown in Fig. 2. The two singlets at 49.70 and 79.85 ppm for *p*HBA-a and 50.49 and 79.33 ppm for *p*EPH-a are typical carbon resonances<sup>2</sup> of  $\text{Ar-CH}_2\text{-N-}$  and  $\text{-O-CH}_2\text{-N-}$  of the oxazine ring, respectively.



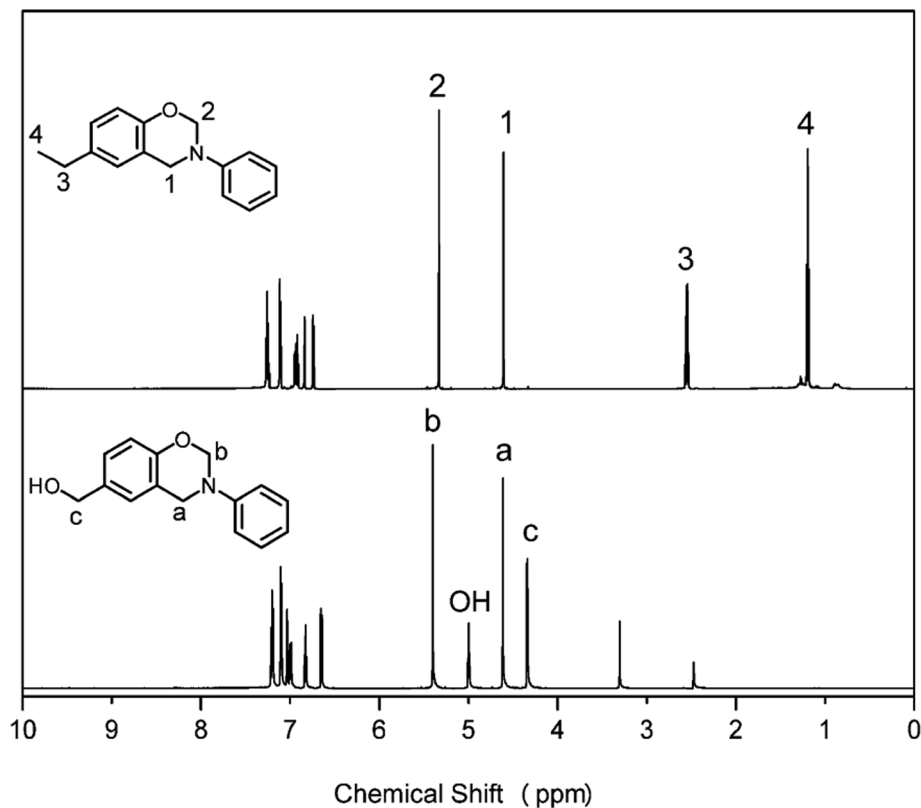


Fig. 1 <sup>1</sup>H NMR spectra of *p*HBA-a (in DMSO-*d*<sub>6</sub>) and *p*EPH-a (in CDCl<sub>3</sub>).

The resonance at 63.25 ppm is assigned to the carbon of methylol group for *p*HBA-a while the resonances at 15.76 and 28.05 ppm are due to the presence of ethyl in *p*EPH-a.

FT-IR spectra of *p*HBA-a and *p*EPH-a using the KBr pellet method are shown in Fig. 3. The FT-IR spectrum of *p*HBA-a shows a broad band around 3250 cm<sup>-1</sup>, corresponding to the stretching vibrational mode of the -OH group. Besides, characteristic bands of asymmetrically trisubstituted benzene ring are observed at 1497 and 1499 cm<sup>-1</sup> for *p*HBA-a and *p*EPH-a, respectively, confirming the presence of functionalities as a constitutive part of both benzoxazine monomers. In addition, the existence of a benzoxazine ring aromatic ether in the monomers is indicated by the bands centered at 1236 and 1228 cm<sup>-1</sup> for *p*HBA-a and *p*EPH-a, respectively, which is due to the C-O-C asymmetric stretching modes.<sup>45</sup> Furthermore, the characteristic bands at 944 and 949 cm<sup>-1</sup> for *p*HBA-a and *p*EPH-a, which are mainly related to the oxazine ring, the vibration of O-C<sub>2</sub> vibration with a minor contribution from the phenolic ring (C-H out of plane bending, C-C-C-C torsion).<sup>46</sup> Moreover, elemental analysis was also performed and the excellent agreement between the calculated and observed data for the purified sample, showing that the targeted compounds, *p*HBA-a and *p*EPH-a were obtained in high purity. This last result along with all the previous spectroscopic information are consistent with the successful synthesis of the target compounds.

## 2D <sup>1</sup>H-<sup>1</sup>H NMR NOESY analysis of benzoxazine monomer

Prior to this study, the presence of hydrogen bonding systems in methylol functional benzoxazines has been investigated by 1D <sup>1</sup>H NMR, and only intermolecular hydrogen bonding was observed in *p*HBA-a due to the existence of the clear dependence of the OH chemical shift upon concentration.<sup>32</sup> Herein, 2D <sup>1</sup>H-<sup>1</sup>H NOESY NMR of *p*HBA-a in DMSO-*d*<sub>6</sub> has been performed and its results are shown in Fig. 4. The OH proton from methylol interacts through space with no other protons in the same molecule, further indicating no intramolecular hydrogen bonding is formed in *p*HBA-a. Therefore, only intermolecular hydrogen bonds could be formed through methylol-methylol or methylol-oxazine ring interaction in *p*HBA-a, resulting in stronger driving force for crystal formation and higher melting temperature.

## Polymerization behavior of benzoxazine monomer

DSC was used to study the polymerization behavior of *p*HBA-a and *p*EPH-a as shown in Fig. 5. The thermograms show that the ring-opening polymerization of *p*EPH-a started at 250 °C with its maximum centered at 267 °C. However, the onset of polymerization is shifted in the presence of methylol group to as low as 175 °C for *p*HBA-a. In addition, the peak is broadened and the maximum is also reduced to 216 °C. In general, electron-withdrawing substituents lead to a more acidic phenol



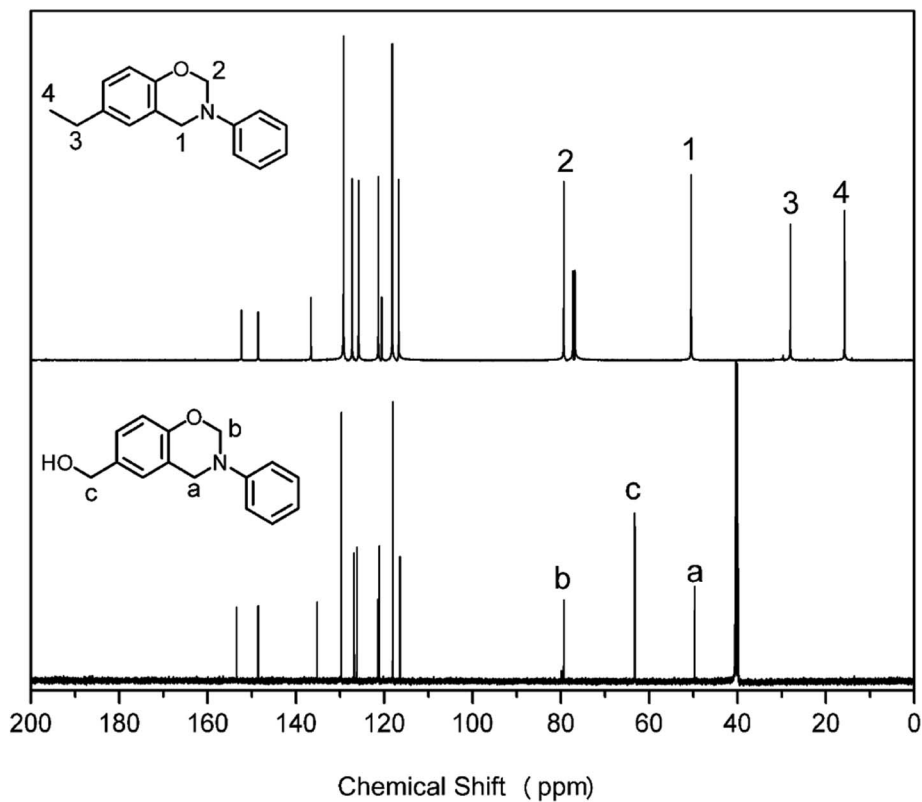


Fig. 2  $^{13}\text{C}$  NMR spectra of pHPA-a (in  $\text{DMSO}-d_6$ ) and pEPH-a (in  $\text{CDCl}_3$ ).

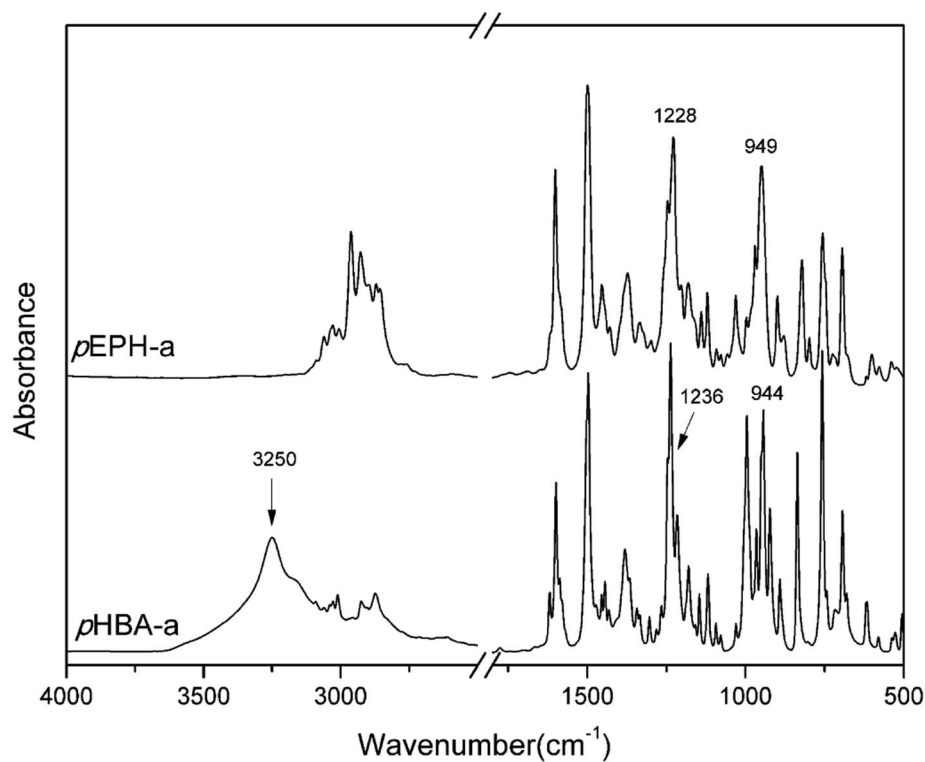


Fig. 3 FT-IR spectra of benzoxazine monomers.



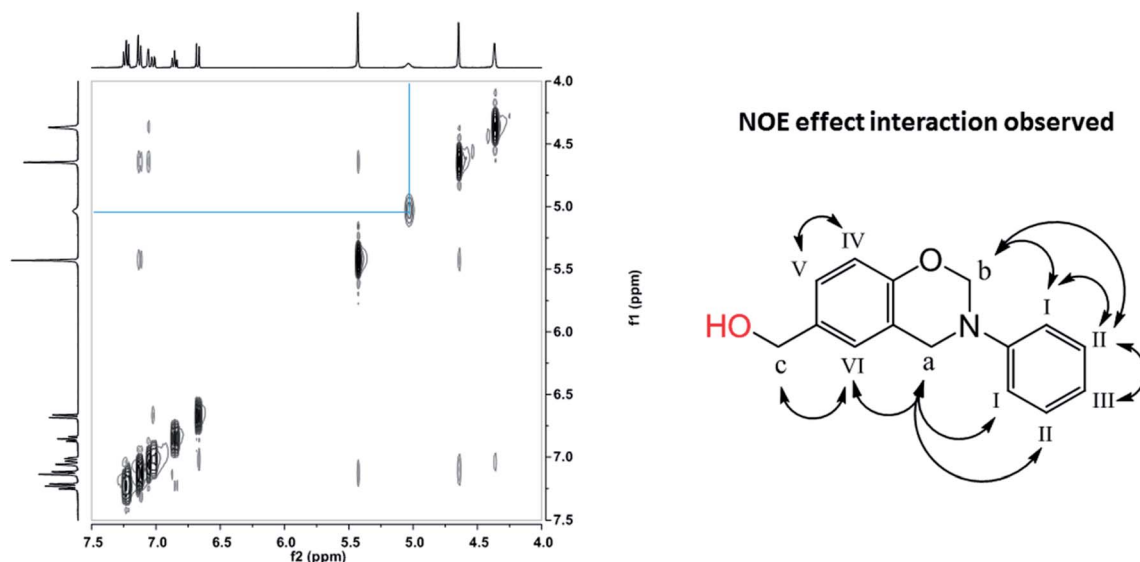


Fig. 4 2D  $^1\text{H}$ - $^1\text{H}$  NOESY NMR spectra of *p*HBA-a in  $\text{DMSO-}d_6$  at 25 °C (left) and NOE interactions observed (right).

species, resulting in a stronger catalytic effect taking place.<sup>47</sup> However, the methylol group has neither a strong electron-withdrawing nor acidic character. The existence of intermolecular hydrogen-bonding involving the methylol group and the oxazine ring seems to accelerate the ring-opening polymerization in an intermolecular manner. Besides, *p*HBA-a shows an endothermic melting peak at 100 °C while the melting temperature of *p*EPH-a centers at 44 °C. The appearance of OH in benzoxazine moiety significantly increases the melting temperature.

Fig. 5 also shows a comparison of TGA and DSC thermograms of benzoxazine monomers. As shown in Fig. 5a, the initial weight loss of *p*EPH-a starts at 104 °C, and evaporation of an excessive amount, as high as 62.5% of monomer is observed before even starting polymerization, reflecting the nature of weak intermolecular interaction. The heat of melting value was 166.2 J g<sup>-1</sup> for *p*HBA-a while a much lower heat value (24.1 J g<sup>-1</sup>) was found for *p*EPH-a, resulting in the easy evaporation of *p*EPH-a during the thermal treatment. A further ~35.2% of weight loss can be observed during the ring-opening

polymerization at the range from 242 °C to 283 °C, which is due to the cleavage of zwitterionic intermediate, forming very unstable phenolic species and *N*-methyleneaniline.<sup>23</sup> The weight reduction at this high temperature might also come from the water evaporation due to the partial occurrence of the traditional methylene bridge formation of methylol reacting with the benzene ring, and/or the condensation of methylol groups to form aliphatic ether bonds. However, the initial weight loss of *p*HBA-a starts around 141 °C, and the monomer evaporation has been significantly reduced only to 3.0% before starting polymerization due to the presence of methylol group. The weight loss during the polymerization of *p*HBA-a is also much lower compared with that of *p*EPH-a. Furthermore, the dependence of viscosity of *p*HBA-a on temperature was also investigated. In general, the required viscosity for resin transfer molding (RTM) processing technology is roughly below 1 Pa s at the processing temperature. Interestingly, the viscosity of *p*HBA-a is less than 0.05 Pa s during 140 to 215 °C at the heating rate of 5 °C min<sup>-1</sup> as shown in Fig. 6, which exhibits the advantage of being monofunctional benzoxazine with very low

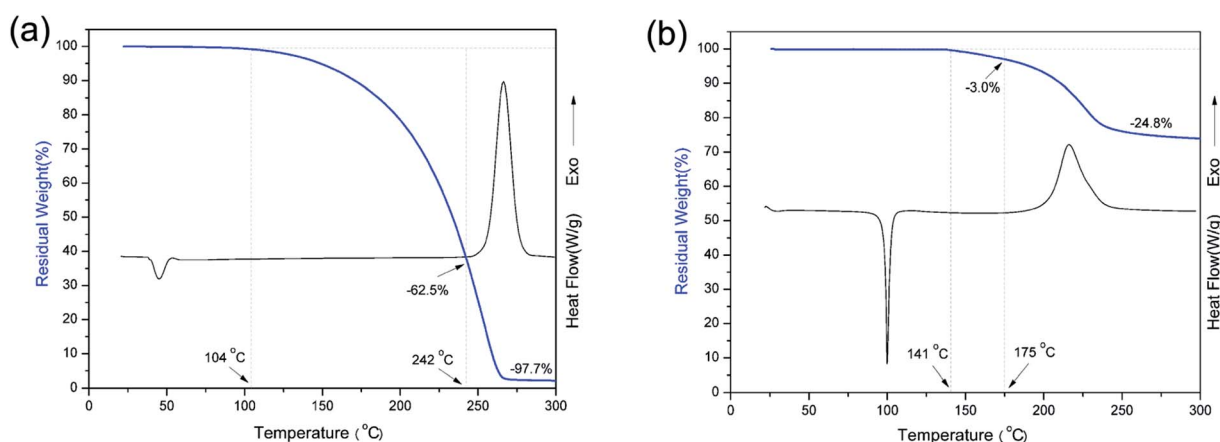


Fig. 5 TGA vs. DSC plots of benzoxazine monomers (heating rate: 10 °C min<sup>-1</sup>, under a  $\text{N}_2$  atmosphere): (a) *p*EPH-a and (b) *p*HBA-a.



viscosity. It should be cautioned that the transducer used for the viscosity measurement had the sensitivity limit in the vicinity of 0.1 Pa s and, therefore, this observed value should be taken as only the indication of low viscosity that easily satisfies the viscosity requirement for an RTM resin. All the result above highlights the advantage of the presence of methylol in benzoxazine moiety which is combined with a small monofunctional benzoxazine structure.

### Thermal properties of polybenzoxazines

Fig. 7 shows the comparison of the DSC thermograms of polybenzoxazines. In general, the  $T_g$  of polybenzoxazines based on monofunctional benzoxazine monomers are much lower than the polybenzoxazines derived from difunctional benzoxazine monomers, which are due to the low crosslink density of monofunctional benzoxazines despite some expected reactivity on the aromatic ring of the phenolic and aniline moiety.<sup>8</sup> As shown in Fig. 7, the  $T_g$  of poly(*p*EPH-*a*) is observed at 98 °C, which is even lower than the polybenzoxazine based on PH-*a* (phenol and aniline based monobenzoxazine).<sup>48</sup> The incorporation of ethyl functionality into benzoxazine decreases the rigidity of the molecule itself, resulting in a lower  $T_g$ . However, poly(*p*HBA-*a*) shows a  $T_g$  as high as 261 °C, which is unexpectedly higher than many other polybenzoxazines based on even difunctional benzoxazine monomers.<sup>12,49</sup> An interesting observation for the glass transition behaviors is that the existence of great difference in  $T_g$  (as high as 163 °C) between two polybenzoxazines, although only slight difference exists from the view point of their chemical structures.

DMA was applied to further confirm the glass transition temperature of polybenzoxazines. DMA samples of poly(*p*EPH-*a*) was difficult to prepare due to the very high amount (97.7%) of monomer evaporation before and during the polymerization as shown in Fig. 5, thus only poly(*p*HBA-*a*) was measured. The DMA results of poly(*p*HBA-*a*) are shown in Fig. 8 for the storage modulus ( $E'$ ), loss modulus ( $E''$ ) and  $\tan \delta$ . As shown in the figure, the  $T_g$  determined by the peak temperature of both  $E''$  and  $\tan \delta$  is as high as 274 °C, which is in relatively good agreement with that measured by DSC at 261 °C in Fig. 6. The significant improvement of  $T_g$  for poly(*p*HBA-*a*) seems to be attributed to the formation of rich intermolecular hydrogen-bonding network endowed by methylol functionality along with phenolic Mannich bridge network. The weight reduction centered at 220 °C could also be partially attributed to this weight loss of water as a consequence of condensation reaction. As discussed earlier that some of the methylol groups might condense to form crosslinks based on the aliphatic ether group, which will aid in increasing the  $T_g$ . Additional complication arises due to the possibility of the traditional methylene bridge formation in the phenolic resin by the aromatic methylol group reacting with the neighboring benzene rings. Further detailed analysis is needed to investigate this cause of weight reduction around 220 °C to be either as a result of aliphatic ether formation, methylene bridge formation, or degradation of the zwitterionic intermediates.

In order to better understand the effect of hydroxyl groups on the glass transition behavior of polybenzoxazines, coarse-grained dynamic Monte Carlo simulations were performed in this study. It has been well-known that the phenolic structure can be formed upon ring-opening polymerization of

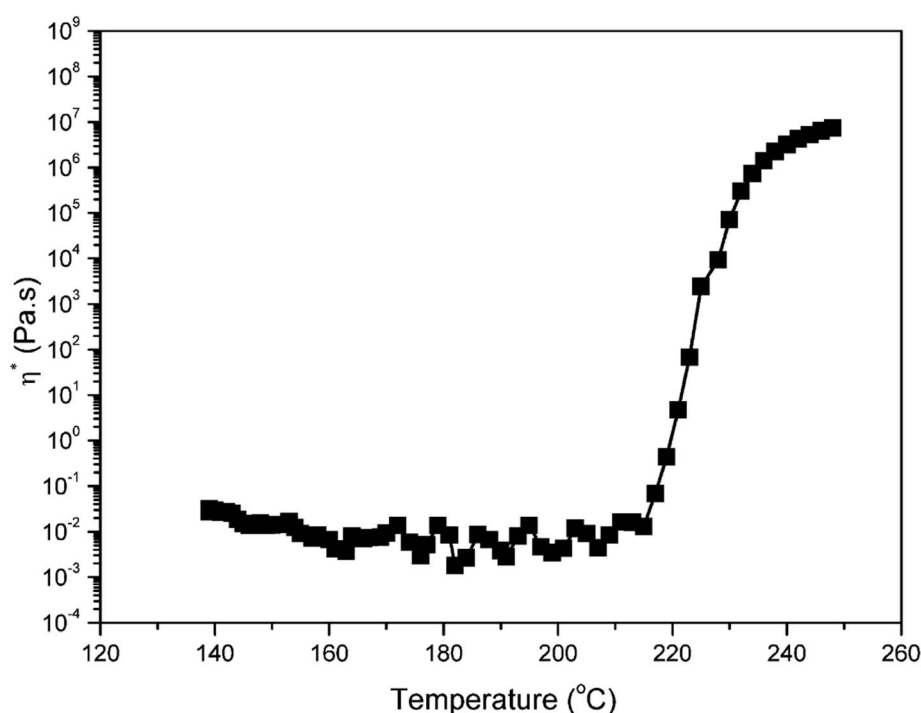


Fig. 6 Viscosity versus temperature of *p*HBA-*a*.



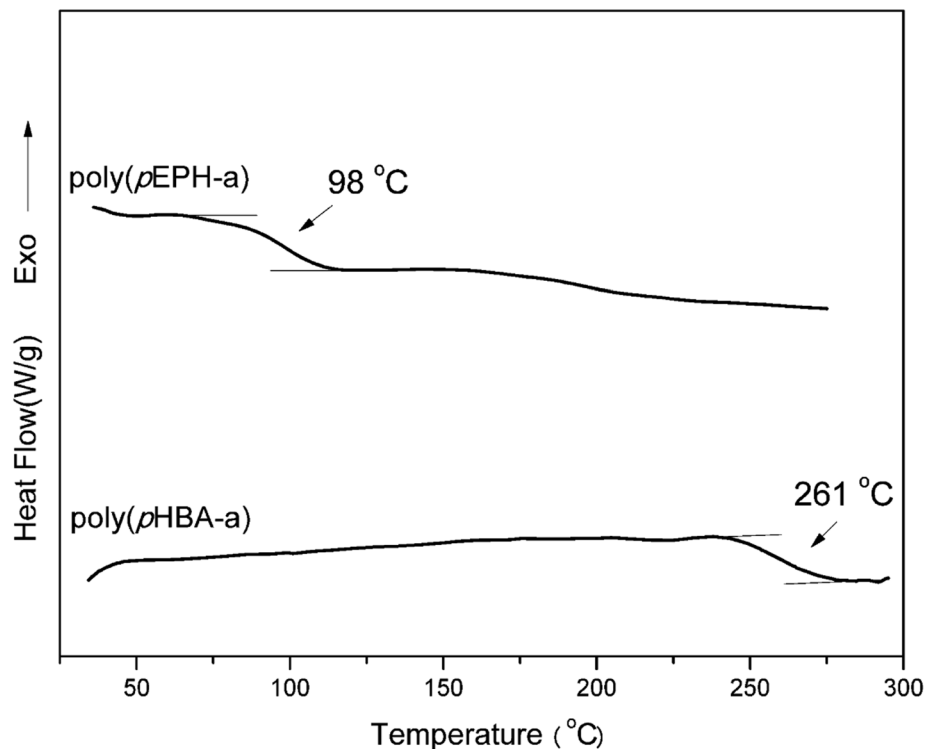


Fig. 7 DSC thermograms of poly(pHBA-a) and poly(pEPH-a).

benzoxazine, thus the ring-opening polymerization of *p*HBA-a and *p*EPH-a can straightforwardly be described as shown in Scheme 2, neglecting the other possible crosslink reactions for simplicity. In addition, two model chains for poly(pHBA-a) and poly(pEPH-a) are also designed as shown in Scheme 2 (right part). Herein the red spheres denote the hydroxyl groups, while

the blue spheres represent the remaining parts (benzene, methylene, benzyl amine and methyl, respectively) in both polybenzoxazines. Due to the demand for the simplicity of molecular simulations, the size difference between these groups was ignored.

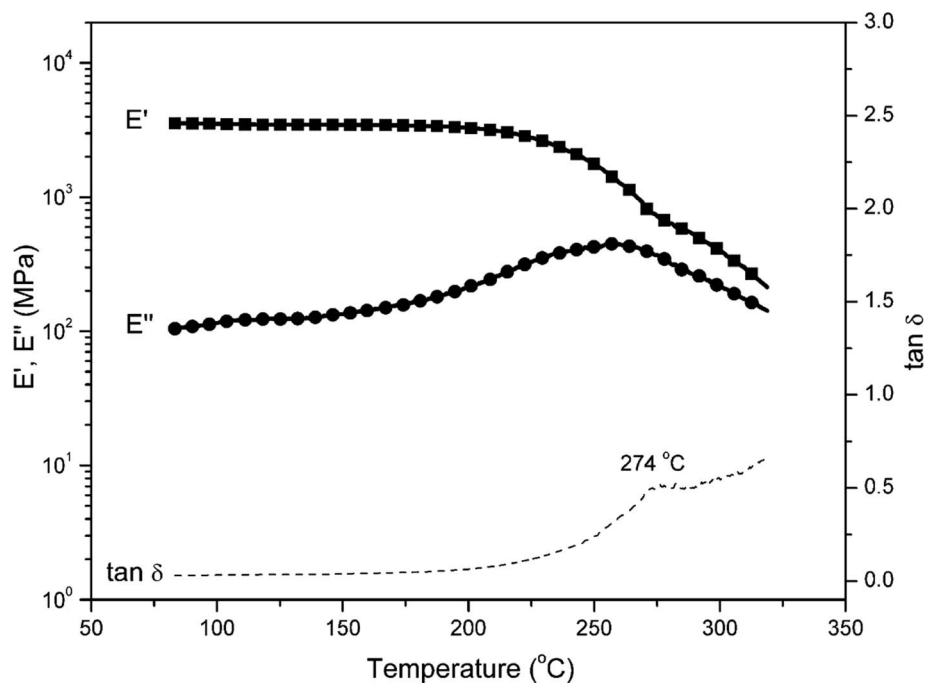
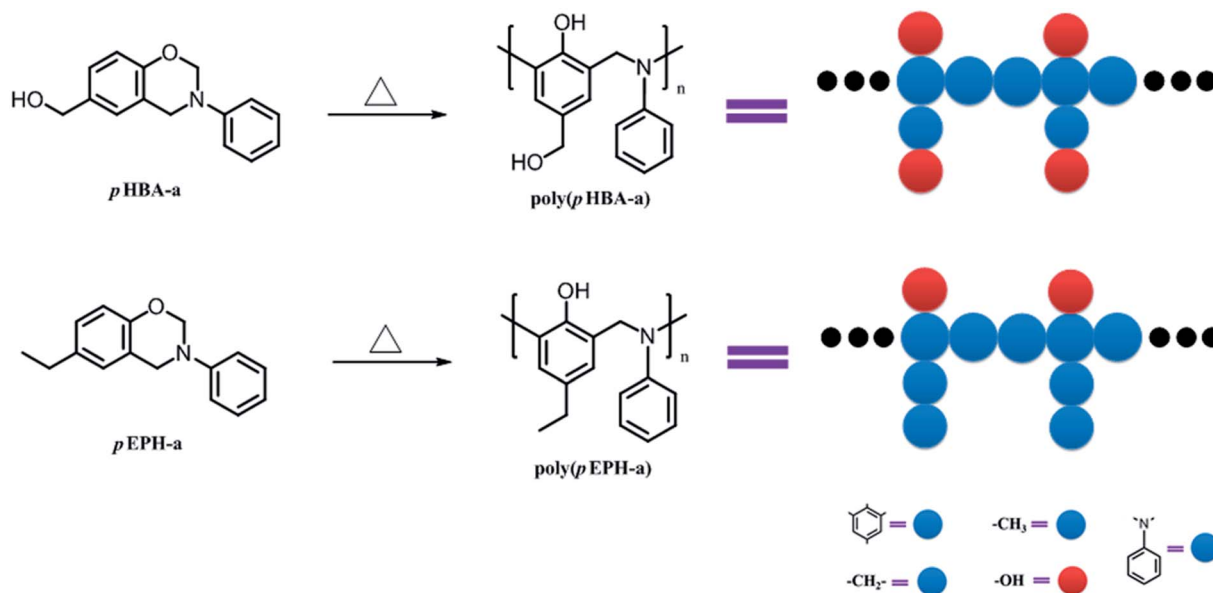


Fig. 8 Dynamic mechanical spectra of poly(pHBA-a).





Scheme 2 Ring-opening polymerization of *p*HBA-*a* and *p*EPH-*a*. The right part displays the schematic representation of model chains of polybenzoxazines.

One of the advantages of molecular simulations is that molecular motions can be tracked directly. Fig. 9 shows the evolutions of mean square displacements (MSDs) of segments during each cooling step:

$$\text{MSD} = \left\langle \left[ \bar{r}_i(t = 100 \text{ MC cycles}, T^*) - \bar{r}_i(t = 0 \text{ MC cycles}, T^*) \right]^2 \right\rangle \quad (2)$$

where  $\bar{r}_i$  is the position of segments at the simulation time of  $t$  and the temperature of  $T^*$  (the reduced system temperature), and  $\langle \rangle$  denotes an average over all segments. As is well-known, MSD of segments is dominated by diffusion ability of segments, which is inversely proportional to viscosity.<sup>50</sup> Therefore, we can use the changes of MSD of segments to reflect the molecular

behavior of the polymer glass transition. As shown in Fig. 9, the MSDs of segments for both polybenzoxazines decrease at the initial stage during cooling. But the MSDs level off below a turning point, and the corresponding values are close to 0 at very low temperatures, indicating that segment motions have been completely frozen. In experiments, the glass transition is usually defined by the temperature at which relaxation time reaches 100 s.<sup>51</sup> However, the time scale of molecular simulations is much shorter (the simulation time unit is about 1 ps) compared with the experiments. Therefore, it is difficult for simulation time to reach the time scale of the experiments. In the current paper, we define the temperatures of the turning points in the MSD curves (namely, the crossing points of two extrapolation line in Fig. 8) as the glass transition temperatures. Again as seen in Fig. 9, poly(*p*HBA-*a*) exhibits much higher glass transition temperature ( $T^* = 1.05$ ) than that of poly(*p*EPH-*a*) ( $T^* = 0.65$ ), which is consistent with the experimental results shown above. Since poly(*p*HBA-*a*) contains methylol functionality, more proposed types of intermolecular hydrogen-bonding systems (Fig. 10) can be formed in polymeric network, which restricts the motions of polymer chains, resulting in the increase of its glass transition temperature. In the following sections, we further probe the details of the effects of the different segments on the polymer glass transition at the molecular scale.

Fig. 11 displays the evolutions of MSDs of different segments (the phenolic hydroxyl directly linked to the backbone; the hydroxyl from methylol groups on the side chains; and the remaining segments for poly(*p*HBA-*a*), and the phenolic hydroxyl directly linked to the backbone, the methyl from ethyl groups on the side chains and the rest segments for poly(*p*EPH-*a*)) during cooling. As shown in Fig. 11, the value of the MSDs for both hydroxyl groups of poly(*p*HBA-*a*) is firstly dropped to 0, indicating

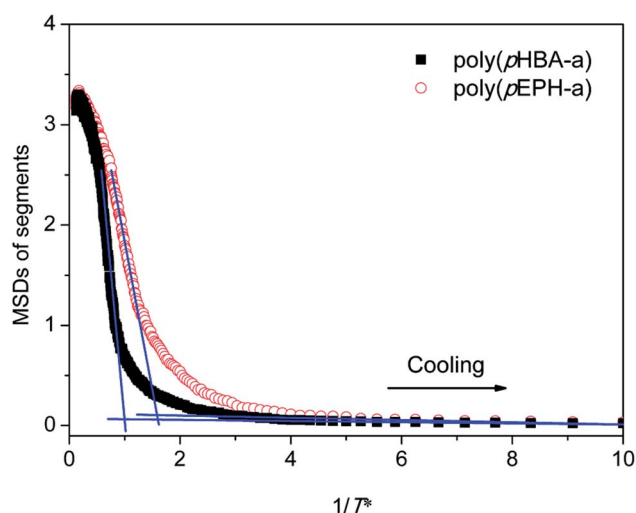


Fig. 9 The mean square displacements of segments during cooling process for poly(*p*HBA-*a*) and poly(*p*EPH-*a*), respectively.



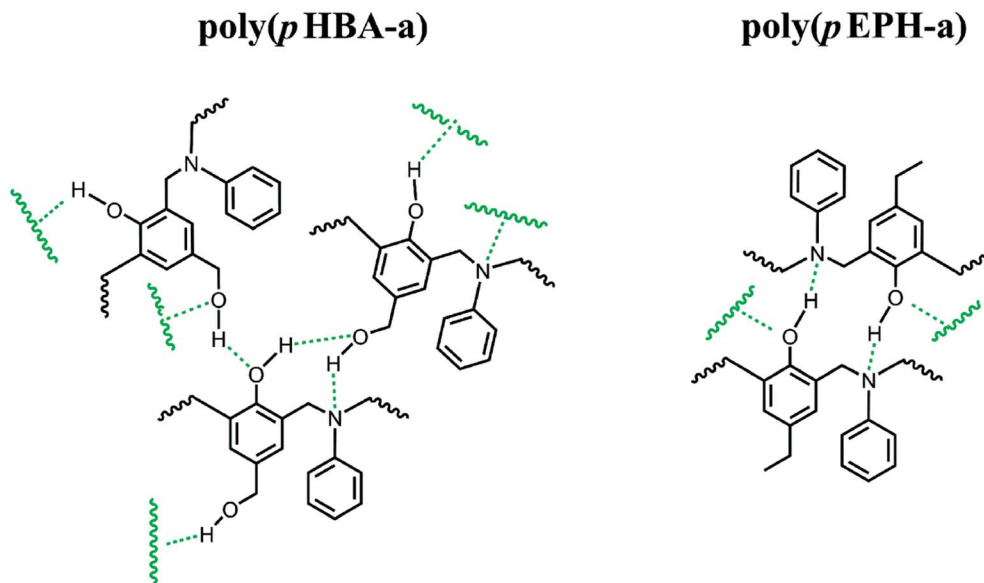


Fig. 10 Proposed intermolecular hydrogen-bonding interactions in poly(*p*HBA-*a*) and poly(*p*EPH-*a*).

that the hydroxyl groups in poly(*p*HBA-*a*) can be completely frozen prior to other segments in both polybenzoxazines. However, poly(*p*EPH-*a*) exhibits different changes of segmental mobility. The MSDs of the hydroxyl and the remaining segments reach 0 before that of the methyl. This result suggests that the only structural difference, the hydroxyl and methyl in *para*-substitution of poly(*p*HBA-*a*) and poly(*p*EPH-*a*), playing the opposite role for affecting the  $T_g$  of polybenzoxazine. The incorporation of methylol can significantly increase the  $T_g$  while ethyl decreases.

In addition, the intermolecular interactions formed among different types of hydroxyl groups in polybenzoxazines should also be considered. Fig. 12 depicts the variations of mixing parameters of the phenolic hydroxyl groups directly linked to the backbone for poly(*p*HBA-*a*) and poly(*p*EPH-*a*), and the hydroxyl from methylol

groups on the side chains for poly(*p*HBA-*a*), respectively. The mixing parameter of hydroxyl groups is defined as the mean fraction of neighboring sites of each hydroxyl occupied by other hydroxyl groups. It can be found that the mixing parameters of both types of hydroxyl groups of poly(*p*HBA-*a*) increase gradually during the glass transition process, indicating the local aggregation of the hydroxyl groups. In addition, the mixing parameters for the hydroxyl groups of poly(*p*EPH-*a*) also increase during the glass transition. However, for poly(*p*HBA-*a*), the hydroxyl from methylol groups on side chains exhibits the higher plateau values compared with that directly linked to the backbone. For poly(*p*EPH-*a*), the corresponding plateau values are the lowest. Interestingly, the hydroxyl from methylol groups on side chains of poly(*p*HBA-*a*) possess the highest mobility and rather smaller steric

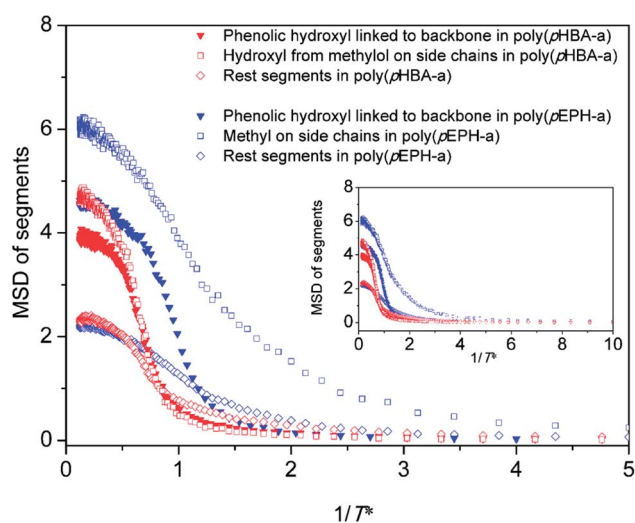


Fig. 11 The mean square displacements of different segments during cooling process for poly(*p*HBA-*a*) and poly(*p*EPH-*a*), respectively.

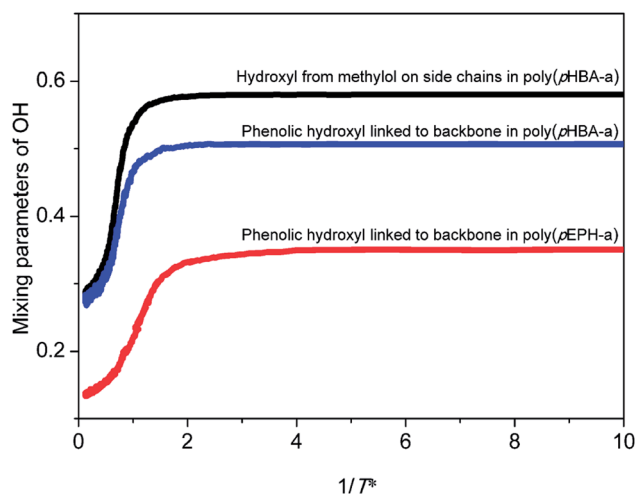


Fig. 12 Evolutions of mixing parameters of the phenolic hydroxyl groups directly linked to the backbone for poly(*p*HBA-*a*) and poly(*p*EPH-*a*), and the hydroxyl from methylol groups on the side chains for poly(*p*HBA-*a*), respectively.



hindrances at relatively high temperatures as demonstrated *via* the highest values of the MSDs as shown in Fig. 11, thus they are more prone to aggregate during cooling under the effect of the intermolecular interactions, resulting in the highest mixing parameters at low temperatures. This behavior is reflected on the curve being quickly reducing the MSD as the temperature is reduced than other groups, such as phenolic OHs, as shown in Fig. 11. However, due to the relatively high steric hindrances, the aggregation process of the phenolic hydroxyl groups directly linked to the backbone of poly(*p*HBA-*a*) during cooling will somewhat hindered, leading to the lower values of mixing parameters than the methylol OH groups. Similarly, the phenolic hydroxyl groups directly linked to the backbone of poly(*p*EPH-*a*) have relatively low mobility as also demonstrated by the lower values of the MSDs in Fig. 10 than the phenolic OH groups of poly(*p*HBA-*a*). In the meantime, the adjacent main chain acts as large steric hindrances to block the aggregation of hydroxyl groups. As a result, it is most difficult for the hydroxyl groups in poly(*p*EPH-*a*) to aggregate in local regions, leading the lowest values of the mixing parameter among three different types of OH groups examined.

Fig. 13 directly displays the spatial distribution of the hydroxyl groups (the red spheres) in poly(*p*HBA-*a*) at two different temperatures ( $T^* = 8.0$  and  $T^* = 0.5$ ; one above the glass transition temperature and the other below the glass transition temperature). It can be clearly seen in Fig. 13a that these hydroxyl groups distribute homogeneously at  $T^* = 8.0$ . However, at  $T^* = 0.5$ , some local aggregation areas can be observed as shown in Fig. 13b, further demonstrating that the intermolecular interactions can drive the hydroxyl groups in poly(*p*HBA-*a*) to aggregate during cooling. Apparently, the hydroxyl groups of poly(*p*HBA-*a*) aggregate under the effects of the intermolecular hydrogen-bonding during glass transition process. The intermolecular interactions and the aggregation structures make the hydroxyl groups be frozen at a higher temperature compared with other segments. In addition, the freezing of the hydroxyl groups also restricts the motions of other segments. Thus, poly(*p*HBA-*a*) exhibits a much higher

glass transition temperature. However, it is much harder for the hydroxyl groups in poly(*p*EPH-*a*) to aggregate during cooling, and thus they would be frozen at lower temperature compared with those in poly(*p*HBA-*a*). Furthermore, the presence of the methyl on the side chains with relatively stronger mobility can also contribute to the decreasing of the glass transition temperature of poly(*p*EPH-*a*).

It is important to point out that the above dynamic Monte Carlo simulation examined the role of intermolecular hydrogen bonding of the various OH groups, disregarding the possible covalent bond formation. However, judging from the rubbery plateau not being completely horizontal, the covalent bond formation may not be complete. Slightly above the  $T_g$ , weakening of the intermolecular hydrogen bonding is likely taking place which is reflected on the reduction of the  $E'$  values as the temperature increase. Therefore, evaluating the role of intermolecular hydrogen bonding is essential in understanding the properties of the polybenzoxazines of current study.

Despite this weakening of the interaction in the rubbery plateau, the  $E'$  at  $T_g + 50$  °C is much greater than the cross-linked polybenzoxazine derived from bisphenol A and aniline, which is usually abbreviated in the literature as BA-*a*. The  $G'$  of poly(Ba-*a*) at  $T_g + 50$  °C was reported to be 4.5 MPa.<sup>14</sup> Considering that the Poisson's ratio of polybenzoxazines at this temperature is not far from 0.50, it is equivalent to around  $E' = 10$  MPa. The  $E'$  of poly(*p*HBA-*a*) under current study is 30 MPa, indicating that this mono-oxazine benzoxazine has unexpectedly higher effective crosslink density than bisoxazine like the one derived from BA-*a*.

TGA was used to study the thermal stability of polybenzoxazines and results are shown in Fig. 14. A summary of the main thermal properties,  $T_{d5}$  and  $T_{d10}$ , defined as the temperatures at which a weight loss of 5 and 10%, respectively, occurred, and char yield, defined as the residual weight at 800 °C under  $N_2$  atmosphere, are presented in Table 1.

For polybenzoxazines based on monofunctional benzoxazine monomers, the initial decomposition temperatures are lower

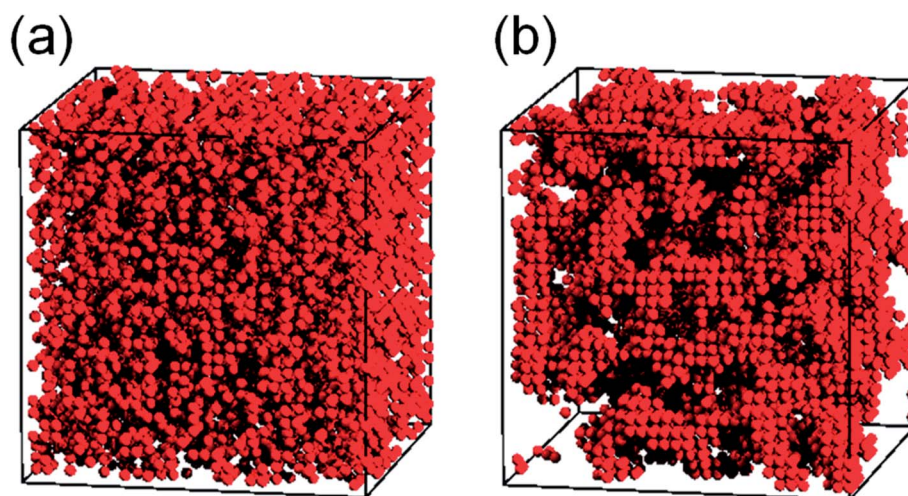
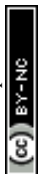


Fig. 13 Snapshots for the spatial distribution of the hydroxyl groups (the red spheres) in *t* poly(*p*HBA-*a*) at two different temperatures ( $T^* = 8.0$  and  $T^* = 0.5$ ).



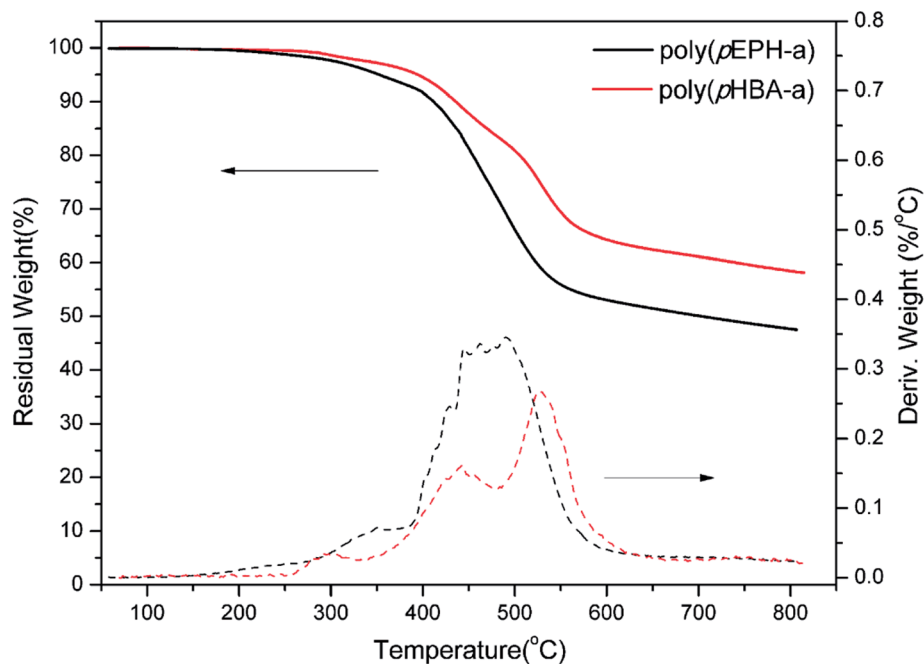


Fig. 14 Thermogravimetric analysis of poly(pHBA-a) and poly(pEPH-a).

Table 1 Summary of TGA thermogram results

| Sample       | $T_g$ (°C) |                     | TGA (N <sub>2</sub> ) |                |     |
|--------------|------------|---------------------|-----------------------|----------------|-----|
|              | DSC        | DMA (tan $\delta$ ) | $T_{d5}$ (°C)         | $T_{d10}$ (°C) | (%) |
| poly(pEPH-a) | 98         | —                   | 351                   | 404            | 47  |
| poly(pHBA-a) | 261        | 274                 | 395                   | 436            | 60  |

than for the polybenzoxazines derived from difunctional benzoxazine monomers. The initial degradation of monofunctional benzoxazine based polybenzoxazines are mostly taken place from the terminal Schiff base and secondary amides as structural defects.<sup>52</sup> However, the decomposition temperatures of poly(pHBA-a) reflected on the  $T_{d5}$  and  $T_{d10}$  are 395 and 436 °C, respectively, which are significantly higher than poly(pEPH-a). Moreover, poly(pHBA-a) presents a char yield of 60% at 800 °C, which is considerably higher than that of poly(pEPH-a) (47%). TGA results suggest an enhanced thermal stability of the polybenzoxazines with additional hydroxyls by incorporation of methylol functionality.

## Conclusions

Two monofunctional benzoxazines have been synthesized by introducing methylol and ethyl group, respectively, as part of the monomers. These two monomers were designed in order to study the influence of hydroxyl groups on the thermal properties of polybenzoxazines. As a result, the  $T_g$  of polybenzoxazine with methylol functionality was found to be unexpectedly higher than its counterpart without additional hydroxyls. Dynamic Monte Carlo simulations were applied to

fundamentally study the structure-property relationship between molecular interactions and thermal properties of polybenzoxazines. The combination of molecular design with experiments and simulations clearly demonstrated that the hydroxyl functionality in the monomer serves two roles to improve the remarkable thermal properties of polybenzoxazines, such as hydrogen-bonding sites and additional crosslinking sites (phenolic Mannich bridge network, aliphatic ether linkages).

## Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

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