

## PAPER

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# Substituted L-tryptophan-L-phenyllactic acid conjugates produced by an endophytic fungus *Aspergillus aculeatus* using an OSMAC approach†

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The endophytic fungus *Aspergillus aculeatus* isolated from leaves of the papaya plant *Carica papaya* was fermented on solid rice medium, yielding a new L-tryptophan-L-phenyllactic acid conjugate (**1**) and thirteen known compounds (**11**, **14**–**25**). In addition, an OSMAC approach was employed by adding eight different sodium or ammonium salts to the rice medium. Addition of 3.5% NaNO<sub>3</sub> caused a significant change of the metabolite pattern of the fungus as indicated by HPLC analysis. Subsequent isolation yielded several new substituted L-tryptophan-L-phenyllactic acid conjugates (**1**–**10**) in addition to three known compounds (**11**–**13**), among which compounds **2**–**10**, **12**–**13** were not detected in the rice control culture. All structures were unambiguously elucidated by one and two dimensional NMR spectroscopy and by mass spectrometry. The absolute configuration of the new compounds was determined by Marfey's reaction and X-ray single crystal diffraction. Compounds **19**–**22** showed cytotoxicity against the L5178Y mouse lymphoma cell line with IC<sub>50</sub> values of 3.4, 1.4, 7.3 and 23.7 μM, respectively.

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## Introduction

Endophytic fungi thrive widely in different healthy tissues of living plants, and have significant influence on the growth of their hosts.<sup>1,2</sup> Endophytes also comprise a large reservoir of structurally diverse secondary metabolites including alkaloids, steroids, terpenoids, xanthenes, peptides and quinones, which exhibit a variety of biological activities including anticancer, antibacterial, antifungal, anti-inflammatory and antidepressant

activity.<sup>2,3</sup> For example, 14-membered macrolides isolated from the endophytic fungus *Pestalotiopsis microspora* showed significant cytotoxicity against the murine lymphoma cell line L5178Y while fusaric acid derivatives produced by the fungal endophyte *Fusarium oxysporum* showed significant phytotoxicity to leaves of barley.<sup>4,5</sup> However, the high rediscovery rate of known compounds from fungi is a severe obstacle for the search for new drug leads.<sup>6</sup> One of the approaches to increase the diversity of metabolites from fungi involves the application of the OSMAC (One Strain Many Compounds) method which is based on systematic variations of the cultivation parameters (media type and composition, pH value, temperature *etc.*).<sup>7–9</sup> For instance, the fermentation of the fungus *Gymnascella dankaliensis* on solid rice medium following addition of 3.5% NaBr led to the isolation of ten brominated tyrosine-derived alkaloids, which showed cytotoxicity against the L5178Y mouse lymphoma cell line.<sup>10,11</sup> In addition, cultivation of *Fusarium tricinctum* on fruit and vegetable juice-supplemented solid rice media that led to the production of the new fusarielins K and L.<sup>12</sup>

The fungus *Aspergillus aculeatus* has been reported to produce several bioactive secondary metabolites, such as secalonic acids D and F, aculeatusquinone B and D, aculeacins A–G and aspergillusol A.<sup>13–17</sup> In this study, *A. aculeatus* was isolated from leaves of *Carica papaya* collected in Awka in Nigeria. The fungus was fermented on solid rice medium, yielding a new L-tryptophan-L-phenyllactic acid conjugate (**1**) together with thirteen known compounds including N-[(2S)-2-hydroxy-1-oxo-3-

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phenylpropyl]-L-tryptophan methyl ester (**11**),<sup>18</sup> okaramine A (**14**),<sup>19</sup> oxaline (**15**),<sup>20</sup> emindole SB (**16**),<sup>21</sup> 16-keto-aspergillimide (**17**),<sup>22</sup> JBIR 75 (**18**),<sup>23</sup> secalonc acids D and F (**19** and **20**),<sup>13</sup> asperdichrome (**21**),<sup>24</sup> RF 3192C (**22**),<sup>25</sup> pannorin (**23**),<sup>26</sup> altechromone A (**24**),<sup>27</sup> and varicolactone (**25**).<sup>28</sup> Due to the pronounced chemical diversity of this fungus we decided to subject *A. aculeatus* to an OSMAC approach by adding either 3.5% NaCl, 3.5% NaBr, 3.5% NaI, 1% NaF, 3.5% NaNO<sub>3</sub>, 3.5% NH<sub>4</sub>Cl, 3.5% (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> or 3.5% NH<sub>4</sub>OAc to the rice medium. The selection of these salts for the OSMAC study was based on previous experiments with other fungi that had indicated the usefulness of these chemical stimuli for the accumulation of cryptic metabolites.<sup>10,11,29</sup> The fungus did not grow on rice medium containing 1% NaF or 3.5% NH<sub>4</sub>OAc. Addition of 3.5% NaNO<sub>3</sub>, however, caused a significant change of the metabolite pattern as indicated by HPLC analysis. Addition of the remaining salts had no influence. Subsequent workup of the extract resulting from addition of 3.5% NaNO<sub>3</sub> yielded several new substituted L-tryptophan-L-phenyllactic acid conjugates (**1–10**) in addition to three known analogues *N*-[(2*S*)-2-hydroxy-1-oxo-3-phenylpropyl]-L-tryptophan methyl ester (**11**), *N*-[(2*S*)-2-hydroxy-1-oxo-3-phenylpropyl]-L-tryptophan (**12**) and acudioxomorpholine (**13**).<sup>18</sup> Compounds **2–10**, **12–13** were not detected in the rice control culture. On the other hand, from all compounds isolated from the rice control culture, only oxaline (**15**), JBIR 75 (**18**), secalonc acid F (**20**) and RF 3192C (**22**) could be detected in the fungal culture after addition of 3.5% NaNO<sub>3</sub>. In this study we report the structure elucidation and the biological activities of the isolated compounds.

## Results and discussion

Compound **1** had the molecular formula C<sub>22</sub>H<sub>24</sub>O<sub>4</sub>N<sub>2</sub> as established by HRESIMS exhibiting 12 degrees of unsaturation. The <sup>13</sup>C NMR spectrum of **1** (Table 1) included 22 signals, corresponding to two methyls, two methylenes, two aliphatic methines and ten aromatic methines as well as six quaternary carbons. The <sup>1</sup>H NMR spectrum of **1** (Table 2) showed the presence of a monosubstituted benzene ring and an indole moiety as indicated by signals between δ<sub>H</sub> 6 and 8, two –CH<sub>2</sub>–CH– units and two methyl groups (δ<sub>H</sub> 3.70 and 3.62). These data were similar to those of the co-isolated known compound *N*-[(2*S*)-2-hydroxy-1-oxo-3-phenylpropyl]-L-tryptophan methyl ester (**11**).<sup>18</sup> However, the detection of an additional methyl substituent at δ<sub>C</sub> 32.7 and δ<sub>H</sub> 3.70 and the HMBC correlation from the protons of this methyl group to C-10 (δ<sub>C</sub> 138.5) and C-12 (δ<sub>C</sub> 129.0) indicated its attachment to N-11. Detailed analysis of the 2D NMR spectra of **1** (Fig. 2) revealed that its remaining substructure was identical to that of **11**. The absolute configuration of **1** was determined by X-ray single crystal analysis as 2*S*, 2'*S* (Fig. 3), being identical to that of **11**. Thus, the structure of **1**, for which the trial name aculeatine A is proposed, was elucidated as shown in Fig. 1.

Compound **2** possessed the molecular formula C<sub>21</sub>H<sub>22</sub>O<sub>4</sub>N<sub>2</sub> as determined by HRESIMS, which indicated the loss of a methyl group compared to **1**. This was confirmed by the absence of the <sup>1</sup>H and <sup>13</sup>C signals of the methoxy group attached to C-1 in the NMR spectra of **2** (Tables 1 and 2). The structure of

Table 1 <sup>13</sup>C NMR data of compounds **1–5** (CD<sub>3</sub>OD, 150 MHz)

No.	1	2	3	4	5
1	173.4, C	174.8, C	173.9, C	173.8, C	173.5, C
2	53.7, CH	53.7, CH	55.3, CH	54.3, CH	53.6, CH
3	28.4, CH <sub>2</sub>	28.4, CH <sub>2</sub>	29.2, CH <sub>2</sub>	28.0, CH <sub>2</sub>	28.5, CH <sub>2</sub>
4	109.2, C	109.5, C	107.5, C	106.0, C	109.4, C
5	129.1, C	129.4, C	130.3, C	129.1, C	129.4, C
6	119.6, CH	119.8, CH	119.2, CH	119.0, CH	119.6, CH
7	119.9, CH	119.9, CH	120.1, CH	120.0, CH	120.0, CH
8	122.6, CH	122.5, CH	122.7, CH	122.0, CH	122.5, CH
9	110.2, CH	110.1, CH	109.7, CH	109.7, CH	110.6, CH
10	138.5, C	138.5, C	139.0, C	138.4, C	137.8, C
12	129.0, CH	129.0, CH	142.2, C	138.8, C	127.6, CH
13	32.7, CH <sub>3</sub>	32.7, CH <sub>3</sub>	33.8, CH <sub>3</sub>	30.0, CH <sub>3</sub>	
14			41.9, C	24.8, CH <sub>2</sub>	44.8, CH <sub>2</sub>
15			149.1, CH	122.4, CH	121.5, CH
16			112.8, CH <sub>2</sub>	133.9, C	137.1, C
17			30.0, CH <sub>3</sub>	25.8, CH <sub>3</sub>	25.8, CH <sub>3</sub>
18			29.9, CH <sub>3</sub>	18.1, CH <sub>3</sub>	18.1, CH <sub>3</sub>
1'	175.8, C	175.8, C	176.0, C	176.1, C	175.9, C
2'	73.4, CH	73.6, CH	73.7, CH	73.7, CH	73.5, CH
3'	41.4, CH <sub>2</sub>	41.5, CH <sub>2</sub>	41.2, CH <sub>2</sub>	41.4, CH <sub>2</sub>	41.6, CH <sub>2</sub>
4'	138.8, C	139.0, C	139.1, C	138.9, C	138.9, C
5', 9'	130.9, CH	130.9, CH	130.6, CH	130.6, CH	130.9, CH
6', 8'	129.1, CH	129.1, CH	129.0, CH	129.0, CH	129.1, CH
7'	127.5, CH	127.5, CH	127.3, CH	127.4, CH	127.5, CH
OMe	52.8, CH <sub>3</sub>		52.6, CH <sub>3</sub>	52.8, CH <sub>3</sub>	52.8, CH <sub>3</sub>

**2** was confirmed by detailed analysis of the 2D NMR spectra. Its absolute configuration was determined as 2*S*, 2'*S* by X-ray single crystal analysis (Fig. 3).

The molecular formula of compound **3** was determined as C<sub>27</sub>H<sub>32</sub>O<sub>4</sub>N<sub>2</sub> by HRESIMS. The <sup>13</sup>C NMR spectrum of **3** exhibited five additional carbons compared to **1**. The <sup>1</sup>H NMR spectrum of **3** was similar to that of compound **1** except for the observation of two singlet methyls at δ<sub>H</sub> 1.66 (Me-17 and 18) and signals of a terminal double bond at δ<sub>H</sub> 6.23 (H-15), 5.11 and 4.98 (H<sub>2</sub>-16) as well as the absence of the singlet aromatic proton at C-12. The COSY correlations between H-15 and H<sub>2</sub>-16 together with the HMBC correlations from Me-17 and Me-18 to C-12 (δ<sub>C</sub> 142.2), C-14 (δ<sub>C</sub> 41.9) and C-15 (δ<sub>C</sub> 149.1) and from Me-13 (δ<sub>H</sub> 3.72) to C-12 and C-10 (δ<sub>C</sub> 139.0) indicated the attachment of a 1,1-dimethylprop-2-en-1-yl side chain at C-12. Detailed analysis of the 2D NMR spectra of **3** (Fig. 2) revealed that its remaining substructure was identical to that of **1**.

Compound **4** had the same molecular formula as **3**. The <sup>1</sup>H and <sup>13</sup>C NMR data were also similar to those of **3**. The appearance of a broad triplet proton (δ<sub>H</sub> 5.11, H-15) and one methylene group (δ<sub>H</sub> 3.48 and 3.44, H<sub>2</sub>-14) together with two olefinic methyl groups (δ<sub>H</sub> 1.74 and 1.82, Me-17 and 18) in the <sup>1</sup>H NMR spectrum of **4** suggested the existence of a 3-methyl-but-2-en-1-yl group at C-12, which was confirmed by the COSY correlations between H-15 and H<sub>2</sub>-14 together with the HMBC correlations from Me-17 and 18 to C-15 (δ<sub>C</sub> 122.4) and C-16 (δ<sub>C</sub> 133.9), and from H<sub>2</sub>-14 to C-4 (δ<sub>C</sub> 106.0) and C-12 (δ<sub>C</sub> 138.8) (Fig. 2).

Compound **5** was isolated as white powder. Its molecular formula was established as C<sub>26</sub>H<sub>30</sub>O<sub>4</sub>N<sub>2</sub> by HRESIMS. The <sup>1</sup>H and <sup>13</sup>C NMR spectra of **5** were similar to those of **4** except for



Table 2  $^1\text{H}$  NMR data of compounds 1–5 ( $\text{CD}_3\text{OD}$ , 600 MHz)

No.	1	2	3	4	5
2	4.74, dd (6.2, 5.4)	4.71, dd (6.2, 5.2)	4.69, dd (8.5, 7.3)	4.65, dd (7.2, 6.6)	4.74, dd (6.1, 5.4)
3	3.21, dd (14.6, 6.2), 3.07, dd (14.6, 5.4)	3.24, dd (14.7, 6.2), 3.14, dd (14.7, 5.2)	3.45, dd (14.9, 7.3), 3.26, dd (14.9, 8.5)	3.15, dd (14.7, 6.6), 3.10, dd (14.7, 7.2)	3.22, dd (14.7, 6.1), 3.05, dd (14.7, 5.4)
6	7.41, d (7.9)	7.49, d (7.9)	7.50, d (7.8)	7.40, d (7.8)	7.40, d (7.9)
7	7.03, dd (7.9, 7.2)	7.02, dd (7.9, 7.2)	7.07, dd (7.8, 7.2)	7.02, dd (7.8, 7.1)	7.02, dd (7.9, 7.1)
8	7.15, dd (8.2, 7.2)	7.14, dd (8.2, 7.2)	7.14, dd (8.1, 7.2)	7.10, dd (8.2, 7.1)	7.13, dd (8.2, 7.1)
9	7.29, d (8.2)	7.29, d (8.2)	7.25, d (8.1)	7.26, d (8.2)	7.29, d (8.2)
12	6.61, s	6.66, s			6.71, s
13	3.70, s	3.70, s	3.72, s	3.60, s	
14				3.48, dd (16.6, 6.6), 3.44, dd (16.6, 6.6)	4.66, d (6.9)
15			6.23, dd (17.5, 10.6)	5.11, br t (6.6)	5.32, br t (6.9)
16			5.11, d (10.6), 4.98, d (17.5)		
17			1.66, s	1.74, s	1.74, s
18			1.66, s	1.82, s	1.83, s
2'	4.24, dd (7.1, 4.0)	4.22, dd (7.4, 3.8)	4.10, dd (8.1, 3.7)	4.17, dd (7.8, 3.8)	4.22, dd (7.3, 3.9)
3'	2.97, dd (13.9, 4.0), 2.78, dd (13.9, 7.1)	2.96, dd (13.9, 3.8), 2.73, dd (13.9, 7.4)	2.64, dd (13.9, 3.7), 2.24, dd (13.9, 8.1)	2.85, dd (13.9, 3.8), 2.56, dd (13.9, 7.8)	2.96, dd (13.9, 3.9), 2.76, dd (13.9, 7.3)
5', 9'	7.20, d (7.0)	7.19, t (7.1)	7.05, d (7.1)	7.11, d (7.2)	7.20, d (7.2)
6', 8'	7.24, t (7.0)	7.22, d (7.1)	7.15, t (7.1)	7.16, t (7.2)	7.23, t (7.2)
7'	7.17, t (7.0)	7.17, t (7.1)	7.12, t (7.1)	7.12, t (7.2)	7.17, t (7.2)
OMe	3.62, s		3.49, s	3.60, s	3.63, s

the appearance of a singlet methine at  $\delta_{\text{H}}$  6.70 (H-12) and the absence of the methyl group attached to N-11. The COSY correlations between H-15 ( $\delta_{\text{H}}$  5.32) and H<sub>2</sub>-14 ( $\delta_{\text{H}}$  4.66) along with the HMBC correlations from H-14 to C-10 ( $\delta_{\text{C}}$  137.8) and C-12 ( $\delta_{\text{C}}$  127.6), and from both Me-17 ( $\delta_{\text{H}}$  1.74) and Me-18 ( $\delta_{\text{H}}$  1.83) to C-15 ( $\delta_{\text{C}}$  121.5) and C-16 ( $\delta_{\text{C}}$  137.1) indicated the presence of a 3-methyl-but-2-en-1-yl residue and its attachment to N-11 of compound 5 (Fig. 4).

The molecular formula of 6 was determined as  $\text{C}_{25}\text{H}_{28}\text{O}_4\text{N}_2$ , indicating the lack of a methyl group compared to 5, which was confirmed by the absence of signals of the methoxy group at C-1 compared to 5 (Tables 3 and 4). The remaining substructure of 6 was unambiguously elucidated to be identical to that of 5 by detailed analysis of 2D NMR spectra.

Compound 7 possessed the molecular formula  $\text{C}_{27}\text{H}_{32}\text{O}_4\text{N}_2$  as deduced from the HRESIMS data. The  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra of 7 were similar to those of 4, revealing the presence of one monosubstituted benzene ring, an indole moiety and a 3-methyl-but-2-en-1-yl side chain. However, the appearance of an aromatic proton signal at  $\delta_{\text{H}}$  6.82 (H-7), which was split to a doublet, suggested that the 3-methyl-but-2-en-1-yl group was substituted on the benzene ring of the tryptophan moiety. The HMBC correlation from H<sub>2</sub>-14 ( $\delta_{\text{H}}$  3.73) to C-5 ( $\delta_{\text{C}}$  126.8), C-6 ( $\delta_{\text{C}}$  135.4) and C-7 ( $\delta_{\text{C}}$  120.7), and from H-7 to C-5, C-9 ( $\delta_{\text{C}}$  108.5) and C-14 ( $\delta_{\text{C}}$  33.3) indicated the 3-methyl-but-2-en-1-yl group to be located at C-6. The structure of 7 was determined by analysis of the 2D NMR spectra as shown in Fig. 4.

Compound 8 possessed the molecular formula  $\text{C}_{26}\text{H}_{30}\text{O}_4\text{N}_2$  as established by HRESIMS, thus lacking a methyl group compared to 7. The  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra were almost identical to those of 7 except for the absence of signals of the methoxy group at C-1, which was further confirmed by detailed analysis of the 2D NMR data of 8.

Compound 9 had the molecular formula  $\text{C}_{26}\text{H}_{32}\text{O}_5\text{N}_2$  as determined by HRESIMS, corresponding to 12 degrees of unsaturation. The  $^1\text{H}$  NMR spectra of 9 (Table 4) revealed the presence of a 1,2,4-trisubstituted benzene ring and a mono-substituted benzene ring, suggesting a substituent at C-7 or C-8 of the indole moiety. A 3-hydroxy-3-methylbutyl moiety was established based on the correlation between H<sub>2</sub>-14 ( $\delta_{\text{H}}$  2.77) and H<sub>2</sub>-15 ( $\delta_{\text{H}}$  1.80) together with the HMBC correlations from Me-17 ( $\delta_{\text{H}}$  1.24) and Me-18 ( $\delta_{\text{H}}$  1.25) to C-16 ( $\delta_{\text{C}}$  71.4) and C-15 ( $\delta_{\text{C}}$  47.8). In addition, the HMBC correlation from H<sub>2</sub>-14 to C-6 ( $\delta_{\text{C}}$  118.9), C-7 ( $\delta_{\text{C}}$  134.4) and C-8 ( $\delta_{\text{C}}$  123.5), from H-6 ( $\delta_{\text{H}}$  7.36) to C-4 ( $\delta_{\text{C}}$  109.4), C-8, C-10 ( $\delta_{\text{C}}$  137.1) and C-14 ( $\delta_{\text{C}}$  32.0), and from H-8 ( $\delta_{\text{H}}$  7.02) to C-6, C-10 and C-14 indicated the 3-hydroxy-3-methylbutyl moiety to be attached at C-7 (Fig. 4).

Compound 10 shared the same molecular formula as 9. The  $^1\text{H}$  NMR data of 10 resembled those of compound 9 except for the chemical shifts of the aromatic protons of the indole moiety. The HMBC correlation from H<sub>2</sub>-14 ( $\delta_{\text{H}}$  2.78) to C-7 ( $\delta_{\text{C}}$  121.0), C-8 ( $\delta_{\text{C}}$  137.7) and C-9 ( $\delta_{\text{C}}$  109.4), from H-7 ( $\delta_{\text{H}}$  6.91) to C-5 ( $\delta_{\text{C}}$  127.7), C-9 and C-14 ( $\delta_{\text{C}}$  32.3), and from H-9 ( $\delta_{\text{H}}$  7.11) to C-5, C-7 and C-14 confirmed that the 3-hydroxy-3-methylbutyl moiety was located at the C-8 position. The remaining substructure of 10 was the same as that of 9 as confirmed by detailed analysis of 2D NMR spectra of 10 (Fig. 4).

Compounds 3–10 share the same core structure with compounds 1 and 2, for which the absolute configuration had been assigned through X-ray analysis. Hence it is concluded on biogenetic terms that the absolute configuration of the former compounds is also 2S, 2'S. Compounds 11 and 12 were determined to have 2S absolute configuration by Marfey's reaction. Based on the close biogenetic similarity, the absolute configuration at C-2' of the latter two compounds is assumed to be S.



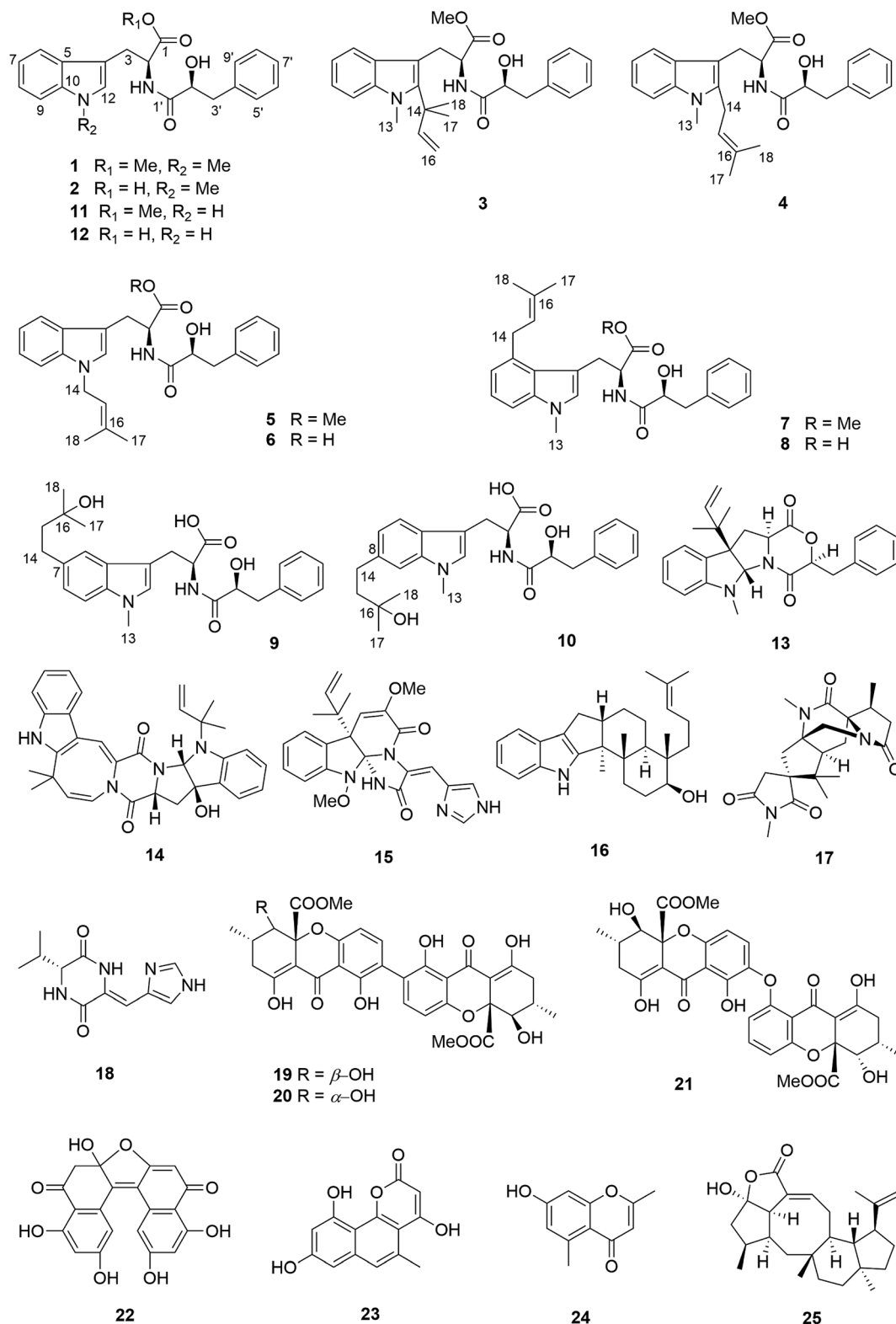


Fig. 1 Structures of compounds 1–25 isolated from *A. aculeatus*.

A plausible biosynthetic pathway of the substituted L-tryptophan-L-phenyllactic acid conjugates obtained from *A. aculeatus* is proposed to start from L-tryptophan and phenylpyruvate. The important intermediate **12** is suggested to be formed by

a condensation reaction between L-tryptophan and L-phenyllactic acid. The indole metabolites isolated in this study could be produced by further methylation of **12** and prenylation of the indole nucleus of tryptophan as shown in Fig. 5.



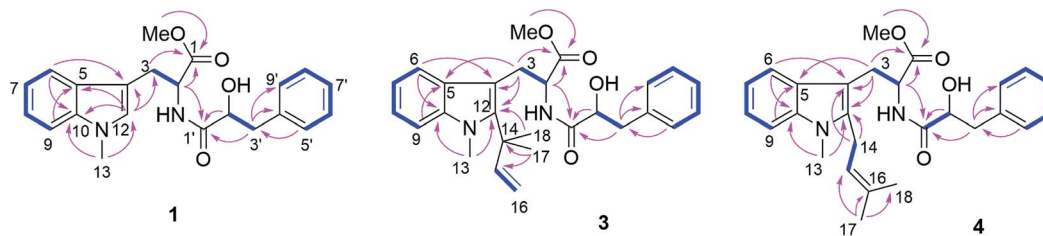


Fig. 2 COSY and key HMBC correlations of compounds 1, 3 and 4.

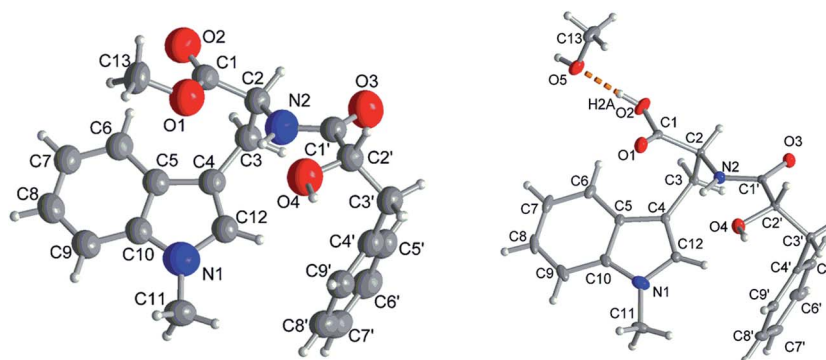


Fig. 3 Molecular structures of 1 and 2 from single-crystal X-ray diffractometry (50% thermal ellipsoids, H-atoms with arbitrary radii). The structure drawing of 2 shows also the hydrogen bond (orange dashed line) to the methanol crystal solvent molecule.

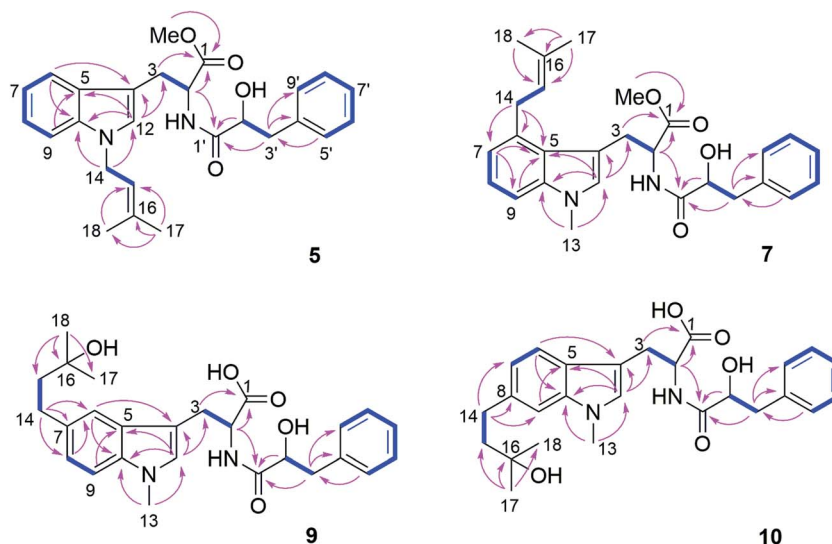


Fig. 4 COSY and key HMBC correlations of compounds 5, 7, 9 and 10.

All isolated compounds (1–25) were evaluated for their cytotoxicity against the L5178Y mouse lymphoma cell line. Secalonic acids D and F (19 and 20), asperdichrome (21) and RF 3192C (22) showed cytotoxicity with  $IC_{50}$  values of 3.4, 1.4, 7.3 and 23.7  $\mu M$ , respectively, whereas the remaining compounds proved to be inactive when assayed at a dose of 10  $\mu g mL^{-1}$ .

The results of the OSMAC experiments indicated that substituted L-tryptophan-L-phenyllactic acid conjugates were induced by nitrate but not by sodium in the medium. In the

absence of nitrogen sources favoured by the fungus including ammonium and glutamine, fungi are able to use secondary nitrogen sources such as nitrate, purines, urea, amines and amides *etc.*, which is commonly known as nitrogen metabolite repression (NMR).<sup>30</sup> In fungi, the activity of nitrogen regulators for derepression of NMR genes, which also affect secondary metabolites formation, is regulated by the intracellular nitrogen status and extracellular nitrogen availability.<sup>31,32</sup> For example, the main GATA transcriptional regulator of nitrogen



Table 3  $^{13}\text{C}$  NMR data of compounds 6–10 ( $\text{CD}_3\text{OD}$ , 150 MHz)

No.	6 <sup>a</sup>	7	8	9	10
1	176.4, C	173.7, C	175.0, C	175.8, C	175.6, C
2	54.3, CH	54.7, CH	54.4, CH	54.3, CH	54.1, CH
3	28.5, $\text{CH}_2$	30.3, $\text{CH}_2$	30.4, $\text{CH}_2$	28.3, $\text{CH}_2$	28.4, $\text{CH}_2$
4	110.0, C	110.1, C	110.4, C	109.4, C	109.7, C
5	129.6, C	126.8, C	127.0, C	129.8, C	127.7, C
6	119.8, CH	135.4, C	135.5, C	118.9, CH	119.8, CH
7	119.5, CH	120.7, CH	120.7, CH	134.4, C	121.0, CH
8	122.0, CH	122.7, CH	122.6, CH	123.5, CH	137.7, C
9	110.1, CH	108.5, CH	108.4, CH	110.0, CH	109.4, CH
10	137.4, C	139.3, C	139.3, C	137.1, C	138.8, C
12	127.3, CH	129.4, CH	129.3, CH	129.1, CH	128.5, CH
13		32.9, $\text{CH}_3$	32.9, $\text{CH}_3$	32.7, $\text{CH}_3$	32.7, $\text{CH}_3$
14	44.5, $\text{CH}_2$	33.3, $\text{CH}_2$	33.4, $\text{CH}_2$	32.0, $\text{CH}_2$	32.2, $\text{CH}_2$
15	121.4, CH	125.5, CH	125.5, CH	47.8, $\text{CH}_2$	47.8, $\text{CH}_2$
16	136.5, C	132.9, C	132.9, C	71.4, C	71.4, C
17	25.5, $\text{CH}_3$	25.9, $\text{CH}_3$	25.9, $\text{CH}_3$	29.3, $\text{CH}_3$	29.3, $\text{CH}_3$
18	17.8, $\text{CH}_3$	18.2, $\text{CH}_3$	18.3, $\text{CH}_3$	29.2, $\text{CH}_3$	29.2, $\text{CH}_3$
1'	175.4, C	176.1, C	176.1, C	175.9, C	175.8, C
2'	73.6, CH	73.8, CH	73.8, CH	73.8, CH	73.6, CH
3'	41.3, $\text{CH}_2$	41.7, $\text{CH}_2$	41.7, $\text{CH}_2$	41.6, $\text{CH}_2$	41.4, $\text{CH}_2$
4'	138.9, C	138.9, C	139.0, C	139.1, C	139.1, C
5', 9'	130.5, CH	130.6, CH	130.6, CH	130.8, CH	130.8, CH
6', 8'	128.8, CH	129.1, CH	129.1, CH	129.1, CH	129.1, CH
7'	127.1, CH	127.4, CH	127.4, CH	127.4, CH	127.4, CH
$\text{OCH}_3$		52.7, $\text{CH}_3$			

<sup>a</sup> Measured at 175 MHz.

metabolism AreA accumulates in the nucleus in *Fusarium graminearum* with nitrate as sole nitrogen source, which is required for activation of the nitrate assimilation system including the nitrate reductase genes.<sup>33</sup> Thus, the biosynthesis of fungal secondary metabolites can be affected by the quality and

quantity of the nitrogen sources. For example, 67% of secondary metabolites silent gene clusters of *Fusarium fujikuroi* were expressed based on the modification of nitrogen sources.<sup>34</sup> Furthermore, the natural product beauvericin was accumulated by *Fusarium oxysporum* by utilizing nitrate as sole nitrogen source.<sup>35</sup> In this study, the production of substituted L-tryptophan-L-phenyllactic acid conjugates was stimulated by the activation of the nitrate assimilation system in *A. aculeatus* due to the presence of sodium nitrate in medium.

The substituted L-tryptophan-L-phenyllactic acid conjugates identified in this study showed no cytotoxic or antibacterial activity. However, the two known compounds (**11** and **12**) were claimed as plant growth regulators in a patent and showed pronounced rooting promoting effect.<sup>36</sup> It may hence be hypothesized that a high concentration of nitrate in host plants, as simulated in this study by addition of sodium nitrate to solid rice medium, may induce the production of plant growth stimulating indole metabolites of the endophytic fungus *A. aculeatus*.<sup>37</sup> This could lead to an increased growth and production of biomass by the host plant. In return, the fungus could receive nutrients, water, minerals and nitrogen from its host. Further studies will be necessary to evaluate this hypothesis.

## Experimental section

### General procedures

A Jasco P-2000 polarimeter was used to measure the optical rotation. 1D and 2D NMR spectra were recorded on Bruker Avance DMX 600 or 700 NMR spectrometers. Chemical shifts were referenced to the solvent residual peaks. Mass spectra were recorded with a LC-MS HP1100 Agilent Finnigan LCQ Deca XP

Table 4  $^1\text{H}$  NMR data of compounds 6–10 ( $\text{CD}_3\text{OD}$ , 600 MHz)

No.	6 <sup>a</sup>	7	8	9	10
2	4.66, m	4.71, dd (8.7, 5.6)	4.70, dd (8.9, 4.8)	4.67, m	4.67, m
3	3.23, dd (14.5, 6.1), 3.17, dd (14.5, 4.7)	3.38, dd (15.0, 5.6), 3.18, dd (15.0, 8.7)	3.45, dd (15.1, 4.8), 3.20, dd (15.1, 8.9)	3.21, dd (14.8, 5.8), 3.16, dd (14.8, 4.7)	3.20, dd (14.8, 5.9), 3.13, dd (14.8, 4.7)
6	7.53, d (7.8)			7.36, s	7.40, d (8.0)
7	7.01, dd (7.8, 7.1)	6.82, d (7.2)	6.82, d (7.0)		6.91, d (8.0)
8	7.11, dd (8.3, 7.1)	7.06, dd (8.6, 7.2)	7.06, dd (8.3, 7.0)	7.02, d (8.3)	
9	7.27, d (8.3)	7.16, d (8.6)	7.15, d (8.3)	7.20, d (8.3)	7.11, s
12	6.80, s	6.82, s	6.84, s	6.68, s	6.63, s
13		3.70, s	3.69, s	3.68, s	3.68, s
14	4.65, m	3.73, m	3.77, dd (16.3, 6.8), 3.73, dd (16.3, 6.8)	2.77, m	2.78, m
15	5.32, br t (6.8)	5.31, br t (6.6)	5.32, br t (6.8)	1.80, m	1.79, m
17	1.72, s	1.76, s	1.76, s	1.24, s	1.26, s
18	1.83, s	1.77, s	1.77, s	1.25, s	1.26, s
2'	4.16, dd (8.0, 3.5)	4.19, dd (7.8, 3.9)	4.18, dd (8.0, 3.8)	4.19, dd (7.8, 3.6)	4.19, dd (7.6, 3.7)
3'	2.93, dd (13.9, 3.5), 2.63, dd (13.9, 8.0)	2.88, dd (13.9, 3.9), 2.62, dd (13.9, 7.8)	2.87, dd (13.9, 3.8), 2.58, dd (13.9, 8.0)	2.95, dd (13.9, 3.6), 2.65, dd (13.9, 7.8)	2.93, dd (13.9, 3.7), 2.66, dd (13.9, 7.6)
5', 9'	7.16, d (7.2)	7.11, d (6.9)	7.11, d (6.9)	7.17, d (7.2)	7.17, d (7.2)
6', 8'	7.20, t (7.2)	7.14, t (6.9)	7.12, t (6.9)	7.20, t (7.2)	7.22, t (7.2)
7'	7.15, t (7.2)	7.10, t (6.9)	7.10, t (6.9)	7.15, t (7.2)	7.16, t (7.2)
$\text{OCH}_3$		3.66, s			

<sup>a</sup> Measured at 700 MHz.

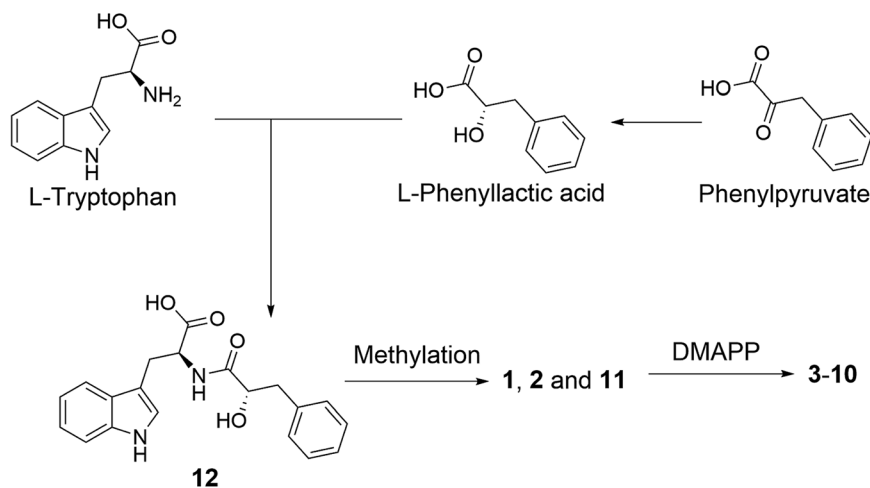


Fig. 5 Plausible biosynthesis of substituted L-tryptophan-L-phenyllactic acid conjugates from *A. aculeatus*.

Thermoquest and HRESIMS were measured with a UHR-QTOF maXis 4G (Bruker Daltonics) mass spectrometer. HPLC analysis was performed on a Dionex 3000 RS system coupled with an Ultimate 3000 pump and a photodiode array detector (DAD 300RS). The analytical column (125 × 4 mm) was prefilled with Eurosphere-10 C<sub>18</sub> (Knauer, Germany), and the following gradient solvent system was used: 0 min (10% MeOH), 5 min (10% MeOH), 35 min (100% MeOH), and 45 min (100% MeOH). Semi-preparative HPLC was performed using a Merck Hitachi HPLC System (UV detector L-7400; pump L-7100; Eurosphere-100 C<sub>18</sub>, 300 × 8 mm, Knauer) with MeOH–H<sub>2</sub>O as mobile phase and a flow rate of 5.0 mL min<sup>−1</sup>. Column chromatography was carried out using Merck MN silica gel 60 M (0.04–0.063 mm). TLC plates with silica gel F<sub>254</sub> (Merck) were used to monitor and collect fractions under detection at 254 and 366 nm. Distilled and spectral-grade solvents were used for column chromatography and spectroscopic measurements, respectively.

### Fungal material and cultivation

*A. aculeatus* was isolated from leaves of *Carica papaya* collected in Awka in Nigeria and was identified by DNA amplification, sequencing of ITS region and by comparing with GenBank data (GeneBank accession no. KX137846) following standard procedures.<sup>38</sup>

The fungal strain was grown on solid rice medium (100 g rice and 100 mL distilled water autoclaved) in ten Erlenmeyer flasks (1 L each) at 22 °C under static conditions for 14 days. The OSMAC experiments were performed on rice medium containing either 3.5% NaCl, 3.5% NaBr, 3.5% NaI, 1% NaF, 3.5% NaNO<sub>3</sub>, 3.5% NH<sub>4</sub>Cl, 3.5% (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> or 3.5% NH<sub>4</sub>OAc under static conditions until they reached their stationary phase of growth (16 days except for rice media spiked with 1% NaF or 3.5% NH<sub>4</sub>OAc where the fungus failed to grow).

### Extraction and isolation

Fungal cultures were extracted with EtOAc followed by evaporation under reduced pressure. Initial purification of the EtOAc

extract (12.5 g) of the fungal culture fermented on rice was performed by partitioning between *n*-hexane and 90% aqueous MeOH. The 90% aqueous MeOH phase (9.2 g) was centrifuged and then fractionated by vacuum liquid chromatography on reversed-phase silica gel using a gradient elution of H<sub>2</sub>O–MeOH (10 : 90 – 0 : 100) to give 10 fractions (Fr.1 to Fr.10).

Fr.2 (152 mg) was chromatographed on a Sephadex LH-20 column with MeOH followed by further purification using semi-preparative HPLC to give 17 (1.5 mg), 18 (7.0 mg) and 24 (0.5 mg). Compound 19 (30.2 mg) was obtained from Fr.3 (835 mg) by recrystallization. Part of Fr.3 was purified by semi-preparative HPLC to give 20 (20.0 mg). Fr.4 (208 mg) was subjected to a Sephadex LH-20 column with MeOH to give two subfractions (Fr.4-1 and Fr.4-2). Fr.4-1 was further purified by a silica gel column with DCM/MeOH as mobile phase to give 15 (3.8 mg). Compound 1 (13.1 mg) was obtained by recrystallization from Fr.4-2. Fr.5 (160 mg) was separated by a Sephadex LH-20 column followed by purification using semi-preparative HPLC to yield 14 (0.7 mg) and 21 (8.2 mg). Compound 11 (3.2 mg), 22 (1.2 mg) and 25 (2.4 mg) were obtained from Fr.6 (54 mg) by semi-preparative HPLC. Fr.7 (101 mg) was subjected to a Sephadex LH-20 column with MeOH as mobile phase and then purified by semi-preparative HPLC to give 23 (2.0 mg) and 16 (0.8 mg).

The fungal cultures from the OSMAC experiments that were grown on rice medium containing different salts were extracted with EtOAc (2 × 500 mL) followed by solvent evaporation under reduced pressure. The obtained crude extracts were analyzed by HPLC. The EtOAc extracts (28.6 g) of fungal cultures that had been grown on rice medium (30 flasks) after adding 3.5% NaNO<sub>3</sub> were dissolved in MeOH and then subjected to vacuum filtering. The obtained MeOH solution was evaporated under reduced pressure and fractionated by vacuum liquid chromatography on silica gel using a gradient elution of *n*-hexane–EtOAc to give 24 fractions (Fr.N1 to Fr.N24). Fr.N19 (221 mg) was separated by a Sephadex LH-20 column with MeOH as mobile phase followed by semi-preparative HPLC to give 3 (3.5 mg) and 4 (15.0 mg). Fr.N22 (465 mg) was separated by



a Sephadex LH-20 column with MeOH as mobile phase to give 3 fractions. Fr.N22-2 (54 mg) was further purified by semi-preparative HPLC to give **1** (10.1 mg), **2** (10.4 mg), **11** (3.5 mg), **12** (3.4 mg) and **13** (2.5 mg). Fr.N22-3 (380 mg) was further purified by a silica gel column with DCM–MeOH as mobile phase followed by semi-preparative HPLC to give **5** (2.4 mg), **6** (0.9 mg), **7** (4.8 mg), **8** (4.7 mg), **9** (1.2 mg) and **10** (1.2 mg).

Aculeatine A (**1**), white needle crystals;  $[\alpha]_D^{20} +23$  (*c* 0.25, MeOH); UV (MeOH):  $\lambda_{\max}$  221, 288 nm; HRESIMS *m/z* 381.1810  $[M + H]^+$  (calcd 381.1809 for  $C_{22}H_{25}O_4N_2$ );  $^1H$  and  $^{13}C$  NMR data see Tables 1 and 2.

Aculeatine B (**2**), colorless needle crystals;  $[\alpha]_D^{20} +3$  (*c* 0.40, MeOH); UV (MeOH):  $\lambda_{\max}$  227, 288 nm; HRESIMS *m/z* 367.1653  $[M + H]^+$  (calcd 367.1652 for  $C_{21}H_{23}O_4N_2$ );  $^1H$  and  $^{13}C$  NMR data see Tables 1 and 2.

Aculeatine C (**3**), white amorphous powder;  $[\alpha]_D^{20} -54$  (*c* 0.70, MeOH); UV (MeOH):  $\lambda_{\max}$  227, 288 nm; HRESIMS *m/z* 449.2439  $[M + H]^+$  (calcd 449.2435 for  $C_{27}H_{33}O_4N_2$ );  $^1H$  and  $^{13}C$  NMR data see Tables 1 and 2.

Aculeatine D (**4**), white amorphous solid;  $[\alpha]_D^{20} -47$  (*c* 1.7, MeOH); UV (MeOH):  $\lambda_{\max}$  233, 286 nm; HRESIMS *m/z* 449.2435  $[M + H]^+$  (calcd 449.2435 for  $C_{27}H_{33}O_4N_2$ );  $^1H$  and  $^{13}C$  NMR data see Tables 1 and 2.

Aculeatine E (**5**), white amorphous powder;  $[\alpha]_D^{20} -2$  (*c* 0.48, MeOH); UV (MeOH):  $\lambda_{\max}$  228, 287 nm; HRESIMS *m/z* 435.2281  $[M + H]^+$  (calcd 435.2278 for  $C_{26}H_{31}O_4N_2$ );  $^1H$  and  $^{13}C$  NMR data see Tables 1 and 2.

Aculeatine F (**6**), white amorphous solid;  $[\alpha]_D^{20} -11$  (*c* 0.20, MeOH); UV (MeOH):  $\lambda_{\max}$  228, 288 nm; HRESIMS *m/z* 421.2122  $[M + H]^+$  (calcd 421.2122 for  $C_{25}H_{29}O_4N_2$ );  $^1H$  and  $^{13}C$  NMR data see Tables 3 and 4.

Aculeatine G (**7**), white amorphous powder;  $[\alpha]_D^{20} -24$  (*c* 0.20, MeOH); UV (MeOH):  $\lambda_{\max}$  225, 291 nm; HRESIMS *m/z* 449.2435  $[M + H]^+$  (calcd 435.2435 for  $C_{27}H_{33}O_4N_2$ ) and *m/z* 471.2252  $[M + Na]^+$  (calcd 471.2254 for  $C_{27}H_{32}O_4N_2Na$ );  $^1H$  and  $^{13}C$  NMR data see Tables 3 and 4.

Aculeatine H (**8**), white amorphous powder;  $[\alpha]_D^{20} -41$  (*c* 0.94, MeOH); UV (MeOH):  $\lambda_{\max}$  226, 291 nm; HRESIMS *m/z* 435.2282  $[M + H]^+$  (calcd 435.2278 for  $C_{26}H_{31}O_4N_2$ ) and *m/z* 457.2099  $[M + Na]^+$  (calcd 457.2098 for  $C_{26}H_{30}O_4N_2Na$ );  $^1H$  and  $^{13}C$  NMR data see Tables 3 and 4.

Aculeatine I (**9**), white amorphous solid;  $[\alpha]_D^{20} -35$  (*c* 0.24, MeOH); UV (MeOH):  $\lambda_{\max}$  225, 292 nm; HRESIMS *m/z* 453.2383  $[M + H]^+$  (calcd 453.2384 for  $C_{26}H_{33}O_5N_2$ );  $^1H$  and  $^{13}C$  NMR data see Tables 3 and 4.

Aculeatine J (**10**), light yellow amorphous solid;  $[\alpha]_D^{20} -19$  (*c* 0.24, MeOH); UV (MeOH):  $\lambda_{\max}$  226, 287 nm; HRESIMS *m/z* 453.2380  $[M + H]^+$  (calcd 453.2384 for  $C_{26}H_{33}O_5N_2$ ) and *m/z* 475.2201  $[M + Na]^+$  (calcd 475.2203 for  $C_{26}H_{32}O_5N_2Na$ );  $^1H$  and  $^{13}C$  NMR data see Tables 3 and 4.

## X-ray crystallographic analysis of compounds **1** and **2**

**Crystallization conditions.** Suitable single crystals of **1** and **2** were obtained by slow evaporation from methanol solution and selected under a polarized light microscope. **Data collection:** compounds **1** and **2** were measured on a Bruker Kappa APEX2

CCD diffractometer with micro focus tube using Cu-K $\alpha$  radiation ( $\lambda = 1.54178$  Å). APEX2 was used for data collection,<sup>39</sup> SAINT for cell refinement and data reduction,<sup>39</sup> and SADABS for experimental absorption correction.<sup>40</sup> SHELXT was used for the structure solution by intrinsic phasing,<sup>41</sup> SHELXL-2017 was used for refinement by full-matrix least-squares on  $F^2$ .<sup>42</sup> The hydrogen atoms were positioned geometrically (with C–H = 0.95 Å for aromatic CH, 1.00 Å for tertiary CH, 0.99 Å for CH<sub>2</sub> and 0.98 Å for CH<sub>3</sub>). The refinement was carried out using riding models (AFIX 43, 13, 23, 137, respectively), with  $U_{iso}(H) = 1.2U_{eq}(CH, CH_2)$  and  $1.5U_{eq}(CH_3)$ . The hydrogen atoms in the hydroxy and amine groups were refined with  $U_{iso}(H) = 1.5U_{eq}(O/N)$ . The hydrogen atoms in the solvent methanol molecule in **2** were refined with  $U_{iso}(H) = 1.5U_{eq}(O)$ .

The absolute structures of compound **1** and **2** were determined using anomalous dispersion from Cu-K $\alpha$  radiation, resulting in Flack parameters of  $-0.14(10)$  (**1**) and  $0.00(3)$  (**2**) using Parsons quotient method.<sup>43</sup> Due to a rather high Flack parameter for **1**, its absolute structure was determined using likelihood methods.<sup>44</sup> DIAMOND was used for the drawing of all graphics.<sup>45</sup> PLATON for Windows was used for the analyses of hydrogen bonds and CH– $\pi$  interactions.<sup>46</sup> The structural data for **2** has been deposited in the Cambridge Crystallographic Data Center (CCDC no. 1589955). Crystals of **1** did not diffract beyond  $\theta = 44.9^\circ$  (*cf.* desired  $67.7^\circ$ ) for Cu-K $\alpha$  radiation, resulting in only 1538 total (1484 observed with  $I > 2\sigma(I)$ ) reflections *versus* 263 parameters for anisotropic refinement. Therefore the cif did not meet the requirements for publication and refinement data of compound **1** is only given in the ESI.†

**Crystal data of **1**.**  $C_{22}H_{24}N_2O_4$ ,  $M = 380.43$ , orthorhombic system, space group  $P2_12_12_1$ ,  $a = 11.8099(7)$  Å,  $b = 11.8099(7)$  Å,  $c = 27.4081(17)$  Å,  $V = 1936.7(2)$  Å<sup>3</sup>,  $Z = 4$ ,  $D_{calc} = 1.305$  g cm<sup>−3</sup>, crystal size  $0.12 \times 0.03 \times 0.03$  mm<sup>3</sup>,  $\mu(Cu-K\alpha) = 0.73$  mm<sup>−1</sup>,  $3.2^\circ < \theta < 44.9^\circ$ ,  $N_t = 16\,751$ ,  $N = 1538$  ( $R_{int} = 0.051$ ),  $R_1 = 0.022$ ,  $wR_2 = 0.053$ ,  $S = 1.12$ , Flack parameter =  $-0.14(10)$ , Hooft parameter =  $-0.09(8)$ , probability for correct absolute structure  $P2 = 1.000$ .

**Crystal data of **2**.**  $C_{21}H_{22}N_2O_4 \cdot CH_4O$ ,  $M = 398.45$ , monoclinic system, space group  $C2$ ,  $a = 23.52(2)$  Å,  $b = 5.994(5)$  Å,  $c = 15.843(13)$  Å,  $V = 2063(3)$  Å<sup>3</sup>,  $Z = 4$ ,  $D_{calc} = 1.283$  g cm<sup>−3</sup>, crystal size  $0.20 \times 0.10 \times 0.05$  mm<sup>3</sup>,  $\mu(Cu-K\alpha) = 0.75$  mm<sup>−1</sup>,  $5.9^\circ < \theta < 67.5^\circ$ ,  $N_t = 11\,796$ ,  $N = 3416$  ( $R_{int} = 0.027$ ),  $R_1 = 0.025$ ,  $wR_2 = 0.067$ ,  $S = 1.09$ , Flack parameter =  $0.00(3)$ , Hooft parameter =  $0.00(3)$ , probability for correct absolute structure  $P2 = 1.000$ .

## Marfey's reaction for compounds **11** and **12**

Compounds **11** and **12** (0.5 mg) were hydrolyzed with 2 mL 6 M HCl containing 0.4%  $\beta$ -mercaptoethanol at 110 °C for 24 h. The hydrolysate was evaporated to dryness and treated separately with 4 M NaOH at room temperature for 4 h. 4 M HCl was used to adjust the pH to 4. The above resulting solutions were evaporated until complete elimination of HCl and then resuspended in 50  $\mu$ L H<sub>2</sub>O. To 25  $\mu$ L of each resulting solutions was added 50  $\mu$ L FDAA (1% 1-fluoro-2-4-dinitrophenyl-5-L-alanine amide in acetone) and 10  $\mu$ L NaHCO<sub>3</sub>. The reaction tubes were covered with an aluminum paper and heated over a hot plate at



40 °C for 1 h. After cooling to room temperature, 5 µL of 2 M HCl was added and then evaporated to dryness. The residue was dissolved in 500 µL MeOH. L-Tryptophan and D-tryptophan were treated separately with FDAA in the same manner. The analysis of FDAA derivatives were carried out using HPLC and LC-MS by comparison of the retention time and molecular weight.

### Cytotoxicity assay

Cytotoxicity was tested against the L5178Y mouse lymphoma cell line (European Collection of Authenticated Cell Cultures, Catalogue no. 87111908) using the MTT method as described before.<sup>11</sup> Kahalalide F was used as positive control with a IC<sub>50</sub> value of 4.3 µM.

## Conflicts of interest

There are no conflicts to declare.

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