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# Insight into catalytic reduction of CO<sub>2</sub> to methane with silanes using Brookhart's cationic Ir(III) pincer complex†

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Using density functional theory computations, we investigated in detail the underlying reaction mechanism and crucial intermediates present during the reduction of carbon dioxide to methane with silanes, catalyzed by the cationic Ir-pincer complex ((POCOP)Ir(H)(acetone))<sup>+</sup>, POCOP = 2,6-bis(dibutylphosphinito)phenyl). Our study postulates a plausible catalytic cycle, which involves four stages, by sequentially transferring silane hydrogen to the CO<sub>2</sub> molecule to give silylformate, bis(silyl)acetal, methoxysilane and the final product, methane. The first stage of reducing carbon dioxide to silylformate is the rate-determining step in the overall conversion, which occurs via the direct dissociation of the silane Si–H bond to the C=O bond of a weakly coordinated Ir–CO<sub>2</sub> moiety, with a free energy barrier of 29.5 kcal mol<sup>–1</sup>. The ionic S<sub>N</sub>2 outer-sphere pathway in which the CO<sub>2</sub> molecule nucleophilically attacks at the η<sup>1</sup>-silane iridium complex to cleave the η<sup>1</sup>-Si–H bond, followed by the hydride transferring from iridium dihydride [(POCOP)IrH<sub>2</sub>] to the cation [O=C–OSiMe<sub>3</sub>]<sup>+</sup>, is a slightly less favorable pathway, with a free energy barrier of 33.0 kcal mol<sup>–1</sup> in solvent. The subsequent three reducing steps follow similar pathways: the ionic S<sub>N</sub>2 outer-sphere process with silylformate, bis(silyl)acetal and methoxysilane substrates nucleophilically attacking the η<sup>1</sup>-silane iridium complex to give the ion pairs [(POCOP)IrH<sub>2</sub>] [HC(OSiMe<sub>3</sub>)<sub>2</sub>]<sup>+</sup>, [(POCOP)IrH<sub>2</sub>] [CH<sub>2</sub>(OSiMe<sub>3</sub>)<sub>2</sub>(SiMe<sub>3</sub>)]<sup>+</sup>, and [(POCOP)IrH<sub>2</sub>] [CH<sub>3</sub>O(SiMe<sub>3</sub>)<sub>2</sub>]<sup>+</sup>, respectively, followed by the hydride transfer process. The rate-limiting steps of the three reducing stages are calculated to possess free energy barriers of 12.2, 16.4 and 22.9 kcal mol<sup>–1</sup>, respectively. Furthermore, our study indicates that the natural iridium dihydride [(POCOP)IrH<sub>2</sub>] generated along the ionic S<sub>N</sub>2 outer-sphere pathway could greatly facilitate the silylation of CO<sub>2</sub>, with a potential energy barrier calculated at a low value of 16.7 kcal mol<sup>–1</sup>.

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## Introduction

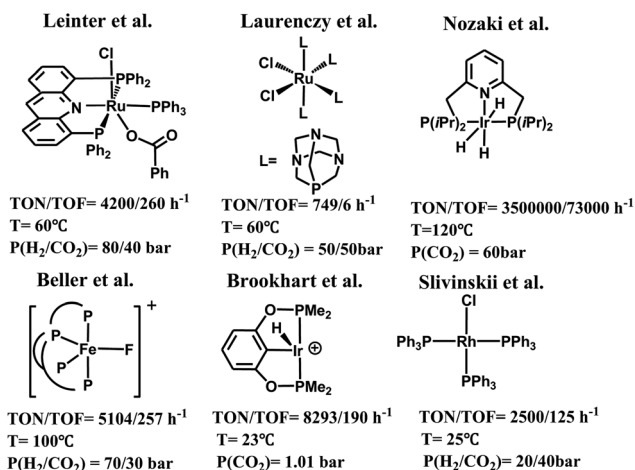
Carbon dioxide is a cheap, nontoxic and readily available carbon resource for the organic synthesis of valuable chemicals and materials.<sup>1,2</sup> Transformation of carbon dioxide into fuels and useful organics such as formic acid,<sup>3</sup> formaldehyde,<sup>4</sup> methanol,<sup>5</sup> and other derivatives<sup>6</sup> is a topic of growing interest.<sup>7,8</sup> Among others, significant efforts have been devoted to the chemical reduction of carbon dioxide using transition-metal catalysts.<sup>9–14</sup> These catalysts have been extensively reviewed in the literature.<sup>15</sup> Some representative examples are shown in Scheme 1, including a rhodium complex (RuCl<sub>2</sub>(PTA)<sub>4</sub>, PTA = 1,3,5-triaza-7-phosphaadamantane) reported by Laurency

*et al.*, which catalyzed the hydrogenation of carbon dioxide affording formic acid as the only product,<sup>16</sup> and an iron complex ([FeF(2)]BF<sub>4</sub>, 2 = tris(*o*-diphenylphosphinophenyl)-phosphine) reported by Beller *et al.*, which catalyzed the hydrogenation of carbon dioxide affording formates and formamides.<sup>17</sup> Recently, a class of transition-metal catalysts supported by pincer ligands have been developed to achieve remarkable catalytic efficiency for carbon dioxide reduction. These include an Ir(III) tri-hydride PNP-ligated complex, iPr(PNP)IrH<sub>3</sub>, reported by Nozaki *et al.*, which was used for the hydrogenation of carbon dioxide to formate,<sup>18</sup> (PNP)RuH<sub>2</sub>CO reported by Pidko *et al.*,<sup>19</sup> Ru(acriphos)(PPh<sub>3</sub>)(Cl)(PhCO<sub>2</sub>) reported by Leitner *et al.*,<sup>20</sup> (POCOP)IrH<sub>2</sub>(MeCN) reported by Meyer,<sup>21</sup> (PNHP)IrH<sub>3</sub> (PNHP = HN{CH<sub>2</sub>CH<sub>2</sub>(P<sup>i</sup>Pr<sub>2</sub>)<sub>2</sub>})<sub>2</sub>,<sup>22</sup> and RhCl(PPh<sub>3</sub>)<sub>3</sub>,<sup>23</sup> *etc.*<sup>24</sup> However, the use of hydrogen as the reducing agent to convert carbon dioxide generally requires higher pressures and/or temperatures and also involves the use of strong bases as co-reagents.<sup>25</sup> In this regard, hydrosilane as a reductant has been explored as an alternative methodology, since the formation of the Si–O bond in silyl compounds is a thermodynamically favorable process.<sup>26</sup> For example,

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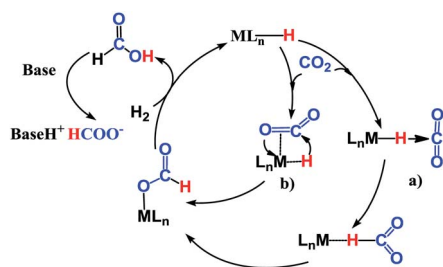




**Scheme 1** Examples of catalysts for the selective reduction of  $\text{CO}_2$  with  $\text{H}_2$  or silane catalyzed by ruthenium, iron or iridium-base complexes.

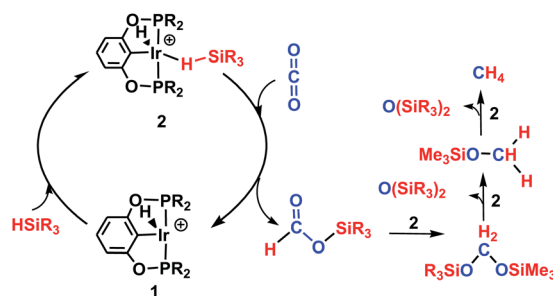
carbon dioxide can be reduced to silyl formate, bis(silyl)acetal or silylether under the catalysis of  $[\text{ReHBr}(\text{NO})(\text{PR}_3)_2]/\text{B}(\text{C}_6\text{F}_5)_3$ ,<sup>27</sup>  $[\text{Cp}^*_2\text{Sc}][\text{HB}(\text{C}_6\text{F}_5)_3]_1\text{Cl}$ ,<sup>28</sup> *cis/trans*- $[\text{RuCl}_2(\text{MeCN})_4]$ ,<sup>29</sup> (BDP)  $\text{CuH}$ ,<sup>30</sup> and other Rh, Ir, Ru, Cu and Fe complexes,<sup>31</sup> and main group catalysts including the frustrated Lewis acid  $\text{B}(\text{C}_6\text{F}_5)_3$ ,<sup>32</sup> and N-heterocyclic carbenes.<sup>33</sup> Notably, the Brookhart group presented a cationic Ir-pincer complex,  $(\text{POCOP})\text{Ir}(\text{H})(\text{acetone})^+$  ( $\text{POCOP}$  = 2,6-bis(dibutylphosphinito)phenyl), to catalyze the hydrosilylation of carbon dioxide under mild reaction conditions, and achieved a high turnover number of 8300 and moderate turnover frequency (660/h at 60 °C).<sup>34</sup> It is worth noting that by using this Ir-pincer catalyst, carbon dioxide could even be reduced to methane in high yields with less sterically hindered silanes.

The reaction mechanism for carbon dioxide transformation mediated by transition-metal complexes has been studied extensively.<sup>35</sup> As exemplified by Scheme 2, the common feature is the insertion of carbon dioxide into the metal-hydrogen bond of a metal hydride. Two general pathways have been identified: (a) *via* transfer of the hydride directly from the metal complex; and (b) *via* prior coordination of carbon dioxide to the metal center, followed by carbon dioxide abstracting a hydride from the metal center. Both



**Scheme 2** General reaction mechanism for transition-metal complexes catalyzing the hydrogenation of  $\text{CO}_2$  to formic acid or formate.

pathways reduce carbon dioxide to a formate ion ( $\text{HCOO}^-$ ) around the metal center, forming a metal formate complex. Then, the formate ion or its derivative is eliminated as the metal formate intermediate reacts with  $\text{H}_2$ . The hydrogenation of carbon dioxide to formic acid *via* these two modes, especially that involving insertion into the M-H bond of a metal complex, is considered to be the first elemental step in transition-metal-catalyzed hydrogenation/hydrosilylation reactions, which have been the subject of several reviews.<sup>36–38</sup> The reaction mechanisms mediated by transition-metal complexes are usually complex. For the hydrosilylation of carbon dioxide into methane catalyzed by the cationic Ir-pincer complex, Brookhart and co-workers postulated an unconventional pathway. As shown in Scheme 3, the reaction occurs through activation of the Si-H bond of hydrosilane by the electrophilic  $\text{Ir}(\text{III})$  ion, forming a silane-iridium adduct as the step initiating the catalytic cycle. The silane-iridium adduct acts as an effective catalyst to reduce carbon dioxide to a silylformate ( $\text{HCOOSiR}_3$ ) product. Then, the silylformate substrate reacts with the silane-iridium adduct to provide bis(silyl)acetal ( $\text{R}_3\text{SiOCH}_2\text{-OSiR}_3$ ), methoxysilane ( $\text{R}_3\text{SiOCH}_3$ ) intermediates and finally, methane ( $\text{CH}_4$ ). This mechanistic proposal is remarkable and represents a new way of activating carbon dioxide using transition-metal complexes. The corresponding catalytic cycle is an outer-sphere mode, in which insertion of a carbon dioxide molecule into the metal-hydride bond does not occur.<sup>39</sup> However, the detailed underlying reaction mechanism for the complete reduction of carbon dioxide to methane by the cationic Ir-pincer complex has not been investigated computationally, and the reaction mechanism is not yet understood in detail. Indeed, few examples of transition-metal catalyst systems are known to be active for the selective reduction of  $\text{CO}_2$  to methane.<sup>40</sup> To better understand the reduction of  $\text{CO}_2$  mediated by the cationic Ir-pincer complex with silanes, we sought to explore the mechanism in more detail by employing DFT calculations. Our purpose was to uncover characteristic features of the electronic process of each elementary step during the catalytic reaction. An in-depth density functional theory (DFT) study of this system allows for further development on the conversion of carbon dioxide under the catalysis of transition-metal complexes.



**Scheme 3** Schematic representation of the hydrosilylation of  $\text{CO}_2$  to methane using the cationic  $\text{Ir}(\text{III})$ -pincer complex proposed by Brookhart *et al.*



## Computational methodology

All molecular geometries of the model complexes were optimized at the DFT Becke3LYP (B3LYP)<sup>41</sup> level and by using the hybrid meta exchange–correlation M06 functional,<sup>42</sup> which includes a medium-range correlation as implemented in Gaussian 09.<sup>43</sup> The effective core potentials (ECPs) of Hay and Wadt with double- $\zeta$  valence basis sets (LanL2DZ)<sup>44</sup> were used to describe the Ir atom. In addition, polarization functions were added for Ir ( $\zeta_f = 0.938$ ).<sup>45</sup> The 6-311g(d,p) basis set was used for all other atoms, including C, H, P, Si and O. Frequency calculations at the same level of theory were performed to verify all stationary points as minima (zero imaginary frequency) and transition states (one imaginary frequency), as well as to provide free energies at 298.15 K, including entropic contributions. All transition states were verified to connect the respective minima through optimizations following initial intrinsic reaction coordinate calculations. To obtain the relative solvation-free energies, we used a continuum medium to perform single-point calculations for all the species under study using the SMD solvation model (an IEFPCM calculation with radii and non-electrostatic terms for Truhlar and coworkers' SMD solvation model),<sup>46</sup> as implemented in Gaussian 09. CH<sub>2</sub>Cl<sub>2</sub> was used as the solvent.

A model catalyst was used where the large butyl/isopropyl substituents at the carbon atom in the tridentate POCOP-pincer ligand were replaced with methyl groups. Trimethylsilane was used as a model silane. The final Gibbs energies ( $\Delta G$ ) reported in this article are based on B3LYP energies with Gibbs energy corrections (at 298.15 K), solvation corrections, and corrections for dispersion effects using the method of Grimme.<sup>47</sup> Furthermore, it should be noted that the entropic contribution in a solvent medium is overestimated for a reaction using the ideal gas phase model. To reduce the overestimation of the entropy contribution in the results, we adopted the approximate approach proposed by Martin *et al.*,<sup>53</sup> *i.e.*, a reaction from *m*- to *n*-components has an additional correction of  $(n - m) \times 4.3 \text{ kcal mol}^{-1}$ . Detailed comparisons of the different functionals (B3LYP, B3LYP-D and M06) are listed in the ESI.<sup>†</sup> The geometries are displayed using CYLview.<sup>48</sup>

## Results and discussion

### Overall catalytic mechanism

The following part of the paper is devoted to our theoretical analysis of the cationic Ir-pincer complex catalyzing the hydrosilylation of CO<sub>2</sub>, following a stepwise process comprising four steps. First, CO<sub>2</sub> is reduced to give silylformate (HCOOSiMe<sub>3</sub>). Then, the silylformate is reduced to give bis(silyl) acetal (H<sub>2</sub>C(OSiMe<sub>3</sub>)<sub>2</sub>), methoxysilane (H<sub>3</sub>COSiMe<sub>3</sub>) and finally, methane (CH<sub>4</sub>).

#### Stage I: hydrosilylation of CO<sub>2</sub> to silylformate (HCOOSiMe<sub>3</sub>).

Three different pathways were explored for the hydrosilylation of CO<sub>2</sub> to silylformate under the catalysis of the cationic Ir-pincer complex: (a) the cationic Ir-pincer complex activating CO<sub>2</sub> first, followed by the Ir–CO<sub>2</sub> moiety activating a free silane molecule; (b) the cationic Ir-pincer complex activating the silane first as proposed by Brookhart, by coordination of Me<sub>3</sub>SiH to the iridium atom, followed by the silane–iridium adduct activating a CO<sub>2</sub> molecule; and (c) CO<sub>2</sub> inserting into the iridium–hydride bond of the cationic Ir-pincer complex, generating iridium formate, and reacting with a free silane to generate silylformate.

In Fig. 1, the reaction pathway representing the Ir–CO<sub>2</sub> moiety to activate a free silane is illustrated, together with the optimized structures of key stationary points that were located. Carbon dioxide exhibits poor ligand properties toward the cationic Ir-pincer complex. An  $\eta^1_{\text{O}}$ -coordinated Ir–CO<sub>2</sub> complex is located as CO<sub>2</sub> weakly coordinates to the iridium atom,  $d(\text{Ir} \cdots \text{O}(\text{CO}_2)) = 2.43 \text{ \AA}$ . The adduct formation is endothermic by  $+6.7 \text{ kcal mol}^{-1}$ . Subsequently, dissociation of a free silane Si–H bond to the C=O bond of the weakly coordinated carbon dioxide would directly generate silylformate. Here, two metathesis transition states were located: **TS3a** and **TS4a**. In these two four-membered-ring transition states, the Si and H atoms of free silanes are approaching the C=O bonds of CO<sub>2</sub>, respectively, as shown in Fig. 1. The Si–H bond breaks ( $d(\text{Si1} \cdots \text{H1}) = 1.92$  (1.93  $\text{\AA}$ , **TS4a**)), new Si–O bonds begin to form ( $d(\text{Si1} \cdots \text{O1}) = 2.59$  (2.54  $\text{\AA}$ , **TS4a**)) and new H–C bonds begin to form ( $d(\text{C} \cdots \text{H1}) = 1.23$  (1.27  $\text{\AA}$ , **TS4a**)). The Ir–O(CO<sub>2</sub>) bonds become shortened to 2.25 and 2.27  $\text{\AA}$ , respectively. The O–C–O

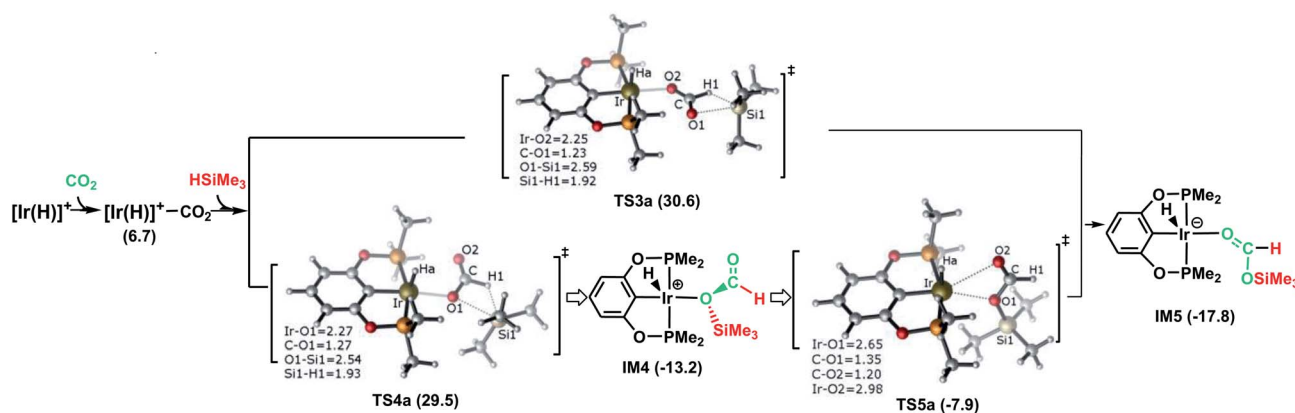


Fig. 1 Schematic representation of stage I of the reduction of CO<sub>2</sub> to silylformate (HCOOSiMe<sub>3</sub>) via pathway A (dissociation of free silanes to weakly coordinate CO<sub>2</sub>). The bond distances are shown in  $\text{\AA}$ .



bond angles of  $\text{CO}_2$  are reduced significantly to  $136^\circ$  and  $134^\circ$ , respectively. The two transition states show only a small energetic difference, with energies of  $30.6 \text{ kcal mol}^{-1}$  and  $29.5 \text{ kcal mol}^{-1}$  in solvent. Both transition states yield the O-bridged silylformate iridium intermediates (**IM4** and **IM5**). Nevertheless, **IM4** can isomerize to the more stable intermediate **IM5** by crossing a low barrier of  $5.3 \text{ kcal mol}^{-1}$  (**TS5a** relative to **IM4**). **TS5a** represents an  $\eta^2_{\text{O}_2\text{O}}$ -carbonyl transition state, with  $\text{Ir}\cdots\text{O}$  bond distances of 2.65 and  $2.98 \text{ \AA}$ , respectively. Because the two silylformate iridium intermediates can be readily interconverted, only the more stable intermediate **IM5** is considered in the subsequent studies. Therefore, **TS4a** is the highest stationary point along pathway A, wherein the  $\text{Ir}-\text{CO}_2$  moiety activates free silanes and generates the silylformate iridium complex, whose energy is  $29.5 \text{ kcal mol}^{-1}$  lower than that of the reactants ( $[\text{Ir}(\text{H})]^+ + \text{silane} + \text{CO}_2$ ). The reaction is exergonic by  $-17.8 \text{ kcal mol}^{-1}$ .

Fig. 2 illustrates the pathway for the silane-iridium adduct activating carbon dioxide, together with the energetic results and the optimized structures of key stationary points. This pathway starts with silane  $\eta^1$ -binding to the iridium atom of the cationic Ir-pincer complex, generating an  $\eta^1$ -silane iridium complex **IM2** (see Table S1 in the ESI† for a comparison of the key structures, with an X-ray single crystal structure of the complex with trimethylsilane coordinated end-on to the iridium center, as reported by Brookhart<sup>49</sup>). The interaction between iridium and silane activates the Si-H bond, as shown by the elongated Si-H bond distance ( $1.24$  vs.  $1.16 \text{ \AA}$  in free silane), and results in a net charge of  $1.584e$  on the silicon atom as compared to  $1.345e$  in free silane, thus making it favorable for  $\text{CO}_2$  molecules to attack. Specifically, it is worth noting that the silane-iridium complexes have been suggested to be active in the reduction of a series of organic substrates, including alkyl halides, carbonyl compounds and amines, *etc.*<sup>50</sup> The underlying

reduction reaction mechanism has also been computed recently by several groups, and characterized as the ionic outer-sphere mechanism.<sup>51</sup> After a thorough investigation into the possibilities of how the silane-iridium complex reduces carbon dioxide, we were able to locate three transition states. In the first transition state, **TS3b** (Fig. 2), a carbon dioxide molecule attacks the silicon atom at the face opposite to the iridium atom. **TS3b** can be viewed as a very late  $\text{S}_{\text{N}}2\text{-Si}$  transition state, in which the silicon atom has a distorted trigonal bipyramidal structure. The Si-H bond is broken and becomes significantly elongated to be  $2.99 \text{ \AA}$ , while Ir-H and Si-O bonds become fully formed ( $1.65 \text{ \AA}$  and  $2.22 \text{ \AA}$ , respectively). The four atoms of  $\text{O}-\text{Si}\cdots\text{H}-\text{Ir}$  maintain their linear arrangement ( $179^\circ$ ). The second transition state, **TS4b**, involves  $\text{CO}_2$  nucleophilically attacking the silicon atom of the  $\eta^1$ -silane iridium complex from the same side as the iridium atom. At this transition state (Fig. 2), the Si-H bond becomes longer to  $2.41 \text{ \AA}$ , while the Ir-H and Si-O distances become shorter ( $d(\text{Si}1\cdots\text{O}1) = 2.25 \text{ \AA}$ ,  $d(\text{Ir}\cdots\text{H}1) = 1.70 \text{ \AA}$ ), indicating that the silane Si-H bond is almost broken and the Ir-H and Si-O bonds are almost formed. The O-C-O bond angle stays linear at  $175^\circ$ , while the four atoms of  $\text{O}-\text{Si}\cdots\text{H}-\text{Ir}$  are most perpendicular, at  $68^\circ$ . Furthermore, we located a nontraditional ionic outer-sphere transition state, **TS5b**, where a carbon dioxide molecule also attacks the silicon atom of the  $\eta^1$ -silane iridium complex at the same side as the iridium atom. However, at **TS5b**, accompanying the cleavage of the Si-H bond, a silane hydrogen atom is transferred to the iridium metal ( $d(\text{Ir}-\text{H}) = 1.70 \text{ \AA}$ ), while the silyl moiety transfers to  $\text{CO}_2$  ( $d(\text{Si}-\text{O}) = 2.20 \text{ \AA}$ ). Simultaneously,  $\text{CO}_2$  bonds with the iridium atom with an Ir-C distance of  $2.13 \text{ \AA}$ . Another interesting aspect of this transition state is the O-C-O bond angle of  $\text{CO}_2$ , which is reduced to  $141^\circ$ . All three ionic outer-sphere transition states (**TS3b**, **TS4b** and **TS5b**) result in a concerted transfer of  $\text{SiMe}_3^{\delta+}$  moieties to  $\text{CO}_2$  and  $\text{H}^{\delta-}$  moieties to the iridium atom, to give an ion pair

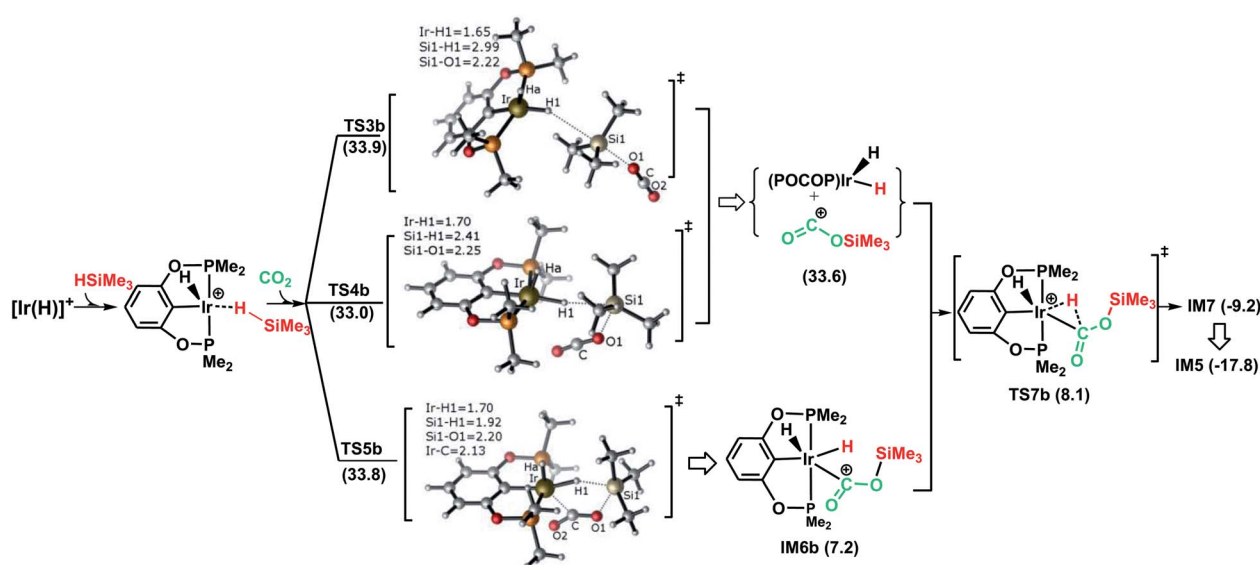


Fig. 2 Schematic representation of stage I of the reduction of  $\text{CO}_2$  to silylformate ( $\text{HCOOSiMe}_3$ ) via pathway B (the ionic outer-sphere mechanism). The bond distances are shown in  $\text{\AA}$ .





comprising iridium dihydride  $[\text{IrH}_2(\text{POCOP})]$  and the cation  $[\text{O}=\text{C}-\text{OSiMe}_3]^+$ . A structural reorganization in the ion pair gives **IM6b**, with the cation  $[\text{O}=\text{C}-\text{OSiMe}_3]^+$  binding to iridium dihydride through the carbon atom. From **IM6b**, the iridium dihydride transfers a hydride to the carbon atom of  $[\text{O}=\text{C}-\text{OSiMe}_3]^+$  through the transition state **TS7b**, affording a H-bound silylformate iridium complex (**IM7**). The hydride transfer process represents a very small barrier, of a negligible  $0.9 \text{ kcal mol}^{-1}$  (**TS7b** relative to **IM6b**). As expected, the rate-determining step for the  $\eta^1$ -silane iridium complex to activate carbon dioxide, generating the intermediate of silylformate, is the ionic  $\text{S}_{\text{N}}2$  outer-sphere transition states. Interestingly, we found three ionic  $\text{S}_{\text{N}}2$  transition states possessing similar activation energies:  $33.9 \text{ kcal mol}^{-1}$  (**TS3b**),  $33.0 \text{ kcal mol}^{-1}$  (**TS4b**) and  $33.8 \text{ kcal mol}^{-1}$  (**TS5b**) relative to the reactants ( $[\text{Ir}(\text{H})]^+ + \text{silane} + \text{CO}_2$ ).

The third pathway illustrates carbon dioxide insertion into the Ir–H bond of the cationic Ir-pincer complex. Insertion of carbon dioxide (and other unsaturated organic substrates) into the M–H bond of a metal complex has been suggested as being the key step in catalytic hydrogenation.<sup>52</sup> The insertion step is identified as a four-membered-ring transition state (**TS3c**), where the Ir–H bond is broken ( $d(\text{Ir}\cdots\text{Ha}) = 2.61 \text{ \AA}$ ), and the C–H bond is correspondingly formed ( $d(\text{C}\cdots\text{Ha}) = 1.13 \text{ \AA}$ ). It is worth noting that the apical H atom around the iridium atom deviates considerably from the apical position and is largely elongated when approaching the carbon atom of  $\text{CO}_2$  in the transition state **TS3c**. The barrier for **TS3c** is calculated to be significantly high, at  $44.5 \text{ kcal mol}^{-1}$  (**TS3c** relative to the reactants ( $[\text{Ir}(\text{H})]^+ + \text{silane} + \text{CO}_2$ )). The following steps along pathway C are shown in the ESI (Fig. S3†) (Fig. 3).

The calculated free energies of three pathways calculated for the cationic Ir-pincer complex catalyzing the hydrosilylation of  $\text{CO}_2$  to yield silylformate ( $\text{HCOOSiMe}_3$ ) are compared and shown in Fig. 4. Our DFT energetic results indicate that the insertion pathway can be ruled out, because the free energy barrier is as high as  $44.3 \text{ kcal mol}^{-1}$  and is  $\sim 10 \text{ kcal mol}^{-1}$  higher than the other two pathways. Therefore, a carbon dioxide molecule cannot directly insert into the Ir–H bond of the cationic Ir-pincer complex. However, it was found that the energy barrier for the ionic  $\text{S}_{\text{N}}2$  outer-sphere pathway (**TS4b**,

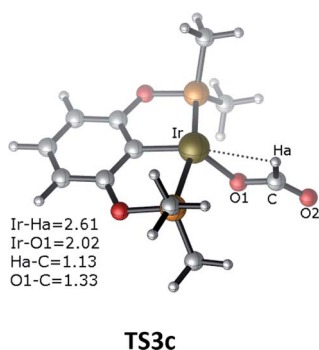


Fig. 3 Optimized geometries of the transition state **TS3c**. The bond distances are shown in  $\text{\AA}$ .

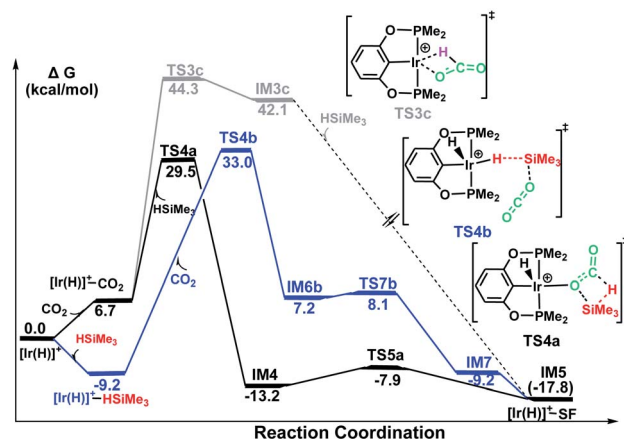


Fig. 4 Summary of the results from the calculations on stage I of the hydrosilylation of  $\text{CO}_2$  to silylformate ( $\text{HCOOSiMe}_3$ , SF) under the catalysis of  $[\text{Ir}(\text{H})]^+$  via three possible pathways: pathway A (black, silane Si–H bond dissociation to C=O bond of the weakly coordinated  $\text{CO}_2$ ), pathway B (blue, the ionic outer-sphere mechanistic pathway) and pathway C (gray, insertion of  $\text{CO}_2$  into the Ir–H bond). The solvent-phase Gibbs free energies  $[\Delta G(\text{sol})]$  are in  $\text{kcal mol}^{-1}$ .

$33.0 \text{ kcal mol}^{-1}$ , the highest energy along pathway B) is  $3.5 \text{ kcal mol}^{-1}$  higher than the dissociation pathway A (**TS4a**,  $29.5 \text{ kcal mol}^{-1}$ , the highest energy along pathway A) in the solvent. When comparing the gas-phase electronic energies, **TS4a** was calculated to be  $1.6 \text{ kcal mol}^{-1}$  higher than **TS4b**. Nevertheless, considering the small energy difference of  $3.5 \text{ kcal mol}^{-1}$  in solvent and the inaccuracy of the computational method, we speculate that both pathways of A and B could be operating under the working conditions, with pathway A being slightly more favorable.

**Stage II: hydrosilylation of silylformate ( $\text{HCOOSiMe}_3$ ) to bis(silyl)acetal ( $\text{H}_2\text{C}(\text{OSiMe}_3)_2$ ) or formaldehyde ( $\text{H}_2\text{C}=\text{O}$ ).** Three similar possible pathways for the cationic Ir-pincer complex catalyzing the hydrosilylation of silylformate substrate were studied. Pathway A, involving dissociation of a second silane Si–H bond to the C–O bond of silylformate, and pathway C, involving silylformate insertion into the Ir–H bond, were calculated to have higher activation free energies of  $38.7 \text{ kcal mol}^{-1}$  (**TS6a**) and  $34.1 \text{ kcal mol}^{-1}$  (**TS7c**), respectively (see Fig. S2 in the ESI† for more details about the attack model). Therefore, only the free energy changes of the favorable pathway B leading to bis(silyl)acetal or formaldehyde, as shown in Fig. 5, are discussed.

Pathway B corresponds to the ionic  $\text{S}_{\text{N}}2$  outer-sphere mechanism with the silylformate nucleophilically attacking the  $\eta^1$ -silane iridium complex. Initially, silylformate is liberated from the iridium atom. For the next step, we considered two attacking models. We first considered an activation mode with the exposed oxygen atom of the C=O bond of silylformate acting as the entering group to attack the silicon atom of the  $\eta^1$ -H–Si bond (Fig. 5). The corresponding transition state featuring the ionic  $\text{S}_{\text{N}}2$  outer-sphere process was identified as **TS8b**, in which the Si2–H2 bond is elongated to  $2.49 \text{ \AA}$ , and the O–Si2 and Ir–H2 bonds are shortened to  $1.88 \text{ \AA}$  and  $1.64 \text{ \AA}$ , respectively.



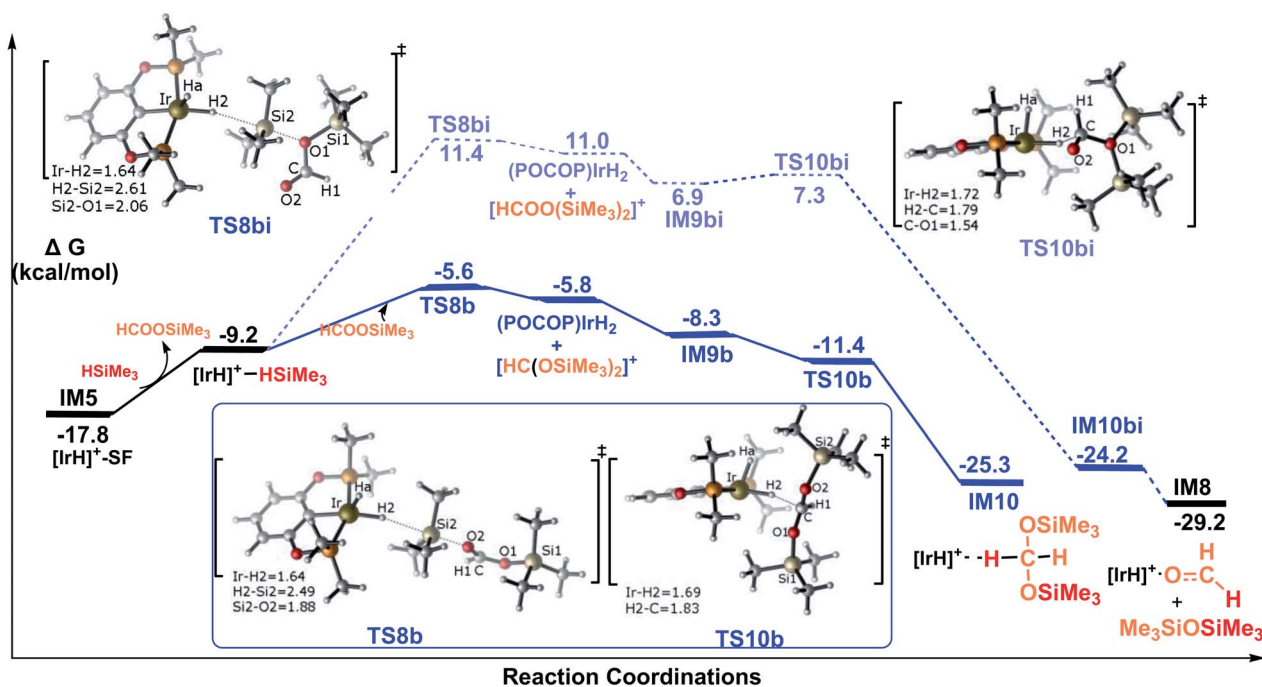


Fig. 5 Summary of the results from the calculations for stage II of the hydrosilylation of silylformate to bis(silyl)acetal or formaldehyde catalyzed by the cationic Ir-pincer complex via pathway B. The solvent-phase Gibbs free energies are in kcal mol<sup>-1</sup>.

Alternatively, the exposed oxygen atom of the C–O(SiMe<sub>3</sub>) bond of the silylformate substrate could also act as the entering group to attack the Si center of the  $\eta^1$ -H–Si bond. The transition state was identified as **TS8bi**. At this transition state, accompanying the Si2–H2 bond heterolytic cleavage, the silyl moiety and the silane hydrogen binds to silylformate and iridium, respectively ( $d(\text{Si2}\cdots\text{O1}) = 2.06$  Å,  $d(\text{Ir}\cdots\text{H2}) = 1.64$  Å). Both of the S<sub>N</sub>2 transition states yield ionic pair intermediates. **TS8b** leads to an ion pair comprising iridium dihydride [IrH<sub>2</sub>(POCOP)] and the cation [HC(OSiMe<sub>3</sub>)<sub>2</sub>]<sup>+</sup>. Alternatively, the ionic pair of iridium dihydride [IrH<sub>2</sub>(POCOP)] and the cation [HCOO(SiMe<sub>3</sub>)<sub>2</sub>]<sup>+</sup> are formed from **TS8bi**. At the next step, the weakly bound moiety in the ion pairs can reorganize, followed by hydride transfer to give the corresponding product. The hydride on the iridium dihydride transfers to the cation [HC(OSiMe<sub>3</sub>)<sub>2</sub>]<sup>+</sup> to give a bis(silyl) acetal–Ir adduct, passing **TS10b**. Alternatively, the hydride on the iridium dihydride migrates to the carbon atom of the cation [HCOO(SiMe<sub>3</sub>)<sub>2</sub>]<sup>+</sup> passing **TS10bi**. Through **TS10bi**, disiloxane O(SiMe<sub>3</sub>)<sub>2</sub> can easily dissociate to give the Ir-bound formaldehyde adduct. Our DFT results show that both hydride transfer processes are thermodynamically favorable and proceed effectively with a negligibly barrier. **TS10b** is barrier free. **TS10bi** was computed to be 0.4 kcal mol<sup>-1</sup> relative to the ionic pair of [IrH<sub>2</sub>(POCOP)] and the cation [HCOO(SiMe<sub>3</sub>)<sub>2</sub>]<sup>+</sup> in solvent.

Therefore, the rate-determining steps along the ionic S<sub>N</sub>2 outer-sphere pathway are the transition states **TS8b** and **TS8bi**. The energy needed for the C=O bond of silylformate to attack the  $\eta^1$ -H–Si bond is 12.2 kcal mol<sup>-1</sup> (**TS8b** relative to the silylformate iridium complex **IM5**), which is 17.0 kcal mol<sup>-1</sup> lower than that needed for the C–O(SiMe<sub>3</sub>) bond of silylformate to attack the  $\eta^1$ -H–Si bond (29.2 kcal mol<sup>-1</sup>, **TS8bi** relative to the

silylformate iridium complex **IM5**). Therefore, the ionic S<sub>N</sub>2 outer-sphere pathway leading to the bis(silyl)acetal–Ir adduct is greatly favored relative to the pathway leading to the formaldehyde–Ir adduct. In other words, nucleophilic attack of the C=O double bond is highly favorable, while the nucleophilic attack of the C–O(SiMe<sub>3</sub>) single bond is highly unlikely. This argument finds support from the structural characteristics calculated for the two transition states. As shown in the optimized structures of **TS8b** and **TS8bi**, the Si2–O2 bond distance is 2.49 Å in **TS8b**, which is shorter than the Si2–O1 bond distance of 2.61 Å in **TS8bi**. This indicates a stronger interaction between the oxygen atom of the C=O bond in silylformate and the silicon atom of  $\eta^1$ -silane, compared to that between the oxygen atom of C–O(SiMe<sub>3</sub>) in silylformate and the silicon atom of  $\eta^1$ -silane.

In summary, as shown in Fig. 5, we conclude that the hydrosilylation of silylformate catalyzed by the cationic iridium complex is an exothermic process with a value of  $-7.5$  kcal mol<sup>-1</sup> (**IM5** to **IM10**). The most favorable pathway to generate the bis(silyl)acetal substrate is *via* the ionic S<sub>N</sub>2 outer-sphere pathway, with an activation free energy barrier of only 12.2 kcal mol<sup>-1</sup> (**TS8b** relative to **IM5**). The generation of formaldehyde was found to be less energetically favorable, associated with an activation free energy of 29.2 kcal mol<sup>-1</sup> (**TS8bi** relative to **IM5**). Thus, the generation of formaldehyde is prevented from participating in the reaction. Our observation suggests that the bis(silyl)acetal substrate, but not the formaldehyde product, is observed in the hydrosilylation of CO<sub>2</sub> catalyzed by the cationic Ir-pincer complex, which is consistent with the experimental results obtained by Brookhart *et al.*<sup>34</sup>



**Third and fourth reduction steps: reducing bis(silyl)acetal ( $\text{H}_2\text{C}(\text{OSiMe}_3)_2$ ) to methoxysilane ( $\text{H}_3\text{COSiMe}_3$ ) and reducing methoxysilane ( $\text{H}_3\text{COSiMe}_3$ ) to methane ( $\text{CH}_4$ ).** In the continuous reduction of bis(silyl)acetal to methoxysilane and then to methane, mediated by the cationic Ir-pincer complex, the favorable pathway takes place *via* two sequential ionic  $\text{S}_{\text{N}}2$  outer-sphere processes. Fig. 6 shows the energy profiles for the two steps. When the bis(silyl)acetal substrate nucleophilically attacks the  $\eta^1$ -silane iridium complex, the ionic  $\text{S}_{\text{N}}2$  transition state **TS11b** can be identified. At **TS11b**, a new Ir–H3 bond is partially formed (1.67 Å), accompanied by the significant elongation of the Si3–H3 bond (2.30 Å). Together with silyl binding to bis(silyl)acetal and a silane hydrogen binding to the iridium atom, an ion pair comprising iridium dihydride and the cation  $[\text{CH}_2(\text{OSiMe}_3)_2(\text{SiMe}_3)]^+$  is formed. Next, iridium dihydride transfers a hydride to the carbon atom of the cation  $[\text{CH}_2(\text{OSiMe}_3)_2(\text{SiMe}_3)]^+$  *via* the transition state **TS13b**, giving a methoxysilane–Ir adduct. The rate-determining step is calculated to be associated with an activation barrier of  $16.4 \text{ kcal mol}^{-1}$  (**TS11b** relative to the  $\eta^1$ -silane iridium complex and bis(silyl)acetal).

Then, the reduction of methoxysilane *via* the ionic  $\text{S}_{\text{N}}2$  outer-sphere reaction pathway leads to the final product methane. The ionic  $\text{S}_{\text{N}}2$  outer-sphere transition state was identified as **TS14b**. At **TS14b**, the new Ir–H4 bond is partially formed (1.66 Å), accompanied by the significant elongation of the Si4–H4 bond (2.33 Å). **TS14b** gives the ion pair comprising iridium dihydride and the cation  $[\text{CH}_3\text{O}(\text{SiMe}_3)_2]^+$ . Subsequently, iridium dihydride transfers a hydride to the carbon of the cation  $[\text{CH}_3\text{O}(\text{SiMe}_3)_2]^+$ , leading to the formation of methane, by passing **TS15b**. The rate-determining step along the ionic  $\text{S}_{\text{N}}2$  outer-sphere pathway for the reduction of methoxysilane to methane was calculated to have an activation barrier of

$22.9 \text{ kcal mol}^{-1}$  (**TS15** relative to the  $\eta^1$ -silane iridium complex and methoxysilane). Therefore, the third stage of the reduction reaction of bis(silyl)acetal to methoxysilane along **TS11b**  $\rightarrow$  **IM11b**  $\rightarrow$  **IM12b**  $\rightarrow$  **TS13b**  $\rightarrow$  **IM13** and the fourth stage of the reduction of methoxysilane to methane along **TS14b**  $\rightarrow$  **IM14b**  $\rightarrow$  **TS15b**  $\rightarrow$  **IM15** have low activation barriers of  $16.4 \text{ kcal mol}^{-1}$  and  $22.9 \text{ kcal mol}^{-1}$ , respectively.

**The iridium dihydride complex  $[\text{IrH}_2(\text{POCOP})]$  catalyzing the reduction of  $\text{CO}_2$  with silanes.** Our DFT results show that the iridium dihydride can be generated *in situ* along the ionic  $\text{S}_{\text{N}}2$  outer-sphere pathways for the hydrosilylation of carbon dioxide catalyzed by the cationic Ir-pincer complex, as detailed in Fig. 4–6. Since metal dihydrides have previously been explored to be effective catalysts in the conversion of carbon dioxide,<sup>54</sup> we investigated the likelihood of the iridium dihydride-catalyzed reduction of  $\text{CO}_2$  with silanes. The optimized structures of the relevant mechanism and relative free energy profiles are depicted in Fig. 7. According to our calculations, carbon dioxide insertion into the Ir–H bond of  $[\text{IrH}_2(\text{POCOP})]$  is very feasible. The activation free energy of the transition state **TS17** is only  $6.9 \text{ kcal mol}^{-1}$  higher than that of the reacting species ( $[\text{IrH}_2(\text{POCOP})] + \text{CO}_2$ ). It is noteworthy that the equatorial hydride approaches the C=O bond of  $\text{CO}_2$  without a large change in the configuration around the iridium center in the optimized structure of the transition state **TS17**. The generated intermediate **IM17** is the starting point for the silylation of formate. First, the  $\eta^2$ -HCOO moiety rotates around the iridium atom to the  $\eta^1$ -HCOO moiety to accommodate a free silane (**TS18**  $\rightarrow$  **IM18**). Subsequently, a silane molecule coordinates to the iridium atom, which then undergoes a metathesis process of the four-membered ring transition state (**TS20**), generating silylformate. This metathesis process is feasible, with an activation free energy of  $16.7 \text{ kcal mol}^{-1}$  (relative to **IM19**). This

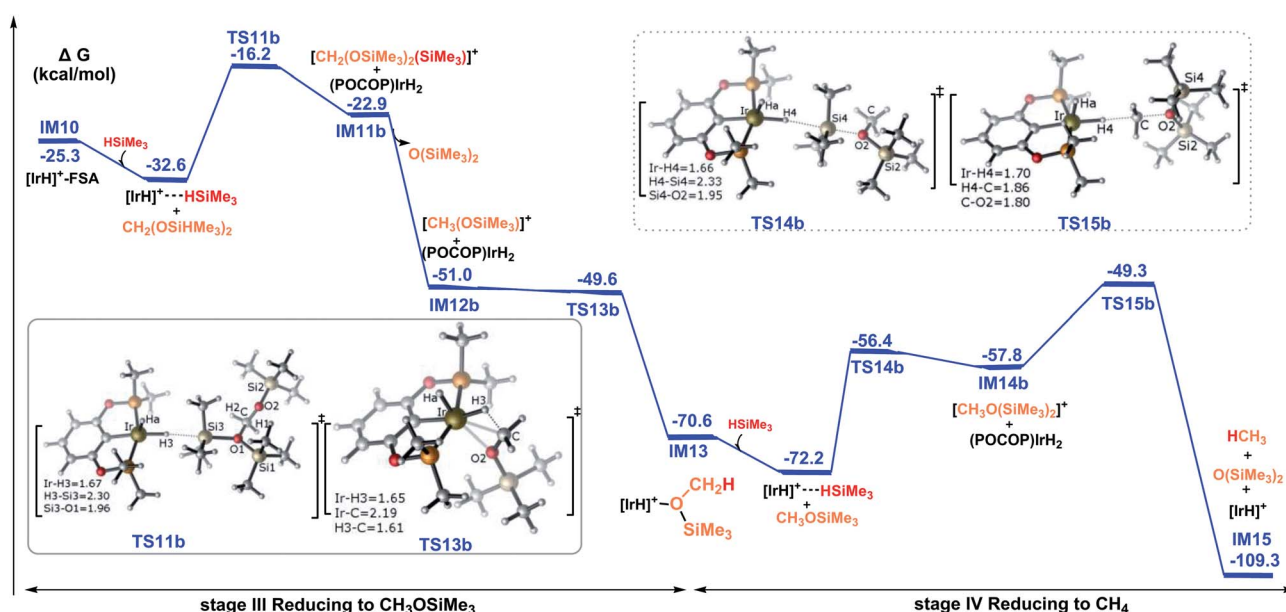


Fig. 6 Summary of the results for the hydrosilylation of bis(silyl)acetal to methoxysilane and methane, catalyzed by the cationic iridium complex *via* the ionic outer-sphere mechanistic pathways. The solvent-phase Gibbs free energies  $[\Delta G(\text{sol})]$  are in  $\text{kcal mol}^{-1}$ .





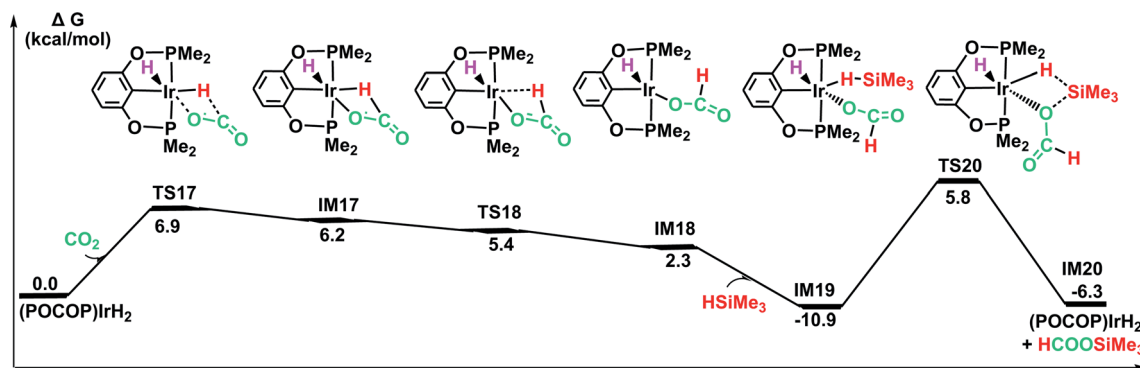
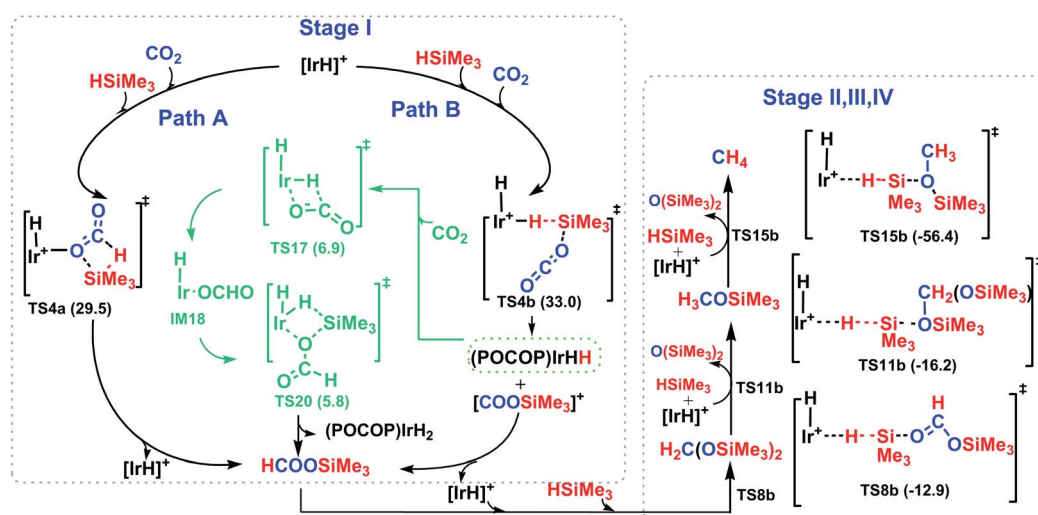


Fig. 7 The DFT results for the iridium dihydride complex  $[\text{IrH}_2(\text{POCOP})]$  catalyzing the hydrosilylation of  $\text{CO}_2$ . Optimized geometries of key stationary points are displayed in Fig. S11.†

barrier would be easily surmountable at the temperatures typically used for the silylation reaction. The potential energy surface in Fig. 7 reveals that the reduction of  $\text{CO}_2$  by the iridium dihydride with silanes is energetically much more preferable. More importantly, this rate-determining barrier is much lower than that calculated for the reduction of  $\text{CO}_2$  to silylformate substrate with the cationic iridium complex (Fig. 4,  $29.5 \text{ kcal mol}^{-1}$ ). Thus, we propose that the generation of iridium dihydride  $[\text{IrH}_2(\text{POCOP})]$  plays an important role in the reduction of carbon dioxide with silanes catalyzed by the cationic Ir-pincer complex.

The overall catalytic cycle for the cationic Ir-pincer complex catalyzing the reduction of  $\text{CO}_2$  with silanes to methane is summarized in Scheme 4. According to our calculations, the whole transformation of  $\text{CO}_2$  with silanes to methane by the cationic Ir-pincer can be divided into four reducing steps with silane hydrogen atoms subsequently being transferred to a carbon dioxide molecule:  $\text{CO}_2 \rightarrow$  silylformate ( $\text{HCOOSiMe}_3$ )  $\rightarrow$  bis(silyl)acetal ( $\text{H}_2\text{C}(\text{OSiMe}_3)_2$ )  $\rightarrow$  methoxysilane ( $\text{H}_3\text{COSiMe}_3$ )  $\rightarrow$  methane ( $\text{CH}_4$ ). The results obtained in this study

are consistent with the catalytic cycle proposed by Brookhart. The first step of reducing  $\text{CO}_2$  to silylformate is the rate-determining step of the overall catalytic cycle. Our DFT results identified two competing pathways: a dissociation pathway featuring the silane Si-H bond directly dissociating onto the  $\text{C}=\text{O}$  bond of an  $\text{Ir}-\text{CO}_2$  moiety (passing **TS4a**) and an ionic  $\text{S}_{\text{N}}2$  outer-sphere pathway of  $\text{CO}_2$  nucleophilically attacking the  $\eta^1$ -silane iridium complex (passing **TS4b** and **TS7b**). Moreover, on the basis of the calculated energy profiles, the generation of the iridium dihydride complex was found to effectively promote  $\text{CO}_2$  hydrosilylation. Our results reveal that the rate-determining step for the  $\text{CO}_2$  activation to silylformate, catalyzed by iridium dihydride, possesses a barrier of  $16.7 \text{ kcal mol}^{-1}$ . The subsequent stages of reducing silylformate to bis(silyl)acetal, methoxysilane and finally to methane are all feasible. The rate-determining activation free energy for stage II of reducing silylformate to bis(silyl)acetal is  $12.2 \text{ kcal mol}^{-1}$  (**TS8b** relative to the iridium-silylformate complex). The rate-determining activation free energy for stage III of reducing bis(silyl)acetal to methoxysilane is  $16.4 \text{ kcal mol}^{-1}$  (**TS11b** relative to the  $\eta^1$ -



Scheme 4 The overall four stages of the reaction mechanism for the catalytic hydrosilylation of  $\text{CO}_2$  to methane catalyzed by the cationic iridium complex.





silane iridium complex and bis(silyl)acetal). Furthermore, the rate-determining activation free energy for stage IV of reducing methoxysilane to methane is 22.9 kcal mol<sup>-1</sup> (**TS15b** relative to the  $\eta^1$ -silane iridium complex and methoxysilane).

## Conclusions

The mechanisms behind the cationic Ir-pincer complex catalyzing the hydrosilylation of carbon dioxide to methane product were elucidated using DFT calculations. The calculated results indicate that the conversion of carbon dioxide to methane includes four stages. The first stage of reducing CO<sub>2</sub> to silylformate is the rate-determining step in the overall carbon dioxide conversion process. The present computational study suggests two possible pathways: the dissociation pathway, in which a free silane dissociates into the weak C=O bond of the Ir-CO<sub>2</sub> moiety, and the ionic S<sub>N</sub>2 outer-sphere pathway, in which CO<sub>2</sub> nucleophilically attacks the  $\eta^1$ -silane iridium complex to cleave the Si-H bond, followed by the hydride transfer process.

Reducing carbon dioxide to silylformate *via* dissociation of the silane Si-H bond to the C=O bond of Ir-CO<sub>2</sub> has a free energy barrier of around 30 kcal mol<sup>-1</sup> in solvent. To the best of our knowledge, the pre-coordination of CO<sub>2</sub> to the metal center being the rate-determining step in a dissociation pathway has not been reported before for M-H complexes. In the second stage, silylformate is reduced to bis(silyl)acetal substrate. The rate-limiting step is calculated to have a free energy barrier of around 12.2 kcal mol<sup>-1</sup> in solvent. In the third stage, bis(silyl)acetal is reduced to methoxysilane, and the rate-limiting step is calculated to have a free energy barrier of around 16.4 kcal mol<sup>-1</sup> in solvent. In the fourth stage, methoxysilane is reduced to methane, and the rate-limiting step is calculated to have a free energy barrier of around 22.9 kcal mol<sup>-1</sup> in solvent. Based on the DFT calculations, the three subsequent reduction steps favor the ionic S<sub>N</sub>2 outer-sphere pathways. Furthermore, our calculations indicate that formaldehyde is unlikely to be an intermediate in the CO<sub>2</sub> conversion catalyzed by the cationic Ir-pincer complex. The possible ways to generate formaldehyde are all associated with high free energy barriers: 38.7 kcal mol<sup>-1</sup> (**TS6a** relative to **IM5**), 29.2 kcal mol<sup>-1</sup> (**TS8bi** relative to **IM5**), and 34.1 kcal mol<sup>-1</sup> (**TS7c** relative to **IM5**). Moreover, our results show that the *in situ* generation of iridium dihydride can greatly promote the silylation of CO<sub>2</sub>. The computed potential energy barrier for iridium dihydride catalyzing the silylation of CO<sub>2</sub> is quite low, with an activation free energy of 16.7 kcal mol<sup>-1</sup>.

## Conflicts of interest

There are no conflicts to declare.

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