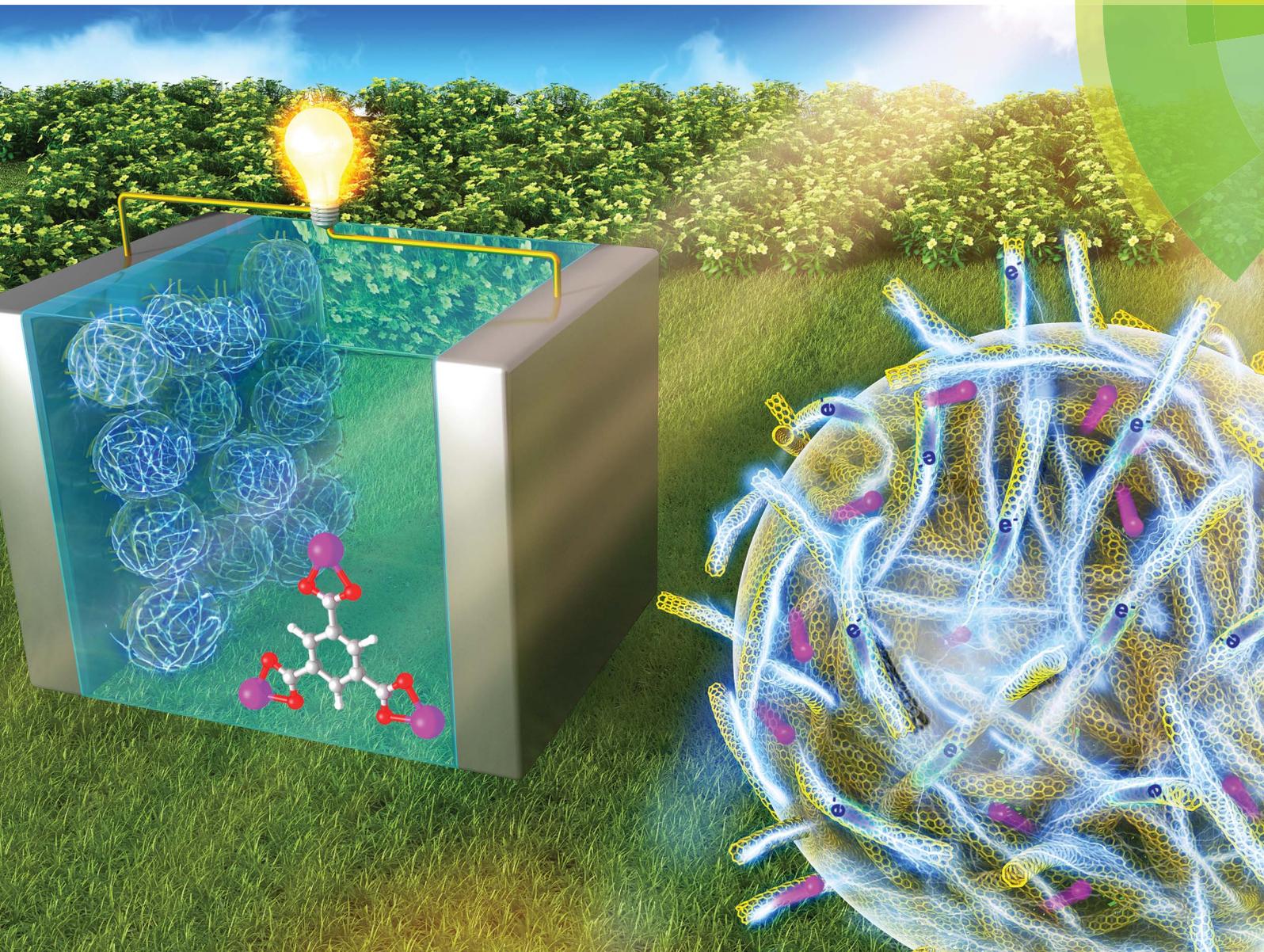


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**PAPER**

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Three-dimensional carbon nanotube networks enhanced sodium trimesic: a new anode material for sodium ion batteries and Na-storage mechanism revealed by ex situ studies

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# Three-dimensional carbon nanotube networks enhanced sodium trimesic: a new anode material for sodium ion batteries and Na-storage mechanism revealed by *ex situ* studies†

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Recently, room temperature sodium ion batteries (SIBs) have attracted considerable attention as one of the promising candidates to replace lithium ion batteries. Nevertheless, achieving high capacity and cycling stability remains a great challenge for the electrode materials of SIBs. Compared to the traditional inorganic electrode materials, organic ones should be more attractive because of their easier sodium (Na)-transport accessibility as well as their diversities of organic skeleton and functional groups. In this work, a new carboxyl-based organic, sodium trimesic (Na<sub>3</sub>TM), is proposed for the first time as an anode material for SIBs, and its Na-storage properties are significantly enhanced by constructing three-dimensional conductive networks of carbon nanotubes (CNT-NWs) in the Na<sub>3</sub>TM microparticles. In comparison to the pure Na<sub>3</sub>TM exhibiting almost inactive Na storage, the prepared CNT-NWs@Na<sub>3</sub>TM composite delivers a reversible capacity of 214.6 mA h g<sup>-1</sup> at 0.1 A g<sup>-1</sup>, and exhibits excellent rate performance with the specific capacities of 149 and 87.5 mA h g<sup>-1</sup> at 1 and 10 A g<sup>-1</sup>, respectively. The CNT-NWs@Na<sub>3</sub>TM also exhibit good cycling performance. More importantly, the Na-storage mechanism of CNT-NWs@Na<sub>3</sub>TM was ascertained using several *ex situ* technologies of Fourier transform infrared spectroscopy, X-ray photoelectron spectroscopy, and <sup>23</sup>Na solid-state nuclear magnetic resonance spectroscopy. It is discovered that the two Na uptake/release processes were reversible during cycling and contributed to the Na-storage capacity except for the 1<sup>st</sup> sodiation process with a three Na uptake.

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## 1. Introduction

In the past two decades, lithium ion batteries (LIBs) have played a significant role in powering diverse electrical equipment, such as portable consumer electronics (including mobile phones, laptops, electronic watches, smart bands, and so on), (hybrid) electric vehicles, and grid-scale energy storage stations.<sup>1–5</sup> With the rapid development of these areas, the increasing use of LIBs will inevitably lead to the shortage of Li sources, and thus the continuous price rise of LIBs in the near future.<sup>6–9</sup> It is therefore becoming more and more urgent to seek appropriate alternatives for LIBs. Amongst all the potential alternatives, room

temperature sodium ion batteries (SIBs)<sup>10</sup> have been the subject of much research interest in recent years<sup>11–13</sup> because sodium is much more abundant, more environmentally friendly and cheaper than Li,<sup>14</sup> and are considered to be the most likely and direct alternative for LIBs because of their almost identical energy storage mechanism.<sup>6,15,16</sup>

For the anode of SIBs, most of the traditional inorganics with superior Li-storage properties<sup>17</sup> do not exhibit the expected Na-storage capacity mainly because of the larger radius of the Na ion compared to that of its Li counterpart.<sup>18</sup> For example, graphitized carbon materials, is one class of the most widely used anode materials for LIBs with a market share of above 90%, but it is very difficult to achieve the reversible Na-insertion/extraction in the common Na<sup>+</sup> electrolyte<sup>19–21</sup> and although the silica (Si) anode can alloy with Li to achieve the highest theoretical Li-storage capacity of 4200 mA h g<sup>-1</sup>,<sup>22</sup> it is almost impossible for the Si to uptake Na when used for SIBs.<sup>23</sup> Thus, it is still a huge challenge to prepare superior anode materials for SIBs.<sup>10</sup> In comparison to the inorganic electrode materials with high crystallinity and rigidity,<sup>24</sup> organic ones usually exhibit much easier Na<sup>+</sup>-transport because of the more flexible skeleton of organics, which means that Na ions are

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more accessible to the electrochemically active functional groups for Na storage in the organic electrodes.<sup>25</sup> Furthermore, the diversities of organic functional groups and countless combinations between them means that there is a vast number of organics that could be selected as electrode materials for SIBs. The organics also have many other benefits for practical applications including recyclability, low cost and nontoxicity. Therefore, organic materials have become one of the most significant research hotspots for SIBs electrodes. In recent years, a lot of Na-storable organics, such as disodium terephthalate ( $\text{Na}_2\text{C}_8\text{H}_4\text{O}_4$ ),<sup>26,27</sup> sodium 2,5-dihydroxy-1,4-benzoquinone ( $\text{Na}_2\text{C}_6\text{H}_2\text{O}_4$ ),<sup>28</sup> perylene 3,4,9,10-tetracarboxylic dianhydride,<sup>25</sup> monosodium terephthalate ( $\text{NaC}_8\text{H}_5\text{O}_4$ ), disodium tetrafluoroterephthalate ( $\text{Na}_2\text{C}_8\text{F}_4\text{O}_4$ ), 1,2,4,5-tetrasodium terephthalate ( $\text{Na}_4\text{C}_{10}\text{H}_2\text{O}_8$ ),<sup>29</sup> and tetrasodium 3,4,9,10-tetracarboxylic dianhydride ( $\text{Na}_4\text{C}_{24}\text{H}_8\text{O}_8$ ),<sup>30,31</sup> have been developed as electrode materials for SIBs.

Nevertheless, using organics as the electrodes of SIBs still leaves one major difficulty, namely, low electronic and ionic conductivities, which severely impede the expression of superior Na-storage properties. In order to make organic electrode materials to be practicable, some appropriate strategies must be developed to increase the conductivities, and thus enhance the kinetics of the electrodes. Among these strategies, one of the main methods is to reduce the particles to the nanometer scale,<sup>32</sup> because nanomaterials cannot only provide a much shorter ion/electron-transfer distance and time but also disperse in the conductive networks (NWs) more uniformly.<sup>17</sup> For example, it has recently been demonstrated that the Na-storage properties of a disodium terephthalate anode can be enhanced by preparing plate-like nanostructures because of their improved electrode kinetics and a new one-step desodiation process.<sup>31</sup> However, the nano strategy has its own deficiency, namely, it lacks practical operability.<sup>33</sup> In addition, the incorporation of highly conductive carbonaceous materials, such as carbon nanotubes (CNTs)<sup>34–36</sup> and graphene,<sup>37–41</sup> is another significant method for improving the electrochemical properties of electrode materials.<sup>42</sup> Although this method had been widely verified to be effective for many inorganic electrodes,<sup>34,43</sup> it is rarely employed to enhance the Na-storage properties of organic electrode materials.<sup>27</sup>

In this research, not only was a new carboxyl-based organic salt (sodium trimesic,  $\text{Na}_3\text{TM}$ ) developed for the first time as an anode for SIBs, but its Na-storage properties were also significantly enhanced by constructing three-dimensional (3D) CNT NWs in the  $\text{Na}_3\text{TM}$  microparticles. When used as an anode material for SIBs, the  $\text{Na}_3\text{TM}$  could deliver a reversible Na-storage capacity of  $214.6 \text{ mA h g}^{-1}$  at a low current density of  $0.1 \text{ A g}^{-1}$ , and exhibited excellent rate performance with the specific capacities of 149 and  $87.5 \text{ mA h g}^{-1}$  at high current densities of 1 and  $10 \text{ A g}^{-1}$ , respectively. It also exhibited good cycling performance. More importantly, the sodiation/desodiation mechanism was preliminarily ascertained using *ex situ* Fourier transform infrared spectroscopy (FTIR), X-ray photoelectron spectroscopy (XPS) and <sup>23</sup>Na solid-state nuclear magnetic resonance (<sup>23</sup>Na SS-NMR) spectroscopy. It was interesting to discover that two of the Na uptake/release processes

were reversible during cycling to contribute to the Na-storage capacity but the 1<sup>st</sup> sodiation process with three Na uptake was not.

## 2. Experimental section

### 2.1 Synthesis

A general acid-base neutralization reaction was employed to synthesize the pure sodium trimesic ( $\text{Na}_3\text{TM}$ ) material. Specifically, 1 g of trimesic acid ( $\text{H}_3\text{TM}$ ) was added to 50 mL ethanol (EA) and stirred until dissolved completely to form the transparent  $\text{Na}_3\text{TM}/\text{EA}$  solution. At the same time, 0.2 g of sodium hydroxide ( $\text{NaOH}$ ) was dissolved in 50 mL of EA to obtain the  $0.1 \text{ mol L}^{-1}$   $\text{NaOH}/\text{EA}$  solution. Subsequently, the prepared  $\text{NaOH}/\text{EA}$  solution was added to the  $\text{Na}_3\text{TM}/\text{EA}$  solution under stirring, and then stirred for a few hours until the formation of white product, which was  $\text{Na}_3\text{TM}$ . In order to collect the  $\text{Na}_3\text{TM}$  sample, the as-obtained suspension was centrifuged and washed several times using EA until the pH was  $\sim 7$ , and then dried at  $60 \text{ }^\circ\text{C}$  for 10 h in a vacuum oven to obtain  $\text{Na}_3\text{TM}$  powder.

To prepare the proposed CNT-NWs@ $\text{Na}_3\text{TM}$  composite,  $\text{Na}_3\text{TM}$  aqueous solution was added to the CNTs/EA suspension, which was obtained by dispersing CNTs in EA under ultrasonication. This process resulted in the formation of a precursor suspension for the preparation of CNT-NWs@ $\text{Na}_3\text{TM}$ . In the suspension, the added volumetric ratio of EA and water ( $\text{H}_2\text{O}$ ) was 2 : 1. After the EA/ $\text{H}_2\text{O}$  liquid was extracted from the suspension in the rotary evaporator at  $60 \text{ }^\circ\text{C}$  under vacuum, the CNT-NWs@ $\text{Na}_3\text{TM}$  bulk could be easily collected. The powder CNT-NWs@ $\text{Na}_3\text{TM}$  composite was finally formed by mechanically crushing the as-obtained bulk material. In the prepared CNT-NWs@ $\text{Na}_3\text{TM}$  composite, the proportion of CNTs was about 20.2% [calculated from the thermogravimetric analysis (TGA) curves], which was close to the added value of about 25%. The difference between the two values might originate from the experimental loss of CNTs in the preparation processes.

### 2.2 Structural characterizations

Powder X-ray diffraction (PXRD) measurements were carried out using a D/Max 200 PC (Rigaku) with Cu K $\alpha$  radiation of  $1.5406 \text{ \AA}$ . The proton nuclear magnetic resonance (<sup>1</sup>H-NMR) spectrums were obtained using an Inova 500 MHz Superconducting NMR spectrometer (Varian). Scanning electron microscopy (SEM) was performed using a 6700F instrument (Jeol) operating at 10 kV, to investigate the morphology and size of the CNT-NWs@ $\text{Na}_3\text{TM}$  composite. The nitrogen ( $\text{N}_2$ ) adsorption-desorption test was carried out at 77.1 K the results were analyzed using AsiQwin software version 3.01 (Quantachrome Instruments). FTIR was performed using a Nicolet 6700 FTIR spectrometer (Thermo Scientific). In order to implement the *ex situ* FTIR tests, the electrode materials containing active CNT-NWs@ $\text{Na}_3\text{TM}$  materials needed to be first washed with dimethyl carbonate and then scraped off from the current collector of copper (Cu) foils at different charge states (pristine, ST 0.3 V, ST 0.01 V, DT



0.35 V, DT 1 V and DT 2 V versus Na<sup>+</sup>/Na) in an argon filled glove box and finally analyzed with the Nicolet 6700 spectrometer using normal procedures. XPS spectra were obtained using an ESCALAB MKII X-ray photoelectron spectrophotometer (VG Instruments). <sup>23</sup>Na SS-NMR data were collected on an AVIII 400 spectrometer (Bruker) working at a <sup>23</sup>Na Larmor frequency of 105.58 MHz. The tests of *ex situ* XPS and <sup>23</sup>Na SS-NMR were carried out using an analogous operation with the *ex situ* FTIR.

### 2.3 Electrochemical measurements

The working electrode consisting of 80% active materials, 10% acetylene black, 10% poly(vinylidene fluoride), then a few drops of *N*-methyl-2-pyrrolidinone solvent were added into a mortar before being cast onto a Cu foil current collector. The electrolyte was 1 M sodium perchlorate mixed with ethylene carbonate (EC) and propylene carbonate (PC) (EC : PC 1 : 1 by volume). The whole the cell was assembled in the argon filled glove box with the concentrations of moisture and oxygen all below 0.1 ppm. The assembled cell was tested using a CT2001A testing system (LAND Instruments) between 0.01 V and 2.0 V with sodium metal as the counter electrodes at room temperature. Electrochemical impedance spectroscopy (EIS) was carried out using a VersaSTAT 3F instrument (Princeton Applied Research) with the frequency ranging from 1 MHz to 100 mHz with an amplitude voltage of 5 mV. The galvanostatic charge–discharge tests were performed on a CT2001A (LAND Instruments) between 0.01 V to 2 V. Cyclic voltammetry (CV) was carried out on a VersaSTAT 3 (Princeton Applied Research) with potential ranges from 0.01 V to 2 V at a scan rate of 0.1 mV s<sup>-1</sup>.

## 3. Results and discussion

Fig. 1a and b are representative SEM images of the prepared composite at different magnifications, and they reveal that it is composed of micrometer sized particles of about 6–10 μm. In the microparticles, as the schematic in Fig. 1c and S1† shows, CNTs are well dispersed in the Na<sub>3</sub>TM substrate and cross-linked with each other to form the 3D conductive networks. Because of the microstructure observed, the as-prepared composite was abbreviated as CNT-NWs@Na<sub>3</sub>TM, suggesting that the active Na<sub>3</sub>TM material has been well implanted into the CNT NWs. Such a specific structure will be very helpful in improving the Na-storage properties, and in making the as-prepared CNT-NWs@Na<sub>3</sub>TM composite exhibit a much enhanced electrochemical performance compared to the control of pure Na<sub>3</sub>TM microparticles (see Fig. S2†). This is demonstrated in the following electrochemical sections in this paper.

In addition to the morphology and microstructures, the chemical composition and crystalline phase of CNT-NWs@Na<sub>3</sub>TM were further investigated using <sup>1</sup>H-NMR, FTIR, and PXRD. In the <sup>1</sup>H-NMR spectrum (Fig. 1d), there are no obvious peaks of impurities, except for the ones located at 8.274 and 4.708 ppm for H in Na<sub>3</sub>TM and deuterium oxide (D<sub>2</sub>O) solvent, respectively, demonstrating the high purity of Na<sub>3</sub>TM in the CNT-NWs@Na<sub>3</sub>TM composite with ~20.2% CNT by weight (calculated from the curves of TGA as shown in Fig. S3†). Fig. 1e compares the FTIR spectra of CNT-NWs@Na<sub>3</sub>TM and the raw material of trimestic acid (TMA). For TMA, the peaks located at

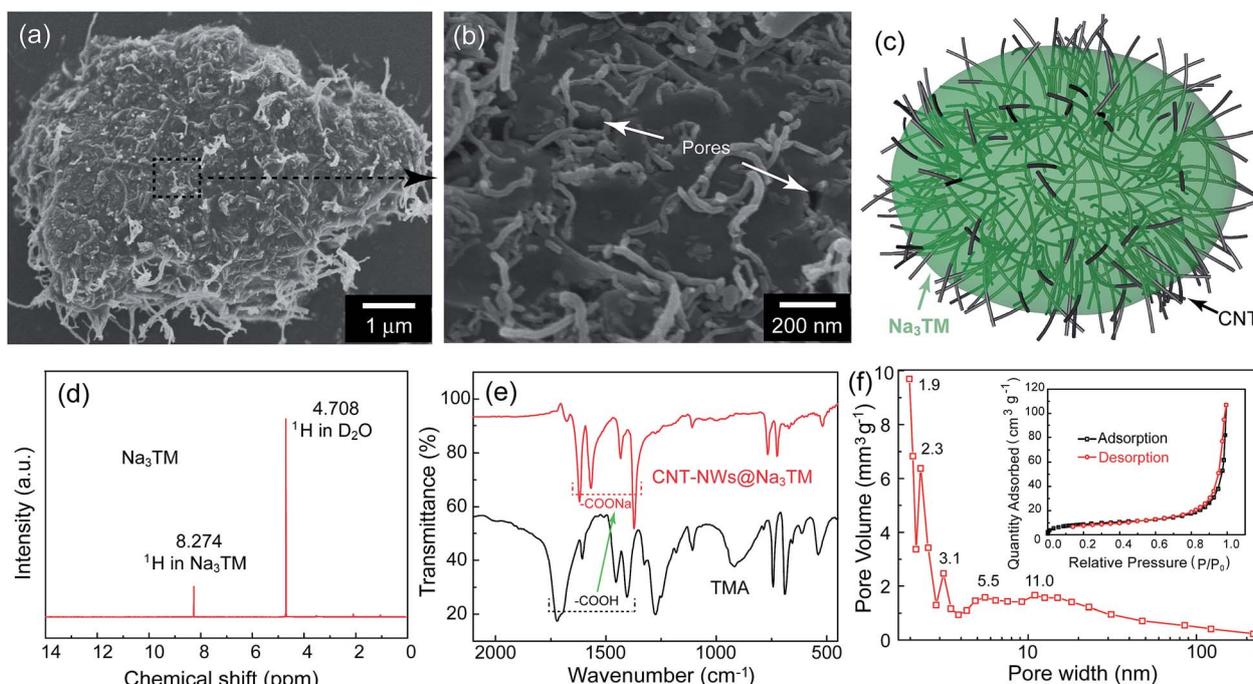


Fig. 1 (a and b) Representative SEM images and (c) schematic illustration of the prepared CNT-NWs@Na<sub>3</sub>TM composite. The SEM images are shown at different magnifications. (d) <sup>1</sup>H-NMR spectrum of pristine Na<sub>3</sub>TM used to prepare the CNT-NWs@Na<sub>3</sub>TM composite. (e) A comparison of the FTIR spectra of the raw material of TMA and the prepared CNT-NWs@Na<sub>3</sub>TM. (f) The pore size distribution pattern of the CNT-NWs@Na<sub>3</sub>TM composite calculated from the corresponding N<sub>2</sub> adsorption/desorption isotherm (inset of (f)).



1720, 1606, 1454 and 1406  $\text{cm}^{-1}$  originate from the infrared absorption of carboxylic acid ( $-\text{COOH}$ ) groups. After the neutralization reaction between TMA and NaOH, the four peaks redshift to 1620, 1567, 1433 and 1371  $\text{cm}^{-1}$ , respectively, with the disappearance of original ones, indicating the full conversion of the  $-\text{COOH}$  groups in TMA to sodium carboxylate ( $-\text{COONa}$ ) and thus the formation of  $\text{Na}_3\text{TM}$ . Furthermore, the Barrett–Joyner–Halenda (BJH, Fig. 1f) pattern calculated from the desorption branch of the  $\text{N}_2$  adsorption/desorption isotherm (inset of Fig. 1f) demonstrates the presence of hierarchical meso/micropores of 2–100 nm in the CNT-NWs@ $\text{Na}_3\text{TM}$ . The pores should be derived from the interspaces between  $\text{Na}_3\text{TM}$  and CNTs as denoted by the arrows in the high magnification SEM image of Fig. 1b. For the pure  $\text{Na}_3\text{TM}$  material, the pore volume is only  $0.014 \text{ cm}^3 \text{ g}^{-1}$  with a few meso/micropores obtained from the BJH (Fig. S4†), which is obviously lower than that of  $0.082 \text{ cm}^3 \text{ g}^{-1}$  for the prepared CNT-NWs@ $\text{Na}_3\text{TM}$  composite. In the electrochemical processes, the pores can serve as the electrolyte transport channels and buffering reservoirs to facilitate the ion-transport kinetics and thus enhance the Na-storage properties.

In order to evaluate the potential application of CNT-NWs@ $\text{Na}_3\text{TM}$  as an anode material for SIBs, its Na-storage properties were first tested in the half cells with metallic Na foils as counter electrodes. Fig. 2a shows the pattern of capacity retention together with the variation of current densities ( $j$ ) between 0.1 and  $10 \text{ A g}^{-1}$ . It was shown that the CNT-NWs@ $\text{Na}_3\text{TM}$  can deliver a reversible Na-storage capacity of about  $214.6 \text{ mA h g}^{-1}$  at a low  $j$  of  $0.1 \text{ A g}^{-1}$ . Note that all the specific capacities for the  $\text{Na}_3\text{TM}$ -containing materials were calculated based on the mass of  $\text{Na}_3\text{TM}$ . When  $j$  increases to 1 and  $10 \text{ A g}^{-1}$ , the specific capacities delivered are still about 149 and  $87.5 \text{ mA h g}^{-1}$ , respectively, which shows the excellent rate

performance of the as-prepared CNT-NWs@ $\text{Na}_3\text{TM}$  composite. More importantly, after the high  $j$  ( $10 \text{ A g}^{-1}$ ) cycles, the specific capacities can recover to the previous values when  $j$  gradually changes back to  $0.1 \text{ A g}^{-1}$ . Such a characteristic is very significant for practical applications because it can adapt well to the random changes of the working current as cycling. Furthermore, the CNT-NWs@ $\text{Na}_3\text{TM}$  also exhibits excellent cycling performance when used as the anode material for SIBs. As shown in Fig. 2b, at a  $j$  of  $0.5 \text{ A g}^{-1}$ , the capacity retention is up to 88.9% after 500 cycles with a decrease of specific capacities from 168.2 to  $149.6 \text{ mA h g}^{-1}$ . The corresponding capacity attenuation is only about 0.0221% per cycle. The excellent cycling performance is obtained from the high stability of the CNT-NWs@ $\text{Na}_3\text{TM}$  electrode during cycling (SEM images after 100 cycles, Fig. S5†). The coulombic efficiencies can rapidly reach 99–100% after the initial low value of  $\sim 48\%$  at the 1<sup>st</sup> cycle. Fig. 2c shows the Nyquist plots of the EIS at the fresh state and after 20/40 cycles at  $0.1 \text{ A g}^{-1}$ , from which it is very obvious that the charge-transfer resistances ( $R_{\text{ct}}$ ) of the electrodes remain almost constant during cycling except for the fresh electrode with the obviously much larger  $R_{\text{ct}}$  value. This demonstrates the outstanding stability of the CNT-NWs@ $\text{Na}_3\text{TM}$  electrodes during cycling after the initial activation (the electrolyte infiltration).

It is worth mentioning that the as-prepared CNT-NWs@ $\text{Na}_3\text{TM}$  composite exhibits much better Na-storage properties than either pure  $\text{Na}_3\text{TM}$  or CNTs materials. If there is no CNT-NW incorporation in the  $\text{Na}_3\text{TM}$  microparticles (Fig. S2†), the reversible Na-storage capacity delivered is less than  $8 \text{ mA h g}^{-1}$  even at a low  $j$  of  $0.1 \text{ A g}^{-1}$  (Fig. S6a† and 2b). Meanwhile, as shown in Fig. S6b,† pure CNTs also deliver a very low Na-storage capacity of  $37.5 \text{ mA h g}^{-1}$ . The much enhanced Na-storage properties of CNT-NWs@ $\text{Na}_3\text{TM}$  composite should benefit from its unique CNT-NWs-incorporating structure in the  $\text{Na}_3\text{TM}$  microparticles, in which CNTs act as highly conductive networks to achieve the  $\text{Na}_3\text{TM}$  material for Na-storage. As shown in Fig. 2d, during the Na-uptake/release processes, the crosslinked CNTs act as the 3D expressway for electronic conduction, while sodium ions are transferring into/out of the active and porous  $\text{Na}_3\text{TM}$  materials. The synergistic processes of electron conduction and ion transport facilitate the electrode kinetics and thus achieve the fast, stable and complete Na storage (superior rate and cycling performance with high Na-storage capacity).

For a new electrode material for SIBs, studying the Na-uptake/release processes to reveal the Na-storage mechanism should be a more significant task than the performance evaluation. Thus, the Na-storage mechanism of CNT-NWs@ $\text{Na}_3\text{TM}$  was ascertained by combining the analyses of CV and galvanostatic curves with the *ex situ* FTIR, XPS and  $^{23}\text{Na}$  SS-NMR tests. Fig. 3a shows the representative CV curves of the initial five cycles, disclosing the obvious difference between the 1<sup>st</sup> sodiation and the following sodiation/desodiation processes. In the 1<sup>st</sup> sodiation process, there are three clear cathodic peaks at about 0.49, 0.36 and 0.03 V *versus*  $\text{Na}^+/\text{Na}$ , which should be attributed to the stepwise three-electron ( $3\text{e}^-$ ) sodiation with the one-electron ( $1\text{e}^-$ ) reaction per step. Interestingly, the anodic/

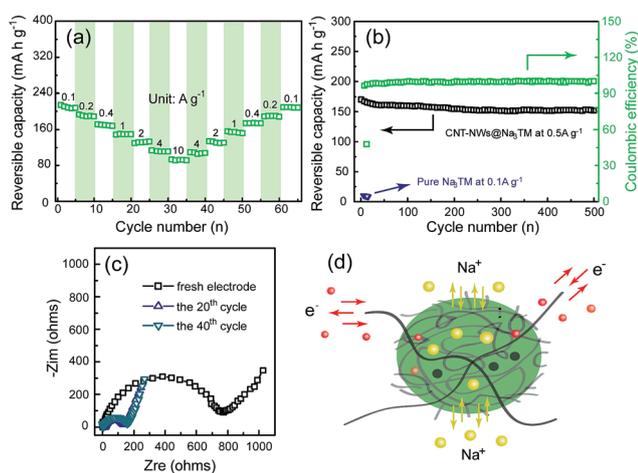


Fig. 2 (a) Rate performance of the CNT-NWs@ $\text{Na}_3\text{TM}$  composite at different current densities from 0.1 to  $10 \text{ A g}^{-1}$ . (b) The comparison of cycling performance between CNT-NWs@ $\text{Na}_3\text{TM}$  and pure  $\text{Na}_3\text{TM}$  cycled between 0.01 and 2 V *versus*  $\text{Na}^+/\text{Na}$ . (c) Nyquist EIS plots of the CNT-NWs@ $\text{Na}_3\text{TM}$  electrode in the fresh cell as well as after 20 and 40 cycles. (d) Schematic illustration of the CNT-NWs@ $\text{Na}_3\text{TM}$  to show the electronic and ionic transport.



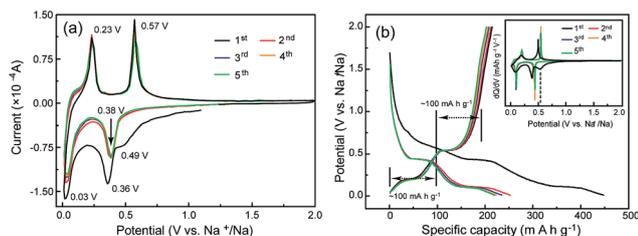
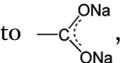


Fig. 3 (a) CV profiles and (b) galvanostatic curves of the CNT-NWs@Na<sub>3</sub>TM electrodes of the initial five cycles. The CV was scanned at the scan rate of 0.1 mV s<sup>-1</sup>, and galvanostatic test was cycled at the current density of 0.1 A g<sup>-1</sup>. Inset of (b) the corresponding differential capacity plots.

cathodic peaks become two couples and stabilize at about 0.38/0.57 V and 0.03/0.23 V in the subsequent cycles, implying that the overall redox reaction has changed from 3e to two-electron (2e) processes. Furthermore, the cathodic current in the 1<sup>st</sup> sodiation process is clearly higher compared to the following ones below 1.0 V versus Na<sup>+</sup>/Na, and this is derived from the formation of stable solid-state interphase (SEI) layers. These inferences were further verified from the galvanostatic curves (Fig. 3b), whose differential capacity plots (inset in Fig. 3b) exhibit almost the same patterns as the CV curves. As shown in Fig. 3b, only two couples of plateaux are reversible in the non-first cycles, and the specific capacity of each charge/discharge plateau is around 100 mA h g<sup>-1</sup>, which is very close to the theoretical capacity (97.1 mA h g<sup>-1</sup>) of the 1e reaction for the Na<sub>3</sub>TM material. Obviously, the specific capacity in the 1<sup>st</sup> sodiation process is much higher than those in the subsequent cycles. The excess value should be because of one more Na is stored and the formation of SEI layers, which are consistent with the CV tests. In addition, the delivered reversible capacity of CNT-NWs@Na<sub>3</sub>TM is 214.6 mA h g<sup>-1</sup>, which is slightly higher than the theoretical value of 194.2 mA h g<sup>-1</sup> corresponding to the 2e reaction. The more specific capacity of 20.4 mA h g<sup>-1</sup> (the difference between the previous two values) should originate from the Na storage in the interlayers of the benzene rings.<sup>28,44,45</sup>

To confirm the previously mentioned 2e reversible mechanism of the carbonyl groups, the electrodes at different cut-off potentials (sodiation/desodiation states; ST/DT) were further detected using several *ex situ* technologies. Fig. 4a is the FTIR spectra at the pristine state, the ST of 0.3 V and 0.01 V, and the DT of 0.35 V, 1.0 V and 2.0 V. The selection of the potential values for an improved study of the change of functional groups during sodiation/desodiation processes was mainly based on the plateaux in the galvanostatic curves (Fig. 4b). In the FTIR spectra obtained, the peaks located at 1640 cm<sup>-1</sup> and 1080 cm<sup>-1</sup>, can be assigned to the stretching vibrations of the C=O and C-O bonds, respectively, in the -COO groups. In the pristine state, the ratio of both is theoretically 1 : 1, namely, the percentage of C=O and C-O is the same at 50%. Despite this, the intensity of C=O vibration is obviously stronger than that of C-O vibration because of the nature of functional groups for the infrared response. Together with the reduction of cut-off potential in the discharge process, the C=O peaks weaken gradually, whereas the C-O one becomes strong, suggesting the gradual decrease/increase of C=O/C-O bonds. This mainly originated from the stepwise sodiation of Na<sub>3</sub>TM material with the gradual transformation of functional groups from  to , resulting in the stepwise formation of Na<sub>4</sub>TM,

Na<sub>5</sub>TM and finally Na<sub>6</sub>TM. For the opposite charge process, the ratio change of peak intensities is contrary to the previous one for the discharge, demonstrating the reversibility of the sodiation/desodiation reactions. It should be noted that, in comparison to the pristine electrode, the peak intensity of the C-O group could not be reinstated completely when desodiated to 2.0 V, namely, the FTIR peak for C-O groups at DT 2.0 V is stronger than that for the pristine electrode. This suggests the existence of more C-O groups at the DT of 2.0 V compared to the pristine state, because the theoretical percentage of C-O groups has increased to 66.7% because of the formation of partly (1e more) sodiated Na<sub>4</sub>TM. Additionally, the stronger C-O peaks should be also derived from the formation of the SEI layers.

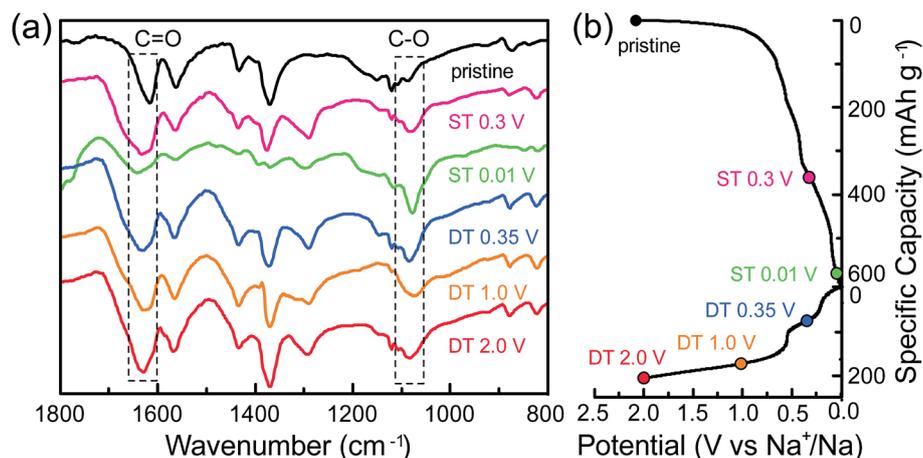


Fig. 4 (a) The *ex situ* FTIR spectra for the CNT-NWs@Na<sub>3</sub>TM electrodes cut off at the different sodiated/desodiated potentials. (b) The corresponding galvanostatic curves to show the cut-off potential.



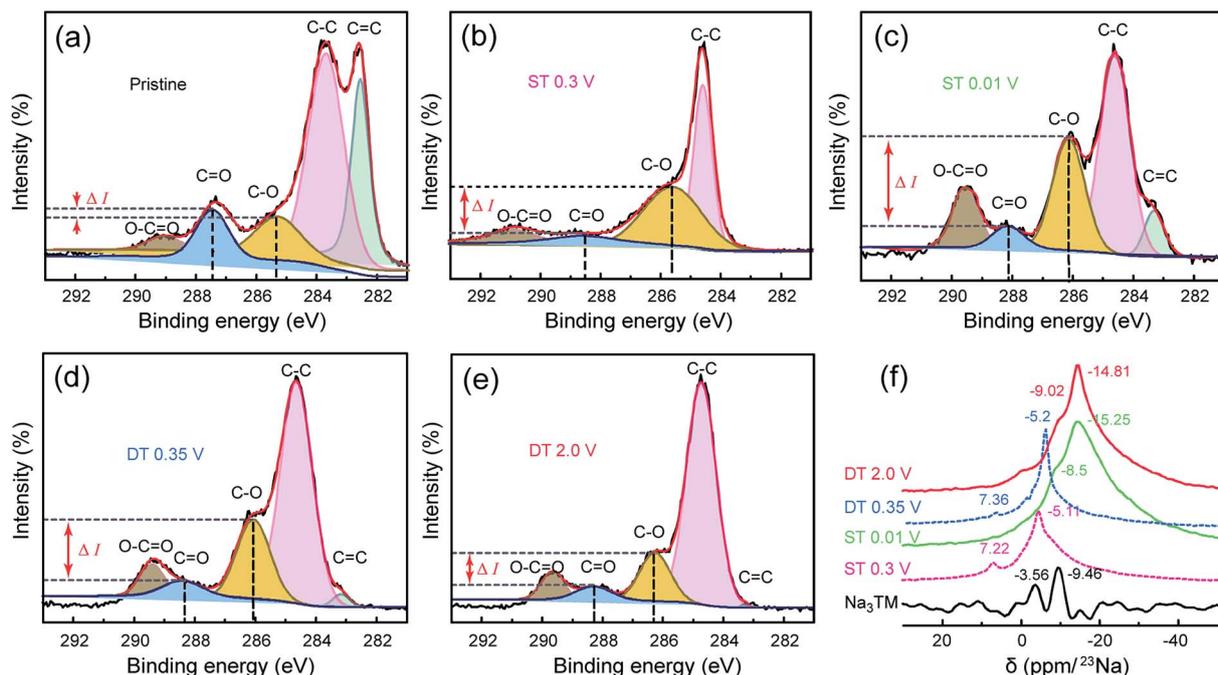


Fig. 5 (a–e) The deconvoluted C 1s XPS and (f)  $^{23}\text{Na}$  SS-NMR spectra for the CNT-NWs@ $\text{Na}_3\text{TM}$  electrodes cut off at the different sodiated/desodiated potentials, which are the same as those shown in Fig. 4.

Fig. 5a–e further shows the high resolution C 1s XPS spectra of the electrode materials at the same ST/DT given in the FTIR discussion. From the deconvolution results, the peaks for C–O and C=O bonds clearly exist in all the spectra, and the C–O/C=O ratio changes of both peak intensities and peak areas are consistent with the previously discussed FTIR results obtained during cycling. As shown in Fig. 5a, the intensity difference ( $\Delta I$ ) between the C–O and C=O peaks is very small for the pristine  $\text{Na}_3\text{TM}$  electrode. In the sodiation processes, such  $\Delta I$  values increase together with the potential drop and reach the highest one at the lowest voltage, ST = 0.01 V, and then decreases as in desodiation. The  $\Delta I$  cannot be restored to the pristine value, which can also be attributed to the previously determined inferences of only 2e reversible redox reactions and SEI formation. In addition, as shown in Table S1,<sup>†</sup> the C–O/C=O ratio of the calculated peak area also exhibits the same variation rules, further demonstrating the correctness of the inferred Na-storage mechanism and processes, which is also corroborated by the results of the  $^{23}\text{Na}$  SS-NMR tests. As shown in Fig. 5f, the  $^{23}\text{Na}$  SS-NMR patterns of two intermediate states at ST 0.3 V and DT 0.35 V are almost the same as the two peaks centered at the chemical shifts of  $-7.3 \pm 0.1$  and  $-5.15 \pm 0.05$  ppm, respectively, suggesting the reversibility of sodiation/desodiation processes.<sup>46</sup> In comparison to such intermediate states, the completely sodiated/desodiated electrodes at ST 0.01 V and DT 2.0 V exhibit the stronger resonance peaks at the high chemical shift of  $-15 \pm 0.5$  ppm and larger peak areas, demonstrating the more concentrated Na configurations of  $-\text{C}(\text{ONa})(\text{ONa})$  and  $-\text{COONa}$  at ST 0.01 V and DT 2.0 V, respectively.<sup>47</sup> Note that the NMR pattern of pure  $\text{Na}_3\text{TM}$  is obviously different from the other samples after electrochemical tests, which ought to

originate from the electrochemically sodiated carbon black (Super P) and the formation of SEI films on the electrode surface.<sup>48</sup>

In order to more clearly show the Na-storage mechanism of the as-prepared CNT-NWs@ $\text{Na}_3\text{TM}$  composite, the Na-uptake/release processes are illustrated in Fig. 6 and are based on the previously studied results of CV, galvanostatic curves, *ex situ* FTIR, XPS, and  $^{23}\text{Na}$  SS-NMR spectra. It is shown that the electrochemical reaction of the 1<sup>st</sup> sodiation is an obvious 3e ( $3\text{Na}^+$ ) transferring process with stepwise Na-uptake from the  $\text{Na}_3\text{TM}$  raw material to  $\text{Na}_4\text{TM}$ ,  $\text{Na}_5\text{TM}$ , and finally  $\text{Na}_6\text{TM}$ . In

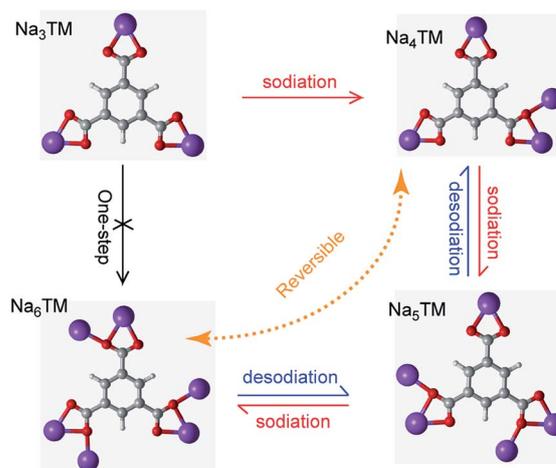


Fig. 6 Illustration of the possible sodiation/desodiation mechanism of the as-prepared CNT-NWs@ $\text{Na}_3\text{TM}$  composite.



the following desodiation processes, the Na<sub>6</sub>TM formed cannot recover to the initial Na<sub>3</sub>TM instead of the 1e-sodiated Na<sub>4</sub>TM. After the 1<sup>st</sup> cycle, the active materials for Na-storage convert reversibly between Na<sub>4</sub>TM (oxidation product) and Na<sub>6</sub>TM (reduction product) with the formation of an Na<sub>5</sub>TM intermediate during the subsequent cycles. In other words, the presently proposed CNT-NWs@Na<sub>3</sub>TM anode is 2e reversible during cycling except for the 1<sup>st</sup> sodiation process with 3Na uptake, and the Na-uptake/release processes are stepwise with one Na transfer per step.

## 4. Conclusions

In summary, one new anode material, Na<sub>3</sub>TM, was proposed for SIBs, and the Na-storage properties were significantly enhanced by embedding 3D CNT networks in its microparticles. Although the pure Na<sub>3</sub>TM was almost inactive for Na storage, the as-prepared CNT-NWs@Na<sub>3</sub>TM composite could deliver a reversible capacity of 214.6 mA h g<sup>-1</sup> at 0.1 A g<sup>-1</sup>, and exhibit outstanding rate capability (*e.g.*, the specific capacities delivered were still 149 and 87.5 mA h g<sup>-1</sup> at the current density of 1 and 10 A g<sup>-1</sup>, respectively), and good cycling performance. Furthermore, the *ex situ* tests of FTIR, XPS and <sup>23</sup>Na SS-NMR in combination with the analyses of CV and galvanostatic curves were implemented to ascertain the Na-storage mechanism of the new developed CNT-NWs@Na<sub>3</sub>TM anode. It was disclosed that only 2Na storage was reversible after the initial 3Na uptake, and the Na-uptake/release processes were stepwise with one Na transfer per step. Because of the high conductivity of CNTs and the feasibility of constructing 3D CNT-NWs, the presently proposed strategy of embedding 3D CNT-NWs is expected to be universally used to improve the Na-storage properties of organic electrodes, the cutting-edge but low conductive electrode materials for SIBs as well as LIBs.

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