A unified photoredox-catalysis strategy for C(sp³)–H hydroxylation and amidation using hypervalent iodine†

Guo-Xing Li, Cristian A. Morales-Rivera, Fang Gao, Yaxin Wang, Gang He, Peng Liu* and Gong Chen*

We report a unified photoredox-catalysis strategy for both hydroxylation and amidation of tertiary and benzylic C–H bonds. Use of hydroxyl perfluorobenziodoxole (PFBl–OH) oxidant is critical for efficient tertiary C–H functionalization, likely due to the enhanced electrophilicity of the benziodoxole radical. Benzylic methylene C–H bonds can be hydroxylated or amidated using unmodified hydroxyl benziodoxole oxidant Bl–OH under similar conditions. An ionic mechanism involving nucleophilic trapping of a carbocation intermediate by H2O or CH3CN cosolvent is presented.

Introduction

Methods for efficient and selective alkyl C–H oxidation could streamline the synthesis of fine chemicals, natural products, and drug metabolites.1,2 Despite rapid advances in the development of metal-catalyzed reactions3 and reagents,4 synthetically useful C(sp³)–H oxygenation chemistry is still in great demand.5,6 Recently, radical reactions mediated by hypervalent iodine(III) reagents have emerged as viable means to oxygenate C(sp³)–H bonds under mild conditions.7–11 Ochiai first reported the oxidation of activated C(sp³)–H bonds of benzyl and allyl ethers to the corresponding esters using t-butoxy benziodoxole (Bl–OOtBu, 1) via H-abstraction by benziodoxole radical Bl‧ (Scheme 1A).9 Maruoka elegantly demonstrated the use of acyclic iodane reagents 4 and 5 in the selective oxidation of unactivated methylene C–H bonds of simple alkanes to the corresponding ketones, effected by more reactive iodanyl radical intermediates 4 and 6.10 Notably, Maruoka’s oxygenation reactions proceed with a selectivity for secondary over tertiary C–H bonds. Herein, we report an efficient and broadly applicable photoredox-catalysis strategy for the selective hydroxylation of tertiary and benzylic C–H bonds using hydroxyl benziodoxoles as oxidant and H2O as cosolvent and hydroxylation reagent. This reaction system can be easily modulated to

Scheme 1 C(sp³)–H oxygenation and amidation with hypervalent iodine(III).
achieve tertiary and benzylic C–H amidation with high efficiency and selectivity using CH$_3$CN as co-solvent and amidation reagent.

**Results and discussion**

Previously, we discovered a visible light-promoted method for tertiary C–H azidation using Zhdankin reagent Bl–N$_3$ 11 (see entry 1, Table 1), [Ru(bpy)$_3$]Cl$_2$ photosensitizer, and household compact fluorescent lamp (CFL) irradiation.$^{11-14}$ We proposed a radical chain mechanism for this azidation reaction, beginning with formation of Bl radical 2 via single electron reduction of 11 by a photoexcited Ru(II)* species. Bl–c$_2$ then selectively abstracts a H atom from the substrate (e.g. 4-methylpentyl benzoate 7), forming tertiary alkyl radical intermediate, which reacts with 11 to give C–H azidation product and regenerate radical 2, propagating a radical chain reaction.

Encouraged by these results, we questioned whether the reaction with the corresponding hydroxyl benziodoxole could offer C–H hydroxylation product under similar conditions.

As shown in Table 1, we commenced the investigation of tertiary C–H hydroxylation of 7 with Bl–OH 13 under the irradiation of CFL (23 W) using [Ru(bpy)$_3$]Cl$_2$ as photocatalyst in hexafluoroisopropanol (HFIP) at 30 °C. Our previous work has shown that Bl–OH 13 can be used to generate Bl’ 2 under similar conditions for a Minisci-type C–H alkylation reaction of N-heteroarenes with alkyl boronic acids.$^{15,16}$ However, subjecting 7, 13, and [Ru(bpy)$_3$]Cl$_2$ to CFL irradiation produced only trace amount of the desired hydroxylation product 8, with 7 largely unconsumed (entry 2). However, adding H$_2$O to the reaction increased the yield of 8 to 29% (entry 3). Our previous

<table>
<thead>
<tr>
<th>Entry</th>
<th>Reagents (equiv.)</th>
<th>Solvents</th>
<th>Yield$^a$ (%) 8</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Bl–N$_3$ 11 (2)</td>
<td>HFIP</td>
<td>&lt;1$^b$</td>
</tr>
<tr>
<td>2</td>
<td>Bl–OH 13 (2)</td>
<td>HFIP</td>
<td>&lt;2</td>
</tr>
<tr>
<td>3</td>
<td>Bl–OH 13 (2)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>29</td>
</tr>
<tr>
<td>4</td>
<td>4FBI–OH 14 (2)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>32</td>
</tr>
<tr>
<td>5</td>
<td>4CF, Bl–OH 15 (2)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>38</td>
</tr>
<tr>
<td>6</td>
<td>TFBFI–OH 16 (2)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>46</td>
</tr>
<tr>
<td>7</td>
<td>PFBI–OH 17 (2)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>51</td>
</tr>
<tr>
<td>8</td>
<td>4MOBI–OH 18 (2)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>25</td>
</tr>
<tr>
<td>9</td>
<td>Bl–OAc 12 (2)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>18</td>
</tr>
<tr>
<td>10</td>
<td>17 (2.5)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>64$^c$</td>
</tr>
<tr>
<td>11</td>
<td>17 (2.5)</td>
<td>HFIP/H$_2$O (10/1)</td>
<td>55</td>
</tr>
<tr>
<td>12</td>
<td>17 (2.5), O$_2$ (1 atm)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>29</td>
</tr>
<tr>
<td>13</td>
<td>17 (2.5), Ir(ppy)$_3$ (2.5 mol%)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>&lt;2</td>
</tr>
<tr>
<td>14</td>
<td>17 (2.5), [Ru(bpz)$_3$]$[PF_6]_2$ (2.5 mol%)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>&lt;2</td>
</tr>
<tr>
<td>15</td>
<td>17 (2.5), in darkness</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>&lt;2</td>
</tr>
<tr>
<td>16</td>
<td>17 (2.5), [Ru(bpy)$_3$]Cl$_2$ (1 mol%)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>40</td>
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<tr>
<td>17</td>
<td>17 (2.5)</td>
<td>HFIP</td>
<td>4</td>
</tr>
<tr>
<td>18</td>
<td>17 (2.5)</td>
<td>HFIP/H$_2$O (26/1)</td>
<td>&lt;2</td>
</tr>
<tr>
<td>19</td>
<td>17 (2.5)</td>
<td>HFIP/CH$_3$CN (26/1)$^d$</td>
<td>&lt;2 (+10% of 10)</td>
</tr>
<tr>
<td>20</td>
<td>17 (2.5)</td>
<td>HFIP/CH$_3$CN (4/3)$^d$</td>
<td>&lt;2 (+56% of 10)$^e$</td>
</tr>
<tr>
<td>21</td>
<td>13 (2.5)</td>
<td>HFIP/CH$_3$CN (4/3)$^d$</td>
<td>&lt;2 (+8% of 10)$^e$</td>
</tr>
</tbody>
</table>

$^a$ Isolated yield on a 0.2 mmol scale, c ~ 50 mM, ACS grade of HFIP was used. $^b$ 58% of 9 was obtained. $^c$ 8% of 7 was recovered. $^d$ Anhydrous HFIP and CH$_3$CN dried over 4 Å molecular sieves were used. $^e$ c ~ 30 mM, ~10% of 7 was recovered. See ESI for more screening results.

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work has indicated that the spin density of Bl− is delocalized on both O and I atoms and that Bl− is more stable than benzoyloxide radical Bzo−.25 The stability of Bl− may explain the observed weak reactivity for H-abstraction and the low conversion of 7,17,18 We speculated that installation of electron-withdrawing groups on the aryl motif of Bl would increase its electrophilicity, and enhance its H-abstraction reactivity. As shown in entries 4–7, Bl−OH analogs 14–17 with different electron-withdrawing groups were prepared and evaluated (see ESIF for more details).19 We were pleased to find that these Bl−OH analogs provided improved results, and hydroxy perfluorobenziodoxole (PFBl−OH, 17) gave the best yield.20,21 A 64% isolated yield of 8 was obtained when 2.5 equiv. of 17 was used (entry 10). Regarding the optimization of this hydroxylation reaction, we note: (1) addition of H2O is critical to obtain high yield (see entries 10 vs. 17). (2) 17 has high polarity and only dissolves well in polar solvents such as HFIP, DMSO, DMF; HFIP gives significantly better results than other solvent tested; (3) under O2 atmosphere, the reaction gave significantly diminished yield (entry 12); (4) in the dark, the reaction gave no product (entry 15); (5) only trace amount (<3% yield) of methylene C−H hydroxylation side product was detected. Interestingly, when the reaction was performed in mixed HFIP/CH3CN solvents (4/3) under similar conditions we obtained 56% yield of the C-H aminated product 10 with excellent selectivity (entry 20).

With optimized conditions in hand, we investigated the substrate scope of this C−H hydroxylation reaction (Scheme 2).

In general, the reaction proceeds with excellent selectivity for tertiary C−H bonds and in good yield. Common functional groups including CN, iodo, esters, amide, imide and pyridine groups proceeded in good yield. When reaction of groups including CN, iodo, esters, amide, imide and pyridine 3 in general, the reaction proceeds with excellent selectivity for tertiary C−H bonds and in good yield. Common functional groups including CN, iodo, esters, amide, imide and pyridine moieties are tolerated. When reaction of 7 was performed in a mixture of HFIP and H218O (97% of 18O), 18O-labelled product 19 was obtained. C−H hydroxylation of sulbactam and thalidomide derivatives (see 27 and 28) bearing β-lactam and imide groups proceeded in good yield. 28 was obtained in 60% yield on a gram scale. Both steric and electronic factors influence the reactivity of tertiary C−H bonds. For instance, tertiary C−H hydroxylation took place selectively at the more distal 3° carbon of 29. Hydroxylation of the sterically hindered and electron-poor tertiary C−H bond of phthaloyl valine methyl ester gave 32 in moderate yield. In comparison, C−H hydroxylation of leucine methyl ester 34 provided lactone product 33 in 52% yield. Moreover, short peptide substrates (see 35 and 36) can be C−H hydroxylated on the Leu residue with excellent selectivity under standard conditions.

While a number of methods for oxidation of benzylic methylene groups to ketones have been developed,22 practical methods for C−H hydroxylation of these methylene groups to benzylic alcohols are sparse.23 As shown in Scheme 3, we subjected 4-ethylphenylidolide to our standard C−H hydroxylation conditions with PFBl−OH 17, and obtained the alcohol product 37 in 40% yield along with 22% of ketone 37 and other unidentified by-products. We were delighted to find that use of 2 equiv. of Bl−OH 13 under the same conditions gave 37 in 71% yield along with 8% of ketone. More ketone 37 (24%) was obtained when 4 equiv. of Bl−OH was used for extended reaction time (24 h). This Bl−OH mediated benzylic C−H hydroxylation exhibited excellent chemo-selectivity and broad substrate scope. The reaction tolerates functional groups such as iodo, ketone, amide, even pinacolyl boronate (see 42). Electron-deficient arenes are less reactive and require the use of 4 equiv. of Bl−OH 13 (see 41). Electron-rich substrates give good yield with 1.5 equiv. of Bl−OH (see 38). Reaction of ibuprofen methyl ester gave 43 in 64% yield without the formation of tertiary C−H hydroxylated product. The same reaction in H218O gave 18O-labelled product 44. Reaction of natural product celestolide gave product 45 in excellent yield.

As shown in Scheme 4, by simply switching to the HFIP/CH3CN solvents, this hydroxyl benziodoxole-mediated reaction system provides an excellent method for C(sp3)−H amidation, which remains a challenging transformation for C−H functionalization chemistry.24−25 Tertiary C−H amidation with PFBl−OH 17 and benzylic C−H amidation with Bl−OH 13 proceeded with yields and regio-selectivity similar to the corresponding C−H hydroxylations carried out in HFIP/H2O solvents. Unactivated methylene C−H bonds were generally unreactive with either 13 or 17. However, cycloalkanes such as cyclohexane were efficiently amidated with 17, probably due to their
slightly more activated C–H bonds and more favorable kinetics.

25 Product 54 carrying a benzamide group was obtained in good yield using HFIP/PhCN solvent under similar conditions. Generally, the competing C–H hydroxylation reactions were well suppressed (<2% yield) in HFIP/nitrile solvents.

As shown in Scheme 5A, two C–O bond forming mechanisms were initially considered for this C–H hydroxylation reaction:

- nucleophilic trapping of a carbocation intermediate with H2O (pathway a) or a radical chain reaction with the hydroxyl benziodoxole reagents (pathway b).

23 In contrast to the large quantum yield F observed in our previously reported visible light-promoted C–H azidation reaction with Bl–N3,11,12 a small F (0.85, measured by Yoon’s method26) of the C–H hydroxylation reaction of 7 with PFBl–OH17 suggested a non-radical chain mechanism (see ESI† for details). The dependence of the reactivity on the H2O co-solvent and the formation of amidation product in the presence of CH3CN product strongly support ionic pathway a. Stern–Volmer experiments confirmed that the excited state of photocatalyst [Ru(bpy)3]Cl2 can be quenched by the addition of PFBl–OH17, while no obvious luminescence change of the photocatalyst was observed in the presence of substrate 7 (see ESI† for details).27

The mechanism of tertiary C–H hydroxylation with PFBl–OH17 likely begins with single electron transfer (SET) from photoexcited Ru(II) to PFBl–OH17, generating radical PFBl' 55.
Radical 55 abstracts a H atom from alkane substrate I, forming tertiary carbon radical II. II can be oxidized by the Ru(μ)-species, forming tertiary carbocation intermediate III, and regenerating the photocatalyst. Finally, tertiary carbocation intermediate III is attacked by H₂O to give hydroxylated product IV. Trapping of III by CH₃CN can give the amided product V following a Ritter reaction-type mechanism.¹² We speculate that Bl–OH mediated benzylic C–H hydroxylation and amidation proceeds through a similar mechanism, involving cleavage of benzylic C–H bond with less electrophilic Bl'.

This mechanism is supported by density functional theory (DFT) calculations using t-butane as a model substrate (Scheme 5B). The initial SET reduction of PFBl–OH 17 to PFBl' 55 is significantly more exergonic than the SET with Bl–OH 13 to Bl' 2 (ΔG = −4.9 kcal mol⁻¹ with PFBl–OH 15 vs. −0.9 kcal mol⁻¹ with Bl–OH 13).¹⁸,²⁸ With its spin density delocalized over the O and I atoms, PFBl' 55 undergoes facile H-abstraction of t-butane through an O-centered transition state (TS1) with a ΔG° of 16.6 kcal mol⁻¹ to give tBu'.²⁹ This H-abstraction process is promoted by the electron-deficient perfluoroaryl group. The corresponding H-abstraction with Bl' 2 requires a noticeably higher barrier of 18.2 kcal mol⁻¹ (see ESI†). The subsequent oxidation of tBu' by Ru(μ) to tbutyl cation is highly exothermic. Finally, the tbutyl cation is trapped with H₂O, providing tBuOH. Taken together, the DFT calculations indicated the perfluorinated analogue PFBl–OH promotes both the initial SET reduction and the H-abstraction steps in the catalytic cycle of the tertiary C–H hydroxylation.

Conclusions

In summary, we have developed a unified photoredox-catalysis strategy for both C(sp³)–H hydroxylation and amidation using hydroxyl benziiodoxole oxidant. This strategy allows the selective functionalization of tertiary and benzylic methylene C–H bonds under mild conditions. These reactions exhibit excellent substrate scope, and offer an efficient and convenient method for late-stage derivatization of complex substrates. Distinct from the radical chain mechanism invoked for our previous tertiary C–H azidation reaction with azido benziiodoxole, we propose a new product-forming pathway: photoredox catalyzed formation of a carbocation intermediate, followed by nucleophilic trapping with H₂O or nitrile cosolvent. Further expansion of the nucleophile scope and the functionalization of unactivated methylene C–H bonds using this reaction system are currently under investigation.

Conflicts of interest

There are no conflicts to declare.

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Notes and references


11 Y. Zhao and Y. Y. Yeung, Org. Lett., 2010, 12, 2128. A carbonyl-directed methylene C•H oxygenation using PhI(OaC)2 and BuOOH, yielding ketones, is described.


18 The relatively weak H–abstraction reactivity of Bl• is less consequential in the C–H azidation reaction because the reaction proceeds via a radical chain pathway.


20 For the original report of PFBl•–OH 17, see: R. D. Richardson, J. M. Zayed, S. Altermann, D. Smith and T. Wirth, Angew. Chem., Int. Ed., 2007, 46, 6529. PFBl•–OH can be readily prepared on the gram scale in 2 steps from a cheap precursor (2,3,4,5-tetrafluorobenzoic acid, $<$250 per kg) without column purification. It is a stable solid which can be stored in dark for 2 months and conveniently handled under air on the bench top.


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30 Our DFT calculation shows that the I-centered H-abstraction pathway from 55 yields a highly unstable hydriodiodane and is much less favourable (see ESI† for details).