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Stoichiometric water splitting using a p-type Fe₂O₃ based photocathode with the aid of a multi-heterojunction†

Keita Sekizawa,* Keiichiro Oh-ishi, Keita Kataoka, Takeo Arai, Tomiko M. Suzuki and Takeshi Morikawa

Fe₂O₃-based photocathodes are one of the least expensive options for hydrogen generation by water splitting. Although p-type N,Zn-doped Fe₂O₃ (N,Zn-Fe₂O₃) has been reported to possess a negative conduction band minimum position sufficient for photocathodic hydrogen generation, the efficiency and stability of the resulting H₂ production is low and the reaction is sacrificial. In the present work, analysis by hard X-ray photoelectron spectroscopy (HAXPES) showed that these negative characteristics result from the self-redox reaction of p-type Fe₂O₃. Based on this result, a TiO₂ layer was introduced onto the surface of p-type N,Zn-Fe₂O₃ to passivate surface defects. In addition, to ensure efficient electron transfer, a thin Cr₂O₃ layer was also inserted between N,Zn-Fe₂O₃ and a bottom side conductive oxide layer to generate a favorable band alignment for hole transfer. The resulting Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ electrode exhibits a highly stable, significantly enhanced cathodic photocurrent during H₂ production under AM 1.5 irradiation. The mechanism providing this improvement was investigated by combining electrochemical impedance spectroscopy, open-circuit voltage decay analysis and scanning tunneling electron microscopy-energy dispersive X-ray spectroscopy. Stoichiometric water splitting without an external electrical bias was also demonstrated by connecting the Fe₂O₃-based photocathode to an n-type SrTiO_{3-x} photoanode, representing the first-ever example of stoichiometric overall water splitting using an Fe-based photocathode.

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Introduction

The conversion of solar energy to storable chemical fuel is an attractive and sustainable means of meeting increasing global energy requirements. Photoelectrochemical (PEC) splitting of water into H₂ and O₂ is a promising method to directly convert solar energy into fuel. The dual bandgap PEC cell, which connects a p-type photocathode for H₂ evolution and an n-type photoanode for O₂ evolution, can drive overall water splitting while utilizing a wide wavelength region of solar light and without application of an external voltage. Although efficient water splitting with such PEC cells has been previously reported, most such systems involve the use of expensive p-type semiconductors, such as InP and GaP.¹ To expand the practical use of PEC systems for the collection of solar light from a widespread area will require construction from cheap semiconductors composed of abundant elements. Recently, dual PEC cells that utilize low-cost p-type

photocathodes such as CaFe₂O₄ (ref. 2) and surface-modified Cu₂O^{3,4} have been reported. However, the overall water splitting reaction is unstable when using these materials, possibly due to a self-redox reaction that occurs, and the amounts of H₂ and O₂ deviate from the stoichiometric quantities of photogenerated electrons and holes.

Hematite (α -Fe₂O₃) has a 2.1 eV bandgap and is one of the most abundant and low-cost semiconductor materials capable of absorbing a substantial amount of solar light. The doping of α -Fe₂O₃ with cations such as Mg²⁺, Zn²⁺ and Cu²⁺, or with anions such as N³⁻, can induce p-type conduction.⁵⁻⁹ It was recently reported that N and Zn-codoped Fe₂O₃ exhibits an improved cathodic photocurrent during O₂ reduction and higher band potentials compared to undoped Fe₂O₃.¹⁰ The negative band edge shift was expected to be due to a surface dipole moment induced by N-doping and Zn-doping. Morikawa *et al.* have reported that N-doped Ta₂O₅ films exhibit a negative band edge shift of 0.9 V compared to undoped Ta₂O₅.¹¹ This N-Ta₂O₅ generates hydrogen under visible light irradiation *via* the photocathodic water splitting reaction,¹² while no photoreaction occurs at the undoped Ta₂O₅ anode. Density functional theory calculations by Jinnouchi *et al.* suggest that the surface dipole moments generated by nitrogen doping would result in the negative band edge shift.¹³ Hikita *et al.* also reported band

Toyota Central R&D Labs., Inc., 41-1 Yokomichi, Nagakute, Aichi 480-1192, Japan.
E-mail: e1655@mosk.tytlabs.co.jp

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edge shift due to surface dipole moment. They demonstrated modulation of the flat band potential of SrTiO₃ photoanodes by as much as 1.3 V, by generating subsurface electrostatic dipoles near a Nb-doped SrTiO₃/aqueous electrolyte interface *via* the formation of a surface LaAlO₃ layer.¹⁴ In the same manner, a sputter-deposited N,Zn-codoped Fe₂O₃ film is expected to exhibit a similar shift in band edge energy. Actually, the edge shift was observed for doping N alone, Zn alone, and N,Zn-codoping.^{8,10} The conduction band minimum (CBM) of N,Zn-Fe₂O₃ lies higher than the standard electrode potential for H₂ generation from water.

Although p-type Fe₂O₃ has potential applications as a photocathode for H₂ generation from water, the reported efficiencies of p-type Fe₂O₃ electrodes during H₂ generation have been minimal. Several reasons have been suggested for this low activity, including poor carrier mobility,¹⁵ short photogenerated charge carrier lifetimes,¹⁶ the leaking of electrons into the electrolyte,¹⁷ and photochemical dissolution under reductive conditions.¹⁸ These problems can all be attributed to defect states on the surface and bulk of the Fe₂O₃. However, to the best of our knowledge, one cannot directly observe the surface defects on a Fe₂O₃ photoelectrode. Using standard XPS, it is difficult to resolve the close Fe²⁺ and Fe³⁺ peaks. In addition, the outermost electrode surface tends to undergo air oxidation prior to XPS observations. Non-destructive hard X-ray photoelectron spectroscopy (HAXPES) in conjunction with wide angular resolution and intense light irradiation at the SPring-8 (Super Photon ring-8 GeV) synchrotron radiation facility (Hyogo, Japan) has, however, resulted in higher energy resolution and greater depth profiles (*ca.* 30 nm) than conventional XPS, based on the analysis of the take-off angle dependence of the photoelectron spectra.^{19–21} In the present work, we attempted to observe defect states by HAXPES to investigate the causes of the low activity of Fe₂O₃ photoelectrodes.

The formation of a heterojunction in the photoelectrode is expected to prevent the formation of defect states during the PEC process. In addition, the potential of the VB and CB edges around the interface of the heterojunction will exhibit a gradient due to the diffusion of charge carriers between two semiconductors having different Fermi levels. This band bending can enhance both photogenerated charge separation and carrier transfer, thus improving the PEC performance.^{22–27} Moreover, the formation of a surface junction layer is expected to passivate the surface state^{28,29} and protect the unstable semiconductor surface from side reactions such as reductive dissolution or photocorrosion.^{30,31} Therefore, if a rigid junction structure is constructed using a stable semiconductor having an appropriate band alignment, it should be possible to improve the photoelectrode performance.

In the present work, significant enhancement of hydrogen generation by water splitting over a photocathode based on p-type Fe₂O₃ was realized following the introduction of heterojunctions. Overall solar water splitting was also demonstrated using an unassisted tandem (two electrodes) system in combination with a SrTiO_{3–x} photoanode. Here p-type N,Zn-Fe₂O₃ (ref. 10) was employed as a photoabsorber, in conjunction with an n-type TiO₂ coating to ensure both passivation and charge

separation. Pt, acting as a cocatalyst for H₂ production, was deposited on the TiO₂ layer and a Cr₂O₃ layer was inserted to provide enhanced hole transfer between a bottom transparent conductive oxide (TCO) layer and N,Zn-Fe₂O₃.

Experimental section

Materials

A tin(IV) oxide/indium tin oxide (ITO) double layered transparent conducting glass (TCO; Geomatec Co., Ltd) was used as the substrate for the electrodes. Commercially available Fe₂O₃, ZnO, TiO₂, Cr and Pt sputtering targets were obtained from Kojundo Chemical Laboratory Co.

Preparation

A N,Zn-Fe₂O₃ film with a thickness of *ca.* 190 nm was deposited by radio frequency (RF) reactive magnetron sputtering of the Fe₂O₃ and ZnO targets with an Ar/N₂ (4 : 1 v/v) plasma.¹⁰ The input power values used for sputtering of Fe₂O₃ and ZnO were 600 and 35 W, respectively. The sputtering substrate was SnO₂/ITO layered glass with or without a 10 nm thick Cr layer deposited by RF magnetron sputtering with an Ar plasma. After deposition of the N,Zn-Fe₂O₃ layer, the electrodes were annealed at 823 K under a N₂/O₂ (4 : 1 v/v) gas flow for 2 h.

Subsequently, a 10 or 60 nm thick TiO₂ layer was deposited on the surface of the N,Zn-Fe₂O₃ thin film by RF reactive magnetron sputtering of a TiO₂ target with an Ar/O₂ (4 : 1 v/v) plasma. The deposited electrodes were then annealed at 748 K under an O₂ gas flow for 2 h.

The Pt cocatalyst was loaded onto either TiO₂-coated or bare N,Zn-Fe₂O₃ specimens by RF magnetron sputtering. The amount of Pt applied was adjusted to obtain a thickness of 1 nm.

The n-SrTiO₃ photoanode was fabricated using a method described in a previous publication.³² Briefly, (100)-oriented SrTiO₃ (Shinkosha Co.) was reduced by heating at 1073 K for 2 h in a quartz tube furnace in a N₂/H₂ (97 : 3 v/v) gas flow, followed by cooling for 7 h. The transparent SrTiO₃ crystals took on a dark blue coloration.

Photoelectrochemical measurements

PEC assessments of photocathode materials were conducted using an electrochemical analyzer (ALS2325, ALS Co., Ltd), in conjunction with a three-electrode configuration. The photocathode, a silver–silver chloride (Ag/AgCl) electrode and a platinum electrode were used as the working, reference and counter electrodes, respectively. In these trials, the exposed TCO layer of the photocathode was covered with silicone rubber, and all potentials were converted to the reversible hydrogen electrode (RHE) reference scale using the equation $E(\text{vs. RHE}) = E(\text{vs. Ag/AgCl}) + 0.20 \text{ V} + 0.059 \text{ V} \times \text{pH}$. A sealed Pyrex® glass cell was employed as the reactor and Ar-saturated aqueous 0.2 M K₂SO₄ (pH 5.8) or 0.5 M NaHCO₃–Na₂CO₃ (1 : 1; pH 9.7) solutions were used as the electrolytes. The electrode was irradiated with a light intensity equivalent to one sun (AM 1.5; 100 mW cm^{–2}) using a solar simulator (HAL-320, Asahi Spectra Co.). Prior to



exposure, the light intensity was adjusted using a CS-20 instrument (Asahi Spectra Co.). The sample irradiation area was limited to an area of 10×10 mm *via* a slit. Linear sweep voltammetry was conducted at a scan rate of 50 mV s^{-1} under chopped light irradiation. The PEC water splitting reaction, in conjunction with the application of an electrical bias, was conducted while measuring the photocurrent generated under continuous irradiation at a fixed electrode potential of $-0.5 \text{ V vs. Ag/AgCl}$. After incubation for 30 min to allow the products to equilibrate between the liquid and gas phases, the gaseous reaction products were analyzed by gas chromatography (GC; GC-2014, Shimadzu Co.), employing a thermal conductivity detector (TCD), an active carbon column (Shincarbon ST, Shinwa Chemical Industries Co.) and Ar as the carrier gas. Incident photon to current efficiency (IPCE) spectra were acquired under monochromatic light generated by a 300 W xenon lamp (MAX-303, Asahi Spectra Co.), using band-pass filters to obtain specific wavelengths.

Water splitting reaction with the tandem cell

The anode and cathode electrodes were immersed in aqueous 0.2 M KHCO_3 in a sealed Pyrex® cell. The electrolyte solution was purged with Ar prior to each trial. Both the electrodes were connected to a digital multimeter (PC7000, Sanwa) to record the current in a two-electrode configuration without the application of an external potential bias. The anode was subsequently exposed to light at one sun intensity, while the cathode was irradiated by the light transmitted through the anode. The illuminated area during these trials was 10×10 mm. Following an incubation period of 30 min to allow the products to reach gas–liquid equilibrium, the gaseous products were analyzed by TCD-GC.

Characterization

Scanning electron microscopy (SEM) observations were conducted using an S5500 microscope (Hitachi High-Tech). Scanning transmission electron microscopy (STEM) together with energy dispersive X-ray spectroscopy (EDX) was performed with a JEM-2100F microscope (Jeol Co.). Samples for these observations were cut out using a focused ion beam (FIB; NB5000, Hitachi High-Tech Co.). Prior to cutting the samples, the film surfaces were coated with Pt and W layers to protect the specimens from the FIB. The crystal structures of the films were analyzed using X-ray diffraction (XRD; Ultima IV, Rigaku Co.) with Cu $K\alpha$ radiation, and the optical properties of the films were assessed by UV-vis absorption spectroscopy (UV-3600, Shimadzu Co.). The Raman spectra were measured with a NRS-3300 (Jasco) using a 532 nm excitation light. The amounts of Fe and Zn dissolved in the reaction solution during the PEC water splitting reaction were determined by inductively coupled plasma optical emission spectrometry (ICP-OES, CIRCOS 120EOP, Rigaku). The positions of the valence band maximum of each semiconductor were estimated by photoelectron spectroscopy in air (PESA; AC2, Riken Keiki). These PESA measurements were conducted after immersion of the specimens in $0.5 \text{ M NaHCO}_3\text{-Na}_2\text{CO}_3$ (1 : 1; pH 9.7) to adjust

the surface pH. The irradiated photon energy (PE) was converted to the RHE reference scale using the equation $E (\text{V vs. RHE}) = \text{PE} - 4.44 \text{ V} + 0.059 \text{ V} \times \text{pH}$. XPS data were acquired using a Quantera SXM (Ulvac-Phi Co.) spectrometer, and HAXPES (operating at 7.94 keV) was performed at the BL47XU beamline of SPring-8. The energy and angular distributions of the photoelectrons were determined with a hemispherical analyzer (R4000-HV, VG-Scienta). The objective lens of the HAXPES instrument had an effective acceptance angle of approximately $\pm 30^\circ$, and the stability of the system was ascertained using the Au $4f_{7/2}$ photoelectron peak of a Au film on a Si substrate. The overall stability of the photoelectron energy was found to be within 50 meV. The angular distribution of the photoelectrons was measured at photoelectron take-off angles of $35 \pm 30^\circ$, where the take-off angle of the direction perpendicular to the surface was defined as 90° .

Results and discussion

Structural properties and elemental composition of N,Zn-Fe₂O₃

In this work, N,Zn-Fe₂O₃ was generated by co-sputtering Fe₂O₃ and ZnO in a mixed plasma composed of N₂ and Ar, followed by post-annealing. The XRD patterns of the resulting N,Zn-Fe₂O₃ on TCO exhibited peaks assignable to (110) and (300)-oriented hematite but shifted to lower angles compared to those produced by pristine Fe₂O₃ on TCO (Fig. 1). This shift to lower angles indicates that larger N³⁻ and Zn²⁺ ions were successfully substituted at O²⁻ and Fe³⁺ sites in the hematite lattice, respectively. All other diffraction peaks were attributed to SnO₂ and ITO from TCO, and no peaks of impurity phase were observed. On the other hand, the Raman spectrum of N,Zn-Fe₂O₃ exhibited a peak around 670 cm^{-1} as well as typical hematite peaks (Fig. S1†). The 670 cm^{-1} peak position was close to those of A_{1g} mode of ZnFe₂O₄ (ref. 33) and Fe₃O₄.³⁴ Therefore, an amorphous or very small ZnFe₂O₄ phase might influence the conduction properties, though the amount of Zn was small compared to that in the previous report of Zn-doped Fe₂O₃.⁶

The XPS spectrum generated by N,Zn-Fe₂O₃ is compared with those of Zn-Fe₂O₃ and N-Fe₂O₃ in Fig. 2. Here, the N 1s

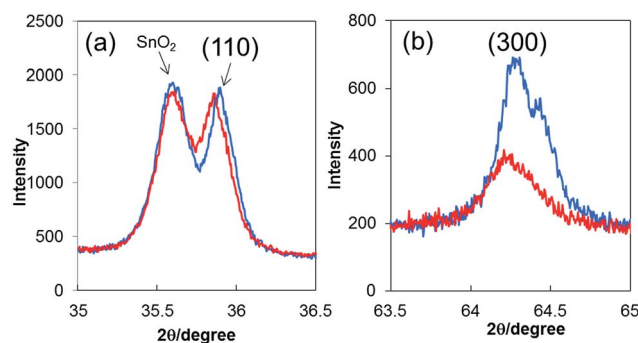


Fig. 1 XRD peaks obtained from N,Zn-Fe₂O₃ (red lines) and pristine Fe₂O₃ (blue lines) on SnO₂/ITO. No other peaks attributable to hematite were detected between 10 and 80 degrees. (a) For (110) and (b) for (300).



peak of N,Zn-Fe₂O₃ is shifted to a higher binding energy compared to that of N-Fe₂O₃, while the Zn 2p peak of N,Zn-Fe₂O₃ appears at a lower binding energy relative to that of Zn-Fe₂O₃. These results indicate that the electrons in the Zn atoms would be compensated for by N atoms in N,Zn-Fe₂O₃. Therefore, it can be expected that the anionic N and cationic Zn dopants in N,Zn-Fe₂O₃ are likely to be located at the nearest neighboring positions.¹⁰ Based on the intensity of the XPS peaks, the N and Zn concentrations in N,Zn-Fe₂O₃ were estimated to be 1.2 and 0.5%, respectively (Table 1). Although these dopant levels were greater than those in typical semiconductors such as Si or GaAs, these N and Zn concentrations have been optimized to generate high photocurrents for O₂ reduction.¹⁰ Various p-type Fe₂O₃ materials, including Zn-Fe₂O₃,⁶ Cu-Fe₂O₃ (ref. 7) and N-Fe₂O₃,⁸ also require similar heavy doping levels in order to function optimally as electrodes. These high N and Zn concentrations evidently do not degrade the host material band because the gap of 2.0 eV is not changed by the doping.¹⁰ This doping is believed to result in an acceptor level above the VB of Fe₂O₃ that is composed of Fe 3d and O 2p orbitals, which in turn positively shifts the Fermi level of Fe₂O₃. As a result, the energy difference between the VB and the Fermi level in the doped material is smaller than in pristine Fe₂O₃, as confirmed by the VB data obtained by HAXPES (Fig. S2†). N,Zn-Fe₂O₃ also exhibited a negative slope in its Mott-Schottky (M-S) plot (Fig. S3†), indicating stable p-type conductivity. Therefore, the cathodic photocurrent enhancement resulting from N and Zn doping is attributed to improved p-type conductivity. The determination of the flatband-potential of N,Zn-Fe₂O₃ in the M-S plot would be difficult due to the gradient of dopant concentration or surface defect.³⁵ The VB maximum was estimated to be 1.5 V vs. RHE from PESA^{36–38} (Fig. S4†). Since the band gap of N,Zn-Fe₂O₃ was 2.1 eV, the CB minimum can be estimated to be -0.6 V vs. RHE.³⁹ Therefore, water reduction by N,Zn-Fe₂O₃ is thermodynamically possible. Since the CB minimum of n-type Fe₂O₃ has been reported to be +0.6 V vs. RHE, our results suggest that the band alignment was shifted by N and Zn doping. Similar upward shifts of the CB have been demonstrated in other doped semiconductors, such as N-Ta₂O₅,¹¹ Mg-TiO₂ (ref. 40) and N-Cu₂O.⁴¹ Although the mechanism of the upward shift in band alignment has not yet been clarified, it could be induced by surface dipoles¹³ or structural

Table 1 Surface elemental compositions of N,Zn-Fe₂O₃^a

Sample	N	O ^c	Fe	Zn
As-prepared	1.2%	78.5%	19.8%	0.5%
1 h-measured ^b	0.8%	78.9%	19.8%	0.6%

^a The compositions were estimated from the intensity of the photoelectron spectra by irradiation with soft X-rays (Al K α , 1487 eV). ^b The electrode was used for photocurrent measurement at +0.1 V vs. RHE for 1 h in 0.2 M K₂SO₄ aqueous electrolyte. ^c The O concentration measured by XPS contains O₂ adsorbed on the N,Zn-Fe₂O₃ surface.

changes^{40,41} resulting from the negative charges in the bulk generated by doping.

Photoelectrochemical properties of bare N,Zn-Fe₂O₃

Fig. 3a (gray line) presents the current-potential data for the N,Zn-Fe₂O₃-based electrodes in a 0.5 M Na₂CO₃-NaHCO₃ (1 : 1) buffer electrolyte (pH 9.7) under chopped AM 1.5 irradiation (100 mW cm⁻²). The bare N,Zn-Fe₂O₃ electrode generated a spike-like cathodic photocurrent below +1.4 V vs. RHE, an anodic dark current at values more positive than +1.6 V (which was correlated with the VB edge of the p-type N,Zn-Fe₂O₃), and a cathodic dark current at values more positive than +0.3 V, due to electron leakage. Accordingly, the cathodic photocurrent at a constant potential of +0.1 V vs. RHE was observed to decay immediately after the irradiation (Fig. 3b, gray line). Following irradiation for 1 h, a H₂ quantity of 0.015 $\mu\text{mol cm}^{-2}$ was determined in the gas phase, in conjunction with a low faradaic efficiency of 23%. Subsequently, the spike-like cathodic photocurrent declined and the dark current at a negative voltage also deteriorated (Fig. 3c, orange line). The UV/vis absorption of the material also decreased slightly (Fig. S5,† green line). This decrease is attributed to dissolution of the Fe₂O₃ in the electrolyte, as confirmed by ICP-OES analysis of the electrolyte (Fig. 4, green line). In contrast, almost no dissolution occurred in 0.2 M K₂SO₄ (Fig. S5,† red line), although an unstable photocurrent and low PEC H₂ evolution rates were observed (Fig. S6†), similar to the results obtained in the 0.5 M Na₂CO₃-NaHCO₃ (1 : 1) buffer. These results indicate that the bare N,Zn-Fe₂O₃ electrode was probably deactivated prior to dissolution.

To investigate the deactivation in detail, the electronic states of the Fe atoms were analyzed using HAXPES. In order to distinguish dissolution from deactivation, the bare N,Zn-Fe₂O₃ electrode was measured before and after the stability test in 0.2 M K₂SO₄. Fig. 5 presents the angular-resolved Fe 2p spectra. The monitored angle was varied from 3° to 54°, corresponding to depths ranging from 3 to 26 nm.⁴² Although the Fe 2p binding energy peaks were shifted in the positive direction following the 1 h PEC stability test, both spectra exhibit two peaks around 710.5 and 709.5 eV, attributed to Fe³⁺ and Fe²⁺ species, respectively.⁴³ In the as-prepared sample, although intrinsic Fe²⁺ species presumably originating from oxygen vacancies and/or charge compensation by N³⁻ and Zn²⁺ doping were observed, the Fe²⁺/Fe³⁺ ratio was not dependent on the take-off angle.

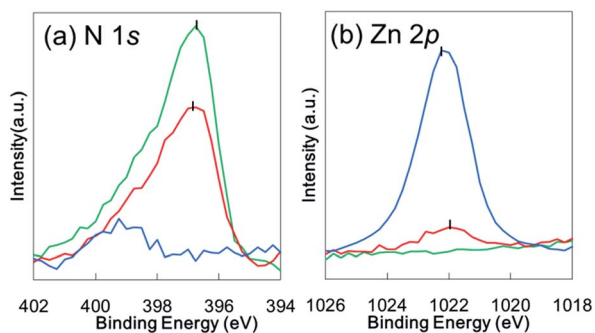


Fig. 2 (a) N 1s and (b) Zn 2p XPS spectra obtained from N,Zn-Fe₂O₃ (red lines), Zn-Fe₂O₃ (blue lines) and N-Fe₂O₃ (green lines).



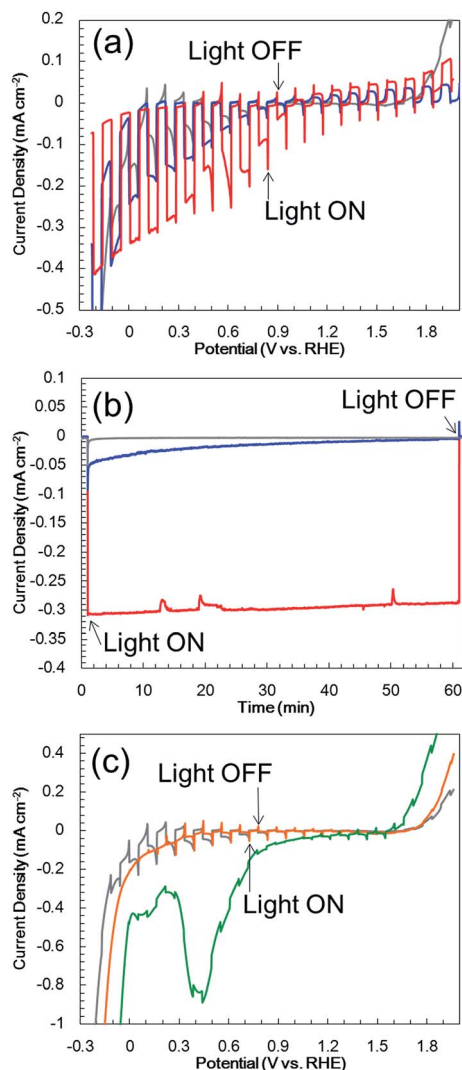


Fig. 3 (a) Current–potential characteristics and (b) photocurrent transients at +0.1 V vs. RHE in a 0.5 M Na_2CO_3 – NaHCO_3 (1 : 1) buffer electrolyte (pH 9.7) under one sun (100 mW cm^{-2} , AM 1.5) illumination for bare $\text{N,Zn-Fe}_2\text{O}_3$ (gray lines), $\text{Pt/TiO}_2/\text{N,Zn-Fe}_2\text{O}_3$ (blue lines) and $\text{Pt/TiO}_2/\text{N,Zn-Fe}_2\text{O}_3/\text{Cr}_2\text{O}_3$ (red lines). (c) Current–potential characteristics for bare $\text{N,Zn-Fe}_2\text{O}_3$ before (gray line) and after (orange line) the 1 h PEC stability test at +0.1 V vs. RHE, and of the as-prepared $\text{Pt/N,Zn-Fe}_2\text{O}_3$ electrode (green line).

However, in the case of the deactivated sample, this ratio was increased at lower take-off angles. A fitting analysis also demonstrated that the difference between the data acquired at angles of 3° and 54° was statistically significant (Fig. S7†). Therefore, it appears that surface Fe^{3+} ions were reduced during the PEC measurements. Photogenerated electrons presumably reduced Fe^{3+} to Fe^{2+} without reducing H^+ to produce H_2 at the surface. These reduced Fe species would then generate electron trap states, leading to the spike-like photocurrent curve. In addition, the reduced Fe species would be dissolved in Na_2CO_3 – NaHCO_3 buffer electrolytes as $[\text{Fe}(\text{CO}_3)_2]^{2-}$. Deactivation of the dopant was also observed. In the case of the Zn 2p spectra acquired at 89° , equivalent to a depth of 32 nm, the shoulder at 1020.2 eV (attributed to doped Zn adjacent to N based on XPS

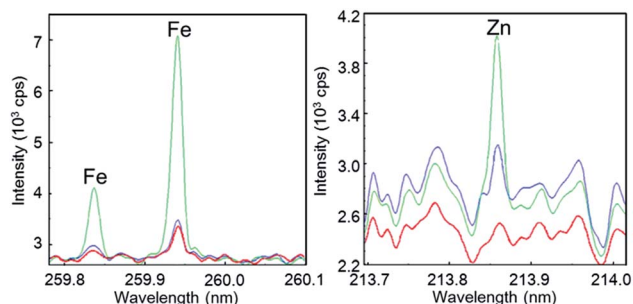


Fig. 4 ICP-OES Fe and Zn data obtained for a bare $\text{N,Zn-Fe}_2\text{O}_3$ electrode in a 0.5 M Na_2CO_3 – NaHCO_3 (1 : 1) solution before (blue lines) and after (green lines) the 3 h PEC stability test, and those after the 3 h PEC stability test for a $\text{Pt/TiO}_2/\text{N,Zn-Fe}_2\text{O}_3$ electrode (red lines). The PEC stability tests were performed under solar simulation light (AM 1.5, one sun, 100 mW cm^{-2}) at +0.1 V vs. RHE.

analysis: see Fig. 2) disappeared following the PEC measurements (Fig. 6). In addition, soft XPS showed that the N concentration at the surface decreased from 1.2 to 0.8% (Table 1). These results indicate self-oxidative deactivation, that is, photogenerated holes oxidized N anions to N_2 ($2\text{N}^{3-} + 6\text{h}^+ \rightarrow \text{N}_2$).⁴⁴ The observed increase in the O concentration from 78.5 to 78.9% can possibly be attributed to the oxidation of vacant sites accompanied by N elimination, as the result of exposure to air during the XPS sample preparation. The migration of photo-generated holes was slow in the bare $\text{N,Zn-Fe}_2\text{O}_3$ photocathode formed on the TCO, so that these holes were available for self-oxidation even at the surface. Hence, the shifts in the Fe 2p and Zn 2p binding energy values following stability testing were

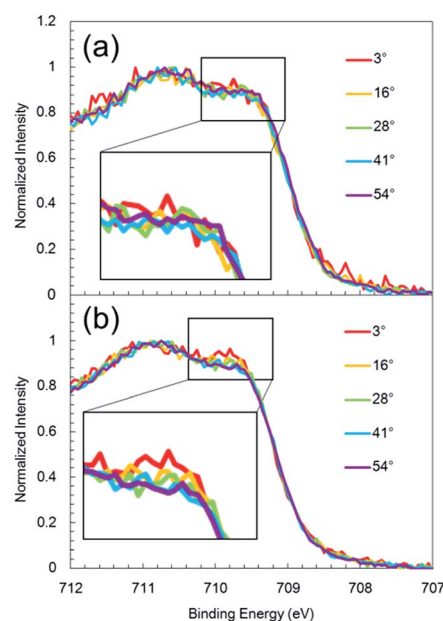


Fig. 5 Take-off angle dependent Fe 2p spectra acquired from (a) the bare as-prepared $\text{N,Zn-Fe}_2\text{O}_3$ electrode and (b) the $\text{N,Zn-Fe}_2\text{O}_3$ electrode following the 1 h stability test. Spectra were obtained by hard X-ray (7938.3 eV) irradiation and monitoring of the take-off photoelectrons at various angles.



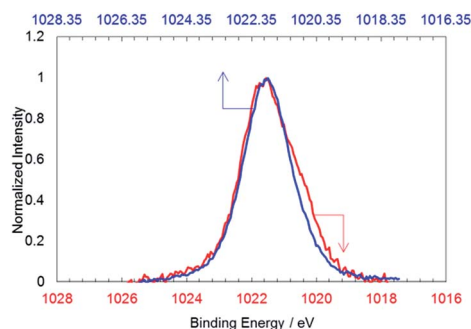


Fig. 6 Zn 2p spectra acquired from the bare as-prepared N,Zn-Fe₂O₃ electrode before (red line) and after (blue line) the 1 h stability test. Spectra were obtained by hard X-ray (7938.3 eV) irradiation and monitoring of the take-off photoelectrons at 89°. The spectrum for the electrode after the 1 h stability test is shifted along the x axis by +0.35 eV because the Fermi level was shifted positively during the stability testing.

due to the Fermi level shift caused by the deactivation of the N and Zn dopants.

To improve the hydrogen evolution rate, the PEC self-redox reaction must be prevented by the promotion of electron transfer from the surface of N,Zn-Fe₂O₃ to the active sites for H₂ evolution, and by the promotion of hole transfer from N,Zn-Fe₂O₃ to the TCO layer. Pt nanoparticles were deposited on N,Zn-Fe₂O₃ by sputtering to act as a co-catalyst for H₂ evolution. The presence of these Pt nanoparticles was confirmed by TEM observations (Fig. S8†). The current-potential curve for Pt/N,Zn-Fe₂O₃, as acquired using chopped light illumination in a 0.5 M Na₂CO₃-NaHCO₃ (1 : 1) buffer electrolyte, exhibited both an anodic dark current at values more positive than 1.5 V and a cathodic dark current between 1.2 and 0 V, including a peak at 0.4 V (Fig. 3c). Loading with Rh and Au nanoparticles also resulted in similar dark currents. The significant dark current seen in these trials suggests that new leakage states were generated following the metal deposition. Although we have no direct evidence, this phenomenon could possibly be explained by an adsorbate-induced surface state,⁴⁵ resulting from the rearrangement of dangling bonds on the surface. The close proximity of Pt atoms to the N,Zn-Fe₂O₃ surface to form bonds would split the dangling-bonds within the bandgap into bonding and anti-bonding states. The cathodic peak observed at 0.4 V vs. RHE is therefore attributed to electron leakage to these anti-bonding states. There have been no reports of metallic nanoparticles showing a beneficial effect for hydrogen production by water splitting with Fe-based p-type semiconductors.⁵ Therefore, in order to effectively employ a metal co-catalyst, the dangling bonds at the N,Zn-Fe₂O₃ surface should be passivated.

Coating with TiO₂ as a passivation and electron transfer layer

The surface of the N,Zn-Fe₂O₃ photocathode was covered with a TiO₂ layer prior to deposition of the Pt nanoparticles (Pt/TiO₂/N,Zn-Fe₂O₃). TEM and STEM combined with EDX for elemental mapping were used to assess a cross-section of Pt/TiO₂/N,Zn-Fe₂O₃, as shown in Fig. 7. The TiO₂ layer was confirmed to

completely cover the N,Zn-Fe₂O₃ photocathode, thus preventing the direct contact of N,Zn-Fe₂O₃ with Pt and the electrolyte. Although the XRD peak attributable to TiO₂ was not observed in TiO₂/N,Zn-Fe₂O₃/SnO₂/ITO/glass because of the overlap of diffraction from the TCO underlayer, TiO₂/glass showed XRD peaks attributable to anatase and rutile phases (Fig. S9†). The UV/vis absorption spectrum of TiO₂/N,Zn-Fe₂O₃ (Fig. S10†) was consistent with the summation of the spectra of TiO₂ and N,Zn-Fe₂O₃ below 400 nm.

Fig. 3a (blue line) shows the current-potential curve for Pt/TiO₂/N,Zn-Fe₂O₃ in a 0.5 M Na₂CO₃-NaHCO₃ (1 : 1) solution under chopped one sun (100 mW cm⁻², AM 1.5) irradiation. An anodic photocurrent was observed at +0.9 V vs. RHE and higher, and this current is believed to have originated from TiO₂ excitation because it disappeared under visible light ($\lambda > 420$ nm) irradiation (Fig. S11†). A higher cathodic photocurrent than that obtained from the bare N,Zn-Fe₂O₃ was generated below +0.9 V. In this case, the dark current for Pt/N,Zn-Fe₂O₃ resulting from Pt adsorption disappeared, suggesting that the TiO₂ layer passivated dangling bonds on the N,Zn-Fe₂O₃ surface, resulting in a significant enhancement of the photocurrent. Fig. 3b (blue line) presents the time course of the photocurrent at a constant potential of +0.1 V vs. RHE. Although the photocurrent decayed slowly, from 50 to 5 μ A cm⁻², during a 1 h irradiation, 0.33 μ mol cm⁻² of H₂ was generated with a faradaic efficiency of 100%, and no dissolution was evident from the ICP-OES analysis (Fig. 4, red line). These data demonstrate that the self-redox reaction was completely prevented by the TiO₂ layer coating. To confirm the origin of the cathodic photocurrent, the IPCE spectrum of Pt/TiO₂/N,Zn-Fe₂O₃ was acquired (Fig. 8). The IPCE values were found to be higher than those obtained from the bare N,Zn-Fe₂O₃ and exhibited good correlation with the UV-vis absorption spectrum of N,Zn-Fe₂O₃. This result clearly indicates that the enhanced cathodic photocurrent originated from excitation of N,Zn-Fe₂O₃.

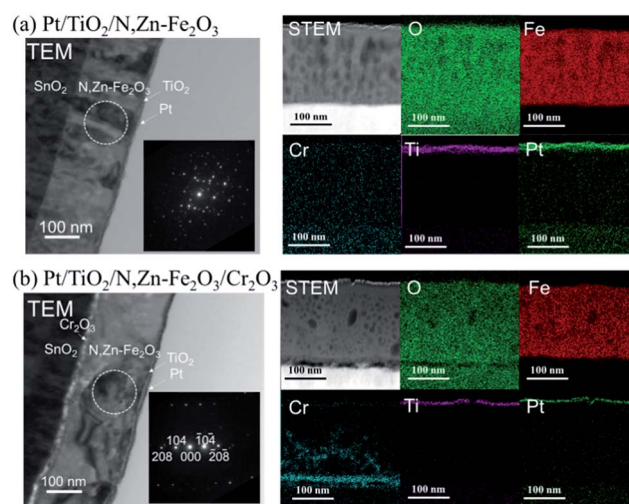


Fig. 7 Cross-sectional TEM and STEM images and STEM-EDX elemental maps for (a) Pt/TiO₂/N,Zn-Fe₂O₃ and (b) Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃. Insets in the TEM images show selected area electron diffraction patterns of the regions indicated by the circles.



The enhancement of the photocurrent can be explained by the formation of a p-n junction. Since the position of the CB minimum of TiO₂ is reported to be -0.2 V vs. RHE,⁴⁶ the band diagram before contact can be described as in Fig. 9a. Following the application of the n-type TiO₂ layer (with a higher Fermi level than N,Zn-Fe₂O₃), some of the holes in N,Zn-Fe₂O₃ should diffuse across the junction and combine with electrons to form positive ions in the TiO₂ region. Similarly, some electrons in TiO₂ would be expected to diffuse through the material and combine with holes to form negative ions in the N,Zn-Fe₂O₃ region. These carrier diffusions should equalize the Fermi levels.⁴⁷ As a consequence, the N,Zn-Fe₂O₃ band edge will be shifted to a higher energy at the bulk side and the band edge of N,Zn-Fe₂O₃ should bend downward towards the interface. In contrast, the TiO₂ band should shift to a lower energy, resulting in upward band bending at the interface, as shown in Fig. 9b. The increased CB level of N,Zn-Fe₂O₃ at the bulk side increases the driving force for electron transfer to the Pt co-catalyst. Moreover, the band-bending between N,Zn-Fe₂O₃ and TiO₂ facilitates charge separation.

However, it was also evident that the photocurrent of Pt/TiO₂/N,Zn-Fe₂O₃ decayed over time. This photocurrent decay is attributed to self-oxidation due to insufficient hole transfer in N,Zn-Fe₂O₃. The TiO₂ coating improved the electron transfer to the Pt as the result of band bending at the surface side of N,Zn-Fe₂O₃. However, the surface band bending induced by the TiO₂ may have been insufficient to generate hole transfer to the back side contact, because the photogenerated holes had to transfer through the N,Zn-Fe₂O₃ layer.

Insertion of Cr₂O₃ as a hole transfer layer

In an attempt to improve the hole transfer in the bulk, N,Zn-Fe₂O₃ was made by inserting a Cr₂O₃ layer between N,Zn-Fe₂O₃ and TCO. The Cr₂O₃ layer was prepared by depositing Cr followed by the deposition of N,Zn-Fe₂O₃ and annealing under an

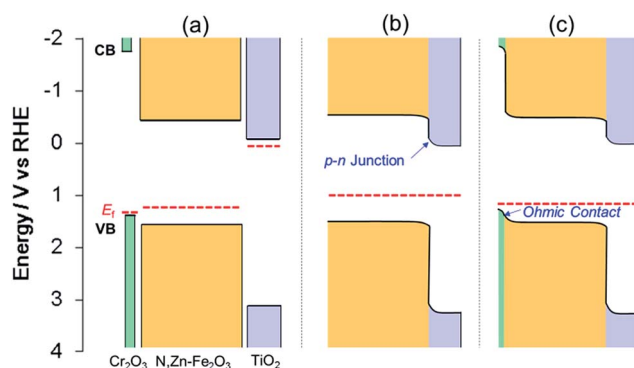


Fig. 9 Band energy diagrams for TiO₂, N,Zn-Fe₂O₃ and Cr₂O₃ (a) before contact and after contact between (b) TiO₂/N,Zn-Fe₂O₃ and (c) TiO₂/N,Zn-Fe₂O₃/Cr₂O₃. The VB maxima for Cr₂O₃ and N,Zn-Fe₂O₃ were estimated from photoelectron spectroscopy measurements in air (Fig. S4†). The band position for TiO₂ was obtained from the literature.⁴⁶ Fermi levels (E_F) were estimated from the onset potential of each electrode.

O₂ flow. The crystal structure was confirmed to consist of α -Cr₂O₃ by XRD analysis (Fig. S12†). The current-potential curve for the resulting Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ is shown in Fig. 3a (red line). The cathodic photocurrent was enhanced by the insertion of the Cr₂O₃ layer and the offset potential was shifted positively. The enhanced photocurrent was confirmed to originate from the photoabsorption of N,Zn-Fe₂O₃ based on the IPCE spectrum (Fig. 8). The dark current observed in the current-potential curve was reduced to 1 μ A when applying a constant potential of +0.1 V for 1 min under dark conditions. At a constant potential of +0.1 V vs. RHE, a stable photocurrent of ca. 300 μ A cm⁻² was obtained under one sun (100 mW cm⁻², AM 1.5) irradiation, as shown in Fig. 3b (red line). Although the photocurrent decayed slightly after irradiation for 1 h, it was recovered after turning off the light and electrical bias for one minute. This decay is therefore attributed to a gradual accumulation of electrons and holes over prolonged irradiation, as is sometimes observed for nanocrystalline photoelectrodes with high resistivity.³⁰ By repeating this process, the electrode was able to exhibit a stable photocurrent over more than 6 h (Fig. 10a), and the faradaic efficiency for H₂ evolution was found to be 100%. It is also important to note that this reaction produced H₂ and O₂ at a molar ratio of 2 : 1, which represents a stoichiometric overall solar water splitting reaction (Fig. 10b).

STEM-EDX mapping of Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ (Fig. 7b) showed that some of the Cr atoms diffused to the grain boundaries of the Fe₂O₃ particles. This diffused Cr was found not to contribute to the enhanced photocurrent, because Pt/TiO₂/pristine-Fe₂O₃/Cr₂O₃ exhibited a negligibly small cathodic photocurrent (Fig. S13†). TEM images (Fig. 7) clarified that the Cr formed a layered structure between the TCO and N,Zn-Fe₂O₃. The voids observed between the TCO and Cr₂O₃ are not intrinsic but rather were generated during the FIB cutting process. TEM observations of the Fe₂O₃ structure indicated a significant effect of the Cr₂O₃. In the absence of the Cr₂O₃ underlayer, the Fe₂O₃ crystals were oriented perpendicularly to the film plane, while in the presence of the Cr₂O₃ layer larger

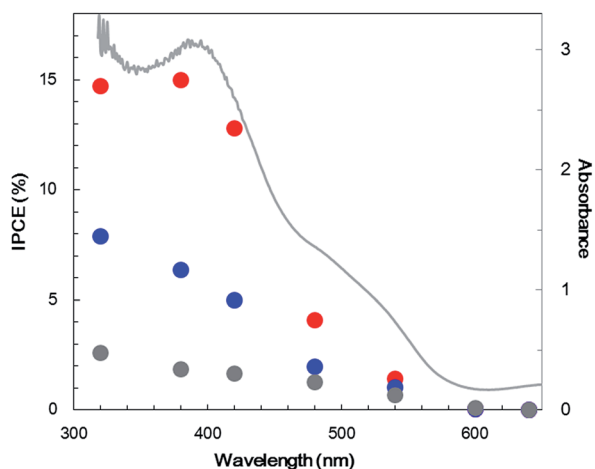


Fig. 8 IPCE spectra (data points; left axis) for bare N,Zn-Fe₂O₃ (gray), Pt/TiO₂/N,Zn-Fe₂O₃ (blue) and Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ (red) and the UV-visible absorption spectrum (line; right axis) for N,Zn-Fe₂O₃. IPCE data were acquired at 0.1 V vs. RHE with monochromatic light irradiation.



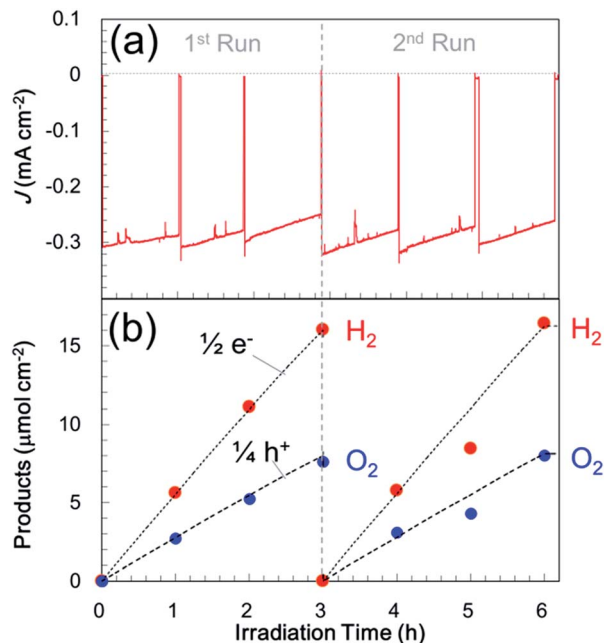


Fig. 10 (a) Photocurrent transients for Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ in a three-electrode configuration at +0.1 V vs. RHE in a 0.5 M Na₂CO₃-NaHCO₃ (1 : 1) buffer electrolyte (pH 9.7) under one sun (100 mW cm⁻², AM 1.5) light illumination. Irradiation and application of a bias were briefly ceased at each measurement point to allow the products to achieve gas-liquid equilibrium, and the electrolyte and gas phase were exchanged every 3 h. (b) Time course for gas evolution in the sealed cell, which shows a stoichiometric reaction for overall solar water splitting.

Fe₂O₃ crystals were found to be oriented parallel to the film plane. These results are consistent with the larger scale TEM images (Fig. S14[†]). The larger Fe₂O₃ crystallite sizes were also confirmed by selected area electron diffraction, which showed fewer diffraction spots (Fig. 7). From XRD patterns (Fig. 11), N,Zn-Fe₂O₃ on TCO was determined to be oriented in the (110) and (300) directions, while the average crystallite diameter was estimated to be 46 nm using the Scherrer equation. The XRD pattern of N,Zn-Fe₂O₃/Cr₂O₃ showed that the orientation changed to the (012), (104) and (116) directions, and that the crystallite diameter increased to 89 nm following the introduction of the Cr₂O₃ layer. Crystals tend to grow in the direction of lower interface energy. The lattice parameter of Cr₂O₃ is very close to that of N,Zn-Fe₂O₃;⁴⁸ therefore, the interfacial energy between N,Zn-Fe₂O₃ and the substrate was reduced by the Cr₂O₃ coating on TCO, such that the N,Zn-Fe₂O₃ crystal growth was in-plane. Grain coarsening could have contributed to the enhancement of the photocurrent because of the lower resistivity at the grain boundaries.

Moreover, the Cr₂O₃ layer promoted charge transfer from N,Zn-Fe₂O₃ to TCO. Cr₂O₃ is known as a wide bandgap ($E_g \approx 3$ eV) semiconductor.⁴⁹ The Cr₂O₃ film deposited on the TCO electrode exhibited a typical cathodic photocurrent (Fig. S15[†]), indicating that the Fermi level of Cr₂O₃ was located near the VB edge. PESA demonstrated that the energy of the VB edge of Cr₂O₃ was ca. 0.2 eV higher than that of N,Zn-Fe₂O₃ (Fig. S4[†]).

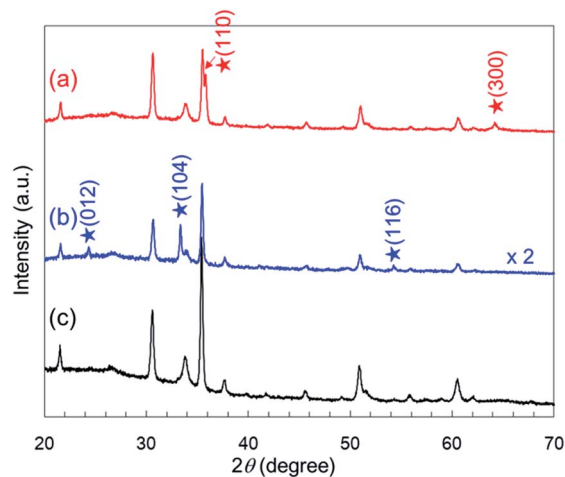


Fig. 11 XRD patterns obtained from (a) N,Zn-Fe₂O₃/SnO₂/ITO, (b) N,Zn-Fe₂O₃/Cr₂O₃/SnO₂/ITO, and (c) SnO₂/ITO. Stars indicate the diffraction peaks attributed to α-Fe₂O₃ (PDF# 00-001-1053).

Therefore, the band alignment can be described as shown in Fig. 9a. The positive shift in the offset potential resulting from the insertion of the Cr₂O₃ layer indicates that the Cr₂O₃ facilitated the upward band-bending of N,Zn-Fe₂O₃ toward the Cr₂O₃ with an ohmic contact, as shown in Fig. 9c. As a result, the cathodic photocurrent was significantly enhanced and stabilized by preventing self-oxidation in the bulk. The TiO₂ layer was also helpful in facilitating electron transfer, since N,Zn-Fe₂O₃ cannot directly donate electrons to H⁺ or Pt because of electron traps at surface states that lead to self-reduction. In fact, the photocurrents generated by N,Zn-Fe₂O₃/Cr₂O₃ and Pt/N,Zn-Fe₂O₃/Cr₂O₃ were observed to completely decay within a few minutes.

The existence of heterojunctions was suggested by electrochemical impedance spectroscopy (EIS) data. The Nyquist diagrams obtained by EIS under dark conditions are shown in Fig. 12a. Only single large semicircles were generated by the bare N,Zn-Fe₂O₃, Pt/TiO₂/N,Zn-Fe₂O₃ and Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃. Since the impedance spectrum for Fe₂O₃ includes many parameters, such as the resistance and capacitance of both the bulk and surface states, it is difficult to determine the origins of the resistance and capacitance *via* the fitting of only one semicircle.⁵⁰ However, we can compare the total resistances of the electrodes, because the radius of the arc relative to the Z' axis corresponds to the total resistance. The radius of the Pt/TiO₂/N,Zn-Fe₂O₃ plot was smaller than that of the bare N,Zn-Fe₂O₃, which indicates that the total resistance in the electrode was decreased by the TiO₂ coating and the Pt deposition, in spite of the addition of an interfacial resistance across the junctions. It is believed that the p-n junction would have helped lower the charge transfer resistance. Moreover, the smallest radius was observed in the case of Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃, suggesting that the lowest charge-transfer resistance resulted from ohmic contact at the N,Zn-Fe₂O₃/Cr₂O₃ junction and grain coarsening of N,Zn-Fe₂O₃. Similar reductions in the radius resulting from heterojunctions have been previously observed.^{24,27,51}

Charge-separation characteristics were evaluated by open-circuit photovoltage (V_{oc}) analyses. The time profiles of V_{oc}



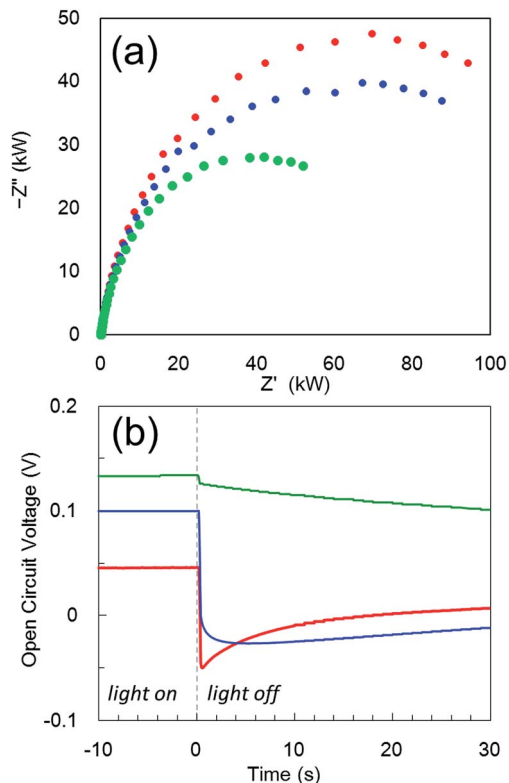


Fig. 12 (a) Nyquist plots from electrochemical impedance spectroscopy for N,Zn-Fe₂O₃ (red circles), Pt/TiO₂/N,Zn-Fe₂O₃ (blue circles) and Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ (green circles), as obtained at a bias of 0.5 V vs. RHE with frequencies varying from 100 kHz to 0.5 Hz under dark conditions. (b) Open-circuit photovoltage (V_{oc}) decays for N,Zn-Fe₂O₃ (red line), Pt/TiO₂/N,Zn-Fe₂O₃ (blue line) and Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ (green line). The electrodes were illuminated with one sun light (AM 1.5, 100 mW cm⁻²) before measuring the V_{oc} decay in the dark. The V_{oc} values are the differences from open-circuit potentials prior to irradiation.

before and after ceasing the photoirradiation are shown in Fig. 12b. In the “light on” region, the V_{oc} values were in the following order: N,Zn-Fe₂O₃ < Pt/TiO₂/N,Zn-Fe₂O₃ < Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃. Since the V_{oc} values are closely related to the recombination of charges,⁵² higher V_{oc} values suggest lower rates of recombination. The recombination of photogenerated electrons and holes could be observed upon turning off the photoirradiation. In the “light off” region, all the electrodes exhibited a negative decay immediately after the cessation of irradiation, and a slower decay should correlate with effective charge separation.^{53–55} In the case of N,Zn-Fe₂O₃ and Pt/TiO₂/N,Zn-Fe₂O₃, the V_{oc} decayed in 1 and 5 s, respectively. The slower recombination decay in Pt/TiO₂/N,Zn-Fe₂O₃ compared to the bare N,Zn-Fe₂O₃ suggests that the electrons accumulated in TiO₂ tend to prevent back electron transfer to N,Zn-Fe₂O₃ by band bending around the p–n junction. The positive change after the negative decay is believed to be connected with the recovery of the species deactivated by the self-redox reaction during photoirradiation. The V_{oc} decay is extended by both TiO₂ coating and Cr₂O₃ insertion, which indicates that the holes accumulated in Cr₂O₃ also prevented back hole transfer to N,Zn-Fe₂O₃ by band bending at the

N,Zn-Fe₂O₃/Cr₂O₃ junction. Therefore, heterojunctions are essential to enhancing and stabilizing the photocurrent.

Overall water splitting with a tandem cell

The p-type Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ photocathode has the potential to achieve overall water splitting in combination with an n-type semiconductor photoanode. We employed n-type SrTiO_{3-x} for the photoanode because its CBM is located at -0.4 V,⁵⁶ which is sufficiently negative to transfer electrons extracted from water molecules to numerous photocathodes. It has been shown to be appropriate to demonstrate a Z-scheme (two-step photoexcitation) reaction in the tandem configuration.⁵⁷ In the present case, n-type SrTiO_{3-x} is also beneficial, owing to a small absorption overlap with N,Zn-Fe₂O₃ (Fig. S10†), and a relatively negative onset potential that facilitates electron transfer to the Fe₂O₃-based photocathode (Fig. S16†). PEC tandem cells were constructed by connecting p-type Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ and n-type SrTiO_{3-x} electrodes. The band model for the photocell is shown in the inset of Fig. 13. When these tandem electrodes were irradiated with solar simulation light (AM 1.5, 100 mW cm⁻²), the UV components were absorbed by the forward SrTiO_{3-x} electrode and the residual light ($\lambda > 400$ nm) reached N,Zn-Fe₂O₃ at the back. Photogenerated holes in SrTiO_{3-x} oxidized water to produce oxygen, while the photogenerated electrons in N,Zn-Fe₂O₃ reduced water to hydrogen. This tandem cell system exhibited a stable photocurrent with an average value of 114 μ A cm⁻² during a 3.5 h irradiation period without the application of an external voltage. Fig. 13 shows the amounts of photoelectrons and gaseous products generated as functions of the irradiation time. The H₂ : O₂ molar ratio was 2 : 1, and the amounts of these gases produced were equal to one half and one quarter of

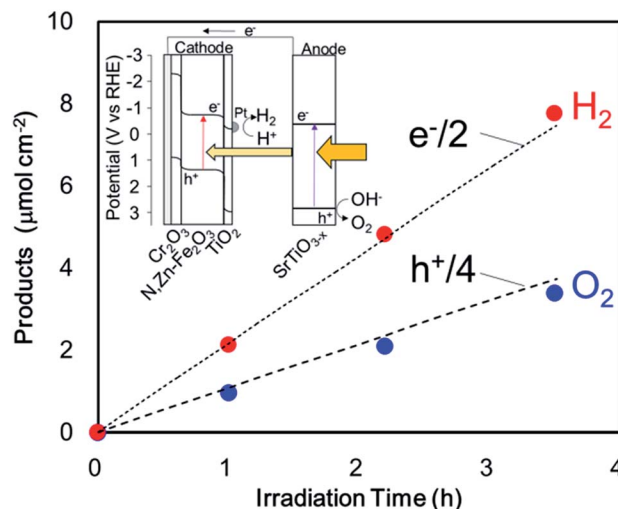


Fig. 13 Time course of gas evolution for a two-electrode tandem system consisting of Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ and SrTiO_{3-x} under one sun (AM 1.5) irradiation with no electrical bias. The reaction was carried out in a 0.5 M Na₂CO₃-NaHCO₃ (1 : 1) aqueous solution. The light was irradiated from the SrTiO_{3-x} side. Irradiation was paused at each measurement point to allow the products to achieve gas–liquid equilibrium.



the total number of electrons and holes calculated from the photocurrent, respectively. This result indicates that the overall water splitting reaction was stoichiometric, with a faradaic efficiency of 100%. The quantity of evolved H₂ was 2.1 μmol cm⁻² after irradiation for 1 h and the solar conversion efficiency was 0.14%. As control experiments, the irradiation of only SrTiO_{3-x} in the same system or in a two-electrode system composed of SrTiO_{3-x} and Pt wire was assessed (Fig. S17†). These trials produced only 0.25 and 0.18 μmol cm⁻² of H₂, respectively. Therefore, it is evident that Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ significantly enhanced the H₂ evolution when employed as a photocathode for two-step photoexcitation in the tandem configuration.

Conclusion

A p-type N,Zn-codoped Fe₂O₃ (hematite) photocathode for PEC solar hydrogen production by water splitting was realized. To overcome the issues of low H₂ generation rates accompanied by self-redox reaction of the p-type Fe₂O₃, a TiO₂ overlayer was applied over the surface of N,Zn-Fe₂O₃. The TiO₂ acted not only as a passivation layer, but also as a charge separation layer, by forming a p-n junction with N,Zn-Fe₂O₃. Furthermore, insertion of a thin Cr₂O₃ layer between the N,Zn-Fe₂O₃ and TCO layers significantly enhanced the cathodic photocurrent during H₂ production by facilitating band-bending in N,Zn-Fe₂O₃, forming an ohmic contact, and reducing grain boundaries in N,Zn-Fe₂O₃. As a result, the Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ photocathode generated a stable photocurrent during water reduction of 300 μA cm⁻² under one sun (100 mW cm⁻², AM 1.5) irradiation at +0.1 V vs. RHE. The faradaic efficiency of the water reduction was 100% and the device was found to be stable over a span of 6 h. Furthermore, by connecting an n-type SrTiO_{3-x} photoanode to the Pt/TiO₂/N,Zn-Fe₂O₃/Cr₂O₃ photocathode, overall water splitting without the application of an external electrical bias was demonstrated. This is the first example of overall stoichiometric water splitting using an iron-based photocathode. The present strategy of applying an overcoat with a multi-heterojunction could be expanded to many unstable and low-efficiency p-type photocathodes.

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