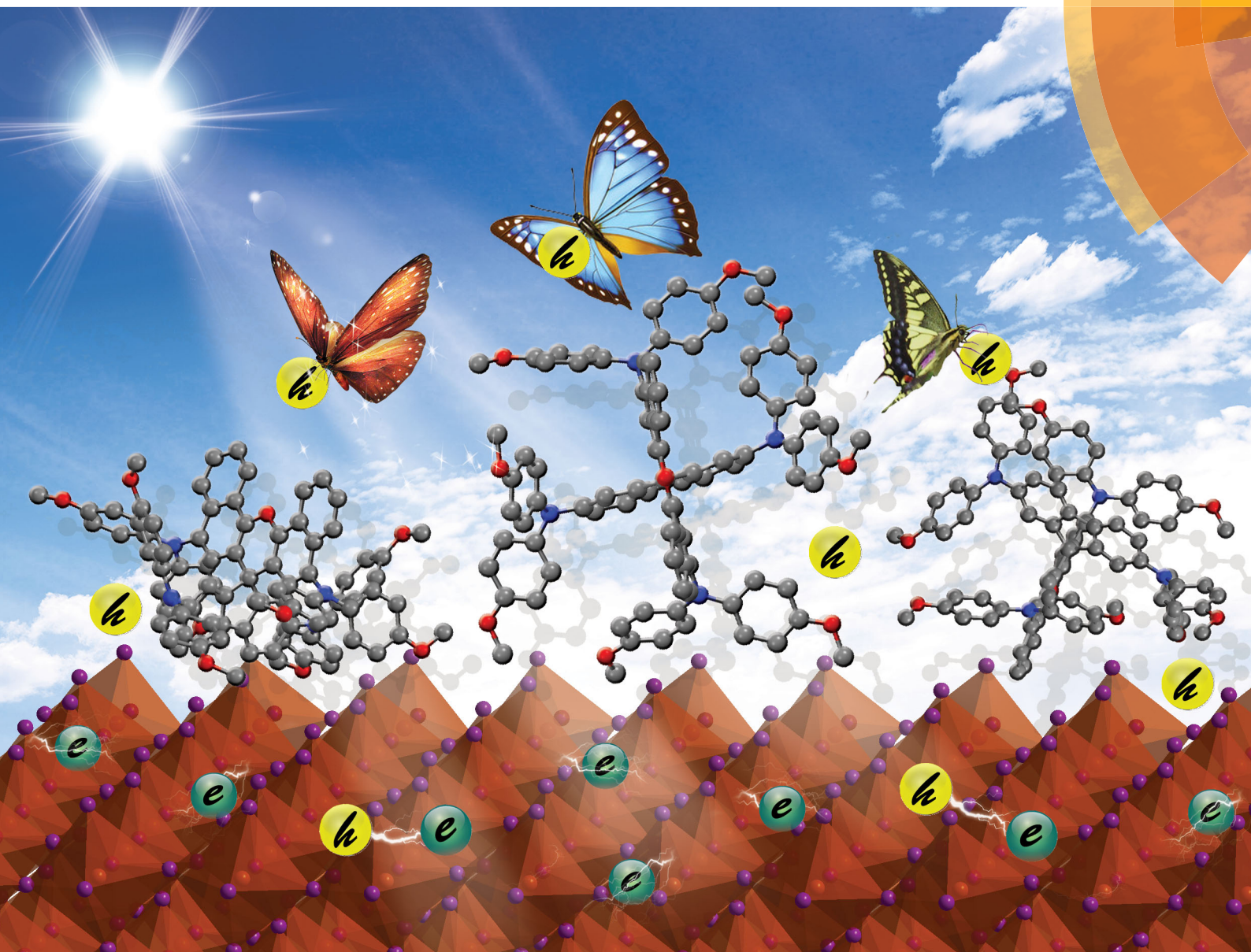


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Design and synthesis of dopant-free organic hole-transport materials for perovskite solar cells†

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Two novel dopant-free hole-transport materials (HTMs) with spiro-[dibenzo[c,h]xanthene-7,9'-fluorene] (SDBXF) skeletons were prepared via facile synthesis routes. A power conversion efficiency of 15.9% in perovskite solar cells is attained by using one HTM without dopants, which is much higher than undoped Spiro-OMeTAD-based devices (10.8%). The crystal structures of both new HTMs were systematically investigated to reveal the reasons behind such differences in performance and to indicate the design principles of more advanced HTMs.

Perovskite solar cells (PSCs) have attracted great attention in the past few years with a certified power conversion efficiency (PCE) up to 22.7%.^{1–5} In PSC devices, novel hole-transport materials (HTMs) play a critical role for the improvement of device performance and stability.⁶ The most well-known HTM, Spiro-OMeTAD (2,2',7,7'-tetrakis(*N,N*-di-*p*-methoxyphenylamine)-9,9'-spirobifluorene), was originally reported by Grätzel and co-workers.⁷ However, the high cost of the raw materials and the tedious synthetic routes limit its wide application. Besides, organic HTMs typically show relatively low conductivity, and therefore most of the reported HTMs need to be doped with additives, in order to increase the conductivity of the HTM and

the PCE of the PSCs.^{8–10} The dopants, however, are commonly hydrophilic, which have been shown to accelerate the degradation of the perovskite crystals and induce device instability. Therefore, the design of novel dopant-free HTMs is necessary for applications in PSCs. Efforts have been devoted to develop new dopant-free HTMs based on different core structures.^{11–14} For example, Xu *et al.* recently designed and synthesized two HTMs based on the indacenodithiophene (IDT) unit with long alkyl chains as substituents.¹⁵ The co-planarity of the IDT units was found to improve intermolecular interactions and most likely promote a higher hole mobility with methoxytriphenylamine (TPA) as the extending units. Sun and Johansson *et al.* reported a dopant-free HTM including the charged moieties accompanied by counter ions.¹⁶ They found that the new type of ionic HTM shows a higher hole conductivity, and the obtained devices demonstrated better PCE than standard Spiro-OMeTAD-based devices. Jen and Chen *et al.* presented a new structural HTM, Trux-OMeTAD, consisting of a C_{3h} truxene core with arylamine terminals and hexyl side-chains.¹⁷ The PSCs based on Trux-OMeTAD as the HTM showed a high PCE of 18.6% with minimal hysteresis. In addition, excellent hole mobility was exhibited in this planar, rigid and fully conjugated molecule, and suitable energy levels matched those of the perovskite materials.

Recently, several HTMs were reported with a spiro[fluorene-9,9'-xanthene] (SFX) backbone as the core unit.^{18–20} In the SFX unit, there is a tetrahedral sp³-hybridized carbon atom between two perpendicular π systems composing a three-dimensional (3D) structural architecture which can reduce both charge recombination and electronic coupling in the HTM layer. In addition, this rigid spiro conjugation is able to enhance the charge-transport ability of hole transfer.²¹ Previous work from our group showed that the estimated synthetic cost for the SFX core is 30 times lower than that of the spiro-bi[fluorene] (SBF) core, and a synthesis yield of over 90% can be achieved when phenol and 9-fluorenone are used as raw materials.^{10,22}

One of the more promising strategies to construct dopant-free HTMs for PSCs is based on the introduction of larger π -conjugated systems to the HTM molecules, which have the potential to

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improve the conductivity of the hole transport layers. Herein, two new dopant-free HTMs, $N^{2'},N^{2'},N^{7'},N^{7'}$ -tetrakis(4-methoxyphenyl)-spiro[dibenzo[*c,h*]xanthene-7,9'-fluorene]-2',7'-diamine (**X61**) and $N^{2'},N^{2'},N^{5'},N^{5'},N^{7'},N^{7'},N^9,N^9$ -octakis(4-methoxyphenyl)spiro[dibenzo[*c,h*]xanthene-7,9'-fluorene]-2',5,7',9-tetraamine (**X62**), with spiro[dibenzo[*c,h*] xanthene-7,9'-fluorene] (SDBXF) as the skeleton were designed and synthesized. A systematic crystallographic investigation of both HTMs offers more detailed insights into the molecular packing features. The SDBXF skeleton also represents a spiro core but has an extended π conjugation system in comparison to the well-known SFX skeleton. The introduction of the naphthalene group could increase the conjugation system of the HTMs, leading to more efficient π - π stacking in HTM films. This is expected to be beneficial for the charge transport.

The molecular structures obtained from single crystal X-ray diffraction (SCXRD) of the two HTMs are shown in Fig. 1. In our approach to synthesize the final products **X61** and **X62**, 1-naphthol and 2,7-dibromo-9-fluorenone were used as starting materials, respectively, followed by a simple Buchwald–Hartwig reaction. Detailed experimental procedures of the synthesis are presented in the ESI†. The normalized UV-visible absorption and photoluminescence spectra of **X61** and **X62** are shown in Fig. S7 (ESI†), and the corresponding data are listed in Table S1 (ESI†). Both **X61** and **X62** display similar maximum absorption peaks around 382 nm. Their narrow absorption spectra below 430 nm are favourable for minimizing parasitic absorption from the HTM layer for utilization in perovskite tandem solar cell devices. The emission peaks of **X61** and **X62** at 432 nm and 483 nm, respectively, indicate that the dimethoxydiphenylamine units induce only small effects on the absorption properties but apparently affect the emission properties. The optical band gap E_{0-0} of the HTMs can be obtained from the intersection of the absorption and emission spectra, which are shown in Table S1 (ESI†).

In order to investigate their redox properties, the oxidation potentials of both HTMs were also studied using cyclic voltammetry

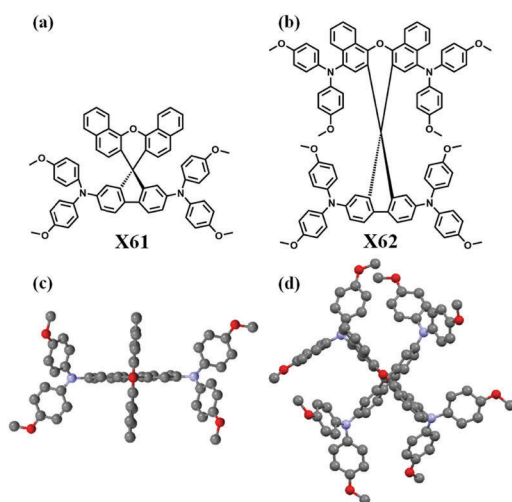


Fig. 1 Molecular structures and single crystal X-ray structures of HTM **X61** (a and c) and **X62** (b and d).

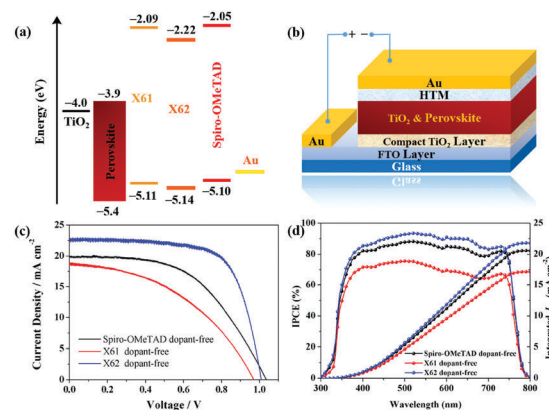


Fig. 2 (a) Energy level diagram; (b) sketch map of the PSCs; (c) J - V characteristics of **X61**, **X62**, and Spiro-OMeTAD-based PSCs measured under 100 mW cm⁻² AM 1.5G; (d) the corresponding IPCE spectra and integrated J_{sc} values of the devices.

(CV) and differential pulsed voltammetry (DPV) (Fig. S8 and S9, ESI†, respectively) in DCM solution with a concentration of 10⁻⁴ M, and the corresponding data are listed in Table S1 (ESI†). The highest occupied molecular orbital (HOMO) energy levels of the HTMs were determined *via* the above procedure. Both HTMs show a similar HOMO energy level (−5.11 eV for **X61** and −5.14 eV for **X62**) as that of Spiro-OMeTAD (−5.10 eV). This indicates that both molecules are suitable for hole transfer processes from the perovskite layer to the HTM films, and match the work function of the Au counter electrode. For the lowest unoccupied molecular orbital (LUMO) energy levels, as shown in Fig. 2a, both HTMs have much higher LUMO energy levels (−2.09 eV and −2.22 eV for **X61** and **X62**, respectively) than the conduction band (CB) of the perovskite, suggesting that the transfer of the photogenerated electrons from the perovskite layer to the Au counter electrode material is effectively suppressed. For a better understanding of the energy levels and the electronic distribution in the molecular building blocks in both HTMs, density functional theory (DFT) calculations were performed at the B3LYP/6-31G(d) level with the Gaussian 09 program (see Fig. S10, ESI†). The HOMOs of both molecules are delocalized in the bottom fluorene and dimethoxydiphenylamine units, while the LUMOs of these molecules are mainly delocalized in the dibenzo-xanthene moieties. The spatially separated HOMOs and LOMOs could forecast good hole transporting properties.

As mentioned before, charge transport is a significant factor to consider in the design of highly efficient HTMs for PSCs. Both the hole mobility and conductivity are critical factors for determining the potential eligibility of new HTMs in PSCs, since they can significantly influence the hole collection efficiency in the devices. In this work, we used two-probe electrical conductivity measurements to investigate the conductivities of the HTMs and the space charge limited current (SCLC) method to determine the hole mobility. The obtained J - V curves are shown in Fig. S11 and S12 (ESI†), and the corresponding data are estimated as shown in Table S2 (ESI†). The HTM solutions used for film formation in the above measurements were the same as those used when manufacturing the solar cell devices



without dopants, and all details can be found in the ESI†. Under such conditions, **X62** shows a higher conductivity ($5.14 \times 10^{-6} \text{ S cm}^{-1}$) than **X61** ($3.98 \times 10^{-6} \text{ S cm}^{-1}$) and Spiro-OMeTAD ($4.56 \times 10^{-6} \text{ S cm}^{-1}$). This may be due to **X62** having a larger conjugated system and structural extension, resulting in more efficient π - π stacking in the hole transporting films. In addition, **X62** also exhibits a higher hole mobility ($7.95 \times 10^{-5} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$) than **X61** ($3.91 \times 10^{-5} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$) and Spiro-OMeTAD ($6.06 \times 10^{-5} \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$).

The PSC devices were fabricated with the standard structure of FTO/TiO₂ compact layer/*meso*-TiO₂/mixed-perovskite/HTM/Au. The concentration of the HTM solutions used for film formation by spin coating in the devices was optimized to 18 mg mL^{-1} . In addition, the HTM coverage on top of the perovskite layer was investigated using scanning electron microscopy (SEM) (Fig. S13, ESI†), from which we noted that the perovskite crystal was fully covered by the HTM materials when the solution concentration exceeds 15 mg mL^{-1} . Obviously, **X62** shows a better film-forming ability that can effectively suppress charge recombination between the perovskite and the HTM, which is coherent with the recombination resistance (R_{rec}) obtained from electrochemical impedance spectroscopy (EIS, Fig. S21 and Table S4, ESI†). Fig. 2c shows the J - V curves of the PSCs based on different HTMs and the corresponding parameters are given in Table 1. Under optimal conditions, the **X62**-based PSCs showed the best performance with a PCE of 15.9%, a short-circuit photocurrent density (J_{SC}) of 22.4 mA cm^{-2} , an open-circuit photovoltage (V_{OC}) of 1.01 V, and a fill factor (FF) of 70.4%. In contrast, the **X61**-based PSC devices yielded a lower PCE of 8.0%, with a J_{SC} of 18.6 mA cm^{-2} , a V_{OC} of 0.97 V, and an FF of 44.1%. As a reference, devices based on the standard HTM Spiro-OMeTAD under the same conditions showed an efficiency of 10.8%, a J_{SC} of 19.9 mA cm^{-2} , a V_{OC} of 1.04 V and an FF of 52.6%. Fig. 2d shows the incident photon-to-current-conversion efficiency (IPCE) spectra and the corresponding integrated J_{SC} of the PSC devices with **X61**, **X62** and Spiro-OMeTAD. The **X62**-based PSC devices show much higher IPCE values than those based on both **X61** and Spiro-OMeTAD. The calculated photocurrents from the IPCE spectra agree well with the J_{SC} values obtained from the J - V experiments.

Among these devices, the **X62**-based PSCs reached the highest J_{SC} , which might be due to a higher charge collection efficiency ascribed to the higher hole mobility and conductivity. As a comparison, the lower hole conductivity of **X61** and Spiro-OMeTAD results in a higher series resistance in the devices, which is in good agreement with the series resistance (R_s) determined *via* EIS and shown in Fig. S21 and Table S4 (ESI†). Besides, the higher resistance of the **X61** and Spiro-OMeTAD

films leads to lower FF values in the PSC devices. However, the V_{OC} values of both **X61** and **X62** based devices are lower than that of Spiro-OMeTAD-based PSCs, probably due to a higher recombination loss in the two new materials. The device stability was investigated under ambient conditions at room temperature with a relative humidity of about 50%. After 10 days, the efficiencies of the undoped Spiro-OMeTAD, **X61** and **X62** based solar cells retained 81%, 49% and 80% of the initial efficiency, respectively (Fig. S20, ESI†). This result demonstrates that dopant-free HTMs play a critical role in the long-term stability of the PSCs. The enhanced device stability of **X62** is another promising property for its future application in PSCs.

In order to further investigate the photovoltaic properties of the PSCs based on **X61** and **X62**, the hole transfer kinetics at the perovskite/HTM interface were characterized *via* steady-state photoluminescence and time-resolved fluorescence decay (Fig. S14, ESI†). The charge transfer occurring at the perovskite/HTM interface is shown in Fig. S14a (ESI†). The pristine perovskite film shows a long-lived decay with a half time constant of 85 ns, while the perovskite films capped by the HTMs exhibit a much shorter time constant. The **X61** coated perovskite film shows a half time constant of 7.6 ns. In comparison, the **X62** coated one exhibits the shortest half time constant of 7.1 ns. This indicates that **X62** mediates a faster hole-transport process, which agrees well with the higher J_{SC} obtained from the **X62**-based PSCs.

In order to gain further insights into the charge transport of these two SDBXF skeleton based HTMs, single crystals of **X61** and **X62** were grown *via* a liquid diffusion method from organic solvents. The crystal structures of **X61** (triclinic, space group: $P\bar{1}$) and **X62** (monoclinic, space group: $P2_1/c$) were determined *via* single crystal X-ray diffraction (SCXRD) analysis, as shown in Fig. S15 (ESI†), and the perspective views along different unit cell axes are illustrated in Fig. S16 (ESI†).

The dihedral angles between the dibenzo[*c,h*]xanthene (DBX) unit and the fluorene unit are 89.6° and 89.2° , respectively, for **X61** and **X62** (see Fig. 3a and b), which are similar to that in Spiro-OMeTAD (89.9°).²³ These dihedral angles indicate weak

Table 1 Photovoltaic parameters of the devices based on the dopant-free HTMs **X61**, **X62** and Spiro-OMeTAD

HTMs	J_{SC} (mA cm^{-2})	J_{SC} Cal. (mA cm^{-2})	V_{OC} (V)	FF (%)	PCE (%)
X61	18.6	17.2	0.97	44.1	8.0
X62	22.4	21.9	1.01	70.4	15.9
Spiro-OMeTAD	19.9	20.6	1.04	52.6	10.8

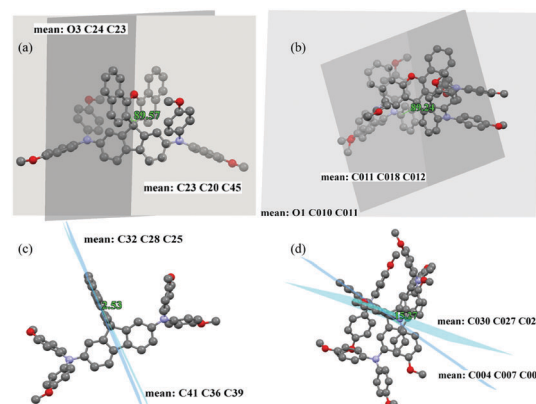


Fig. 3 Dihedral angles of **X61** (a and c) and **X62** (b and d) obtained from SCXRD. The light grey, dark grey, light blue and dark blue colored planes represent the dibenzo[*c,h*]xanthene unit, fluorene unit and two naphthalene units, respectively. Hydrogen atoms are omitted for clarity.



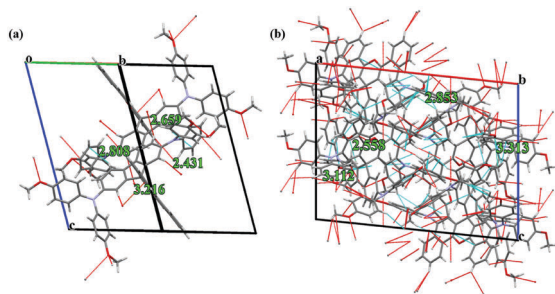


Fig. 4 O–H, CH/ π hydrogen bonds, C–O, and π – π short contacts observed in the packing of **X61** (a) and **X62** (b) crystals obtained from SCXRD.

intermolecular interactions with minimal molecular stacking which benefit the formation of amorphous solids.²⁴ The angles between the two close naphthalene units next to the non-aromatic central ring are 2.5° and 15.3°, respectively, for **X61** and **X62** (see Fig. 3c and d), which may weaken the π – π interaction between any two DBX units preventing dense packing and aggregation. The crystal packing details of **X61** and **X62** are provided in Fig. S17 (ESI†).

In **X61** (Fig. 4a), the shortest π – π contact between the DBX unit and the dimethoxyphenylamine moieties is 3.216 Å, while the interaction distances are 2.808 Å and 2.659 Å for CH/ π interactions and O–H hydrogen bonds, respectively. As for **X62** (Fig. 4b), when observed perpendicular to the *ac* plane (*i.e.* view along the *b* axis), each molecule has multiple short contacts with the surrounding molecules, including O–H, CH/ π hydrogen bonds, C–O, and π – π short contacts with the shortest interaction distances of 2.567 Å, 2.882 Å, 3.112 Å and 3.313 Å. The large abundance of short contacts between the **X62** molecules could provide sufficient channels for efficient charge transport and result in better photovoltaic performance. Therefore, incorporation of suitable substituents on the dibenzo[*c,h*]xanthene skeleton is of great importance for 3D spatial extension in molecular stacking and for enhancing the charge transporting properties of new HTMs.

In summary, we have successfully designed and synthesized two new spiro-type HTMs, named **X61** and **X62**, with SDBXF as the skeleton. X-ray crystallographic analysis of the single crystals of both HTMs was carried out in this study, in which multiple short contacts are found to be beneficial for the enhancement of charge transfer. The corresponding dopant-free PSCs based on **X62** exhibit a maximum efficiency of 15.96% (working area, 0.16 cm²), which outperform the standard Spiro-OMeTAD based devices (10.84%). A higher IPCE value shown by devices based on **X62** is consistent with the high *J*_{SC} of 22.42 mA cm^{−2}. Our study highlights the potential application of SDBXF-skeleton-based molecules as dopant-free HTMs for high performance PSCs.

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Conflicts of interest

There are no conflicts to declare.

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