Design of a scalable AuNP catalyst system for plasmon-driven photocatalysis†

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In this work we present a simple, fast and cost-efficient synthesis of a metal nanoparticle catalyst on a glass support for plasmon driven heterogeneous photocatalysis. It is based on efficient mixing of metal salts as particle precursors with porous glass as the supporting material in a mixer ball mill, and the subsequent realization of a complete catalyst system by laser sintering the obtained powder on a glass plate as the support. By this, we could obtain catalyst systems with a high particle proportion and an even spatial particle distribution in a rapid process, which could be applied to various kinds of metal salt resulting in plasmon active metal nanoparticles. Furthermore, the catalyst production process presented here is easily scalable to any size of area that is to be coated. Finally, we demonstrate the catalytic performance of our catalysts by a model reaction of ethanol degradation in a self-designed lab-scale reactor.

1. Introduction

Plasmon active noble metal nanoparticles like silver, gold, platinum or palladium find applications in many fields of research, e.g. in bioanalytical sensing,1–3 surface enhanced Raman spectroscopy (SERS)4,5 and in catalysis.6–11 The synthesis of such particles is possible, in different shapes and sizes, using noble metal salts. Like this, gold nanoparticles have been synthesized, e.g. as colloidal, quasi-spherical particles,12–15 nanorods,16–18 nanocubes19,20 and nanostars.21 For silver nanoparticles, plenty of different shapes are also available, for instance spheres,22,23 nanocubes24,25 and prisms.26–28 However, platinum has mainly been synthesized in non-specific structures29 as or platinum cubes,30 which is similar for palladium nanoparticle synthesis.31–33

What makes these particles so interesting for applications is the so called localized surface plasmon resonance (LSPR) effect they show, which is characteristic of such particles.34 In this effect, the valence electrons in the metal, which – under the influence of light – are constantly oscillating against the restoring force of the positively charged nuclei, can be excited by wavelengths resonant to this movement, resulting in a simultaneous oscillation of electrons (plasmon resonance). The wavelength of light necessary to initiate this process is different for each metal but also varies with shape and particle size of the same metal.14–36 By this effect, a strongly enhanced electric field is formed in the direct environment of a particle. This field enhancement can be around six times higher than the field intensity of irradiating photons for gold nanospheres37 and even up to 103 times higher in the cases of other shapes.38 The oscillation can be kept up for times in the fs and ps regions for electron–electron and electron–phonon relaxation, respectively.39,40 Decay is possible in different processes. Thus, the resonant light irradiated can be scattered, which is particularly the case for larger particles above 100 nm,41 or it can form carriers of energetic charge in the case of smaller particles.42 Energetic charge carriers can either relax and form heat at the nanoparticle surface, heating up components in their close environment (plasmonic heating), or they can result in electron–hole-pairs,10,18 meaning electrons can be donated to surrounding molecules or taken from them, with both of these initiating their degradation process.11,35,39

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redundant, can be used to drive reactions.44 Due to this, the catalysis becomes easily switchable by having a light on when energy is required to activate the catalyst, and having the light off when it is not. Gold nanoparticles are especially in demand for implementation as catalysts, as these particles exhibit a rich variety of shapes covering the absorption of almost the entire spectrum of visible light,35–46 and are also very stable.79 Regarding plasmon catalysis, this makes gold a very interesting catalyst material exploiting the energy of the VIS area of (sun) light. But combining gold catalysts with particles of other metals like silver,51,42 palladium41–46 or platinum58 and semiconductors like TiO₂ (ref. 48–50) is also possible. Such combinations open up new possibilities for plasmon catalysis, as these allow the tuning of the irradiation wavelength needed.41–42

The synthesis of metal nanoparticles of different metals and in different shapes is nowadays state of the art, as described here. Bottom-up techniques range from classical wet-chemical synthesis12–19,12–27,29–33 to microfluidic20,28 and photochemical syntheses53 using metal salts as precursor materials, and to electrochemical synthesis approaches utilizing metal plates as electrodes.52 Classical top-down methods include lithographic approaches and laser ablation, where a metal plate in water is treated with a laser, resulting in monometallic particles,51 but the production of alloys54 or bimetallic particles55 is also possible. Problems regarding these techniques are, however, the low yields of particles as catalysts and the production costs, making them inappropriate for catalyst production and their upscaling.56

Another obstacle is making the particles usable for their application as catalysts. Here, more preparation steps are often required. In (quasi-)homogeneous catalysis, this problem is quite simple to overcome because the particles of the material to be used can stay in the solution where they were synthesized. Afterwards, the reactants are added (as solution) and the catalytic reaction is initiated by light of the required wavelength. This was done, for example, by utilizing Ag and Au nanospheres and AgAu-nanorings in solution to oxidize and degrade methylene blue.41 The case of heterogeneous catalysis (which represents the majority of catalytic applications) is not that simple, as the metal nanoparticles need to be immobilized on a supporting material. Here, mostly particles of different materials are used as a support and are coated with the plasmon active nanoparticles, and are utilized to feed packed bed reactors.51,50–57 This involves the use of TiO₂ or SiO₂ as supportive materials, as shown in the studies from Mukherjee et al. describing the dissociation of H₂ mediated by plasmon heating of supported AuNPs prepared in a 3-step process.16,57 Rombi et al. present the oxidation of CO at AuNPs on SiO₂, activated by 3-mercaptopropylmethoxysilane prior to loading with AuNPs by thermal reduction of a HAuCl₄ solution in the presence of citrate.58 Studies from Christopher et al. demonstrated the use of α-Al₂O₃ particles as a support for AgNPs for plasmon catalyzed oxidation reactions.56 In other systems, like the microfluidic reaction chamber reported by Adleman et al. used for the plasmon-assisted catalysis of ethanol and water in the liquid phase, a catalyst system consisting of gold nanoparticles spin-coated onto a glass object slide was used.8 Hou et al. describe a similar system for gas phase conversion of CO₂ to hydrocarbons over TiO₂, AuNP/TiO₂ or pure AuNP catalysts on a glass support, where gold was introduced by electron beam evaporation.59

The preparation of plasmon-based catalyst systems described so far in the literature is usually based on several working steps, consuming time and also resources, especially regarding the metal precursor salts. Usually the systems described are laid out for classical packed bed reactors and are difficult to scale-up or scale-down for smaller reactor systems. In the present paper, we introduce a new method to design a complete catalyst system consisting of plasmon active nanoparticles on a glass support. In the process, we were able to obtain integrated AuNP catalysts. Therefore, the methodology is very easy and fast to carry out, is cost-efficient regarding the metal precursors used, and is scalable to different sizes of supporting glass plates. This catalyst is intended for use in packed bed reactors with bypass flow, allowing thorough irradiation of the catalyst in comparison to classical packed bed reactors. In total, only two steps are necessary for the catalyst production: the first being the grinding of the metal precursor material HAuCl₄ with porous glass, and the second being the laser sintering of the obtained metal precursor/glass mixture on the desired glass plate support, resulting in spherical metal nanoparticles. The process was preliminarily tested for small object slides (coated area 60 × 20 mm), but could be also demonstrated on glass plates of 100 × 100 mm. Finally, the catalytic performance of the realized catalyst systems was evaluated by degradation experiments of ethanol in a self-designed test plant and a bypass-flow photoreactor.

2. Experimental section

2.1 Chemicals and materials

Ethanol and HAuCl₄-trihydrate (49.5% Au content) were obtained commercially from Alfa Aesar, Karlsruhe, Germany and were used without further purification. Porous glass with different pore sizes was delivered by HEGLA boraident (Halle/Saale, Germany). Glass samples with initial pore sizes of 1–2 nm, 50 and 100 nm were delivered in larger pieces, whereas 200 and 370 nm glass samples were obtained preground. As a support material for the coating, Schott BOROFLOAT® plates with a size of 150 × 150 × 1 mm obtained from HEGLA boraident (Halle/Saale, Germany) were used.

2.2 Mixing of HAuCl₄ with porous glass

For the mixing of the particle precursor, 1 g of porous glass was put into a 35 mL ZrO₂-milling beaker and 20 mg of HAuCl₄ was added, in order to obtain in total a 1 wt% distribution of metal to glass. Through two slots for milling beakers, the second milling beaker was filled only with porous glass for comparison of the resulting powders. Then, 25 g of 5 mm ZrO₂ milling balls was added to each beaker and the grinding process was carried out at 30 Hz for 60 min with a mixer ball mill Retsch 400 M.
2.3 Characterization of ground mixtures

The grinding mixtures were evaluated by particle size distribution measurements (PSD-measurements by dynamic light scattering, DLS) with a Fritsch Analysette 22 with a wet dispersion unit. Each measurement was carried out with ultrasound. Measurements for the analysis of BET surface areas and total pore volumes were done with a Quantachrome Autosorb iQ3 system. All ten samples (79.5–110.0 mg) were degassed for 1.2 h at temperatures up to 150 °C before nitrogen adsorption measurements were carried out at 77.35 K. For all of the samples, complete adsorption/desorption isotherms were measured. SEM imaging was carried out with a field emission scanning electron microscope, FE-SEM JSM 6300F, JEOL, in BSE contrast after sputtering the samples with graphite. Light microscopy was done with a Zeiss Axio Imager.A1m with Axio Cam MRc5 device.

2.4 Laser sintering of obtained mixtures on supporting glass plates

The comminuted mixtures were dispersed in ethanol and homogenized in an ultrasonic bath. Afterwards, the mixture was applied homogeneously onto a 76 × 26 mm object slide in an area of 60 × 20 mm, and onto 150 × 150 mm float glass plates in a square of 100 × 100 mm in the middle of the plates and the ethanol was evaporated under IR radiation. Then, the material was laser sintered with a CO2-laser under mild conditions in a cross-hatch, so that the glass/metal precursor mixture was just superficially sintered. The laser feed rate was 40 mm s⁻¹ and the line distance was 150 μm. The laser power was 0.85 W.

UV/VIS measurements of the catalysts on supports were conducted using an Ulbricht sphere with a Perkin Elmer Lambda 900 UV/VIS/NIR Spectrometer. XRD measurements were made with an XPERT MPD Pro (PANalytical) system using Cu K-alpha-radiation (λ = 0.154 nm). The crystallite size L was calculated according to the Scherrer equation. Raman measurements were carried out using a confocal WITec Raman Microscope alpha300 R setup with a water immersion objective from Nikon with 10× magnification and a numerical aperture (NA) of 0.25. The excitation wavelength was 785 nm by a cw diode laser from Toptica Photonics. Grating was set to 300 grooves per mm and the integration times were 2 s for the pure sample before and after catalysis, and 5 s for the sample with ethanol.

2.5 Catalytic tests

Catalytic tests were carried out in a self-constructed testing plant made of Swagelok® stainless-steel tubes, a temperature-controlled gas saturator and a self-designed bypass-flow photoreactor. The glass saturator with ethanol was tempered to 273 K by an IKA RC2 Basic thermostat in order to evaporate the alcohol as the component to be degraded. A mass flow controller for nitrogen (Brooks GF series) with a control unit (Brooks 0254) was set to 30 mL min⁻¹, directing the vaporized ethanol towards the reactor. Before reaching the reactor, another mass flow controller for air was set to 300 mL min⁻¹.

The pressure of the gas mixture is controlled by a pressure gauge (Keller LEO 2) before and after passing the reactor. Plasmonic catalysis is initiated by irradiation with a Xe arc lamp (LSB530A, obtained from LOT-QuantumDesign GmbH) with a spectral range of 200–900 nm in a LOT Oriel LSH201 housing and control unit. The reaction is monitored with the help of an Agilent gas chromatograph 7890B equipped with a flame ionization detector (FID) and a thermal conductivity detector (TCD). A PoraPLOT Q (25 m, 320 μm, 10 μm) was used as the column. The GC was calibrated with different amounts of ethanol in the gas phase and the ethanol concentration was calculated from the peaks areas of ethanol. For calculations, a saturated vapor pressure of ethanol at 273.15 K of 1568 Pa (Dortmund Database, DDBST) was used. The measured pressure in the testing plant was 102 000 Pa before and after the reactor chamber. The retention time of CO₂ was verified by the injection of pure CO₂.

The calculations of percentual ethanol degradation/CO₂ increase are based on the average peak areas measured without light (except outliers) and the respective value measured after the light was switched on. Here, a range of strong ethanol degradation was measured before reaching steady-state conditions. For comparison, the average value of the latter was used.

3. Results and discussion

3.1 Analysis of grinding mixtures

The mixtures obtained from the grinding process – without and with HAuCl₄ – are displayed in Fig. 1, left. A change in color can be seen for the sample containing the gold precursor, exhibiting a purple to violet color. This color points to an initial formation of particles already in the grinding step, as mixtures containing only the precursors are expected to be yellow arising from the colour of HAuCl₄. SEM images (Fig. 1, right) confirm this assumption, but also show that this happens only occasionally and most of the material is still present as the glass/metal salt bulk. Data for particle size analyses and nitrogen adsorption measurements of the porous glasses with and without addition of HAuCl₄ can be found in the ESI (Tables S1 and S2† respectively).

3.2 Analysis of complete catalyst plates

The formation of metal nanoparticles through laser irradiation without reducing agents present was demonstrated in the literature for AuNPs from HAuCl₄ in solution. However, for the utilized glass support, tests needed to be done in order to evaluate whether it is possible to obtain a stable and regular coating with nanoparticles through laser impact on mixtures of glass powder and HAuCl₄. Therefore, pretests on object slides were done in areas of 20 × 60 mm. The obtained coated glasses exhibit a strong red to deep wine red colour comparable to the powder obtained by grinding, indicating the formation of gold nanoparticles. Also, it can be seen in both cases that the coating is regular, which indicates a uniform coating with the nanoparticles (Fig. 2).

The localization of the catalyst particles on the glass surface is crucial for catalytic applications, in order to enable light activation and to be accessible for the reactants in order to
induce catalysis of chosen contaminants. SEM images from the surface of a slide coated with gold (Fig. 3, left) and the fracture plane of such an object slide (Fig. 3, right) show evidence that gold nanoparticles of around 30 nm in size are present on the surface (visible as bright spherical spots), but are also found melted into the glass or in second order pores of sizes up to 3 μm (which were apparently formed by the melting of the glass during the laser sintering process).

Transmission measurements of the different sample object slides in an Ulbricht-sphere (Fig. 4) revealed a transmission minimum (absorption maximum) of 522 nm for the gold samples with porous glass of 1–2, 50, 100 and 200 nm, and of 528 for the sample with 370 nm porous glass. An absorption peak at 522 nm is typical for 30 nm gold spheres, and at 528 for particles with a size of 42 nm.¹⁴ Thus, the Ulbricht sphere measurements support the size found in the SEM images, but also clarify that the particles must be placed at the glass surface.

Simulations using Mie-theory revealed a red-shift of the absorption band of gold nanoparticles from air (520 nm) to water (545 nm) and to the glass environment (565 nm; Fig. 5). When comparing these results with the Ulbricht sphere measurements (absorption maxima at 522 and 528 nm; Fig. 4) and knowing that the approximate size of the particles is around 30 nm, it can be concluded that the obtained particles are located on the surface, exposed to air and therefore able to be activated by light and reachable by contaminant molecules.
This is also in good agreement with the literature, where similar shifting effects of gold nanoparticles (20 nm size) embedded in glass could be obtained by calculations.\textsuperscript{62}

Besides these UV/VIS measurements using the Ulbricht sphere, XRD was utilized to study the particle size using the Scherrer equation (Fig. 6, Table S3 ESI\textsuperscript{†}). For the measured sample containing gold particles with 200 nm porous glass, the positions of the (111), (200), (220) and (311) features of monocrystalline gold nanoparticles are at positions of $2\theta = 38.2$, 44.4, 64.6 and 77.6, which is consistent with the literature.\textsuperscript{63,64} The calculated crystallite size $L$ resulted in a value of 30 nm for this sample, supporting the previous results from Ulbricht-sphere measurements and from SEM imaging.

The scaling-up of the production process was demonstrated by coating of carrier plates matching the test reactor. These plates are of a 150 $\times$ 150 mm size with an area of 100 $\times$ 100 mm in the center of the plate to be coated with the catalytic active material. The coating process was therefore carried out exactly as it was for the object slides with the same glass/metal precursor mixture in the pretests. This should result in reproducible products. Indeed, we could also see a uniform, brightly red coloured coating of gold nanoparticles for such a large area.

In total, six plates with a pure gold coating and two with a bimetallic coating were obtained by this process (Fig. 7). This technique also allows estimation of the amount of metal precursor utilized, and therefore the catalyst mass per plate (Table 1). The plates are denoted regarding the pore size of the glass used in the grinding process.

Besides the scalability of the process, the costs of the precursor for the nanoparticles can also be considered as favorable, as they range from 0.15 $\mathring{E}$ in the case of the 200 nm porous glass plate B to 0.76 $\mathring{E}$ for the 1–2 nm porous glass with AuNPs. Through adding more metal precursor to the glass/metal salt mixture, an increase in the number of catalyst particles on the support could be achieved easily, however this consequently results in higher metal salt costs.

### 3.3 Application of catalyst system in heterogeneous catalysis

The obtained catalysts are intended to be used for plasmon driven heterogeneous catalysis for waste gas cleaning by degradation of relevant contaminants. In the following experiments, the catalytic performance of the constantly irradiated catalysts was validated using ethanol as a model contaminant in the gas phase. In order to investigate the catalytic effect of the produced catalyst system, tests were carried out in a self-constructed laboratory testing plant (Fig. 8).

The model contaminant ethanol was placed in a glass saturator and vaporized at 273 K, and was carried into the testing plant by nitrogen in order to inhibit reactions before passing the catalyst. Therefore, oxidant air is mixed with the nitrogen/ethanol gas flow shortly before the reactor. The results of the degradation tests with the 200 nm catalyst plate B are displayed in Fig. 9.
The ethanol peak area measured without light is stable, with the exception of a few outliers (Fig. 9 top, crosses in the blue area). The same applies to the peak areas of CO₂ coming from the air measured by TCD (Fig. 9 top, circles in the blue area). When the light is switched on, a rapid decrease in the ethanol concentration can be seen along with an increase in the air flow measured by TCD (Fig. 9 top, circles in the blue area). The same applies to the peak areas of CO₂ coming from

Table 1  Gold precursor costs referring to the mass of each layer of a 100 mm² area (HAuCl₄·trihydrate, 49.5% Au, 1 g = 85.4 € at Alfa Aesar, October 2016) and the final gold content in the layer

<table>
<thead>
<tr>
<th>Pore size of glass [nm]</th>
<th>Amount of glass/metal precursor per layer_b [mg]</th>
<th>Au content per layer as mg HAuCl₄</th>
<th>Au content in layer as mg Au</th>
<th>Costs of HAuCl₄ per layer [€]</th>
</tr>
</thead>
<tbody>
<tr>
<td>1–2</td>
<td>439</td>
<td>8.91</td>
<td>4.41</td>
<td>0.76</td>
</tr>
<tr>
<td>50</td>
<td>288</td>
<td>5.99</td>
<td>2.97</td>
<td>0.51</td>
</tr>
<tr>
<td>100</td>
<td>214</td>
<td>4.02</td>
<td>1.99</td>
<td>0.34</td>
</tr>
<tr>
<td>200</td>
<td>299/87</td>
<td>6.07/1.76</td>
<td>3.00/0.87c</td>
<td>0.52/0.15</td>
</tr>
<tr>
<td>370</td>
<td>159</td>
<td>3.14</td>
<td>1.55</td>
<td>0.27</td>
</tr>
</tbody>
</table>

_b The Au content of the laser-sintered layers relates to the gold content of the glass/metal precursor and its amount used for laser sintering.  
_b Applied amount of the glass/metal precursor for laser sintering.  
_c For the samples with glass of 200 nm initial porosity, two plates were obtained (displayed in Fig. 7D and E).
Regarding the stability of the catalyst plate, it remained stable during the entire catalytic process for 720 min and no change of colour, loss of material, or adsorption of contaminants could be detected. Concerning the last point mentioning adsorption of the model contaminant ethanol on the catalyst, Raman studies were carried out with the used catalyst plate before and after catalysis and with ethanol in order to verify its Raman shift (Fig. S2, ESI†). The measured peak position of ethanol is in good agreement with the literature65,66 and it could be confirmed that no ethanol was adsorbed onto the catalyst plate, guaranteeing still accessible gold nanoparticles after the catalytic process.

4. Conclusion

In the present studies we could demonstrate an elegant, easy and inexpensive way to design a heterogeneous catalyst system on a glass support with different support sizes. The technique requires only two steps; the production of glass/metal precursor powders in a mixer ball mill, and the laser sintering of this material on the glass support with the desired size. Here, we could show that it is possible to obtain catalysts on supports with sizes of 20 × 60 to 100 × 100 mm with AuNPs as plasmon catalytic active particles. Through the grinding and laser sintering processes, the porosity of the glasses mixed with the metal precursors is not preserved, but metal nanoparticles were formed. The sizes of these particles were usually around 30 nm. Thus, it seems to be unimportant whether the utilized glass shows any porosity or which size the pores are, so any kind of glass seems applicable. A very important aspect of this to question is if the formed gold nanoparticles are also located on the glass surface. This could be confirmed, which enables the established catalyst systems to be used for catalytic applications.

A key issue regarding the utilization of noble metal catalysts is the costs of such systems, especially for the cost-intensive metal salts used as particle precursors. Calculating the costs of our method, we could obtain prices ranging from a few cents up to 0.76 € for HAuCl4 per 100 cm2 catalyst area, which is a rather inexpensive approach.

Finally, catalytic tests analyzing the degradation of ethanol showed that a decrease in ethanol concentration of up to 30% is possible with the catalyst system displayed. Parallel to the ethanol degradation, CO2 formation could be measured as the resulting degradation product. It turned out, however, that the catalyst requires a certain warm-up time to reach equilibrium of the ethanol adsorption/desorption and degradation, which occurs due to thermal energy input or plasmon heating. This leads to a lowered but stable ethanol degradation of around 5% and a CO2 formation of 2%.

In conclusion, a novel approach for the fabrication of a heterogeneous plasmon-driven photocatalyst system is introduced, based on the laser-assisted sintering of a mixture of ground glass with a metal salt precursor on a glass substrate. The fabrication process is demonstrated, and the resulting catalyst areas are characterized as well as successfully tested using ethanol degradation as a model reaction. The procedure
is easily scalable to larger areas and is quite cost-efficient, representing a promising novel approach for heterogeneous photocatalysis.

**Conflicts of interest**

There are no conflicts to declare.

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