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Dual emission and multi-stimuli-response in iridium(III) complexes with aggregation-induced enhanced emission: applications for quantitative CO₂ detection[†]

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Four new Ir(III) complexes with the general formula $[\text{IrHCl}(\text{C}^{\text{N}})(\text{PPh}_3)_2]$ containing different conjugated Schiff base ligands (C^{N}) have been synthesized and characterized by ^1H , ^{13}C , and ^{31}P NMR, HRMS, and IR spectra and one of them by single crystal X-ray diffraction. Their photophysical properties in solution and in the solid state have been analyzed and three main practical results have been obtained: (i) a dual fluorescent and phosphorescent emissive complex in solution, (ii) successful acid/base sensing in the solid state and (iii) quantitative CO_2 detection. Quantum chemical calculations have been employed to assign the character of the lowest excited states. A plausible explanation for the observed aggregation induced enhanced emission (AIEE) is given, based on the restriction of intramolecular motions due to the effect of intermolecular $\text{C}-\text{H}\cdots\pi$ and $\text{C}-\text{H}\cdots\text{Cl}$ type interactions upon aggregation.

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1. Introduction

Ir(III) complexes with long luminescence lifetimes (from 100 ns to ms), large Stokes shifts, high quantum yields and versatile color tuning by modification of the coordinating ligands are renowned as phosphorescent materials for their potential applications in the fields of organic light-emitting diodes (OLEDs),^{1–3} photoredox catalysis,⁴ vapoluminescent materials^{5–7} or luminescent probes for biological systems.^{8–10}

In the last decade, several studies have demonstrated Ir(III) compounds to be excellent candidates for materials exhibiting 'Aggregation-Induced Enhanced Emission' (AIEE),^{11–28} which

makes them appealing for applications such as solid state lighting, where the luminescent complexes are present in aggregated forms such as solids or thin films. A key step in developing new materials based on the AIEE effect is to understand its origin at a fundamental level. The mechanism behind AIEE is, however, still under debate^{29–32} since many types of different systems, ranging from purely organic molecules to organometallic complexes, sometimes containing highly flexible parts, but in other cases quite rigid, have been identified as AIEE active compounds. In order to understand the origin of this photophysical phenomenon and identify the key parameters controlling its efficiency to optimize the implementation of AIEE materials in technological applications, it is necessary to combine experimental investigations with theoretical and computational studies.

In the present work, we report the complete synthesis of four novel Ir(III) complexes (**1–4**) by aliphatic C–H activation of a Schiff base ligand, in line with recent reports on the synthesis of new Ir(III)^{11,19–21,33–37} and Pt(II)³⁸ luminescent complexes. Using both experimental characterization techniques and *ab initio* quantum chemical calculations we explore the photophysical properties of the synthesized compounds. In particular, complex **4** has been found to exhibit dual fluorescence and phosphorescence emission with the particularity that the fluorescent emission band is solvatochromic. This property is indeed very suitable for the development of Ir(III) probes,³⁹ in which switching of the luminescence by external stimuli is essential.

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In the literature, there are several examples of dual phosphorescent Ir(III) complexes,^{40–47} but dual fluorescent and phosphorescent Ir(III) complexes are still scarce.^{48–51} To the best of our knowledge, the Ir(III) complex that we report herein is the first example of facile emission tuning between a singlet and a triplet state by just varying the solvent's polarity. This certainly opens a whole new range of possibilities for the application of Ir(III) complexes as luminescent probes.

The capability of the synthesized complexes as acid–base sensors in the solid state and the potential use of complex 4 in the quantitative detection of CO₂ has also been explored. Due to the well-known harmful effects that high concentrations of CO₂ have on human beings, the development of molecular sensors for its quantitative detection is nowadays an intense research field.^{52–58} Current detection techniques^{59,60} based on electrochemical (EC), infrared (IR), or gas chromatography–mass spectroscopy (GC–MS) methods, or field-effect transistors are quite costly, and efficient molecular sensors based on AIEE materials could represent a real breakthrough in this area.

2. Materials, methods and characterization

2.1 Materials

Ir(III) chloride hydrate, triphenylphosphine, pyridine-2-amine, pyrimidine-2-amine, 5-methylpyridine-2-amine, benzaldehyde, triphenylamine, phosphorous oxychloride, dimethyl formamide and 2-ethoxyethanol were purchased from the Sigma Aldrich Chemical Company Ltd. All spectroscopic grade solvents: dichloromethane (DCM), methanol (MeOH), benzene, 1,4-dioxane, ethyl acetate, chloroform (CHCl₃), tetrahydrofuran (THF), acetonitrile (ACN), dimethylformamide (DMF) and dimethylsulphoxide (DMSO) were procured from the Merck Company.

2.2 Synthesis

2.2.1 Syntheses of Schiff bases. All four Schiff bases were synthesized using the well-known condensation method. In this case, benzaldehyde (5.30 mmol) was added respectively to stirred solutions of pyridine-2-amine/pyrimidine-2-amine/5-methylpyridine-2-amine (5.30 mmol) in ethanol (20 ml) followed by a catalytic amount of acetic acid and refluxed for 12 hours. The reaction mixture was evaporated under vacuum and used without further purification. The fourth Schiff base compound was prepared by following a similar route as described above where the reactants taken for the condensation reaction are 4-(diphenylamino)benzaldehyde and pyridimine 2-amine.

2.2.2 Syntheses of complexes 1–4. All four complexes were synthesized using the previous procedure reported by our group,^{35,36} where to a stirred solution of IrCl₃·3H₂O (0.5025 mmol) in 2-ethoxyethanol (6 ml), triphenylphosphine (1.507 mmol) was added and the reaction mixture was refluxed at 130 °C for 4 hours. Then, the crude reaction mass of the respective Schiff bases (2.0 mmol) was added to the reaction mixture, which was further refluxed for 3 hours and afterwards brought to room temperature. The resulting solid mass was triturated and washed with diethyl

ether followed by ethanol for several times to obtain a solid (60–70%) of 1–4 that was further purified through recrystallization from a mixture of DCM and hexane (1:1). X-ray quality single crystals could only be obtained for complex 2. Single crystal X-ray diffraction (SCXRD) revealed that the one-pot reaction of Ir(III) chloride and the triphenylphosphine mixture with the Schiff base resulted in a structure in which the imine C–H position was activated by Ir(III).

2.3 Characterization

¹H, ¹³C and ³¹P NMR spectra were recorded in a 400 MHz Bruker spectrometer using CDCl₃ as a solvent. Tetramethylsilane (TMS, δ = 0 for ¹H and ¹³C NMR) and phosphoric acid (H₃PO₄, δ = 0 for ³¹P NMR) were used as internal standards. UV–VIS absorption spectra were recorded in a Simadzu Spectrophotometer (model UV-1800). The photoluminescence (PL) spectra were recorded on a Fluoromax-4 (0406C-0809) spectrofluorophotometer. The steady state photoluminescence spectra were recorded on a Spectrofluorometer FLS920-s Edinburgh. The quantum efficiency (QE) in solution for 1–4 has been measured with respect to coumarin 153 (in degassed ethanol, QE = 0.38, excitation 400–420 nm). High-resolution MS (HRMS) experiments were carried out with a (TOF MS ES⁺1.38 eV) VG Analytical (70-S) spectrometer and a Q-ToF micro mass spectrometer. Infrared spectra were recorded with an FTIR Shimadzu (IR prestige-21) spectrometer. All the reactions were performed under a nitrogen atmosphere and the progress of the reaction was monitored using thin-layer chromatography (TLC) plates (pre-coated with 0.20 mm silica gel).

Lifetime data have been acquired with time correlated single photon counting (TCSPC) instruments, suitable for a ns–ps time range and nano LEDs with a 375 nm (average pulse width of 60.4 ps) and 405 nm (average pulse width of 56.9 ps) excitation source at room temperature. The solid-state quantum yield of the powder samples was measured using a calibrated integrating sphere in a Gemini spectrophotometer (Gemini 180). The emission and absorption spectra of the complexes were recorded after purging the sample with N₂ gas.

The highly shielded ¹H NMR signal in the range of –14.39 to –14.53 ppm indicates the presence of hydride (Ir–H) in the complexes. This finding is further supported by the stretching frequency at 2128–2176 cm^{–1} recorded by FTIR and the value computed using density functional theory (DFT) based methods at ~2210 cm^{–1} for all the complexes. The IR stretching frequency of C=N in the Schiff base ligands appears within the 1579–1610 cm^{–1} range and the computed DFT frequencies have the same order of magnitude (Table S8, ESI[†]). A ³¹P NMR signal is observed in the range of 4.34–6.39 ppm. From the HRMS data, the major fragmented peak appears as [M + H]⁺ at *m/z* in the range of 936.2476–1102.2661.

Complex 1. ¹H NMR (400 MHz, CDCl₃) δ 8.61–8.27 (m, 1H), 7.84 (d, *J* = 7.6 Hz, 1H), 7.44 (dt, *J* = 10.9, 5.4 Hz, 4H), 7.12 (dt, *J* = 26.6, 7.2 Hz, 6H), 6.97 (t, *J* = 7.2 Hz, 1H), 6.72 (t, *J* = 7.4 Hz, 1H), 6.46–6.26 (m, 1H), –14.47 (t, *J* = 16.0 Hz, 1H); ³¹P NMR (162 MHz, CDCl₃) δ 5.69; ¹³C NMR (101 MHz, CDCl₃) δ 176.13, 158.13, 158.13, 155.44, 144.27, 134.09, 134.04, 133.99,

130.95, 130.68, 130.40, 130.09, 129.59, 128.68, 128.57, 128.45, 127.54, 127.49, 127.44, 126.11, 113.19; IR (KBr, cm^{-1}): 2151 (m, $\nu_{\text{Ir-H}}$) and 1580 and 1542 (m, $\nu_{\text{C=N}}$); ESI-HRMS, calculated: $[\text{M} + \text{H}]^+$, m/z 936.4581, found $[\text{M} + \text{H}]^+$: m/z 936.2476. (Fig. S1, ESI ‡)

Complex 2. ^1H NMR (400 MHz, CDCl_3) δ 8.18 (d, $J = 5.5$ Hz, 1H), 7.51 (dd, $J = 19.6$, 7.7 Hz, 4H), 7.37 (ddd, $J = 8.2$, 6.7, 3.3 Hz, 12H), 7.06 (tt, $J = 8.1$, 4.1 Hz, 18H), 6.89 (t, $J = 7.3$ Hz, 2H), 6.66 (dd, $J = 17.8$, 9.8 Hz, 2H), 6.52–6.22 (m, 1H), –14.49 (t, $J = 16.1$ Hz, 1H); ^{31}P NMR (162 MHz, CDCl_3) δ 4.34; ^{13}C NMR (101 MHz, CDCl_3) δ 171.22, 147.18, 145.22, 137.16, 134.15, 134.10, 134.04, 131.51, 131.24, 130.97, 129.34, 128.89, 127.37, 127.32, 127.28, 126.21, 117.81, 117.58; IR (KBr, cm^{-1}): 2128 (m, $\nu_{\text{Ir-H}}$), 1603 (m, $\nu_{\text{C=N}}$); ESI-HRMS, calculated: $[\text{M} + \text{H}]^+$, m/z 935.2063, found $[\text{M} + \text{H}]^+$: m/z 935.3567 (Fig. S2, ESI ‡).

Complex 3. ^1H NMR (400 MHz, CDCl_3) δ 7.81 (s, 1H), 7.54 (d, $J = 7.5$ Hz, 2H), 7.48–7.36 (m, 12H), 7.21–7.12 (m, 7H), 7.12–7.04 (m, 11H), 6.98–6.88 (m, 1H), 6.73 (dd, $J = 8.2$, 7.4 Hz, 2H), 1.89 (s, 3H), –14.48 (t, $J = 15.8$ Hz, 1H); ^{31}P NMR (162 MHz, CDCl_3) δ 5.69; ^{13}C NMR (101 MHz, CDCl_3) δ 169.12, 146.62, 145.41, 137.79, 134.14, 134.09, 134.03, 131.45, 131.18, 130.92, 129.22, 128.65, 127.28, 127.23, 127.18, 126.20, 117.41, 18.40. IR (KBr, cm^{-1}): 2151 (m, $\nu_{\text{Ir-H}}$), 1612 and 1567 (m, $\nu_{\text{C=N}}$); ESI-HRMS, calculated: $[\text{M} + \text{H}]^+$, m/z 949.2219, found $[\text{M} + \text{H}]^+$: m/z 949.2698 (Fig. S3, ESI ‡).

Complex 4. ^1H NMR (400 MHz, CDCl_3) δ 8.34 (d, $J = 4.9$ Hz, 1H), 7.62 (d, $J = 8.2$ Hz, 2H), 7.51–7.35 (m, 14H), 7.33–7.07 (m, 22H), 7.01 (dd, $J = 15.9$, 7.7 Hz, 6H), 6.44 (dd, $J = 15.3$, 7.6 Hz, 3H), –14.43 (t, $J = 16.2$ Hz, 1H); ^{31}P NMR (162 MHz, CDCl_3) δ 4.53; ^{13}C NMR (101 MHz, CDCl_3) δ 171.39, 148.62, 147.48, 147.27, 139.47, 137.14, 134.31, 134.25, 134.20, 131.59, 131.32, 131.05, 129.36, 128.96, 127.40, 127.35, 127.30, 124.20, 122.69, 121.40, 117.49, 117.12; IR (KBr, cm^{-1}): 2176 (m, $\nu_{\text{Ir-H}}$), 1580 (m, $\nu_{\text{C=N}}$); ESI-HRMS, calculated: $[\text{M} + \text{H}]^+$, m/z 1102.2798, found $[\text{M} + \text{H}]^+$: m/z 1102.2661 (Fig. S4, ESI ‡).

DEA. ^1H NMR (400 MHz, CDCl_3) δ 2.53 (q, $J = 7.2$ Hz, 1H), 0.98 (t, $J = 7.2$ Hz, 2H). ^{13}C NMR (101 MHz, CDCl_3) δ 44.36, 15.17 (Fig. S5, ESI ‡).

DEA CO₂ (a CIL). ^1H NMR (400 MHz, CDCl_3) δ 3.16 (s, 1H), 2.69 (s, 2H), 1.10 (s, 3H), 0.98 (s, 1H). ^{13}C NMR (101 MHz, CDCl_3) δ 42.17, 40.63, 13.98, 12.67 (Fig. S6, ESI ‡).

Complex 1 + TFA. ^1H NMR (400 MHz, CDCl_3) δ 10.02 (s, 1H), 8.63 (d, $J = 5.4$ Hz, 5H), 8.26 (d, $J = 5.5$ Hz, 4H), 7.94 (dd, $J = 8.3$, 1.4 Hz, 2H), 7.79–7.48 (m, 13H), 7.38 (td, $J = 6.9$, 3.4 Hz, 43H), 7.32–7.22 (m, 27H), 7.18 (t, $J = 7.4$ Hz, 33H), 7.07–6.90 (m, 8H), 6.75 (s, 5H) (Fig. S7, ESI ‡).

Complex 2 + TFA. ^1H NMR (400 MHz, CDCl_3) δ 13.56 (s, 1H), 8.12 (d, $J = 5.5$ Hz, 1H), 8.00 (d, $J = 8.2$ Hz, 1H), 7.76–7.66 (m, 1H), 7.46–7.32 (m, 16H), 7.23 (t, $J = 7.4$ Hz, 8H), 7.19–7.12 (m, 10H), 6.89 (dd, $J = 8.3$, 7.6 Hz, 2H), 6.78 (dd, $J = 9.6$, 3.4 Hz, 1H), –14.93 (t, $J = 14.3$ Hz, 1H) (Fig. S8, ESI ‡).

Complex 3 + TFA. ^1H NMR (400 MHz, CDCl_3) δ 13.34 (s, 1H), 7.84 (d, $J = 8.3$ Hz, 1H), 7.71 (s, 1H), 7.47 (dd, $J = 8.3$, 1.5 Hz, 2H), 7.38 (dt, $J = 11.1$, 5.5 Hz, 14H), 7.23 (t, $J = 7.3$ Hz, 7H), 7.15 (t, $J = 7.4$ Hz, 12H), 6.89 (t, $J = 7.9$ Hz, 2H), 2.11 (s, 3H) –14.95 (t, $J = 14.0$ Hz, 1H) (Fig. S9, ESI ‡).

Complex 4 + TFA. ^1H NMR (400 MHz, CDCl_3) δ 13.00 (s, 1H), 8.24 (d, $J = 5.4$ Hz, 1H), 7.90 (d, $J = 8.2$ Hz, 1H), 7.65 (t, $J = 7.4$ Hz, 1H), 7.38 (ddd, $J = 11.5$, 8.5, 6.9 Hz, 2H), 7.31–7.24 (m, 15H), 7.20 (t, $J = 7.4$ Hz, 21H), 7.05 (d, $J = 7.6$ Hz, 4H), 6.79–6.68 (t, $J = 12.9$ Hz, 1H), 6.37 (d, $J = 42.1$ Hz, 2H), –15.04 (t, $J = 14.6$ Hz, 1H) (Fig. S10, ESI ‡).

2.4 Single crystal X-ray diffraction study

Single crystal X-ray diffraction data for 2 were recorded on a Rigaku XtaLAB mini single crystal X-ray diffractometer equipped with a Mercury 375/M CCD detector and graphite monochromated Mo-K α radiation. The data set was collected at 100.0(1) K by using an Oxford cryosystem. The data were processed using Rigaku CrystalClear suite 2.0.⁶¹ The crystal structure was solved by using SHELXS2013⁶² and refined using SHELXL2013, available within Olex2.⁶³ All the hydrogen atoms have been fixed at their geometrically ideal position and have been refined isotropically using the riding model. Geometric calculations have been carried out using PARST.⁶⁴

2.5 Computational details

Electronic structure calculations were performed within the DFT framework. Geometry optimizations of the ground state (S_0) and lowest triplet state (T_1) were carried out with the B3LYP hybrid functional,⁵⁵ the double- ζ quality basis set consisting of Hay and Wadt's effective core potential (LANL2DZ) for the iridium atom and the standard all-electron 6-31G(d) basis set for all other elements.^{66–68} Optimized geometries were identified as true local minima with no imaginary frequencies within the harmonic approximation. Vertical transition energies at the S_0 and T_1 geometries were computed *via* time-dependent DFT (TDDFT) with the same energy functional and basis set. The absorption spectra were simulated by convolution of Gaussian functions with a half-width at half maximum (HWHM) of 3500 cm^{-1} . The vibrationally resolved emission spectra from T_1 were simulated within the Franck–Condon approximation as described by Barone *et al.*⁶⁹ and a HWHM of 650 cm^{-1} was used for the Gaussian convolution. All calculations were carried out considering isolated molecules in a vacuum, except for the optimization of the S_0 and intra-ligand charge transfer (ILCT) singlet state of complex 4, for which the IEF-PCM method⁷⁰ was employed in order to account for equilibrium solvation. In addition, the CAM-B3LYP functional⁷¹ was used for the optimization of the $^1\text{ILCT}$ state of 4 to account for the strong charge-transfer nature of this transition, not properly described by the B3LYP functional.⁷² Interactions between ACN molecules and Ir(III) complexes in the crystal structure were analyzed using two molecular models: (i) two Ir(III) complexes and the central ACN molecule and (ii) one Ir(III) complex with two ACN molecules interacting *via* both PPh_3 ligands. Interaction energies between ACN molecules and Ir(III) complexes were computed by applying the standard counterpoise correction for the basis set superposition error⁷³ at the M06-2X/LANL2DZ,6-31G(d,p) level.⁷⁴ The origin of the observed disorder for solvent molecules in the crystal structure was also investigated at the M06-2X/LANL2DZ,6-31G(d,p) level by carrying out a fixed rotation of the methyl



group of one ACN molecule in the presence of the two neighboring interacting Ir(III) complexes with fixed geometries. Color coordinates for compounds **1–4** were calculated from the experimental emission spectra in the standard CIE (2°) 1931 color space following the conventional procedure⁷⁵ (ESI†). All electronic structure calculations were performed using the Gaussian09 package.⁷⁶

3. Results and discussion

3.1 Molecular and electronic structure

All synthesized complexes have a single Ir(III) metal center in a distorted octahedral coordination environment. Complexes **1–4** contain two triphenylphosphine ligands (PPh_3) axially coordinated with the Ir(III) atom, since our previous studies suggested that these highly mobile groups could be responsible for the observation of an enhanced emission in aggregated phases.^{20,37,77} The four coordination positions on the equatorial plane are occupied by the N and C atoms of a Schiff base bidentate ligand, a chloride, and a hydride ligand. The differences between the four synthesized complexes are in the Schiff base ligand (Scheme 1). Concretely, complex **1** contains a pyrimidine ring, while complexes **2** and **3** have pyridine and methylpyridine moieties instead, respectively. Complex **4** corresponds to the substitution of the Schiff base with a diphenylamine donor group.

The principal geometrical parameters describing the distorted octahedral environment of the Ir(III) atom in complex **2** are gathered in Table S2 (ESI†). Optimized bond distances and angles for the ground state are in very good agreement with the X-ray molecular structure. A detailed discussion of the differences between the experimental and calculated geometries can be found in the ESI†.

Next we analyze in detail the electronic structure of the synthesized compounds by means of their frontier occupied and virtual molecular orbitals (MOs). In the following we refer to the z -axis as the one in the P–Ir–P direction and the x -axis defined by the C–Ir–Cl direction. Fig. 1 depicts the MO energy

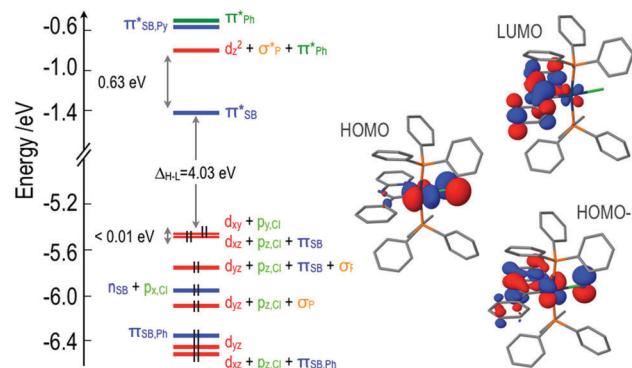


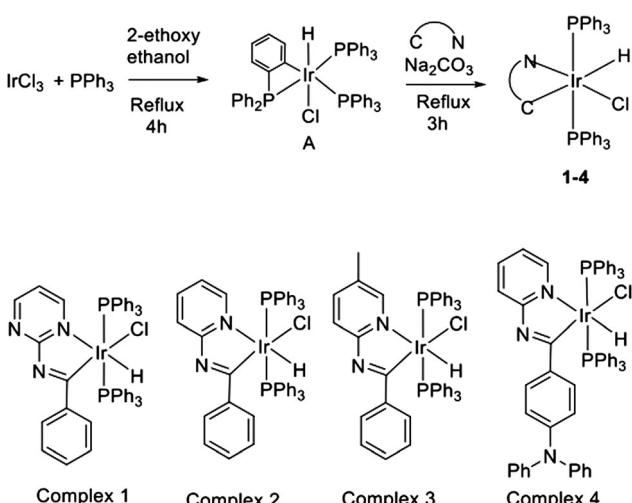
Fig. 1 Molecular orbital diagram of **2** at the ground state geometry optimized at the B3LYP/LANL2DZ,6-31G(d) level. Participation of fragment orbitals to the whole system's MOs is indicated by the following color code: red for d-block orbitals of the iridium atom; light green for p orbitals of the chloride ligand; dark green for π and π^* type orbitals of the phenyl rings of the phosphine ligands; blue for n, π and π^* -type orbitals of the Schiff base ligand and orange for σ -type orbitals of the phosphorous's lone pairs. Hydrogen atoms are omitted from the picture for the sake of clarity.

diagram for complex **2**. Due to the heterogeneous ligand substitution pattern, all d-type orbitals from the Ir atom exhibit sizable mixings with ligand orbitals. In particular, Ir orbitals in the t_{2g} -like set combine with occupied p-type orbitals from the chloride ligand, the lone pairs of the phosphorous atoms and π -type orbitals from the Schiff base ligand. The two highest occupied MOs, HOMO and HOMO–1, of complex **2** are practically degenerate combinations of metal d_{xy} with chlorine p_y and metal d_{xz} with chlorine p_z AOs, respectively, with the HOMO–1 having an additional participation of π -type orbitals of the Schiff base ligand. The lowest unoccupied orbitals correspond mainly to π^* -type orbitals of the Schiff base ligand. The lowest unoccupied MO (LUMO) has a small contribution of the Ir atomic orbitals, while the LUMO+3 shows participation of orbitals from two phenyl rings on the phosphine ligands. Further details regarding the MOs of **2** can be found in the ESI.†

The MO diagrams for complexes **1** and **3** are qualitatively very similar to that of **2**, except for subtle differences in the energies of the orbitals and the degree of mixing of fragment orbitals due to the differences in the Schiff bases. There is, though, an important difference between **4** and the other three complexes. Substitution of the Schiff base with an electron-donating diphenylamine group modifies dramatically its electronic structure. The HOMO is no longer an orbital centered on the iridium atom, but a pure π -type orbital of the donor amine unit (Fig. 2). The remaining frontier MOs of **4** are equivalent to those of **2**.

3.2 Photophysical properties in solution and thin films

The lowest computed singlet and triplet states of complexes **1–3** correspond to excitations from the d_{xy} and d_{xz} type HOMOs to the Schiff base centered LUMO. Amplitude analysis of these excitations reveals that the corresponding excited states have important metal-to-ligand charge transfer (MLCT), ligand-to-ligand charge transfer (LLCT) from the chloride to the Schiff



Scheme 1 Synthesis of complexes **1–4**.



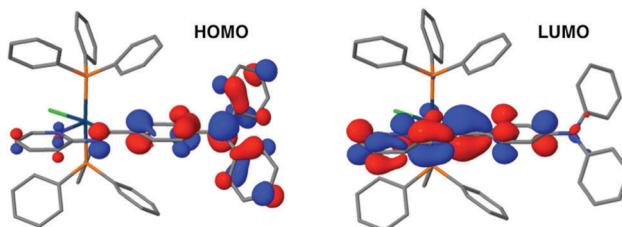


Fig. 2 HOMO and LUMO of the optimized ground state of **4** at the B3LYP/LANL2DZ,6-31G(d) level. Hydrogen atoms have been omitted for the sake of clarity.

base, and ligand-centered (LC) π, π^* character in the case of the excited state involving the d_{xz} based occupied orbital. For simplicity, we will from now on refer to these excited states as MLLCTy and MLLCTz/LC, where y and z indicate the atomic p orbital of the chlorine atom involved in the occupied MO of the transition. The lowest excited singlet state for complexes **1–3** is MLLCTy, while the lowest triplet corresponds to MLLCTz/LC. In contrast, the lowest computed singlet and triplet states of complex **4** correspond to HOMO to LUMO transitions with ILCT character due to the donor nature of the diphenylamine substitution in the Schiff base. The computed vertical excitation energies to these states are gathered in Table 1.

The UV-VIS absorption spectra in DCM solution for **1–4** are shown in Fig. 3. The moderately intense band at 300–450 nm is assigned by our calculations to the $^1\text{MLLCTz/LC}$ state computed at ~ 370 nm for all complexes. The absorption profiles of complexes **1–3** are very similar to each other, as predicted by the correspondence of their MO diagrams (Fig. 1). The absorption spectrum of complex **4** exhibits a rather intense band centered at ~ 400 nm not present for the other three complexes, which is a signature of the ILCT transition in the Schiff base ligand. The vertical transition to $^1\text{ILCT}$ in **4** is computed at 416 nm, in very good agreement with the experimental absorption maximum (404 nm). The simulated spectra of all complexes (ESI ‡) reproduce reasonably well the band positions and relative intensities of the experimental absorption spectra.

We have explored the solvent polarity dependence of the absorption spectra of the studied complexes. While the absorption spectra of **1–3** show no dependence on solvent polarity, the absorption spectrum of complex **4** shows a weak dependence with the solvent dielectric constant due to the strong absorption of the $^1\text{ILCT}$ state and its CT character (Fig. S13, ESI ‡).

The normalized emission spectra of the synthesized complexes in DCM solution and in thin film are shown in Fig. 4.

Table 1 Vertical excitation energies (in nm) and oscillator strengths ≥ 0.01 (in parenthesis) for the lowest excited states of **1–4**. All values computed at the B3LYP/LANL2DZ,6-31G(d) level

State	1	2	3	4
$^3\text{MLLCTz/LC}$	456	472	479	420
$^3\text{MLLCTy}$	406	397	395	395
$^3\text{ILCT}$	—	—	—	528
$^1\text{MLLCTz/LC}$	370 (0.02)	368 (0.04)	368 (0.07)	365 (0.01)
$^1\text{MLLCTy}$	397	389	387	387
$^1\text{ILCT}$	—	—	—	416 (0.58)

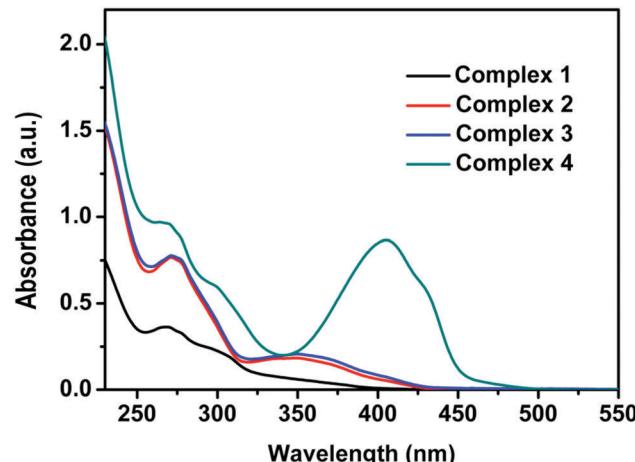


Fig. 3 UV-VIS absorption spectra (in arbitrary units) of **1–4** (10^{-5} M) recorded in degassed DCM at room temperature.

All complexes present structured emission profiles with a separation between the peaks on the order of $1300\text{--}1400\text{ cm}^{-1}$, which we assign to vibronic progressions.^{21,78,79} The position and relative intensity of the vibronic peaks in the thin film emission spectra are very close to their counterparts in solution. This matching is also reflected when comparing the emission colors in thin film with the emission colors in DCM solution shown in the chromaticity diagram (Fig. 5 and Table S4, ESI ‡), for which the same green to yellow transition is observed.

Our calculations predict the $^3\text{MLLCTz/LC}$ state as the one responsible for the phosphorescence emission of complexes **1–3**. For all three complexes there is a nice agreement between the experimental emission spectra and the simulated vibrationally resolved phosphorescence profiles (Fig. 6, Fig. S32 and S33, ESI ‡).

For complexes **1–3**, the main vibrational mode responsible for the structured emission is related to the stretching of the $\text{C}\equiv\text{N}$ bond in the Schiff base, with a computed frequency of $\sim 1470\text{--}1450\text{ cm}^{-1}$ (Fig. S34, ESI ‡). The distortion associated with this mode is in fact the main geometrical modification along the molecular relaxation on the T_1 state potential energy surface from the Franck–Condon region. Although this vibrational progression is the main contribution defining the overall shape of the emission spectra, there are other less intense normal modes also contributing to the emission profiles (Tables S5–S8, ESI ‡).

The emission spectrum of **4** in solution exhibits two clearly distinct bands (Fig. 7): (i) a solvatochromic band at 400–525 nm and (ii) a structured emission band in the 525–700 nm region, very similar to the emission band in **1–3**, that is practically independent of the solvent's polarity (Fig. S14, ESI ‡). Due to this dual emissive behavior, the emission color of **4** may easily be tuned by varying the solvent's polarity. In particular, in low polarity solvents (benzene, 1,4-dioxane), the emission color of **4** is blue with an emission band maximum at 461 nm, whereas in more polar solvents (ethyl acetate, CHCl_3 , DCM and THF) the emission gradually shifts to longer wavelengths. In strongly polar solvents (DMSO) complex **4** shows yellow emission (Fig. 7).



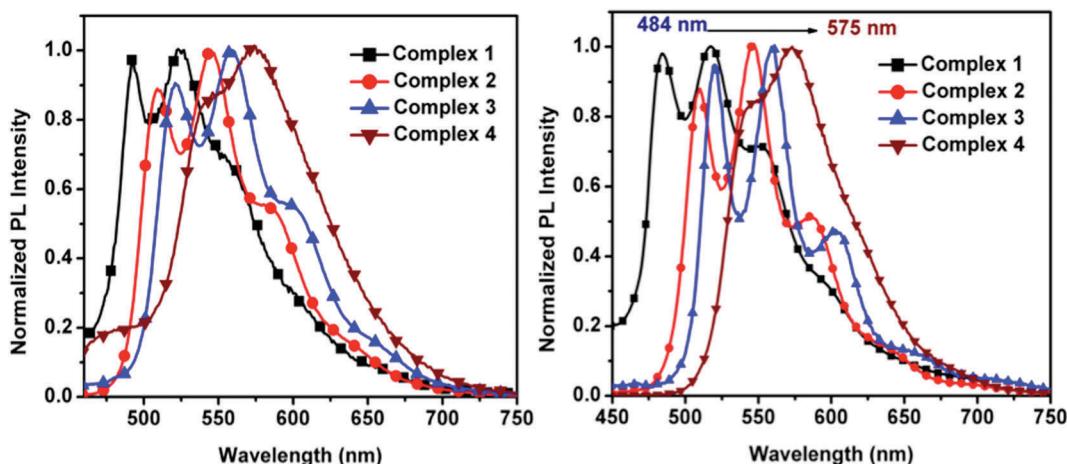


Fig. 4 Normalized emission spectra of **1–4** in degassed DCM at room temperature (left) and thin film (right).

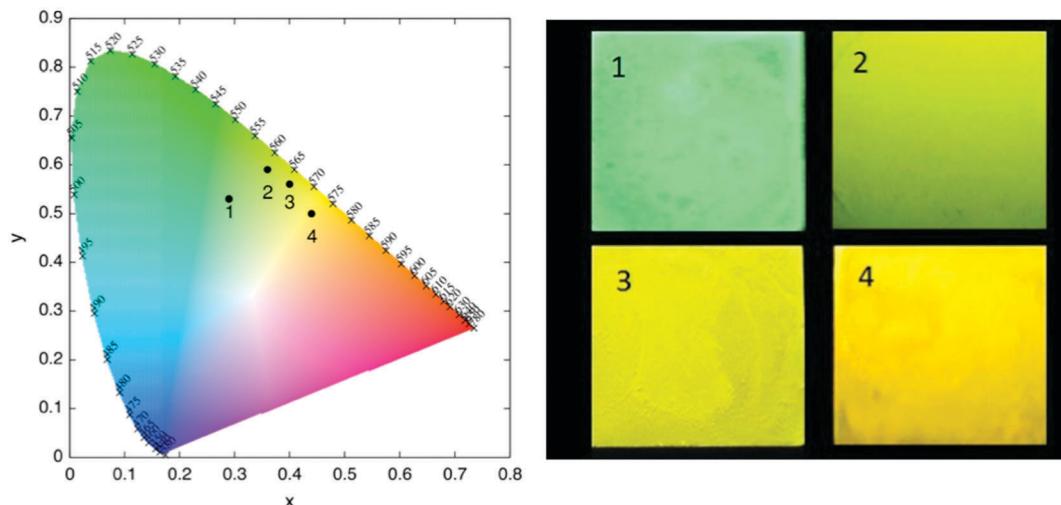


Fig. 5 Chromaticity diagram for the emission of **1–4** in DCM (left) and their respective thin film emission color under 365 nm UV lamp (right).

The similarities of the emission of **4** in the 525–700 nm region with the emission spectra of complexes **1–3** allow us to assign this band to the phosphorescence emission from the $^3\text{MLLCTz/LC}$ state. On the other hand, our calculations indicate that the state responsible for the solvatochromic band below 500 nm corresponds to the singlet state with ILCT character. This assignment is also supported by time-resolved photoluminescence measurements by monitoring the emission maxima at 450 nm and 530 nm in different solvents (benzene, DCM, THF and DMSO). The lifetime data for the high-energy band is obtained within the 0.9–1.4 ns range, consistent with fluorescence emission (Fig. S15–S18 and Table S1, ESI[†]). On the other hand, the lifetime measurements of the band at 530 nm are in the microsecond range (from 1.4 to 1.7 μs), consistent with phosphorescence emission. The $^1\text{ILCT}$ state of **4** was optimized in strongly polar and non-polar solvents, *i.e.* DMSO and benzene, for which the experimental emission maxima were measured at 480 and 459 nm, respectively. The calculated relative shift in the vertical emission energies of **4** in benzene and DMSO is 0.16 eV, in very good

agreement with the shift observed in the experimental spectra (0.12 eV). As the polarity of the solvent increases, the LUMO is more stabilized than the HOMO (Table S12, ESI[†]), leading to the observed red-shift in the emission energy. Further calculations ruling out the possible formation of a twisted intramolecular charge transfer state (TICT) may be found in the ESI.[†]

The observed red-shifted emission of the fluorescent ILCT band in highly polar solvents of **4** is also accompanied by a decrease in its PL intensity. This is clearly seen in Fig. 8, where the emission spectra of **4** recorded with different fractions of benzene (f_B) in THF are shown. The gradual addition of benzene to the THF solution results in a blue shifted emission with increasing PL intensity. The spectral data reveal that the intensity of the short wavelength band gradually increases with increasing benzene fractions.

Frozen samples of **4** in benzene and 1,4-dioxane at $-80\text{ }^\circ\text{C}$ showed yellow emission under excitation at 365 nm (Fig. S19, ESI[†]), while at room temperature a blue emission was observed. Since the yellow emission of **4** has been attributed to the

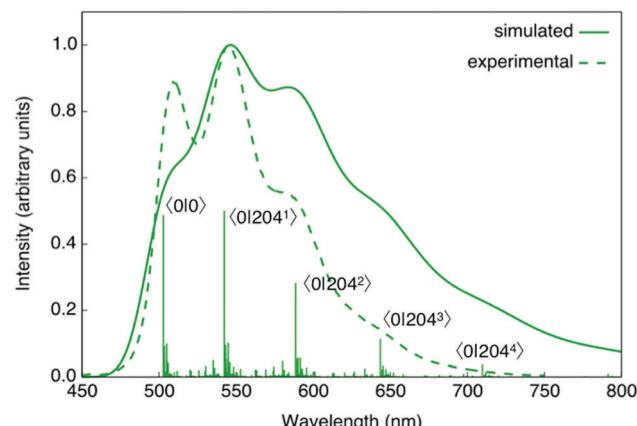


Fig. 6 Simulated (solid line) and experimental (dashed line) phosphorescence emission spectra of complex **2**. Vertical sticks indicate the relative strength of vibronic transitions contributing to the simulated spectrum. Both spectra have been normalized and the calculated spectrum has been blue shifted by 0.12 eV to superimpose the most intense peaks. The 204 normal mode of vibration resulting in the vibrational structure corresponds to the C=N stretching mode of the imine.

³MLLCTz/LC state, this suggests that molecular relaxation of the ¹ILCT state from the Franck–Condon geometry is slowed down in the frozen samples, allowing the population of the ³MLLCTz/LC state *via* intersystem crossing.

Finally, the excitation and emission spectra recorded in different solvents are in agreement with a dual emission from two different states, *i.e.* ¹ILCT and ³MLLCTz/LC (Fig. S20, ESI†).

3.3 Aggregation-induced enhanced emission

All four synthesized complexes exhibit weak emission in common organic solvents such as DCM, THF, MeOH, CHCl₃, ACN, or DMSO, but they are highly emissive in the solid state. Such strong solid-state emission encouraged us to study the AIEE of these complexes. Complexes **1–3** are highly soluble in THF but

insoluble in water, thus we explored the effects of aggregation on their PL properties in THF–water with a wide range of mixing ratios. The formation of aggregates when water is present was confirmed using a particle size analyzer, indicating the formation of nano-aggregates with diameters in the range of 124–674 nm (Fig. S11, ESI†). Solutions of **1–3** in pure THF emit weakly, while their emission gradually increases with the water fraction in THF–water mixtures. For mixtures with a high content of water ($f_w = 90\%$), the PL intensity of complexes **1**, **2** and **3** is 45, 18, and 6 times stronger than that in pure THF solution (Fig. S21, ESI†), respectively.

The AIEE property of **4** was also investigated in different solvents, namely THF, ACN and DMSO with gradual addition of water. The dilute solution of THF shows a faint green photo-emission that intensifies and red shifts (from 535 nm to 578 nm) at $f_w = 10\%$. The addition of more water, ($f_w = 20\%$), leads again to a red shifted emission maximum (from 578 nm to 610 nm) and to an increase of the emission intensity. We attribute this spectral change (red shifted emission) to the stabilization of the charge transfer state with increasing solvent polarity.⁸⁰ A further increase of the water fraction up to 70% does not alter the emission maximum and its intensity. At $f_w = 80\%$ however, a sudden blue shifted structured emission (516 nm and 557 nm) appears along with a slight increase in the PL intensity. In contrast, the emission red shifts again (from 535 nm and 574 nm) at $f_w = 90\%$, but the structured profile remains and the PL intensity is 22 times higher than that in pure THF (Fig. 9). In this case, the observed emission enhancement at higher water content can also be safely attributed to aggregate formation (Fig. S11, ESI†). In addition, we argue that closely spaced aggregates could be responsible for creating a less polar environment, resulting in a blue shifted emission.^{81,82}

The emission behavior of **4** was also studied using mixtures with different ACN–water fractions (Fig. S22, ESI†). The emission intensity of **4** doubles at $f_w = 30\%$ in comparison to pure

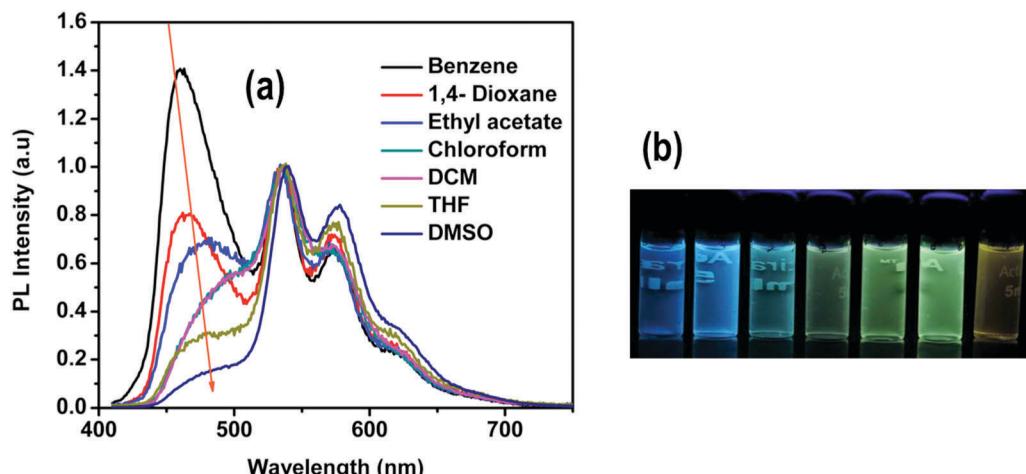


Fig. 7 (a) Emission spectra of **4** in different solvents. (b) Photographs showing the emission colors of **4** under UV light in different solvents (from left to right): benzene, 1,4-dioxane, ethyl acetate, CHCl₃, DCM, THF and DMSO. Concentration of **4** in all cases: 1×10^{-5} M. The spectra have been normalized to the peak at ~ 535 nm.



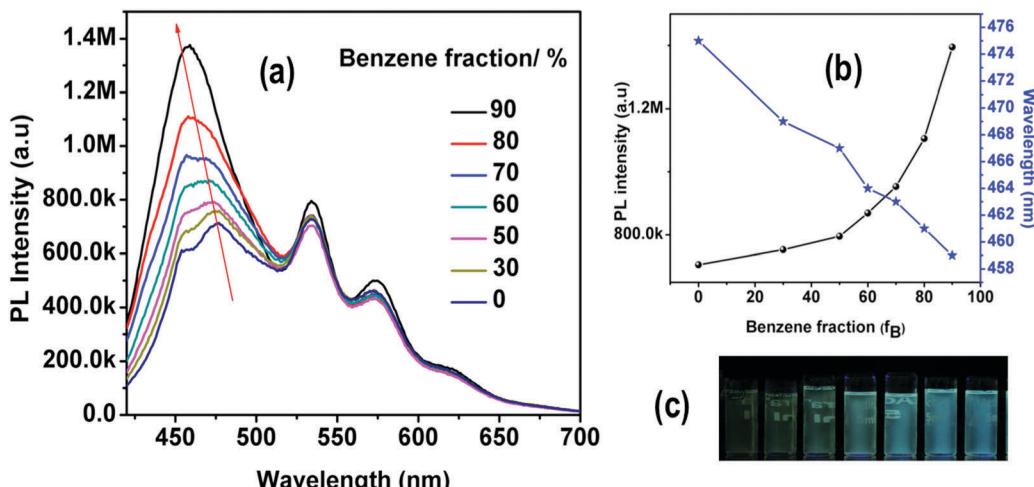


Fig. 8 (a) Emission spectra of **4** in THF with different benzene fractions (0–90%). (b) Plots of maximum emission intensity and wavelength of **4** versus benzene fraction (f_B) in the THF/Benzene mixture. Solution concentration: 1×10^{-5} μ M. (c) Photographs of **4** in THF/benzene mixtures with different fractions of benzene (f_B) taken under 365 nm UV illumination.

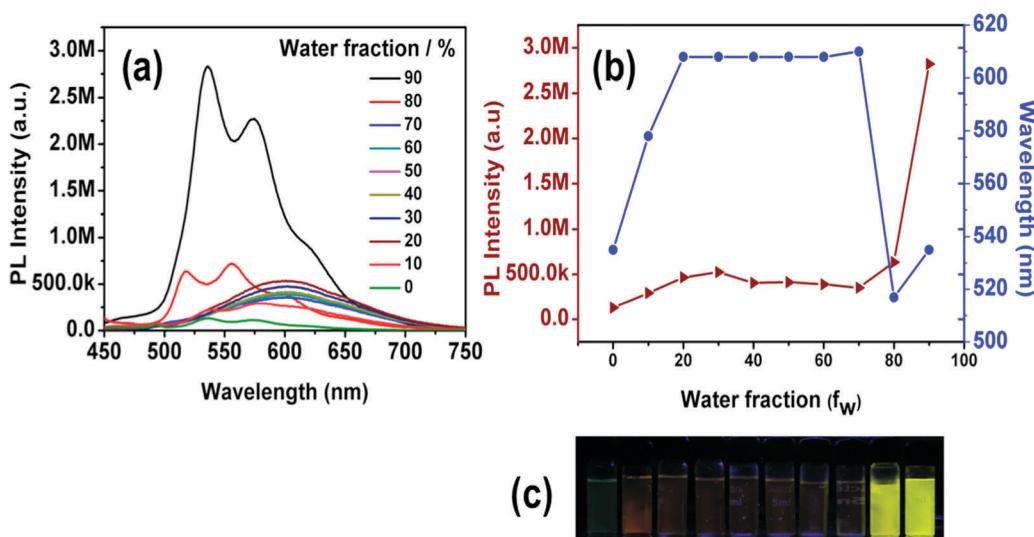


Fig. 9 (a) Emission spectra of **4** in THF/water mixtures (0–90%). (b) Plot of maximum emission intensity and wavelength of **4** versus water fraction. Concentration of **4**: 1×10^{-5} M. (c) Photographs of **4** in THF/water mixtures taken under UV illumination.

ACN solution, while the emission maximum remains at 510 nm. At $f_w = 50\%$ the PL intensity increases by 5 times with respect to pure ACN solution and the emission maximum slightly red-shifts to 523 nm. Further addition of water ($f_w = 70\%$) increases the PL intensity by 5 times in comparison to pure ACN solution, but now the emission band is no longer broad, displaying two distinct peaks at 517 nm and 552 nm, respectively. The structured band remains at $f_w = 90\%$ with a significant red shift to 538 and 580 nm, however, the PL intensity weakens dramatically to only thrice that of the pure ACN solution.

The emission spectra of **4** in DMSO–water mixtures do not show any changes in the emission maximum, since there is already a highly polar environment in the pure organic solvent, but the PL intensity increases by 32 times as compared to the pure DMSO solution of the complex (Fig. 10).

The formation of nanoaggregates in the AIEE experiments of complex **4** was confirmed by the leveled-off tails⁸¹ in the visible region of the recorded UV-VIS spectra in different water fractions with DMSO, as well as with ACN (Fig. S23, ESI[†]).

The AIEE of these complexes was further studied by measuring the absolute emission quantum yields (QY) for solid samples, and comparing these data with those obtained in DCM solution. The QY of these complexes in solution is $<0.1\%$, while the absolute QY in the solid state is 3–9% that is, about two orders of magnitude higher.

In order to explore the possibility of whether weak intermolecular interactions could be responsible for the restriction of intramolecular motions^{83,84} in the solid state and give rise to an enhancement of the PL intensity upon aggregation, the crystal packing of **2** was analyzed in detail considering that it



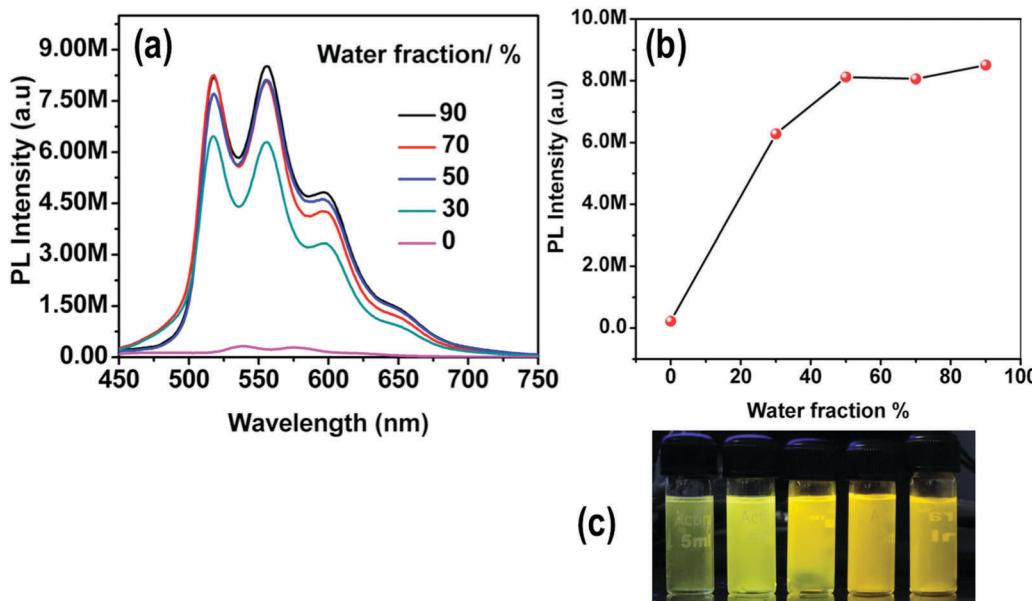


Fig. 10 (a) Emission spectra and (b) plot of maximum emission intensity of **4** in DMSO/water mixtures (0–90%). Concentration of **4**: 1×10^{-5} M. (c) Photographs of **4** in DMSO/water mixtures taken under UV illumination (excitation at 365 nm).

might represent an acceptable structural model for the aggregates formed in the mixtures of water with organic solvents. The crystal structure of **2** has a unit cell with four equivalent Ir(III) complexes together with four cocrystallized ACN molecules exhibiting disorder in the position of their hydrogen atoms. Iridium complexes arrange in chains along the *b*-axis, with each chain being surrounded by six other chains in an approximate elongated hexagonal fashion as shown in Fig. 11. The molecules of the reference chain (highlighted in red) lie in the same *ac*-plane as the chains highlighted in grey. On the other hand, the chains shown in blue are displaced by half a unit cell along the *b*-axis with respect to the reference chain. Inspection of interatomic distances between molecules in the reference and grey chains indicates the absence of potentially important non-covalent interactions between them. The reference and blue chains, however, exhibit short interchain C–H···Cl distances (2.802 Å) between the chloride ligand and a hydrogen atom from an adjacent PPh_3 ligand, indicative of non-negligible interchain interactions that represent a possible source of restriction of molecular motions in the solid state (Fig. S37, ESI[†]). Nevertheless, the most significant interactions are found along the chains themselves, where crystallized ACN solvent molecules alternate with iridium complexes, as shown in Fig. 12. An analysis of intermolecular distances hints to the presence of strong C–H··· π interactions between each ACN molecule and two phenyl rings from PPh_3 ligands of adjacent complexes. The computed interaction energy between one complex with the two neighboring ACN molecules was 9.1 kcal mol^{−1}, confirming the presence of these C–H··· π interactions.

Further calculations were carried out to investigate the origin of the observed disorder for the hydrogen atoms of the ACN molecules in the crystal structure. Calculation of the energy cost for a rotation of the methyl group of the solvent

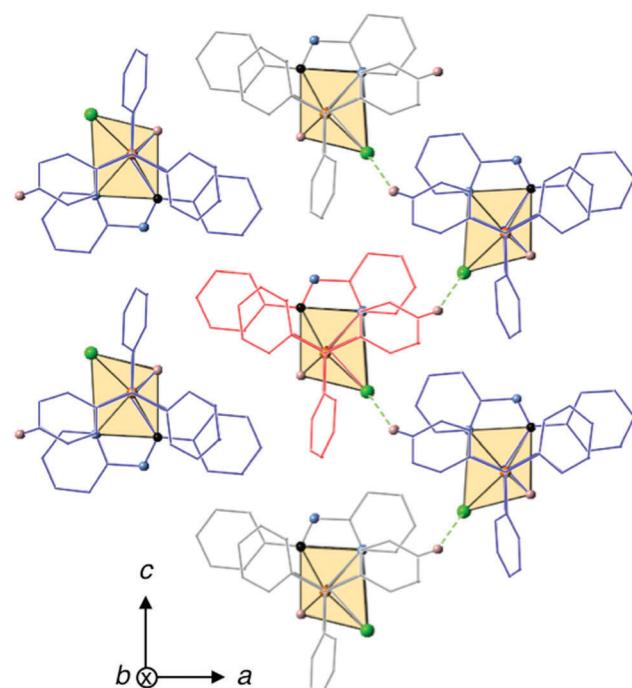


Fig. 11 Crystal packing of **2** with a reference chain highlighted in red. C–H···Cl interactions (2.802 Å) between the chains are shown in green. Atom color code: nitrogen in blue, phosphorous in orange, chlorine in green, carbon in black and hydrogen in white. Hydrogen atoms not involved in intermolecular interactions are omitted for the sake of clarity.

molecule considering a fixed geometry was carried out in the presence of the two neighboring complexes (see Computational details). Two minima were obtained corresponding to the two hydrogen configurations found in the resolution of the crystal



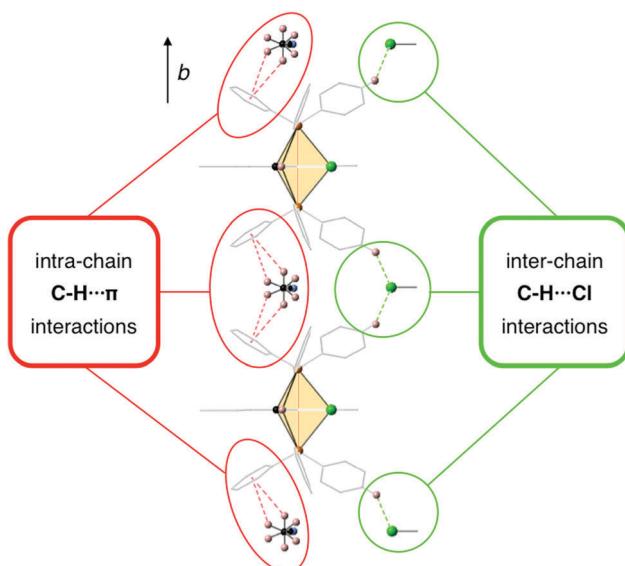


Fig. 12 C-H... π interactions between ACN molecules and Ir(III) complexes along the crystal chains and inter-chain C-H...Cl interactions with the chains highlighted in blue in Fig. 11. ACN molecules present dynamic disorder.

structure. The computed energy barrier (0.3 kcal mol⁻¹) for the interconversion of the two conformations lies below the thermal energy kT at the temperature of crystal determination (0.4 kcal mol⁻¹), suggesting that the origin of the observed disorder in the crystal structure is dynamic rather than static. These calculations show that in the crystal we should expect the methyl groups to rotate quite freely, establishing thus C-H... π interactions that restrict the motion of the PPh₃ ligands and block non-radiative decay channels in the solid state with an increase of the emission intensity as a result. It has been recently shown that these types of interactions might play an

important role in the solid state photophysics of iridium complexes.²⁰

3.4 Reversible protonation-deprotonation

We also performed photophysical studies of complexes **1–4** in the presence of TFA/Et₃N. Interestingly, addition of TFA triggers a considerable bathochromic shift in the thin film emission spectra of **1** and **4**. The exposure of a thin film of **1** to TFA results in a broad emission band with a maximum at 539 nm responsible for a yellow color, which is highly reversible to its original green emission with maxima at 484 nm and 517 nm after exposure to Et₃N (Fig. 13). The yellow emitting thin film of **4** becomes red emissive with an emission maximum at 617 nm upon exposure to TFA. Likewise, subsequent Et₃N addition leads back to its original yellow emission. The thin films of complexes **2** and **3** also present reversible modification of the emission spectra under TFA/Et₃N, although the emission color change is less pronounced (Fig. S24, ESI[†]).

The reversibility of such protonation/deprotonation processes is confirmed by the ¹H NMR spectra of the protonated complexes (**1–4**) recorded in CDCl₃ solution. ¹H NMR of complex **1** after exposure of the film to TFA shows a downfield signal at δ = 9.01 ppm, not present in the absence of TFA. Similarly **2**, **3** and **4** display an even more downfield signal at δ = 13.56, 13.33, and 12.99 ppm, respectively. These ¹H NMR spectra indicate the transformation of these complexes into a protonated form, where the downfield ¹H signals may be attributed to the protonation of the nitrogen atom in the imine ligand unit (Fig. S12, ESI[†]). Electronic structure calculations also suggest that the energetically most favorable protonation occurs on the iminic nitrogen for all complexes (Table S9, ESI[†]). Computation of the protonated cationic form of complex **2** (labeled as **2H**) indicates that the most important geometrical difference with respect to the neutral complex is the larger torsion angle of the

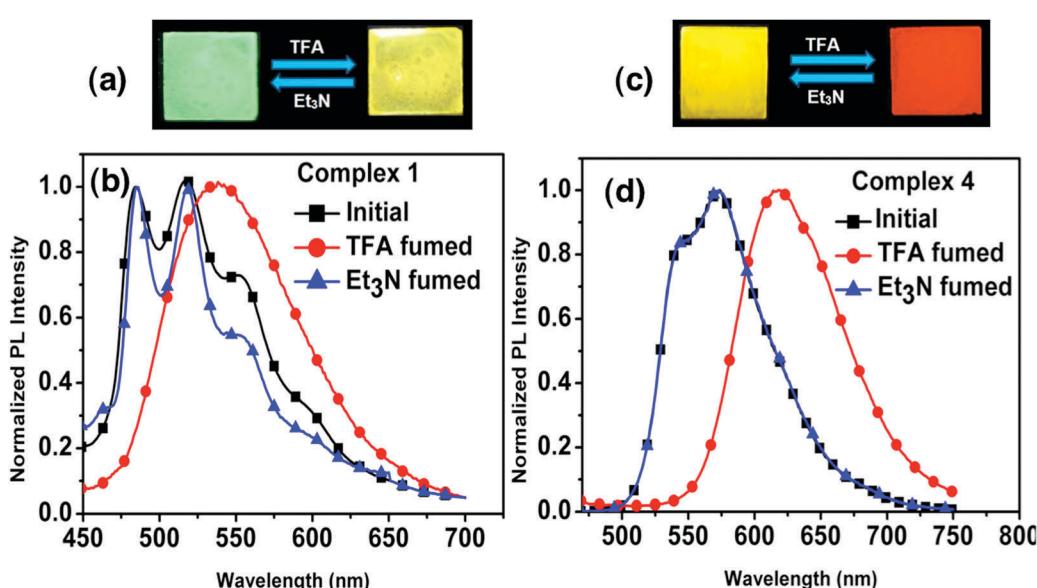


Fig. 13 Thin film reversal of emission color upon TFA/Et₃N exposure and emission spectra of complexes **1** (a and b) and **4** (c and d). The thin-film photographs and PL spectra were taken under an excitation wavelength of 365 nm and 400 nm, respectively.

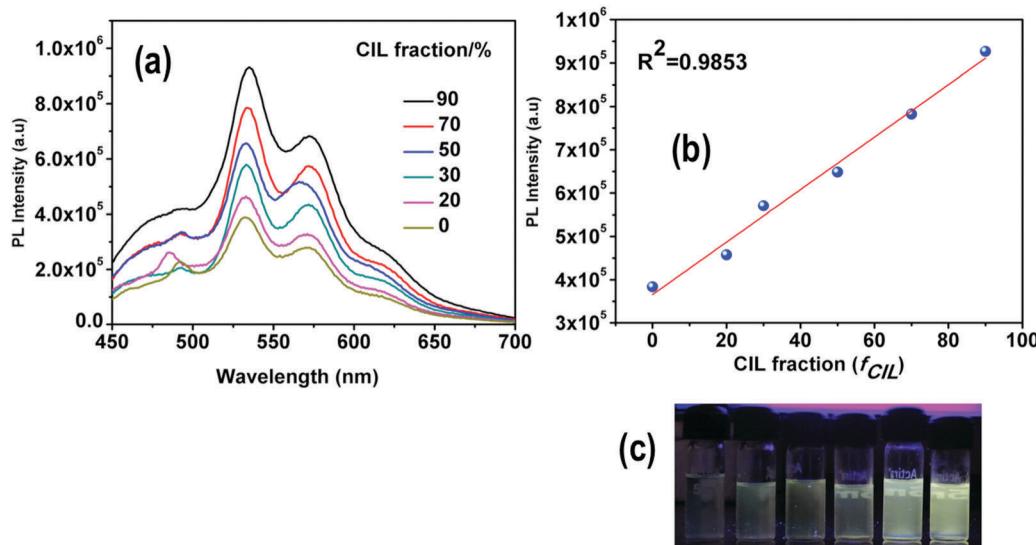


Fig. 14 (a) Emission spectra of **4** in DEA with different fractions of CIL (0–90%). (b) Maximum emission intensity with respect to CIL. (c) Photographs of **4** in DEA/CIL mixtures taken under UV illumination.

phenyl ring of the Schiff base ligand due to steric hindrance between one of its hydrogen atoms and the protonating hydrogen (Fig. S38, ESI[†]). In the unprotonated complex, the Schiff base ligand is completely planar, while in the **2H** form the phenyl ring experiences a torsion of 22° with respect to the complex's equatorial plane. Other structural differences of the coordination sphere of complex **2** upon protonation may be found in the ESI[†] (Table S10).

Protonation of the molecular species disrupts their electronic properties, resulting in changes in their photophysical behavior. Concretely, there is a reduction of the HOMO-LUMO gap upon protonation. Moreover, in the protonated form the electron density on the Schiff base ligand is strongly stabilized. As a result, the highest occupied orbitals with d_{xz} and d_{yz} participation do not show contributions from the conjugated systems of the bidentate ligand (Fig. S39, ESI[†]), and the computed excitation energies to the $^3\text{MLLCTy}$ and $^3\text{MLLCTz}$ states are very close to each other (Table S11, ESI[†]). These results point towards three

factors responsible for the broad emission band with no vibrational structure observed upon exposure of the complexes to TFA: (i) the absence of LC character in the emitting triplet state, (ii) the loss of ligand planarity and (iii) the increase of molecular flexibility.

The dependence of the emission profiles for these complexes with pH suggests that these materials are potential candidates for H^+ detection. Additional spectra of **1–4** in solution with the presence of TFA may be found in the ESI[†] (Fig. S25 and S26).

3.5 CO_2 detection

The solubility of **4** was screened with different amines, *e.g.* pyridine, 1,8-diazabi-cyclo-[5.4.0]-undec-7-ene (DBU), piperidine and diethyl amine (DEA). Complex **4** was found to be completely soluble in DEA. The reaction between DEA and CO_2 yields a viscous carbamate ionic liquid (CIL). In this case, the CIL was synthesized by a simple reaction condition where the DEA solution was purged by a large excess of CO_2 gas for 10 minutes.

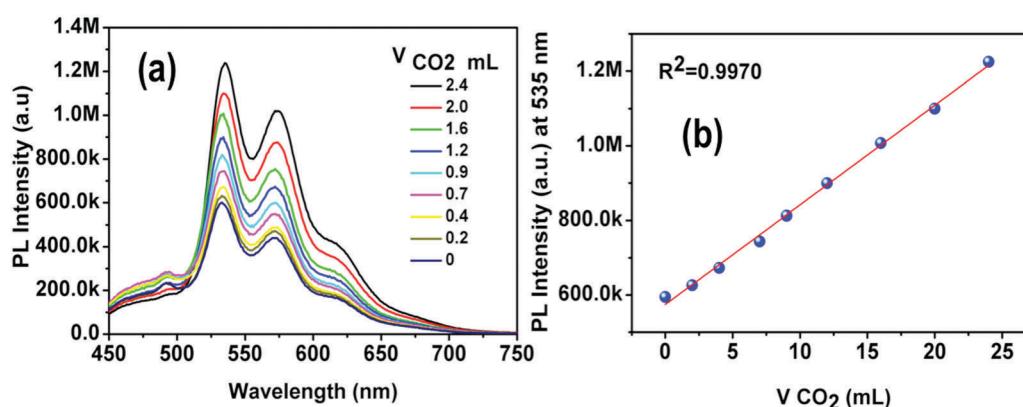


Fig. 15 (a) PL spectra of **4** in DPA with different volumes of CO_2 displaying a gradual enhancement of the PL intensity. (b) The PL intensity increased in a linear fashion ($R^2 = 0.9970$) with gradual addition of CO_2 .



The synthesized CIL was characterized by ^1H NMR and ^{13}C NMR spectroscopy and the results matched satisfactorily with earlier reports⁵⁴ (Fig. S6, ESI[†]).

The weak emission of complex **4** in DEA solution becomes gradually more intense after purging of CO_2 bubbles. In fact, there is a linear relationship between the amount of CIL gradually added into the solution (f_{CIL}) and the emission intensity of the sample. At $f_{\text{CIL}} = 90\%$, the PL intensity is 2.4 times stronger as compared to the intensity of the DEA solution (Fig. 14). This emission enhancement may be attributed to the increase in the viscosity of the medium that leads to a restriction of the motion of the phenyl rings in the PPh_3 ligands possibly responsible for non-radiative decay. The increase of the PL intensity of complex **4** with the viscosity of the environment has been confirmed by comparing the emission spectra in PEG-THF mixtures (Fig. S27, ESI[†]). To further analyze the response of **4** in DEA solutions to CO_2 , additional experiments were carried out in which different fractions of CO_2 were purged through a solution of **4** in DEA at a fixed rate and time. The emission of **4** was enhanced by gradual addition of CO_2 in the system and resulted in a linear plot for the whole concentration range. These results indicate the potential use of DEA diluted complex **4** as a quantitative detector of CO_2 (Fig. 15). Although the addition of CO_2 facilitates the removal of O_2 from the sample which might result in a larger emission intensity, it cannot account for the large PL intensity increase observed.

4. Conclusions

In this study we have demonstrated a convenient route for the synthesis of a new series of 'Aggregation-Induced Enhanced Emission' (AIEE) active Ir(III) Schiff base complexes with facile color tuning. The calculations indicate that the triplet state responsible for the vibrationally structured phosphorescence band of the synthesized complexes is of mixed MLLCT and LC character of the Schiff base. The presence of a donor-acceptor unit in **4** is responsible for the additional fluorescence emission observed and its positive solvatochromism (increase in emission wavelength with increase in solvent polarity). The excited state responsible for this fluorescence has been characterized by our calculations as an ILCT state of the Schiff base ligand. Such dual emission present in **4** accounts for an outstanding color tuning from blue to yellow. In addition, **4** was found to be a probe molecule whose ILCT emission gradually increases with an increasing content of non-polar solvent (benzene) in polar media (THF). The crystal packing of **2** was analyzed revealing significant intra-chain C-H \cdots π interactions (~ 9 kcal mol $^{-1}$) and inter-chain C-H \cdots Cl interactions, which could be responsible for the hindering of internal motions of the PPh_3 ligands, blocking the non-radiative decay channels and hence enhancing the emission in the solid state. Thin films of the synthesized complexes were successfully employed for reversible detection of acidic and basic vapors. Calculations and ^1H NMR spectra hint at protonation of the iminic nitrogen as being responsible for the changes observed in the emission spectra of the thin

films upon TFA exposure. An easy method for CO_2 detection was also developed with **4** using a phosphorescence technique controlled by the viscosity of the medium. All the properties studied in this work point towards the potential application of the newly synthesized complexes as multi-responsive luminescent materials.

Acknowledgements

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