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# Influence of substrate on the structure of predominantly anatase TiO<sub>2</sub> films grown by reactive sputtering

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TiO<sub>2</sub> films are grown on LaAlO<sub>3</sub> (001), Si (100) and SiO<sub>2</sub> substrates by reactive radio frequency sputtering. X-ray diffraction (XRD) pole figures revealed important characteristics about the texture and phase distribution on those films. Combined with spectroscopic ellipsometry, the pole figures allowed the analysis of the growth characteristics over the whole volume of the layers. Details in the microstructure of the films were probed using transmission electron spectroscopy. Anatase is the dominating phase in the films grown on all substrates. On TiO<sub>2</sub>/LaAlO<sub>3</sub> films, the mosaicity is very low, so that the pole figure closely resembles that of anatase monocrystals. Detailed inspection of XRD pole figures reveals a small amount of rutile in the TiO<sub>2</sub>/LaAlO<sub>3</sub> films. For the growth of TiO<sub>2</sub> onto SiO<sub>2</sub>, rutile and brookite phases are also detected. Transmission electron microscopy and XRD results show the formation of anatase {112} twins in films grown on the different substrates, suggesting that the anatase {112} twin mediates the growth of rutile and brookite phases. Optical results are in agreement with the XRD observations: the optical properties of TiO<sub>2</sub>/LaAlO<sub>3</sub> films are similar to the ordinary values of bulk anatase crystals, indicating the orientation of the film in the [001] direction, whereas results for TiO<sub>2</sub>/SiO<sub>2</sub> are compatible with lower crystalline ordering.

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## Introduction

Titanium dioxide (TiO<sub>2</sub>) is a wide band gap semiconductor that has been intensively studied since the observation of water photolysis on its surface in 1972.<sup>1</sup> Nowadays, TiO<sub>2</sub> is the most widely used oxide for photocatalysis of organic pollutants.<sup>2–4</sup> In past years, new applications in the fields of biocompatibility,<sup>5</sup> self-cleaning processes,<sup>6</sup> photovoltaics,<sup>7</sup> lithium ion batteries<sup>8</sup> and high-*k* gate dielectrics<sup>9</sup> have reinforced the needs for research on TiO<sub>2</sub> film deposition methods. The performance of these films in such technologies strongly depends on the TiO<sub>2</sub> polymorphic phase employed: rutile, anatase or brookite. Anatase exhibits advantages over rutile for catalysis, photocatalysis and solar cell applications.<sup>2,10–12</sup> However, in some cases, due to synergistic effects, a phase blend is expected to enhance the photocatalytic activity.<sup>13</sup> Moreover, the ability to control the

texture and the crystallinity of the TiO<sub>2</sub> films allows increases in efficiency of lithium batteries or of catalysis processes.<sup>14–19</sup>

Depending on deposition method and substrate nature, pure<sup>20–23</sup> or mixed-phase<sup>24,25</sup> TiO<sub>2</sub> films can be obtained. Although anatase<sup>20–22,26–28</sup> and brookite<sup>29</sup> are metastable phases, they can be grown in pure form on single crystal substrates *via* epitaxial stabilization. It is important to determine the relative concentration of each phase, the presence of defects and the predominant crystalline orientation in TiO<sub>2</sub> films, in order to better understand and control their growth as well as to better understand the correlation between growth processes and the optical and electronic properties of the corresponding films.

The best epitaxy of the anatase TiO<sub>2</sub> occurs when lanthanum aluminate LaAlO<sub>3</sub> (001), labeled simply as LAO, is used as substrate.<sup>26,28,30–32</sup> This is due to the very low lattice mismatch (0.2%).<sup>26</sup> The pulsed laser deposition (PLD) is by far the most used technique for this kind of growth,<sup>28,32</sup> followed by the molecular beam epitaxy (MBE) growth.<sup>26,27,33</sup> While these techniques offer excellent control of the growth conditions, they have the limitations of depositing in small areas, and high costs. In an attempt to develop alternatives, e-beam evaporation was tested<sup>31</sup> and a few groups have considered the use of reactive sputtering deposition.<sup>20,30,34</sup> These techniques are simpler, and present lower cost than PLD and MBE. Besides, the reactive sputtering has the potential to produce uniform growth over very large areas.<sup>35</sup> This

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makes the technique attractive for several technological applications, but it still needs further understanding and development.

In this report, we investigate the growth of  $\text{TiO}_2$  films by reactive sputtering and the dependence of phase formation and film crystallinity on the nature of the substrate. The  $\text{TiO}_2$  layers were grown onto the (001) surface of LAO, Si (100) and fused silica substrates. Local details in the structure of the films, including twin formation, are analyzed using transmission electron spectroscopy. X-ray diffraction (XRD) pole figures were used for the first time to analyze this epitaxy. They revealed important characteristics about the texture and phase distribution on those films. The joint use of spectroscopic ellipsometry and pole figures allowed the probe of the growth characteristics over the whole volume of the samples.

## Experimental

The films were deposited by radio frequency (RF) magnetron sputtering using a 75 mm diameter metallic Ti target (99.999%) in an  $\text{Ar} + \text{O}_2$  atmosphere (99.9999%). The deposition RF power was 120 W, using a KJL Torus magnetron cathode. The total pressure was  $5.0 \times 10^{-3}$  Torr, using Ar flow of 40.0 sccm, while the oxygen flow was 0.2 sccm. The residual pressure was below  $1.0 \times 10^{-6}$  Torr. Fused silica (a- $\text{SiO}_2$ ), Si (100) and LAO (001) substrates, kept at 450 °C, were used simultaneously in the depositions. As no treatment to remove the native oxide on the top of the Si (100) was performed, in what follows we refer to this as the  $\text{SiO}_2/\text{Si}(100)$  substrate. It was observed that XRD results were identical for samples deposited onto  $\text{SiO}_2/\text{Si}(100)$  and a- $\text{SiO}_2$  substrates, so we have shown here just the ones corresponding to  $\text{TiO}_2$  films deposited onto  $\text{SiO}_2/\text{Si}(100)$ .

Samples deposited under continuous and interrupted  $\text{O}_2$  flow (20 interruptions per deposition) were analyzed. Further details about sample preparation can be found elsewhere.<sup>24</sup>

Structural properties were investigated by XRD with  $\text{CuK}_{\alpha 1}$  radiation (1.54 Å). The pole figures for in-plane and out-plane orientations were performed by electing a diffraction angle,  $2\theta$ , with the tilt angle,  $\chi$ , varying from 0 to 90° and the azimuthal angle,  $\phi$ , varying from 0 to 360°, for each value of  $\chi$ . In order to obtain structural information associated to a few grains, a 200 kV-TEM (JEOL JEM-2100) was used in bright and dark field imaging modes. Additionally, selected electron area diffraction (SAED) technique was also employed.

Optical characterizations of the films were performed using transmittance and reflectance measurements and spectroscopic ellipsometry. The transmittance measurements at normal incidence and reflectance at nearly normal (8°) were performed in a Perkin Elmer Lambda 1050 spectrophotometer, with 150 mm integrating sphere apparatus, in the 200–2500 nm range. Spectroscopic ellipsometry measurements were performed using a M-2000 J. A. Woollam spectrometer in the 246–1690 nm range.

## Results and discussion

### LAO substrate

X-ray diffractograms for the  $\text{TiO}_2/\text{LAO}$  sample deposited using continuous oxygen flow are shown in Fig. 1. Results on twin

samples prepared under modulated oxygen flow display identical results.

The large domination of the (004) peak in the Bragg–Brentano diffractogram of Fig. 1a indicates that the  $\text{TiO}_2$  anatase phase preferentially grows with the [001] direction perpendicular to the LAO substrate surface. Such preferential growth in anatase [001] direction is in agreement with previous reports.<sup>36,37</sup> A low intensity peak, close to the anatase (004) and indicated by an arrow in Fig. 1a, is also observed and assigned to anatase [112] direction. All other XRD peaks come from the substrate. Fig. 1b displays a rocking curve of the anatase (004) peak, with full width at half maximum (FWHM) equal to 0.8°. This value is comparable to the ones for thick  $\text{TiO}_2$  films obtained by pulsed laser deposition,<sup>38</sup> which is a well-recognized technique for growing high quality films (see for instance the book by D. B. Chrisey and G. K. Hubler<sup>39</sup> and references therein).

Lotnyk and co-workers<sup>31</sup> analyze the growth of anatase films onto  $\text{SrTiO}_3$  and LAO using reactive e-beam evaporation.

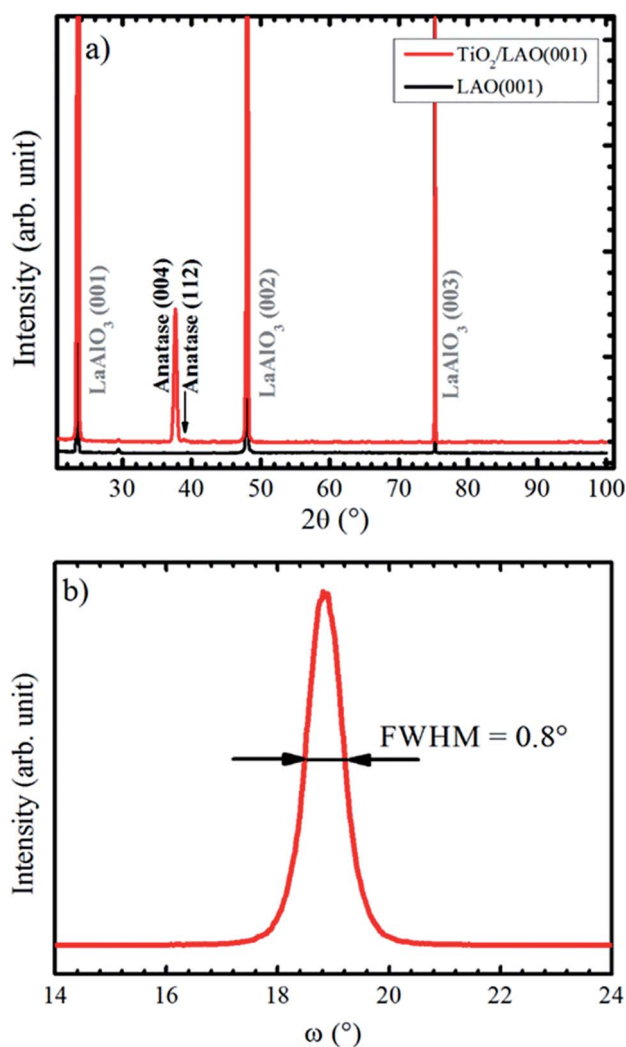


Fig. 1 (a) XRD Bragg–Brentano pattern recorded for LAO and  $\text{TiO}_2$  film grown onto LAO. The arrow indicates the anatase (112) peak position. In (b) it is shown anatase (004) XRD rocking curve.



Substrate temperatures in the 500–1000 °C range were used during the growth (while the substrates were kept at 450 °C in our results). They found that the film–substrate interface is composed by anatase (001) and LAO (001) surfaces, similarly to the present conditions. The full width at half maximum of the rocking curve peaks attained by their growth at 1000 °C on LAO (0.2°) is smaller than the one (0.8°) reported on Fig. 1b. This difference is probably due to the higher substrate temperatures, which promotes ordering of crystallites, as opposed to the bombardment of the substrate by energetic particles during sputtering growth. The incidence of energetic particles from the plasma on the growth surface is likely to produce defects and higher strain effects on the crystallites. The comparison suggests that the sputtering growth at lower power and higher substrate temperatures than the used in the present report should produce higher ordering in the films.

The in-plane texture of the TiO<sub>2</sub> film on LAO substrate was investigated performing an anatase {101} pole figure by setting  $2\theta = 25.224^\circ$ . In Fig. 2a it is possible to observe four sharp peaks centered at  $\chi = 68.2^\circ$  that is exactly the interplanar angle between {001} and {101} anatase planes. These four poles make  $90^\circ$  with respect to each other and reveal a single in-plane orientation of the anatase {001} crystals. The weaker shadowed peaks that occur about  $41^\circ$  in Fig. 2a suggest that anatase crystals present tilted domains. This result could not be compared with any report in the literature since the authors did not find previous works showing pole figures of TiO<sub>2</sub> films grown on LAO (100). For example, the work of Lotnyk *et al.* discussed above, only presented pole figures of TiO<sub>2</sub> films on LAO (110) and on yttria-stabilized zirconia. Following, these tilted anatase domains are investigated in more detail using specific scans.

Azimuthal scans for  $\chi = 68.2^\circ$  at  $2\theta = 25.224^\circ$  and  $\chi = 45.0^\circ$  at  $2\theta = 33.397^\circ$  are shown in Fig. 2b. The first and second scans select anatase {101} and LAO {101} planes, respectively. As can be observed, there is no offset between {101} peaks of the anatase and LAO, implying that the anatase lattice is not rotated about the [001] substrate normal vector, which is in agreement with an earlier report.<sup>36</sup> The ordering of this plane is due to the excellent matching between the base of the anatase conventional unit cell tetragon, and face of the cubic LAO unit cell. The difference of lattice parameters between them is only 0.2%.<sup>26</sup> The FWHM of {101} peaks is 0.6° for LAO and 1.1° for anatase. This result implies in a very small difference of the in-plane orientation between anatase crystallites, *i.e.* a very low mosaicity of the anatase crystals in the film.

In the pole figure of Fig. 2a there are also weak spots at  $\chi = 41.0^\circ$ , which are explained by the growth of out-of-plane oriented anatase {112} grains already observed by the small peak in Fig. 1a. These spots are rotated by  $45^\circ$  in respect to the anatase {101} ones at  $\chi = 68.2^\circ$ . The azimuthal curve at  $\chi = 41.0^\circ$  in Fig. 2b shows that the anatase {112} grains are actually split by  $3^\circ$  in two peaks with a rotation of  $43.5^\circ$  and  $46.5^\circ$  over LAO [001] axis. The presence of anatase grains with such in-plane orientation on TiO<sub>2</sub> epitaxial growth over LAO have not been previously reported. Therefore, we were encouraged to

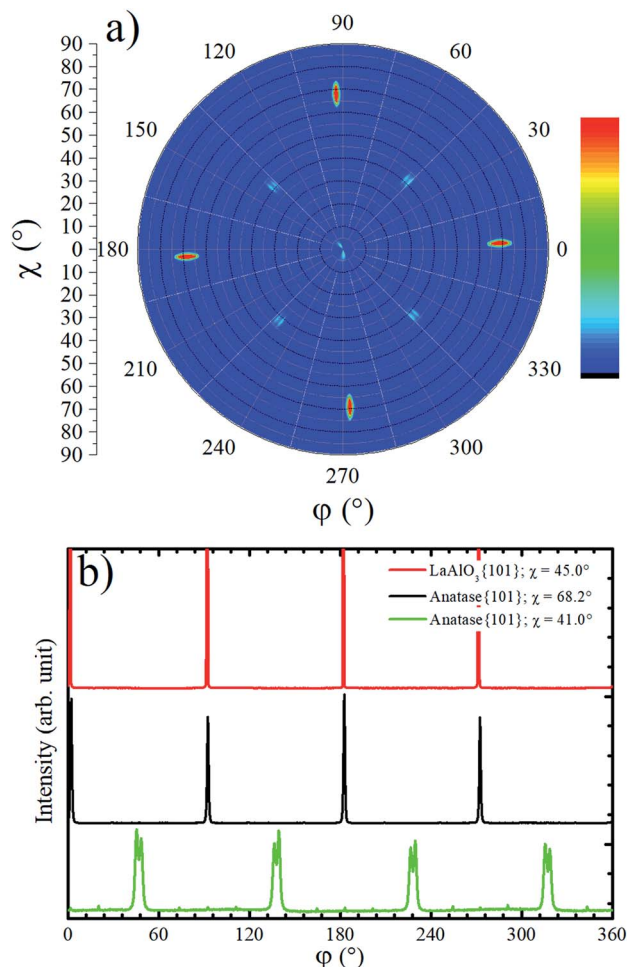


Fig. 2 (a) Anatase {101} pole figure ( $2\theta = 25.224^\circ$ ) for TiO<sub>2</sub> deposited on top of LAO substrate. In the color scale bar red (blue) indicates high (low) counts. (b) Azimuthal scans for LAO {101} at  $\chi \sim 45^\circ$  and for anatase {101} at  $\chi \sim 68.2^\circ$  and  $\chi \sim 41.0^\circ$ .

propose a possible atomic arrangement of anatase {112} planes in the TiO<sub>2</sub> film grown on LAO.

Fig. 3 sketches anatase (112), anatase (001) and LAO (001) planes. In this figure the atomic similarity between anatase (001) and LAO (001), that gives rise to the low lattice mismatch of 0.2%, becomes clear. If anatase (112) is rotated by  $45^\circ$  the square formed by Ti atoms, indicated in the figure, will fit to the anatase (001) plane or likewise to the LAO (001) plane. In anatase  $[\bar{1}10]$  direction, the lattice mismatch would be close to zero and, in the direction  $[\bar{1}\bar{1}1]$ , it would be approximately 1.6%. Such low values support the  $45^\circ$  rotation of anatase (112) and reasonably agrees with the results in Fig. 2b, where rotations of  $43.5^\circ$  and  $46.5^\circ$  were found. The divergence from  $45^\circ$  is probably related to lattice relaxation processes.

Although the XRD Bragg–Brentano measurement in Fig. 1a has not shown any evidence for the presence of rutile phase in the TiO<sub>2</sub>/LAO film, a rutile {110} pole figure was measured, and twelve peaks centered at  $\chi = 45.0^\circ$  were observed, as shown in Fig. 4a. Such diffraction peaks are attributed to rutile crystallites with  $\langle 100 \rangle$  out-of-plane growth direction, since rutile {100}





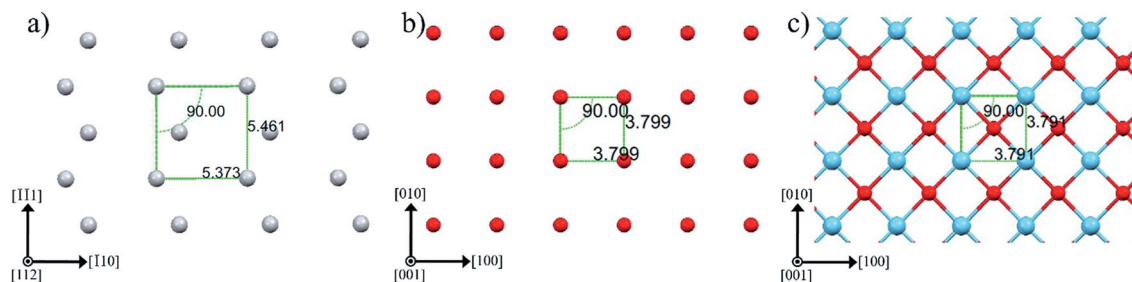


Fig. 3 Atomic arrangement of (a) anatase {112}, (b) anatase {001} and (c) LAO {001} planes. The atoms Ti, O and La are depicted by gray, red and blue spheres, respectively. These images were created making use of Mercury software.<sup>56–58</sup>

planes make 45° with {110} ones. The absence of {*h*00} peaks in Bragg–Brentano measurements is probably due to the low amount of rutile phase in the TiO<sub>2</sub> film combined with extinction effects. The observation of rutile in the pole figure only became possible due to the much higher structural factor of {110} planes in respect to any {*h*00} plane. For instance, the

rutile (200) plane has the highest structural factor among the rutile {*h*00} planes and it is roughly two and a half times smaller<sup>40</sup> than the rutile (110) structural factor.

The fraction of anatase (*f<sub>A</sub>*) in the TiO<sub>2</sub>/LAO sample is estimated using the following equation,<sup>41</sup>

$$f_A(\%) = \frac{1}{1 + 1.26 \frac{I_R}{I_A}} \times 100, \quad (1)$$

where, *I<sub>R</sub>* and *I<sub>A</sub>* are the intensities for the strongest anatase and rutile reflections, which are (101) and (110) reflections, respectively. The *I<sub>R</sub>* and *I<sub>A</sub>* values are obtained from the brightest spot in Fig. 4a and 2a, respectively. We verified from eqn (1) that the grown film is predominantly composed of anatase, with up to 4% rutile. It is important to highlight here, that in previous reports, in which the growth of single phase anatase films on LAO was claimed, the absence of rutile crystallites was not confirmed by inspection of rutile {110} pole figures.<sup>26,36,37,42,43</sup> The present results demonstrate that Bragg–Brentano XRD measurement only may not be sufficient to rule out the presence of small concentrations of rutile crystallites in allegedly single-phase anatase films.

Rutile {110} azimuthal scan for  $\chi = 45.0^\circ$  is shown in Fig. 4b. The positions of the peaks can be explained on basis of the geometry of the crystal cut interface between LAO and rutile: the first intense peak, relatively narrow, marked with a double cross symbol, corresponds to the diffractions from the rutile (110) planes associated to crystallites that match the diagonal of their rectangular basis  $a \times c$  (5.46 Å) with cube diagonal  $a \times a$  (5.36 Å) of LAO lattice. In the unstrained crystal condition, this should correspond to a 12.21° rotation, which is roughly the angle between LAO {101} peaks (see Fig. 4b) and rutile {110} peaks marked with double cross symbol. The clockwise and counter-clockwise rotations provide the single and double cross marked peaks. The peaks labelled with an asterisk, correspond to the {110} rutile planes of crystallites grown with a rotation of 45° of the in-plane *a* axis, [100] direction, relative to the *a* axis of LAO. The poorer matching of these ones explains the lower intensity and the broader FWHM of these peaks. Then, the fourfold rotation symmetry of the [001] axis of LAO can explain the twelve {110} rutile peaks observed in the pole figure and azimuthal scan of Fig. 4. The small peaks at 0°, 90° and repeated each 90° are not rotated in respect to the substrate and probably correspond to diffraction signal related to the anatase {001} grains.

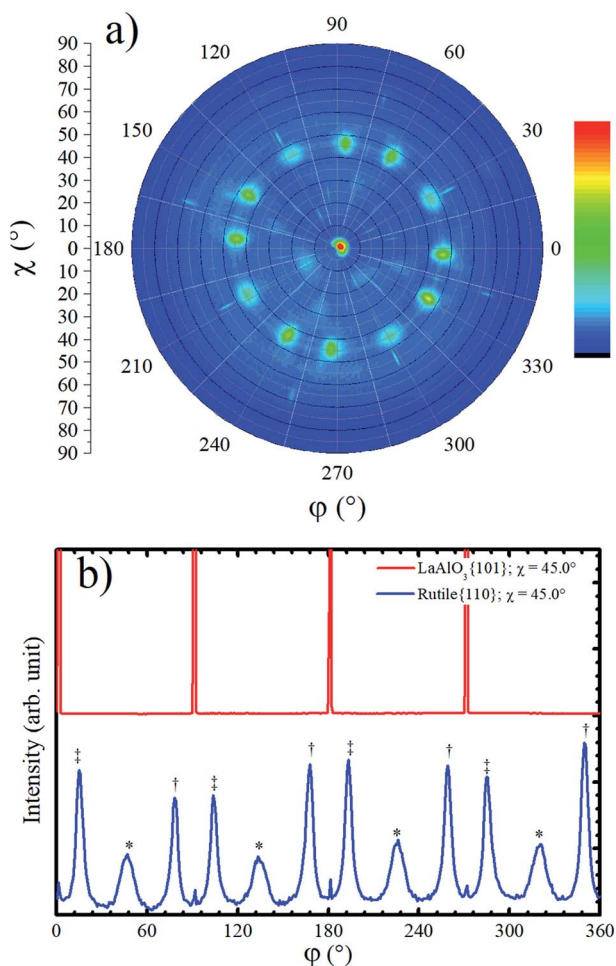


Fig. 4 (a) Rutile {110} pole figure carried out at  $2\theta = 27.434^\circ$  for a TiO<sub>2</sub>/LAO film. In the color scale bar red (blue) indicates high (low) counts. (b) Azimuthal scans at  $\chi \sim 45.0^\circ$  for LAO {101} and for rutile {110}. The three different symbols in (b) indicate peaks related to three different arrangements of rutile crystallites.



Due to the high similarity between atomic arrangements of LAO (001) and anatase (001) planes, the in-plane orientation of rutile grains discussed above is also valid if considered the growth of rutile (100) on top of anatase (001).

In order to investigate the local structural and morphological aspects of the films, TEM analysis were performed. Fig. 5 presents TEM images of different regions of the  $\text{TiO}_2/\text{LAO}$  sample in which two types of columnar structures are observed that are possibly related to twins; (i) almost perpendicular or (ii) tilted with respect to the substrate surface. Similar structures associated to twins were also observed in silver thin films.<sup>44</sup> To the best of our knowledge, twin structures were not previously observed in  $\text{TiO}_2$  films grown on LAO. In the work of H. Xu *et al.*,<sup>36</sup> XRD of  $\text{TiO}_2/\text{LAO}$  films did not show the anatase (112) peak and in agreement no twin structure was observed in the TEM images. The structures in Fig. 5 are indicated and numbered as #1, #3 and #4, corresponding to type (i) and #2, corresponding to type (ii). The two first (#1 and #2) are better presented in Fig. 5c, where it is possible to note a  $\sim 60^\circ$  tilt of #2 in respect to the substrate surface. This angle is very close to the angles between anatase (112) and (001) planes, and between anatase (112) and (112) planes, where the calculated values are  $60.53^\circ$  and  $58.93^\circ$ ,<sup>45</sup> respectively. Therefore, we can consider the twin plane being anatase (112), the columnar structure growing in anatase [112] direction and the  $\text{TiO}_2$  portion surrounding this columnar grain growing in anatase [001] direction, as indicated in Fig. 5c. This consideration is supported by the fact that the major part of the sample presents [001] orientation (see XRD results in Fig. 1a). The other structures (#1, #3 and #4) do not show the  $\sim 60^\circ$  inclination because the respective columnar grains are  $\sim 90^\circ$  in-plane rotated in respect to #2. In accordance with azimuthal curves in Fig. 2b that showed four-fold symmetry for anatase (112) grains.

The formation of anatase {112} twin interfaces in  $\text{TiO}_2/\text{LAO}$  sample is probably the source for the growth of the rutile crystallites, which presence was verified by the pole figure in Fig. 4a. It was previously observed in literature that rutile crystallites are likely to nucleate at {112} twin interfaces formed in nanocrystalline titania.<sup>46,47</sup>

The interpretation that the columnar structures shown in Fig. 5 have a different crystalline orientation than the material surrounding it, is confirmed by dark field imaging of  $\text{TiO}_2$  grains encompassing a twin. In Fig. 6a it is displayed a SAED pattern took from the region shown in Fig. 5b. The diffraction spots are the expected ones for zone axes (ZA) near to anatase [110] and [111]. Dark field images for the diffracted beams  $(-101)$  and  $(1-12)$  are displayed in Fig. 6b and c, respectively. Wherein  $(-101)$  and  $(1-12)$  diffracted beams belong to anatase [110] and [111] ZA, respectively. The dark field images show that the columnar structure is close to the [110] ZA and the  $\text{TiO}_2$  grains out of this columnar structure are near to the [111] ZA. Therefore, the columnar structure is misoriented in respect to the rest portion of the  $\text{TiO}_2$  film.

Comparing these results with the literature, it is important to mention that Jeong and co-workers<sup>20</sup> were the first to report the growth of single phase anatase onto LAO using reactive sputtering. They claim, that this technique has much better

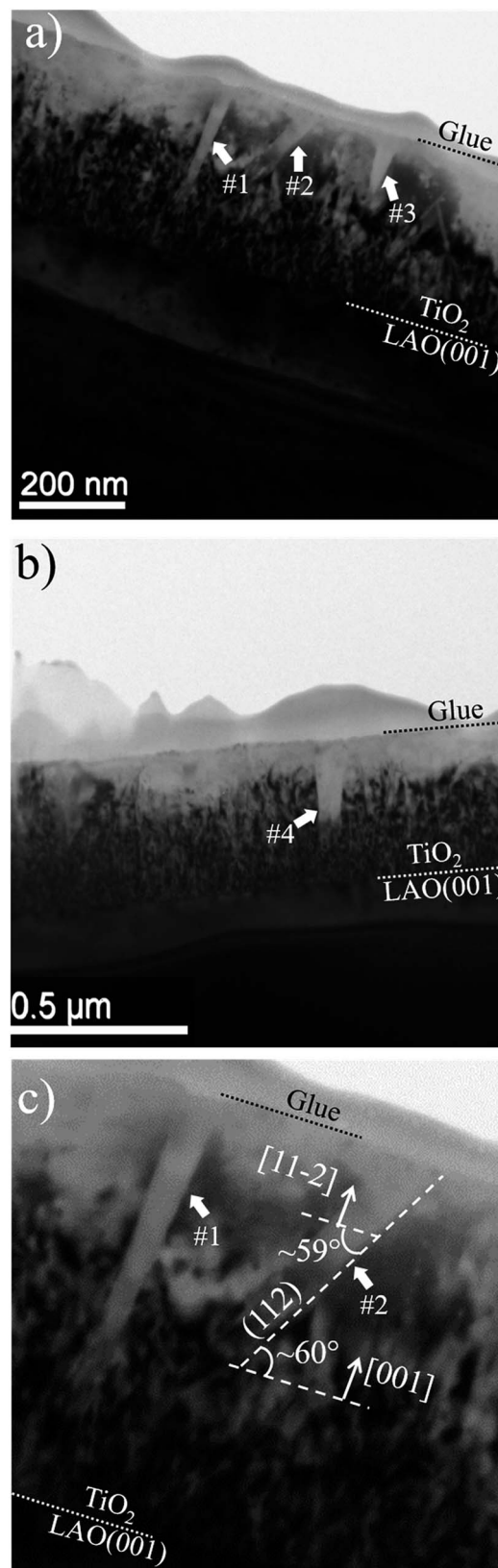


Fig. 5 Bright field TEM images of different regions of the sample are shown in (a) and (b). The dotted lines indicate the interfaces between substrate,  $\text{TiO}_2$  film and the glue used during sample preparation for TEM. (c) Displays a zoom of regions marked as #1 and #2 in (a).



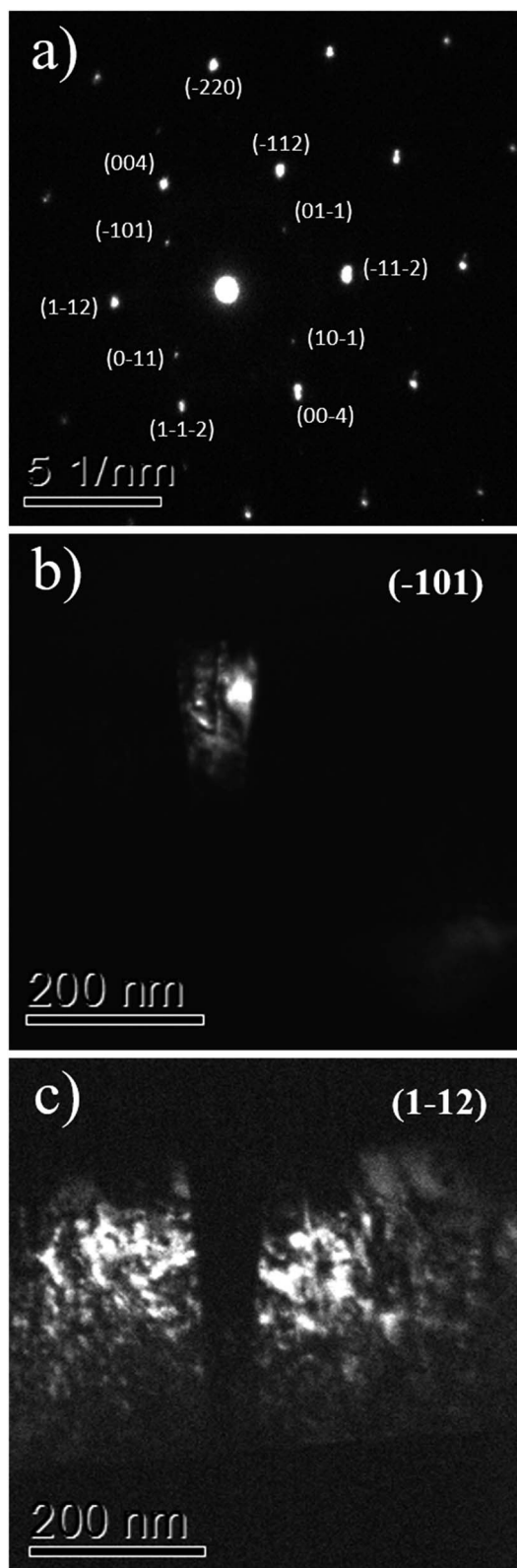


Fig. 6 The TEM SAED pattern of the  $\text{TiO}_2/\text{LAO}$  film is shown in (a), while (b) and (c) display the dark field images associated to diffractions  $(-101)$  and  $(1-12)$ .

perspective for applications than MBE and PLD. In the growth they have used higher substrate temperatures ( $750^\circ\text{C}$ ) and deposition power (250 W) than the presently reported. Their analysis is restricted to multiple axis X-ray diffraction and atomic force microscopy measurements. Very narrow peaks in the (004) anatase rocking curves ( $\Delta\theta = 0.09^\circ$ ) were obtained, revealing very good quality of the anatase crystals. No pole figure, electron microscopy nor optical results were presented. No mention was made to a search for rutile phase or for twin formation.

In a related paper of the same group,<sup>30</sup> spectroscopic ellipsometry results of films prepared by reactive sputtering using the same deposition system and under similar conditions were analyzed. In the Optical results section we have compared in detail their results with ours.

Relevant results concerning the anatase growth onto LAO by reactive sputtering were also reported by Wang *et al.*<sup>34</sup> They combined advanced transmission electron microscopy with high-precision first-principles calculation to relate the crystal-line structure of  $\text{TiO}_2/\text{LAO}$  interface to its electronic properties. They confirm that the rf magnetron can produce high quality anatase crystallites on the top of LAO. Similarly to results of the anatase/LAO (001) epitaxy found in most literature reports, and also in our results, the anatase crystals display the [001] axis perpendicular to the LAO (001) substrate surface, as one could expect by the close match of the surface atomic spacing provided by this geometry. This was already shown in our Fig. 3b and c, and is responsible for the similarity of Fig. 1 in the Wang *et al.* publication<sup>34</sup> and on the present report.

In spite of these similarities, provided by the epitaxy relation between film and substrate, there are important differences in the procedures and results. Differently from the procedure used on this manuscript where a pure metallic Ti target was used, Wang *et al.* have used a ceramic  $\text{TiO}_2$  target. Their films were produced at significantly higher temperatures ( $800^\circ\text{C}$ ), and have been annealed at  $1000^\circ\text{C}$  in air. Even though the FWHM of the diffraction peak is wide in the as grown condition, the annealing produced a significant decrease of the XRD peak width, indicating improvement of the anatase crystal quality with annealing.

XRD pole figures, are not present in this ref. 34. So it is not possible to directly verify if the coherence of the growth occurs in the whole surface or is restricted to the analysis points/axis and if the tilt of the anatase crystallites also occur on their films or not. Besides, the thicknesses of the film grown by them are significantly lower ( $\sim 50$  nm) than the ones presented here ( $\sim 500$  nm).

Due to texture effects the presence of small amounts of the rutile phase is difficult to detect in the conventional XRD analysis. The presence of rutile on films grown on LAO could not be detected from our TEM or from the regular XRD analysis. Also the optical results of the films on LAO present no clear indication that this phase is present. This proved the analysis using pole figures to be important.





### SiO<sub>2</sub>/Si(100) substrate

In order to verify the substrate influence on the growth of TiO<sub>2</sub> films, this oxide was deposited on SiO<sub>2</sub>/Si(100). Additionally, we investigated the influence of the O<sub>2</sub> atmosphere variation on the structure of TiO<sub>2</sub> films grown on SiO<sub>2</sub>. Fig. 7a shows XRD patterns, obtained in Bragg–Brentano mode, for TiO<sub>2</sub> films deposited with continuous (KL33) and discontinuous (KL32) O<sub>2</sub> supply. These results are identical to the ones obtained for TiO<sub>2</sub> grown on a-SiO<sub>2</sub> (results not showed here). In agreement with previous characterization by Raman spectroscopy and XRD, the TiO<sub>2</sub> films are formed by the anatase, rutile and brookite phases, with the last two phases in a minor concentration.<sup>24</sup> There is a clear reduction in the number of peaks from sample KL33 to KL32, therefore, the sample KL32 displays a less misoriented growth than the KL33 one. This is an evidence of structural modifications caused by the modulation of O<sub>2</sub> supply during deposition. Moreover, it demonstrates that the TiO<sub>2</sub> growth on

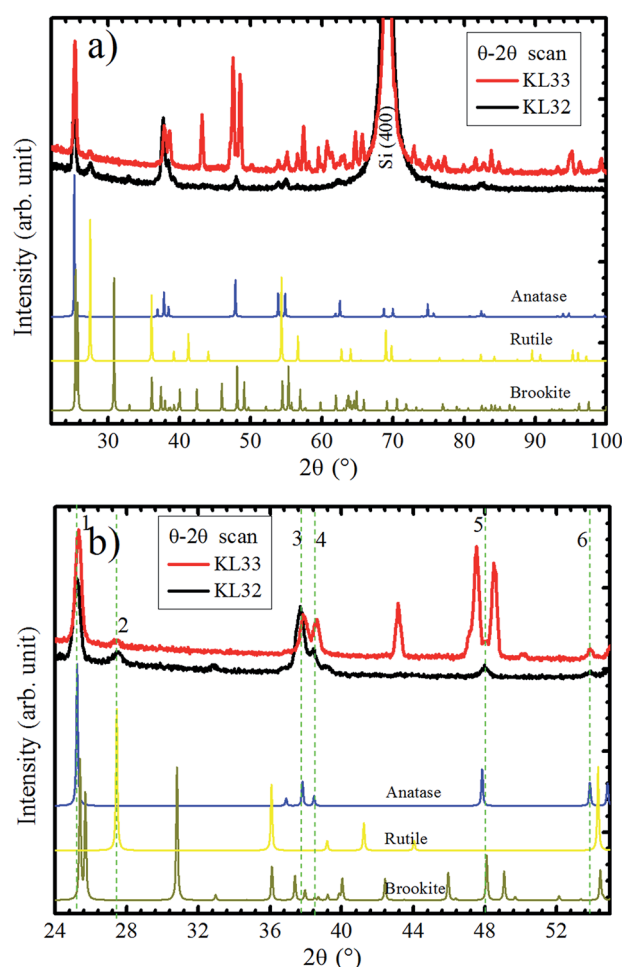


Fig. 7 (a) XRD experiments in Bragg–Brentano mode of TiO<sub>2</sub>/SiO<sub>2</sub>/Si(100) samples. Samples KL32 (black curve) and KL33 (red curve), correspond to interrupted and continuous deposition O<sub>2</sub> flows, respectively. (b) Zoom in of 2θ axis from 24 to 55° with the six XRD coincident peaks for both samples indicated by vertical dashed lines. In the bottom of the figures, reference diffractograms of anatase,<sup>45</sup> brookite,<sup>45</sup> and rutile<sup>40</sup> are given for comparison.

SiO<sub>2</sub> is kinetically controlled by O<sub>2</sub> concentration. On the other hand, the non-dependence on the O<sub>2</sub> supply and the high texture presented by TiO<sub>2</sub> films grown on LAO are evidences that such growth is less affected by the kinetics of the process and that it is actually thermodynamically governed by the free energy of formation of TiO<sub>2</sub> layers on LAO. The kinetically/thermodynamically controlled growth of nanomaterials has been discussed regarding different deposition systems, *e.g.* chemical synthesis of Rh–Pd alloy nanocrystals<sup>48</sup> and electro-deposition of Cu<sub>2</sub>O films.<sup>49</sup>

In Fig. 7a, even sample KL32 does not show such a strong out-of-plane preferential orientation as the TiO<sub>2</sub> layers grown on LAO, which highlights the role played by the substrate on determining the TiO<sub>2</sub> film structure.

A zoom in 2θ axis from 24° to 55° could bring some additional information, as shown in Fig. 7b. The XRD peaks that are observed in both samples are numbered from 1 to 6. The 2θ positions of peaks 1, 3 and 4 for KL33 are displaced from the ones observed for KL32, indicating different lattice parameters for grains grown under continuous and discontinuous O<sub>2</sub> supply. Therefore, at least in one of the deposition conditions, part of the TiO<sub>2</sub> grains are under lattice strain. On the other hand, peaks 2, 5 and 6 show same positions for both samples, which means equal interplanar spacing. These diffractions are assigned to rutile (110), brookite (321) and anatase (105) planes, respectively. These peaks with equal interplanar spacing for both samples probably emerge due to structural relaxation processes, *e.g.* crystal twins and phase transformation.<sup>50,51</sup>

In Fig. 8, it is sketched the growth of grains of the three TiO<sub>2</sub> phases, anatase [105], brookite [321] and rutile [110], considering the phase transformation anatase–brookite–rutile already discussed in the literature,<sup>46,47,52</sup> which occurs *via* anatase {112} twin formation followed by brookite (200) and rutile (010) growth. Assuming that the planes anatase (112) twin, brookite (200) and rutile (010) are parallel to each other will imply that the brookite [321] vector in Fig. 8a and anatase [105] and rutile

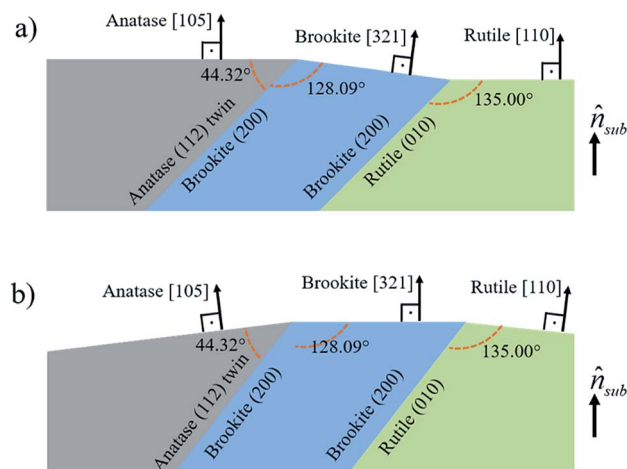


Fig. 8 Sketch of anatase [105], brookite [321] and rutile [110] grains interrelated by an anatase (112) twin followed by formation of brookite (200) and rutile (010) planes. In (a) anatase [105] and rutile [110] and in (b) brookite [321] grow parallel to  $\hat{n}_{\text{sub}}$ .



[110] vectors in Fig. 8b not being parallel to the substrate normal ( $\hat{n}_{\text{sub}}$ ). Intermediary situations in which none of the three directions are parallel to  $\hat{n}_{\text{sub}}$  are also possible. This interpretation is in agreement with XRD rocking curves of brookite (321), rutile (110), and anatase (105) peaks that presented a broad FWHM of  $\sim 14^\circ$  (results not shown) indicating that those crystals are not all growing parallel to  $\hat{n}_{\text{sub}}$ . Therefore, the growth scheme in Fig. 8 supports the hypothesis of a structural relaxation mediated by crystal twinning that gives rise to brookite and rutile formation in both conditions of  $\text{O}_2$  flux. The observation and modeling of the anatase {112} twin formation can be an important step towards understanding the mechanisms responsible for the growth of brookite and rutile phases in predominantly anatase thin films.

### Optical results

With emphasis on the study of the influence of  $\text{SiO}_2$  and LAO substrates on the growth of  $\text{TiO}_2$  films using the RF sputtering technique, we analyzed the optical properties of the produced layers by means of optical transmittance and spectroscopic ellipsometry measurements. Fig. 9 displays the refractive index ( $n$ ) and the extinction coefficient ( $\kappa$ ) determined for  $\text{TiO}_2$

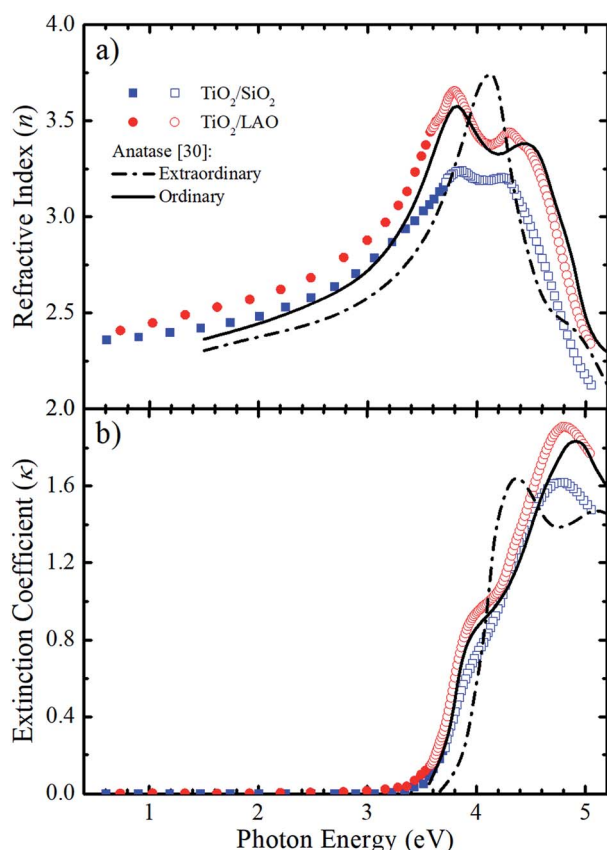


Fig. 9 Comparison between the calculated (a)  $n$  and (b)  $\kappa$  values for both  $\text{TiO}_2$  on a- $\text{SiO}_2$  (blue) and LAO (red) of samples grown under continuous  $\text{O}_2$  flow with the values reported by Jellison Jr *et al.*<sup>30</sup> (black lines) for anatase bulk crystals. The calculated values were obtained using transmittance (full symbols) and ellipsometry (open symbols) measurements.

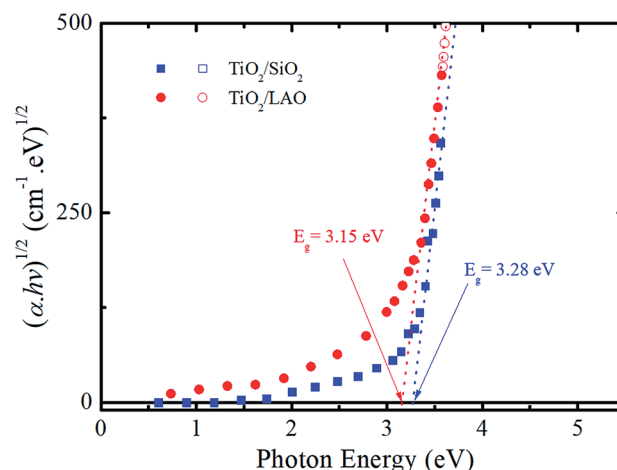


Fig. 10 Tauc plot of  $(\alpha h\nu)^{1/2}$  versus photon energy ( $h\nu$ ) for both  $\text{TiO}_2/\text{LAO}$  and  $\text{TiO}_2/\text{SiO}_2$  samples.

deposited on LAO and a- $\text{SiO}_2$ . The full symbols, in the weakly absorbing region, represent values obtained *via* transmittance measurements followed by calculations based on the Cisneros' method.<sup>53</sup> The values depicted by open symbols were determined from ellipsometric measurements and using Tauc-Lorentz analytical functions.<sup>54</sup>

The refractive index and extinction coefficients of bulk anatase crystals obtained by Jellison *et al.*<sup>30</sup> are also plotted in Fig. 9 for comparison. The continuous lines represent the values for light propagation along the anatase  $c$ -axis (ordinary), while the dot-dash lines for light propagation perpendicular to the  $c$ -axis (extraordinary).

Comparing the curves in Fig. 9a, it can be noticed that the  $n$  values for the  $\text{TiO}_2/\text{LAO}$  sample behave very similarly to the expected for the ordinary direction of the  $\text{TiO}_2$  anatase phase. At high photon energies, the two peaks around 3.8 and 4.4 eV, related to the ordinary axis of the anatase phase  $\text{TiO}_2$  crystal, are reproduced quite well by the  $\text{TiO}_2/\text{LAO}$  sample. On the other hand, the  $\text{TiO}_2/\text{SiO}_2$   $n$  curve at photon energies higher than 3.5 eV does not fit so well with the  $n$  curve for ordinary propagation of light measured in bulk anatase by Jellison Jr *et al.*<sup>30</sup> The results of Fig. 9a, show an agreement between the observations of XRD of Fig. 1, 2 and 7, and the refractive index, which shows a behavior very close to anatase. Nevertheless, the optical data did not reveal the presence of the small amount of the rutile and brookite phases in films deposited in both substrates. In Fig. 9b the shoulder at about 3.9 eV and the maximum around 4.9 eV in the anatase  $\kappa_{\text{ord}}$  curve are observed in both samples. However, the energy position and intensity of the maximum is better reproduced by the  $\text{TiO}_2/\text{LAO}$  sample, which reinforces that  $\text{TiO}_2$  grown on LAO is highly crystalline, the anatase  $c$ -axis being perpendicular to the substrate surface.

Therefore, it is clear that the refractive index and extinction coefficient of the samples grown on both substrates are compatible with the strong predominance of the anatase phase. However, due to structural modifications caused by the use of distinct substrates, the electronic properties are different for





TiO<sub>2</sub> layers grown on LAO and a-SiO<sub>2</sub>. Analyzing the results of previous investigations and comparing the optical properties of crystalline and polycrystalline anatase by Tanemura and co-workers,<sup>55</sup> it becomes evident that the present optical results reinforce the high crystallinity of the TiO<sub>2</sub>/LAO sample, observed by XRD in Fig. 1 and 2. For the TiO<sub>2</sub>/SiO<sub>2</sub>, the optical characteristics are also similar to the ones of anatase crystals, but are also compatible with the more diffuse aspect of the X-ray pole figures<sup>24</sup> and high misorientation (Fig. 7), related to a more random orientation of the crystallites.

From the extinction coefficient data of Fig. 9b, the absorption coefficient ( $\alpha$ ) was calculated for each sample and then fitted by the Tauc's relation,<sup>54</sup>  $(\alpha h\nu)^{1/2} = A(h\nu - E_g)$ , that holds for indirect bandgap materials. In this equation  $h\nu$  is the photon energy,  $A$  is a constant and  $E_g$  is the band gap. The determined  $E_g$  values from Fig. 10 are 3.15 and 3.28 eV for TiO<sub>2</sub>/LAO and TiO<sub>2</sub>/SiO<sub>2</sub> samples, respectively. It is shown that the TiO<sub>2</sub> layers on LAO presents a slightly higher absorption in the visible than TiO<sub>2</sub> layers deposited onto SiO<sub>2</sub>.

## Conclusions

In summary, the structural properties of TiO<sub>2</sub> films grown by reactive sputtering on LAO, SiO<sub>2</sub>/Si(100) and SiO<sub>2</sub> substrates using continuous and modulated oxygen flux were investigated using a combination of techniques. On the analysis presented here, XRD pole figures, and the optical combined T & R and ellipsometry probed large areas, and large volumes, of the films making the conclusions more robust when concerning large area applications. In contrast, the details in the structure were probed using transmission electron spectroscopy. The films are predominantly composed by the anatase phase, especially the ones deposited onto LAO, in which the percentage of anatase was estimated as 96%. On both crystalline and amorphous substrates, the growth of rutile and brookite phases were shown to be mediated by formation of anatase {112} twin interfaces. The TiO<sub>2</sub> layers grown on LAO presented high crystallinity with in-plane and out-of-plane orientations, for both the anatase crystals and also for crystallites of the minor rutile phase. The pole figures and azimuthal scans were especially useful in the present analysis, and allowed a detailed investigation of the structure of TiO<sub>2</sub> films onto LAO substrate. These techniques have clearly shown the epitaxial growth of the predominant anatase phase and the tilt in orientation produced by twin defect formation. Also, these techniques allowed the detection and an unprecedented detailed texture analysis of the tiny rutile phase. Meanwhile, TiO<sub>2</sub> deposited in SiO<sub>2</sub> substrates are polycrystalline with some orientation texture. Such structural differences are responsible for distinct optical electronic properties of TiO<sub>2</sub> layers grown on LAO and SiO<sub>2</sub>, as observed from optical transmittance and ellipsometric measurements.

## Conflicts of interest

There are no conflicts to declare.

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