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## The influence of different Si : C ratios on the electrochemical performance of silicon/carbon layered film anodes for lithium-ion batteries

Jun Wang, <sup>a</sup><sup>ac</sup> Shengli Li, <sup>a</sup> Yi Zhao, <sup>a</sup> Juan Shi, <sup>a</sup> Lili Lv, <sup>a</sup> Huazhi Wang, <sup>a</sup> Zhiya Zhang<sup>a</sup> and Wangjun Feng<sup>b</sup>

With a high specific capacity ( $4200 \text{ mA h g}^{-1}$ ), silicon based materials have become the most promising anode materials in lithium-ions batteries. However, the large volume expansion makes the capacity reduce rapidly. In this work, a periodic silicon/carbon (Si/C) multilayer thin film was synthesized by magnetron sputtering method on copper foil. The titanium (Ti) film (about 20 nm) as the transition layer was deposited on the copper foil prior to the deposition of the multilayer film. Superior electrochemical lithium storage performance was obtained by the multilayer thin film. The initial discharge and charge specific capacity of the Si (15 nm)/C (5 nm) multilayer film anode are  $2640 \text{ mA h g}^{-1}$  and  $2560 \text{ mA h g}^{-1}$  with an initial coulombic efficiency of  $\sim 97\%$ . The retention specific capacity is about  $2300 \text{ mA h g}^{-1}$  and there is  $\sim 87\%$  capacity retention after 200 cycles.

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## 1. Introduction

The lithium ion battery is the most developed potential chemical energy storage device in the twenty-first century, due to its higher energy density, higher operating voltages, limited self-discharging and lower maintenance requirements.<sup>1,2</sup> However, the current commercial graphite anode cannot meet the urgent requirements of high energy density, high power density, long life, safety and reliability for electric vehicles (EVs) and hybrid electric vehicles (HEVs), *etc.*<sup>2</sup> Silicon is one of the most promising candidate anode materials due to its high theoretical specific capacity of  $\sim 4200 \text{ mA h g}^{-1}$  which is almost ten-fold higher than that of commercial graphite electrodes. And it has abundant reserves and low charge/discharge potential ( $\sim 0.5 \text{ V vs. Li/Li}^+$ ).<sup>3-8</sup> But successful applications of silicon anode have been impeded by large volume expansion (400%) with lithiation, which accelerate electrode collapse and capacity fading with exacerbating irreversible side reactions.<sup>9,10</sup> Solving the electrochemical instability of the silicon active materials, has become an issue in research now. Recently, there have been tremendous studies aiming to solve this problem.<sup>11-15</sup> Ding developed nano-sized Si-based composites by coating Si nanoparticles with monolayer graphene (mGra) through melting self-assembly route with Cu film as sacrificial layer to create empty space between Si and graphene.<sup>16</sup> The flexible mGra protective layer and void space around the Si nanoparticles effectively accommodated the

volume expansion during the lithiation process. The composite demonstrated a high initial coulomb efficiency (CE) 85% with gravimetric capacity  $1450 \text{ mA h g}^{-1}$  and long cycle life (500 cycles with 89% capacity retention). Cho have successfully improved the cyclic stability of the Si anodes *via* fabricating a nanocomposite of an amorphous (a) silicon nanoparticle backboned graphene.<sup>17</sup> The anodes showed a remarkable initial coulomb efficiency of 92.5% with a high specific reversible capacity of  $2858 \text{ mA h g}^{-1}$  at a current density of  $56 \text{ mA g}^{-1}$ . After 1000 cycles, the capacity was still retained at around  $1103 \text{ mA h g}^{-1}$ .

Silicon thin film, another promising stable nanostructure is gaining wider acceptance and research.<sup>18-25</sup> This is mainly because of its advantages of no-adhesives, strong binding force between active materials and current collector and so on. Generally, Si films prepared by vacuum deposition are amorphous and show rather stable cycle behaviors. Takamura *et al.* demonstrated that very thin amorphous silicon thin films can exhibit stable capacity for more than 200 cycles.<sup>24</sup> However, monolithic Si anode films with thicknesses greater than 200 nm fracture and delaminate from substrates, resulting in poor cycling performance<sup>25</sup> and thereby limiting the achievable areal capacity. This limiting thickness is set by the fracture stress of the film. During lithiation, traction at the interface with the substrate causes the total strain energy of the film to increases in proportion to its thickness, while its fracture toughness decreases inversely with its thickness.<sup>26</sup> The internal stresses usually been mitigated using multilayer, in which the active layer and buffer layer are alternated together. Park *et al.* manufactured Ge-Al periodicity multilayer thin film as anode materials by alternately depositing Ge and Al on Cu foil.<sup>27</sup> Ge-Al periodicity multilayer thin film possesses superior cycle performance by

<sup>a</sup>School of Physical Science and Technology, Lanzhou University, Lanzhou 730000, China. E-mail: wangj-phy@lzu.edu.cn; Tel: +86 0931-8912719

<sup>b</sup>School of Science, Lanzhou University Of Technology, Lanzhou 730000, China

<sup>c</sup>State Key Laboratory of Solid Lubrication, Lanzhou Institute of Chemical Physics, Chinese Academy of Sciences, Lanzhou 730000, China



contrasting with the pure Ge electrode. Such advantage is mainly attributed to the Al buffer layers in the multilayer structure.

Carbon is also an attractive buffer material because of its advantages of relatively light weight, low reaction potential with lithium, reasonable electrical conductivity, less volume expansion and higher structural stability during insertion and extraction.<sup>28</sup> In addition, the adhesion of the as-deposited Si film to the Cu substrate has a weak interface adhesion strength.<sup>20</sup> Some metal materials, such as titanium and chromium, are commonly pre-deposited on Cu substrates to enhance the adhesion between substrate and electrode film.<sup>29,30</sup> The layer-by-layer and composite a-Si/C films have been studied in recent years.<sup>22,23</sup> Zheng fabricated a silicon/carbon (Si/C) periodicity multilayer thin film by alternate deposition using magnetron sputtering and plasma decomposition method, respectively.<sup>22</sup> Higher specific capacity about 4000 mA h g<sup>-1</sup> was achieved for more than 100 cycles with this intercalated Si/C multilayer structure.

In this paper, we synthesized Si/C multilayer films anode material by alternately depositing Si and C with a Ti transition layer (about 20 nm) using magnetron sputtering method. This multilayer have combined the merits of interface improvements, nanometer-thick silicon and carbon buffer effect. The films show quite high initial coulomb efficiency and specific capacity retention rate. The retention specific capacity is as high as 2300 mA h g<sup>-1</sup> after 200 cycles at a charge/discharge current density of 400 mA g<sup>-1</sup>.

## 2. Experimental

### 2.1 Materials preparation

Si/C multilayer films with a Ti transition layer were prepared in a high vacuum chamber by deposition of C, Si and Ti using pulse direct current (DC) magnetic sputtering. Specifically, the heavy doped n-type silicon target was used to improve the electronic conductivity of silicon. Prior to the deposition of Si/C multilayer films, a ~50 nm Ti(C) gradient thin film (Ti rich at copper foil side and C rich at multilayer side) was deposited as the intermediate layer and the C layer was first deposited on the gradient layer to improve adhesive strength. An about 20 nm outermost carbon layer of the film acts as the partial-solid electrolyte.

The total Si/C multilayer films thickness is about 500 nm. 25 nm (sample A) periodic thickness and 20 nm (sample B) periodic thickness films were fabricated through controlling deposition time. And the carbon thickness keeps 5 nm in two types of sample. That is to say, the ratio of silicon to carbon in sample A and sample B is 20 to 5 and 15 to 5 respectively. The thick silicon film and carbon film deposited at the same deposition conditions with preparation of Si/C multilayer films were used to calculate the deposition rates. The deposition rates were 2.5 nm min<sup>-1</sup> for carbon and 25.5 nm min<sup>-1</sup> for silicon respectively which were calculated using the total film thicknesses divided by total deposition time.

### 2.2 Characterization and electrochemical measurement

The phase composition and microstructure of the Si/C multilayer films were observed by X-ray diffraction (XRD), Raman

spectrum, scanning electron microscope (SEM) and X-ray photoelectron spectroscopy (XPS). The Si/C multilayer films deposited on copper foils were directly used as the anodes and the lithium metal foils were used as the counter electrodes. The half-cells were assembled in a Mikrouna glove box filled with ultra-high argon. The Celgard 2320 was used as separator, and 1 M LiPF<sub>6</sub> in EC (ethylene carbonate): DMC (dimethyl carbonate) (1 : 1 vol%) was used as electrolyte. The cycle performance was tested by an automatic battery tester system (Land, China).

The quality of the active material was calculated by this formula:  $m = \rho s d$ ,  $s$  and  $d$  represent the thickness and area of the electrode, respectively.  $\rho$  is the density of the active material, which is assumed to be a theoretical density of 2.33 g cm<sup>-3</sup> for silicon<sup>19</sup> and 2.0 g cm<sup>-3</sup> for amorphous carbon[Wikipedia].

Electrochemical impedance spectroscopy (EIS) and cyclic voltammetry (CV) were measured on an AUTOLAB PGSTAT302N (Metrohm, Netherlands). And EIS was recorded at a voltage of 5 mV within the frequency range between 1 MHz and 0.01 Hz. The CV curves were measured at a scanning rate of 0.1 mV s<sup>-1</sup> from 0.01 V to 1.2 V.

## 3. Results and discussion

Fig. 1 shows the structural information of the Si/C multilayer thin films deposited by magnetron sputtering. There is a broad diffraction peak around 25° in the XRD pattern of Si/C multilayer film, which demonstrates the amorphous characteristic (Fig. 1b). To ascertain the crystallinity of it, Raman was applied to characterize the structure of amorphous species. The experimental Raman spectra of Si/C multilayer films show two distinct bands at about 150 and 480 cm<sup>-1</sup> in the range from 100 to 600 cm<sup>-1</sup> (Green square in Fig. 1a), associated with transverse-acoustic (TA) and transverse-optic (TO) vibrational modes of amorphous silicon, respectively.<sup>31,32</sup> The broad peak from 1100 to 1800 cm<sup>-1</sup> (marked by Red square) is attributed to amorphous carbon. The SEM cross-section morphology of Si/C multilayer thin film deposited on n-(100) single crystalline silicon substrates and surface morphology deposited on Cu foil substrates are showed in Fig. 1c and d, respectively. Periodic structure can be observed visually. The details of multilayer Si/C films nanostructure were discussed previously.<sup>23</sup> The Ti(C) gradient layer and Si/C multilayer features are clear in Fig. 1c. *The furrow shaped surface is due to the polishing of Cu foils with sandpaper<sup>20,21,33,34</sup> that is showed in Fig. 1d.*

The excellent electrochemical cycling performance can be derived from charge/discharge capacity profiles of Si/C multilayer anodes as showed in Fig. 2a. From the data of Fig. 2b and c, we can observed that the initial discharge and charge specific capacity of sample A are 2940 mA h g<sup>-1</sup> and 2800 mA h g<sup>-1</sup>, respectively. The calculated initial coulomb efficiency (CE) is about 95%. The retention specific capacity is about 2300 mA h g<sup>-1</sup> and renders ~78% of reversible capacity after 200 cycles. The initial discharge and charge specific capacity of sample B are 2640 mA h g<sup>-1</sup> and 2560 mA h g<sup>-1</sup>, respectively. The calculated initial coulomb efficiency is about 97%. The retention specific capacity is also about 2300 mA h g<sup>-1</sup> with 87%



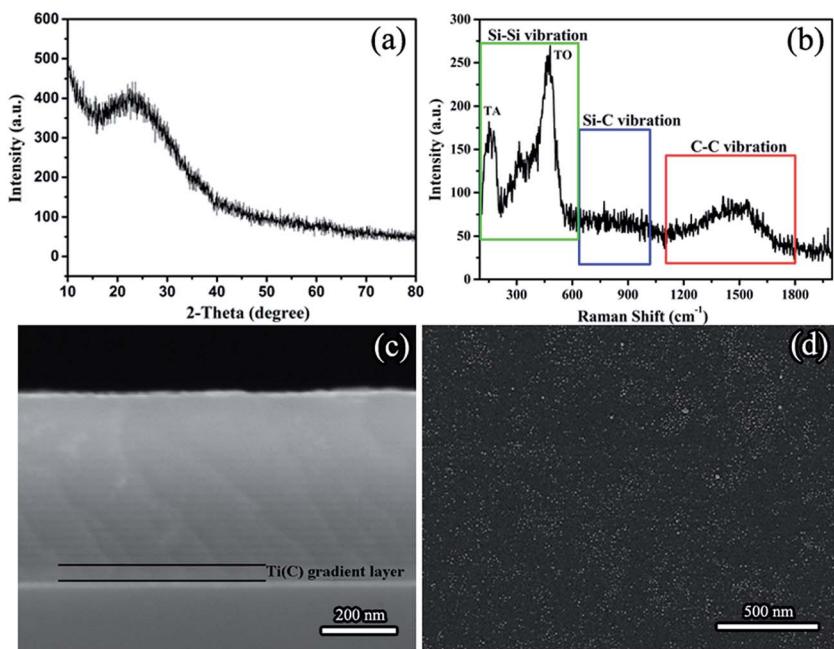


Fig. 1 The structure of Si/C multilayer film deposited by sputtering. (a) Raman spectra, (b) XRD pattern, (c) cross-section and (d) the surface SEM image of the multilayer film.

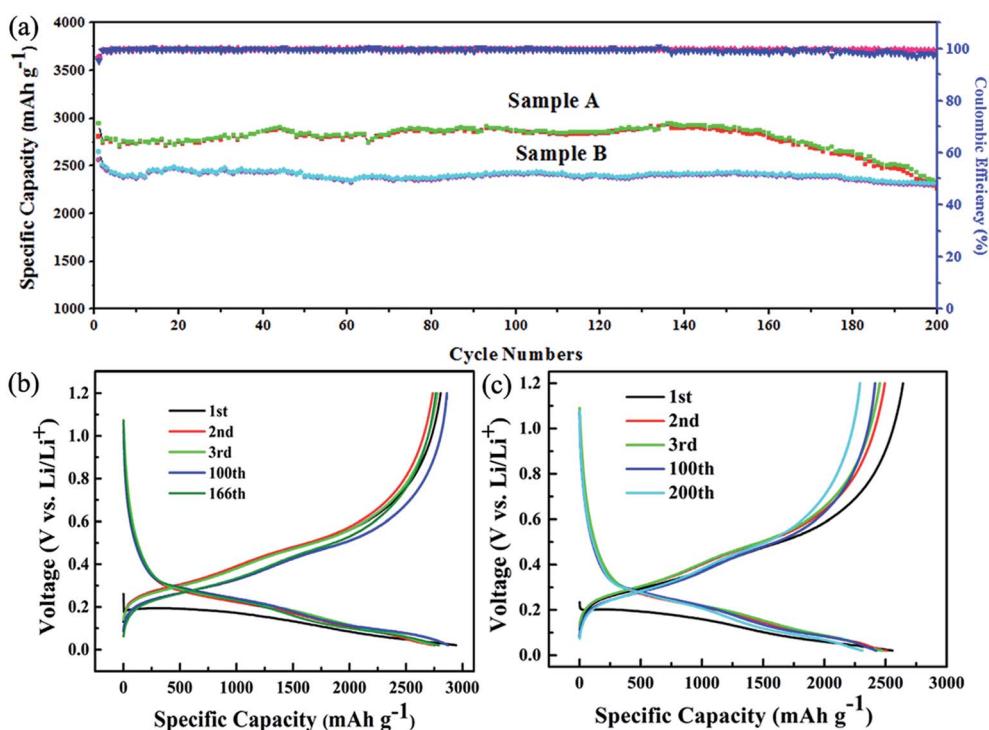


Fig. 2 (a) Charge/discharge capacity profiles and coulomb efficiency of the sample A and B at a current density of  $400 \text{ mA g}^{-1}$  with the voltage range of  $0.01$ – $1.2 \text{ V}$ . (b) Voltage profiles of the 1st, 2nd, 3rd, 100th, and 166th for sample A and (c) voltage profiles of the 1st, 2nd, 3rd, 100th, and 200th for sample B.

of capacity retention after 200 cycles. The specific capacity of sample A is higher than that of sample B, which is because of more silicon in sample A. But the capacity degradation of sample A is obvious after 160 cycles.

Fig. 3 shows the surface morphology of the samples after 200 cycles. The cracking in sample A is more serious than that of sample B, which would be the reason for sample A possessing the smaller initial coulombic efficiency and the retention

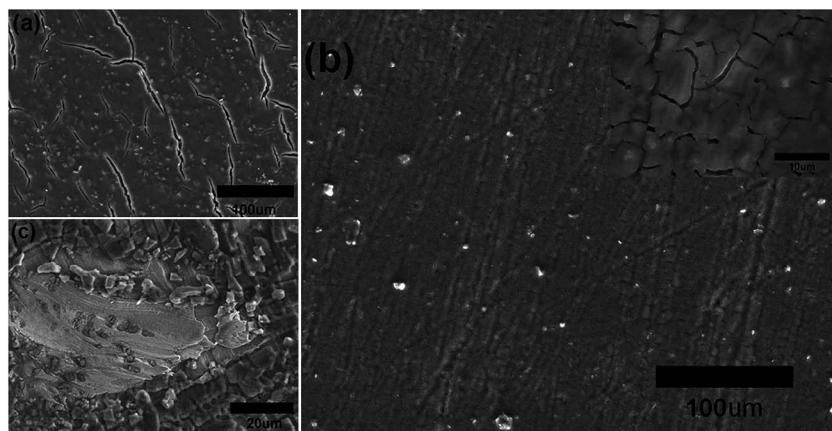


Fig. 3 The SEM surface morphology of sample A (a), B (b) and pure silicon film anode (c) after 200 cycles. The inset in (b) corresponds to the high magnification image of sample B after 200 cycles.

specific capacity. The SEM surface morphology after 200 cycling of a 300 nm silicon film deposited on Cu foils that did not polish with sandpaper and have no Ti(C) gradient intermediate layer is showed in Fig. 3c. It is fractured and exfoliated. These results should be attributed to the three reasons below.

Firstly, the carbon layers inserted between silicon layers can effectively relieve stress concentration during the lithiation/delithiation of silicon. According to the literature,<sup>20,21,26,30,33-35</sup> thinner amorphous C buffer layer can maintain microstructural

stability of the a-C/Si based anode through releasing stresses induced by volume expansion during the charge/discharge cycles. One-dimensional model predicts that below a critical silicon particle size the further fracture will not occur.<sup>26</sup> For a-Si film, it is about 100 nm even under repeated cycling.<sup>36,37</sup> In our experiment, the single silicon layer is less than 20 nm. Based on mentioned above, we show a stress, constraint and volume expansion schematic illustration in Fig. 4. Due to the homogeneity and thin silicon layer deposited by sputtering, transverse stress parallel to the film surface is constrained by the carbon layer and is adjusted to the longitudinal direction during lithiation of silicon in Si/C multilayer film. That results in the expanding of silicon along the vertical direction to film surface mainly.

Secondly, the Ti(C) gradient intermediate layer between Cu foil and Si/C film and relatively well matching between silicon and carbon at their interfaces ensure a good combination between the layers. The furrow shaped surface of copper foil also devote to the suppressing of Si/C multilayer films anode fracture.

Thirdly, carbon coated on Si/C multilayer surface as a protective layer can efficiently cut off the direct contact

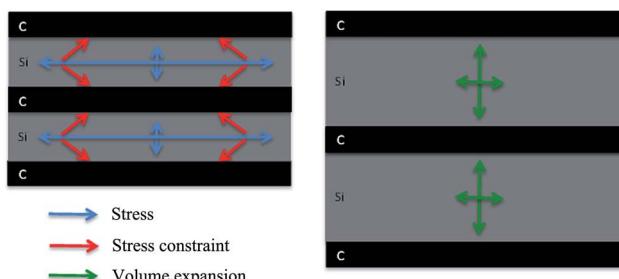


Fig. 4 The stress, constraint and volume expansion schematic illustration.

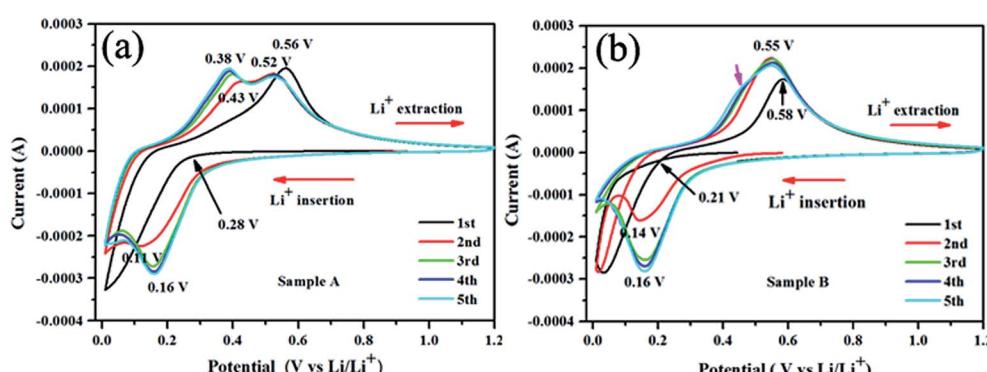


Fig. 5 The first five cyclic voltammetry (CV) curves for the Si/C multilayer film electrodes (sample A and B) at a scanning rate of  $0.1 \text{ mV s}^{-1}$  within a voltage range of  $0.01\text{--}1.2 \text{ V}$  versus  $\text{Li}/\text{Li}^+$ .



between Si and electrolyte<sup>38</sup> and form a relatively stable solid electrolyte interphase (SEI) film,<sup>10,39–41</sup> thereby improving the electrochemical performance.

Cyclic voltammograms (CVs) depicted in Fig. 5 show that the first cycle of CV profiles is quite different from the subsequent cycles. A broad peak around 1.0 V corresponding to the formation of SEI layer<sup>6,41–44</sup> does not appear in our experiment, suggesting limited SEI layer formed on the sputtering film with a 20 nm carbon protective layer. This is why the Si/C multilayer films deposited by sputtering method have higher initial CE and retention capacities than the reported.<sup>10,42,45,46</sup> When the scan proceeds, there is a sharp cathodic peak arising at a low potential of below 0.3 V for sample A and 0.2 V for sample B respectively, which is related to the lithiation and amorphization of silicon.<sup>4,41,42,44,47–50</sup> One broad oxidation peak appears correspondingly at 0.56 V for sample A and 0.58 V for sample B on the reversed scan, corresponding to Li extraction from the Si/C multilayer films.<sup>4,41,42,48–51</sup> In our experiment, the only one broad anodic peak in the first CV curves indicates the cathodic peak below 0.3 V for sample A and 0.2 V for sample B should be corresponding to one process (based on the capacity as showed in Fig. 2, we believe the silicon converted into an amorphous  $\text{Li}_x\text{Si}$  ( $x < 3.75$ ), which is not the full electrochemical room-temperature lithiation of Si (*i.e.*,  $\text{Li}_{3.75}\text{Si}$ ).<sup>41</sup>

During the second cathodic sweep, a new reduction peak was observed at 0.11 V for sample A and 0.15 V for sample B, respectively, which are most likely related to another alloying reaction of  $\text{Li}^+$  with silicon. In the case of the ongoing cycles, there is no substantial change in the peak potentials and curve shape.

As showed in Fig. 5, the oxidation and reduction potential is slightly different for sample A and sample B, this is because of the different silicon thickness in sample A and B. In the redox process of silicon, carbon layer influences the redox potential. We can derive from the electronic binding energy as showed in Fig. 6. On silicon side at the Si/C interfaces, the binding energy of C1s electron is lower than that on carbon side and the carbon content (the intensity of C1s peak) has increased from silicon side to carbon side. Meanwhile, on silicon side at the Si/C interfaces, the binding energy of Si2p electron is lower than that on carbon side and the silicon content (the intensity of Si2p peak) has decreased from silicon side to carbon side. Which is coincident with the reported.<sup>52</sup> The binding energy of Si2p and C1s electrons are changed periodically and influenced each other. These results show that the carbon and silicon diffused into each other at the Si/C interfaces and the interfaces of Si/C are not sharp.

The electrochemical impedance spectroscopy (EIS) was used to further study the interfacial characteristic, charge transfer and ion diffusion kinetics of the Si/C multilayer thin film electrodes. The Nyquist plots for Si/C multilayer thin film electrodes consists of a straight line in the low frequency region and a depressed semicircle in the high frequency region as showed in Fig. 7. The depressed semicircle in the high-to-medium frequency region corresponds to the combined impedance of the SEI film ( $R_{\text{sei}}$ ), contact interface ( $R_{\text{int}}$ ) (among the current collector and active material, among silicon layer and carbon

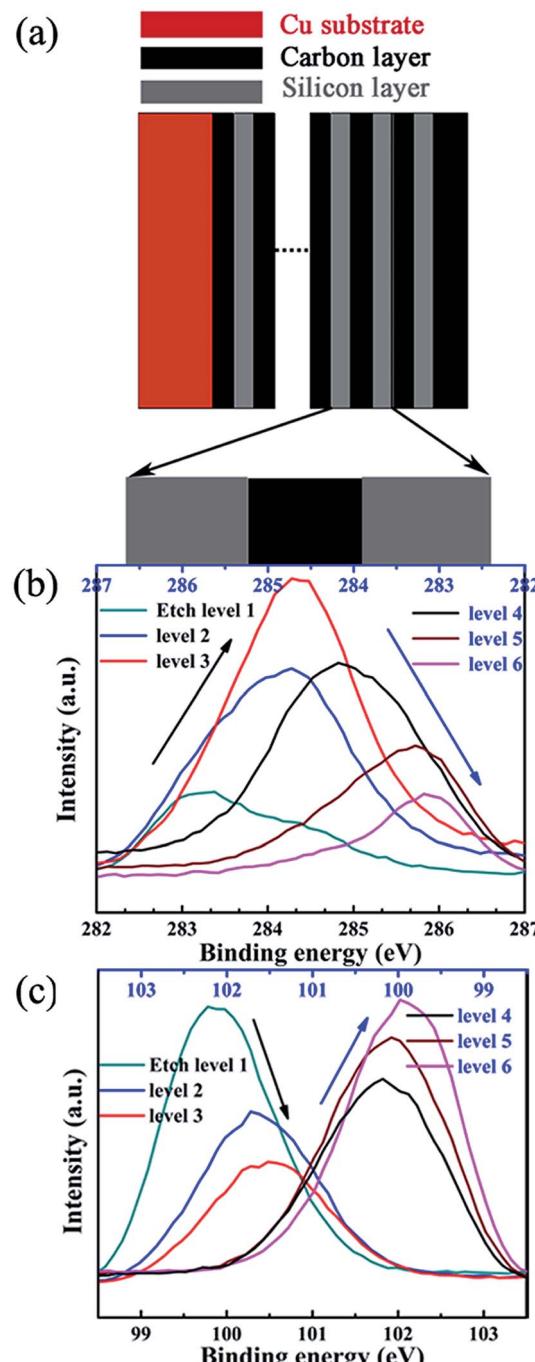


Fig. 6 The schematic illustration of Si/C multilayer film (a), the binding energy changing of C1s (b) and Si2p electrons (c) in Si/C multilayer film deposited by sputtering method.

layer) and the information about charge-transfer resistance ( $R_{\text{ct}}$ ).<sup>53–56</sup> The inclined line in the low frequency region corresponds to the Warburg impedance ( $Z_w$ ) during the solid-state lithium-diffusion process in the electrode materials.<sup>57–59</sup>

It is noted that the depressed semicircle undergo a gradually decreasing process in the first 20th cycles for both half-cells. Afterwards, both of the resistances increase to some degree (Fig. 7a and b). This phenomenon might be explained as follows:

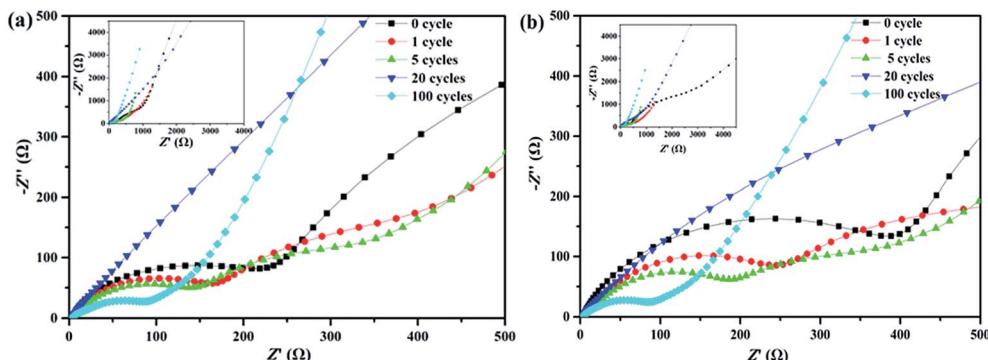


Fig. 7 Nyquist plots of the sample A (a) and B (b) in the high-frequency range after different cycles. Insets are the plots from low-frequency to high-frequency.

Before the cycling, the Si/C multilayer films have relatively high interfacial and charge transfer resistance ( $R_{\text{int}} + R_{\text{ct}}$ ), but low  $R_{\text{sei}}$  because of limited SEI film formed as described before. In the earlier dozens of cycles, the films maintained completely and did not crack. Thus the  $R_{\text{sei}}$  formed on carbon layer can maintain constant<sup>60</sup> and the  $R_{\text{int}} + R_{\text{ct}}$  decrease with the lithiation/delithiation of silicon and the interface blurring between silicon layer and carbon layer. The depressed semi-circle became smaller and smaller. After 20<sup>th</sup> cycles or so, the films began to break. The electrolyte invaded the film along crack and contacted with silicon directly. More and more SEI films formed and the  $R_{\text{sei}}$  increased. The depressed semicircle radius increased to a certain extent after 100<sup>th</sup> cycling as showed in Fig. 7.

## 4. Conclusions

In this work, we design and synthesis the multilayer film Si/C nano-structure by magnetron sputtering method. Using carbon layer as silicon volume expansion buffer, suppressing the film cracking during lithiation/delithiation and separating the silicon from organic electrolyte, the Si (15 nm)/C (5 nm) multilayer film anode shows excellent electrochemical properties. The initial discharge and charge specific capacity of which are 2640  $\text{mA h g}^{-1}$  and 2560  $\text{mA h g}^{-1}$  with initial coulomb efficiency of ~97%. The retention specific capacity is about 2300  $\text{mA h g}^{-1}$  and renders ~87% of capacity retention after 200 cycles. The carbon and silicon affects the electron status each other. The binding energy of silicon and carbon are changed periodically and reflected in redox potential difference in sample A and B. Although the Si (15 nm)/C (5 nm) multilayer film anode shows better cycling properties, the interfacial and charge transfer resistance ( $R_{\text{int}} + R_{\text{ct}}$ ) is higher than Si (20 nm)/C (5 nm) multilayer film anode because of more Si/C interface in Si (15 nm)/C (5 nm) multilayer film.

## Conflicts of interest

There are no conflicts to declare.

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