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Metal-free O–H/C–H difunctionalization of phenols by *o*-hydroxyarylsulfonium salts in water†

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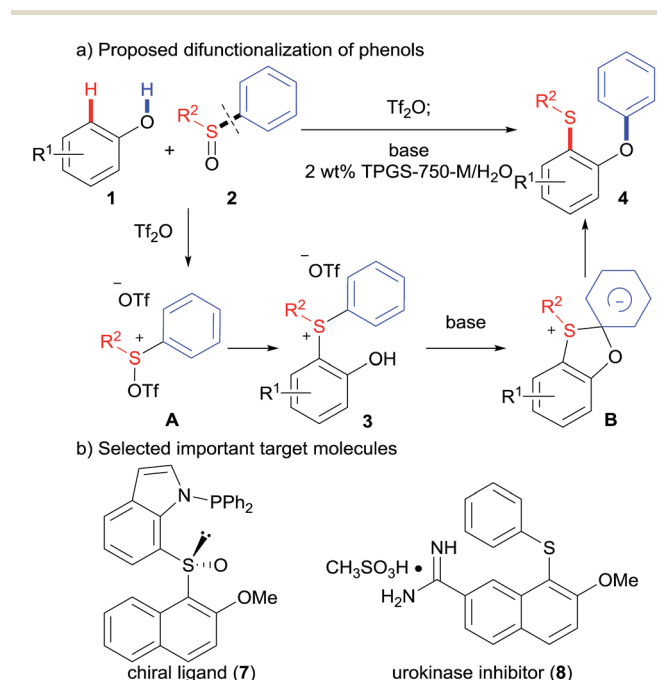
An environmentally benign method for C–H/O–H difunctionalization of phenols with sulfoxides under mild conditions has been developed. The reaction process is mediated by an electrophilic aromatic substitution and subsequent selective aryl or alkyl migration, involving C–S and C–O bond formations with broad substrate scope.

Introduction

Carbon–heteroatom bond forming reactions are one of the most important tools used in pharmaceutical chemistry, medicinal chemistry, and material sciences.¹ In particular, transition metal catalyzed C–O or C–S cross-couplings,² have

been widely used to construct important families of target molecules, aryl ethers, sulfides and sulfoxides.³ Traditionally, these reactions are carried out in organic solvents (*e.g.*, THF, CH₃CN, DMSO, and DMF), which may cause potential health, safety, and waste disposal issues.⁴ Therefore, transition-metal-free C–O and C–S coupling reactions⁵ under greener conditions are desirable, especially in the pharmaceutical industry.⁶ Herein, we report our efforts toward a novel environmentally benign O–H/C–H difunctionalization of phenols by *o*-hydroxyarylsulfonium salts in water (Scheme 1).

Our reaction design is detailed in Scheme 1. It is reasonable to hypothesize that sulfoxides **2** may be activated using a sulfonylating agent like trifluoromethanesulfonic anhydride (Tf₂O), inspired by the Pummerer reaction.⁷ The activated sulfoxide **A** could be attacked by phenols **1** at sulfur, giving rise to *o*-hydroxyarylsulfonium intermediates **3**. Such intermediates might then undergo a Smiles-like rearrangement,⁸ thus affording versatile products *o*-(phenoxy)aryl sulfides, **4**, which could be readily converted to many important ligands⁹ and biologically active molecules¹⁰ (Scheme 1b). However, the following challenges in this strategy were anticipated: (1) the activated sulfoxide can be attacked by the phenolic hydroxyl group at the cationic sulfur, according to previous studies;¹¹ (2) it may require one electron-withdrawing group (*e.g.*, NO₂) to activate the migration of the aromatic ring in the Smiles rearrangement; (3) such rearrangement of sulfonium salts has been seldom reported.¹²



Scheme 1 Proposed difunctionalization of phenols.

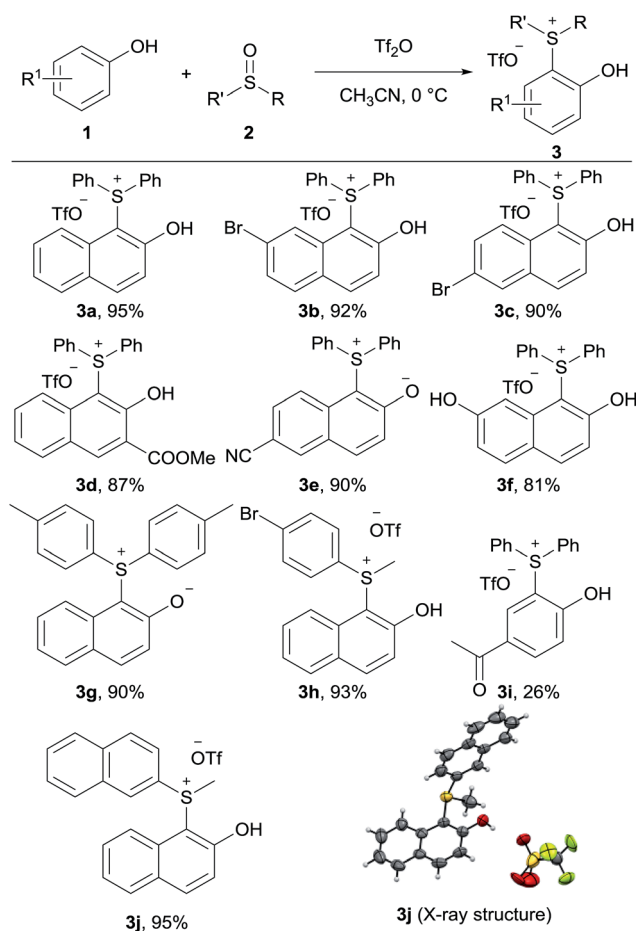
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Results and discussion

Our initial investigation began with 2-naphthol **1a** and diphenyl sulfoxide **2a** in the presence of Tf₂O in acetonitrile at 0 °C. To our delight, the new sulfonium salt **3a** was isolated in 95% yield. Somewhat surprisingly, this type of *o*-hydroxyarylsulfonium salt has never been prepared by this electrophilic aromatic substitution approach. The efficiency of this process was briefly examined with various phenols and sulfoxides, as shown in Table 1. Naphthols with electron-withdrawing substituents (**3b–3e**)



Table 1 Preparation of *o*-hydroxyarylsulfonium salts **3**^a

^a Reaction conditions: phenol **1** (0.2 mmol), sulfoxide **2** (0.22 mmol), Tf_2O (0.24 mmol), MeCN (0.1 M) at $0\text{ }^\circ\text{C}$ for 3 h.

worked equally well as those with electron-donating groups (**3f**). In addition, both diaryl sulfoxides and alkyl aryl sulfoxides led to the corresponding products in excellent yields (**3g**, **3h**, and **3j**). Interestingly, **3e** and **3g** were isolated as zwitterions. Unfortunately, though not entirely unexpected, a poor yield was observed when 4-hydroxyacetophenone was treated with diphenyl sulfoxide **2a**.

With isolated salt **3a** in hand, we sought to determine its reactivity in a Smiles-like rearrangement, as a stepwise and general methodology to *o*-(phenoxy)naphthyl sulfide **4aa** (Table 2). We first explored the choice of base under aqueous micellar conditions¹³ at $70\text{ }^\circ\text{C}$ (entries 1–3), utilizing TPGS-750-M, a “benign-by-design” surfactant developed by Lipshutz.¹⁴ To our delight, in this medium, K_3PO_4 was identified to be the most effective base for this rearrangement (entry 4). Lowering the reaction temperature led to incomplete conversion and, therefore, a decreased yield (entry 5). No increase in yield was observed at higher temperature (entry 6). Other surfactants including Triton X-100 and SDS were screened, as were organic solvents (CH_3CN , THF, and DMF). All afforded inferior results

Table 2 Optimization of the reaction conditions^a

Entry	Base	Solvent	<i>T</i>	Yield ^b
1	NaHCO_3	TPGS-750-M/ H_2O^c	$70\text{ }^\circ\text{C}$	78%
2	CsF	TPGS-750-M/ H_2O^c	$70\text{ }^\circ\text{C}$	60%
3	Et_3N	TPGS-750-M/ H_2O^c	$70\text{ }^\circ\text{C}$	88%
4	K_3PO_4	TPGS-750-M/ H_2O^c	$70\text{ }^\circ\text{C}$	93%
5	K_3PO_4	TPGS-750-M/ H_2O^c	$60\text{ }^\circ\text{C}$	70%
6	K_3PO_4	TPGS-750-M/ H_2O^c	$80\text{ }^\circ\text{C}$	90%
7	K_3PO_4	Triton X-100/ H_2O^c	$70\text{ }^\circ\text{C}$	76%
8	K_3PO_4	SDS/ H_2O	$70\text{ }^\circ\text{C}$	58%
9	K_3PO_4	CH_3CN	$70\text{ }^\circ\text{C}$	75%
10	K_3PO_4	THF	$70\text{ }^\circ\text{C}$	78%
11	K_3PO_4	DMF	$70\text{ }^\circ\text{C}$	86%
12	K_3PO_4	H_2O	$70\text{ }^\circ\text{C}$	70%

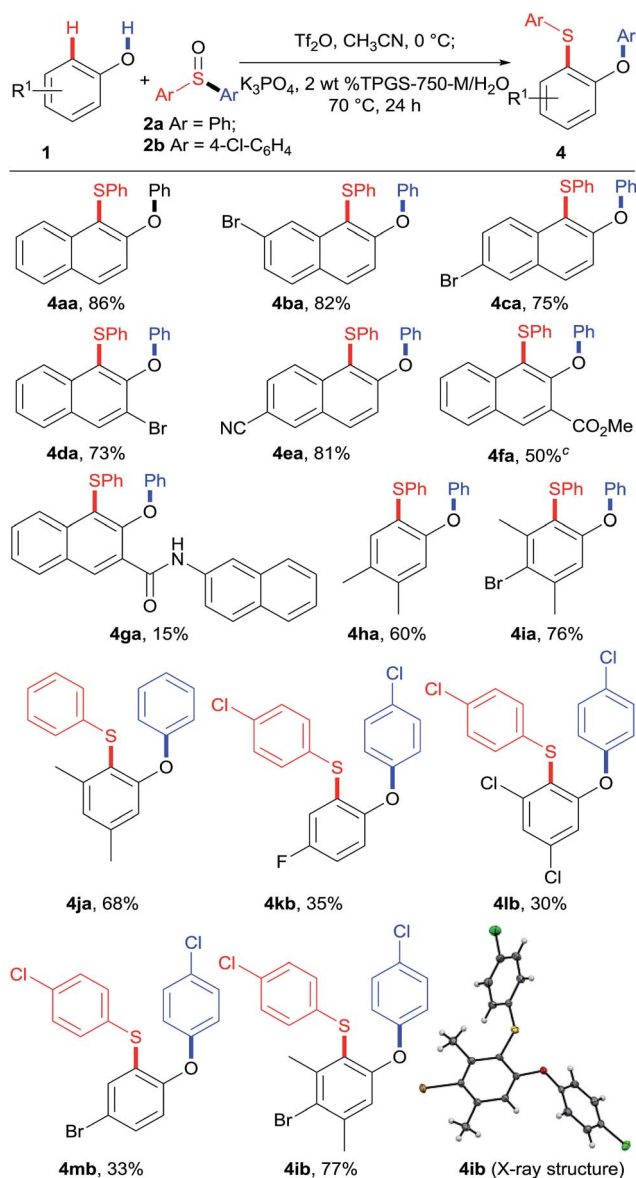
^a Conditions: **3a** (0.375 mmol), base (1.125 mmol), solvent (0.75 M) for 24 h. ^b Yields were determined by HPLC analysis with nitrobenzene as an internal standard. ^c Using 2 wt% surfactant in water. SDS = sodium dodecyl sulfate.

(entries 7–11). A control reaction “on water” (*i.e.*, in the absence of TPGS-750-M) was conducted and a significantly lower yield was observed (entry 12), confirming the importance of micellar catalysis in facilitating this transformation in aqueous media.

At this stage, we sought to develop a one-pot sequence. Unfortunately, synthesis of the sulfonium salts either in aqueous media or under solvent-free conditions was low yielding. Hence, sulfonium salts were prepared *in situ* and used directly for the rearrangement without chromatography. Grati-fyingly, the desired sulfide **4aa** was produced in 86% yield from the reaction of 2-naphthol **1a** and diphenyl sulfoxide **2a** (Table 3).

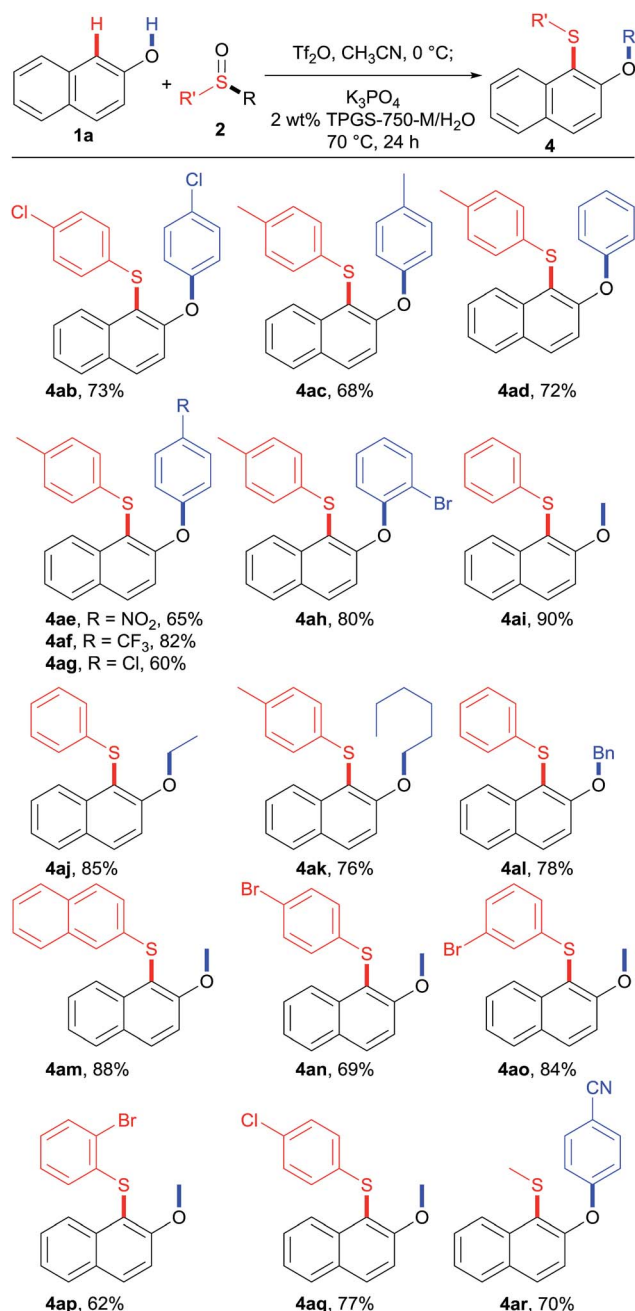
With the optimized conditions in hand, we proceeded to explore the substrate scope of phenols **1**, as shown in Table 3. Naphthols with different substituents proceeded smoothly under the standard reaction conditions, leading to products in good to high yields (**4aa–4fa**). Importantly, these products (**4ba–4ea**) bearing Br and CN substituents could be potentially further functionalized. Unfortunately, naphthol **1g** with an amide functional group gave the corresponding product **4ga** in only 15% yield. Besides naphthols, the substrate scope was further expanded to phenol derivatives. Phenols **1h–1j** containing electron-donating groups reacted smoothly with sulfoxides **2a** and **2b** generating the corresponding sulfides **4ha–4ja** and **4ib** (X-ray) in good yields. Phenols bearing electron-withdrawing groups showed lower reactivity, leading to products **4kb–4mb** in moderate yields. Interestingly, for *p*-unsubstituted phenols **1j** and **1l**, the desired products **4ja** and **4lb** were obtained selectively.



Table 3 Scope of phenols^{a,b}

^a Conditions: **1** (0.2 mmol), **2** (0.22 mmol), Tf₂O (0.24 mmol), MeCN (0.1 M) at 0 °C for 3 h; K₃PO₄ (0.88 mmol), 2 wt% TPGS-750-M/H₂O (0.75 M) at 70 °C for 24 h. ^b Isolated yields from phenol **1**. ^c Hydrolysis product (carboxylic acid) was also isolated in 34% yield.

The scope of sulfoxides was then evaluated in reactions with 2-naphthol **1a** (Table 4). Adducts **4ab** and **4ac** were obtained in good yields from symmetrical diaryl sulfoxides **2b** and **2c** with different electronic properties. Furthermore, unsymmetrical diaryl sulfoxides **2d–2h** were also investigated. Remarkably, the use of sulfoxide **2d**, simultaneously bearing *p*-tolyl and phenyl residues resulted in phenyl-migrated product **4ad**, while the *p*-tolyl-migrated isomer was not detected. A similar trend was observed for sulfoxides **2e–2h**, and the more electron-deficient aryl groups were selectively migrated delivering the corresponding sulfides **4ae–4ah**. These results suggest that the

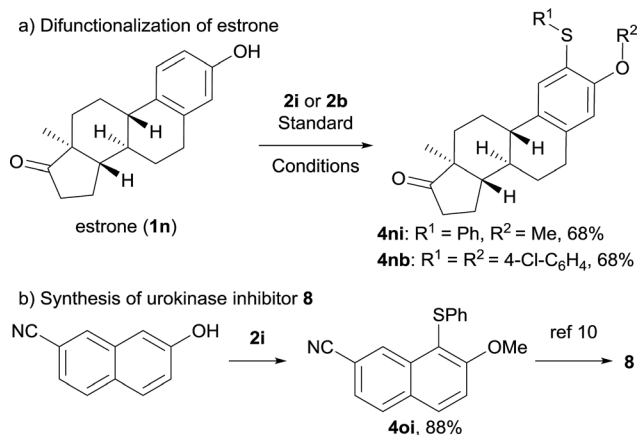
Table 4 Scope of sulfoxides^{a,b}

^a See Table 3 for conditions. ^b Isolated yields from phenol **1a**.

rearrangement takes place by *ipso*-attack of a negatively charged oxygen atom onto the more electron-deficient aryl group.

Besides diaryl sulfoxides, alkyl aryl sulfoxides also participated in this transformation, further expanding the range of participating substrates. Increasing the length of the alkyl chain slightly decreased the reaction efficiency, as demonstrated by products **4ai–4ak**. In addition, benzyl phenyl sulfoxide **2l** is also a competent reaction partner, providing **4al** in 78% yield. Moreover, the aryl group could also be modified with different





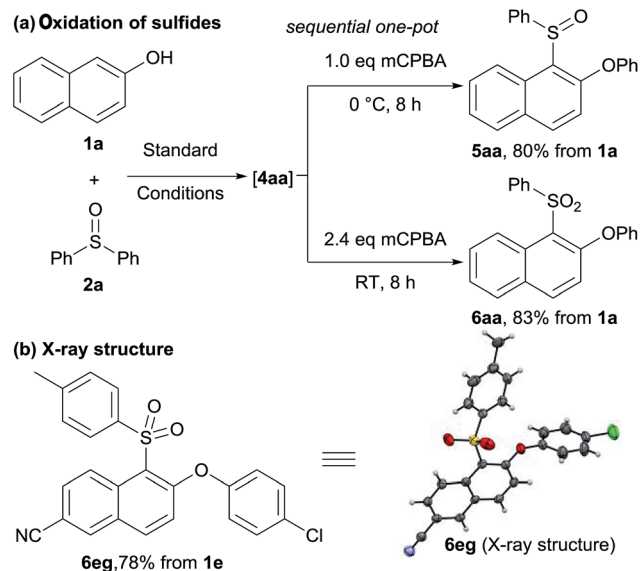
Scheme 2 Application in late-stage construction and scaffold construction.

electronic properties, and the reactions furnished the corresponding sulfides in good to high yields (**4ak** and **4am–4ar**). Importantly, there was no significant effect of substituents in the *para*, *meta*, or *ortho* positions. For example, *p*-bromophenyl methyl sulfoxide (**2n**), *m*-bromophenyl methyl sulfoxide (**2o**), and *o*-bromophenyl methyl sulfoxide (**2p**) all reacted uneventfully and gave methyl-migrated sulfides **4an–4ap** in useful yields. Notably, *p*-cyanophenyl methyl sulfoxide (**2r**) afforded cyanophenyl-migrated product **4ar** exclusively, whereas aryl methyl sulfoxides **2m–2q** all provided methyl-migrated products **4am–4aq**. These results suggest that stronger electron-withdrawing groups on the aryl moiety can suppress alkyl migration, leading to aryl migration instead.

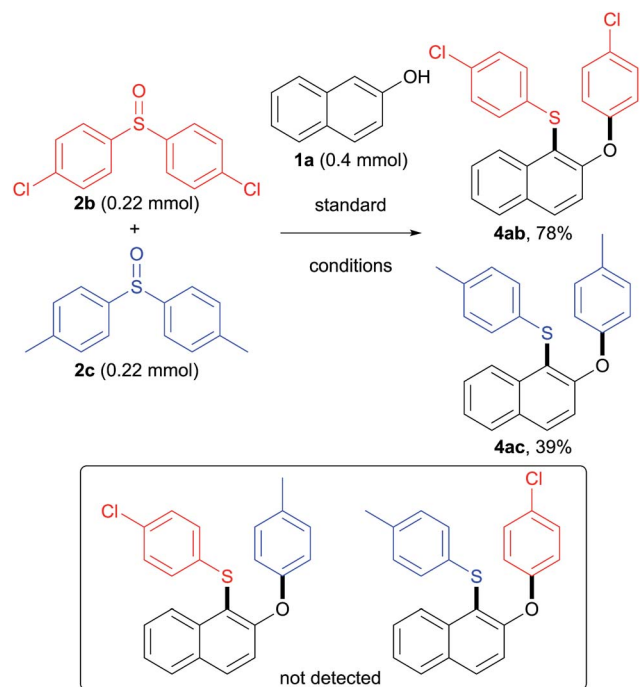
To further explore the applicability of this approach, we tested this new transformation on estrone **1n** containing a complex architecture. Accordingly, difunctionalization of estrone proceeded smoothly, affording **4ni** or **4nb** in 68% yield (Scheme 2a), demonstrating the mild and selective nature of this overall transformation. In addition, a formal synthesis of urokinase inhibitor **8** was accomplished efficiently as shown in Scheme 2b.

We next sought to prepare sulfoxides and sulfones in one-pot (Scheme 3). Starting from 2-naphthol **1a** and diphenyl sulfoxide **2a**, sulfonium salt formation and then rearrangement in water gave sulfide **4aa**. Without isolation, the resulting sulfide could then be oxidized to sulfoxide **5aa** and sulfone **6aa** in good overall yields, respectively (Scheme 3a). Sulfone **6eg** was also obtained in high yield under similar reaction conditions. The selectivity of the Smiles-like rearrangement was unambiguously confirmed by single crystal X-ray analysis of **6eg** (Scheme 3b).

To understand whether the rearrangement step is intramolecular or intermolecular, a crossover reaction of naphthol **1a** with diaryl sulfoxides **2b** and **2c** was conducted under the standard reaction conditions (Scheme 4). It was found that no cross-product was detected, which suggests that this aryl migration is intramolecular. Furthermore, **4ab** was formed in 78% yield while **4ac** was isolated in only 39% yield, indicating that sulfoxide **2b** was more reactive than sulfoxide **2c**.



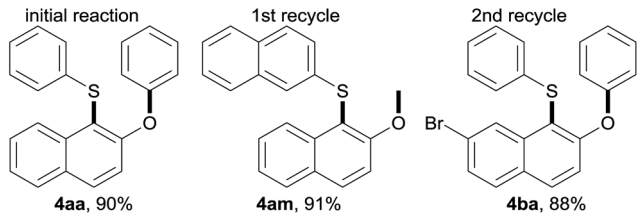
Scheme 3 Oxidation of the products in water.



Scheme 4 Application in late-stage construction and scaffold construction.

Lastly, E Factors^{4d,15} were calculated for the rearrangement under aqueous micellar conditions, to assess the level of waste generation. Initially, an E Factor of only 4 was obtained based on organic solvent used, as illustrated in Table 5. An E Factor of only 8.6, was realized even with water included in the calculation. Moreover, the aqueous medium containing TPGS-750-M can be recycled to generate a different sulfide **4am** or **4ba** efficiently. Since no additional water needs to be used in each cycle, the associated E Factors dropped significantly.



Table 5 E Factors and Recycling Study^{a,b}


Based on	E Factors		
	Reaction	1st recycle	2nd recycle
Total organic solvent	4.0	4.2	3.4
Aqueous waste included	8.6	4.2	3.4

^a Conditions: **3** (0.375 mmol), K₃PO₄ (1.125 mmol), 2 wt% TPGS-750-M/H₂O (0.75 M) at 70 °C for 24 h. ^b Isolated yields.

Conclusions

In conclusion, we have developed an unprecedented approach for C–H/O–H difunctionalization of phenols under mild conditions. The process is mediated by an electrophilic aromatic substitution and subsequent Smiles-like rearrangement, involving C–S and C–O bond formations. Notably, this rearrangement proceeded under aqueous micellar conditions, successfully addressing several environmental issues. Further studies on related rearrangements featuring multiple bond-formations in water are currently in progress in our laboratories.

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