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Electronic structure, polaron formation, and functional properties in transition-metal tungstates

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Transition-metal tungstates MWO_4 (M = Co, Ni, Cu, Zn) have applications in many areas, including supercapacitors. A good understanding of the electronic structure is essential to understanding their functional properties. Here, we report a first-principles study of the materials using hybrid density-functional calculations. The electronic structure is analyzed with a focus on the nature of the electronic states near the band edges. We find that hole polarons can form at the Co lattice site in $CoWO_4$ and the O site in $NiWO_4$, $CuWO_4$, and $ZnWO_4$, resulting in the formation of Co^{3+} in the former and O^- in the latter. The electrochemical activity observed in certain tungstate compounds, but not in others, appears to be related to the ability to form hole polarons on the transition-metal ions. The formation energy and migration barrier of the hole polaron in $CoWO_4$ are also calculated and the results are employed to understand the reported p-type conductivity.

1 Introduction

Transition-metal tungstates MWO_4 (M = Co, Ni, Cu, Zn) have been of interest for a wide range of applications, including supercapacitors,[1,2] photocatalysts,[3–5] scintillators,[6] and sensors.[7] The compounds possess the monoclinic ($P2/c$) wolframite-type structure,[8–10] see Fig. 1, except $CuWO_4$ whose symmetry is triclinically distorted with the space group $P\bar{1}$ due to the Jahn–Teller effect associated with the Cu^{2+} ion.[10] $CoWO_4$, for example, were shown to be a promising supercapacitor electrode material,[1] suggesting that the $Co^{3+/2+}$ redox couple may be active. Bharati *et al.*[11] also reported that $CoWO_4$ is a "p-type semiconductor" and its electronic conduction is likely to occur through hopping of small polarons. $ZnWO_4$, on the other hand, was found not to show any electroactivity when used as a pseudocapacitive electrode material.[12] As shown in previous studies, electronic structure and polaron formation can provide crucial information on the electrochemical properties of complex transition-metal oxides.[13–15]

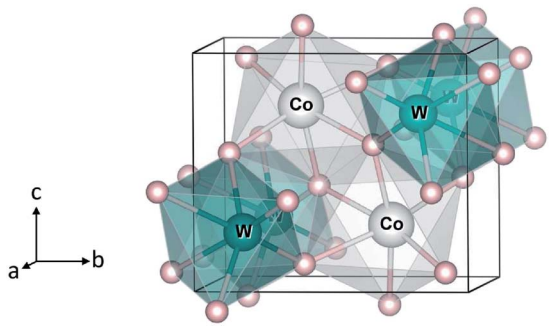

Fig. 1 Crystal structure of monoclinic $CoWO_4$. Large (gray) spheres are Co, medium (blue) spheres are W, and small (red) spheres are O.

The electronic structure of the tungstates mentioned above has been investigated by several research groups using first-principles calculations based on density-functional theory (DFT) within the standard local-density or generalized gradient approximation and/or its extension DFT+U where U is the on-site Hubbard correction.[16–19] The methods used in these previous studies are, however, often have limited predictive power because the electronic states in the materials (*e.g.*, the transition-metal d and oxygen p states) are not treated on equal footing. Besides, to our knowledge, polaron formation in the compounds has not been studied. Recently, two transition-metal tungstates, $FeWO_4$ and $MnWO_4$, have been studied in detail and the results show that both hole and electron polarons can occur and participate in charge transport and electrochemical processes.[15] It thus remains to be explored if polarons also form in the other tungstates.

We herein report a first-principles study of MWO_4 (M = Co, Ni, Cu, Zn) using a hybrid DFT/Hartree–Fock method in which all electronic states in the materials are treated on equal footing. The focus of this work is on the electronic structure, particularly the nature of the electronic states near the band edges, in the different tungstate compounds, and the ability to stabilize an electron and/or hole polaron. We also explicitly investigate the formation of selected intrinsic point defects in $CoWO_4$ as well as the migration of hole polarons and discuss its

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relevance to the observed p-type electronic conduction. The electronic structure of $FeWO_4$ and $MnWO_4$ is also reproduced and included for comparison.

2 Methods

The total-energy calculations are based on DFT, using the Heyd–Scuseria–Ernzerhof (HSE06) screened hybrid functional,[20] the projector augmented wave (PAW) method,[21] and a plane-wave basis set, as implemented in the Vienna *Ab Initio* Simulation Package (VASP).[22] The Hartree–Fock mixing parameter and the screening length are set to their standard values of 0.25 and 10 Å, respectively. We use the standard PAW potentials in the VASP database which treat Mn $3d^6\ 4s^1$, Fe $3d^7\ 4s^1$, Co $3d^8\ 4s^1$, Ni $3d^9\ 4s^1$, Cu $3d^{10}\ 4p^1$, Zn $3d^{10}\ 4p^2$, W $6s^2\ 5d^4$, and O $2s^2\ 2p^4$ explicitly as valence electrons and the rest as core electrons. The plane-wave basis-set cutoff is set to 500 eV and spin polarization is included. The calculations of bulk properties in MWO_4 (two formula units per unit cell) are carried out using a $5 \times 4 \times 5$ k-point mesh. Point defects in $CoWO_4$ are modelled using $2 \times 2 \times 2$ (96-atom) monoclinic supercells. Integrations over the supercell Brillouin zone is carried out using the Γ point. In all calculations, structural relaxations are performed with the HSE06 functional and the force threshold is chosen to be 0.01 eV $Å^{-1}$.

The formation energy of a cobalt vacancy (V_{Co}) with charge state q in $CoWO_4$ is defined as

$$E^f(V_{Co}{}^q) = E_{tot}(V_{Co}{}^q) - E_{tot}(\text{bulk}) + \mu_{Co} + q(E_v + \mu_e) + \Delta^q, \quad (1)$$

where $E_{tot}(V_{Co}{}^q)$ and $E_{tot}(\text{bulk})$ are, respectively, the total energy of a supercell containing the vacancy and that of an equivalent supercell of the perfect $CoWO_4$. μ_{Co} is the atomic chemical potential of Co, referenced to the total energy per atom of the Co metal. μ_e is the electronic chemical potential, *i.e.*, the Fermi level, referenced to the valence-band maximum (VBM) in the bulk (E_v). Δ^q is the correction term to align the electrostatic potentials of the bulk and defect supercells and to account for finite-size effects on the total energies of charged defects.[23] The expression for the formation energy of a small hole polaron ($q = +1$) is similar to eqn (1), except that the atomic chemical potential term is zero since there is only the exchange of an electron with its reservoir. In eqn (1), vibrational contributions to the energies are neglected because they are small or negligible.[24] Besides, significant cancellation occurs between different terms in the equation.

3 Results and discussion

3.1 Atomic and electronic structure

We start with the bulk properties of the tungstates. Fig. 1 shows the relaxed structure of monoclinic $CoWO_4$; the structure of $NiWO_4$ and $ZnWO_4$ is similar. The lattice parameters of MWO_4 are listed in Table 1. We find that the calculated values are in excellent agreement with the reported experimental ones.[8–10]

In $CoWO_4$, Co is found to be stable as high-spin Co^{2+} with a magnetic moment of 2.73 μ_B; W is stable as W^{6+}. Our calculations using $2 \times 1 \times 1$ supercells of the unit cell shown in Fig. 1, similar to the $2 \times 1 \times 1$ models for $FeWO_4$ and $MnWO_4$ described in ref. 15, indicate that the ferromagnetic (FM) and antiferromagnetic (AF) spin configurations are degenerate in energy.

Table 1 Lattice parameters of tungstates MWO_4 (M = Co, Ni, Cu, Zn)

	Experimental		Calculated
$CoWO_4$	$a = 4.659$ Å	Ref. 8	$a = 4.659$ Å
	$b = 5.667$ Å		$b = 5.716$ Å
	$c = 4.940$ Å		$c = 4.936$ Å
	$\beta = 89.94°$		$\beta = 89.95°$
$NiWO_4$	$a = 4.599$ Å	Ref. 9	$a = 4.612$ Å
	$b = 5.660$ Å		$b = 5.675$ Å
	$c = 4.906$ Å		$c = 4.906$ Å
	$\beta = 90.03°$		$\beta = 89.92°$
$CuWO_4$	$a = 4.7095$ Å	Ref. 10	$a = 4.772$ Å
	$b = 5.8452$ Å		$b = 5.935$ Å
	$c = 4.8885$ Å		$c = 4.871$ Å
	$\alpha = 88.353°$		$\alpha = 87.70°$
	$\beta = 92.508°$		$\beta = 93.27°$
	$\gamma = 97.205°$		$\gamma = 98.89°$
$ZnWO_4$	$a = 4.6926$ Å	Ref. 10	$a = 4.702$ Å
	$b = 5.7213$ Å		$b = 5.755$ Å
	$c = 4.9281$ Å		$c = 4.917$ Å
	$\beta = 90.632°$		$\beta = 90.70°$

Fig. 2(a) shows the total and projected electronic density of states of $CoWO_4$. Focusing on the electronic structure near the band edges, we find that the VBM is predominantly composed of the Co 3d states, whereas the conduction-band minimum (CBM) is predominantly the W 5d and Co 3d states. A detailed analysis of the electronic structure shows that each of the two Co atoms in the unit cell accounts for 39% of the electronic states at the VBM; at the CBM, each W accounts for 32% and each Co contributes 15%. The calculated band gap is 3.10 eV, a direct gap at the Γ point. This value is very close to the experimental value 2.80 eV estimated by Bharati *et al.*,[11] obtained by assuming that the electronic conduction above 750 K is through band-like carriers.

As for $NiWO_4$, Ni is stable as Ni^{2+} with a calculated magnetic moment of 1.74 μ_B. The FM and AF spin configurations $NiWO_4$ are almost degenerate in energy; the FM configuration is higher in energy than the AF configuration with parallel spins within the Ni zigzag chains along the c axis but with adjacent chains coupled antiferromagnetically by only 8 meV per formula unit. Fig. 2(b) shows the electronic structure of $NiWO_4$. The VBM of the compound is predominantly composed of the Ni 3d states and the O 2p states; each Ni atom in the unit cell accounts for 16% of the electronic states at the VBM and some O atoms contribute up to 10% each. The CBM, on the other hand, is predominantly composed of the W 5d states and the Ni 3d states; each W atom accounts for 28% and each Ni atom contributes 20%. $NiWO_4$ has an indirect band gap of 3.41 eV. Experimentally, the compound was reported to have an optical band gap of 3.2 ± 0.2 eV.[9]

In $CuWO_4$, Cu is found to be stable as Cu^{2+} with a magnetic moment of 0.76 μ_B. The electronic structure of the compound is

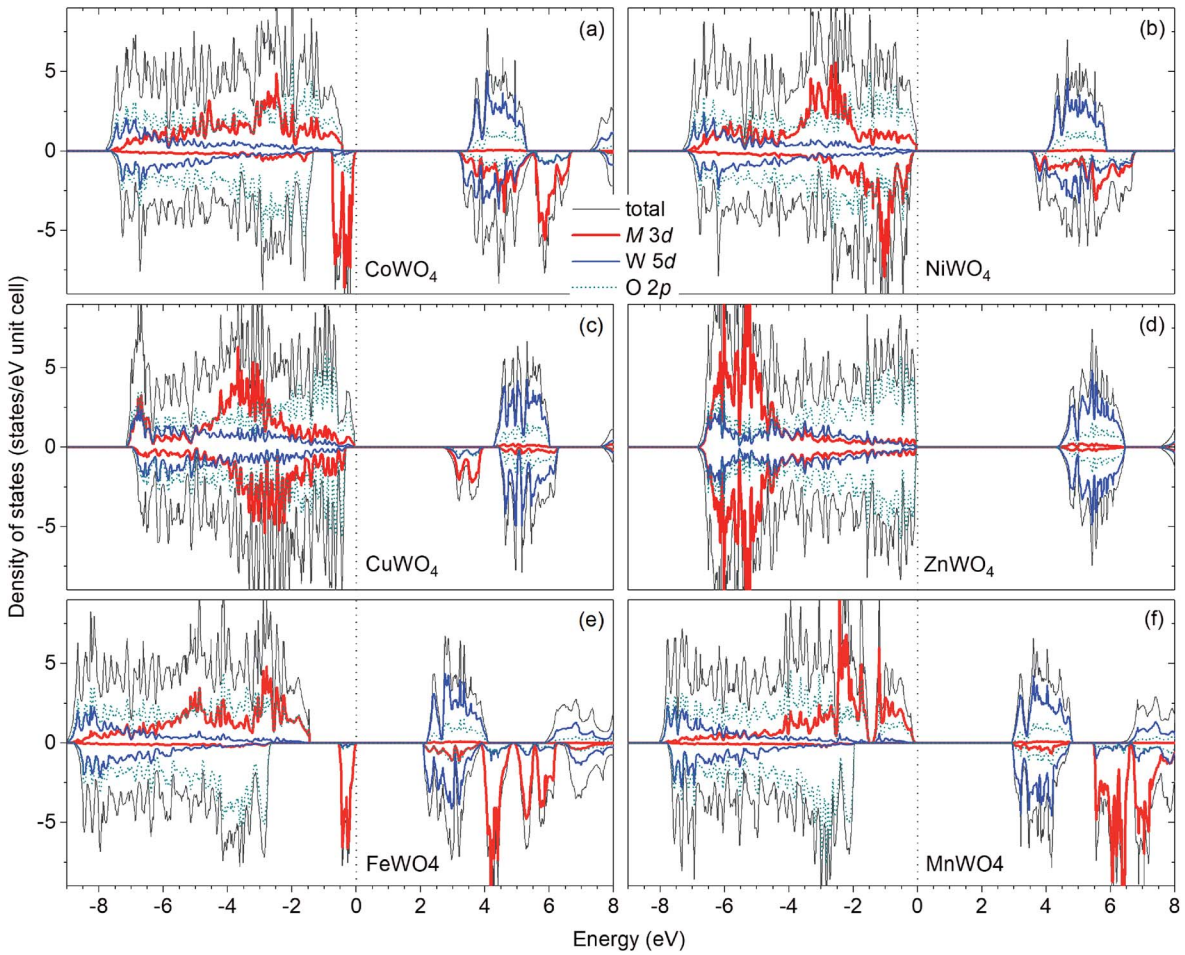

Fig. 2 Total and projected electronic density of states of MWO_4 in the ferromagnetic spin configuration: (a) M = Co, (b) M = Ni, (c) M = Cu, and (d) M = Zn. The results for (e) $FeWO_4$ and (f) $MnWO_4$ are also included for comparison. The zero of energy is set to the highest occupied states.

shown in Fig. 2(c). We find that the VBM is predominantly composed of the O 2p states and some contribution from the Cu 3d states; specifically, the electronic states at the VBM have 12% from each Cu atom and some O atoms contributes up to 13% each. The CBM is, on the other hand, predominantly the Cu 3d states (29% from each Cu atom) and the W 5d states (17% from each W atom). $CuWO_4$ is found to have an indirect band gap of 2.71 eV, comparable to the reported experimental value 2.3 eV.[4]

Finally, Zn in $ZnWO_4$ is found to be stable as Zn^{2+} with a zero magnetic moment. Fig. 2(d) shows the electronic structure of $ZnWO_4$. We find that the VBM is predominantly composed of the O 2ps states; some O atoms contribute up to 20% to the electronic states at the VBM. The CBM is, on the other hand, predominantly the W 5d states (each W atom contributes 40%) and there is a small contribution from the Zn 3d states (5% is from each Zn atom). $ZnWO_4$ has a calculated (direct) band gap of 4.30 eV, comparable to the reported experimental value 3.98 eV.[16]

For comparison, the results for $FeWO_4$ and $MnWO_4$ are also included; see Fig. 2(e and f). As also reported ref. 15, the VBM of $FeWO_4$ is predominantly composed of the Fe 3d states with each Fe atom accounts for 46% of the electronic states at the VBM; the CBM is predominantly the W 5d states with each W atom contributes 40%. The VBM of $MnWO_4$ is, on the other hand, predominantly the Mn 3d states with each Mn atom accounts for 27%; the CBM is predominantly composed of the W 5d states with each W atom accounts for 39% of the states at the CBM.

The nature of the electronic structure near the band edges is thus different for different tungstates in the series, as expected. More importantly, our analysis provides a quantitative understanding of electronic structure formation. In the next section, we will examine the implications on defect formation in the materials, particularly on possible formation of polarons.

3.2 Hole polaron formation

Calculations for polarons are carried out using the supercell models described in Section 2. For each compound, the creation of a free hole polaron involves removing one electron from the supercell, specifically from the highest occupied state; the creation of a free electron polaron, on the other hand, involves adding one electron to the supercell, *i.e.*, to the lowest unoccupied state. The procedures are performed *via* controlling the total number of valence electrons in the system. The actual

occupation of the removed or added electron is checked by examining changes in the charge density, local lattice environment, and magnetic moment. The removal and addition of electrons can be employed to represent oxidation and reduction processes, respectively.[13–15]

We find that the removal of an electron from the $CoWO_4$ supercell results in a high-spin Co^{3+} with a calculated magnetic moment of 3.16 μ_B, which can be regarded as a highly localized electron hole at one of the Co lattice sites. The local lattice environment is slightly distorted in the presence of the hole; the average Co^{3+}–O bond length is 2.02 Å, compared to 2.10 Å of the Co–O bonds in the bulk. Since the lattice distortion is mainly within the first nearest neighbors of the hole, the localized hole can be regarded as a small hole polaron, hereafter denoted as η_{Co}^{+}; see Fig. 3(a). The self-trapping energy (E_{ST}), defined as the formation-energy difference between the free hole and the hole polaron, is calculated to be 0.32 eV for η_{Co}^{+}. We note that the low-spin Co^{3+} (0 μ_B) solution is higher in energy than the high-spin configuration by 0.50 eV. The formation of the hole polaron η_{Co}^{+} in $CoWO_4$ can be understood in terms of the electronic structure discussed earlier according to which the electron has to be removed from the Co 3d states; see also Fig. 2(a).

The removal of an electron from the $NiWO_4$, $CuWO_4$, or $ZnWO_4$ supercell, on the other hand, results in a highly localized electron hole at one of the O sites; *i.e.*, one O^{2-} is oxidized to O^{-} with a magnetic moment of ~0.7 μ_B. The local lattice environment is also distorted in the presence of this hole. O^{-} can thus also be regarded as a small hole polaron, hereafter denoted as η_{O}^{+}. Fig. 3(b) shows the charge density associated with η_{O}^{+} in $NiWO_4$. The self-trapping energy is E_{ST} = 0.22 eV (in $NiWO_4$), 0.05 eV ($CuWO_4$), or 0.38 eV ($ZnWO_4$). The very small E_{ST} value in the case of triclinic $CuWO_4$ could be due to the Jahn–Teller distortion in the material's lattice environment. The main difference between these three tungstates and $CoWO_4$ (as well as $FeWO_4$ and $MnWO_4$)[15] is thus that the oxidation occurs on the transition metal in the latter whereas it can occur on the oxygen in the former. The results are therefore consistent with the electronic structure presented earlier in which the VBM of $NiWO_4$, $CuWO_4$, and $ZnWO_4$ is composed mainly of the O 2p states. We note that, though a free hole polaron associated with Ni^{3+} cannot be stabilized in bulk $NiWO_4$, it remains to be explored if it can occur in the presence of other defects or at/near the surface or interface where the lattice environment is different from that in the bulk.

Regarding electron polarons, we find that the addition of an electron to MWO_4 (M = Co, Ni, Cu, Zn) results in an electron that is delocalized all over the supercell. The electron polaron associated with the reduction of W^{6+} to W^{5+} is thus not stable in these compounds, unlike in $FeWO_4$ and $MnWO_4$.[15] The difference can be traced back to the electronic structure discussed earlier: there is a strong mixing between the M 3d and W 5d states at the CBM of MWO_4 (M = Co, Ni, Cu, Zn). As a result, an electron when added to the materials (*e.g.*, during a reduction process) cannot be localized on any particular W ion. In the case of MWO_4 (M = Fe, Mn), the CBM is predominantly composed of the W 5d states, making it possible for the added electron to be localized.[15]

Finally, as mentioned earlier, some transition-metal tungstates such as $CoWO_4$ (as well as $FeWO_4$ and $MnWO_4$) are electroactive,[1,12,25] whereas other tungstates such as $ZnWO_4$ are not active,[12] when used as pseudocapacitive electrode materials. This can be ascribed to the fact that hole polarons associated with the transition metal can form in the former but not in the latter; *i.e.*, the transition-metal (M) redox couple is active in MWO_4 (M = Co, Fe, Mn). Given the interplay between the electronic structure and polaron formation, our work thus illustrates how a material's functional properties can be related to its electronic structure.

3.3 Electronic conduction in $CoWO_4$

Let us now discuss in more detail the case of $CoWO_4$ where the hole polaron η_{Co}^{+} is found to be stable. This defect can occur in the material in combination with other intrinsic point defects. Based on the results previously reported for $FeWO_4$ and $MnWO_4$,[15] we consider only cobalt vacancies (V_{Co}) since V_{Co}^{2-} (*i.e.*, the removal of an Co^{2+} ion) is likely the lowest-energy negatively charged intrinsic point defect. Fig. 4 shows the calculated formation energies of η_{Co}^{+} and V_{Co} (in charge states 2−, −, and 0). The slope in the energy plots indicates the charge state: positively (negatively) charged defects have positive (negative) slopes. The formation energies are obtained by assuming that $CoWO_4$ is in equilibrium with WO_3 (often used as one of the reactants in the synthesis of $CoWO_4$) and air at 900 °C (the conditions under which the material is prepared).[17] We note that the $q = -1$ and 0 charge states of V_{Co}, nominally denoted as V_{Co}^{-} and V_{Co}^{0}, are not really stable; V_{Co}^{-} (V_{Co}^{0}) is, in fact, a defect complex of V_{Co}^{2-} and one (two) η_{Co}^{+}.

Since η_{Co}^{+} and V_{Co}^{2-} are the dominant intrinsic point defects in the material, assuming the absence of any lower-energy negatively charged intrinsic defects or impurities, they determine the position of the Fermi level, which is at μ_e^{int} = 1.67 eV, where charge neutrality is maintained; see Fig. 4. At this Fermi-level position, the formation energy of the two defects is 1.41 eV. We also estimate the migration barrier (E_m) of the hole polaron, using the method as described in ref. 15 and references therein,

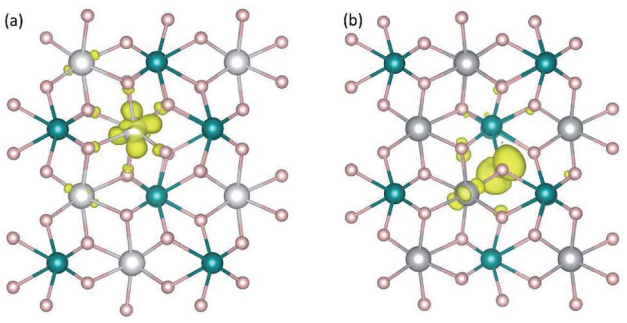

Fig. 3 Charge densities associated with hole polaron (a) η_{Co}^{+} in $CoWO_4$ and (b) η_{O}^{+} in $NiWO_4$. The isovalue for the isosurface (yellow) is set to 0.05 e/Å^3. Large (gray) spheres are Co or Ni, medium (blue) spheres are W, and small (red) spheres are O.

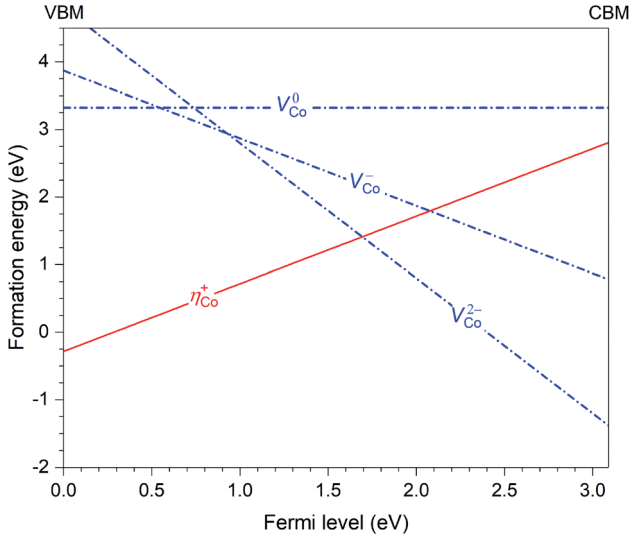

Fig. 4 Formation energies of the hole polaron (η_{Co}^{+}) and Co vacancies (V_{Co}) in $CoWO_4$, plotted as a function of Fermi level from the valence-band maximum (VBM) to the conduction-band minimum (CBM).

and find that $E_m = 0.29$ eV along the zigzag metal chain (*i.e.*, *c* axis). For comparison, the migration barrier was reported to be 0.14 eV for η_{Fe}^{+} in $FeWO_4$ or 0.28 eV for η_{Mn}^{+} in $MnWO_4$.[15]

Given the low migration barrier, η_{Co}^{+} can participate in electronic transport that results in the p-type conductivity as observed in experiments.[11] Bharati *et al.* reported that the activation energy in the extrinsic region (<750 K) is $E_a = 0.64$ eV, whereas $E_a = 1.40$ eV in the intrinsic region (>750 K). As discussed in detail in ref. 15 and 26, the lower limit of the activation energy is E_m and the upper limit is $E^f + E_m$. The calculated E_m is thus lower than the experimental E_a in the extrinsic region, as expected. In the intrinsic region, our calculations give $E_a = 1.70$ eV. Experimentally, Bharati *et al.* argued that the conduction is band-type with an activation energy of 1.40 eV;[11] however, given that our estimated activation energy is comparable, the conduction may actually still involve hopping of the small hole polarons η_{Co}^{+}.

4 Summary

A hybrid density-functional study of the electronic structure and polaron formation has been carried out for transition-metal tungstates. The calculated lattice parameters and band gaps are in good agreement with experiments. The nature of the electronic structure at the valence-band top in $CoWO_4$ allows for the formation of hole polarons (Co^{3+}) at the transition metal site and hence active ($Co^{3+/2+}$) redox couples, similar to what was reported for $FeWO_4$ and $MnWO_4$, whereas in $NiWO_4$, $CuWO_4$, and $ZnWO_4$ hole polarons (O^{-}) can be stabilized at the oxygen site. Electron polarons at the W site cannot be stabilized in MWO_4 (M = Co, Ni, Cu, Zn), unlike in $FeWO_4$ and $MnWO_4$ where the electron polarons were reported to be stable. The difference between the tungstate compounds can be understood in terms of the calculated electronic structure. Finally, we find that hole polarons are responsible for the observed p-type conductivity in $CoWO_4$.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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