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# Effects of combined pretreatment of dilute acid pre-extraction and chemical-assisted mechanical refining on enzymatic hydrolysis of lignocellulosic biomass

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An efficient enzymatic hydrolysis of lignocellulosic biomass into fermentable sugars depends greatly on the pretreatment of raw materials. In this study, a combination of dilute acid pre-extraction and chemical-assisted mechanical refining was used to pretreat wood lignocellulosic biomass for subsequent enzymatic hydrolysis. This work analyzed the surface lignin concentration, specific surface area, crystallinity, fines content, fiber length, and kink index of the resultant pulp substrates and their effects on the enzymatic hydrolysis. The results showed that the combined pretreatment significantly enhanced the enzymatic hydrolysis efficiency, and the maximum glucose conversion yield and glucose concentration were 93.32% and 21.41 g L<sup>-1</sup>, respectively. It is found that the surface lignin concentration, specific surface area, and fines content significantly affected the enzymatic hydrolysis.

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## 1. Introduction

In today's market, there is a noteworthy and erratic fluctuation of both the market price and availability of fossil resources. Certain environmental concerns have been associated with the utilization of oil and oil-based chemicals. Biomass is abundant, renewable, and available in most parts of the world, and its utilization can partially replace oil-based chemicals. A number of studies have reported that many bio-based chemicals, materials and fuels can be produced from sugar platforms.<sup>1</sup> Production of fermentable sugars through enzymatic hydrolysis is an effective and economical approach, which can release sugars from lignocellulosic biomass to generate bio-based chemicals and fuels.<sup>2,3</sup> However, hydrolyzing lignocellulosic biomass to fermentable monosaccharides is technically problematic due to the digestibility of cellulose hindered by physicochemical, structural and compositional factors. The enzymatic hydrolysis yield of untreated lignocellulosic biomass is very low ( $\leq 20\%$ ).<sup>4</sup> Thus, the pretreatment prior to the hydrolysis process is essential, which can open up the compact structures of plant cell walls and increase the accessibility of cellulose to enzymes.

Various pretreatment methods have been developed to improve the performance of enzymatic hydrolysis, like dilute acid, hot water and ionic liquid pre-extraction.<sup>4-6</sup> Among these

methods, dilute acid pre-extraction is favorable for industrial applications, and has been applied in a wide range of feedstocks. Acid pre-extraction can partially dissolve hemicelluloses from lignocellulosic biomass, because the glycosidic bonds that exist in hemicelluloses are susceptible to acid.<sup>7</sup> Dilute acid pre-extraction has been widely recognized and used because of its numerous advantages, such as its high efficiency, simple process, and low cost.<sup>4,8</sup> It has been reported that dilute acid pre-extraction can improve the enzymatic hydrolysis efficiency of maize stems by enhancing the accessibility of cellulose and changing the lignin distribution.<sup>9</sup> In addition, mechanical refining is a common and typical technology used in pulp and paper industry to separate fibers, and further achieve fibrillation of fibers. Recently, many researchers have focused on mechanical refining and have attempted to use it as an approach to pretreat lignocellulosic biomass. It is also reported that mechanical refining can increase the accessibility of cellulose to enzymes and improve sugar yields.<sup>10</sup> In the previous work, we found that: (1) the dilute acid pre-extraction prior to pulping can remove most of hemicelluloses from raw materials, and significantly reduce the mechanical refining energy in the following pulping process; and (2) the synergistic effects of chemical pre-extraction and chemo-thermomechanical refining on the enzymatic hydrolysis of wheat straw were remarkable.<sup>11,12</sup> The acid pre-extraction can remove most of hemicelluloses from the lignocellulosic biomass for the production of hemicelluloses-based products, like sugars for the biofuels, xylitol, acetic acid, furfural, and so on. The acid pre-extraction can help to reduce the following mechanical refining energy. Consequently, it is expected that the combination of chemical

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pretreatment with mechanical refining can be applied in a high value-added utilization of biomass, especially regarding a mechanical pulp mill-based integrated biorefinery in the future.

Some researchers believe that the lignin content and distribution in the biomass substrates play a significant role in the enzymatic hydrolysis of lignocellulosic biomass, as well as the surface lignin concentration (SLC) and specific surface area (SSA).<sup>13–15</sup> Yang *et al.* found that the cellulose ultrastructure, mainly crystallinity index (CrI) and degree of polymerization, was a relevant indicator to the enzymatic hydrolysis performance.<sup>16</sup> However, the exact role of these different characteristics of the biomass substrates on enzymatic hydrolysis has still not been clearly defined due to the fact that biomass recalcitrance is complex, multivariate and interactional. Until now, there are rare studies that focus on the comprehensive assessing the significance of different characteristics' changes during the pretreatment on the enzymatic hydrolysis efficiency, especially for one kind of defined combined pretreatment. Thus, the objective of this study was to investigate the effects of combined pretreatment of dilute acid pre-extraction and chemical-assisted mechanical refining on the enzymatic hydrolysis of lignocellulosic biomass, and further to evaluate the effects of main pulp substrates' characteristics (SSA, SLC, CrI, fines content, fiber length and kink index) on the enzymatic hydrolysis performance.

## 2. Experimental

### 2.1. Materials

The poplar (triploid *Populus tomentosa*) wood chips were chosen as one representative example of lignocellulosic biomass. The poplar wood chips, collected from a paper mill in Shandong Province, China, with the sizes of 20 mm (*H*) × 20 mm (*W*) × 3 mm (*D*), were screened to remove knots and dirt, and washed with deionized water. The primary chemical compositions of the wood chips were: glucan 42.25%, xylan 14.80%, arabinan 0.29%, acetyl groups 2.59%, acid insoluble lignin (AIL) 21.75%, acid soluble lignin (ASL) 4.32%, and ethanol extractives 1.88%, as shown in Table 1, which were determined according to the methods of NREL-510-42618 and NREL-510-42619.

Enzymes of Cellic CTec2 and Cellic HTec2 were purchased from Novozymes, China. The activities of CTec2 and HTec2 were 61 FPU mL<sup>-1</sup> and 85 731 U mL<sup>-1</sup>, respectively. Standards of D-(+)-glucose, D-(+)-xylose and L-(+)-arabinose were obtained from Sigma-Aldrich, Acros Organics, and Alfa Aesar Chemical, respectively. A furfural standard (2-furaldehyde, 99%) and acetic acid (49–51%) were obtained from Sigma-Aldrich, while 5-hydroxymethylfurfural (HMF, 99%) was obtained from Energy Chemical. Sulfuric acid (H<sub>2</sub>SO<sub>4</sub>, 98%), sodium hydroxide (NaOH, >96%), and other chemicals were of analytical grade.

### 2.2. Dilute acid pre-extraction of wood chips

The dilute sulfuric acid pre-extraction was conducted in a 6 L M/K digester (M/K Systems Inc., USA). An equivalent of 150 g (oven-dried) wood chips were added into the digester and mixed with preheated deionized water (80 °C) at a liquid/solid ratio of 10 : 1 L/kg. The charge of sulfuric acid is 1.0% based on the oven-dried wood chips weight. The temperature was then raised to the desired value at a rate of 2 °C min<sup>-1</sup>. After the pre-extraction, the pre-extracted wood chips were removed from the digester, and washed thoroughly with deionized water until the filtrate was colorless and its pH was neutral, the wood chips were then stored for the future analysis. The yields of wood chips were determined, *i.e.*, the oven-dried weight of pre-extracted wood chips divided by the oven-dried wood chips weight.

In this study, the acid pre-extraction severity factor (SF, expressed as ln *R*<sub>0</sub>) depends upon the pre-extraction temperature and the duration time is used to quantify the pre-extraction intensity:<sup>17</sup>

$$\ln R_0 = \frac{T - 100}{14.75} + \ln t \quad (1)$$

where *t* is the duration time in minutes, and *T* is the reaction temperature in °C.

### 2.3. Chemical-assisted mechanical refining of the pre-extracted wood chips

The pre-extracted wood chips were well mixed with sodium hydroxide (NaOH) and sodium sulphite (Na<sub>2</sub>SO<sub>3</sub>) in a polyethylene bag at 40% (w/w) consistency, and then put in a water bath at 80 °C for 30 min. The chemical charges were as follows:

**Table 1** The main chemical compositions of pre-hydrolysates (based on o.d. raw material)

	120	150	150	150	150
Temperature (°C)	120	150	150	150	150
Time (min)	30	20	40	60	80
SF	2.07	2.77	3.07	3.25	3.38
Glucose (%)	0.09 ± 0.01	0.22 ± 0.01	0.33 ± 0.00	0.54 ± 0.09	0.45 ± 0.02
Xylose (%)	0.17 ± 0.00	1.98 ± 0.01	4.35 ± 0.01	5.95 ± 0.05	6.92 ± 0.03
Arabinose (%)	0.11 ± 0.00	0.15 ± 0.04	0.18 ± 0.00	0.19 ± 0.01	0.20 ± 0.00
Acetic acid (%)	ND <sup>a</sup>	0.61 ± 0.02	1.73 ± 0.98	1.54 ± 0.58	2.10 ± 0.14
Furfural (%)	0.03 ± 0.00	0.09 ± 0.01	0.14 ± 0.00	0.18 ± 0.01	0.26 ± 0.00
HMF (%)	ND	ND	0.01 ± 0.00	0.01 ± 0.00	0.01 ± 0.00
Acid insoluble materials (%)	0.50 ± 0.00	1.46 ± 0.01	1.72 ± 0.00	2.08 ± 0.00	2.20 ± 0.01
Acid soluble lignin (%)	0.01 ± 0.00	0.22 ± 0.01	0.35 ± 0.00	0.46 ± 0.01	0.46 ± 0.03
Sum (%)	0.90 ± 0.01	4.73 ± 0.11	8.81 ± 0.99	10.95 ± 0.75	12.60 ± 0.23

<sup>a</sup> ND, not detected. Data are presented as means of ±SDs of 3 replicates.



3.0% NaOH, 4.0% Na<sub>2</sub>SO<sub>3</sub> based on the oven-dried weight of the pre-extracted wood chips. During this period, the bag was kneaded thoroughly every 5 min to ensure a uniform reaction. The chemically treated wood chips were then refined in a defibrator (model D, Vrena Mek Co., Sweden) for pressurized refining according to the following conditions: 40% (w/w) consistency, and 0.65 MPa for 5 min. The second-stage refining was performed in a KRK high-consistency disk refiner (model 2500-II, Kumagai Riki Kogyo Co. Ltd., Japan) with a refiner gap of 0.1 mm at 15% (w/w) consistency. The second-stage refining was repeated twice to obtain the separated pulp fibers. The refined pulp fibers were screened using a Somerville-type screen (model 535, Lorentzen & Wettre Co. Ltd., Sweden) with a 0.15 mm slot size. The screened pulp fibers were then thickened to about 30% (w/w) consistency. The obtained pulp fibers were used as the pulp substrates for the following enzymatic hydrolysis.

#### 2.4. Enzymatic hydrolysis

The enzymatic hydrolysis was performed in a 250 mL flask at a solid content of 4.0% (w/w) (pH 4.8) on a shaking incubator (IKA KS 4000i control, IKA, Germany) at 50 °C and 180 rpm for 72 h. The enzymes loading were 20 FPU g<sup>-1</sup> substrates for Cellic CTec2 and 60 IU g<sup>-1</sup> substrate for Cellic HTec2, respectively. The glucose measurement was conducted using an SBA biological sensor (SBA-40C, Biology Research Institute of Shandong Academy of Sciences, China). The conversion yield of cellulose to glucose was calculated as follows:

$$\text{Conversion yield of cellulose to glucose(\%)} = \frac{G}{G_s} \times 100 \quad (2)$$

where  $G_s$  is the amount of glucose in pulp substrates, and  $G$  is the amount of glucose in test samples.<sup>12</sup>

#### 2.5. Determination of surface lignin concentration of pulp substrates

The X-ray photoelectron spectroscopy (XPS) was used to determine the SLC of the wood chips and pulp substrate. Before analysis, the samples were extracted with acetone in Soxhlet extractor according to the standard of TAPPI T280, then the extracted samples were washed thoroughly with deionized water in order to remove the extractives. The extracted samples were then used for the XPS analysis. The XPS analysis was conducted using an X-ray photoelectron spectrometer (PHI 1600, USA) equipped with a monochromatic Al K $\alpha$  X-ray source, using the pass energy 200 eV. The photoelectron collection was at 90 degrees in relation to the sample surface. The analyzed spot size was 400  $\mu$ m and at least three different areas were measured on each sample. Each measurement took about 3 min. The SLC was calculated according to the equation:

$$\text{Surface lignin concentration} = \frac{O/C_{\text{sample}} - O/C_{\text{carbohydrates}}}{O/C_{\text{lignin}} - O/C_{\text{carbohydrates}}} \quad (3)$$

where  $O/C_{\text{carbohydrates}}$  is 0.83,  $O/C_{\text{lignin}}$  is 0.33.<sup>18</sup>

#### 2.6. Determination of specific surface area of pulp substrates

The SSA of pulp substrates was determined following the dye adsorption procedure with direct sky blue 6B.<sup>19</sup> About 1.00 g of the sample was put into the dye solution at the solid/liquid ratio of 1/100 g mL<sup>-1</sup>. NaCl was added into the suspension at the ratio 20 wt% based on the oven-dried weight of pulp. The mixture was placed in a water bath (60 °C) for 28 h. The solution's absorbance was measured using an ultraviolet spectrophotometer (UV-1600, Beijing Rayleigh Analytical Instrument Co. Ltd., China) at 600 nm, and the dye concentration of the solution was calculated according to the standard curve of direct sky blue 6B. The SSA,  $A_{\text{cell}}$  (m<sup>2</sup> g<sup>-1</sup>), was calculated according to following equation:

$$A_{\text{cell}} = 6.024 \times 10^{23} [S]_f A_d / M \quad (4)$$

where  $A_d$  is the area occupied by each dye molecule, considered to be  $240 \times 10^{-20}$  m<sup>2</sup>;  $M$  is the molecular weight of dye molecules (999.79 g mol<sup>-1</sup>);  $[S]_f$  is the saturation value for the adsorption of dye on the fibers, g kg<sup>-1</sup>, which can be obtained following the Langmuir adsorption in the following equation:<sup>20</sup>

$$1/[D]_f = 1/(K[S]_f \times [D]_s) + 1/[S]_f \quad (5)$$

where  $[D]_f$  is the amount of dye adsorbed on the pulp, g kg<sup>-1</sup>;  $[D]_s$  is the initial concentration of dye, g L<sup>-1</sup>;  $K$  is a constant.

#### 2.7. Crystallinity index of pulp substrates

The crystallinity index (CrI) of pulp substrates was determined using X-ray diffraction (XRD, D/max2500 PC, Rigaku Co., Ltd., Japan). Prior to the determination of crystallinity, the pulp substrates were freeze-dried. In this part, the pulp substrates were scanned at 30 kV and 20 mA in a  $2\theta$  range between 5° and 50° at 8.0° min<sup>-1</sup>. The peak area method was adopted to estimate the CrI based on the following equation:<sup>21</sup>

$$\text{CrI} = \frac{I_c}{I_c + I_a} \times 100\% \quad (6)$$

where  $I_c$  is the peak area of the crystalline region;  $I_a$  is the peak area of the amorphous region.

#### 2.8. Fiber analysis of pulp substrates

The fines content, length and kink index of pulp substrates were measured using a fiber quality analyzer (FQA, Lorentzen & Wettre, Sweden).

All the above experiments were repeated three times, and the obtained results were analyzed at a 95% confidence interval.

#### 2.9. SEM analysis of pulp substrates

The surface morphology of the wood chips and pulp substrate was analyzed using a SEM (JSM-IT300, Japan Electron Optics Laboratory Co. Ltd., Japan). The samples were oven-dried in a vacuum oven at 50 °C for 4 h, and then sputter coated with gold.<sup>22</sup>



### 3. Results and discussion

#### 3.1. Chemical compositions of pre-hydrolysates

The main chemical compositions of the pre-hydrolysates derived from the acid pre-extraction of wood chips under different pre-extraction intensities (indexed by SF) are listed in Table 1. The total content of the organic compositions in pre-hydrolysates increased from 0.90 to 12.60 wt% when the SF increased from 2.07 to 3.38. The increase of organic content in the pre-hydrolysates was attributed to the dissolution of hemicelluloses and part of lignin in wood chips, as shown in Table 1. The concentration of xylose was the highest, and it increased as the SF increased. The glucose content in the pre-hydrolysates was very low (the maximum value was 0.54 wt%), indicating that degradation of cellulose was limited. The acetic acid released in the acid pre-extraction process can further promote the dissolution of carbohydrates in wood chips, and consequently destroy the lignin-carbohydrate complex structure. When the acid pre-extraction intensity was 3.38, xylose (6.92 wt%), acetic acid (2.10 wt%) and acid insoluble materials (2.20 wt%) were the primary components present in the pre-hydrolysates. These components could potentially be collected and used to generate bio-based products. Furthermore, the removal of these components would reduce energy consumption in the subsequent mechanical refining process.<sup>11</sup> Other studies have also proven that the removal of hemicelluloses increased the pore size of the fibers, and further increased the accessibility of cellulose to enzymes.<sup>23</sup>

#### 3.2. Chemical compositions of resultant pulp substrates

The main chemical compositions of resultant pulp substrates are shown in Fig. 1. The results showed that the contents of glucan and acid insoluble lignin (AIL) increased somewhat while the contents of xylan and acid soluble lignin (ASL) decreased as the acid pre-extraction intensity increased. The reduction of xylan was caused by its degradation and dissolution during the acid pre-extraction. The increase in glucan and acid-insoluble lignin were resulted from their high stability, and the reduction of xylan and acid soluble lignin in the acid pre-

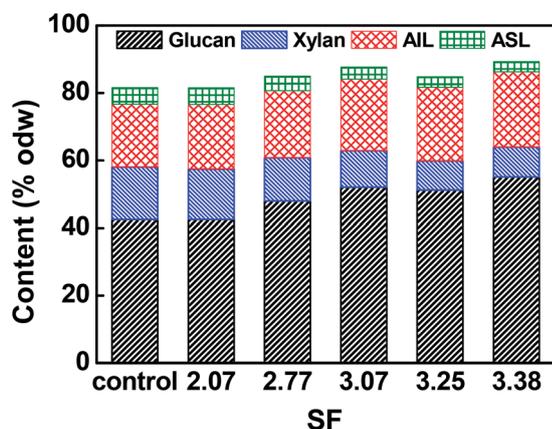


Fig. 1 The main chemical compositions of pulp substrates.

extraction.<sup>24</sup> Pingali *et al.* found that the reduction in the hemicelluloses or xylan content initiated at 125 °C, reduced significantly from 150 °C during the dilute acid pretreatment; the hemicelluloses in hybrid poplar reached undetectable quantities by 2 min at 160 °C and switchgrass hemicellulose by 20 min at 160 °C; the relative concentrations of glucan and lignin effectively increased due to the removal of hemicelluloses.<sup>25</sup>

#### 3.3. Effects of combined pretreatment on pulp substrates' enzymatic hydrolysis

Fig. 2 shows the enzymatic hydrolysis of the resultant pulp substrates. The results showed that, compared to the control sample (*i.e.*, the pulp substrates obtained from only chemical-assisted mechanical refining without acid pre-extraction), the conversion yield of cellulose to glucose increased remarkably after the combined pretreatment. It was found that the conversion yield of cellulose to glucose of the control sample was 45.31%, while the enzymatic hydrolysis efficiency of the pulp substrate obtained at the SF of 3.07 was increased by 105.96%, and the corresponding maximum conversion yield of cellulose to glucose and glucose concentration after 72 hours were 93.32% and 21.41 g L<sup>-1</sup>, respectively.

On the whole, 100 g poplar wood chips which mainly contain glucan 42.25 g, xylan 14.80 g, acid insoluble lignin 21.75 g and acid soluble lignin 4.32 g. After the dilute acid pre-extraction under the severity factor of 3.07 (150 °C, 40 min), the residues of the poplar wood chips (89.38 g) mainly contain glucan 41.72 g, xylan 8.05 g, acetyl groups 1.38 g, acid insoluble lignin 19.31 g and acid soluble lignin 2.75 g. Meanwhile, 4.35 g xylose, 0.33 g glucose, 0.18 g arabinose, 1.73 g acetic acid, 0.14 g furfural, 0.01 g HMF, 1.72 g acid insoluble materials, 0.35 g acid soluble lignin were mainly present in the pre-hydrolysates. Then, after the chemical-assisted mechanical refining, 76.81 g of the pulp substrates were obtained, which mainly contain glucan 39.99 g, xylan 8.31 g, acid insoluble lignin 16.26 g and acid soluble lignin 2.76 g. During the enzymatic hydrolysis, 93.32% of the glucan in the pulp substrates can be converted

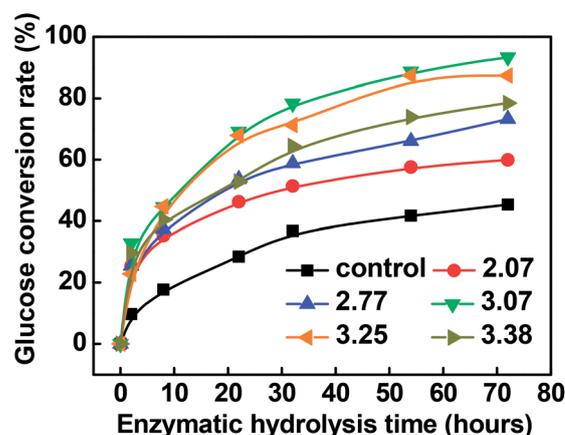


Fig. 2 Effects of combined pretreatment on pulp substrates' enzymatic hydrolysis.



into glucose at the concentration of  $21.41 \text{ g L}^{-1}$ , *i.e.*, 41.47 g glucose can be obtained.

Ji *et al.* used acid to pretreat *Miscanthus × giganteus* internodes, including the rind and pith regions. Their results showed that the acid pretreatment on the pith effectively hydrolyzed 73.33% hemicelluloses, and the enzymatic hydrolysis yield reached 82.55%.<sup>26</sup> Pingali *et al.* found that, under the same experimental conditions, the dilute sulfuric acid pretreated switchgrass produced higher glucose yields ( $\sim 76 \text{ wt}\%$ ) than the pretreated hybrid poplar ( $\sim 60 \text{ wt}\%$ ).<sup>25</sup>

Compared with other methods, (1) it was reported that the highest glucan to glucose conversion (74.4%) and the highest ethanol yield ( $52.6 \text{ g kg}^{-1}$  husks) were observed for hazelnut husks treated with 2.0% NaOH for 90 min;<sup>27</sup> (2) Chacha *et al.* found that the yield of reducing sugars increased from 29% under the mildest conditions ( $180 \text{ }^\circ\text{C}$ , 1.5%  $\text{SO}_2$ ) to 91% under the most severe conditions ( $225 \text{ }^\circ\text{C}$ , 3.0%  $\text{SO}_2$ ) at a given cellulose content.<sup>28</sup>

Other research has proven that dilute acid pre-extraction can lead to the redistribution of lignin and dissolution of hemicelluloses, resulting in a more open nanostructure, consequently improving the accessibility of cellulose to enzymes.<sup>24,26</sup> In addition, during chemical treatment with  $\text{Na}_2\text{SO}_3$  and NaOH, the sulfonation of lignin can increase the hydrophilicity of lignin and consequently increase the hydrophilicity and swelling ability of pulp substrates, and further improve the accessibility of cellulose to enzymes. Therefore, the chemical treatment is believed to reduce the mechanical refining energy and help to improve the enzymatic hydrolysis efficiency of the resultant pulp substrates. Thus, different pulp substrates' characteristics were analyzed to evaluate the effects of combined pretreatment on the enzymatic digestibility of the resultant pulp substrates.

On the contrary, the present results also showed that increasing the acid pre-extraction intensity continuously did not achieve a higher conversion yield of cellulose to glucose, which was probably due to the changed physical and chemical properties of pulp substrates, especially the increased SLC at stronger pre-extraction conditions.

### 3.4. Effects of combined pretreatment on pulp substrates' characteristics

The resultant pulp substrates' characteristics (SLC, SSA, CrI, fines content, and fiber length, as well as kink index) were analyzed to access the ways in which the combined pretreatments affected the pulp substrates.

The SLC of the resultant pulp substrates are shown in Fig. 3. It is evident that the SLC of the pulp substrates was much lower than that of the control sample; the SLC decreased as the acid pre-extraction intensity increased (indexed by SF). In other words, the SLC decreased to a minimum of 43.28% when the SF increased to 3.07, but it was increased slightly when the SF was continually increased. These changes were caused by the lignin removal during the acid pre-extraction, as shown in Table 1. At a higher pre-extraction intensity, the increase of SLC of pulp substrates was a result of the redeposition of lignin on pulp

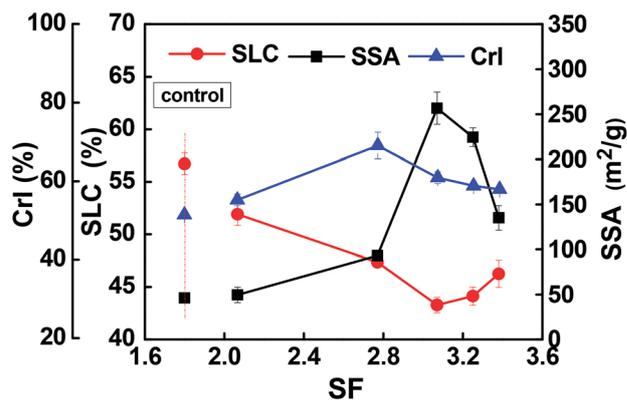


Fig. 3 Effects of combined pretreatment on specific surface area (SSA), surface lignin concentration (SLC) and CrI of pulp substrates.

substrates surface,<sup>29</sup> which was confirmed by the following SEM analysis. Both the surface morphology and the chemical components on the surface of pulp substrates will affect the following enzymatic hydrolysis. Therefore, the surface morphology was analyzed by using SEM. As shown in Fig. 4, the surface of the control sample was smooth and had fewer wrinkles, while the surface of the pulp substrates that obtained from the combined pretreatment became coarser as the SF increased, and some granular materials appeared on the surface of pulp substrates when the SF was higher. Other studies reported that the hemicelluloses and lignin degradation products could be combined together and form lignin-like materials during pretreatment, termed pseudo-lignin, and then adsorbed on fibers surface.<sup>30</sup> It is expected that the lignin distribution and the changes of carbohydrates will affect the following enzymatic hydrolysis.<sup>26,31</sup>

The SSA of the resultant pulp substrates is shown in Fig. 3. The results showed that the SSA was improved significantly after the combined pretreatment compared to the control sample ( $45.51 \text{ m}^2 \text{ g}^{-1}$ ), and it reached a maximum value of  $256.75 \text{ m}^2 \text{ g}^{-1}$  when the SF was 3.07. However, when the SF increased from 3.07 to 3.38, the SSA decreased, although it was still higher than that of the control sample. It is noteworthy that the variation tendency of a SSA showed a general negative relationship between the SLC of pulp substrates. This relationship could be due to the lignin adsorption on pulp substrates and likely reduced the SSA. Another possible reason is that increasing the acid pre-extraction intensity could destroy the lignocellulosic matrix structure, reduce the pore structure of the substrates, and further hinder the enzymatic hydrolysis of polysaccharides in lignocellulosic biomass.<sup>14</sup>

The CrI of pulp substrates was evaluated, as shown in Fig. 3. It can be seen that the CrI of the control sample was the lowest (51.39%), while that of the pulp substrates was the highest (69.06%) when the SF was 2.77. As shown in Fig. 3, the CrI of pulp substrates decreased at a higher SF, which may indicate that the crystalline portion of the cellulose might have been attacked under stronger acid pre-extraction conditions. The increase of CrI is likely due to the removal of amorphous hemicelluloses during the acid pre-extraction. Meanwhile, after



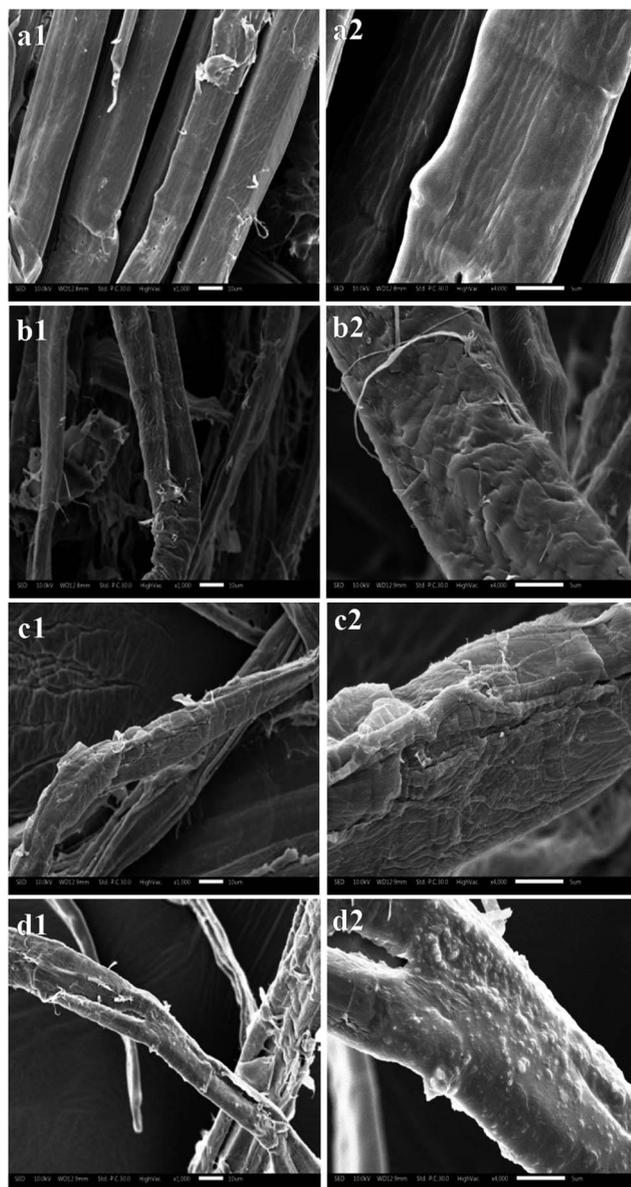


Fig. 4 SEM images of the pulp substrates at different acid pre-extraction intensities ((a), control; (b), SF of 3.07; (c), SF of 3.25; (d), SF of 3.38; left side,  $\times 1000$ ; right side,  $\times 4000$ ).

chemical-assisted mechanical refining, the CrI reduced as a result of the mechanical impacts on lignocellulosic biomass, and any decrease of CrI was accompanied by the alteration of other pulp substrates' characteristics, such as an increase in SSA or reduction of particle size.<sup>13,32</sup> Thus, there is a need to further study and evaluate the effects of CrI on enzymatic hydrolysis.

The fines content, length and kink index of pulp substrates were analyzed, and the results are shown in Table 2. The fines contents were changed slightly with increasing the acid pre-extraction intensity; however, the maximum value of the fines content was 9.4% when the SF was 3.07, which is much higher than the value of the control sample. In addition, the fines content demonstrated a similar trend with the SSA and the

Table 2 The fiber quality analysis of pulp substrates

SF	Fines content (%)	Fiber length (mm)	Kink index
Control	6.2	0.999	0.586
2.07	7.7	0.978	0.605
2.77	8.2	0.984	0.666
3.07	9.4	0.975	0.684
3.25	8.4	0.97	0.695
3.38	7.9	0.964	0.772

conversion yield of cellulose to glucose. Meanwhile, an increase in fines content will lead to a larger SSA of pulp substrates, consequently resulting in improving the accessibility of pulp substrates.<sup>33</sup> It is evident that, compared to the control sample, the fiber length reduced and the kink index increased after the combined pretreatment of acid pre-extraction and chemical-assisted mechanical refining. When the SF increased from 2.07 to 3.38, the fiber length reduced from 0.978 to 0.964 mm, and the kink index increased from 0.605 to 0.772, respectively.

### 3.5. Evaluating effects of pulp substrates' characteristics on enzymatic hydrolysis

The enzymatic hydrolysis of reluctant pulp substrates was obviously enhanced by the combined pretreatment of acid pre-extraction and chemical-assisted mechanical refining. After the combined pretreatment, the characteristics of resultant pulp substrates were greatly changed. To fully understand the combined effects of dilute acid pre-extraction and chemical-assisted mechanical refining on enzymatic hydrolysis of lignocellulosic biomass, the relationships between the pulp substrates' characteristics and their enzymatic hydrolysis efficiency were studied by using a linear modeling analysis. Thus, the mathematical models of conversion yield of cellulose to glucose and enzymatic hydrolysis time were established using SigmaPlot 12.5 software. An appropriate regression equation of  $y = a(1 - \exp(-b \times x)) + c(1 - \exp(-d \times x))$  was obtained, and the analysis of variance (ANOVA) was conducted to estimate the effects of the regression model, as shown in Table 3.

Matlab software was used to calculate the definite integral of regression equation to characterize the enzymatic hydrolysis efficiency of the pulp substrates.<sup>34</sup> It can be seen in Table 3 that the  $R^2$  of each regression model was close to 1.0 and the  $P$  values of each model were less than 0.0001, indicating that the regression models were reliable enough.

The test of significance was conducted to evaluate the effects of pulp substrates' characteristics on the enzymatic hydrolysis efficiency. We established the linear relationships between the pulp substrates' characteristics and the value of definite integral that was obtained from regression models above, and the test of significance was conducted to evaluate the effects of the pulp substrates' characteristics on enzymatic hydrolysis (represent as correlation coefficient  $|r|$ ). The results of this analysis are listed in Table 4. The results of significance test showed that (1) SLC, SSA, and fines content had significant effects on the enzymatic hydrolysis of pulp substrates, while the significance of other



Table 3 The mathematical models of the conversion yield of cellulose to glucose and enzymatic hydrolysis time

SF	Parameters of regression equation					Results of ANOVA		Value of definite integral
	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>	<i>R</i> <sup>2</sup>	<i>F</i> values	<i>P</i> values	
Control	7.158	0.8344	40.003	0.0395	0.9968	430.0393	<0.0001	2433.213
2.07	26.547	0.9579	36.922	0.0371	0.9969	589.2081	<0.0001	3615.668
2.77	26.046	0.9583	49.662	0.0343	0.9989	1740.351	<0.0001	4098.407
3.07	27.753	1.8037	65.062	0.0476	0.9947	343.9759	<0.0001	5344.855
3.25	30.48	1.0105	55.526	0.0362	0.9979	870.757	<0.0001	4741.624
3.38	29.58	1.24	59.344	0.0267	0.99	174.4653	<0.0001	4481.097

Table 4 The significance test of the correlation coefficients<sup>a</sup>

Substrate characteristics	<i>R</i> <sup>2</sup>	<i>r</i>	Effect type	Significance
Surface lignin concentration	0.964	0.982	N	**
Fines content	0.8144	0.902	P	*
Specific surface area	0.7804	0.883	P	*
Fiber length	0.6361	0.798	N	
Kink index	0.5174	0.719	P	
CrI	0.2923	0.541	N	

$$r_{0.05}(3) = 0.878, r_{0.01}(3) = 0.959$$

<sup>a</sup> N: negative; P: positive;  $|r| = \sqrt{R^2}$ ; \*\*, significant; \*\*\*, particularly significant.

characteristics (CrI, fiber length and kink index) was relatively low; (2) the orders of these significant characteristics' significance were as follows: SLC ( $|r| = 0.982$ ) > fines content ( $|r| = 0.902$ ) > SSA ( $|r| = 0.883$ ); (3) SLC had a particularly significant negative influence on the enzymatic hydrolysis efficiency.

The SLC of pulp substrates has an unfavorable influence on the enzymatic hydrolysis, as it limits the accessibility of cellulose to enzymes; in this case, enzymes may irreversibly bind to the lignin on the substrates' surface.<sup>35,36</sup> The SSA and fines content had a significantly positive impact on the enzymatic hydrolysis of the pulp substrates, which was consistent with other studies.<sup>26,37,38</sup> However, the CrI and fiber length negatively affected the enzymatic hydrolysis. Therefore, it can be concluded that the combined pretreatment of dilute acid pre-extraction and chemical-assisted mechanical refining enhanced the enzymatic hydrolysis performance of wood chips obviously, which was attributed to reducing and redistributing the surface lignin, increasing the SSA and the fines content of the resultant pulp substrates.

## 4. Conclusions

The enzymatic hydrolysis of reluctant pulp substrates was enhanced by the combined pretreatment of dilute acid pre-extraction and chemical-assisted mechanical refining. Comparing with the pulp substrates without dilute acid pre-extraction, the enzymatic hydrolysis efficiency of pulp substrates after dilute acid pre-extraction (SF of 3.07) was increased by 105.96%, and the maximum glucose conversion

yield and glucose concentration were 93.32% and 21.41 g L<sup>-1</sup>, respectively. The combined pretreatment greatly changed the pulp substrates' characteristics. The mathematical analysis showed that SLC had a particularly significant negative influence on the enzymatic hydrolysis efficiency; however, the SSA and fines content had significantly positive impacts, while the other characteristics (CrI, fiber length and kink index) were not significant. The results also revealed that acid pre-extraction under very strong conditions could deteriorate the enzymatic hydrolysis performance due to the redeposition of lignin on the substrates' surface. It is expected that the application of acid pre-extraction followed by chemical-assisted mechanical refining would be of interest in mechanical pulp mill-based integrated biorefinery due to the advantages of providing additional revenue and enhancement of enzymatic hydrolysis of lignocellulosic biomass.

## Conflicts of interest

The authors declare that they have no conflict of interest.

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