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## Mechanism and performance of lithium–oxygen batteries – a perspective

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Rechargeable Li–O<sub>2</sub> batteries have amongst the highest formal energy and could store significantly more energy than other rechargeable batteries in practice if at least a large part of their promise could be realized. Realization, however, still faces many challenges than can only be overcome by fundamental understanding of the processes taking place. Here, we review recent advances in understanding the chemistry of the Li–O<sub>2</sub> cathode and provide a perspective on dominant research needs. We put particular emphasis on issues that are often grossly misunderstood: realistic performance metrics and their reporting as well as identifying reversibility and quantitative measures to do so. Parasitic reactions are the prime obstacle for reversible cell operation and have recently been identified to be predominantly caused by singlet oxygen and not by reduced oxygen species as thought before. We discuss the far reaching implications of this finding on electrolyte and cathode stability, electrocatalysis, and future research needs.

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### 1. Introduction

Raising energy storage beyond the limits of current battery technology has become a societal demand and focus of much Frontier research. The achievable limits of Li-ion batteries with

respect to energy, material sustainability and cost will likely not satisfy the needs. This motivates ambitious approaches with ‘beyond-intercalation chemistries’.<sup>1–3</sup> They store charge instead of intercalation by fundamentally different reactions. These include replacing the graphite anode by Li metal and intercalation cathodes by the O<sub>2</sub> cathode to form the Li–O<sub>2</sub> (air) battery, which is considered the battery with the highest specific energy. The O<sub>2</sub> cathode comprises a porous, electrolyte filled electron conducting matrix, wherein O<sub>2</sub> from the ambience is reduced on discharge to form Li<sub>2</sub>O<sub>2</sub> and the reverse process on charge.

There is lots of ambiguity of what energy a Li–O<sub>2</sub> cell could store, despite it being the motivation for the research. The problem arises from confusing formal capacity (1168 mA h g<sup>−1</sup>,

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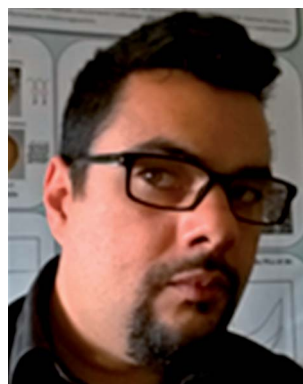
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Nika Mahne obtained her M.Sc. in Chemistry from Graz University of Technology, Austria, and is now Ph.D. student with Stefan Freunberger. Her research is focused on new materials for metal–O<sub>2</sub> batteries and in situ and ex situ spectroscopic and analytical methods to elucidate reaction mechanisms, particularly also parasitic chemistry with singlet oxygen.



Olivier Fontaine obtained M.Sc. and Ph.D. in Electrochemistry of ionic liquids from the University Diderot, Paris. After post docs at the Collège de France, Paris and the University of St. Andrews, UK, on Li–O<sub>2</sub> reaction mechanism he took up his current position as a lecturer at the Institut Charles Gerhardt, University of Montpellier. He specializes at the interface of analytical electro-chemistry, the chemistry of nanomaterials and functional ionic liquids with both fundamental aspects and applications to energy storage.

2500 mA h cm<sup>-3</sup> Li<sub>2</sub>O<sub>2</sub>) with theoretical capacity (Li<sub>2</sub>O<sub>2</sub> including the minimum electron and ion conductor to allow the storage process  $O_2 + 2e^- + 2Li^+ \leftrightarrow Li_2O_2$  to take place) and achieved true capacity (Li<sub>2</sub>O<sub>2</sub> including the used electron and ion conductor).<sup>4</sup> We thus shall first discuss realistic performance metrics.

The Li–O<sub>2</sub> battery combines two challenging electrodes. In most cases a Li metal anode is used, which is, despite decades of research, still associated with poor coulombic efficiencies.<sup>3</sup> Other high capacity anodes such as Si may also be considered but likely require in either case protection against O<sub>2</sub> ingress from the cathode. Until a couple of years ago there was hardly any knowledge on the O<sub>2</sub>/Li<sub>2</sub>O<sub>2</sub> redox couple in aprotic media. Reactive species involved in the cycling mechanism, which challenge the stability of electrolyte and electrode material, turned out to be another critical research direction. Next to these scientific and materials challenges practical realization further faces engineering challenges with cell construction and

air handling. Only understanding the science may thus form the foundation for tackling the engineering.

In this perspective we focus on the science underpinning the O<sub>2</sub> cathode. After dealing with performance we discuss the current understanding of Li<sub>2</sub>O<sub>2</sub> formation and decomposition on cycling, followed by measures of reversibility, mechanisms that degrade electrolyte and electrode components and porous cathode design. Potentially transformative ideas start with much enthusiasm and hyped expectations. Thereafter, illusions of low hanging fruit fall and only going the extra mile for true understanding can allow progress to continue. The field of Li–O<sub>2</sub> batteries is now in the latter stage. Real progress has been achieved with mechanistic understanding in the last years, which now puts us in a better position than ever to state that the disillusioned view of Li–O<sub>2</sub> never leaving the state of a cell with low capacity, rate, energy efficiency and cycle life is too pessimistic. Yet it is unclear whether it can eventually lead to a technology.

## 2. True performance metrics – myths, reality, and reporting standards

When performance is the argument for research work then data need to stand up to it. An alleged 5 to 10 fold theoretical higher specific energy in comparison to current LIBs is often found as justification when papers on the topic are introduced. However, these numbers stem from very simplistic views and are not realistic even in theory.<sup>4,5</sup>

Departing from the intercalation concept of LIBs does generally not allow for a stable framework in the active material. In the Li–O<sub>2</sub> cathode this means that the full volume of Li<sub>2</sub>O<sub>2</sub> forms/disappears during discharge/charge. The basic charge storage process at the cathode is linking the redox moiety O<sub>2</sub> to electron and ion transport according to  $O_2 + 2e^- + 2Li^+ \leftrightarrow Li_2O_2$ . However, Li<sub>2</sub>O<sub>2</sub> can, akin to most storage materials, not be cycled anywhere near to the bulk substance. Ion and electron



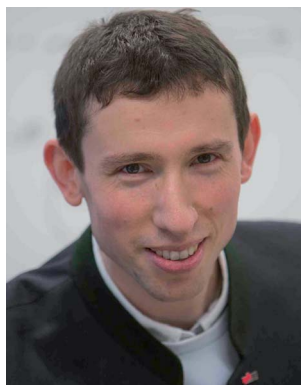
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transport are too poor to allow for practical bulk material electrodes. To provide simultaneous contact with ionic pathways to the electrolyte and electronic pathways to the current collector an electrolyte filled porous cathode is used. The capacity at a given initial porosity is determined by the degree of pore filling. Thus, beyond the scale of the single  $\text{Li}_2\text{O}_2$  particle, the electrode including electrolyte becomes the actual  $\text{Li}^+$  host structure, which is required to fulfil the charge storage processes of linking formal ion host particles ( $\text{Li}_2\text{O}_2$ ) to electron and ion transport. This introduces a 'super-host structure' that becomes an indispensable and integral part of the cell chemistry in a given electrode architecture and needs to be accounted for when reporting performance.

What capacity could the  $\text{Li}-\text{O}_2$  cathode with a reasonable super-host structure at best achieve and how does it compare to intercalation chemistries? One can assume that the  $\text{Li}_2\text{O}_2$  particles could at best be packed with 74% volume occupation into a face centred cubic (fcc) structure, the theoretically limiting case. When charged the porous electrode is filled by electrolyte, which is displaced upon  $\text{Li}_2\text{O}_2$  growth. Fig. 1 illustrates the relationship between formal host material and the super-host structure, and the maximum true specific capacity, which reaches  $\sim 700 \text{ mA h g}_{\text{total}}^{-1}$ , which is higher than intercalation electrodes.

Metal- $\text{O}_2$  batteries are special in that the positive electrode does not contain the redox material in the charged state that could be taken as a reference for capacity. Thus, it is convenient to report capacities per weight of porous electron conductor. Often, up to several  $10\,000 \text{ mA h g}_{\text{carbon}}^{-1}$  are reached as first discharge capacities, which compare superficially favourably with some  $100 \text{ mA h g}^{-1}$  for intercalation materials.<sup>3,6,7</sup> As a result of difficulties to cycle at full capacity it has become a habit to report cycling at, e.g.,  $1000 \text{ mA h g}_{\text{carbon}}^{-1}$ , which may still seem a lot in comparison to LIBs. Formal capacities are, however, easily misjudged since true capacities strongly depend on initial porosity and thus the substrate/electrolyte ratio as indicated by the vertical dotted line in Fig. 1a; true capacity at  $1000 \text{ mA h g}_{\text{carbon}}^{-1}$  grows with decreasing initial porosity due to the growing electrolyte/ $\text{Li}_2\text{O}_2$  ratio at shallow discharge, Fig. 1b and c.

Limited-capacity cycling often allows simulating a large possible cycle number even if the same cell at full discharge would not reach more than a few cycles and cumulative capacity equating to only a few full cycles. Clearly, overly capacity-limited cycling is not suitable to demonstrate large reversible capacities for many cycles. Yet, it is a common feature of beyond-intercalation chemistries that reasonably capacity-limited cycling can be enabling for cyclability and at the same time yield significant improvement over intercalation if the capacity on a total weight basis is kept in mind as shown in Fig. 1a.<sup>4</sup>

For higher true capacities than intercalation electrodes it is crucial to achieve an as high as possible packing density of  $\text{Li}_2\text{O}_2$  and to minimize inactive mass and volume. Low packing density and overly restricted depth-of-cycling likely result in no advantage over intercalation electrodes as demonstrated in Fig. 1. Reporting capacity with respect to the porous substrate mass does not reveal whether the electrode performs better

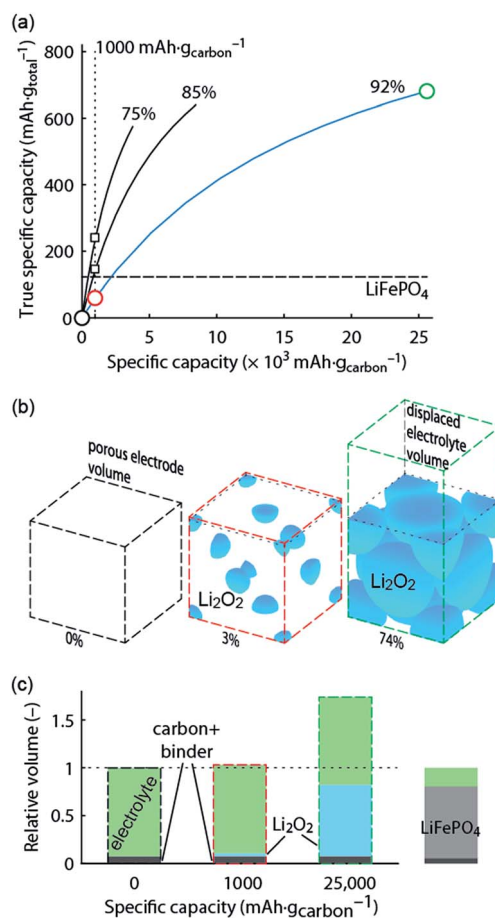


Fig. 1 True electrode capacity with limited capacity cycling. (a) True capacity of a  $\text{Li}-\text{O}_2$  cathode as a function cycling capacity per mass of carbon substrate for three cases of initial porosity (percentages above the curves). 4% volume are accounted for the binder, and the carbon volume fraction is adapted to yield the initial porosity. At an initial porosity of 92% a  $\text{Li}_2\text{O}_2$  volume occupation of 74% (fcc packing) corresponds to 80% filling of the available pore space. The same 80% filling of the available pore space is assumed for the other initial porosities. The analogous value for the intercalation material  $\text{LiFePO}_4$  is shown for comparison. (b) Space filling of spherical  $\text{Li}_2\text{O}_2$  inside the porous electrode volume with fixed sphere centres and the displaced electrolyte volume (together the super-host structure) at 0, 1000, and  $25\,000 \text{ mA h g}_{\text{carbon}}^{-1}$ , respectively (indicated by the circles in a). Sphere sizes are to scale and the numbers indicate their volume occupation in the porous electrode volume. (c) Volumes of the electrode components at these capacities normalized to the full electrode volume in the delithiated state. Values for a  $\text{LiFePO}_4$  cathode are shown for comparison and demonstrate a very different electrolyte/active material ratio. The figure is adapted from ref. 4 with permission of NPG.

than an intercalation electrode. A fair assessment requires therefore giving capacity per total electrode mass and volume. Unfortunately, many studies do not report the required measures to work out full electrode performance metrics. The following parameters are required to do so with electrode thickness and electrolyte loading being the only parameters beyond typically reported ones.

(1) The thickness of the porous electrode.



(2) The mass fractions of all components (carbon, binder, and electrolyte) as obtained from the mass fractions of all solids, their loading and the loading of electrolyte per unit electrode area.

(3) The volume fractions of all electrode components are then obtained from the mass fractions and the densities.

(4) With these measures it is straightforward to convert the capacity with respect to substrate into true capacity per mass and volume of total electrode including electrolyte.

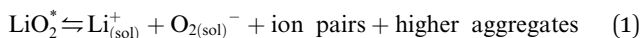
These parameters are easily obtained and journal editors and referees are urged to insist on them being provided for papers. There is no theoretical barrier for the Li–O<sub>2</sub> cathode to achieve higher true capacity than intercalation cathodes also in practice; key is high active material packing density and a small inactive/active material ratio.

### 3. Reaction mechanism at the Li–O<sub>2</sub> cathode

With true energy depending crucially on filling of the available pore space, the mechanism by which Li<sub>2</sub>O<sub>2</sub> is forming/decomposing attains paramount importance. It further directly impacts the stability of the cell components and rechargeability *via* the reactivity of the intermediates.

#### 3.1. Li<sub>2</sub>O<sub>2</sub> formation on discharge

The first step of O<sub>2</sub> reduction in aprotic Li<sup>+</sup>-electrolytes results in superoxide (O<sub>2</sub><sup>•−</sup>), which associates with Li<sup>+</sup> and in the second step either undergoes a second 1e<sup>−</sup> reduction or disproportionates to form Li<sub>2</sub>O<sub>2</sub>. Two mechanisms have been proposed for how these steps proceed. The first involves a solution process, where O<sub>2</sub><sup>•−</sup> is solubilized to precipitate Li<sub>2</sub>O<sub>2</sub> from the electrolyte solution,<sup>8</sup> and the second considers the intermediate as surface bound throughout the process.<sup>9,10</sup> Recently, a unified mechanism was described, where the solution and surface mechanism, respectively, are limiting cases.<sup>11</sup> It describes the partition between these cases by the solubilisation of LiO<sub>2</sub> in the equilibrium



where \* denotes surface species. In aprotic solvents the solubility of salts is primarily determined by the solvation of the cation, which is correlated with the Gutmann donor number (DN).<sup>8,11,13,14</sup> O<sub>2</sub><sup>•−</sup> solvation, correlated with the solvent acceptor number (AN), is usually weaker.<sup>13</sup> The typical classes of electrolyte solvents span a wide range of DN from nitriles and sulfones (DN = 14–16), *via* glymes (DN = 20–24), amides (DN ~ 26), sulfoxide (DN ~ 30).<sup>11,14</sup> Fig. 2a summarizes these and further parameters influencing surface or solution growth.

Lewis basicity (DN) or acidity (AN) of the electrolyte solution can be influenced by additives. Strongly Li<sup>+</sup>-coordinating salt anions can shift above equilibrium to the right to a similar extent as the solvent DN by competing association with the Li<sup>+</sup> ion between salt anion and O<sub>2</sub><sup>•−</sup>.<sup>15–18</sup> Similarly, Lewis acidic additives enhance O<sub>2</sub><sup>•−</sup> solvation as shown with water, alcohols, and onium cations.<sup>12,19–22</sup> Unfortunately, both high DN solvents

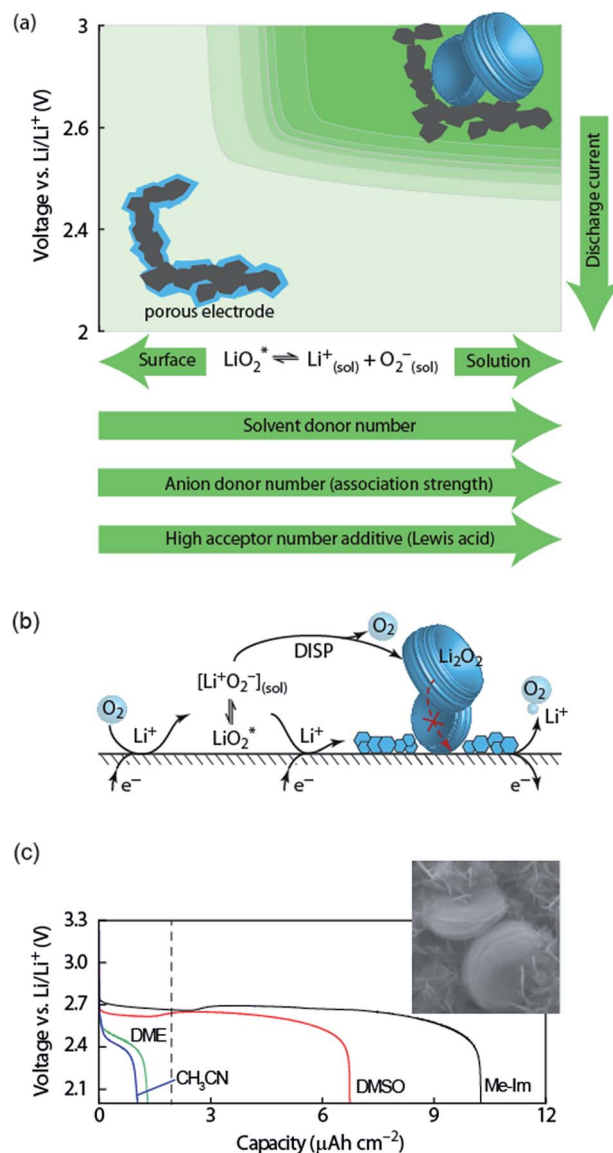


Fig. 2 (a) Parameters determining surface and solution growth. These lead to Li<sub>2</sub>O<sub>2</sub> as either conformal coating of the porous electrode or large particles in the pores. Effective Lewis basicity and acidity of the electrolyte as determined by solvent, salt anion, and additives governs the position of the equilibrium  $\text{LiO}_2^* \rightleftharpoons \text{Li}_{(\text{sol})}^+ + \text{O}_{2(\text{sol})}^{\bullet-}$ . Solvent and anion donor numbers follow the trend, e.g., nitriles < glymes < amides < sulfoxide and  $\text{TFSI}^- < \text{FSI}^- < \text{Tf}^- < \text{NO}_3^-$ . High AN additives are, for example, H<sub>2</sub>O and alcohols. Increasing current shifts from solution to surface growth. (b) Reactions involved in the reduction mechanism and effect on charge. (c) Potential versus capacity for galvanostatic discharge in various electrolytes containing 0.1 M LiClO<sub>4</sub>. Me–Im is 1-methylimidazole (DN = 47). The dashed line indicates 7 nm solid layer thickness, which is seen as the limit for e<sup>−</sup> tunneling. The insert shows an electron micrograph of toroidal deposits composed of lamellae as obtained from solution growth. (c) is adapted from ref. 11, the insert in (c) is reproduced from ref. 12 with permission from NPG.

and protic additives that favour the solution mechanism can enhance parasitic reactions.<sup>12,20,23,24</sup>

Irrespective of whether the surface or solution pathway prevails, the second electron transfer may either proceed *via* a second 1e<sup>−</sup> reduction or disproportionation (Fig. 2b). With



a standard potential of 2.96 V for  $\text{O}_2/\text{Li}_2\text{O}_2$  and  $\sim 2.65$  V for  $\text{O}_2/\text{O}_2^-$ , the standard potential for  $\text{O}_2^-/\text{Li}_2\text{O}_2$  is at  $\sim 3.3$  V.<sup>11</sup> The second reduction has therefore at all discharge potentials a strong driving force. However, electrochemical measurements combined with *in situ* Raman have shown that disproportionation dominates at low overpotentials in electrolytes with sufficient solvation strength to support solution growth.<sup>11,25,26</sup> Higher overpotential (higher current) accelerate in the same electrolytes the second reduction at the expense of disproportionation and change the mechanism to surface growth. Recently, it was suggested that not only effective solvation strength controls surface/solution growth based on a correlation between effective solvent polarity ( $E_{\text{r}}^{\text{N}}$ ), tuned by additives, and the onset of the second reduction in DMSO.<sup>27</sup> This was explained by an increasing solvent rearrangement energy with polarity and thus, according to Marcus–Hush theory, an increasing activation barrier for the second reduction.

Which mechanism prevails has important consequences for attainable capacity as exemplified in Fig. 2b for variation of solvent DN. The surface mechanism provides little mobility for reduced  $\text{O}_2$  species and leads to a conformal coating of the electrode with discharge ceasing after only  $\sim 5$  to 10 nm, corresponding to low capacity.<sup>10,25</sup> Beyond this thickness the charge transport resistance increases greatly as determined by impedance spectroscopy and does not permit sustaining the current any longer.<sup>10,28</sup> The solution mechanism, in contrast, keeps electrode area open for longer and allows for larger capacity by the growth of large (micrometer sized), toroidal particles composed of lamellae, which can fill larger pores to a bigger extent (insert in Fig. 2c).<sup>25</sup> The electrochemically active surface area does initially not change, followed by gradual surface blocking. The capacity is equally limited by greatly increasing charge transfer resistance  $R_{\text{CT}}$ .<sup>28</sup> Concurrently, the aspect ratio and average particle size of newly formed  $\text{Li}_2\text{O}_2$  decreases with progressive discharge.<sup>29</sup> Together, evolution of  $R_{\text{CT}}$  and particle shape suggest that with shrinking active surface, growing overpotential and local current, the mechanism gradually shifts towards the surface mechanism, which finally causes full passivation.

### 3.2. Oxidizing $\text{Li}_2\text{O}_2$ on charge

Galvanostatic charging of  $\text{Li}-\text{O}_2$  cathodes is typically characterized by an onset of charging ( $\text{O}_2$  evolution) slightly above the OCV at  $\sim 3$  V and ever increasing voltage as charging progresses. Three underlying phenomena appear to be consolidated although details are still under debate: (1) electrochemical oxidation of  $\text{Li}_2\text{O}_2$  is possible with low kinetic barriers at high rates; (2) increasingly difficult electron transfer along recharge contributes a minor fraction of the voltage rise; (3) rising voltage is mostly caused by accumulating parasitic products, which cause a mixed potential.

Theoretical studies determined the overpotential at which  $\text{Li}^+$ ,  $\text{e}^-$ , and  $\text{O}_2$  can be removed from  $\text{Li}_2\text{O}_2$ .<sup>30–33</sup> They suggested that  $\text{Li}^+$  and  $\text{e}^-$  can be removed starting below 0.2 V overpotential, leading to either surface  $\text{LiO}_2^*$  or bulk  $\text{Li}_{2-x}\text{O}_2$  via topotactic delithiation.<sup>30–32</sup> Bulk  $\text{Li}_{2-x}\text{O}_2$  appears to comprise

$\text{Li}_2\text{O}_2$  and  $\text{LiO}_2$  domains.<sup>32</sup>  $\text{O}_2$  evolution was initially suggested to have the highest barrier along the whole path.<sup>30,31</sup> Later, a pathway was shown where  $\text{O}_2$  evolves facile after progressive delithiation at  $\sim 0.3$  V overpotential *via*  $\text{Li}_{2-x}\text{O}_2$  to  $\text{LiO}_2$ , which then decomposes rapidly at  $\sim 2.6$  V vs.  $\text{Li}/\text{Li}^+$  (Fig. 3a).<sup>32</sup> Low theoretical charge overpotential is in agreement with experiments, albeit experimental overpotential even approaches zero since observed  $\text{O}_2$  evolution starts from  $\sim 3$  V.<sup>9,33,34</sup> Li-deficient  $\text{Li}_{2-x}\text{O}_2$  phases were confirmed by operando X-ray diffraction (Fig. 3b).<sup>35</sup> An open question is whether  $\text{O}_2$  release after the initial delithiation proceeds by disproportionation of  $\text{LiO}_2$  domains in  $\text{Li}_{2-x}\text{O}_2$  *via*  $2\text{LiO}_2 \rightarrow \text{Li}_2\text{O}_2 + \text{Li}^+ + \text{O}_2$  or *via* further  $\text{e}^-$  extraction. This is significant as the first pathway would imply that charge could be influenced in much the same way as discharge by the discussed factors governing surface or solution routes, and it could be a key for singlet oxygen formation and thus the major source a parasitic chemistry on charge as discussed in Section 4.3.

An intriguing feature of  $\text{Li}_2\text{O}_2$  is that the electronic conductivity depends strongly on applied voltage, crystallinity and defects. Increasing potential was postulated to significantly increase conductivity by either reducing the tunnelling barrier or through the formed Li-deficient phases.<sup>36,37</sup> This is consistent with impedance measurements that reveal much higher, capacity limiting, polarization resistance at the end of discharge

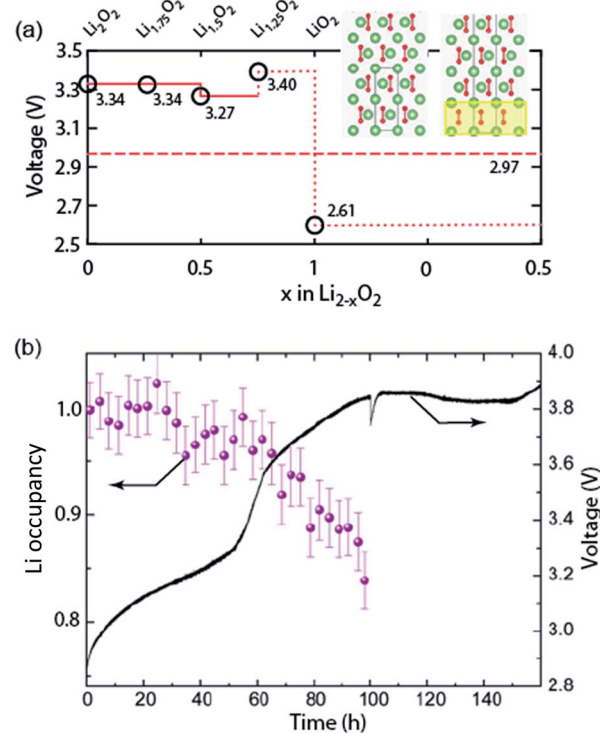


Fig. 3 (a) Calculated oxidation potentials for topotactic delithiation of  $\text{Li}_2\text{O}_2$  to  $\text{Li}_{2-x}\text{O}_2$ . The dashed line denotes the  $\text{O}_2/\text{Li}_2\text{O}_2$  standard potential. The inserts show the structures of  $\text{Li}_2\text{O}_2$  and  $\text{Li}_{1.75}\text{O}_2$ . (b) Average Li occupancy during charging of electrochemically formed  $\text{Li}_2\text{O}_2$  and the associated voltage.<sup>35</sup> (a) and (b) are adapted from ref. 32 and 35, respectively, with permission from the American Chemical Society.



than after switching to charging.<sup>28</sup> Theoretical and experimental work on pure  $\text{Li}_2\text{O}_2$  have highlighted the importance of defects and grain boundaries on charge transport.<sup>38–40</sup> Orders of magnitude higher conductivity of amorphous vs. crystalline  $\text{Li}_2\text{O}_2$  is consistent with the observed oxidation of amorphous and poorly crystalline  $\text{Li}_2\text{O}_2$  at lower voltage followed by the crystalline  $\text{Li}_2\text{O}_2$ .<sup>35,38,41</sup>

Important for understanding polarization and limitations of recharge is whether oxidation occurs at the cathode/ $\text{Li}_2\text{O}_2$  or the  $\text{Li}_2\text{O}_2$ /electrolyte interface. While charge transport through  $\text{Li}_2\text{O}_2$  will be limiting in the latter case, in the former case the question arises whether  $\text{Li}_2\text{O}_2$  particles would lose contact, which would impede full recharge. Recent work including with isotope labelled  $\text{O}_2$  suggested that  $\text{e}^-$  transport is limiting during discharge and charge.<sup>9,42</sup> Thus,  $\text{Li}_2\text{O}_2$  can deposit at the cathode/ $\text{Li}_2\text{O}_2$  interface beneath previously formed product if  $\text{Li}^+$  and  $\text{O}_2$  can reach the surface *via* cracks in the  $\text{Li}_2\text{O}_2$  and this later deposit is oxidized first, eventually leading to a gap between cathode and  $\text{Li}_2\text{O}_2$  and contributing to increasingly difficult oxidation.<sup>42</sup> Note that the associated rise in impedance accounts only partly for the observed rise in charge potential. The majority of the rise is associated with concomitant parasitic chemistry from the start of charge, which is accelerated with growing potential and predominantly caused by singlet oxygen as discussed in Section 4.3.<sup>24,43–45</sup> Current understanding of solid catalysts for the OER will be discussed in Section 5.2 and redox mediators as charge transfer agents for  $\text{O}_2$  reduction and evolution in Section 6.

## 4. Parasitic chemistry

Typically close to two electrons per one  $\text{O}_2$  are consumed on discharge despite significant amounts of a typical pattern of side products being formed including  $\text{Li}_2\text{CO}_3$ , Li formate and Li acetate.<sup>46–49</sup> On charge, the  $\text{e}^-/\text{O}_2$  ratio typically deviates significantly from two and more of the side products form. Parasitic chemistry is the prime obstacle for reversible Li- $\text{O}_2$  cell cycling and understanding the mechanisms to counteract them is thus the most pressing research need in the field.

### 4.1. Characteristics of reversible cell reactions

True reversibility of the cathode reaction,  $2\text{Li}^+ + \text{O}_2 + 2\text{e}^- \leftrightarrow \text{Li}_2\text{O}_2$ , requires a set of quantities to obey the stoichiometry and to match each other during discharge and subsequent charge. These are:

(A) One mole of  $\text{O}_2$  is consumed/released per two moles of electrons flowing on discharge/charge. Thus  $\text{e}^-/\text{O}_2 = 2$ .

(B) One mole of  $\text{O}_2$  and two moles  $\text{e}^-$  produce exactly one mole of  $\text{Li}_2\text{O}_2$  on discharge. On charge two moles  $\text{e}^-$  consume one mole of  $\text{Li}_2\text{O}_2$  and release one mole of  $\text{O}_2$ . Thus:

$$\text{e}^-/\text{O}_2 = \text{e}^-/\text{Li}_2\text{O}_2 = 2 \text{ and } \text{O}_2/\text{Li}_2\text{O}_2 = 1 \quad (2)$$

The ratio  $\text{e}^-/\text{O}_2 = 2$  is not a strict requirement for a rechargeable Li- $\text{O}_2$  battery if  $\text{Li}_2\text{O}_2$  is not the discharge product as occasionally claimed.<sup>6,7,50</sup> For example, if  $\text{Li}_2\text{O}_2$  with a certain fraction of 'LiO<sub>2</sub>-like' species or even pure LiO<sub>2</sub> is the

product  $\text{e}^-/\text{O}_2$  may be lower than 2. However, in any case  $\text{e}^-/\text{O}_2 = \text{e}^-/\text{Li}_x\text{O}_2$  must be identical on discharge and charge. These conditions further imply:

(C) All electrons involved contribute to the oxygen reduction reaction (ORR) or oxygen evolution reaction (OER). Thus, no other gas than  $\text{O}_2$  evolves during discharge and charge and no soluble or solid product other than  $\text{Li}_2\text{O}_2$  (or  $\text{H}_2\text{O}_2$ ) is produced.

(D) For cycling with equal capacity on discharge and charge ( $Q_{\text{ORR}} = Q_{\text{OER}}$ ) the  $\text{O}_2$  released on charge matches the amount consumed, thus  $n_{\text{O}_2, \text{ORR}} = n_{\text{O}_2, \text{OER}}$ .

Importantly, none of these measures can be taken for granted to be mutually met even if, for example,  $\text{e}^-/\text{O}_2 \approx 2$  on discharge is fulfilled. Before discussing the current understanding of reactions leading to deviating measures in the next two subsections, we first consider the basic interpretation of the load curves and quantitative analyses to determine the measures (A) to (D).

As discussed in Section 2 on performance metrics, it has become a habit to cycle cells at fixed discharge/charge capacities of, e.g.,  $1000 \text{ mA h g}_{\text{substrate}}^{-1}$ , thus forcing  $Q_{\text{charge}} = Q_{\text{discharge}}$ . Truncating discharge reasonably to avoid full electrode blockage may enable cyclability and appears justified as long as the true capacity based on the total weight is obeyed (see Fig. 1a). Capacity controlled recharge with  $Q_{\text{charge}} = Q_{\text{discharge}}$  is, however, prone to mask parasitic chemistry as illustrated in Fig. 4. The full and dashed blue curves at the bottom show discharge with voltage or capacity limitation, respectively. Basic thermodynamics requires for the charge reaction to be the reverse of the preceding discharge that (a) the voltage remains within the stability of electrolyte and electrode without  $\text{Li}_2\text{O}_2$  (black dashed line); and (b) that as the capacity approaches full recharge the depletion of the  $\text{Li}_2\text{O}_2$  must cause the voltage to rise ever steeper before it transits into a plateau at the electrolyte oxidation potential, blue curve in Fig. 4a. Concurrently,

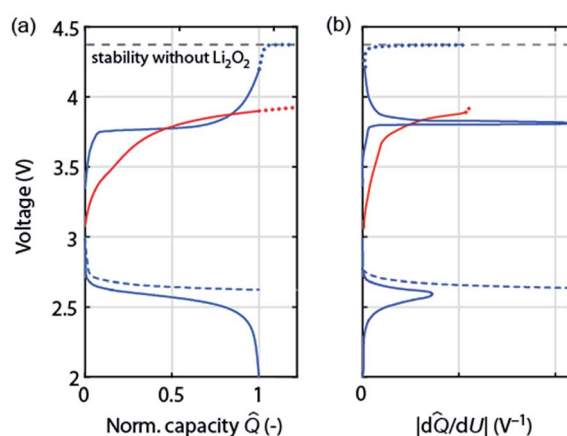


Fig. 4 (a) Schematic of load curves that are either possibly commensurate with cycling according to  $\text{Li}_2\text{O}_2 \rightarrow \text{O}_2 + 2\text{Li}^+ + 2\text{e}^-$  (blue) or with certainty indicating a major fraction of parasitic chemistry (red). The left graph shows the voltage versus normalized capacity  $\hat{Q}$  (capacity divided by final discharge capacity). Full and dashed curves correspond to voltage or capacity controlled discharge, respectively. Dotted curves on charge extrapolate to overcharge. (b) The corresponding differential capacity curves  $|\text{d}\hat{Q}/\text{d}U|$ .



differential capacity  $dQ/dU$  must approach zero at full recharge irrespective of whether the preceding discharge was limited by voltage or capacity, Fig. 4b (blue curve).

Frequently observed load curves of the type as shown in the red curve in Fig. 4 are, in contrast, with certainty indicating major parasitic chemistry. They are characterized of approaching full recharge flat with  $dQ/dU$  remaining high, Fig. 4a and b. Flat and high relate here to the rest of the charge curve. Whatever reaction takes place can thus not have finished, can thus not be predominantly  $\text{Li}_2\text{O}_2$  oxidation, and would continue with a continuing flat plateau if such a cell were over charged (extrapolation by the red dotted curve). An exception is cells with oxidation mediators with large electrolyte-to- $\text{Li}_2\text{O}_2$  ratio. Notably, remaining within the oxidative stability window (without  $\text{Li}_2\text{O}_2$ ) does not ensure absence of oxidative electrolyte decomposition nor does a lower recharge plateau *per se* (e.g., as a result of electrocatalysts) indicate less parasitic chemistry.<sup>47,51</sup>

Concluding about reversibility by the measures (A) to (D) requires multiple quantitative analyses. Measuring  $\text{O}_2$  consumption/evolution has been described by two methods: (A) quantitative operando online mass spectrometry (MS), where the cell head space is continuously or intermittently purged to a MS.<sup>34,48,52</sup> Using an  $\text{O}_2/\text{Ar}$  mix allows also quantification of  $\text{O}_2$  consumption and of any other gases evolved on discharge.<sup>19,24,34,53</sup> (B) Measuring the pressure in a closed cell head space.<sup>54</sup> Peroxide or superoxide content of electrodes has been measured *ex situ* using either iodometric titration<sup>49</sup> or spectrophotometry using the coloured  $[\text{Ti}(\text{O}_2^{2-})]^{2+}$  complex.<sup>55</sup> This method was combined with equally MS based quantification of  $\text{Li}_2\text{CO}_3$  and organic products by treatment with acid and Fenton's reagent to separately evolve  $\text{CO}_2$  from inorganic and organic compounds.<sup>44</sup> The latter may also be quantified by  $^1\text{H}$ -NMR after immersing the electrode in  $\text{D}_2\text{O}$  which further allows for speciation of the compounds.<sup>47,49</sup> Importantly, all these methods capture the integral electrode. Qualitative spectroscopic or microscopic methods such as Raman, FTIR, XRD, XPS, or SEM cannot replace the mentioned or similar quantitative integral methods and cannot support claims of reversibility.

#### 4.2. Reactions with reduced oxygen species and molecular oxygen

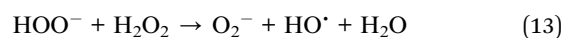
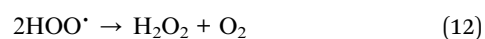
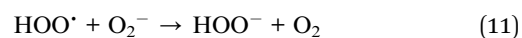
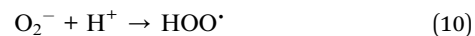
Reduced reactive oxygen species (RROS) are well known for their reactivity with a wide range of organic substrates, which has both been used as a reactant and recognized as a source of unwanted reactions.<sup>56,57</sup> Primary species are  $\text{O}_2^-$  and  $\text{O}_2^{2-}$ , which in protic environments form species including  $\text{HOO}^\bullet$ ,  $\text{HOO}^-$ , and  $\text{HO}^\bullet$ . Their nucleophilicity, basicity, and/or radical nature cause reactivity *via* three major routes: nucleophilic substitutions,  $\text{H}^+$  and H-atom abstraction. The latter may also proceed with dioxygen in auto-oxidation reactions. Additionally,  $\text{O}_2^-$  can transfer electrons.

Polarity is introduced to aprotic electrolyte solvents *via* heteroatoms to dissolve a Li-salt. The polarity in turn makes adjacent C and H atoms reactive. After the complete failure of carbonate electrolytes for Li- $\text{O}_2$  chemistry was rightly

associated with the nucleophilicity of  $\text{O}_2^-$ , this and the other reactivities of RROS and  $\text{O}_2$  were taken to explain lesser but significant parasitic chemistry of all so far investigated alternative solvents and cell components.<sup>1,47,58</sup> In the era of computational chemistry the likelihood for this assignment can be judged based on activation and reaction free energies. Bryantsev *et al.* have pioneered this field for  $\text{O}_2^-$  and  $\text{O}_2$  reacting with organic electrolytes *via* nucleophilic substitution, H-atom, and  $\text{H}^+$  abstraction.<sup>58–61</sup> Their data for activation energies together with those of other researchers are summarized in Table 1.

Strikingly, activation energies for all considered reactions involving the major classes of solvents – except for the very unstable solvents like carbonates – are too high to expect these reactions to strongly contribute to decomposition. Based on solvent stability screening experiments with  $\text{KO}_2$  exposure or the reversibility of the  $\text{O}_2/\text{O}_2^-$  couple, reactions with activation energies beyond  $100 \text{ kJ mol}^{-1}$  can be considered not to contribute noticeably.<sup>58</sup> Hence, only esters and lactones are expected to react *via* nucleophilic substitution with  $\text{O}_2^-$  and possibly ethers *via* H-abstraction with  $\text{Li}_2\text{O}_2$ .<sup>62</sup> With ethers, for example, all pathways with  $\text{O}_2^-$ ,  $\text{Li}_2\text{O}_2$  and  $\text{O}_2$  require high activation energy and are strongly endothermic. The only exception is one study that found H-abstraction by  $\text{Li}_2\text{O}_2$  clusters slightly exothermic with  $E^{\text{act}} < 100 \text{ kJ mol}^{-1}$ .<sup>62</sup> Solvent coordination with  $\text{Li}^+$  was reported to further stabilize against H-abstraction by  $\text{O}_2^-$  and  $\text{O}_2$ .<sup>61,63</sup>

In presence of proton sources such as water or weak acids  $\text{O}_2^-$  forms *via* eqn (10)–(13)  $\text{HOO}^\bullet$ ,  $\text{HOO}^-$ , and  $\text{HO}^\bullet$ , which are more reactive than the primary RROS.<sup>59,64</sup>



$\text{HOO}^-$  is a stronger base than  $\text{O}_2^-$  and more readily abstracts protons to form  $\text{R}^-$ .  $\text{HO}^\bullet$  could serve as the initiator to form  $\text{R}^\bullet$ , which undergoes fast and thermodynamically favourable onwads chain reactions in the presence of  $\text{O}_2$ .<sup>47,61</sup> Overall, direct reactivity of  $\text{O}_2^-$ ,  $\text{Li}_2\text{O}_2$  and  $\text{O}_2$  with the most important classes of non-aqueous solvents for the Li- $\text{O}_2$  cathode is unfavourable. Increasing parasitic chemistry with increasing water content is consistent with the protonated species being more reactive.<sup>12</sup> Yet, much higher side reactions on charge than on discharge, which opposes superoxide occurrence, points at RROS not to be the prime cause for parasitic chemistry.

#### 4.3. Singlet oxygen formation and suppression during discharge and charge

Electrochemically oxidizing  $\text{Li}_2\text{O}_2$  was hypothesised by Hassoun *et al.* to be able to generate singlet oxygen ( $^1\Delta_g$  or  $^1\text{O}_2$ ), the highly reactive first excited state of triplet ground state dioxygen ( $^3\Sigma_g^-$  or  $^3\text{O}_2$ ).<sup>69</sup> This view was motivated by the known formation of  $^1\text{O}_2$  by chemical oxidation of  $\text{H}_2\text{O}_2$  or alkaline peroxides.<sup>70</sup> Based





**Table 1** Reactions of organic electrolytes with reduced oxygen species and molecular oxygen and their calculated activation energy barriers. ROR' is generically used for organic moieties with polarizing heteroatoms and reactions may accordingly be translated to, e.g., N or S containing ones

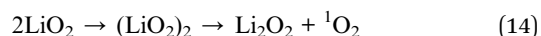
Reactant	Type of reaction	Reaction	$E^{\text{act}}$ (kJ mol <sup>-1</sup> )	References
$\text{O}_2^-$	Nucleophilic substitution	$\text{ROR}' + \text{O}_2^- \rightarrow \text{RO}^- + \text{ROO}'$ (3)	121–144 <sup>a</sup> , 105 <sup>b</sup> , 65–95 <sup>c</sup>	58, 61, 65 and 66
	H-atom abstraction	$\text{RH} + \text{O}_2^- \rightarrow \text{R}' + \text{HOO}^-$ (4)	129–180 <sup>d</sup> , 191 <sup>e</sup>	61, 63, 65 and 67
	H <sup>+</sup> abstraction	$\text{RH} + \text{O}_2^- \rightarrow \text{R}^- + \text{HOO}'$ (5)	$\text{pK}_a > 30$ stable <sup>f</sup>	59 and 68
$\text{Li}_2\text{O}_2$	Nucleophilic substitution	$\text{ROR}' + \text{Li}_2\text{O}_2 \rightarrow \text{RO}^-\text{Li}^+ + \text{R}'\text{OO}^-\text{Li}^+$ (6)	134–192 <sup>a</sup>	62
	H-atom abstraction	$\text{RH} + \text{Li}_2\text{O}_2 \rightarrow \text{R}' + [\text{Li}_2\text{O}_2\text{-H}']$ (7)	96–112 <sup>a</sup>	62
	H <sup>+</sup> abstraction	$\text{RH} + \text{Li}_2\text{O}_2 \rightarrow \text{R}^-\text{Li}^+ + \text{HOO}^-\text{Li}^+$ (8)	116–311 <sup>a</sup>	62 and 66
$\text{O}_2$	H-atom abstraction	$\text{RH} + \text{O}_2 \rightarrow \text{R}' + \text{HOO}'$ (9)	163–183 <sup>g</sup> , 138–161 <sup>h</sup>	61

<sup>a</sup> Dimethoxyethane (DME). <sup>b</sup> Acetonitrile. <sup>c</sup> Carbonate and lactones. <sup>d</sup> Free DME. <sup>e</sup> The DME<sub>2</sub>-Li<sup>+</sup> complex. <sup>f</sup> Examples for  $\text{pK}_a < 30$ : -CH<sub>2</sub>-CF<sub>2</sub>-, polyvinylidene difluoride (PVDF), aliphatic dinitriles, alkyl imides.  $\text{pK}_a > 30$ : acetonitrile, DMSO, *N*-alkyl amides and lactams, aliphatic ethers. <sup>g</sup> The lower value for free DME, the higher one for the DME<sub>2</sub>-Li<sup>+</sup> complex. <sup>h</sup> Lactams and amides.

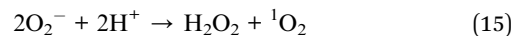
on the reversible potential of Li<sub>2</sub>O<sub>2</sub> formation and the energy difference between triplet and singlet oxygen of ~1 eV, <sup>1</sup>O<sub>2</sub> formation in the Li-O<sub>2</sub> cell has been considered possible at charging potentials exceeding 3.5 to 3.9 V *vs.* Li/Li<sup>+</sup>.<sup>54,69,71</sup> The idea was picked up by several reports but could not be verified due to the difficulties with detecting <sup>1</sup>O<sub>2</sub> except for one work, which identified small quantities of <sup>1</sup>O<sub>2</sub> between 3.55 and 3.75 V and explained it on thermodynamic grounds for the process  $\text{Li}_2\text{O}_2 \rightarrow \text{O}_2 + 2\text{Li}^+ + 2\text{e}^-$ .<sup>18,45,71</sup> It could thus contribute to explaining parasitic chemistry above 3.55 V. Yet it has been found that from the start of charging below 3.5 V both a substantial amount of parasitic products is generated<sup>43,44,49</sup> and that less than 1 mol O<sub>2</sub> evolves per 1 mol Li<sub>2</sub>O<sub>2</sub> consumed.<sup>49</sup> Both could not be explained by reactivity of reduced O<sub>2</sub> species and formation of <sup>1</sup>O<sub>2</sub> above 3.55 V.

Recently, Mahne *et al.* have shown that <sup>1</sup>O<sub>2</sub> forms also during discharge and from the onset of charge and that it accounts for the majority of parasitic reaction products.<sup>24</sup> The amount of <sup>1</sup>O<sub>2</sub> increases during discharge, early stages of charge, and charging at higher voltages, and is enhanced by the presence of trace water. They used the <sup>1</sup>O<sub>2</sub> specific conversion of 9,10-dimethylanthracene (DMA) into its endoperoxide (DMA-O<sub>2</sub>) to probe <sup>1</sup>O<sub>2</sub> in the cell. Operando fluorescence detection on discharge and charge has shown rather small <sup>1</sup>O<sub>2</sub> abundance on discharge and significant <sup>1</sup>O<sub>2</sub> formation immediately after switching to charging, starting from ~3 V (Fig. 5a). <sup>1</sup>O<sub>2</sub> on discharge is significant as shown by detecting the degree of DMA to DMA-O<sub>2</sub> conversion (Fig. 5c) and the substantially reduced amount of side products with DMA (Fig. 5b). Hence, a suitable <sup>1</sup>O<sub>2</sub> trap such as DMA can divert <sup>1</sup>O<sub>2</sub> from reacting with cell components, is, however, quickly consumed at the level of <sup>1</sup>O<sub>2</sub> abundance. In contrast, a <sup>1</sup>O<sub>2</sub> quencher physically deactivates singlet into triplet oxygen and is itself not consumed. Using 1,4-diazabicyclo [2.2.2]octane (DABCO) as quencher they have shown even more substantial reduction of side products (Fig. 5b). DABCO has, however, limited electrochemical stability between ~2.0 and 3.6 V, which allows for only partial recharge. Future work should therefore focus on finding quenchers that meet all requirements including electrochemical potential window, stability with the reduced oxygen species, and high quenching rate.

On discharge one possible source of <sup>1</sup>O<sub>2</sub> is the disproportionation of LiO<sub>2</sub> according to

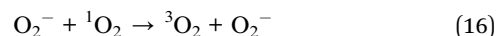


This pathway appears plausible when the structures and energies of dimers as calculated by Bryantsev *et al.* are considered.<sup>60</sup> When H<sub>2</sub>O or other proton sources are available the superoxide will be protonated to form HOO' that has been reported to be able to release <sup>1</sup>O<sub>2</sub> in the overall reaction.<sup>72,73</sup>



Overall, the disproportionation of superoxide in the presence of either Li<sup>+</sup> or H<sup>+</sup> appears to be the <sup>1</sup>O<sub>2</sub> source on discharge. On charge three possible pathways were suggested. First, an analogous path to the one on discharge involving disproportionation of superoxide in the presence of either Li<sup>+</sup> or H<sup>+</sup>. The LiO<sub>2</sub>-like surface species could form during the initial charging steps as discussed above<sup>30–32</sup> and <sup>1</sup>O<sub>2</sub> may form analogously to eqn (14) or (15). This pathway for <sup>1</sup>O<sub>2</sub> formation can be active from the first onset of charge as soon as Li<sup>+</sup> and e<sup>-</sup> are extracted. Second, a further 1e<sup>-</sup> oxidation of the surface LiO<sub>2</sub> species could give <sup>1</sup>O<sub>2</sub> above  $E_{\text{O}_2/\text{LiO}_2}^0 + E({}^1\Delta_g \leftarrow {}^3\Sigma_g^-)$ . With the thermodynamic equilibrium potential  $E_{\text{O}_2/\text{LiO}_2}^0$  estimated to be between 2.29 and 2.46 V (ref. 32, 74 and 75) and  $E({}^1\Delta_g \leftarrow {}^3\Sigma_g^-) \sim 1$  eV, a thermodynamic voltage for <sup>1</sup>O<sub>2</sub> evolution of 3.26 to 3.43 V can be estimated. Finally, above ~3.55 V the pathway can set in as suggested by Hassoun *et al.* and shown by Wandt *et al.* with <sup>1</sup>O<sub>2</sub> evolving by 2e<sup>-</sup>-oxidation of Li<sub>2</sub>O<sub>2</sub> ( $\text{Li}_2\text{O}_2 \rightarrow \text{O}_2 + 2\text{Li}^+ + 2\text{e}^-$ ).<sup>69,71</sup>

Superoxide is both a proficient source and efficient quencher of <sup>1</sup>O<sub>2</sub> *via* eqn (5).<sup>76</sup>



Net formation of <sup>1</sup>O<sub>2</sub> may depend on the relative kinetics of all superoxide sources and sinks (with <sup>1</sup>O<sub>2</sub> being involved in both) and not solely on the superoxide concentration. These sources and sinks are both electrochemical and chemical and change with discharge/charge, electrolyte, current, and potential. Current density and electrolyte properties will influence the





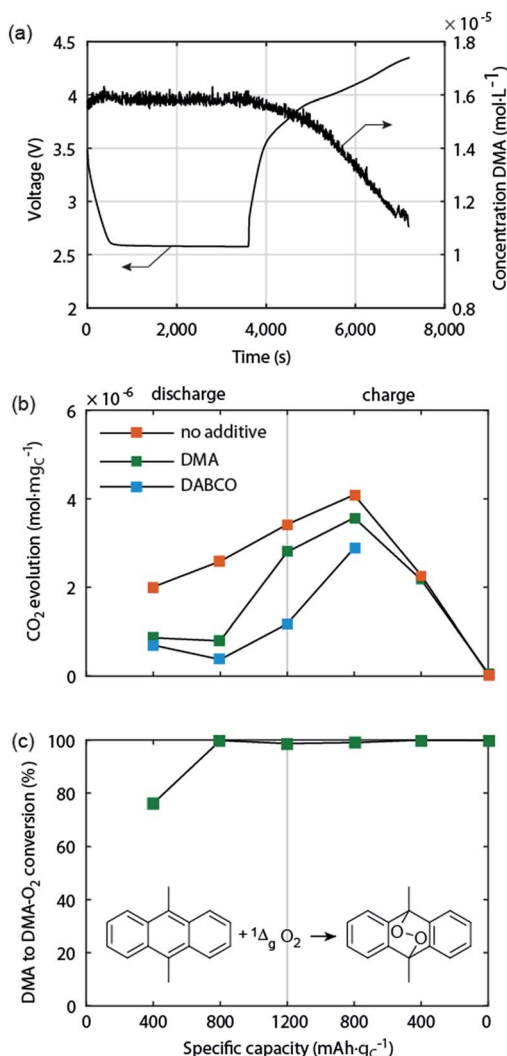


Fig. 5 Singlet oxygen formation and suppression during cycling of the Li–O<sub>2</sub> cathode.<sup>24</sup> (a) Operando fluorescence spectroscopy during galvanostatic discharge and charge of a carbon black electrode in O<sub>2</sub> saturated 0.1 M LiClO<sub>4</sub> in tetraglyme containing 1.6 × 10<sup>-5</sup> M 9,10-dimethylantracene (DMA) as singlet oxygen trap. (b) Amount of carbonaceous side reaction products at various sample points during discharge and charge of cells containing no additive, 30 mM trap DMA, or 10 mM quencher DABCO. (c) Fraction of the initial DMA that has reacted to DMA–O<sub>2</sub> in the cells that contained DMA as additive.

<sup>1</sup>O<sub>2</sub> formation in much the same way as they govern the occurrence of superoxide on discharge and charge below 3.5 V.<sup>77</sup> Charge current will drive <sup>1</sup>O<sub>2</sub> production if it causes charging voltages above ~3.5 V.

#### 4.4. Alternative storage media to Li<sub>2</sub>O<sub>2</sub>

In occasional reports the discharge product was reported to be Li<sub>2</sub>O<sub>2</sub> with remaining stable LiO<sub>2</sub> species, resembling the Li<sub>2-x</sub>O<sub>2</sub> intermediate on charge.<sup>50</sup> Based on these findings an Ir-graphene based cathode was reported to cycle in ether electrolyte *via* crystalline LiO<sub>2</sub>.<sup>7</sup> These assignments were mostly based on Raman spectra that can distinguish O–O stretch vibrations in Li<sub>2</sub>O<sub>2</sub> and LiO<sub>2</sub>. However, it was recently shown

that PVDF binder decomposition can lead to vibrations mimicking those of LiO<sub>2</sub>, thus concluding that cycling was not based on LiO<sub>2</sub>.<sup>78</sup>

Surprisingly large water contamination up to 1000s of ppm in ether electrolyte has been shown to still lead to Li<sub>2</sub>O<sub>2</sub> as the main discharge product rather than LiOH as one could intuitively assume.<sup>12,19</sup> Instead, water promotes discharge *via* the solution mechanism. Whether Li<sub>2</sub>O<sub>2</sub> or LiOH forms was suggested to be governed by the effective pK<sub>a</sub> value of water in the electrolyte.<sup>20</sup> A value of 35 in MeCN compared to 47 in DME was used to explain LiOH to form in the former and Li<sub>2</sub>O<sub>2</sub> in latter. At water concentrations beyond 1% LiOOH was shown to form together with LiOH.<sup>79</sup> LiOH was also found to form in a 4e<sup>-</sup>/O<sub>2</sub> reduction in presence of LiI.<sup>6,80</sup> Unfortunately, O<sub>2</sub> evolution from LiOH could so far not be shown and apparent cyclability must be accounted for I<sup>-</sup> electrochemistry and parasitic chemistry.<sup>80</sup>

Li<sub>2</sub>CO<sub>3</sub> not only forms as a result of parasitic reactions, but also when the O<sub>2</sub> supply is CO<sub>2</sub> contaminated.<sup>47,81,82</sup> CO<sub>2</sub> has a high barrier for direct reduction but reacts readily with O<sub>2</sub><sup>-</sup> along with the formation of Li<sub>2</sub>O<sub>2</sub>. If Li<sub>2</sub>CO<sub>3</sub> could be decomposed on charge to the educts it would make the cell insensitive to CO<sub>2</sub> in the O<sub>2</sub> supply. While Li<sub>2</sub>CO<sub>3</sub> can be decomposed from ~3.8 V, it does not evolve O<sub>2</sub> together with the CO<sub>2</sub>, which suggests that reactive intermediate form that decompose the electrolyte.<sup>47,48,82</sup> Apparent cyclability of O<sub>2</sub>/CO<sub>2</sub> cells that was shown in some cases was so far not compellingly associated with reversible chemistry. Making Li–O<sub>2</sub> chemistry insensitive to H<sub>2</sub>O and CO<sub>2</sub> contamination should thus remain a high priority, which foremost calls for rigorously investigating the associated parasitic chemistry.

## 5. Porous cathode design

### 5.1. Cathode support

Carbon is the most common porous electron conducting matrix for the O<sub>2</sub>–cathode due to low cost, high conductivity, and easily tuneable surface area and pore sizes. Carbon was, however, found to decompose itself on cycling and to promote electrolyte decomposition.<sup>43,44,49,83</sup> Using <sup>13</sup>C carbon black and MS analysis of the gaseous and solid products at various stages of cycling, carbon was observed to be relatively stable on discharge despite thermodynamic instability in contact with Li<sub>2</sub>O<sub>2</sub>; the majority of side products stems from the electrolyte. From the onset of charge, however, carbon decomposes to form Li<sub>2</sub>CO<sub>3</sub> with increasing rate as the potential grows.<sup>44,49</sup> Defect rich hydrophilic carbon is both much more vulnerable itself and promotes more strongly electrolyte decomposition during discharge and charge than hydrophobic carbon.<sup>44,83</sup>

As with electrolyte decomposition, the carbon instability was related to O<sub>2</sub><sup>-</sup> attack. The perfectly opposing trend of O<sub>2</sub><sup>-</sup> abundance – highest on discharge and ever decreasing as charge voltage grows – to decomposition rates makes this interpretation unsatisfactory. Therefore, reactive intermediates on oxidizing Li<sub>2</sub>O<sub>2</sub> have been suggested.<sup>44,45</sup> Carbon and electrolyte decomposition rates both follow the trend of <sup>1</sup>O<sub>2</sub> abundance as shown in Fig. 5a. This is consistent with <sup>1</sup>O<sub>2</sub> being the



dominant driver of parasitic chemistry; possibly the nearly exclusive one on charge.

Given the instability of carbon, alternative corrosion resistant materials have been sought that at the same time do not promote electrolyte decomposition. They include Ti ceramics and nanoporous Au that allow for more stable cycling.<sup>52,53</sup> For TiC a thin passivating layer of  $\text{TiO}_{2-x}$  and  $\text{TiOC}$  has been identified to be critical for stability and conductivity.<sup>53,84</sup> The metallic Magnéli phase  $\text{Ti}_4\text{O}_7$  was equally shown to form surface  $\text{TiO}_{2-x}$  and to allow for cyclability similar to Au and TiC.<sup>85</sup> Limited binder stability adds another dimension to cathode design. Standard PVDF binder as used in LIB was found to react with  $\text{Li}_2\text{O}_2$  and  $\text{KO}_2$ ,<sup>59,78,86,87</sup> but equally  $^1\text{O}_2$  can be expected to contribute. More stable alternatives include PTFE and Nafion.<sup>86,87</sup>

So far the surface chemistry and electrochemistry of these alternative materials have been investigated with bound nanoparticles forming low porosity electrodes. Achieving high capacity based on the total electrode weight requires, however, filling highly porous electrodes to a large extent with  $\text{Li}_2\text{O}_2$ . High porosity becomes even more important when going from C to much denser metals or ceramics. Fig. 6 shows the relation between initial electrode porosity and maximum achievable true capacity for the examples of C, TiC, and Au. Shaping chemically stable materials into highly porous electrodes, ideally with well beyond 80% porosity, favourable surface area and pore size distribution arises therefore as a major need in the field.

## 5.2. Heterogeneous electrocatalysis

Typical overpotentials relative to  $E_{\text{O}_2/\text{Li}_2\text{O}_2}^0 = 2.96 \text{ V}$  are  $\sim 0.3 \text{ V}$  on discharge and ever rising values on charge from nearly zero to, in some cases, up to 2 V. Inspired by aqueous  $\text{O}_2$  electrochemistry, these overpotentials were accounted to sluggish kinetics and evoked substantial efforts in finding efficient electrocatalysts including noble metals, transition metal oxides, and

doped carbons.<sup>51</sup> Considering the mechanism for  $\text{Li}_2\text{O}_2$  formation/decomposition, there are, however, three major barriers questioning true effectiveness of solid electrocatalysts. First, ideal  $\text{Li}-\text{O}_2$  chemistry does not involve O–O bond breaking, the step necessitating catalysts in aqueous media.  $\text{O}_2$  reduction to superoxide and peroxide, in contrast, has been shown to be facile even on bare glassy carbon.<sup>33</sup> Hence, perceived overpotentials are not due to ORR or OER kinetics. The discharge potential of  $\sim 2.7 \text{ V}$  is not due to overpotential of the  $\text{O}_2/\text{Li}_2\text{O}_2$  couple but is pinned there by the reversible potential of the  $\text{O}_2/\text{O}_2^-$  couple, the first step on  $\text{O}_2$  reduction to  $\text{Li}_2\text{O}_2$  and can thus not be raised by electrocatalysts.<sup>9,11,88</sup>  $\text{Li}_2\text{O}_2$  oxidation starts with nearly zero overpotential at  $\sim 3 \text{ V}$ . Rising charge potentials are, as discussed in Section 3.2, caused partly by increasingly difficult electron transfer in the receding  $\text{Li}_2\text{O}_2$  and mostly by parasitic chemistry. Second, conventional electrocatalysis would only act on dissolved redox species. On discharge there would thus be no effect beyond monolayers of  $\text{Li}_2\text{O}_2$  forming with the surface mechanism. Deep discharge with both surface and solution mechanism blocks eventually all electrochemically active surface. Third, charge transport limitations through forming  $\text{Li}_2\text{O}_2$  (ref. 10 and 36) and mass transport of  $\text{O}_2$  through the porous electrode<sup>89</sup> are not addressed by solid catalysts.

Whether solid electrocatalysts can have any effect on charge depends on the processes at the buried cathode/ $\text{Li}_2\text{O}_2$  interface and how they proceed as charge progresses. Given the insolubility of  $\text{Li}_2\text{O}_2$ , solution transport to open sites can be largely neglected. Proposed pathways for how the substrate could modulate oxidation of adhering  $\text{Li}_2\text{O}_2$  include *in situ* doping of the deposited  $\text{Li}_2\text{O}_2$  with slightly soluble transition metal catalysts during discharge, helping charging through enhanced polaron transport or vacancy transport with  $\text{O}_2$  evolution at the  $\text{Li}_2\text{O}_2$ /electrolyte interface.<sup>90</sup> Further, supporting metal(oxides) may alter the delithiation kinetics of  $\text{Li}_2\text{O}_2$  by forming Li-transition metal-oxides.<sup>77</sup> Acting beyond the initial stages of charge requires maintaining the electrode/ $\text{Li}_2\text{O}_2$  contact, for which the driving force is not clear as the  $\text{Li}_2\text{O}_2$  closest to the electrode will necessarily be oxidized first. In the case of large  $\text{Li}_2\text{O}_2$  deposits forming by the solution mechanism, this contact may never be fulfilled for a large fraction of the  $\text{Li}_2\text{O}_2$ . Not least did the habit of extended cycling at a small fraction of a possible single discharge capacity (see Section 2) arise from catalyst studies; with deep discharge the same cells fail typically very quickly, which hints at very limited effectiveness of the catalysts to oxidize large amounts of detached  $\text{Li}_2\text{O}_2$ .

Given the paramount importance of parasitic chemistry, electrocatalysts must not catalyse parasitic reactions with the electrolyte or electrode. Unfortunately, materials identified as electrocatalysts do enhance parasitic reactions.<sup>46,51,91</sup> The exact pathways are not fully clarified but are at least in part associated with the catalyst's ability to dissociate the O–O bond. Concluding about a catalyst's ability to enhance efficiency and cyclability requires quantitative measures of reactant turnover and parasitic products without which any claim is inadequate (see Section 4.1).

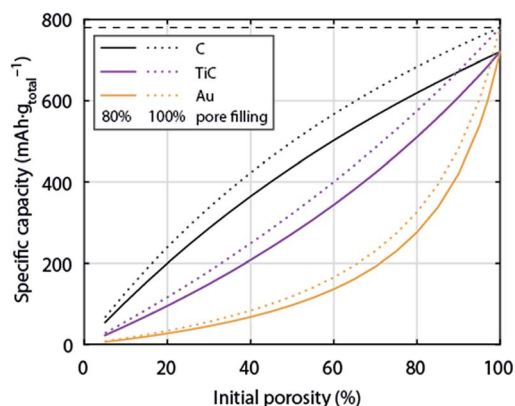


Fig. 6 Specific capacity of a  $\text{Li}-\text{O}_2$  cathode with respect to total electrode weight including electrolyte as a function initial porosity. The initial porosity is in the fully charged state filled with electrolyte and at full discharge filled to 80% with  $\text{Li}_2\text{O}_2$ ; the dotted lines show values for 100% pore filling for comparison. Values are given for cathodes made from C, TiC, or Au respectively. The calculation is analogous to Fig. 1.



## 6. Solution based Li–O<sub>2</sub> cell chemistry

Lithium peroxide is a good charge storage medium with respect to formal capacity per mass and volume. It is, however, a poor medium with respect to the basic charge storage process of linking the redox moiety O<sub>2</sub> to electron and ion transport according to  $\text{O}_2 + 2\text{e}^- + 2\text{Li}^+ \leftrightarrow \text{Li}_2\text{O}_2$ . However, different to other Li<sup>+</sup> storage materials, Li<sup>+</sup> and e<sup>−</sup> transport into/out of the bulk Li<sub>2</sub>O<sub>2</sub> particle is not even required since growth/dissolution occurs in any case at its surface. This unique feature of Li<sub>2</sub>O<sub>2</sub> can be turned into a major advantage in terms of rate capability. It is the several orders of magnitude slower ion diffusivity compared to liquids that makes batteries slow and the very fast charge transport in liquids that makes supercapacitors high-power devices.<sup>92</sup> Bypassing Li<sub>2</sub>O<sub>2</sub> for ion and electron transport through a phase, where both are facile may thus enable high-power Li–O<sub>2</sub> cells. Li<sub>2</sub>O<sub>2</sub> would then only serve as the charge storage medium. While the liquid electrolyte (where the reaction takes place) provides facile ions transport, moving electrons through the liquid is more difficult. Possibilities involve: (a) giving solubility to LiO<sub>2</sub> for it to act as an electron mediator during discharge (Fig. 7a, discussed in Section 3); (b) redox mediators that are reduced/oxidized at the e<sup>−</sup> conductor, then move through the electrolyte and act in a distant position to reduce O<sub>2</sub> or oxidize Li<sub>2</sub>O<sub>2</sub>, thereby being regenerated themselves (Fig. 7b).

Two classes of reduction mediators have been put forward. With the first, the reduced mediator M<sup>−</sup> reduces O<sub>2</sub> in an outer

sphere reaction to superoxide, which then can undergo disproportionation or is further reduced by another M<sup>−</sup> (Fig. 7b top), with investigated compounds including viologens and *N*-heterocyclic complexes.<sup>93–95</sup> However, improvement of discharge capacity has not clearly been shown. Recently, particular quinones (*e.g.*, 2,5-di-*tert*-butyl-1,4-benzoquinone (DBBQ)) were shown to form Li<sub>2</sub>O<sub>2</sub> in an inner sphere process without the involvement of free superoxide (Fig. 7b centre).<sup>96</sup> Reduction of the quinone in presence of Li<sup>+</sup> and O<sub>2</sub> leads to a LiM and then LiMO<sub>2</sub> complex. The latter is more stable than [Li<sup>+</sup>O<sub>2</sub><sup>−</sup>] as seen by the higher discharge potential, and disproportionates to form Li<sub>2</sub>O<sub>2</sub> and to reform M. LiMO<sub>2</sub> dissolves even in poorly solvating glyme electrolytes, thus mitigating the trade-off between solubilisation and stability and allowing for substantially increased discharge capacity.<sup>23,96</sup> Higher Li<sub>2</sub>O<sub>2</sub> yield with DBBQ than without it was attributed to the absence of free superoxide, albeit it is still unclear whether the quinone suppresses the direct reactivity of the superoxide or <sup>1</sup>O<sub>2</sub> formation.<sup>24</sup>

Oxidation mediators allow, in principle, charging at nearly zero overpotential and numerous oxidation mediators have been explored for redox potential and O<sub>2</sub> evolution efficiency (e<sup>−</sup>/O<sub>2</sub>).<sup>18,97–101</sup> Early work has found that some oxidation mediators with suitable redox potentials oxidize Li<sub>2</sub>O<sub>2</sub> with the expected amount of O<sub>2</sub> evolution whereas others with similar potential evolve considerably less O<sub>2</sub>.<sup>98</sup> As mechanistic descriptor for this behaviour, the position of the HOMO level of M<sup>+</sup> was put forward, which, when close to the HOMO of the electrolyte, is prone to oxidize the electrolyte.<sup>99</sup>

Two pitfalls have to be considered with mediators: first, oxidation mediators M<sup>+</sup> may, instead of oxidizing Li<sub>2</sub>O<sub>2</sub>, diffuse out of the cathode to the counter electrode to cause leak-current by shuttling. This may be avoided by using Li<sup>+</sup> conducting diffusion barriers such as ceramics or polymers as the separator.<sup>101</sup> Second, mediators, which are mostly organic molecules, are themselves susceptible to decomposition. Both issues make it imperative to quantitatively measure O<sub>2</sub> consumption/evolution, Li<sub>2</sub>O<sub>2</sub> formation/oxidation, and parasitic products as discussed in Section 4.1. Any claim about performance improvements is inadequate without these measures.

## 7. Outlook

The past few years have brought substantial progress with the mechanisms underpinning the operation of the Li–O<sub>2</sub> cathode. The two central issues are: (A) discharge/charge mechanisms of Li<sub>2</sub>O<sub>2</sub>, and (B) mechanisms of parasitic chemistry.

A central issue was to identify conditions leading to discharge *via* a surface passivating mechanism (giving low capacities) or a solution based process to form large Li<sub>2</sub>O<sub>2</sub> particles (required for high capacities). The deciding factor is the solvation of the superoxide intermediate by tuning the electrolyte interaction with Li<sup>+</sup> or O<sub>2</sub><sup>−</sup> *via* solvent, salt, and additive Lewis basicity/acidity. Oxidation of Li<sub>2</sub>O<sub>2</sub> proceeds at low kinetic overpotentials and can thus, in principle, take place at high rates close to the thermodynamic potential. Rising voltage is predominantly associated with parasitic chemistry.

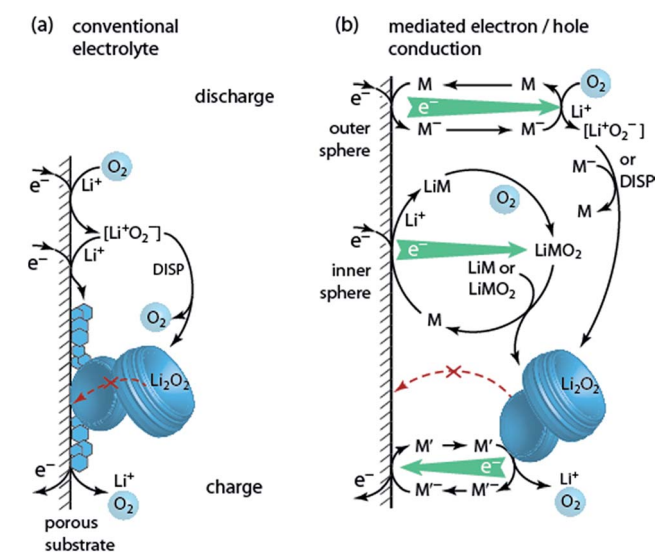


Fig. 7 (a) Schematic of the reactions taking place in a Li–O<sub>2</sub> cathode ( $\text{O}_2 + 2\text{Li}^+ + 2\text{e}^- \leftrightarrow \text{Li}_2\text{O}_2$ ) during discharge and charge in conventional electrolyte. The insoluble and insulating discharge product Li<sub>2</sub>O<sub>2</sub> forms on the surface of the conducting porous substrate and passivates it. Charging is hampered by poor electron transport. (b) Mediated electron/hole transport by mediators M and M'. The reduction mediator M may transfer electrons to O<sub>2</sub> either in an outer sphere process or *via* an O<sub>2</sub>-binding transition state in an inner sphere process.





Nevertheless, when discharge proceeds *via* the solution mechanism charge transport from large  $\text{Li}_2\text{O}_2$  particles will contribute to overpotentials.

Solution based Li–O<sub>2</sub> chemistry appears to be the way forward for high capacity and rate capability and low overpotentials, here,  $\text{Li}_2\text{O}_2$  only serves as the storage medium and is bypassed for charge transport through the electrolyte by means of redox mediators. With reduction mediators the pathway to form  $\text{Li}_2\text{O}_2$  may be altered such that there is not free superoxide, which is a source of singlet oxygen and thus parasitic chemistry on discharge. Oxidation mediators allow, in principle, for charging at nearly zero overpotential. The biggest open question with mediators is their own susceptibility to decomposition and their impact on singlet oxygen formation.

The major barrier for reversible cell operation is parasitic chemistry with electrolyte and cell components. The previous view of superoxide and  $\text{Li}_2\text{O}_2$  being the major cause was only recently overturned by finding that singlet oxygen ( $^1\text{O}_2$ ) is formed on discharge and charge; the extent matches the pattern of parasitic reactions with relatively little on discharge and much more on charge. Practical realization of Li–O<sub>2</sub> batteries will, in our opinion, stand or fall with mastering  $^1\text{O}_2$  formation. Open questions centre around: (1) factors influencing  $^1\text{O}_2$  formation including catalysts, electrolytes, mediators, and protic additives; (2) more detailed insight into formation mechanisms; (3) finding efficient quenchers; (4) finding mechanism to prevent  $^1\text{O}_2$  formation. Given that so far significant parasitic chemistry is to be expected during both discharge and charge, concluding about the efficacy of any measure to improve capacity, efficiency and cyclability requires quantitative analysis of reactant turnover and parasitic products without which any claim of improvement is inadequate.

There is no theoretical barrier for the Li–O<sub>2</sub> cathode to achieve much higher capacity than intercalation cathodes. However, to do so it is crucial to achieve an as high as possible packing density of  $\text{Li}_2\text{O}_2$  in the cathode and to minimize the inactive mass and volume including the electrolyte. Two habits make tracing progress in the field difficult: first, reporting capacity with respect to porous substrate mass, which represents a minor and widely varying fraction of the total electrode mass; second, reporting cycling at, *e.g.*, 1000 mA h g<sub>carbon</sub><sup>−1</sup>, which may still seem a lot in comparison to intercalation electrodes. The problem is that in most cases true performance is below intercalation electrodes and that it masks irreversible reactions. Therefore, it is important to report performance with respect to the full electrode to allow for a fair assessment of energy, power, and cycle life.

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