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Ligand-controlled divergent enantioselective hydroarylation of cyclobutenes

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The palladium-catalyzed enantioselective redox-neutral coupling of alkenes with organoboronic reagents remains an unfulfilled challenge. Herein, we report a divergent palladium-catalyzed enantioselective hydroarylation of cyclobutenes with arylboronic acids, affording both 1,2- and 1,3-hydroarylation products. The regioselectivity was tuned by judicious choice of chiral ligands. The palladium-hydride species was generated from a palladium catalyst and arylboronic acid or *in situ* generated HOAc, as supported by deuterated experiments and density functional theory (DFT) calculations. We anticipate that this redox-neutral coupling with organoboronic reagents could stimulate interest in other unsaturated alkene systems.

Introduction

The palladium-catalyzed functionalization of alkenes represents one of the powerful strategies for constructing carbon-carbon bonds. ¹⁻⁶ Owing to their convenient manipulation and low toxicity, the cross-coupling reaction of organoboron reagents with alkenes has attracted tremendous attention. ⁷ Among them, significant progress has been made in the enantioselective oxidative coupling of alkenes, which includes the oxidative Heck reaction and the redox-relay Heck process. ^{3,8-15} An oxidant is usually required to re-oxidize Pd(0) to Pd(II) to complete the catalytic cycle. Thus, the use of chiral phosphorus ligands in these reactions is limited.

On the other hand, the palladium-catalyzed reductive coupling of alkenes with organoboron compounds has been less explored. While the palladium-catalyzed enantioselective conjugate addition of arylboronic acids to acceptor-substituted alkenes is known, 16-18 the hydroarylation of electronically non-biased alkenes is still a formidable challenge. Sigman reported an elegant palladium-catalyzed reductive coupling of styrenes and 1,3-dienes with arylboronic esters, utilizing *in situ* generated palladium hydride species from isopropanol under an aerobic atmosphere. 19-21 However, only moderate enantio-selectivity has been achieved for the asymmetric hydroarylation of styrene (Scheme 1a). 21 Engle disclosed a directing group-

assisted regioselective hydroarylation of unactivated alkenes *via* an arylpalladium intermediate in a non-enantioselective manner.²² In these approaches, a stabilized palladium intermediate was formed, allowing it to outcompete β-hydride elimination effectively. In comparison, the nickel-catalyzed hydroarylation of alkenes with arylboronic acids has emerged as an efficient redox-neutral approach.²³⁻³² Although Nicatalyzed asymmetric hydroarylations have been reported, the alkenes are primarily limited to styrenes and 1,3-dienes.²⁵⁻²⁷ Hence, the development of a catalyst system remains in demand to achieve the enantioselective redox-neutral coupling of various alkenes with organoboronic reagents.

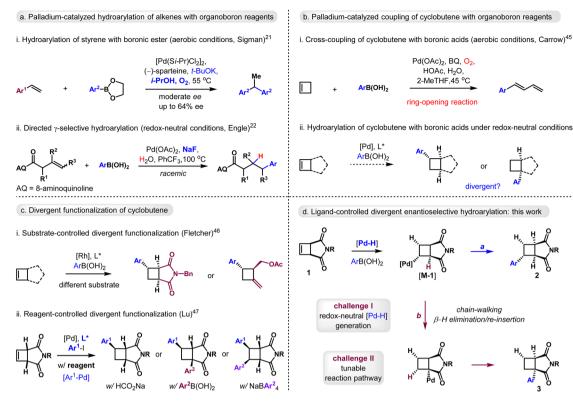
Cyclobutenes, as a class of strained alkenes, exhibit characteristic reactivity and unique properties in synthetic and medicinal chemistry.33,34 A variety of enantioenriched cyclobutane derivatives have been prepared by the precise functionalization of four-membered carbocycles with versatile catalytic systems.35-44 One challenge of this strategy is the undesired ring-opening reaction, driven by the release of strain energy. For instance, it has been reported that a palladiumcatalyzed coupling of the parent cyclobutene with arylboronic acids resulted in ring-opening products under aerobic conditions, instead of the hydroarylation product (Scheme 1b).45 Therefore, the catalytic system is essential for the success of the desired hydroarylation. In addition, versatile multi-substituted cyclobutanes with diverse chemical space could be obtained from divergent hydroarylation of cyclobutenes. Initiated by enantioselective carbometallation, diversified functionalization of cyclobutenes was developed by Fletcher⁴⁶ and us⁴⁷ (Scheme 1c). These diverse reaction pathways were tuned by coupling reagents and cyclobutene substrates. We wondered whether divergent asymmetric functionalization of cyclobutenes with arylboronic acids could be achieved through the control of the catalytic system.

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Scheme 1 Palladium-catalyzed hydroarylation of alkenes with organoboron reagents

It is well known that the oxidative addition of Pd(0) with a weak acid affords palladium hydride species, which enables versatile hydrofunctionalization. 48-61 It was reported that ammonium salt (NEt₃H⁺, p K_a in H₂O, 10.75) could be used as an acid source for Pd-H formation.62 We speculated that the Pd-H species may be *in situ* generated from Pd(0) and phenylboronic acid (p K_a in H₂O, 8.72)⁶³ without an external acid. Initiated by Pd-H species, two reaction pathways could occur from intermediate M-1, leading to the formation of 1,2- or 1,3-hydroarylation products, respectively (Scheme 1d). To achieve these, two challenges have to be addressed: (1) the generation of Pd-H species under redox-neutral conditions and (2) the control of the two possible reaction processes.

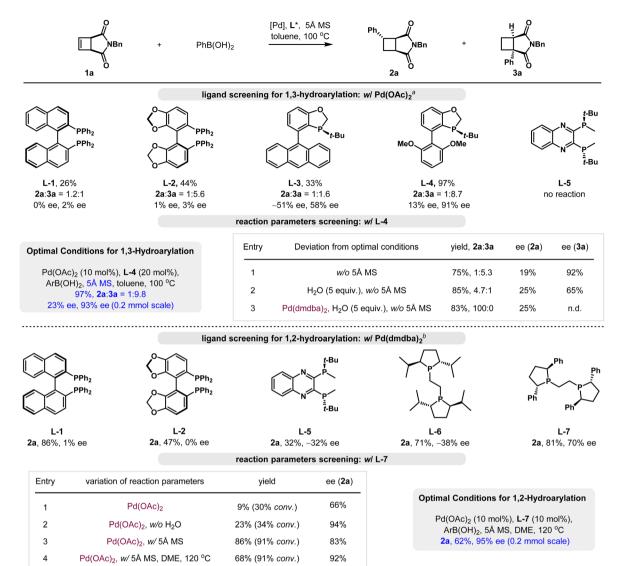
Herein, we report our work on the palladium-catalyzed divergent enantioselective reductive coupling of cyclobutenes and arylboronic acids to provide 1,2- and 1,3-hydroarylation products. The Pd-H species was generated from the palladium catalyst with arylboronic acid or in situ generated HOAc, and the reaction pathways were controlled by the chiral ligands. Preliminary mechanistic insights and the origin of enantioselectivity were explained by deuterium labeling experiments and density functional theory (DFT) calculations.

Results and discussion

We commenced our studies with the reaction of cyclobutene 1a and phenylboronic acid using a palladium catalyst and chiral phosphorus ligands (Scheme 2). The reaction furnished both racemic 1,2-hydroarylation product 2a and the migratory 1,3hydroarylation product 3a, with a combined yield of 26% and a ratio of 1.2:1 when (R)-BINAP (L-1) was employed. Further screening of chiral ligands revealed that P-stereogenic ligand L-3 provided both 2a and 3a with moderate enantioselectivity. The optimal results for the 1,3-hydroarylation were identified using (S)-BI-DIME (L-4), which afforded product 3a in 88% yield and 93% ee. It is noteworthy that the enantioselectivity for the minor regioisomer 2a was only 23% ee.

Interestingly, the hydroarylation selectivity switched when the catalytic system was exposed to water. In the presence of five equivalents of water, 1,2-hydroarylation product 2a became the major product, although the enantioselectivity was only 25%. Excellent regioselectivity was observed when using Pd(dmdba)₂ as the catalyst, which provided cyclobutane 2a exclusively in good yield. With Pd(dmdba)2 as the catalyst and water as an additive, ligand screening revealed that (S,S)-Ph-BPE (L-7) was an effective ligand, leading to product 2a in 81% yield and 70% ee. Further optimization of reaction parameters revealed that the reactivity was greatly influenced by the amount of water. With Pd(OAc)2 and L-7, the reaction gave a low conversion in the presence of H₂O (9%, 66% ee). In contrast, high yield and good enantioselectivity were achieved when 5 Å molecular sieves were used as additives (86%, 83% ee). Optimal results were obtained using DME as solvent, and the reaction provided 1,2-hydroarylation product 2a in 68% yield and 92% ee.

With optimal conditions identified, the substrate scope of enantioselective 1,2-hydroarylation was examined (Table 1). Initially, a group of para-substituted phenylboronic acids were evaluated. Various electron-rich substituents were tolerated,



Scheme 2 Reaction optimization. Reaction conditions: *1a (0.1 mmol), PhB(OH)₂ (2-3 equiv), [Pd] (10 mol%), L* (10-20 mol%), 5 Å MS, toluene, 100 °C, 7-48 h. $^{b}H_{2}O$ (5 equiv.) was used as an additive, without 5 Å MS; in the case of 5 Å MS, no $H_{2}O$ was added. dmdba = 3,5,3',5'-dimethoxydibenzylideneacetone. See the SI for optimization details.

including methyl (2b), methoxy (2c), tert-butyl (2d), trimethylsilyl (2e), and phenyl (2f) groups, giving the corresponding products in 92-97% ee. Notably, enantioselectivity varied with different palladium catalysts, with Pd2(dba)3 · CHCl3 leading to better results in some cases. A variety of electronwithdrawing groups were also examined, and substituents such as chloro (2g), trifluoromethyl (2h), formyl (2i), acetyl (2j), methoxycarbonyl (2k), and nitrile (2l) groups were effectively introduced. The enantioselectivities were in the range of 83-94%. Both meta- and ortho-substituted phenylboronic acids were applicable, giving the corresponding products 2m-2o in 81-88% ee. 2-Naphthyl and disubstituted phenyl boronic acids were compatible as well, affording cyclobutanes 2p-2r in 82-93% ee. Additionally, the heteroaryl substituents were efficiently installed, including thiophen-3-yl (2s, 80% ee) and dibenzo[b,d]thiophen-2-yl (2t, 92% ee) groups. In contrast to our

previous palladium-catalyzed cross-coupling of cyclobutenes with aryl halides, 47 substrates 3-azabicyclo[3.2.0]hept-6-ene-2,4diones 1b and 1c were applicable, furnishing the cyclobutanes 2u and 2v in 92-96% ee.

The substrate scope of enantioselective 1,3-hydroarylation of cyclobutene is summarized in Table 2. An array of parasubstituted phenylboronic acids were compatible under optimal conditions, and substituents including methyl (3b), tert-butyl (3d), trimethylsilyl (3e), phenyl (3f), chloro (3g), trifluoromethyl (3h), formyl (3i), acetyl (3j), methoxycarbonyl (3k), and nitrile (31) were installed in good enantioselectivities, except for methoxy (3c), which was isolated in 76% ee. Additionally, a group of meta-substituted phenylboronic acids were tolerated, affording the corresponding products 3m-3o with enantioselectivities ranging from 87% to 95% ee. 2-Naphthyl and disubstituted phenyl groups could be introduced at the

Table 1 Substrate scope of 1,2-hydroarylation^a

 a 1 (0.2 mmol), ArB(OH)₂ (3.0 equiv) Pd(OAc)₂ (10 mol%), L-7 (10 mol%), DME, 5 Å MS, 120 °C, 48–72 h; yield in parenthesis is based on the recovered starting material. b 100 °C. c Pd₂(dba)₃ ·CHCl₃ (5 mol%) was used. d 80 °C. e 60 °C.

angular position smoothly, furnishing azabicyclic products $3\mathbf{p}$ - $3\mathbf{r}$ in 58-86% yield and 90-91% ee. In addition, dibenzo[b,d] thiophen-2-ylboronic acid was also tolerated, giving the heteroaryl substituted product $3\mathbf{s}$ in 85% yield and 95% ee. Furthermore, the reaction of cyclobutenes $1\mathbf{b}$ and $1\mathbf{c}$ provided the corresponding 1,3-hydroarylation products $3\mathbf{t}$ and $3\mathbf{u}$ smoothly in 91-93% ee. The absolute structure of $3\mathbf{p}$ was unambiguously determined by the single-crystal X-ray analysis.

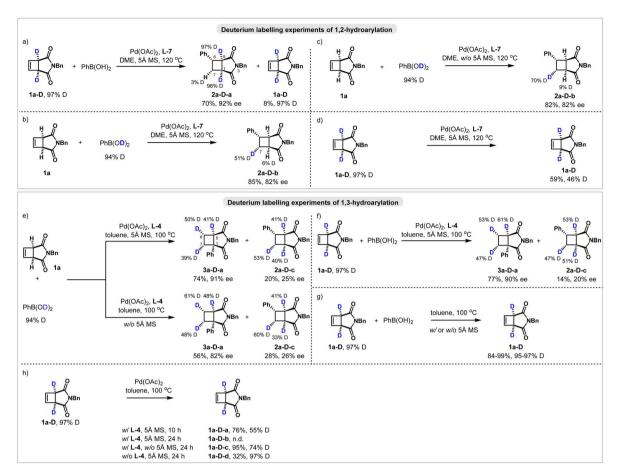
To shed light on the reaction mechanism, we first conducted deuterium labeling experiments for 1,2-hydroarylation. The reaction of deuterated cyclobutene **1a-D** with phenylboronic acid provided product **2a-D-a** in 70% yield and 92% ee under standard 1,2-hydroarylation conditions (Scheme 3a). The recovered **1a-D** was isolated in 8% yield, with deuterated atoms remaining intact. When deuterated phenylboronic acid (contaminated with 18 mol% (PhBO)₃) was employed, the reaction of **1a** afforded product **2a-D-b** in 85% yield and 82% ee, with 51% deuterium incorporation at the C(7) position (Scheme 3b). Without 5 Å MS, **2a-D-b** was obtained with a higher deuterium (0.70D) incorporation (Scheme 3c). These findings suggested that a hydrogen/deuterium (H/D) exchange existed,

Table 2 Substrate scope of migratory 1,3-hydroarylation^a

 a 1 (0.2 mmol), ArB(OH) $_2$ (2.0 equiv), Pd(OAc) $_2$ (10 mol%), L-4 (20 mol%), toluene, 5 Å MS, 100 °C, 10–24 h; yield in parenthesis is based on the recovered starting material. b 80 °C.

and protons in 5 Å $MS^{64,65}$ resulted in a lower deuterium incorporation. Interestingly, a H/D exchange was also observed when cyclobutene **1a-D** was exposed to the standard conditions in the absence of phenylboronic acid (Scheme 3d). We hypothesized that a concerted metalation-deprotonation (CMD) pathway may occur, leading to the loss of deuterium incorporation. $^{66-70}$

The deuterium labeling experiments for migratory 1,3-hydroarylation were then performed. The reaction of cyclobutene **1a** with deuterated phenylboronic acid afforded migratory product **3a-D-a** in 74% yield and 91% ee, with deuterium atoms incorporated at the C (5–7) positions (Scheme 3e). Meanwhile, 1,2-hydroarylation product **2a-D-c** was isolated in 20% yield and 25% ee, with deuterium atoms incorporated at the C (1, 5, and 7) positions. Deuterium atoms were introduced at the angular position for both products. In the absence of 5 Å MS, the reaction furnished hydroarylation product **3a-D-a** in 56% yield and 82% ee with slightly higher distribution of deuterium. In comparison, the reaction of **1a-D** with



Scheme 3 Deuterium labelling experiments of hydroarylation.

phenylboronic acid provided both product **3a-D-a** and product **2a-D-c**, showing a similar distribution of deuterium (Scheme 3f).

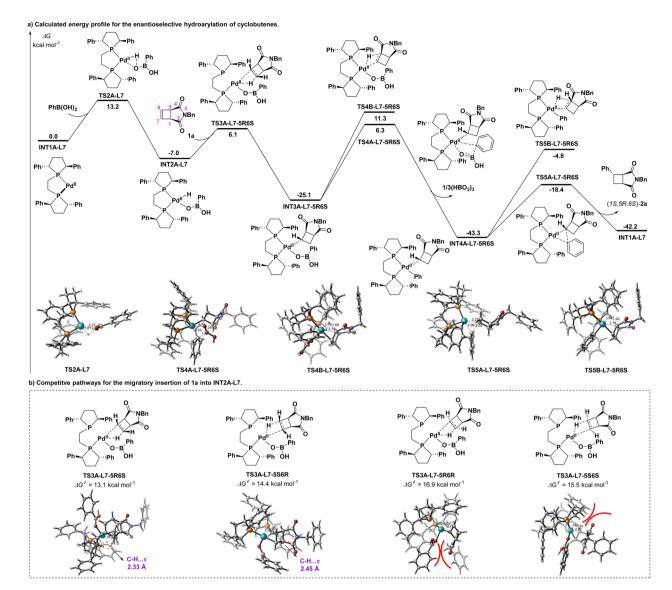
The possibility of H/D exchange between **1a-D** and PhB(OH)₂ was examined. In the absence of a palladium catalyst, no H/D exchange was observed, regardless of the presence of 5 Å MS (Scheme 3g). The roles of L-4 and 5 Å MS were also investigated in the absence of PhB(OH)₂. When using Pd/L-4, a facile H/D exchange was observed, suggesting that a CMD pathway likely took place (Scheme 3h). Of note, complete decomposition of **1a-D** occurred after 24 h. Without 5 Å MS, cyclobutene **1a-D** remained intact, with a lesser extent of deuterium loss (74% D) after 24 h. Furthermore, deuterium atoms in **1a-D** remained unaffected without L-4, while partial decomposition was observed in the presence of 5 Å MS.

To gain a comprehensive understanding of the underlying reaction mechanism and the origin of enantioselectivity, extensive density functional theory (DFT) calculations were performed on a model reaction between cyclobutene **1a** and phenylboronic acid in different ligand environments.⁷¹ The calculated energy profile for the formation of product **2a** in the presence of ligand **L-7** is shown in Scheme 4a, where the *in situ* generated Pd(0) species **INT1A-L7** is designated as the reference zero point for Gibbs free energy. Initially, the oxidative addition

of the O-H bond in PhB(OH)₂ to the Pd(0) center occurs via the transition state TS2A-L7, which necessitates surmounting an activation energy barrier of 13.2 kcal mol⁻¹. An exploration into the feasibility of the oxidative addition of the O-B bond in PhB(OH)₂ reveals that this pathway is reversible and only needs to overcome an energy barrier of 1.6 kcal mol⁻¹. As a consequence of these reaction characteristics, both Pd(II)-H and Pd(II)-Ph species are present simultaneously in the reaction system. The migratory insertion of cyclobutene into the Pd(II)-H species INT2A-L7 represents the enantio-determining step. The energy barrier of the pathway leading to the experimentally observed 1S,5R,6S-configuration via transition state TS3A-L7-**5R6S** is 13.1 kcal mol⁻¹ relative to **INT2A-L7**, which is more energetically favorable than other pathways passing through transition states TS3A-L7-5S6R, TS3A-L7-5R6R, and TS3A-L7-**5S6S** (13.1 vs. 14.4, 16.9, and 15.5 kcal mol^{-1}).

The origin of enantioselectivity can be further elucidated through the stereochemical models (Scheme 4b).

In the transition states **TS3A-L7-5R6S** and **TS3A-L7-5S6R**, C-H··· π interactions (2.33 and 2.45 Å respectively) exist between the C–H bond of cyclobutenes and the phenyl group in the **L-7** ligand. When comparing with **TS3A-L7-5S6R**, the relatively stronger C–H··· π interactions in **TS3A-L7-5R6S** significantly stabilize the transition state structure, lower the activation



Scheme 4 DFT investigations for the enantioselective 1,2-hydroarylation of cyclobutenes in the presence of L-7.

energy barrier, and facilitate the formation of the chiral intermediate INT3A-L7-5R6S. In contrast, within the unfavorable transition states TS3A-L7-5R6R and TS3A-L7-5S6S, the spatial configuration in which the substituents of cyclobutene and the chiral ligand are oriented in the same direction results in a significant surge in steric repulsion, directly resulting in the elevation of the energy barriers of these two transition states. Taking into account the significantly high energy barriers calculated for the migratory insertion pathway of cyclobutene with the Pd(II)-Ph species, this reaction mechanism was ultimately deemed unfeasible and excluded (Fig. S13). The calculation results indicate that deuterium atoms can be transferred from phenylboronic acid to the C7 position of the product (1S,5R,6S)-2a, which is consistent with the observations from the deuterium-labeling experiments (Scheme 3b and c). Subsequently, the favorable intermediate INT3A-L7-5R6S undergoes β-carbon elimination⁷²⁻⁷⁴ via the transition state TS4A-L7-5R6S

with an energy barrier of 31.4 kcal mol⁻¹ to form the intermediate INT4A-L7-5R6S. This step, which corresponds to the highest energy barrier in the energy profile, is designated as the rate-determining step in the catalytic cycle. This designation is in good agreement with the kinetic experiments of 1,2-hydroarylation. In these experiments, a first-order dependence on cyclobutene 1a, PhB(OH)2, and the Pd-catalyst was observed. Followed by reductive elimination through the transition state **TS5A-L7-5R6S** (24.9 kcal mol^{-1}), the desired product (1S,5R,6S)-2a is produced and active catalyst INT1A-L7 is regenerated, thus completing the catalytic cycle. The energy barriers for β -hydride elimination via the transition states TS4B-L7-5R6S and TS5B-L7-**5R6S** are 36.4 and 38.5 kcal mol⁻¹, respectively. Given the reaction temperature of 120 °C, surmounting these energy barriers is extremely challenging. Consequently, the deuterium incorporation associated with the bridging carbon of cyclobutene exhibits remarkable stability (Scheme 3a).

= 28.3 kcal mol

Scheme 5 Competitive pathways for the migratory insertion of 1a into Pd-H species INT2C-L4.

= 27.3 kcal mol-

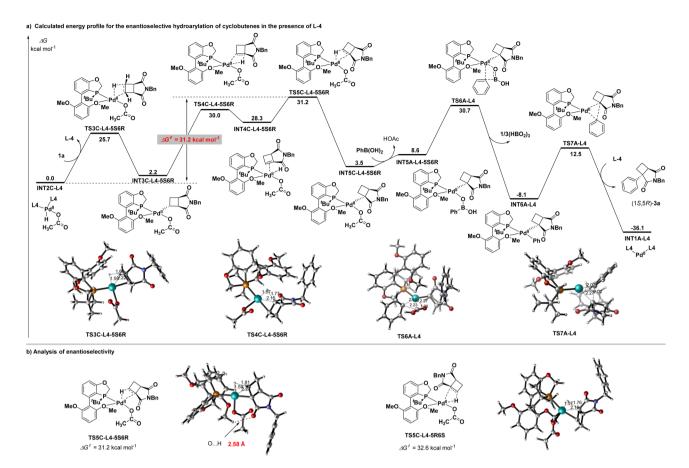
In comparison to the chiral ligand L-7 characterized by relatively high rigidity, the utilization of L-4 as the ligand gives rise to distinct energy profiles that subsequently lead to disparities in deuterium distributions and the formation of products. Specifically, active catalyst INT1A-L4 is more likely to react with the *in situ* generated acetic acid (HOAc) to form the Pd-H species INT2C-L4 (Figure S15). By examining the migratory insertion of cyclobutene into Pd-H species INT2C-L4, the energies of the transition states TS3C-L4-5S6R and TS3C-L4-5R6S are determined to be 25.7 kcal mol⁻¹ and 25.0 kcal mol⁻¹, respectively (Scheme 5). The calculated difference in the energy barriers between these two transition states amounts to 0.7 kcal mol⁻¹. Such a relatively minor disparity fails to adequately explain the high enantiomeric excess (ee) value observed in the final product 3a.

A comprehensive investigation was conducted on the subsequent transformation pathways of the generated enantiomers **INT3C-L4-5S6R** and **INT3C-L4-5R6S**. Computational analysis indicates that the enantioselectivity of product 3a is determined by the selective elimination of β -hydride (Scheme 6). The intermediate **INT3C-L4-5S6R** undergoes β -H elimination through the transition state **TS4C-L4-5S6R**. The calculated energy barrier of this process relative to **INT2C-L4** is 30.0 kcal mol⁻¹. Subsequently, the resulting **INT4C-L4-5S6R** undergoes a second migratory insertion with cyclobutene, leading to the formation of the angular palladium intermediate

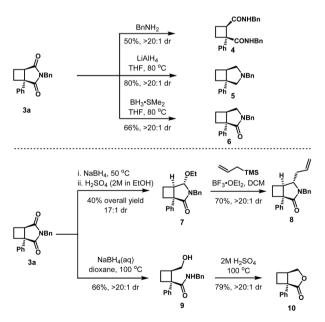
INT5C-L4-5S6R. This step exhibits an energy of 1.4 kcal mol⁻¹ lower than that of its enantiomer INT5C-L4-5R6S undergoing the same process via the transition state TS5C-L4-5R6S (31.2 vs. 32.6 kcal mol⁻¹). Functioning as the enantio-determining step, it plays a crucial role in determining the predominantly observed 1R,5S-configuration of product 3a. Notably, both steps in the transformation from the intermediate INT3C-L4-5S6R to INT5C-L4-5S6R are reversible, indicating that INT5C-L4-5S6R and INT3C-L4-5S6R exist in a state of dynamic kinetic equilibrium. Throughout this process, the deuterium atoms in phenylboronic acid can be smoothly incorporated into various positions of the cyclobutane skeleton. This finding is in excellent agreement with the results from deuterium-labeling experiments (Scheme 3e and f). Furthermore, natural population analysis (NPA) shows that the amino group located on the cyclobutyl framework of INT5A-L4-5S6R donates supplementary electron density to the metal center (1.174e vs. 0.814e).47 As a result, the electron-rich Pd center can more readily interact with the boron-linked phenyl ring, facilitating βcarbon elimination via the transition state **TS6A-L4**. The energy barrier of TS6A-L4 relative to INT2A-L4 is 30.7 kcal mol⁻¹, significantly lower than that of the direct β-carbon elimination of INT3A-L4-5S6R (30.7 vs. 38.3 kcal mol⁻¹, Fig. S19). This difference in energy barriers dictates the regioselectivity of the reaction in the presence of L-4. Following the reductive elimination of the intermediate INT6A-L4, the desired product (1S,5R)-3a is obtained. The energy barriers for the direct β carbon elimination processes of the enantiomers INT3A-L4-**5S6R** and **INT3A-L4-5R6S** are 38.3 kcal mol⁻¹ and 38.4 kcal mol⁻¹ relative to **INT2A-L4**, respectively. Both of these values are higher than the energy barrier of 31.2 kcal mol^{-1} for the β-H elimination process occurring via the transition state TS5C-L4-5S6R, suggesting that the formation of by-product 2a is unfavorable kinetically. Given the relatively compact structure of the L-4 ligand, the steric hindrance between it and the succinimide skeleton is negligible, resulting in an extremely small difference in energy barriers between the two transition states TS4B-L4-5S6R and TS4B-L4-5R6S (Fig. S19 and S20). Consequently, poor enantioselectivity is observed in the formation of by-product 2a.

In the absence of PhB(OH)₂, the Pd(n)-catalyzed cleavage of the allylic C-H bond proceeds *via* an OAc-assisted concerted metalation-deprotonation (CMD) mechanism (see the SI for details).⁶⁶⁻⁷⁰ This mechanistic pathway exerts a profound influence on the reaction system, manifesting as a notable reduction in the deuteration incorporation of **1a-D**. This experimental observation dovetails perfectly with the results of the deuterium labeling experiments outlined in Scheme 3d and h, underscoring the coherence and reliability of the proposed reaction mechanism.

The synthetic utility of the 1,3-hydroarylation product was investigated (Scheme 7). Treatment of cyclobutane **3a** with benzylamine afforded amide **4** in 50% yield. Both full and partial reduction of cyclic imide led to the bicyclic products **5** and **6** in good yields. Additionally, allyl-substituted lactam **8** could be prepared *via* a three-step sequence. Furthermore, the reduction of cyclic imide **3a** followed by exposure to acid provided lactone **10** smoothly.



Scheme 6 DFT investigations for the enantioselective 1,3-hydroarylation of cyclobutenes in the presence of L-4.



Scheme 7 The further elaboration of hydroarylation product 3a

Conclusions

We report here a palladium-catalyzed divergent enantioselective functionalization of cyclobutenes with arylboronic acids. With the generation of Pd–H species from palladium with arylboronic acid or *in situ* generated HOAc, two reaction pathways were tuned using two chiral ligands. The reaction process and the origin of enantioselectivity were postulated based on deuterated experiments and DFT calculations. The DFT-calculated mechanistic pathway shows excellent agreement with the deuterium labelling experiments. This agreement not only validates the proposed reaction mechanism but also provides valuable insights into the reaction kinetics and selectivity. We anticipate that these studies could inspire further exploration of unique functionalization methods for strained molecules through transition-metal catalysis.

Author contributions

R. P. and X. Z. conducted the experiments and developed the reactions. J. Z. and M. W. conducted DFT calculations. P. L. conceived the concept. P. L. and M. W. prepared this manuscript.

Conflicts of interest

The authors declare no competing interests.

Data availability

CCDC 2440947 contains the supplementary crystallographic data for this paper.⁷⁶

The data supporting this article have been included as part

of the supplementary information (SI). Supplementary information: general information, detailed experimental procedures, characterization data for all new compounds, and NMR spectra. See DOI: https://doi.org/10.1039/d5sc05740j.

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Chemical Science

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