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## A new red fluorophore with aggregation enhanced emission by an unexpected "One-step" protocol†

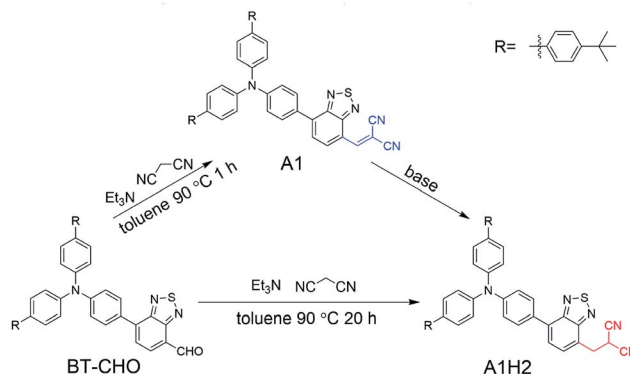
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In this work, a triphenylamine-benzothiadiazole-based new fluorophore is obtained from a facile "one-step" protocol. A possible reduction mechanism is proposed, and an amine containing  $\alpha$ -H plays a key role in the reduction reaction. The resultant product A1H2 exhibits bright red emission in solid state, with an absolute quantum yield of 44.5%. Aggregation induced emission enhancement of A1H2 is also observed with the increased water fraction in THF-H<sub>2</sub>O mixture. The nanoparticles of A1H2 reveal good stability and biocompatibility, which are successfully applied in cellular cytoplasm imaging.

## Introduction

Owing to their non-toxicity, good photostability as well as biodegradability,<sup>1</sup> fluorescent organic nanoparticles (FONs) have revealed promising potential in fluorescence imaging, molecular probes and biosensors.<sup>2</sup> However, many organic fluorophores suffer from aggregation-caused quenching (ACQ) when formed into nano-aggregates. Therefore, molecules exhibiting aggregation-induced emission (AIE) or aggregation-induced emission enhancement (AIEE) properties are highly desirable, and much effort has been made in developing such aggregation enhanced emission system during the past decades.<sup>3</sup> Among these AIEE systems, red luminescent molecules are of special interests for biosensing and bioimaging, because they could minimize photodamage to living cells, deepen the tissue penetration and surpass the biological background fluorescence interference.<sup>4</sup> However, since the extended conjugation length (usually required for achieving red emission) or the non-ideal electron donor-acceptor combination easily leads to ACQ characteristics,<sup>5</sup> development of red AIE/AIEE fluorophore remains a big challenge.

In this work, initially, we aim to synthesize a triphenylamine-benzothiadiazole-based red fluorophore A1 *via* Knoevenagel condensation reaction from the corresponding aldehyde and malononitrile. Generally, the aromatic aldehyde and



Scheme 1 Synthetic routes for A1 and A1H2.

malononitrile undergo typical condensation to produce a dicyanoethyl group (as the structure of A1 in Scheme 1, marked in blue). Unexpectedly, in our case, its reduction product A1H2 is directly obtained from such a reaction with no extra catalysts involved. A1 is later obtained within a shorter reaction duration. More interestingly, A1H2 shows strong red emission in the aggregation state with the maximum emission wavelength exceeding 640 nm, whereas the more conjugated A1 has no obvious emission neither in solution nor in solid state. The resultant nanoparticles of A1H2 (NPs-A1H2) exhibit bright red emission with good stability and biocompatibility. Accordingly, the NPs-A1H2 are successfully applied in cellular cytoplasm imaging.

## Results and discussion

The synthetic routes for A1H2 are depicted in Scheme 1. The condensation product A1 was obtained in the initial stage of the reaction (less than 1 h). Upon further increasing the reaction

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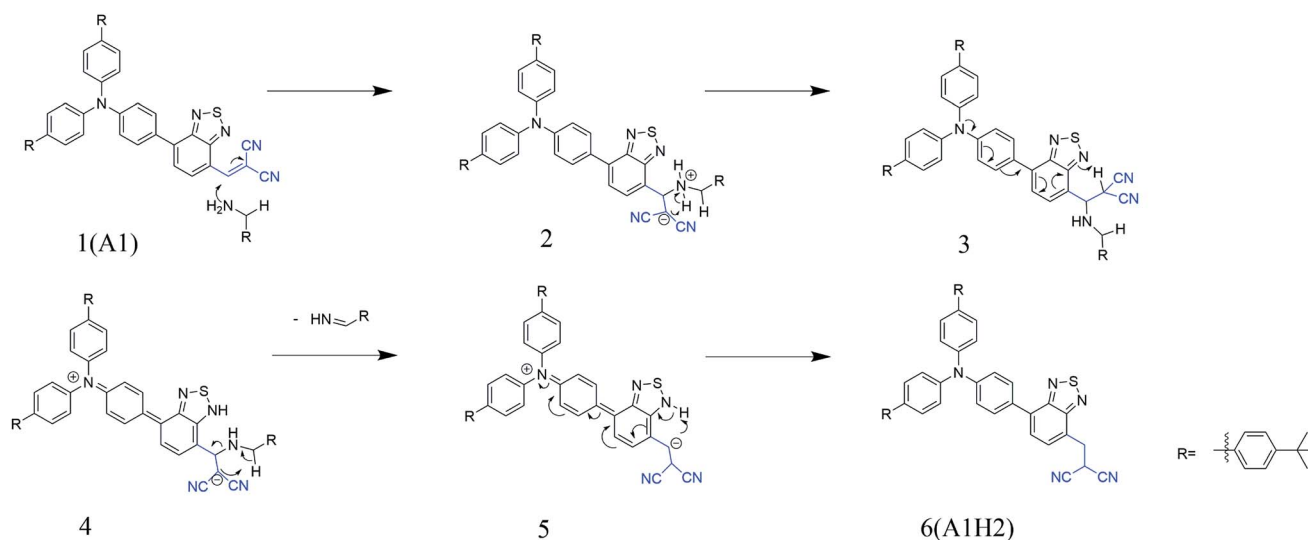
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time (about 20 h), A1 will completely convert into the reduced product A1H2 spontaneously. To elucidate possible reaction pathways, three parallel experiments were carried out, using A1 as the main reactant, and triethylamine or malononitrile was added separately to A1 in toluene (Scheme S2†). The adduct formation process was monitored by High Performance Liquid Chromatography (HPLC). As shown in Fig. S1,† the pure A1 and A1H2 have a retention time of 31.5 min and 19.7 min, respectively. For the three parallel reaction systems, the condensation product A1 remained the same in systems without triethylamine, while the reduction product A1H2 could only be detected in reaction system containing triethylamine (route 3, Scheme S2†). Different bases (piperidine, diethylamine and hexylamine) were also used during the conversion from A1 to A1H2, respectively. The results were monitored using TLC plate ( $\text{CH}_2\text{Cl}_2$  : PE = 1 : 1) (Fig. S2†). It was found that A1H2 was obtained after reacting for a long time (more than 12 h) in the presence of piperidine or diethylamine. In striking contrast, A1H2 was obtained within several minutes when hexylamine was added to the solution of A1. This might be ascribed to the smaller steric hindrance of the primary amine compared with the secondary and tertiary amine, and the primary amine may participate in the conversion from A1 to A1H2 directly, consequently, the reaction rate is significantly accelerated. To further study the possible mechanism, amantadine (3 mg) was used to react with A1 (10 mg) in toluene (5 mL) and stirred at 90 °C for 24 h under argon. Amantadine is an amine without  $\alpha$ -H, which distinguishes it from other amines we used in this paper. The result was monitored by TLC (Fig. S3†). It is clear from the TLC result that no reducing product (A1H2) was detected. Based on these results, a possible mechanism is proposed, shown in Scheme 2. Firstly, dicyanovinyl group in A1 is attacked by amine, making the dicyanomethylene moiety negatively charged. And the hydrogen on amine is able to be attracted by the carbanion on dicyanovinyl moiety, followed by proton transferring to the benzothiadiazole group. Then, the R = NH is

released by eliminating the  $\alpha$ -H of amine, leaving negative charge on the dicyanovinyl moiety, which could be neutralized by getting a proton from the benzothiadiazole-H. Therefore, when amantadine without  $\alpha$ -H is used, A1 cannot be reduced to A1H2.

We speculate that the “one-step” protocol is able to be realized because there is a strong electron-deficient benzothiadiazole (BT unit) in A1, which makes the dicyanovinyl quite easily being reduced under basic condition (particularly primary amine). To verify this speculation, A2 incorporating a strong electron-donor 3,4-ethylenedioxythiophene (EDOT) to replace the BT segment was synthesized (Scheme S3†). However, the reducing product was not detected even after 24 h in the same condition of preparing A1H2, further confirming the effect of the electron-deficient BT unit in the reduction process. To further illustrate this issue, the primary amine (hexylamine) is used in the reaction of A2. After reacting for 24 h, the majority of A2 remains the same, and no reducing product of A2 appears, indicating A2 is difficult to be reduced compared to A1, as is shown in Fig. S4.† It has been widely reported that the reductive Knoevenagel condensation products can be obtained with the existence of expensive catalysts such as Pd/C,<sup>6</sup> rhodium (Rh) catalysts<sup>7</sup> or in the presence of active hydrogen sources like Hantzsch ester,<sup>8</sup>  $\text{CaH}_2$  (ref. 9) and so forth. As far as we know, one-step Knoevenagel condensation/reduction without additional introduction of catalysts/active hydrogen source is rarely reported so far. According to the above results, such “one-step” protocol might easily be realized by incorporating electron-deficient unit adjacent to the dicyanovinyl moiety.

The absorption and emission spectra of A1H2 in THF are presented in Fig. 1a, with that of A1 for comparison. A1 exhibits two absorption bands at 335 nm and 553 nm, respectively. The absorption band at 335 nm could be ascribed to the  $\pi$ - $\pi^*$  transition, while the band at 553 nm corresponds to the charge transfer (CT) from the triphenylamine framework to the electron-withdrawing benzothiadiazole and dicyanovinyl



Scheme 2 A proposed mechanism for the conversion of A1 to A1H2.



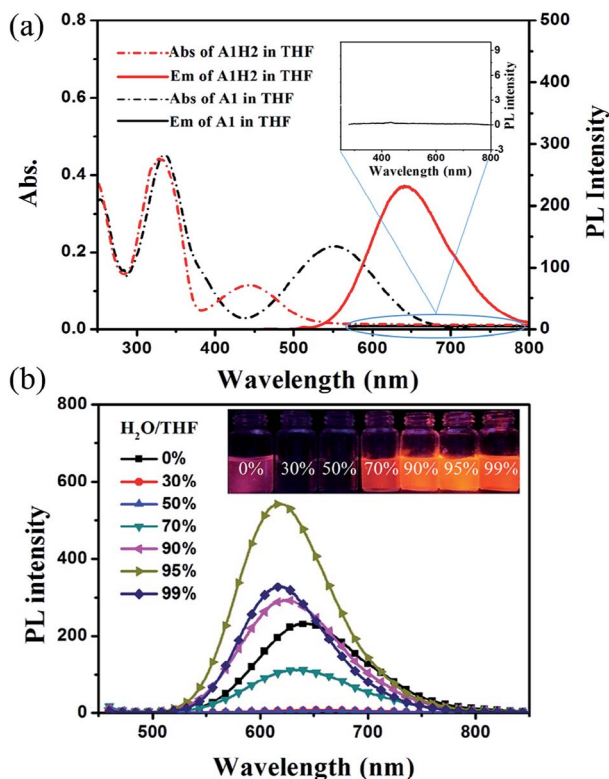


Fig. 1 (a) UV-vis absorption and PL emission spectra of A1 (black line) and A1H2 (red line) in THF, (concentration: 10  $\mu$ M). Inset: the enlarged emission spectra of A1 in THF. (b) PL spectra of A1H2 in water/THF mixtures with different water fractions (concentration: 10  $\mu$ M, excitation wavelength: 445 nm). Inset: images of A1H2 versus water fraction in THF-water mixtures.

moieties. Similar spectra are observed for A1H2, except that the CT band in A1H2 is blue-shifted compared to that in A1, because after hydrogenation of the double bond, the conjugation and electron-withdrawing ability of the acceptor is weakened in A1H2. We also note that A1 is almost non-fluorescent in THF, probably caused by the strong intramolecular charge transfer and the strong dipole-dipole interaction.<sup>10</sup> In contrast, A1H2 exhibits a weak purple fluorescence in THF ( $\Phi_{F,l}$ : 17.2%), and a strong bright red emission in the solid state ( $\Phi_{F,s}$ : 44.5%) (Table 1). The bulky *tert*-butylbenzene moiety could effectively impede the unfavourable molecular aggregation in A1H2, and the generated dicyanoethyl also contribute to bond rotation in A1H2, and thus strong emission in the solid state is observed.<sup>5</sup>

Table 1 Spectral data of A1H2 and A1

Compound	$\lambda_{abs}^a$ /nm	$E_g^{optb}$ /eV	$E_g^{calcc}$ /eV	Electron affinity	$\lambda_{em}^d$ /nm		
					soln <sup>e</sup> , $\Phi_{F,l}$	aggr <sup>e</sup> , $\Phi_{F,a}$	solid <sup>e</sup> , $\Phi_{F,s}$
A1H2	445	2.40	2.77	2.39	643 (17.2%)	616 (35.8%)	636 (44.5%)
A1	553	1.90	2.15	3.25	n.d.	n.d.	n.d.

<sup>a</sup> Absorption maximum ( $\lambda_{abs}$ ) in THF. <sup>b</sup> The optical band gap ( $E_g^{opt}$ ) calculated from the onset wavelength in the absorption spectrum. <sup>c</sup> HOMO–LUMO band gap ( $E_g^{cal}$ ) calculated from theoretical DFT calculations. <sup>d</sup> Emission maximum ( $\lambda_{em}$ ) derived from THF solution (soln, 10  $\mu$ M), THF/water mixture (aggr, 5 : 95 v/v for A1H2; 10  $\mu$ M) and in the solid state, respectively. <sup>e</sup>  $\Phi_F$  is the fluorescence quantum efficiency measured by a calibrated integrating sphere.

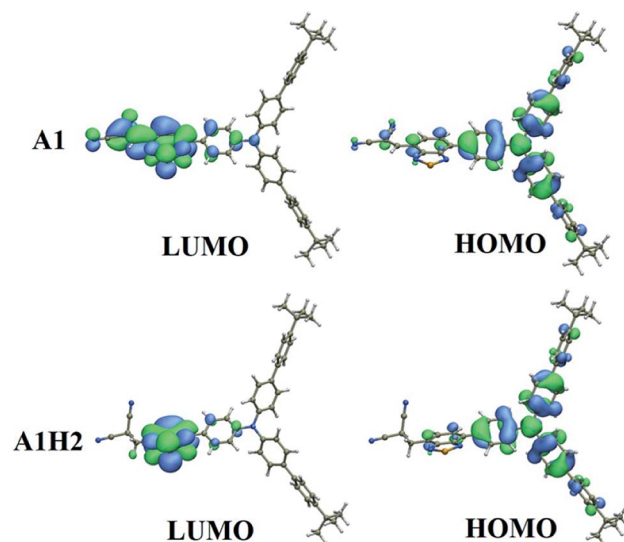


Fig. 2 Calculated molecular orbital amplitude plots of HOMO and LUMO levels for A1 and A1H2.

In the meantime, it is noteworthy that the reductive product A1H2 exhibits large Stokes shift up to 190 nm, which is beneficial for circumventing fluorescence quenching and eliminating background interference.<sup>11</sup>

To better understand the properties of A1H2, theoretical calculations were performed using the density functional theory (DFT) method at the B3LYP/6-31G (d)\* level.<sup>12</sup> A1 is also calculated for comparison. For both compounds, the HOMO levels are mainly localized over the TPA framework, and also partly distributed on the BT unit and the adjacent groups, while LUMO is primarily distributed on the acceptor moieties such as BT and malononitrile (Fig. 2). The HOMO–LUMO band gaps are calculated to be 2.15 and 2.77 eV for A1 and A1H2, respectively, which fit well with the experimental results, as calculated from the onset wavelength in the absorption spectrum (Table 1).<sup>13</sup> Compared to A1, the hydrogenation of the dicyanovinyl group leads to weaker electron-withdrawing ability, and thus the energy bandgap of A1H2 is larger than that of A1. On the other hand, the electron affinity of A1 and A1H2 are calculated to be 3.25 and 2.39 respectively, suggesting that A1 has a stronger tendency to accept electrons in comparison with A1H2.

Since A1H2 is strongly emitting in the solid state, the emission properties of A1H2 are also studied in THF/water mixtures,



as shown in Fig. 1b. In THF, A1H2 exhibits light purple emission with the maximum band at 643 nm. Upon gradual addition of water into THF ( $f_w \leq 60\%$ ,  $f_w$  stands for water fraction in THF-water mixtures), the emission of A1H2 is quenched dramatically. Whereas, fluorescence intensity increases with continuing increase in  $f_w$ , indicating aggregation induced emission enhancement feature of A1H2.<sup>3e,14</sup> The emission intensity reaches the maximum when water fraction is 95%. It is noteworthy that the absolute fluorescence quantum yields ( $\Phi_F$ ) of A1H2 in THF-water ( $f_w = 95\%$ ) is as high as 35.8%, which is a relatively high value among previously reported red-emissive AIE molecules.<sup>2b,15</sup> Additionally, the absorption spectra is conducted at different water/THF concentrations, as shown in Fig. S6.† It is clear that the absorption maximum exhibits a slight blue shift with water fraction increasing to 50%. This blue shift originates from the formation of H-aggregates, which leads to emission quenching. When more water is added, the intramolecular rotations are further restricted contributing to the enhanced light emission.<sup>16</sup> In the meantime, molecules get more distorted in the aggregation state. Thus, the weakened intermolecular interaction with the increase of water fraction should be responsible for the blue shift of emission wavelength in the nanoparticles. Given the merits of red emission and high fluorescence efficiency, A1H2 has great potential to be a candidate for bio-imaging applications.

A1H2-NPs are prepared using a reprecipitation method.<sup>1b</sup> Fig. 3a shows the absorption and emission spectral of A1H2-NPs dispersed in water. The absorption peak of the A1H2 nanoparticles exhibits a slight bathochromic shift of  $\sim 15$  nm relative to that measured in THF, owing to the formation of *J*-aggregates.<sup>17</sup> While the emission maximum of A1H2-NPs appears at 616 nm, corresponding to a blue-shift of 27 nm compared with that measured in THF. The blue-shift in emission maximum is probably ascribed to the more twisted

conformation of A1H2 molecules in the nano-aggregates.<sup>3g,18</sup> Dynamic light scattering (DLS) measurements reveal that the nanoparticles formed have a volume averaged hydrodynamic diameter of 141.7 nm with a PDI of 0.192 (Fig. 3b). Zeta-potential of A1H2-NPs in aqueous solution is measured to be  $-35.0$  mV. The high negative surface of the NPs demonstrates the formation of hydrogen bonds between H<sub>2</sub>O and the N atoms in benzothiadiazole and malononitrile moieties.<sup>19</sup> Definitely, the negative surface will improve the dispersion stability of the nanoparticles due to the electrostatic repulsion effect. The morphology of A1H2-NPs is further investigated using TEM, as shown in Fig. 3b. Spherical nanoparticles with diameters of around 70 nm are observed.

The size and PDI values of the as-prepared NPs are monitored for 7 days in a row, as shown in Fig. 3c. It is observed that the size of NPs remains around 140 nm from DLS results, and PDI is still below 0.20. Therefore, the as-prepared NPs could be stably dispersed in water and maintained for a long period. On the other hand, the stability of A1H2-NPs in buffer solutions at different pH values is also examined (Fig. S7†). Fluorescence intensity of the NPs does not show significant changes with pH ranging from 2 to 9, demonstrating that the A1H2-NPs have excellent physiological performance over a wide range of pH values. These results make A1H2-NPs favourable for bio-imaging applications. Fig. 3d shows the cell viabilities after incubation with the A1H2-NPs suspension at different concentrations ranging from 10 to 50  $\mu\text{g mL}^{-1}$  for 24 and 48 h, respectively. Little change in cell viability is detected in these conditions, suggesting that the A1H2-NPs have almost no cytotoxicity toward HeLa cells even at a high concentration of 50  $\mu\text{g mL}^{-1}$  for 48 h.

Fluorescence imaging is performed on HeLa cells to verify the cellular uptake and localization of the A1H2-NPs. DAPI was

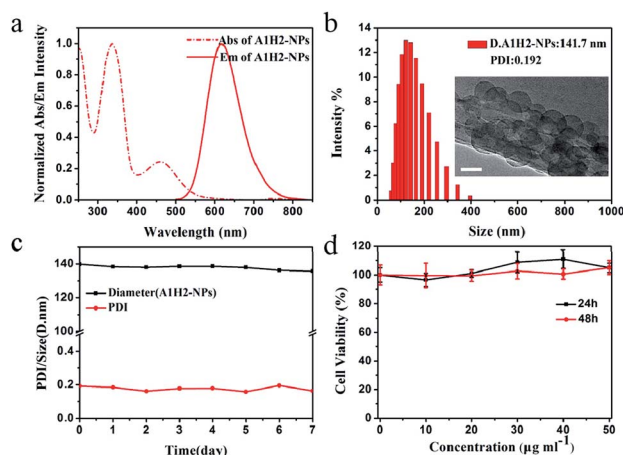


Fig. 3 (a) Normalized UV-vis absorption and PL spectra of A1H2-NPs dispersed in water. (b) The size distribution, diameter and PDI value of the as-prepared A1H2-NPs measured by dynamic light scattering (DLS). Inset: TEM image of A1H2-NPs morphology (scale bar = 50 nm). (c) Size-stabilities including diameters and PDI values of the A1H2-NPs. (d) Cell viability of HeLa cells after incubation with 0, 10, 20, 30, 40 and 50  $\mu\text{g mL}^{-1}$  A1H2-NPs for 24 h, 48 h, respectively.

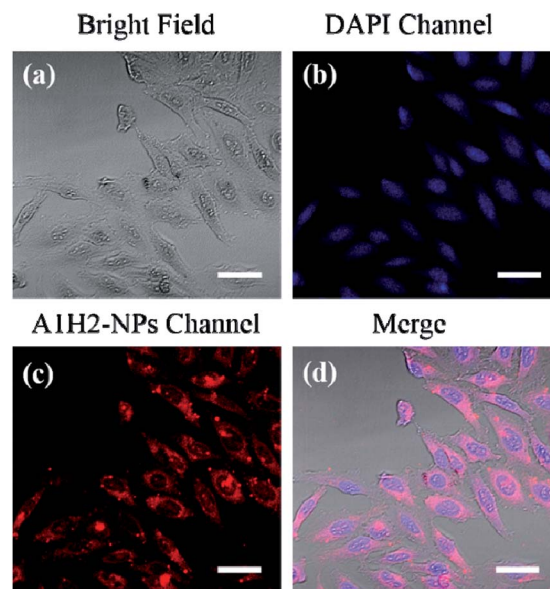


Fig. 4 Cellular imaging of HeLa cells stained with both A1H2-NPs and DAPI: (a) bright-field image, (b) blue fluorescence channel (DAPI channel), (c) red fluorescence channel (A1H2-NPs channel), (d) merged image from (a)–(c) (scale bar = 50  $\mu\text{m}$ ).





chosen to stain the nuclei before cellular imaging. When DAPI molecules and A1H2-NPs were used in cell staining, blue fluorescence from DAPI channel could be observed in the cell nucleus, and the red fluorescence is detected in the cytoplasm of the HeLa cells using A1H2-NPs (Fig. 4b and c). The merged image in Fig. 4d suggests the excellent overlapping. These results indicate that the as-prepared A1H2-NPs are biocompatible with HeLa cells and can function as an effective visualizer for cellular cytoplasm imaging.

## Conclusions

In summary, a new red fluorophore A1H2 is synthesized through an unexpected "one-step" protocol. Strong electron-withdrawing group adjacent to dicyanovinyl is demonstrated to be a prerequisite structural requirements. Amine with  $\alpha$ -H is also an important factor for the reduction to take place. A1H2 exhibits bright red emission in solid state, with a high fluorescence quantum yield. A1H2-NPs are prepared, and are successfully applied for cellular cytoplasm imaging. The discovery in this work may provide new insight into realizing red emission fluorophore with aggregation enhanced emission feature.

## Experimental section

### General methods

The materials used in experiments were purchased from J&K Chemical or HWRK Chem Co. and were used without further purification. Tetrahydrofuran (THF) and toluene were distilled over Na-K alloy under argon ambience prior to use. Other solvents were provided by local agents. The  $^1\text{H}$  NMR and  $^{13}\text{C}$  NMR spectra were recorded on a BRUKER AVANCE III 600 MHz NMR Instrument (in  $\text{CDCl}_3$ ). HRMS was performed on a Thermo Scientific Q Exactive instrument. High Performance Liquid Chromatography (HPLC) was performed on a QS-C18 column with mobile phase of acetonitrile at a flow rate of  $1.0\text{ mL min}^{-1}$ . UV-vis absorption spectra were measured on a LAMBDA 950 UV/Vis/NIR Spectrophotometer. FL spectra were studied on Hitachi F-7000 spectrophotometer. The size distributions and Zeta-potential of the NPs in aqueous solution were measured by the dynamic light scattering technique with a Malvern Zetasizer Nano ZS90. The morphology of the NPs was observed using a JSM-2100. Fluorescence quantum yields were measured using an absolute PL quantum yield spectrometer C11347 Hamamatsu.

### General procedure for the preparation of A1

To a solution of A1-3 (300 mg, 0.45 mmol) and malononitrile (90 mg, 1.36 mmol) in 15 mL of toluene was added 0.20 mL of triethylamine. After being stirred at  $90\text{ }^\circ\text{C}$  for 1 h under argon atmosphere, the reaction mixture was poured into water and then extracted with  $\text{CH}_2\text{Cl}_2$ . The organic extract was dried over anhydrous  $\text{Na}_2\text{SO}_4$  and was further purified by column chromatography using a dichloromethane/hexanes (1 : 1) mixture as the eluent. Compound A1 was isolated as a dark powder in 68%

yield.  $^1\text{H}$  NMR (600 MHz,  $\text{CDCl}_3$ )  $\delta$  8.83 (s, 1H), 8.79 (d,  $J$  = 7.8 Hz, 1H), 8.02 (d,  $J$  = 9.0 Hz, 2H), 7.88 (d,  $J$  = 7.8 Hz, 1H), 7.57 (dd,  $J$  = 12.5, 8.5 Hz, 8H), 7.48 (d,  $J$  = 9.0 Hz, 4H), 7.30 (d,  $J$  = 9.0 Hz, 6H), 1.37 (s, 18H).  $^{13}\text{C}$  NMR (150 MHz,  $\text{CDCl}_3$ )  $\delta$  153.63, 151.74, 149.24, 148.67, 144.69, 138.97, 136.48, 135.96, 129.84, 129.74, 127.70, 127.00, 125.44, 124.92, 124.76, 124.67, 120.91, 120.63, 112.91, 112.13, 81.33, 33.53, 30.36. MALDI-TOF-MS: calcd for  $\text{C}_{48}\text{H}_{41}\text{N}_5\text{S}$ : 719.3077, found 719.3079.

### Synthesis of compound A1H2

A1H2 was synthesized following the same method as that of A1. Except it was reacted for another 19 h. Reddish powder in 37% yield.  $^1\text{H}$  NMR (600 MHz,  $\text{CDCl}_3$ )  $\delta$  7.87 (d,  $J$  = 8.4 Hz, 2H), 7.69 (d,  $J$  = 7.2 Hz, 1H), 7.66 (d,  $J$  = 7.2 Hz, 1H), 7.54 (d,  $J$  = 8.4 Hz, 8H), 7.46 (d,  $J$  = 7.8 Hz, 4H), 7.29 (d,  $J$  = 8.4 Hz, 2H), 7.27 (s, 2H), 7.25 (s, 2H), 4.76 (t,  $J$  = 7.8 Hz, 1H), 3.82 (d,  $J$  = 7.8 Hz, 2H), 1.37 (s, 18H).  $^{13}\text{C}$  NMR (150 MHz,  $\text{CDCl}_3$ )  $\delta$  153.32, 152.71, 149.04, 147.37, 146.23, 136.64, 136.21, 133.93, 130.04, 129.14, 129.08, 126.86, 125.64, 125.39, 124.72, 124.09, 122.72, 121.98, 111.31, 33.51, 33.43, 30.37, 21.66. HRMS (APCI): calcd for  $\text{C}_{48}\text{H}_{44}\text{N}_5\text{S} [\text{M} + \text{H}]^+$ : 722.3319, found 722.3331.

### Preparation of the A1H2-NPs

A1H2-NPs were prepared using a reported reprecipitation method. Two hundred microliters of the A1H2/THF solution ( $1\text{ mg mL}^{-1}$ ) was quickly added to 5 mL of deionized water under vigorous stirring for 10 min at room temperature. And then, the A1H2-NPs suspension was sonicated for another 30 min under room temperature. The residual THF was removed by stirring slowly for two days.

### Cytotoxicity by prestoblue assay

The cytotoxicity of The A1H2-Nps against HeLa cells was assessed by Prestoblue Cell Viability reagent (invitrogen, USA). The HeLa cells were plated in 96-well plates. After growing overnight, cells were treated with 200  $\mu\text{L}$  A1H2-NPs at various concentrations (10, 20, 30, 40 and  $50\text{ }\mu\text{g mL}^{-1}$ ) for 24 and 48 h at  $37\text{ }^\circ\text{C}$  in the presence of 5%  $\text{CO}_2$ , and then the original medium in each well was removed. Subsequently, 100  $\mu\text{L}$  of the PrestoBlue® reagent was diluted to a final concentration of 10% and was added to the wells, and the cells were incubated for 1 h. PrestBlue assay was employed to measure the cell viability of samples. Resazurin, a non-fluorescent blue compound in PrestoBlue® reagent with a maximum absorption at 600 nm can be reduced by living cells to resorufin with a maximum absorption at 570 nm, which shows a red emission. Cell viability can be calculated relative to the control cells without treatment, by measuring the absorbance at 570 and 600 nm, respectively.

### Live cell imaging experiments

HeLa cells were cultured with DMEM containing 10% FBS in  $37\text{ }^\circ\text{C}$  and 5%  $\text{CO}_2$  with a humidified atmosphere. Then, the cells were seeded on six well plates and allowed to adhere at  $37\text{ }^\circ\text{C}$  and 5%  $\text{CO}_2$  for overnight. The A1H2-NPs in PBS buffer as control were added to each plate (final concentration [A1H2-



NPs] = 20  $\mu\text{g mL}^{-1}$ ). The medium was removed after incubation at 37 °C for 4 h, and the plates were washed several times with PBS buffer. Before the treated cells were fixed, the cell nuclei were stained by 4',6-diamidino-2-phenylindole (DAPI) for 20 min. Finally, fluorescent images were observed with Zeiss LSM 510 confocal laser scanning microscope.

## Conflicts of interest

There are no conflicts to declare.

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