## **RSC Advances**



View Article Online

PAPER



Cite this: RSC Adv., 2018, 8, 10987

# The mechanistic investigations of photochemical carbonyl elimination and oxidative addition reactions of $(\eta^5-C_5H_5)M(CO)_3$ , $(M=Mn \ and \ Re)$ complexes†

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We used computational methods to explore the mechanisms of the photochemical decarbonylation and the Si–H bond activation reaction of the group 7 organometallic compounds,  $\eta^5$ -CpM(CO) $_3$  (M = Mn and Re). The energies of both conical intersections and the intersystem crossings, which play a decisive role in these photo-activation reactions, are determined. Both intermediates and transition states in either the singlet or triplet states are also computed to furnish a mechanistic interpretation of the whole reaction paths. In the case of Mn, four types of reaction pathways (path I–path IV) that lead to the final insertion product are examined. The theoretical findings suggest that at the higher-energy band (295 nm) the singlet-state channel is predominant. As a result, the conical intersection mechanism (i.e., path I) prevails. However, at the lower-energy band (325 nm) the triplet-state channel occurs. In such a situation, the intersystem crossing mechanism (i.e., path IV) can successfully explain its CO-photodissociation mechanism. In the case of Re, on the other hand, the theoretical evidence reveals that only the singlet state-channel is superior. In consequence, the conical intersection mechanism (i.e., path V) can more effectively explain its photochemical decarbonylation mechanism. These theoretical analyses agree well with the available experimental observations.

Received 5th February 2018 Accepted 9th March 2018

DOI: 10.1039/c8ra01118d

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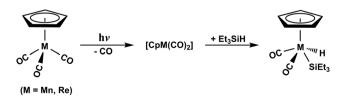
## 1. Introduction

The photochemical oxidative addition of hydrosilanes to vacant coordination sites of transition-metal centers has attracted intense interest since its discovery by Graham and Jetz in 1971.¹ Indeed, the study of Si–H bond activation by coordinatively unsaturated organometallic complexes represents a decisive step in the catalytic hydrosilylation of unsaturated substrates to form Si–C bonds and is of outstanding significance in organometallic chemistry, as well as for industrial processes.²-15

The chemistry of 18-electron  $d^6$  CpM(CO)<sub>3</sub> (Cp =  $\eta^5$ -C<sub>5</sub>H<sub>5</sub>; M = Mn and Re) complexes, whose molecular structures have been described as a "three-legged-piano-stool", have been extraordinarily studied and applied in various fields by several generations of chemists since their discovery. <sup>16-24</sup> In particular, the photochemistry of CpMn(CO)<sub>3</sub> has been widely investigated by many experimental laboratories because this manganese tricarbonyl complex can provide significant photochemical advantages. For instance, CpMn(CO)<sub>3</sub> can serve as an important

model system for the evolution and application of sophisticated techniques for examining excited-state dynamics.  $^{25-30}$  Moreover, CpMn(CO)<sub>3</sub> can be used as a readily available precursor for a variety of substituted manganese cyclopentadienyl complexes.  $^{31-33}$  In addition, this manganese complex has recently been used as a photocatalyst for H<sub>2</sub> production.  $^{34}$ 

Through the elegant researches accomplished by Harris and colleagues,  $^{35-37}$  the photochemical Si–H bond activation reactions by the Group VIIB,  $d^6 \eta^5$ -CpM(CO) $_3$  (M = Mn and Re) have been experimentally explored (Scheme 1). From their study, it was found that the photolysis of  $\eta^5$ -CpMn(CO) $_3$ , following the extrusion of one CO ligand, leads to the production of  $\eta^5$ -CpMn(CO) $_2$  in its singlet or triplet electronic states. On the other hand, the photolysis of one CO group from the  $\eta^5$ -CpRe(CO) $_3$  complex results only in the construction of  $\eta^5$ -CpRe(CO) $_2$  in the singlet electronic state. The subsequent reactions for both  $\eta^5$ -CpMn(CO) $_2$  and  $\eta^5$ -CpRe(CO) $_3$ 



Scheme 1 Experimental results. See ref. 35-37.

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<sup>†</sup> Electronic supplementary information (ESI) available. See DOI: 10.1039/c8ra01118d

intermediates can be partitioned into two routes, including the initial solvation of the dicarbonyls through either the Si–H bond or an alkyl group of the solvent species. Although both photodecarbonylation and oxidative addition reactions of  $(\eta^5\text{-}C_5H_5)$   $M(CO)_3, (M=Mn$  and Re) complexes have been experimentally investigated,  $^{35-37}$  their photochemical mechanisms are still not understood in detail. For instance, on the basis of the available experimental results,  $^{35-37}$  it appears that a direct crossover from the excited state to the ground state hyper-surface should take place. However, until now, neither experimental nor theoretical study have confirmed on such photochemical reaction mechanisms of the 18-electron  $d^6$  CpM(CO) $_3$  transition metal complexes.

It is these unexplored problems that motivate our examination of the mechanisms involved in the CO-photoelimination as well as the Si–H bond activation reaction by the  $d^6$   $\eta^5$ -CpM(CO) $_3$  complexes. The reason for not studying them theoretically could be due to the fact that the sophisticated computational techniques necessary for these photochemical reactions were not yet available during the 1990s.  $^{38-41}$  In this work, therefore, the mechanisms for the photochemical carbonyl elimination and the Si–H bond insertion reaction in trimethylsilane using the Group 7  $d^6$   $\eta^5$ -CpM(CO) $_3$  (M = Mn and Re) molecules, eqn (A), have been theoretically undertaken.  $^{42}$ 

... $(46a')^2(47a')^2(48a'')^2(49a')^2(50a'')^2(51a')^2$ , which corresponds to the ... $(\pi Cp/x^2-y^2)^2(\pi Cp/3d_{yz})^2(\pi Cp)^2(3d_{xy})^2(3d_{xz})^2(3d_{z^2}+\sigma_{sp})^2$  configuration. See Fig. 1. In addition, five low-lying virtual orbitals conform to  $\pi^*Cp/3d_{xz}(52a'')$ ,  $\pi^*Cp/3d_{yz}(53a')$ ,  $\pi^*Cp/3d_{xy}(54a')$ ,  $\pi^*Cp/55a''$ ,  $\pi^*Cp/\sigma_{sp}(56a')$ . Accordingly, an active space confined to twelve electrons correlated in eleven active orbitals has been applied. That is to say, the ground state CASSCF (complete active space self-consistent field) with an optimized geometry (12 electrons, 11 orbitals) using the active space has thus been utilized to compute energies of the critical points on both singlet and triplet potential energy surfaces.

Two kinds of sophisticated calculations were employed here. One is the calculation of the conical intersection,  $^{45-49}$  which is achieved in the (f-2)-dimensional intersection space, based on the method of Bearpark *et al.*  $^{50}$  The other type of calculation is intersystem crossing optimization.  $^{45,46}$  Using the computational method previously mentioned with state-average orbitals,  $^{51}$  the optimized lowest energy points of  $T_1/S_0$  surface crossings can be obtained. For this, a weighting of 50%/50% for the  $T_1/S_0$  crossing was chosen to ensure the triplet and singlet states in the state-averaging procedure chosen to make sure the triplet and singlet states in the state-averaging procedure. Both computational methods are already implemented in the Gaussian 09 program.  $^{43}$ 

The CASSCF/Def2-SVPD<sup>53</sup> method was initially carried out to optimize the critical points on the potential energy surfaces. After the optimizations, the CASSCF wave function was taken as

The aim of this work is to provide a better comprehension for the photochemical reactions of the 18-electron  $d^6$   $\eta^5\text{-CpM(CO)}_3$  compounds. This understanding may help to anticipate the overall reaction course of various known and/or as yet unknown  $\eta^5\text{-CpM(CO)}_3$  systems in order to gain a superior control over them.

## 2. Methodology

In the current study, the GAUSSIAN 09 package of programs have been used to investigated the key points on the potential energy surfaces of excited-state and singlet ground states for both  $\eta^5\text{-CpMn}(CO)_3$  and  $\eta^5\text{-CpRe}(CO)_3$  complexes. The traditional molecular orbital energy diagram for the  $d^6$   $\eta^5\text{-CpM}(CO)_3$  complex with the "three-legged-piano-stool" conformation can be found elsewhere. According to the previous theoretical studies,  $^{38,44}$  the singlet ground state of the  $\eta^5\text{-CpMn}(CO)_3$  complex with the  $C_S$  symmetry should have a closed shell occupation:

the reference function and then based on this, the dynamic correlation contributions can be considered by means of a second-order perturbation procedure (MP2-CAS),<sup>54,55</sup> in conjunction with a larger basis set (Def2-TZVPD).<sup>56</sup> As a result, the MP2-CAS(14,13)/Def2-TZVPD//CAS(14,13)/Def2-SVPD (energies) levels of theory have been applied for all the key points on the potential energy surfaces of either the singlet or the triplet excited and the singlet ground states. Hereafter, the MP2-CAS(14,13)/Def2-TZVPD//CAS(14,13)/Def2-SVPD method will be abbreviated as MP2-CAS.

## Results and discussion

# 3.1 Mechanism for the photoactivation reaction of $\eta^5$ -CpMn(CO)<sub>3</sub> in the singlet state channel

The mechanism of the CO-photoextrusion reaction for  $\eta^5$ -CpMn(CO)<sub>3</sub> (Mn-S<sub>0</sub>-Rea) is first examined and discussed in this section. On the basis of the available experimental reports, <sup>35–37</sup> it

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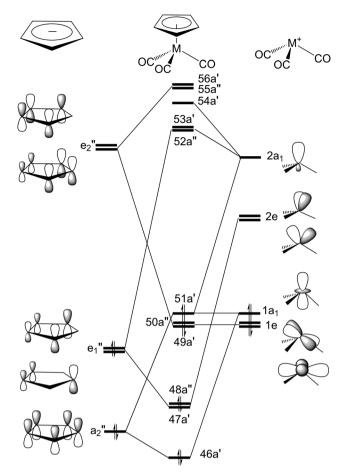


Fig. 1 Valence molecular orbitals of the  $\eta^5\text{-CpM(CO)}_3$  (M = Mn and Re) complex.

was found that the photolysis of the Mn tricarbonyl complex under the excitations at both 295 nm (=96.9 kcal mol<sup>-1</sup>) and 325 nm (=88.0 kcal mol<sup>-1</sup>) yields  $\eta^5$ -CpMn(CO)<sub>2</sub> in its singlet or triplet electronic states. The CAS(12,11)/Def2-SVPD method has thus been utilized to compute the vertical excitation energies of the Franck-Condon (FC) zone. It should be noted that the FC zone maintains the geometry of Mn-S<sub>0</sub>-Rea in its ground state (S<sub>0</sub>) even in its singlet or triplet excited states. The CASSCF computational results given on the left-hand side of Fig. 2 indicate that the energy levels of the excited states increase in the order:  $Mn-S_0$ -Rea (0.0 kcal  $mol^{-1}$ ) <  $Mn-T_1$ -FC  $(69.0 \text{ kcal mol}^{-1}) < \text{Mn-T}_2\text{-FC} (75.6 \text{ kcal mol}^{-1}) < \text{Mn-S}_1\text{-FC}$  $(83.8 \text{ kcal mol}^{-1}) < \text{Mn-S}_2\text{-FC} (92.8 \text{ kcal mol}^{-1}) < \text{Mn-T}_3\text{-FC}$  $(104.8 \text{ kcal mol}^{-1}) < \text{Mn-S}_3\text{-FC} (107.4 \text{ kcal mol}^{-1})$ . It should be emphasized here that the energy splittings of the organometallic compounds are usually much smaller than those of the organic molecules, since the transition-metal atom has five d orbitals, while the carbon atom has 3 p orbitals. As a consequence, once light is absorbed by the organometallic species, it may jump to the low-lying unoccupied orbitals, from which it would produce several nearly degenerate states, such as the metal-centered (MC) or metal-to-ligand charge-transfer (MLCT) states. Subsequently, this excited molecule relaxes through the conical intersection (CI) or the intersystem crossing (ISC)

adjacent to the Frank–Condon zone. <sup>52-55</sup> Fig. 2 demonstrates that when Mn-S<sub>0</sub>-Rea is photo-irradiated with 295 nm light into an excited electronic state, Mn-S<sub>0</sub>-Rea may arrive at a singlet excited electronic state (Mn-S<sub>2</sub>-FC). It then relaxes, branching between the S<sub>1</sub> and S<sub>0</sub> or T<sub>1</sub> and S<sub>0</sub> states. The same phenomenon also occurs in the case of Mn-S<sub>0</sub>-Rea under irradiation with 325 nm light. On the basis of the present computational results, it is therefore concluded that the photochemical carbonylation reaction of Mn-S<sub>0</sub>-Rea would take place from either a singlet excited state (Mn-S<sub>1</sub>-FC) or a triplet excited state (Mn-T<sub>1</sub>-FC) within the FC zone, which agrees well with available experimental findings. <sup>35-37</sup> The CAS(14,13)/Def2-SVPD optimized structures for path I of  $\eta^5$ -CpMn(CO)<sub>3</sub> (Mn-S<sub>0</sub>-Rea) are collected in Fig. 3 and 4.

From the above analysis, we initially investigate the photochemical oxidative addition reaction of Mn-So-Rea through the singlet electronic state channel. As Fig. 2 shows, after being photo-irradiated by 295 nm light, Mn-S<sub>0</sub>-Rea may finally move to the photo-excited Mn-S1-FC. Then, this excited species undergoes a radiation-less decay to a singlet [n<sup>5</sup>-CpMn(CO)<sub>2</sub>]<sup>1</sup> intermediate (Mn-S<sub>0</sub>-IM) via a conical intersection point (Mn-S<sub>1</sub>/ S<sub>0</sub>-CI). Our MP2-CAS calculations indicate that Mn-S<sub>1</sub>/S<sub>0</sub>-CI and Mn-S<sub>0</sub>-IM are about 56 and 39 kcal mol<sup>-1</sup> above the groundstate reactant (Mn-So-Rea). Subsequently, this singlet dicarbonyl intermediate would interact with trimethylsilane to yield a singlet precursor complex (Mn-S<sub>0</sub>-Cpx), which is computed to be about 28 kcal  $\text{mol}^{-1}$  above the starting material. In other words, the complexation energy of the Mn-S<sub>0</sub>-IM is about 11 kcal mol<sup>-1</sup>. It is noteworthy that since the complexation energy of Mn-So-Cpx is quite low, compared to the further barrier height (65 kcal mol<sup>-1</sup>) from Mn-S<sub>0</sub>-Cpx to Mn-S<sub>0</sub>-TS, the Mn-S<sub>0</sub>-Cpx complex should be easily detected by experiments. Indeed, this theoretical finding is confirmed by the available experimental observations.35-37 This singlet dicarbonyl species next undergoes intermolecular oxidative addition to a Si-H bond of (CH<sub>3</sub>)<sub>3</sub>Si-H by way of a transition state (Mn-S<sub>0</sub>-TS),<sup>57</sup> whose energy is computed to be about 93 kcal mol<sup>-1</sup> above Mn- $S_0$ -Rea. Since the initial photo-excitation energy is experimentally reported to be 96.9 kcal mol<sup>-1</sup> (=295 nm),<sup>35-37</sup> the barrier height (65 kcal mol<sup>-1</sup>) of this oxidative addition reaction should be easily overcome to achieve the final product (Mn-S<sub>0</sub>-Pro), whose energy is estimated to be about 18 kcal mol<sup>-1</sup> above the initial reactants (Mn-S<sub>0</sub>-Rea and (CH<sub>3</sub>)<sub>3</sub>SiH) as shown in Fig. 2. Accordingly, the present theoretical findings reveal that the mechanism for the photochemical singlet reaction channel (path I) of the  $\eta^5$ -CpMn(CO)<sub>3</sub> complex should be represented as follows:  $([Si]^1 \text{ acts for } (CH_3)_3SiH \text{ in the singlet ground state})$ 

Path I: 
$$Mn-S_0-Rea + [Si]^1 + h\nu \rightarrow Mn-S_1-FC + [Si]^1 \rightarrow Mn-S_1/S_0-CI + [Si]^1 \rightarrow Mn-S_0-IM + [Si]^1 + [CO]^1 \rightarrow Mn-S_0-Cpx + [CO]^1 \rightarrow Mn-S_0-TS + [CO]^1 \rightarrow Mn-S_0-Pro + [CO]^1$$

In brief, we proceed on the basis of the experimental works published by Harris and colleagues,<sup>35–37</sup> who found that irradiation of  $\eta^5$ -CpMn(CO)<sub>3</sub> with the 295 nm light can lead to the

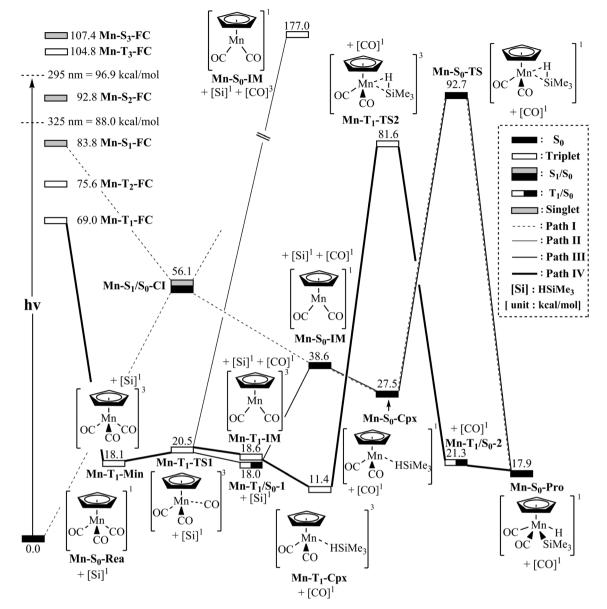


Fig. 2 Energy profiles for the photo-extrusion reactions for  $\eta^5$ -CpMn(CO)<sub>3</sub> (Mn-S<sub>0</sub>-Rea). The abbreviations, FC and CI, respectively represent the Frank–Condon and conical intersection. The relative energies are given at the MP2-CAS-(14,13)/Def2-TZVPD//CAS(14,13)/Def2-SVPD level of theory. All energies (in kcal mol<sup>-1</sup>) are given with respect to the reactant (Mn-S<sub>0</sub>-Rea). For the crucial points of the CASSCF optimized structures, see Fig. 3. For more information, see the text.

higher-energy band, which associates with the formation of singlet  $[\eta^5\text{-CpMn}(CO)_2]$  (Mn-S<sub>0</sub>-IM) and then interact with the hydrosilanes to result in the oxidative addition products. The present computational results (path I) given in Fig. 2 are in accordance with the previous experimental findings.<sup>35-37</sup>

# 3.2 Mechanisms for the photoactivation reaction of $\eta^5$ -CpMn(CO)<sub>3</sub> in the triplet state channel

On the other hand, when Mn-S<sub>0</sub>-Rea is photo-irradiated to its highly excited electronic states, then it may relax to the first triplet excited FC (Mn-T<sub>1</sub>-FC) point. Starting from Mn-T<sub>1</sub>-FC, this triplet excited FC species would decay to the lowest triplet state minimum (Mn-T<sub>1</sub>-Min). It may then undergo three possible reaction paths (*i.e.*, path II, path III, and path IV), as shown in Fig. 2, to encounter

an oxidative addition reaction with trimethylsilane and thereby generate the final insertion product (Mn-S<sub>0</sub>-Pro).

In path II, the triplet intermediate (Mn- $T_1$ -Min) dissociates one CO group through a transition state (Mn- $T_1$ -TS1) to obtain two final products: one triplet CO molecule and one singlet manganese dicarbonyl complex (Mn- $S_0$ -IM). Nevertheless, our MP2-CAS computations suggest that the relative energy of the final points ([CO]<sup>3</sup> + Mn- $S_0$ -IM) is estimated to be 177 kcal mol<sup>-1</sup>, which is much higher than the initial photoirradiation energy (295 nm = 96.9 kcal mol<sup>-1</sup>). As a result, the theoretical evidence indicates that the formation of one triplet CO and one singlet Mn- $S_0$ -IM molecule by way of the photoirradiation of Mn- $S_0$ -Rea with 295 nm light is very unlikely. Indeed, as far as we are aware, no such photoproducts have

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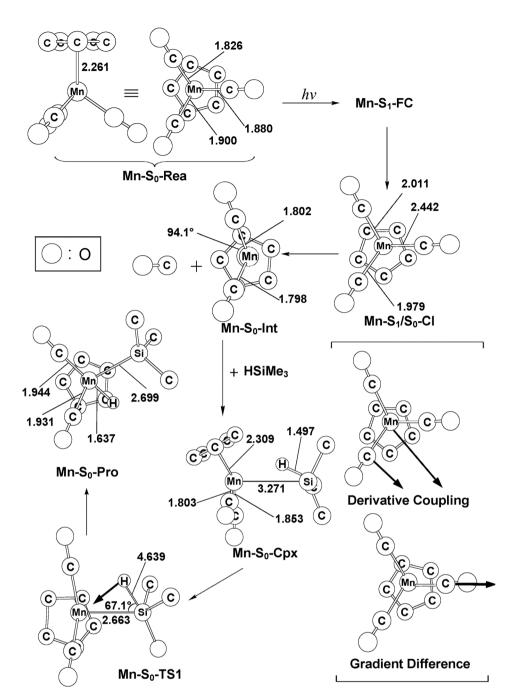


Fig. 3 The CAS(14,13)/Def2-SVPD geometries (in Å and deg) for path I (the singlet state) of  $\eta^5$ -CpMn(CO)<sub>3</sub> (Mn-S<sub>0</sub>-Rea), the conical intersection (CI) and the final product (Pro). The derivative coupling and the gradient difference vectors are computed using CASSCF at the conical intersection, Mn-S<sub>1</sub>/S<sub>0</sub>-CI. Some hydrogen atoms are omitted for clarity.

been experimentally detected in the photo-activation reaction for  $\eta^5$ -CpMn(CO)<sub>3</sub>. <sup>35-37</sup> The mechanism for path II of **Mn-S**<sub>0</sub>-**Rea** is shown as follows:

Path II: Mn-S<sub>0</sub>-Rea + 
$$[Si]^1 + h\nu \rightarrow Mn$$
-T<sub>1</sub>-FC +  $[Si]^1 \rightarrow Mn$ -T<sub>1</sub>-Min +  $[Si]^1 \rightarrow Mn$ -T<sub>1</sub>-TS1 +  $[Si]^1 \rightarrow [CO]^3 + Mn$ -S<sub>0</sub>-IM +  $[Si]^1$ 

In path III, when the triplet manganese species (Mn- $T_1$ -Min) encounters one CO ligand dissociation via a triplet transition

state (Mn-T<sub>1</sub>-TS1), it may subsequently proceed an intersystem crossing from the triplet state to the singlet state in the region of the  $T_1/S_0$  intersection (Mn-T<sub>1</sub>/S<sub>0</sub>-1), as demonstrated in Fig. 2. From this intersection point, the manganese species produces one singlet CO molecule and one singlet Mn-S<sub>0</sub>-IM intermediate. Then, Mn-S<sub>0</sub>-IM would interact with trimethylsilane, following a reaction pathway similar to that shown above for path I (conical intersection path), to approach an oxidative addition  $\eta^5$ -CpMn(CO)<sub>2</sub>(H) (SiMe<sub>3</sub>) molecule (Mn-S<sub>0</sub>-Pro). The mechanism for path III can thus be represented as follows:

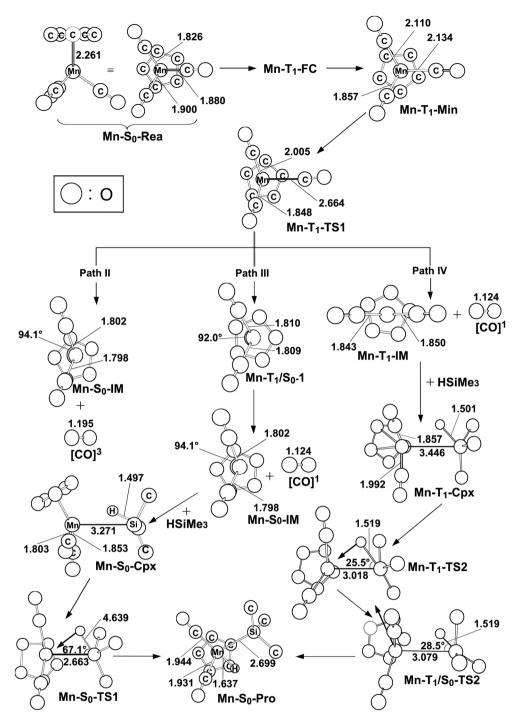


Fig. 4 The CAS(14,13)/Def2-SVPD geometries (in Å and deg) for paths II, III, and IV (triplet states) of the critical points of reactant  $\eta^5$ -CpMn(CO)<sub>3</sub> (Mn-S<sub>0</sub>-Rea) on the potential energy surfaces. The bold arrows indicate the principal atomic motions in the transition state eigenvector. The relative energies for each species see Fig. 1. Some hydrogen atoms are omitted for clarity.

$$\begin{array}{l} \text{Path III: } \textbf{Mn-S_0-Rea} + [\text{Si}]^1 + \hbar\nu \rightarrow \textbf{Mn-T_1-FC} + [\text{Si}]^1 \rightarrow \textbf{Mn-T_1-}\\ \textbf{Min} + [\text{Si}]^1 \rightarrow \textbf{Mn-T_1-TS1} + [\text{Si}]^1 \rightarrow \textbf{Mn-T_1/S_0-1} + [\text{Si}]^1 \rightarrow \textbf{Mn-S_0-IM} + [\text{Si}]^1 + [\text{CO}]^1 \rightarrow \textbf{Mn-S_0-Cpx} + [\text{CO}]^1 \rightarrow \textbf{Mn-S_0-TS} + [\text{CO}]^1 \rightarrow \textbf{Mn-S_0-Pro} + [\text{CO}]^1 \end{array}$$

As mentioned in previous research, 35-37 there are two possibilities for the mechanisms of triplet reaction channels involved

in the experimentally accessible region under irradiation of the parent  $\,\eta^5\text{-CpMn}(CO)_3$  with 295 nm and 325 nm light. Two points are noteworthy as follows:

(i) At the higher-energy band (using the 295 nm light): It is well known that spin-allowed absorption cross-sections are basically larger than those for spin-forbidden excitations.<sup>58-63</sup> Moreover, as seen in the left-hand side of Fig. 2, once **Mn-S<sub>0</sub>-Rea** is absorbed by light with 295 nm, the possibility for the Mn

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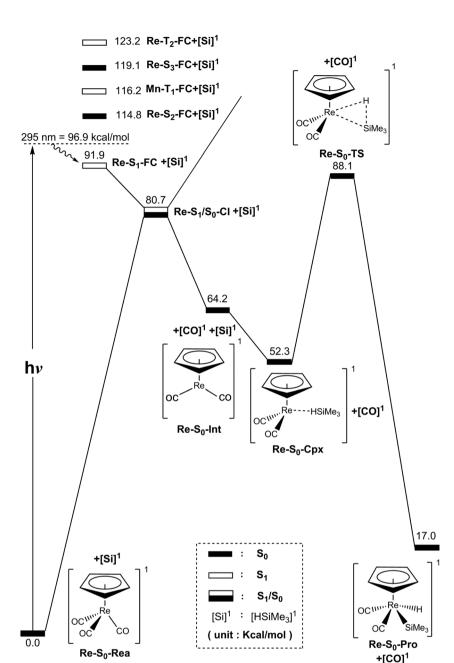


Fig. 5 Energy profiles for the photo-extrusion reactions for  $\eta^5$ -CpRe(CO) $_3$  (Re-S $_0$ -Rea). The abbreviations, FC and CI, respectively, represent the Frank–Condon and conical intersection. The relative energies are given at the MP2-CAS-(14,13)/Def2-TZVPD//CAS(14,13)/Def2-SVPD level of theory. All energies (in kcal mol $^{-1}$ ) are given with respect to the reactant (Re-S $_0$ -Rea). For the crucial points of the CASSCF optimized structures, see Fig. 6. For more information, see the text.

complex relaxing from the singlet FC zone to the triplet region is quite slim, since the excited Mn complex would initially jump to the nearby singlet excited state. Therefore, in principle, this 295 nm process should follow path I (the conical intersection mechanism; from the singlet excited state to the singlet ground state) to yield the final oxidative addition product (Mn-S<sub>0</sub>-Pro).

(ii) At the lower-energy band (using the 325 nm light): The theoretical results shown in Fig. 2 reveal that once the Mn complex starts from the Mn-T<sub>1</sub>-FC point, it may follow path III to proceed the Si–H activation reaction. However, the relative energy of Mn-S<sub>0</sub>-TS (93 kcal mol<sup>-1</sup>) with respect to the initial reactants (Mn-S<sub>0</sub>-Rea and (CH<sub>3</sub>)<sub>3</sub>SiH) is higher than the vertical

photoexcitation energy of the 325 nm ( $=88.0 \text{ kcal mol}^{-1}$ ) light. This strongly implies that if **Mn-S<sub>0</sub>-Rea** relies upon absorption of light by 325 nm, path III (the intersystem crossing mechanism) must be energetically unfeasible for the production of an oxidative addition **Mn-S<sub>0</sub>-Pro** molecule.

In short, based on the above analyses, it is expected that under UV photoirradiation, neither 295 nm nor 325 nm, Mn-S<sub>0</sub>-Rea proceeds along path III to form the final photoproduct, Mn-S<sub>0</sub>-Pro.

In the forth pathway, path IV,  $\mathbf{Mn}$ - $\mathbf{T_1}$ - $\mathbf{Min}$  can form one singlet CO molecule and one triplet  $[\eta^5$ - $\mathbf{CpMn}(CO)_2]^3$  complex ( $\mathbf{Mn}$ - $\mathbf{T_1}$ - $\mathbf{IM}$ ) through a triplet transition state,  $\mathbf{Mn}$ - $\mathbf{T_1}$ - $\mathbf{TS1}$ . The MP2-CAS results represented in Fig. 2 suggest that the energy of

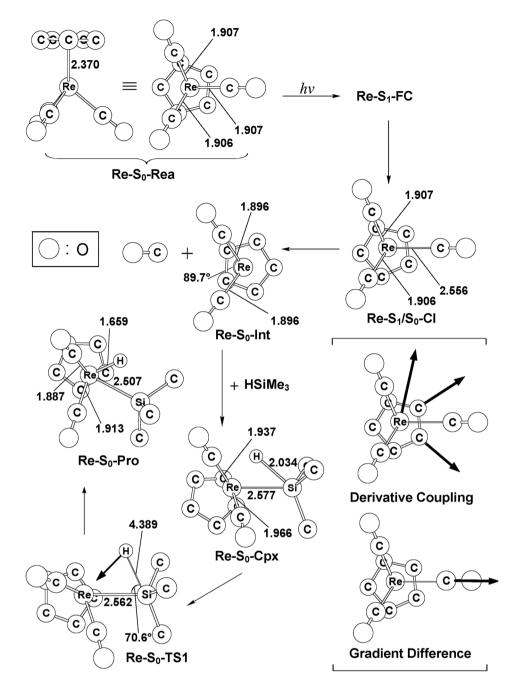


Fig. 6 The CAS(14,13)/Def2-SVPD geometries (in Å and deg) for  $\eta^5$ -CpRe(CO) $_3$  (Re-S $_0$ -Rea), the conical intersection (CI) and the final product (Pro). The derivative coupling and the gradient difference vectors are computed using CASSCF at the conical intersection, Re-S $_1$ /S $_0$ -CI. The corresponding CASSCF vectors are shown in the inset.

this photo-induced point ( $[CO]^1$  + Mn-T<sub>1</sub>-IM) lies only about 19 kcal mol<sup>-1</sup> above that of the starting point (Mn-S<sub>0</sub>-Rea). Subsequently, the Mn-T<sub>1</sub>-IM interacts with (CH<sub>3</sub>)<sub>3</sub>Si-H to form the precursor complex (Mn-T<sub>1</sub>-Cpx) in the triplet state. Then this triplet Mn-T<sub>1</sub>-Cpx complex undergoes a triplet transition state (Mn-T<sub>1</sub>-TS2) to reach the final singlet insertion (Mn-S<sub>0</sub>-Pro) through the Mn-T<sub>1</sub>/S<sub>0</sub>-1 intersystem crossing point. In other words, if the starting point (Mn-S<sub>0</sub>-Rea) absorbs light of 325 nm (=88.0 kcal mol<sup>-1</sup>) wavelength, the manganese complex has more than enough energy to overcome the barrier height (70.2 kcal mol<sup>-1</sup>) from Mn-T<sub>1</sub>-Cpx to Mn-T<sub>1</sub>-TS2. This

manganese tricarbonyl molecule can then eventually reach the final oxidative addition compound (Mn-S<sub>0</sub>-Pro). Consequently, the mechanism for path IV of the  $\eta^5$ -CpMn(CO)<sub>3</sub> complex can be described as follows:

Path IV:  $\mathbf{Mn}\text{-}\mathbf{S_0}\text{-}\mathbf{Rea} + [\mathrm{Si}]^1 + h\nu \rightarrow \mathbf{Mn}\text{-}\mathbf{T_1}\text{-}\mathbf{FC} + [\mathrm{Si}]^1 \rightarrow \mathbf{Mn}\text{-}\mathbf{T_1}\text{-}\mathbf{Min} + [\mathrm{Si}]^1 \rightarrow \mathbf{Mn}\text{-}\mathbf{T_1}\text{-}\mathbf{TS1} + [\mathrm{Si}]^1 \rightarrow \mathbf{Mn}\text{-}\mathbf{T_1}\text{-}\mathbf{IM} + [\mathrm{Si}]^1 + [\mathrm{CO}]^1 \rightarrow \mathbf{Mn}\text{-}\mathbf{T_1}\text{-}\mathbf{Cpx} + [\mathrm{CO}]^1 \rightarrow \mathbf{Mn}\text{-}\mathbf{T_1}\text{-}\mathbf{TS2} + [\mathrm{CO}]^1 \rightarrow \mathbf{Mn}\text{-}\mathbf{T_1}/\mathbf{S_0}\text{-}\mathbf{2} + [\mathrm{CO}]^1 \rightarrow \mathbf{Mn}\text{-}\mathbf{S_0}\text{-}\mathbf{Pro} + [\mathrm{CO}]^1$ 

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In short, the present theoretical observations strongly demonstrate that the process of the irradiation on  $\eta^5$ -CpMn(CO)<sub>3</sub> with 325 nm light could follow either path I (the conical intersection mechanism, from singlet excited state to singlet ground state) or path IV (the intersystem crossing mechanism, from triplet excited state to singlet ground state) rather than path II or path III. Indeed, this theoretical conclusion agrees well with the available experimental findings, in which the irradiation of the 325 nm light would produce the spin-crossover product (Mn-S0-Pro) through the intersystem crossing channel.35-37

### 3.3 Mechanism for the photoactivation reaction of $\eta^5$ -CpRe(CO)<sub>3</sub> in the singlet state channel

In this section, we discuss the mechanisms of the photochemical decarbonylation reaction and the oxidative addition reaction of the 18-electron  $\eta^5$ -CpRe(CO)<sub>3</sub> (**Re-S<sub>0</sub>-Rea**) molecule. The vertical excitation energies of the FC zone for the  $\eta^5$ -CpRe(CO)<sub>3</sub> complex, together with the relative energies of the key points with respect to the starting materials (Re- $S_0$ -Rea + (CH<sub>3</sub>)<sub>3</sub>SiH), were all calculated using the MP2-CAS method as mentioned above in the methodology section. Our computational results are summarized in Fig. 5 and the optimized geometries of the critical points on the Re potential energy surfaces are collected in Fig. 6.

As seen in the left-hand side of Fig. 5, the MP2-CAS calculations represent the relative FC energies increase in the order:  $Re-S_0-Rea (0.0 \text{ kcal mol}^{-1}) < Re-S_1-FC (91.90 \text{ kcal mol}^{-1}) < Re-S_2 FC (114.8 \text{ kcal mol}^{-1}) < Re-T_1-FC (116.2 \text{ kcal mol}^{-1}) < Re-S_3-FC$  $(119.1 \text{ kcal mol}^{-1}) < \text{Re-T}_2\text{-FC} (123.2 \text{ kcal mol}^{-1}).$  These computational values clearly reveal that only the energy of the singlet Re-S<sub>1</sub>-FC point is below that of the reported irradiation light with 295 nm (=96.9 kcal  $\text{mol}^{-1}$ ). 35-37 In other words, the MP2-CAS computations provide strong theoretical evidence that the CO-photoextrusion mechanism of the η<sup>5</sup>-CpRe(CO)<sub>3</sub> complex should advance on the singlet potential energy surface. Indeed, it was experimentally found that photolysis of the Re-So-**Rea** complex led only to a rhenium dicarbonyl η<sup>5</sup>-CpRe(CO)<sub>2</sub> species in its singlet electronic ground state.35-37 We therefore focus on the singlet state through the whole reaction mechanism in the case of the Re complex (eqn (A)). That is to say, the conical intersection mechanism will be applied here to interpret the CO-photoextrusion process of the Re-So-Rea compound.

Starting from the Re-S<sub>1</sub>-FC point, as seen in Fig. 5, the Re tricarbonyl funnels through S<sub>1</sub>/S<sub>0</sub> conical intersection point (i.e., Re-S<sub>1</sub>/S<sub>0</sub>-CI), leading to one singlet  $[\eta^5$ -CpRe(CO)<sub>2</sub>]<sup>1</sup> intermediate (i.e.,  $Re-S_0-Int$ ) and one singlet CO molecule. This theoretical finding has been confirmed by the experimental observations mentioned above. 35-37 Subsequently, Re-So-Int interacts with (CH<sub>3</sub>)<sub>3</sub>SiH to generate a singlet precursor complex, Re-S<sub>0</sub>-Cpx. This Re system then undergoes a Si-H bond activation reaction by way of a transition state (Re- $S_0$ -TS) to generate the final oxidative addition product (Re-S<sub>0</sub>-Pro). As given in Fig. 5, the present theoretical computations estimate that with respect to the energy of the starting materials (Re-S<sub>0</sub>-Rea +  $[Si]^1$ ), the Re-S<sub>1</sub>/S<sub>0</sub>-CI +  $[Si]^1$ , Re-S<sub>0</sub>-Int +  $[Si]^1$ , Re-S<sub>0</sub>-Cpx,

Re-S<sub>0</sub>-TS, and Re-S<sub>0</sub>-Pro points are predicted to be 81, 64, 52, 88, and 17 kcal mol<sup>-1</sup>, based on the MP2-CAS computations. All of these energy values are smaller than that of the photoirradiation promotion energy with 295 nm light  $(=96.9 \text{ kcal mol}^{-1})$ . As a consequence, path V is anticipated to be energetically accessible since the reactant (Re-So-Rea) has more than enough energy (96.9 kcal mol<sup>-1</sup>) to overcome the barrier height (35.8 kcal mol<sup>-1</sup>) from Re-S<sub>0</sub>-Cpx to Re-S<sub>0</sub>-TS when Re-So-Rea absorbs light of the 295 nm wavelength. The mechanism for path V is thus described as follows:

Path V: Re-S<sub>0</sub>-Rea + [Si]<sup>1</sup> + 
$$h\nu \rightarrow$$
 Re-S<sub>1</sub>-FC + [Si]<sup>1</sup>  $\rightarrow$  Re-S<sub>1</sub>/S<sub>0</sub>-CI + [Si]<sup>1</sup>  $\rightarrow$  Re-S<sub>0</sub>-Int + [Si]<sup>1</sup> + [CO]<sup>1</sup>  $\rightarrow$  Re-S<sub>0</sub>-Cpx + [CO]<sup>1</sup>  $\rightarrow$  Re-S<sub>0</sub>-TS + [CO]<sup>1</sup>  $\rightarrow$  Re-S<sub>0</sub>-Pro + [CO]<sup>1</sup>

## Conclusion

In this work, we used both CASSCF and MP2-CAS levels of theory to investigate the mechanisms of the CO-photoextrusion and the oxidative addition of a Si-H bond to the Group 7 transition metal complexes  $\eta^5$ -CpM(CO)<sub>3</sub> (M = Mn and Re). To our knowledge, this study represents the first theoretical examinations on the photochemical mechanisms of the cyclopentadiene tricarbonyl systems. Fig. 2 (Mn) and Fig. 5 (Re) demonstrate the comprehensive reaction mechanisms for the two kinds of organometallic molecules.42 As a result, this theoretical study allows four noteworthy conclusions to be drawn, as follows:

- (1) Although manganese and rhenium belong to the same family in the periodic table, our theoretical investigations strongly demonstrate that their photochemical reaction mechanisms are quite different from each other. This difference could be owing to the fact that their photochemical activities strongly depend on the nature of the electronic structures of such cyclopentadiene tricarbonyl complexes.
- (2) The MP2-CAS computational results presented in this work strongly support the experimental evidence,35-37 in which the CO-photolysis of the η<sup>5</sup>-CpMn(CO)<sub>3</sub> (Mn-S<sub>0</sub>-Rea) complex can generate a singlet dicarbonyl (Mn-So-IM) and a triplet dicarbonyl (Mn-T<sub>1</sub>-IM) intermediate, whereas the COphotoextrusion of the η<sup>5</sup>-CpRe(CO)<sub>3</sub> (Mn-S<sub>0</sub>-Rea) molecule can generate only the singlet dicarbonyl transient complex (Re-So-
- (3) In the case of η<sup>5</sup>-CpMn(CO)<sub>3</sub> (Mn-S<sub>0</sub>-Rea), as shown in Fig. 2, the MP2-CAS results anticipate that photolysis of Mn-S<sub>0</sub>-Rea with 295 nm (the higher-energy band) can lead to the singlet state channel to be predominant. As a result, the Mn complex would follow path I (the conical intersection mechanism)<sup>39</sup> to produce the final oxidative addition product (Mn-S<sub>0</sub>-Pro). On the other hand, the MP2-CAS calculations also predict that CO-photoelimination of Mn-So-Rea with 325 nm (the lowerenergy band) can result in the triplet state process to be prevailing. Therefore, this Mn molecule would pursue path IV (the intersystem crossing mechanism)39 to yield the final insertion product (Mn-S<sub>0</sub>-Pro).

(4) In the case of  $\eta^5$ -CpRe(CO)<sub>3</sub> (**Re-S<sub>0</sub>-Rea**), as shown in Fig. 5, our theoretical computations strongly predict that CO-photoextrusion of **Re-S<sub>0</sub>-Rea** with 295 nm can generate only the singlet state channel. As a consequence, using the conical intersection mechanism<sup>39</sup> can successfully explain the photochemical decarbonylation of the Re complex.

#### Conflicts of interest

There are no conflicts to declare.

## Acknowledgements

The authors are grateful to the National Center for High-Performance Computing of Taiwan for generous amounts of computing time, and the Ministry of Science and Technology of Taiwan for the financial support. In particular, one of the authors (M.-D. Su) also wishes to thank Professor Michael A. Robb, Dr S. Wilsey, Dr Michael J. Bearpark, (University of London, UK) and Professor Massimo Olivucci (Universita degli Studi di Siena, Italy), for their encouragement and support during his stay in London. Special thanks are also due to reviewers 1 and 2 for very helpful suggestions and comments.

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