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# Synthesis of gold nanorod/neodymium oxide yolk/shell composite with plasmon-enhanced near-infrared luminescence†

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A yolk/shell composite consisting of an AuNR core and an Nd<sub>2</sub>O<sub>3</sub> shell with a 19 nm gap is synthesized by a multi-step over-growth method. The near-infrared luminescence of AuNR@Nd<sub>2</sub>O<sub>3</sub> is up to 4.6 times higher than that of Nd<sub>2</sub>O<sub>3</sub> hollow nanoparticles. The underlying mechanism of plasmon-induced luminescence enhancement is further investigated.

Rare earth (RE)-based nanostructures have attracted a lot of attention for their promising applications ranging from photonics to biomedicines.<sup>1–4</sup> The RE-based nanostructures have shown many advantages over the conventional luminescent materials such as semiconductor quantum dots (QDs) and organic dyes, as the luminescence of RE shows high purity, large stock-shifts and excellent stability.<sup>5–7</sup> On the other hand, the RE material is also bio-compatible, which suggests that it has great potential in bio-imaging and therapy.<sup>8–10</sup> Among the lanthanide elements, neodymium (Nd) has drawn a lot of interest for its potentials in sub-tissue imaging and bio-sensing as its luminescence is in the first biological window.<sup>4,11,12</sup> However, the absorption cross-section of Nd is smaller than those of semiconductors or dyes, which seriously affects its fluorescence efficiency, and this prevents its practical applications.<sup>13,14</sup>

Great efforts are being made to improve the fluorescence of RE,<sup>15–17</sup> in particular the combination between plasmonic noble metal structures and RE is an efficient approach.<sup>18–20</sup> When the light excites noble metal nanostructures, the electron gas collectively oscillates and generates plasmons near the metal surface.<sup>21,22</sup> The large absorption cross-section and strong local electromagnetic field dramatically improve the fluorescence efficiency of the nearby emitters.<sup>23–25</sup> Thus, various hybrids

composed of RE nanoparticles and metal nanostructures are designed.<sup>26–31</sup> For instance, J. R. Lakowicz *et al.* encapsulated lanthanides with silver nanoshells, and the emissions were significantly enhanced by about 10 times.<sup>26</sup> For the case of Ag@SiO<sub>2</sub>@Y<sub>2</sub>O<sub>3</sub>:Er synthesized by F. Zhang *et al.*, the up-conversion luminescence (UCL) of Y<sub>2</sub>O<sub>3</sub>:Er was enhanced 4 times by the inner Ag nanoparticles.<sup>27</sup> A. Priyam *et al.*<sup>28</sup> found that the fluorescence of NaYF<sub>4</sub>:Yb, Er NPs can be improved by a gold-shell. The metal- and particle-size-dependent enhancements are both investigated.<sup>29,30</sup> In addition, various 3D meta-materials and photonic crystals have been designed to adjust or enhance the emissions of RE.<sup>31</sup>

The luminescence of RE can be improved by the coupled plasmons, because the plasmons provide strong electromagnetic field to enhance the excitation/emission process; also, there may be energy transfer between the plasmons and emitters.<sup>32,33</sup> Gold nanorod (AuNR) is a typical plasmonic structure used to enhance the fluorescence of emitters, and it has tunable longitudinal surface plasmon resonance (LSPR) ranging from visible to near-infrared.<sup>34–36</sup> Since the excitation and emission frequencies of Nd are both in the near-infrared region, it is possible to design a resonance structure between the AuNR and Nd structures to achieve luminescence enhancement of Nd<sup>3+</sup>.<sup>37</sup> For obtaining luminescence enhancement, isolation between the plasmonic structure and the emitters is very important; silica, alumina, polymers, or DNAs have been applied to adjust the distance to obtain the largest enhancement.<sup>27,38,39</sup> However, there are a few reports on the structure consisting of a plasmonic core and an RE shell with a natural isolation layer; the plasmon-induced RE down-conversion luminescence enhancement is also not a popular topic.<sup>40–42</sup> In this study, we developed a facile method to prepare AuNR@Nd<sub>2</sub>O<sub>3</sub> yolk/shell composites containing a 19 nm gap between an AuNR core and an Nd<sub>2</sub>O<sub>3</sub> shell. The effects of AuNRs on the down-conversion luminescence (DCL) properties of Nd<sub>2</sub>O<sub>3</sub> shells were studied by comparing the luminescence intensities of the AuNR@Nd<sub>2</sub>O<sub>3</sub>

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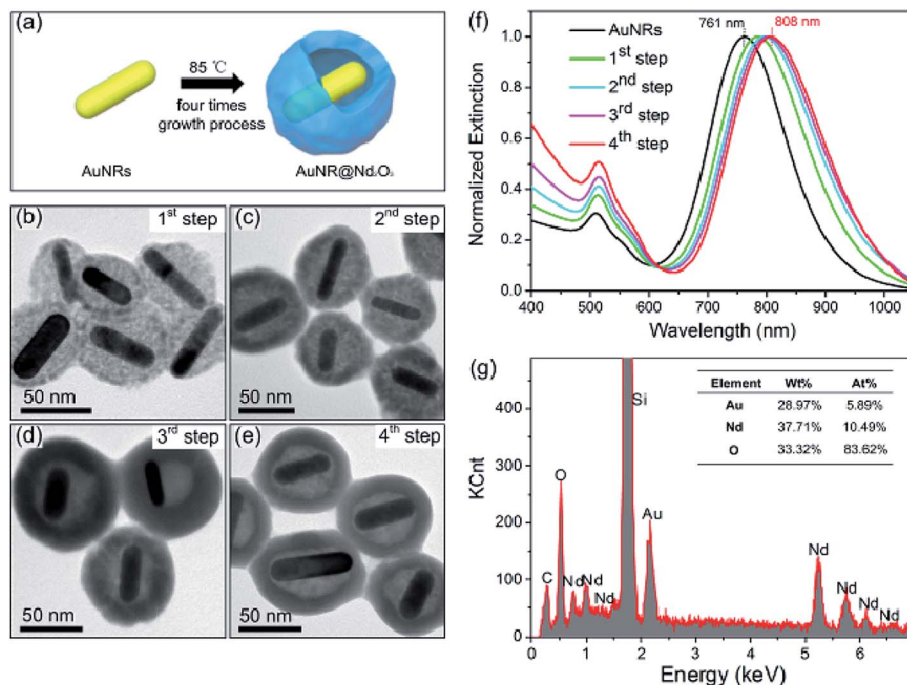


Fig. 1 (a) Schematic illustration of the growth procedure of the AuNR@Nd<sub>2</sub>O<sub>3</sub> yolk/shell composites. (b–e) TEM images of AuNR@Nd<sub>2</sub>O<sub>3</sub> composites obtained at different growth steps. (f) Normalized extinction spectra of AuNRs and AuNR@Nd<sub>2</sub>O<sub>3</sub> composites obtained at different growth steps. (g) EDX spectrum of the final AuNR@Nd<sub>2</sub>O<sub>3</sub> yolk/shell composites.

yolk/shell composites and the corresponding Nd<sub>2</sub>O<sub>3</sub> hollow nanoparticles. It was found that the 873 nm emission of Nd<sup>3+</sup> was enhanced by AuNRs up to 4.6 times. The LSPR-dependent enhancement was also investigated further.

Cetyl-trimethyl ammonium bromide (CTAB)-capped AuNRs were first synthesized using the seed-mediated growth method.<sup>43,44</sup> Then, CTAB was replaced by oleate with a ligand exchange approach.<sup>42</sup> Au@Nd<sub>2</sub>O<sub>3</sub> yolk/shell composites were

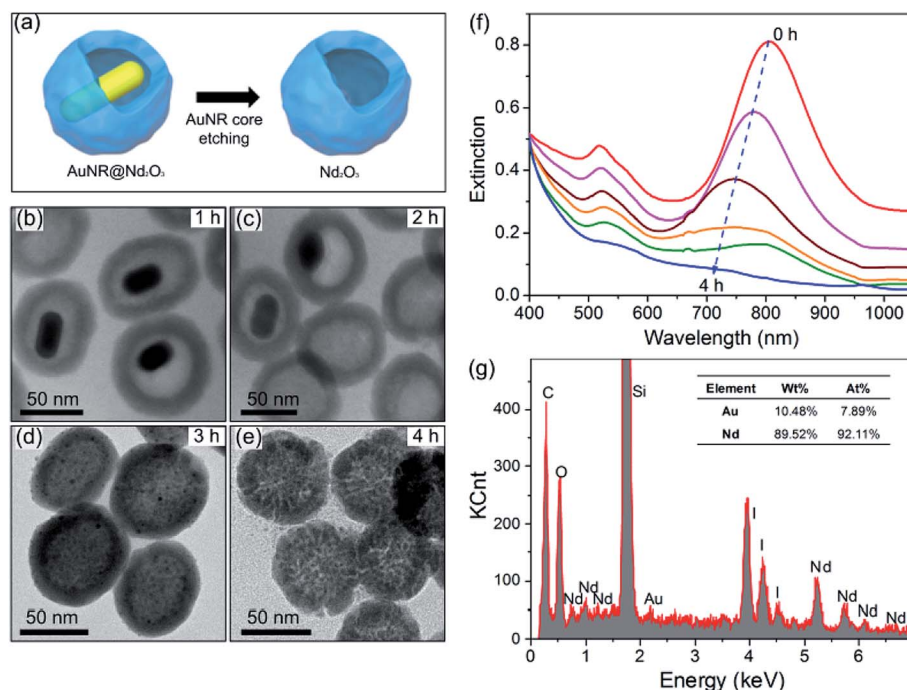


Fig. 2 (a) Schematic illustration of the etching formation process of the Nd<sub>2</sub>O<sub>3</sub> hollow nanoparticles. (b–e) TEM images of the as-synthesised AuNR@Nd<sub>2</sub>O<sub>3</sub> nanocomposites after (b) 1 h, (c) 2 h, (d) 3 h and (e) 4 h of etching. (f) Time evolution of the extinction spectra during the etching process. (g) EDX spectrum of the Nd<sub>2</sub>O<sub>3</sub> hollow nanoparticles.



prepared by an oleate-assisted hydrothermal method. In brief, for the 1<sup>st</sup> step of the growth procedure, 5 mL aqueous solution of oleate-AuNRs was diluted with 14 mL of ultrapure water.  $\text{Nd}(\text{NO}_3)_3$  and HMT solutions were injected with stirring to form a well-dispersed solution; the mixture was incubated at 85 °C for 3 h, in which  $\text{Nd}(\text{NO}_3)_3$  and HMT served as the cation and anion reagents, respectively. Then, the resultant solution was centrifuged; the precipitate was re-dispersed in ultrapure water, and it was used as seeds in the next step. This process was repeated three times to achieve the final yolk/shell composites (Fig. 1(a)). The transmission electron microscopy (TEM) images in Fig. 1(b–e) indicate the morphology evolution in the whole growth process. The length and diameter of the original AuNRs were about 60 nm and 15 nm, respectively, thus suggesting an aspect ratio of 4 (Fig. ESI-1a†). After the 3 hour 1<sup>st</sup> step growth, the  $\text{Nd}_2\text{O}_3$  nanoparticles loosely surrounded AuNRs (Fig. 1(b)). In the 2<sup>nd</sup> growth step, the outer  $\text{Nd}_2\text{O}_3$  shell became thicker and more compact (Fig. 1(c)). A gap formed between the AuNR core and the  $\text{Nd}_2\text{O}_3$  shell, and the thickness of the  $\text{Nd}_2\text{O}_3$  shell decreased from 28 nm to 16 nm after the 3<sup>rd</sup> growth step (Fig. 1(d)), which was probably due to the Ostwald ripening.<sup>45,46</sup> When the 4<sup>th</sup> growth step was completed, the final products were collected. Fig. 1(e) and Fig. ESI-1b† demonstrate that the as-prepared hybrids were monodispersed hollow quasi-spheres consisting of AuNR cores and  $\text{Nd}_2\text{O}_3$  shells. Interestingly, AuNRs were completely separated from  $\text{Nd}_2\text{O}_3$ , and the gap was about 19 nm. During the growth process, LSPR of AuNRs gradually red-shifted from 761 nm to 808 nm as the surrounding  $\text{Nd}_2\text{O}_3$  increased the refractive index (Fig. 1(f)).<sup>47</sup> The energy-dispersive X-ray (EDX) spectrum in Fig. 1(g) displays the relative element contents of the final  $\text{AuNR}@\text{Nd}_2\text{O}_3$  composites; the Au/Nd ratio was about 4 : 6.

To reveal the plasmonic effect on the luminescence of  $\text{Nd}_2\text{O}_3$ , iodide/triiodide redox couple is used to corrode the inner AuNRs and to achieve the  $\text{Nd}_2\text{O}_3$  hollow nanoparticles (Fig. 2(a)). Fig. 2(b–e) show the morphology evolution against the etching time. After etching for 1 h, AuNRs decrease in size and after 2 h, they transform into quasi-spheres about 10 nm in diameter. Then, AuNRs transform into 2–3 nm spheres after 3 h and finally disappear after 4 h. The completely etched  $\text{Nd}_2\text{O}_3$  nanoparticles are uniform hollow spheres with inner cavities (Fig. 2(e) and Fig. ESI-1c†). With respect to size distributions (insets of Fig. ESI-1b and c†), the average diameters of  $\text{AuNR}@\text{Nd}_2\text{O}_3$  composites and  $\text{Nd}_2\text{O}_3$  hollow nanoparticles are similar, thus indicating that the iodide/triiodide electrolyte has not destroyed the  $\text{Nd}_2\text{O}_3$  structure.<sup>48</sup> The extinction spectra obtained at different etching times are displayed in Fig. 2(f). The LSPR location is blue-shifted, and the intensity is systematically decreased. When AuNRs are completely etched, the absorption of AuNRs completely disappears. As shown in Fig. 2(g), the corresponding EDX spectrum also demonstrates that AuNRs have been etched thoroughly.

The plasmon-enhanced near-infrared luminescence of  $\text{AuNR}@\text{Nd}_2\text{O}_3$  is further studied by comparing the composites' emission spectra before and after etching (Fig. 3(a)). For the measurement, a 730 nm continuous wave laser is used for excitation; the luminescence spectra are recorded by

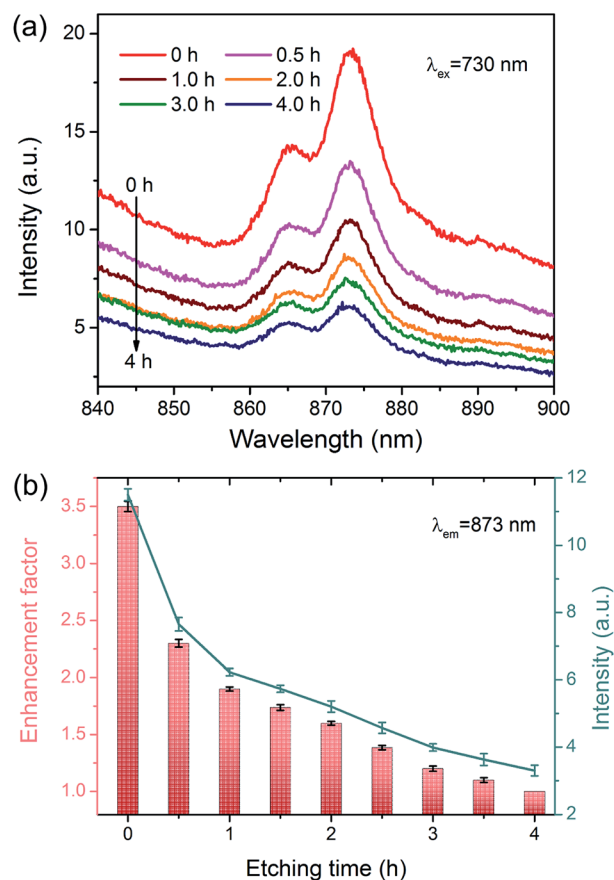


Fig. 3 (a) Emission spectra of  $\text{AuNR}@\text{Nd}_2\text{O}_3$  composites during the etching process. (b) Emission enhancement factors and the emission intensities of 873 nm against the etching time.

a spectrometer with a liquid nitrogen-cooled CCD. The emission bands for the  $^4\text{F}_{3/2}-^4\text{I}_{9/2}$  transition of  $\text{Nd}^{3+}$  are located at 873 nm. As AuNRs are corroded, the emission peak position and shape remain unchanged. To calculate the enhancement factors of 873 nm emission against the etching time, the emission spectra are decomposed by Gaussian fitting (Fig. ESI-2†); the emission intensities at 873 nm are displayed in Fig. 3(b). The corresponding enhancement factors are also calculated in Fig. 3(b); the largest enhancement of about 3.5 is obtained at the beginning. As the AuNRs are corroded, the emission intensity is decreased. In another words, the results suggest that as the Au content increases, the luminescence becomes brighter.

To further reveal the relationship between plasmon wavelength and luminescence enhancement, we prepared three kinds of  $\text{AuNR}@\text{Nd}_2\text{O}_3$  with LSPRs at 740 nm (pink), 808 nm (green) and 880 nm (gray) (Fig. 4(a)). The emission spectra of the  $\text{AuNR}@\text{Nd}_2\text{O}_3$  composites before and after etching were measured, and the enhancement factors at 873 nm emission are calculated in Fig. 4(b). The enhancement factors of  $\text{Nd}^{3+}$  at 873 nm were 4.6, 3.5 and 2.7 for the  $\text{AuNR}@\text{Nd}_2\text{O}_3$  samples with LSPRs at 740 nm, 808 nm and 880 nm, respectively. When the LSPRs wavelength of  $\text{AuNR}@\text{Nd}_2\text{O}_3$  is closer to the excitation wavelength, the enhancement factor was larger. The schematic of the plasmon-enhanced luminescence is shown in Fig. 5. Due





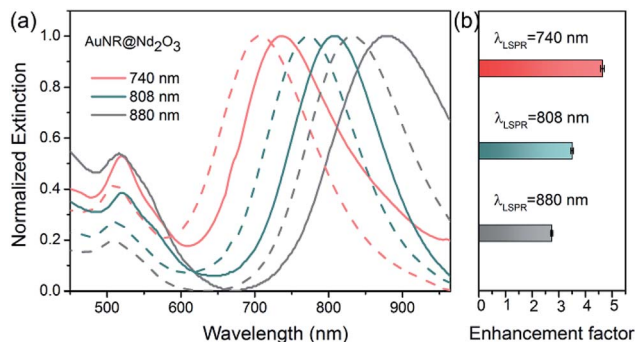


Fig. 4 (a) Extinction spectra of AuNR@Nd<sub>2</sub>O<sub>3</sub> yolk/shell composites (solid lines) and the corresponding AuNRs (dashed lines) with different LSPRs. (b) Enhancement factors at 873 nm of AuNR@Nd<sub>2</sub>O<sub>3</sub> with different LSPRs.

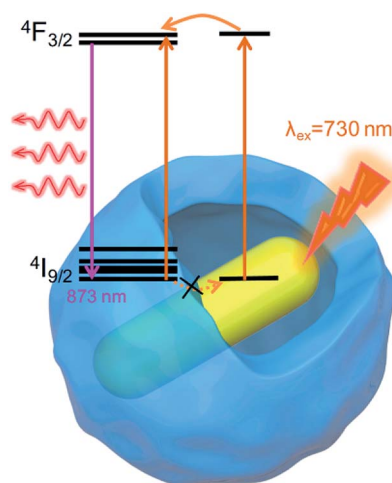


Fig. 5 Schematic mechanism of the energy transfer between an AuNR core and an Nd<sub>2</sub>O<sub>3</sub> shell.

to the gap between AuNR and Nd<sub>2</sub>O<sub>3</sub>, the non-radiative energy transfer from Nd<sub>2</sub>O<sub>3</sub> to AuNR was negligible (dashed arrows).<sup>49</sup> As the incident light excited AuNR and Nd<sub>2</sub>O<sub>3</sub>, the strong local electromagnetic field around AuNR enhanced the excitation and the emission processes of the Nd<sub>2</sub>O<sub>3</sub> shells. There may be a resonance energy transfer between the AuNR and the Nd<sub>2</sub>O<sub>3</sub>, which was favorable for the improvement in Nd<sup>3+</sup> excitation efficiency. As the LSPRs of AuNR@Nd<sub>2</sub>O<sub>3</sub> were tuned from 740 nm to 880 nm, the enhancement factors decreased. All the results suggested that plasmons influenced the excitation process more efficiently than the emission process.

In summary, AuNR@Nd<sub>2</sub>O<sub>3</sub> yolk/shell composites have been synthesized using a simple hydrothermal method. The reference samples (Nd<sub>2</sub>O<sub>3</sub> hollow nanoparticles) are prepared by etching Au cores. The plasmon enhancement factor is also proved to be highly LSPR-dependent; the highest enhancement factor up to 4.6 is obtained when LSPR is resonant with the excitation. These findings provide a general pathway to modulate DCL of RE materials. The composites can be applied in photonics, bio-imaging, energy conversion and other fields.

## Conflicts of interest

There are no conflicts to declare.

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